EECS 475: Introduction to Cryptography Notes

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${f Abstract}$	
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### Chapter 4

### Public Key Cryptography

### Lecture 19: Number Theory

### 4.1 Modular Arithmetic and Euclid's Algorithm

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We define the set of integers,  $\mathbb{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$ , and natural numbers,  $\mathbb{N} = \mathbb{Z}^+ = \{1, 2, 3, \ldots\}$ .

**Theorem 4.1.1** (Product of primes). Every integer N > 1 can be written *uniquely* as a product of (power of) primes.

**Lemma 4.1.1** (Division with remainder). Let  $a \in \mathbb{Z}, b \in \mathbb{Z}^+$ .  $\exists$  unique integers q, r such that a = q.b + r where  $0 \le r < b$ , and they can be efficiently computed in *polynomial time* relative to the *bit length*: i.e.  $\log_2 a + \log_2 b + O(1)$ 

With the ability to perform division in polynomial time, we are able to find the **greatest common divisor** of two integers a, b:

**Definition 4.1.1** (Greatest common divisor). Let  $a, b \in \mathbb{Z}^+$ . Then, there exists  $x, y \in \mathbb{Z}$  such that gcd(a, b) = a.x + b.y. Further, gcd(a, b) is the *smallest* positive integer that can be written this way.

We claim there is an efficient algorithm, the **Extended Euclidean Algorithm**, that computes not only gcd(a, b), but also the x, y coefficients above:

#### Algorithm 4.1: Extended Euclidean Algorithm

**Verification of Extended Euclidean Algorithm.** Assuming the recursive call is successful (by induction, we can), we will get back x', y' that are the gcd of b and r:

$$b.x' + r.y' = \gcd(b, r) = \gcd(a, b)$$
$$b.x' + (a - q.b).y' = a.y' + b(x' - q.y')$$

We note that y' = x and  $x' - q \cdot y' = y$  and so we are done.

We claim that the Extended Euclidean Algorithm makes O(n) recursive calls.

### 4.2 Group Theoretic View of Numbers

**Definition 4.2.1.** Let  $\mathbb{Z}_N = \{0, 1, 2, \dots, N-1\}$ , indicating the set of all possible remainders of division by N, further:  $\mathbb{Z}_N^* = \{x \in \mathbb{Z}_N : \gcd(x, N) = 1\}$ .

**Remark.** For example,  $\mathbb{Z}_6^* = \{1, 5\}$ . Alternatively,  $\mathbb{Z}_7^* = \{1, 2, 3, 4, 5, 6\}$ , showing how  $\mathbb{Z}_p^* = \mathbb{Z}_p \setminus \{0\}$ , where p is any prime number.

### Lecture 20: Group Theory

We continue our review of modular arithmetic. Modular addition, subtraction, and multiplication are all closed in modular arithmetic. We have the property  $a=b \mod N \Leftrightarrow N|a-b$  and further, if  $a=a' \mod N$  and  $b=b' \mod N$ , we have:

- $a+b=a'+b' \mod N$
- $a b = a' b' \mod N$
- $a.b = a'.b' \mod N$

However, division is not always possible:

$$3 \cdot 2 = 15 \cdot 2 \mod 24 \not \Rightarrow 3 = 15 \mod 24$$

**Definition 4.2.2** (Invertability). An integer b is **invertible** if  $\exists c$  where  $b \cdot c = 1 \mod N$ .

**Lemma 4.2.1.** If  $b \ge 1, N > 1$ , b is invertible mod  $N \Leftrightarrow \gcd(b, N) = 1$ .

**Proof.** Let  $b \cdot c = 1 \mod N$ .

$$\Rightarrow b.c - 1 = N.q$$
$$\Rightarrow b.c - N.q = 1.$$

Thus, gcd(b, N) = 1 since gcd is the smallest positive integer that is expressible in this way.

Using the Extended Euclidean Algorithm, we can compute inverse mod N efficiently.

**Definition 4.2.3** (Group).  $(G, \circ)$ , where  $\circ : G \times G \to G$  and  $\circ (g, h)$  is denoted as  $g \circ h$ , is a **group** if it satisfies the properties of:

- identity:  $\exists e \in G$  such that  $\forall g \in G : e \circ g = g \circ e = g$
- invertability:  $\forall g \in G, \exists g^{-1} \text{ (or } -g) \text{ such that } g \circ g^{-1} = e$
- associativity:  $\forall g_1, g_2, g_3 \in G : (g_1 \circ g_2) \circ g_3 = g_1 \circ (g_2 \circ g_3)$
- (abelian groups):  $\forall g, h \in G, g \circ h = h \circ g$  (i.e commutativity)

**Example.** For  $(\mathbb{Z}_N, + \mod N)$  we have:

- identity:  $a + 0 \equiv 0 + a \equiv a \mod N$
- invertability:  $a + (-a) \equiv 0 \mod N$
- associativity:  $a + (b + c) = (a + b) + c \mod N$

**Example.** For  $(\mathbb{Z}_N^*, \mod N)$  we have:

- identity:  $a.1 \equiv 1.a \equiv a \mod N$
- invertability:  $a.(a^{-1}) \equiv 1 \mod N$
- associativity:  $a.(b.c) = (a.b).c \mod N$

The size of a group, called the **group order**, is denoted as |G|. For example,  $(\mathbb{Z}_N, +)$  has order N, and  $\mathbb{Z}_p^*$ , has order p-1

**Theorem 4.2.1.** For a group, G, where m = |G|, we have that  $\forall g \in G : g^m = 1$  (where  $g^m = (((g \circ g) \circ g) \circ g) \ldots)$ .

**Proof.** For simplicity, assume G is abelian and suppose  $G=\{g_1,g_2,\ldots,g_m \text{ and let } g\in G \text{ be arbitrary. Note that}$ 

$$g \circ g_i = g \circ g_j \Rightarrow g_i = g_j$$

and so the set  $\{g \circ g_i : i \in \{1, m\}\}$  covers all elements of G exactly once. Therefore:

$$g_1 \circ -g_m = g^m(g_1 \circ \ldots \circ g_m) \Rightarrow 1 = g^m.$$

**Corollary 4.2.1** (Fermat's Little Theorem).  $\forall$  prime p,  $\gcd(a,p)=1 \Rightarrow a^{p-1} \equiv 1 \mod p$ 

**Theorem 4.2.2** (Euler's Theorem). For  $\Phi(N) = \left| \{a | 1 \le a \le N, \gcd(a, N) = 1\} \right|, \ |\mathbb{Z}_N^*| = \Phi(N)$  we have:

if 
$$gcd(g, N) = 1 \Rightarrow g^{\Phi(N)} \equiv 1 \mod N$$

Corollary 4.2.2. For  $m = |G| > 1, e \in \mathbb{Z}, \gcd(e, m) = 1$ , define  $d = e^{-1} \mod m$  and function  $f_e \colon G \to G$  as  $f_e(g) = g^e$ . Then,  $f_e$  is a bijection whose inverse is  $f_d$ .

**Definition 4.2.4** (Cyclic Groups). A group, G, is considered **cyclic** if  $\exists g \in G$  such that  $G = \{1 = g^0, g^1, g^2, \dots, g^{m-1}\}$ . If this is the case, we say that g generates G.

**Example.** For  $\mathbb{Z}_7^* = \{1, \dots, 6\}$ :

powers of 3: 
$$\{3^0 \equiv 1, 3^1 \equiv 3, 3^2 \equiv 2, 3^3 \equiv 6, 3^4 \equiv 4, 3^5 \equiv 5\}$$

powers of 2: 
$$\{2^0 \equiv 1, 2^1 \equiv 2, 2^2 \equiv 4, 2^3 \equiv 1, 2^4 \equiv 2, \ldots\}$$

and so 3 is a generator of  $\mathbb{Z}_7^*$ , but 2 is not.

If there is no  $g \in G$  that generates G, then G is not cyclic. Furthermore, when g does not generate G, it generates a **subgroup**:

**Definition 4.2.5.**  $G' \subseteq G$ , is a subgroup if  $(G', \circ)$  is a group.

**Theorem 4.2.3** (Lagrange's Theorem). If  $G' \subseteq G$  is a subgroup, then |G'| divides |G|.

### 4.3 Fast Exponentiation

Suppose we have an element g and we want to compute  $g^M$ . Instead of naively multiplying g M times, we can observe that if  $M = 2^m$ :

$$g^{M} = g^{2^{m}} = g^{2^{m-1}} \cdot g^{2^{m-1}} = (g^{2^{m-1}})^{2}.$$

So for any M we can rewrite it as

$$M = \sum_{i=0}^{l} m_i \cdot 2^i,$$

allowing us to calculate  $g^m$ :

$$g^m = \prod_{i=0}^l g^{2^i},$$

where each  $g^{2^i}$  can be calculated using the above trick. This results in  $O(l^2)$  multiplications altogether if |M| = l.

Corollary 4.3.1. Fast exponentiation allows us to compute inverses efficiently because  $g^{-1} = g^{|G|-1}$  since  $g^{|G|} = 1$ .

We've shown we can compute  $g^m$  efficiently given g and m. But, can we efficiently compute m from  $g^m$ ? It's unknown, but conjectured to be extremely difficult to do so.

# Lecture 21: Diffie-Hellman Key Exchange, DDH Assumption, Public Key Encryption and CPA Security

#### 4.4 Diffie-Hellman

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The key exchance problem occurs when two individuals seek to communicate over an insecure channel. The canonical story has *Alice* and *Bob* attempting to communicate over a channel being *passively monitored* by an eavesdropper, *Eve.* 

Definition 4.4.1 (Diffie-Hellman Protocol).

- 1. let Alice fix a large cyclic group G of known order q.  $(|q| \approx \text{security parameter})$ .
- 2. Alice discovers a generator g for  $G = \mathbf{Z}_{q+1}^*$  for q+1 prime. In other words, Alice finds a number g that enumerates all elements of the group G when raised to the powers  $\{0, 1, \ldots, q-1\}$ .
- 3. Alice chooses random  $a \leftarrow \mathbb{Z}_q$ , let  $\mathcal{A} = g^a \in G$ .
- 4. Alice sends  $\mathcal{A} = g^a$ , g to Bob over the insecure channel. Eve has access to this information.
- 5. Bob receives the message and chooses a random  $b \leftarrow \mathbb{Z}_q$  and sends  $\mathcal{B} = g^b \in G$  back to Alice.
- 6. Alice and Bob both calculate  $\mathcal{K} = (g^a)^b = (g^b)^a = g^{ab}$  as their shared secret key.

An eavesdropper will have to take discrete log to break this scheme (to find a or b). Formally, the security of this scheme is based on **DDH**: **Decisional Diffie-Hellman Assumption**.

**Definition 4.4.2** (Decisional Diffie-Hellman Assumption (DDH)). DDH holds for a group  $G = \langle g \rangle$  (i.e. G is generated by g) if

$$(g, g^a, g^b, g^{ab}) \in G^4$$

is indistinguishable (for  $a, b \leftarrow \mathbb{Z}_q, q = |G|$ ) from

$$(g, g^a, g^b, g^c)$$
, where  $c \leftarrow \mathbf{Z}_q$ 

**Remark.** Key derivation can simply be an algorithm for turning a group element into a random bit-string.

### 4.5 Modeling Public Key Encryption

We want to have a protocol (Gen, Enc, Dec) that can *directly* handle public key encryption. We can model this analogously to EAV/CPA security, just in a public key setting:

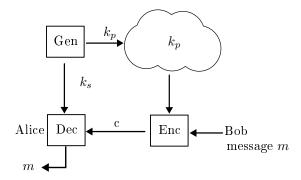


Figure 4.1: Analog of EAV/CPA security in the context of public keys.

**Definition 4.5.1** (Public Key CPA (EAV) Secure Scheme). For our **CPA secure public key scheme** we have:

- $Gen(1^n)$  : outputs  $(k_p, k_s)$
- $\operatorname{Enc}(k_p, m \in M)$ : outputs ciphertext c
- $\operatorname{Dec}(k_s,c)$ : outputs  $m \in M(\text{or fail "$\bot$"})$

We analyze it's correctness. For  $(k_p, k_s) \leftarrow \operatorname{Gen}(1^n)$ , we always have  $\forall m \in M$ :

$$Dec(k_s, Enc(k_p, m)) = m$$

**Remark.** Note that for the first time, our Gen function outputs two *related* random keys, not just one private random string.

Just like previous instances of security, we can define public key CPA security in the context of a game. Here we have adversary  $\mathcal{A}$  against  $\Pi = (\text{Gen, Enc, Dec})$ . Note that unlike the original CPA game, in this scenario the adversary only prompts the oracle once since the adversary can encrypt messages of their own.

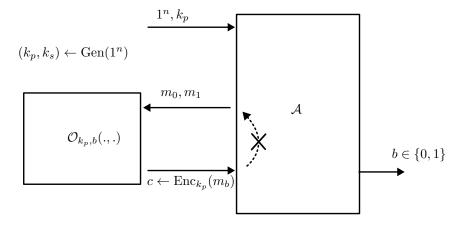


Figure 4.2: The public key CPA game.

**Definition 4.5.2** (Public CPA Security). A public key encryption scheme  $\Pi$  as defined above is secure if  $\forall$  p.p.t.  $\mathcal{A}$ :

$$\mathbf{Adv}_{\Pi}(\mathcal{A}) := \left| \Pr_{(k_p, k_s) \leftarrow \operatorname{Gen}(1^n)} (\mathcal{A}^{\mathcal{O}_{k_p, 0}(.,.)}(k_p) = 1) - \Pr_{(k_p, k_s) \leftarrow \operatorname{Gen}(1^n)} (\mathcal{A}^{\mathcal{O}_{k_p, 1}(.,.)}(k_p) = 1) \right| = \operatorname{negl}(n).$$

**Remark.** The number of queries to the LR oracle,  $\mathcal{O}$  doesn't matter. I.e. if we have EAV-security allowing  $\mathcal{A}$  to query the oracle once, then we have security even if multiple queries are allowed.

**Proof.** To show that one-query public CPA security  $\Rightarrow$  many query public CPA security we are essentially showing that

$$public\ EAV\ security \Rightarrow public\ CPA\ security.$$

We prove this by proposing a new adversary, A, that is capable of breaking a many-query CPA scheme, and then using this adversary to break the single-query EAV scheme.

Imagine a many-query attacker,  $\mathcal{A}$  that makes up to  $q:\mathsf{poly}(n)$  queries. Consider the following worlds:

- (i) Hybrid  $\theta$ : All queries answered by  $c \leftarrow Enc_{k_n}(m_0)$ .
- (ii) Hybrid 1: First query  $(m_0, m_1)$  answered by  $c \leftarrow Enc_{k_p}(m_1)$ , then  $Enc_{k_p}(m_0)$  thereafter.
- (iii) Hybrid 2: First **two** queries are answered by  $c \leftarrow Enc_{k_p}(m_1)$ , then  $Enc_{k_p}(m_0)$  thereafter.
- (iv) Hybrid q: All queries answered by  $c \leftarrow Enc_{k_p}(m_1)$

These hyrbid worlds reflect the left and right worlds as follows:

- (i) **Left world**: equivalent to  $Hyb_0$ : all queries to the LR oracle are answered by  $c \leftarrow Enc_{k_p}(m_0)$ .
- (ii) **Right world**: equivalent to  $Hyb_q$ : all queries answered by  $c \leftarrow Enc_{k_p}(m_1)$ .

We can use the idea that if an adversary, A can distinguish between the left and right worlds, they must be able to distinguish between the *i*th hybrid world and the i + 1th hybrid world where the *i*th hybrid world is defined as

$$HybridWorld_i = \text{First } i \text{ queries of } (m_0, m_1) \text{ answered by } c \leftarrow Enc_{k_p}(m_1),$$
  
then  $c \leftarrow Enc_{k_p}(m_0)$  thereafter.

We first note that the difference between  $Hyb_{i-1}$  and  $Hyb_i$  is only in how the *i*th query is answered. We can build a "simulator"  $\mathcal{S}_i^{\mathcal{O}}(k_p)$  that gets one query and simulates either  $Hyb_{i-1}$  or  $Hyb_i$  depending on b.

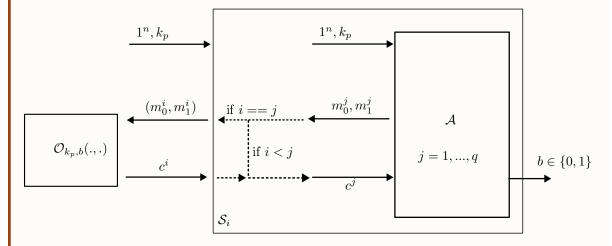


Figure 4.3: A simulator that wins pEAV game using an adversary that wins the pCPA game.

Upon the  $j^{\text{th}}$  query of  $\mathcal{A}(m_0^j, m_1^j)$ :

- if j < i,  $S_i$  runs  $c^j \leftarrow Enc_{k_n}(m_1^j)$ .
- if j > i,  $S_i$  runs  $c^j \leftarrow Enc_{k_n}(m_0^j)$ .
- if j == i,  $S_i$  queries its LR oracle and gives the result to A.

Consider the two cases where  $S_i$  is in the worlds:

- (i) Left world (b=0): then we are simulating  $Hyb_{i-1}$ .
- (ii) Right world (b = 1): then we are simulating  $Hyb_i$ .

By the **triangle inequality**,

$$\mathbf{Adv}_{\Pi}^{CPA} = |\Pr(\mathcal{A} = 1 \text{ in } Hyb_0) - \Pr(\mathcal{A} = 1 \text{ in } Hyb_q)|$$

$$= |\Pr(\mathcal{A} = 1 \text{ in } Hyb_0) - \Pr(\mathcal{A} = 1 \text{ in } Hyb_1) +$$

$$\Pr(\mathcal{A} = 1 \text{ in } Hyb_1) - \Pr(\mathcal{A} = 1 \text{ in } Hyb_2) +$$

$$\dots - \Pr(\mathcal{A} = 1 \text{ in } Hyb_q)|$$

$$\leq \sum_{i=1}^{q} \mathbf{Adv}_{\Pi}^{\text{Single CPA}}(\mathcal{S}_i) = q \cdot \text{negl}(n) = \text{poly}(n) \cdot \text{negl}(n) = \text{negl}(n).$$

Thus, by assuming we had single-query (pEAV) security we have concluded we also have multiquery (pCPA) security. If we didn't have multi-query security, you could use a multi-query attacker to make a single-query attacker (contradicting the assumption that we are single-query secure).

### Lecture 22: CPA Model, El Gamal Cryptosystem

The above implies we can encrypt long messages bit-by-bit (or block-by-block) or broken up any reasonable way. One call to Enc on "long" messages translates to many calls on "short" messages, which is fine by the theorem above.

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**Theorem 4.5.1.** Any public key encryption scheme with a **deterministic**  $Enc_{k_p}(.)$  algorithm can't be CPA secure *even for one query!* 

**Proof.** Query  $c \leftarrow LR_{k_p,b}(m_0,m_1)$  for any  $m_0 \neq m_1$ . Then run  $c' = Enc_{k_p}(m_0)$ . If c = c' output 0, else 1. This strategy has perfect advantage.

### 4.6 El Gamal Cryptosystem

We can construct a CPA secure PKE scheme using Diffie-Hellman. We can represent a message as some  $m \in G$ . The "one-time-pad effect" would involve multiplying m with something random  $(\mathcal{K})$ .

**Definition 4.6.1** (El Gamal). We have a scheme:

- Gen(1<sup>n</sup>): choose random  $a \leftarrow \mathbb{Z}_q$ , output  $(k_p = \mathcal{A} = g^a \in G, k_s = a)$
- Enc $(k_p = \mathcal{A}, m \in G)$ : choose random  $b \leftarrow \mathbb{Z}_q$ , output ciphertext  $(\mathcal{B} = g^b \in G, \mathcal{C} = m \cdot \mathcal{A}^b \in G)$
- $\operatorname{Dec}(k_s = a, (\mathcal{B}, \mathcal{C}))$ : compute  $\mathcal{K} = \mathcal{B}^a$ , output  $\mathcal{C} \cdot \mathcal{K}^{-1} \in G$

And it is correct, as  $\forall m \in G, k_p = g^a, k_s = a$  and:

$$Enc(k_p, \mathcal{A}) = (\mathcal{B} = g^b, \mathcal{C} = m \cdot (g^a)^b)$$
$$Dec(\mathcal{B}, \mathcal{C}) = \mathcal{C} \cdot (\mathcal{B}^a)^{-1} = m \cdot g^{ab} \cdot (g^{ab})^{-1} = m.$$

**Theorem 4.6.1.** If the DDH assumption holds, then El Gamal is CPA-secure.

**Proof.** Assume there is a p.p.t. adversary  $\mathcal{A}$  that can break El Gamal, we can use  $\mathcal{A}$  to build a distinguisher against DDH.

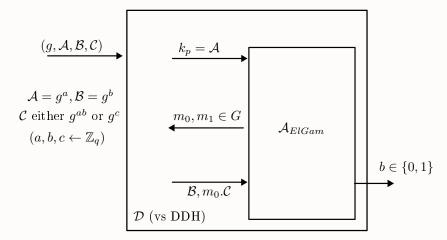


Figure 4.4: A distinguisher using an adversary that can break El Gamal can break DDH.

In the case that  $(g, \mathcal{A}, \mathcal{B}, \mathcal{C})$  is a DH tuple ("real" world),  $\mathcal{D}$  perfectly simulates the left CPA world because  $\mathcal{C} = g^{ab}$ . In the "ideal" world,  $(g, \mathcal{A}, \mathcal{B}, \mathcal{C})$  is random, so  $\mathcal{D}$  perfectly simulates a "hybrid" CPA world where the ciphertext is two independent random group elements.

Similarly to  $\mathcal{D}$  above, we can construct a  $\mathcal{D}'$  where instead  $\mathcal{A}_{ElGam}$  receives  $(\mathcal{B}, m_1.\mathcal{C})$ . Thus, by the triangle inequality we have

$$\mathrm{Adv}^{CPA}(\mathcal{A}) \leq \mathrm{Adv}^{DDH}(\mathcal{D}) + \mathrm{Adv}^{DDH}(\mathcal{D}') = \mathrm{negl}(n) + \mathrm{negl}(n) = \mathrm{negl}(n).$$

Lecture 23: RSA Cryptosystem

Whereas Diffie-Hellman relies on the hardness of discrete log, RSA relies on the **hardness of factoring** 3 Apr. 10:30 and finding roots in a group of *unknown* order.

# Appendix

## Appendix A

## **Additional Proofs**

### A.1 Proof of ??

We can now prove ??.

Proof of ??. See here.