

#### Contents

- Quicksort
- Randomized quicksort

#### Divide-and-Conquer paradigm

```
QUICKSORT(A, p, r)

if p < r

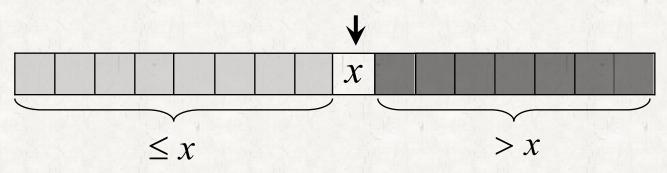
q = \text{PARTITION}(A, p, r)

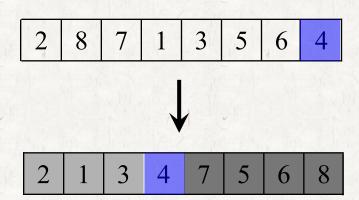
QUICKSORT(A, p, q - 1)

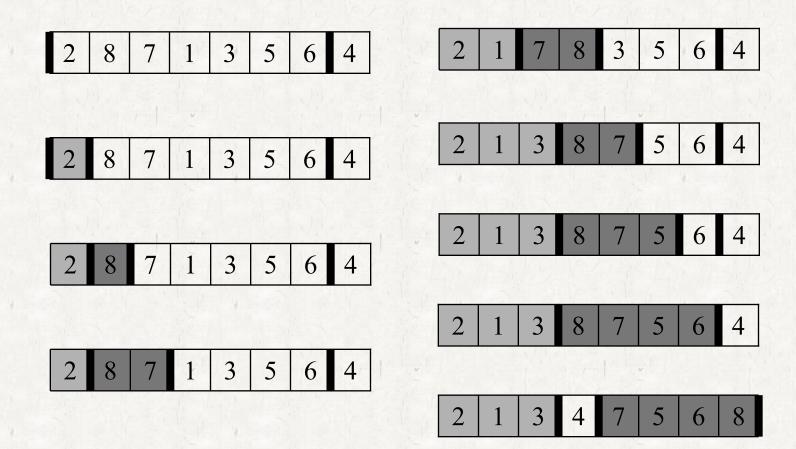
QUICKSORT(A, p, q + 1, r)
```

#### **o**Partition

#### **Pivot element**







- Partition
  - $\Theta(n)$  time.

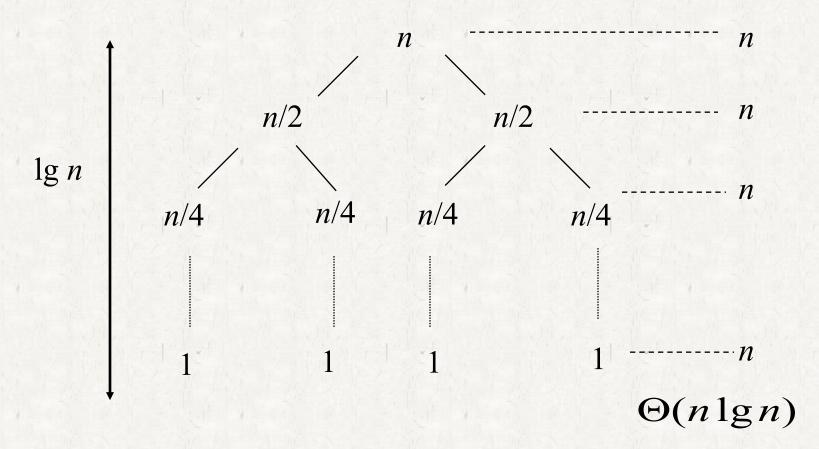
Balanced partitioning vs. unbalanced partitioning

#### Balanced partitioning

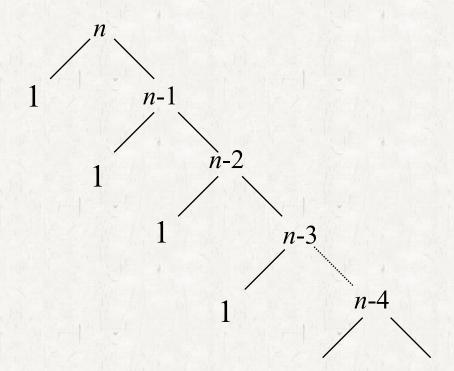
• When PARTITION produces two subproblems of sizes  $\lfloor n/2 \rfloor$  and  $\lfloor n/2 \rfloor$ - 1.

•  $T(n) \le 2T(n/2) + \Theta(n) = O(n \lg n)$ 

#### Balanced partitioning



#### Unbalanced partitioning



#### Unbalanced partitioning

$$T(n) = T(n-1) + \Theta(n)$$

$$= \sum_{k=1}^{n} \Theta(k)$$

$$= \Theta\left(\sum_{k=1}^{n} k\right)$$

$$= \Theta(n^{2})$$

### Worst-case Analysis

#### Worst-case analysis

- Quicksort takes  $\Omega(n^2)$  time in worst case.
  - Consider the unbalanced partitioning.
- Is the unbalanced partitioning the worst case?

### Worst-case Analysis

#### Worst-case analysis

• Show that the running time of quicksort is  $O(n^2)$  by substitution method.

$$T(n) = \max_{0 \le q \le n-1} (T(q) + T(n-q-1)) + \Theta(n)$$

• Show that  $T(n) \le cn^2$  for some constant c.

$$T(n) \leq \max_{0 \leq q \leq n-1} (cq^{2} + c(n-q-1)^{2}) + \Theta(n)$$

$$= c \cdot \max_{0 \leq q \leq n-1} (q^{2} + (n-q-1)^{2}) + \Theta(n)$$

$$= c \cdot \max_{0 \leq q \leq n-1} (2q^{2} - 2q(n-1) + (n-1)^{2}) + \Theta(n)$$

$$= c \cdot \max_{0 \leq q \leq n-1} (2(q - (n-1)/2)^{2} + (n-1)^{2}/2) + \Theta(n)$$

### Worst-case Analysis

#### Worst-case analysis

• The internal expression is maximized when q = 0 or n-1.

$$T(n) \le c \bullet \max_{0 \le q \le n-1} (2(q - (n-1)/2)^2 + (n-1)^2/2) + \Theta(n)$$

$$= c \bullet (n-1)^2 + \Theta(n)$$

$$= cn^2 - c(2n+1) + \Theta(n)$$

$$\le cn^2$$

- We can pick the constant c large enough so that the c(2n-1) term dominates the  $\Theta(n)$  term.
- Thus,  $T(n) = O(n^2)$ .

#### Average-case analysis

$$E[T(n)] = \frac{1}{n} \sum_{q=1}^{n} (E[T(q-1)] + E[T(n-q)]) + \Theta(n)$$
$$= \frac{2}{n} \sum_{q=2}^{n-1} E[T(q)] + \Theta(n)$$

- By substitution method, show  $T(n) \le cn \lg n$  for some c.
  - Problem 7-3.

#### Average Case Analysis II

- Let *X* be the number of comparisons over the entire execution of QUICKSORT on an *n*-element array.
- Then the average running time of QUICKSORT is
  - $\bullet$  O(n + E[X]).
- We will not attempt to analyze how many comparisons are made in *each* PARTITION.
- Rather, we will derive an overall bound on the total number of comparisons.

```
PARTITION(A, p, r)
      x = A[r]
      i = p - 1
      for j = p to r - 1
            if A[j] \leq x
                   i = i + 1
                   exchange A[i] with A[j]
      exchange A[i+1] with A[j]
      return i + 1
```

• Let  $z_i$  denote the *i*th smallest element in the sorted array.

• 
$$Z_{ij} = \{z_i, z_{i+1}, ..., z_j\}$$

- Each pair of elements  $z_i$  and  $z_j$  is compared at most once.
  - An element is compared only to the pivot element in each PARTITION.
  - The pivot element used in a PARTITION is never again compared to any other elements.

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \Pr\{z_i \text{ is compared to } z_j\}$$

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \Pr\{z_i \text{ is compared to } z_j\}$$

- $Pr\{z_i \text{ is compared to } z_i\}$ 
  - $Pr\{z_i \text{ or } z_j \text{ is first pivot chosen from } Z_{ij}\}$

$$=\frac{2}{j-i+1}$$

$$E[x] = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \frac{2}{j-i+1}$$

k = j - i, the harmonic series

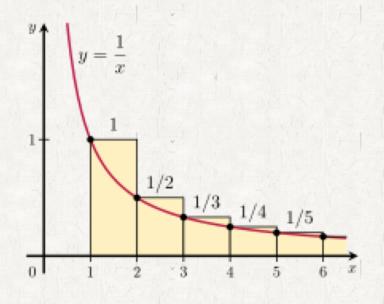
$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \frac{2}{j-i+1}$$

$$= \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{2}{k+1}$$

$$< \sum_{i=1}^{n-1} \sum_{k=1}^{n} \frac{2}{k}$$

$$= \sum_{i=1}^{n-1} O(\lg n)$$

$$= O(n \lg n)$$



equation(A.7)

$$H_n = \sum_{k=1}^n \frac{1}{k} = \lg n + O(1)$$
 proof: p. 1153

## Randomized quicksort

#### RANDOMIZED-PARTITION(A, p, r)

- 1. i = RANDOM(p, r)
- 2. exchange A[r] with A[i]
- 3. **return** PARTITION(A, p, r)

## Randomized quicksort

```
RANDOMIZED-QUICKSORT(A, p, r)
```

```
1 if p < r
```

- 2 q = RANDOMIZED-PARTITION(A, p, r)
- 3 RANDOMIZED-QUICKSORT(A, p, q 1)
- 4 RANDOMIZED-QUICKSORT(A, q + 1, r)

### Self-study

- Exercise 7.1-2
  - Balanced partition with same elements
- Exercise 7.2-4
  - Sorting almost-sorted input

### Programming exercise

- Insertion sort + Quicksort
  - Exercise 7.4-5
- Improved Quicksort by
  - Tail recursion removal and stack depth reduction (Problem 7-4)
  - Median of 3 partitioning (Problem 7-5, p162)