

---

# Exam 1 Review (2019)

Yongjun Park  
Hanyang University



---

# Logistics

- **When, Where:**
  - Wednesday, Oct 30, 1:00pm –2:30pm
  - Room: ITBT 508
- **Type:**
  - Open book/note
- **What to bring:**
  - Text book, reference books, lecture notes
    - But must print these out
  - Pencils
  - No laptops or cell phones

---

# Topics Covered

- **Lexical analysis: ~50%**
- **Syntax analysis: ~50%**
  - Percentages are VERY approximate
- **Not covered**
  - Detailed flex/bison syntax
    - However, contents on the slides will be covered
    - And general questions about flex/bison are possible

---

# Textbook

- **What have we covered: Ch 1 – 4**
- **Things you should know / can ignore**
  - Ch 1, 2 – Just overviews, don't worry about it
  - Ch 3 – Lexical analysis
  - Ch 4 – Syntax analysis
- **+ Project 1/2 related stuffs on Loudon book**

---

# Open Book Exams

- **OPEN BOOK != DON'T STUDY**
- **Open book means**
  - Memorizing things is not that important
  - If you forget something, you can look it up
- **But**
  - If you are trying to learn (or re-learn) stuff during the test, you won't finish
  - Assume the test is not open book
    - Don't rely on book/notes
    - Treat them as a backup

---

# How to Study

- **Re-familiarize yourself with all the material**
  - Where to find things if you get confused
- **Practice solving problems**
  - Do them w/o looking at the answer!
  - Class problems/examples from lectures
  - Examples in book
    - If you are ambitious, exercises at the end of each chapter
  - Practice so that you can do them without thinking much

---

# Exam Format

- **Short answer**
  - Explain something
  - Short problems to work out
- **Longer design problems**
  - E.g., construct a parse table
- **Range of questions**
  - Simple – Were you conscience in class?
  - Grind it out – Can you solve problems
  - Challenging – How well do you really understand things
- **Important! - move on if you get stuck!**



---

# Lexical Analysis (aka Scanning)

- **Ch 2-3**
- **Regular expressions**
  - How to write an RE from a textual description
- **NFAs**
  - RE to NFA (Thompson construction)
- **DFAs**
  - NFA to DFA
- **State minimization**
- **How does lex/flex work**





---

# Example Problem: Regular Expressions

- Write a RE for strings over the alphabet  $\{a,b,c\}$  where the first 'a' precedes the first 'b'

---

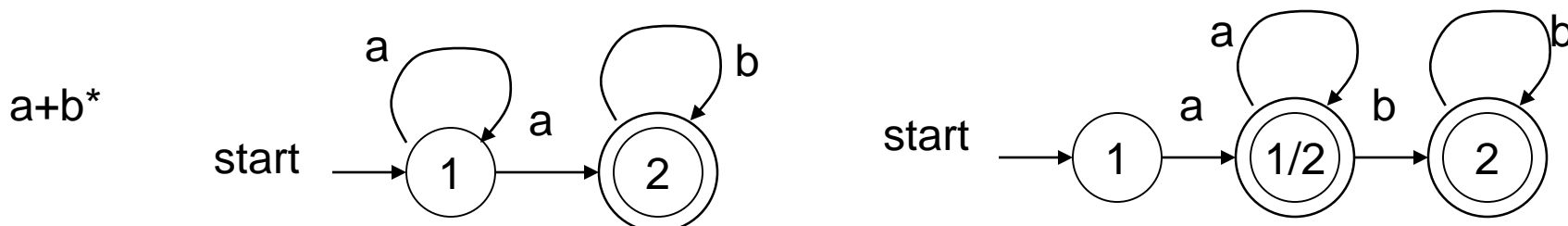
# Example Problem: NFA

- What's an  $\epsilon$  transition and why do we allow them?
- Create an NFA for the following RE:
  - $a((b \mid c \mid a^+c)x)^* \mid x^+a$

# Recall: Convert the NFA to a DFA

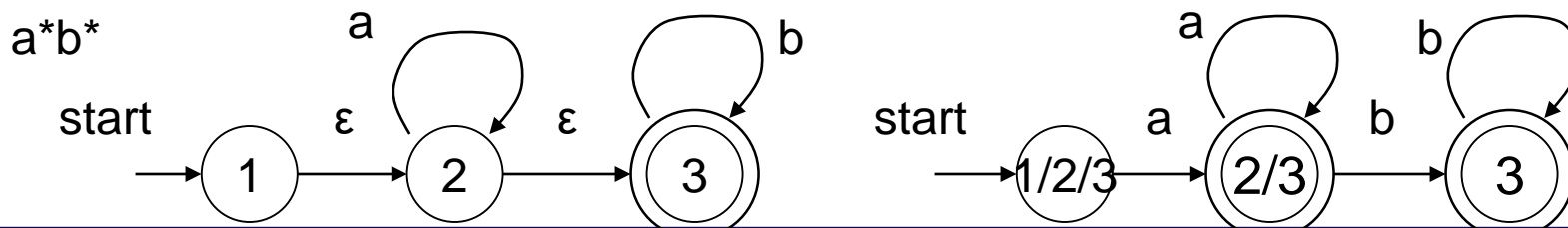
- Problem 1: Multiple transitions**

- Move  $(S, a)$  is relabeled to target a new state whenever single input goes to multiple states



- Problem 2:  $\epsilon$  transitions**

- Any state reachable by an  $\epsilon$  transition is “part of the state”
- $\epsilon$ -closure - Any state reachable from  $S$  by  $\epsilon$  transitions is in the  $\epsilon$ -closure; treat  $\epsilon$ -closure as 1 big state



---

# Example Problem: DFA

- Convert the previous NFA into a DFA

---

# Syntax Analysis (aka Parsing)

- **Ch. 4**
- **Context free grammars**
  - Derivations, ambiguity, associativity
- **Parsing**
  - Top-down
    - LL(1), building parse tables (FIRST, FOLLOW)
  - Bottom-up
    - LR(0), LR(1), SLR, LALR
    - Building parse tables (Closure, Goto), shift/reduce
- **Abstract syntax tree**

---

# Example Problem: CFG

- Is the following grammar ambiguous? Explain why or why not.
  - $S \rightarrow E + E$
  - $E \rightarrow \text{num}$

# Recall: Computing FIRST/FOLLOW

- **Determining FIRST(X)**
  1. if X is a terminal, then add X to FIRST(X)
  2. if  $X \rightarrow \varepsilon$  then add  $\varepsilon$  to FIRST(X)
  3. if X is a nonterminal and  $X \rightarrow Y_1Y_2...Y_k$  then a is in FIRST(X) if a is in FIRST( $Y_i$ ) and  $\varepsilon$  is in FIRST( $Y_j$ ) for  $j = 1...i-1$
  4. if  $\varepsilon$  is in FIRST( $Y_1Y_2...Y_k$ ) then  $\varepsilon$  is in FIRST(X)
- **Determining FOLLOW(X)**
  1. if S is the start symbol then \$ is in FOLLOW(S)
  2. if  $A \rightarrow \alpha B \beta$  then add all FIRST( $\beta$ )  $\neq \varepsilon$  to FOLLOW(B)
  3. if  $A \rightarrow \alpha B$  or  $\alpha B \beta$  and  $\varepsilon$  is in FIRST( $\beta$ ) then add FOLLOW(A) to FOLLOW(B)

---

# Example Problem: FIRST/FOLLOW

- Calculate FIRST and FOLLOW for:
  - $S \rightarrow uBDz$
  - $B \rightarrow Bv \mid w$
  - $D \rightarrow EF$
  - $E \rightarrow y \mid \varepsilon$
  - $F \rightarrow x \mid \varepsilon$



---

# Example Problem: LL(1) Parsing Table

- Construct the LL(1) parsing table for the previous grammar
- Can the grammar be parsed by an LL(1) parser?



# Recall: Closure and Goto

- **Closure of a parser state:**
  - Start with  $\text{Closure}(S) = S$
  - Then for each item in  $S$ :
    - $X \rightarrow \alpha . Y \beta$
    - Add items for all the productions  $Y \rightarrow \gamma$  to the closure of  $S$ :  $Y \rightarrow . \gamma$
- **Goto operation = describes transitions between parser states, which are sets of items**
  - If the item  $[X \rightarrow \alpha . Y \beta]$  is in  $I$ , then
  - $\text{Goto}(I, Y) = \text{Closure}([X \rightarrow \alpha Y . \beta])$

---

# Example Problem: LR(0) DFA

- Build the LR(0) DFA for:
  - $S \rightarrow E \$$
  - $E \rightarrow id$
  - $E \rightarrow id (E)$
  - $E \rightarrow E + id$

