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# **Recommendation Systems: Concepts, Techniques, and Applications**

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# CSE Department @ Hanyang University

Hanyang Univ.

- Hanyang University
  - Established in 1939
  - Located in Seoul, Korea
- Department of Computer Science and Engineering
  - Members
    - Around 600 undergraduate students
    - More than 250 graduate students
    - 25 faculty members: Cover all the key areas in computer science
  - Good research environment and facilities in our graduate program
  - Various scholarships by the funding from government and industry



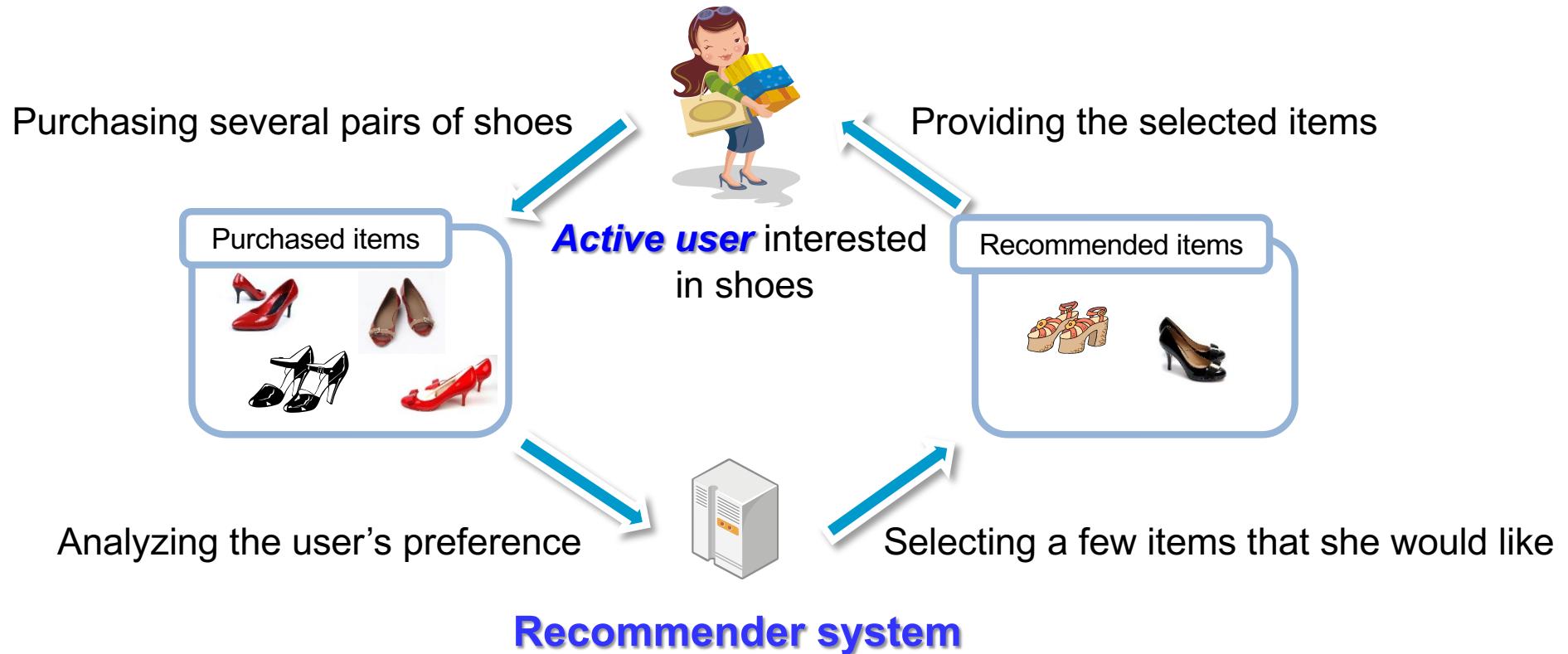
# Contents

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- **Recommendation Systems: An Overview**
  - Concepts and applications
  - Collaborative filtering (CF)
- Exploiting Uninteresting Items for Effective CF
  - Ratings setting
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- Some More Research Results
  - Recommendations
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- Conclusions

# Recommendation Systems

- Provide a user with a few items that she would like
  - Using her history of evaluating, purchasing, and browsing



Recommended for you, Thomas

|   |  |  |  |
|---|--|--|--|
|  <p>Think and Grow Rich<br/>Napoleon Hill<br/><br/>The Art of War<br/>Sun Tzu<br/><br/>The Brothers Karamazov<br/>陀思妥耶夫斯基</p> <p>Literature &amp; Fiction<br/>82 ITEMS</p> |  <p>Exercise &amp; Fitness Equipment<br/>8 ITEMS</p> |  <p>SUPERHUMAN FOCUS<br/>RICHARD BRUNER<br/>FOCUS<br/>FANTASTIC VOYAGE<br/>RAY DREWES, JOHN LARSEN<br/>TURBO FOR SUCCESS<br/>DANIEL M. COOPER</p> <p>Health, Fitness &amp; Dieting Books<br/>37 ITEMS</p> |  <p>Tableware<br/>12 ITEMS</p>  |
|  <p>CATASTROPHE<br/>An Amazon Original Series<br/><br/>Adam巴特勒, Natalie戴维斯</p> <p>Prime Video – Unlimited Streaming for Prime Members</p>                                  |  <p>Coffee, Tea &amp; Espresso<br/>96 ITEMS</p>      |  <p>MARK CUBAN<br/>BILL CLINTON<br/>STEVE JOBS</p> <p>Biographies &amp; Memoirs<br/>17 ITEMS</p>  |  <p>MONETIZING INNOVATION<br/>MARK CUBAN, WILLIAM HORNBY<br/>THE POWER OF NOW<br/>THOMAS HENRY</p> <p>Engineering Books<br/>7 ITEMS</p> |

## Your Recently Viewed Items and Featured Recommendations

Inspired by your browsing history

Page 1 of 8

|   |   |   |  |  |  |   |
|---|---|---|--|--|--|---|
|  <p>Nayoya Gymnastic Rings for Full Body Strength and Crossfit Training<br/>★★★★★ 1,274<br/>\$29.87 ✓Prime</p> |  <p>Champion Sports NCAA NFHS Certified Lacrosse Ball<br/>★★★★★ 673<br/>\$5.00 - \$11.19</p> |  <p>Rumble Roller Beastie Hook - Hand Held Massage Tool - Use With RumbleRoller Beastie...<br/>★★★★★ 13<br/>\$24.95 ✓Prime</p> |  <p>Muscle Roller Ball Set :: Massage Balls for Deep Tissue, Trigger Point &amp; Myofascial Release...<br/>★★★★★ 237<br/>\$14.97 ✓Prime</p> |  <p>Foam Roller, LuxFit Premium High Density Foam Roller - Extra Firm With 1 Year Warranty<br/>★★★★★ 1,421<br/>\$8.75 - \$24.95</p> |  <p>2 X LACROSSE BALLS FOR TRIGGER POINT MASSAGE- Fine-Toned® plus MASSAGE...<br/>★★★★★ 43<br/>\$10.75 ✓Prime</p> |  <p>The Dead Wedge - Deadlift Jack Alternative for Your Gym Bag - Raises loaded barbell &amp; plates...<br/>★★★★★ 355<br/>\$17.95 ✓Prime</p> |
|---|---|---|--|--|--|---|

<http://rejoiner.com/resources/amazon-recommendations-secret-selling-online/>



<https://www.netflix.com/>



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## Many Applications

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**NETFLIX**

**amazon**

**NAVER**

**kt**

**Google**



**Instagram**

**SK broadband**

**kakao**

**YouTube**

**facebook**

**RIDIBOOKS**

**WATCHA**

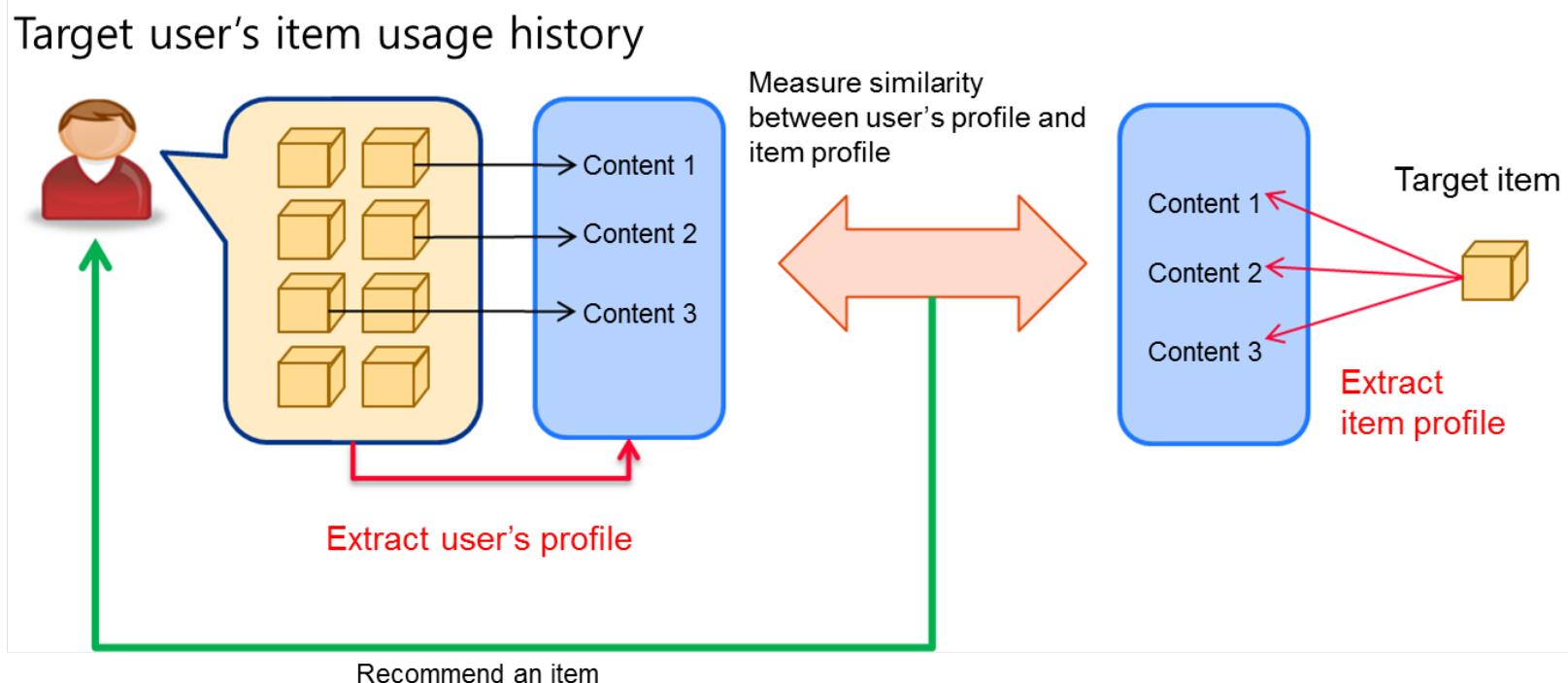


# Classification of Recommendation Systems

- Content-based approach
  - Recommending those items that **have similar contents** to those of the active user's favorite items
- **Collaborative filtering (CF) approach (our focus)**
  - Recommending items **rated high by neighbors** who have preferences similar to that of the active user
- Trust-based approach
  - Recommending items based on **trust relationships** among users
- Hybrid approach
  - Recommending items by **combining** the approaches above

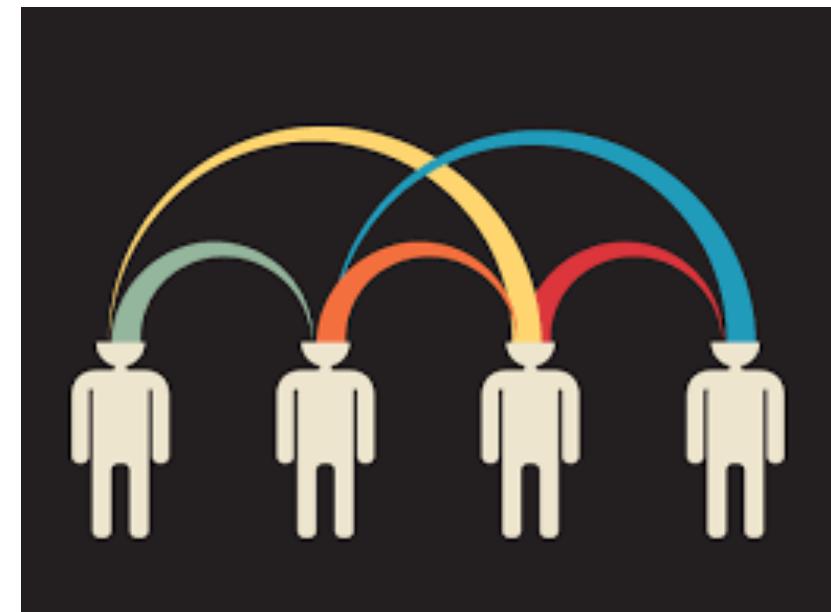
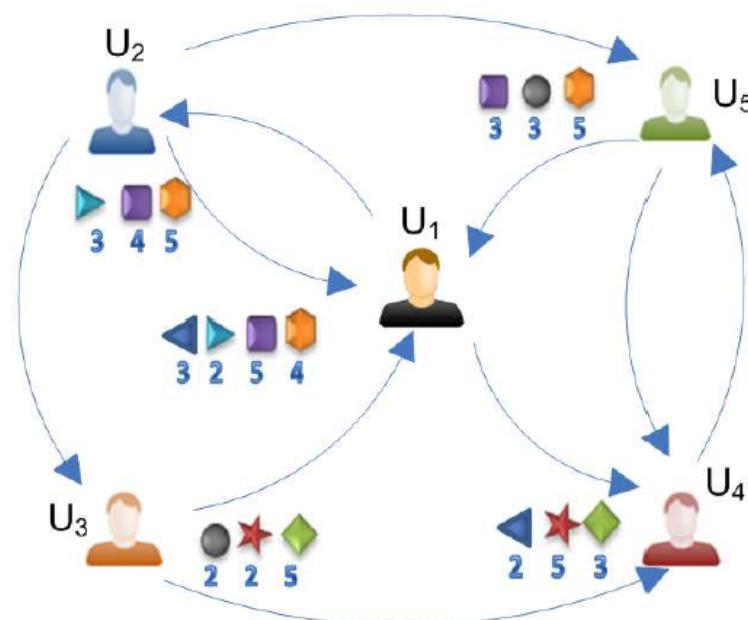
# Content-Based Approach

- Recommend such items that have contents similar to the profile of the active user



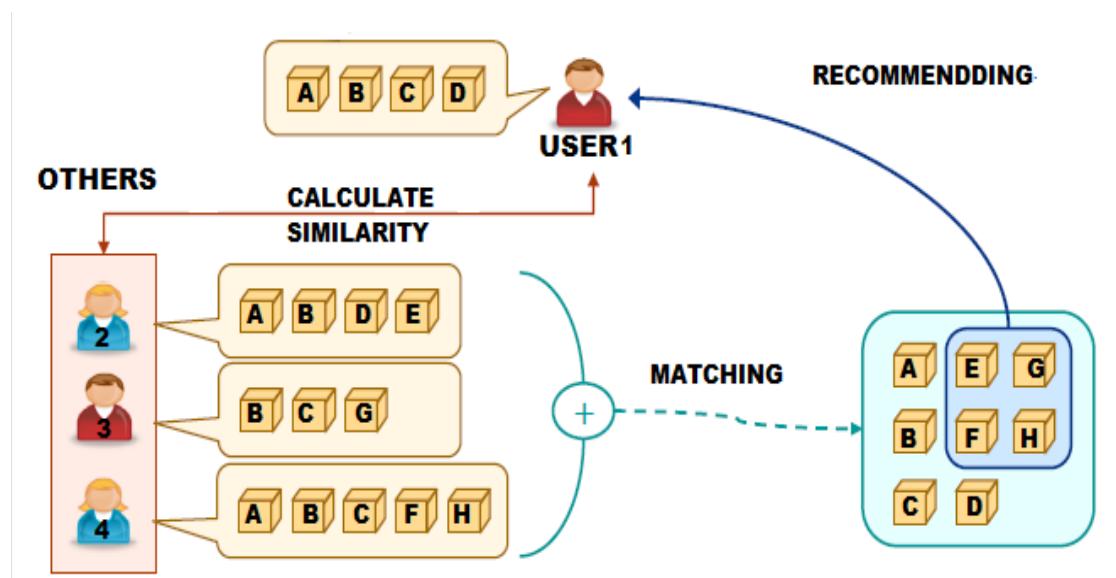
# Trust-Based Approach

- Recommend such items rated high by trustable users who have direct or indirect trust relationships with the active user



# Collaborative Filtering (CF) Approach

- Recommend such items rated high by neighbors who have preferences similar to that of the active user
  - Step 1: *Finding a group of users (neighbors)* whose preferences are similar to that of an active user  $c$
  - Step 2: *Estimating  $r_{c,s}$ , the rating of item  $s$  for active user  $c$ ,* based on the ratings given to item  $s$  by  $c$ 's neighbors
  - Step 3: Recommending *a few items with the ratings estimated high*



# Collaborative Filtering (CF)

- Data: rating matrix

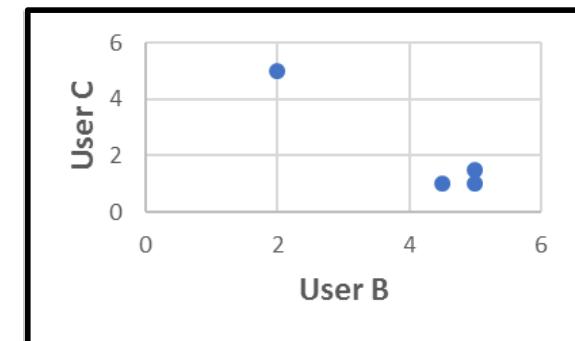
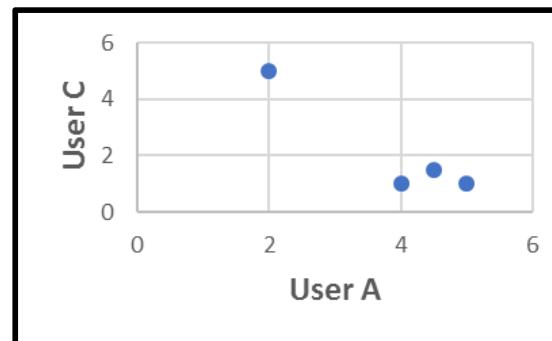
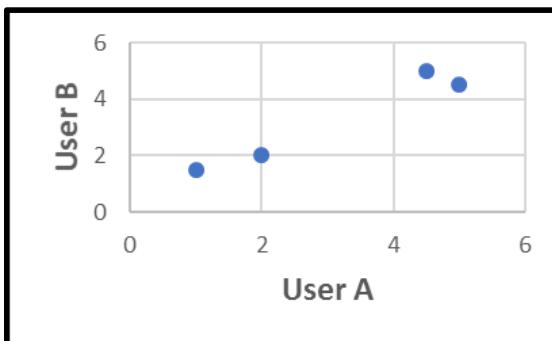
|       | Items |       |       |       |       |       |       |       |       |          |          |          |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|----------|
|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ | $i_6$ | $i_7$ | $i_8$ | $i_9$ | $i_{10}$ | $i_{11}$ | $i_{12}$ |
| $u_1$ |       | 1     |       | 1     |       | 5     | 3     |       |       | 5        |          |          |
| $u_2$ | 1     | 3     |       | 4     |       | 4     |       |       |       | 2        | 4        |          |
| $u_3$ |       |       |       |       |       |       |       |       |       |          |          | 5        |
| $u_4$ |       | 3     |       | 4     |       | 4     |       | 4     | 3     |          |          |          |
| $u_5$ |       |       | 4     |       |       |       |       |       |       |          |          |          |
| $u_6$ | 1     |       |       | 3     | 5     | 1     |       | 4     |       |          | 3        |          |

A rating on  $i_1$  given by user  $u_6$

# Heuristic-based Method in CF: Step 1

- Similarity measure for users  $a$  and  $i$  (used in finding neighbors)
  - Example: three users' ratings for six movies
    - User A = <4.0, 1.0, 4.5, 5.0, 2.0, \_>
    - User B = < \_, 1.5, 5.0, 4.5, 2.0, 5.0>
    - User C = <1.0, \_, 1.5, 1.0, 5.0, 1.0>
  - Pearson correlation coefficient (PCC)

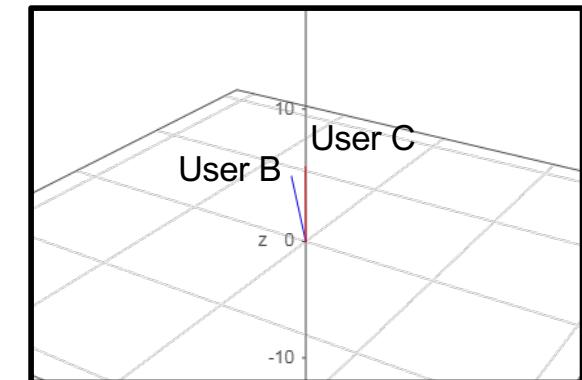
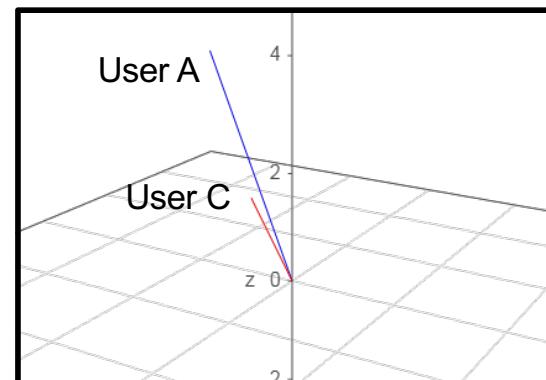
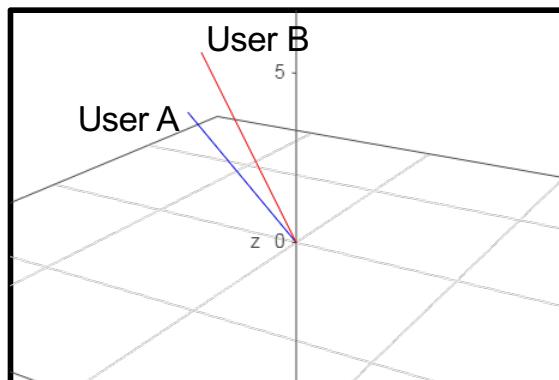
$$w(a, i) = \frac{\sum_j (v_{a,j} - \bar{v}_a)(v_{i,j} - \bar{v}_i)}{\sqrt{\sum_j (v_{a,j} - \bar{v}_a)^2 \sum_j (v_{i,j} - \bar{v}_i)^2}}$$



# Heuristic-based Method in CF: Step 1

- Similarity measure for users  $a$  and  $i$  (used in finding neighbors)
  - Example: three users' ratings for six movies
    - User A =  $\langle 4.0, 1.0, 4.5, 5.0, 2.0, \_ \rangle$
    - User B =  $\langle \_, 1.5, 5.0, 4.5, 2.0, 5.0 \rangle$
    - User C =  $\langle 1.0, \_, 1.5, 1.0, 5.0, 1.0 \rangle$
  - Cosine similarity

$$w(a, i) = \sum_j \frac{v_{a,j}}{\sqrt{\sum_{k \in I_a} v_{a,k}^2}} \frac{v_{i,j}}{\sqrt{\sum_{k \in I_i} v_{i,k}^2}}$$



## Heuristic-based Method in CF: Step 2

- Aggregation of ratings on a target item given by the neighbors

$$r_{c,s} = \underset{c' \in \hat{C}}{\text{aggr}} r_{c',s}$$

- $r_{c,s}$ : Estimated rating on item  $s$  for user  $c$
- $\hat{C}$ : Set of neighbors for user  $c$
- Different methods for aggregation

$$(a) r_{c,s} = \frac{1}{N} \sum_{c' \in \hat{C}} r_{c',s}$$

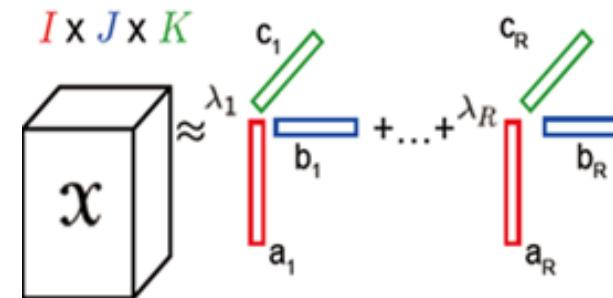
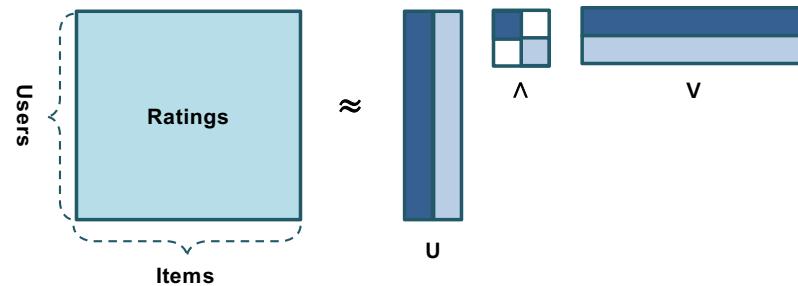
$$(b) r_{c,s} = k \sum_{c' \in \hat{C}} \text{sim}(c, c') \times r_{c',s}$$

$$(c) r_{c,s} = \bar{r}_c + k \sum_{c' \in \hat{C}} \text{sim}(c, c') \times (r_{c',s} - \bar{r}_{c'})$$

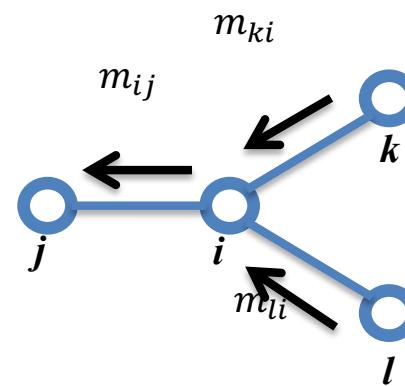
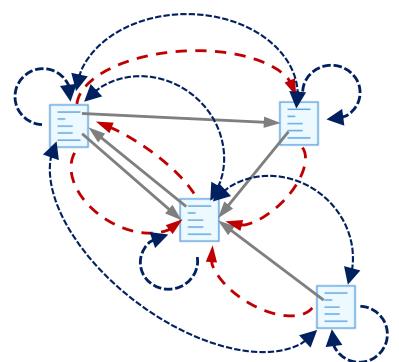
# Machine-Learning Based CF Techniques

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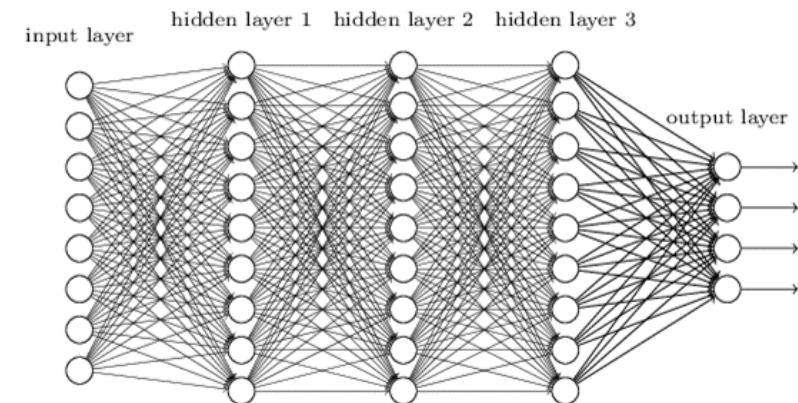
- Matrix/Tensor Factorization



- Social Network Analysis



- Deep Learning



# Matrix Factorization (MF)

$$\begin{array}{c}
 \text{User} \left[ \begin{array}{ccccc} & \text{Item} & & & \\ \hline & 5 & 2 & 4 & \cdots & 1 \\ & 4 & & 4 & \cdots & \\ & 3 & 3 & & \cdots & \\ & \vdots & \vdots & \vdots & \ddots & \vdots \\ & 5 & & & \cdots & 3 \end{array} \right] \approx \text{User} \left[ \begin{array}{c} f \\ \hline 2.7 & \cdots & 0.5 \\ 0.9 & \cdots & 0.3 \\ 0.7 & \cdots & 1.5 \\ \vdots & \ddots & \vdots \\ 1.9 & \cdots & 0.2 \end{array} \right] \times \text{Item} \left[ \begin{array}{ccccc} & \text{Item} & & & \\ \hline & 0.3 & 0.7 & 1.5 & \cdots & 1.2 \\ & \vdots & \vdots & \vdots & \ddots & \vdots \\ & 1.6 & 0.5 & 0.1 & \cdots & 2.5 \end{array} \right] V^T
 \end{array}$$

# Matrix Factorization (MF)

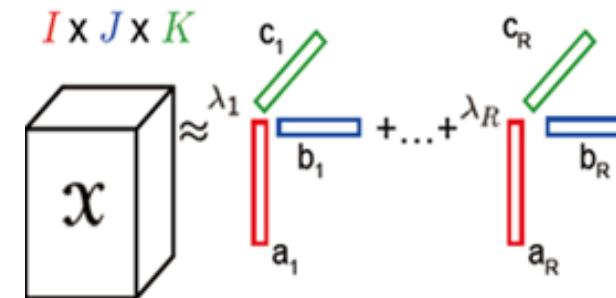
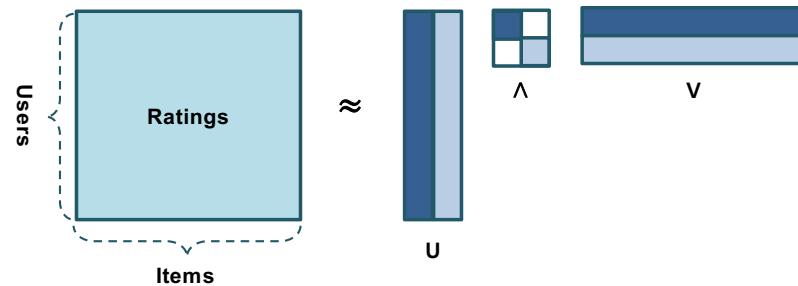
$$\begin{array}{c}
 \text{User} \\
 \left[ \begin{array}{ccc}
 & f & \\
 \hline
 2.7 & \cdots & 0.5 \\
 0.9 & \cdots & 0.3 \\
 0.7 & \cdots & 1.5 \\
 \vdots & \ddots & \vdots \\
 1.9 & \cdots & 0.2
 \end{array} \right] \times f \left[ \begin{array}{ccccc}
 & \text{Item} & \\
 \hline
 0.3 & 0.7 & 1.5 & \cdots & 1.2 \\
 \vdots & \vdots & \vdots & \ddots & \vdots \\
 1.6 & 0.5 & 0.1 & \cdots & 2.5
 \end{array} \right] = \left[ \begin{array}{ccccc}
 & \text{Item} & \\
 \hline
 4.9 & 1.5 & 3.5 & \cdots & 1.2 \\
 3.5 & 2.4 & 4.0 & \cdots & 1.9 \\
 3.0 & 3.5 & 4.5 & \cdots & 0.5 \\
 \vdots & \vdots & \vdots & \ddots & \vdots \\
 4.8 & 1.3 & 3.4 & \cdots & 3.2
 \end{array} \right]
 \end{array}$$

$U$                            $V^T$                            $\hat{R}$

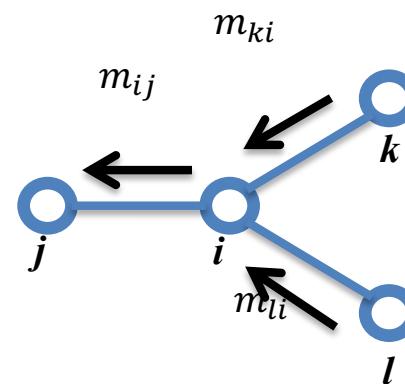
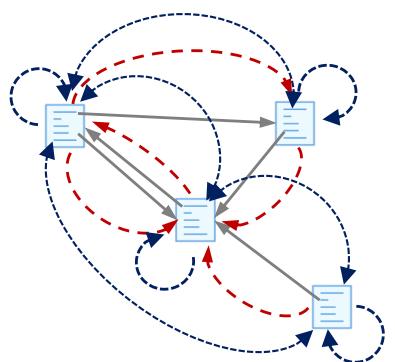
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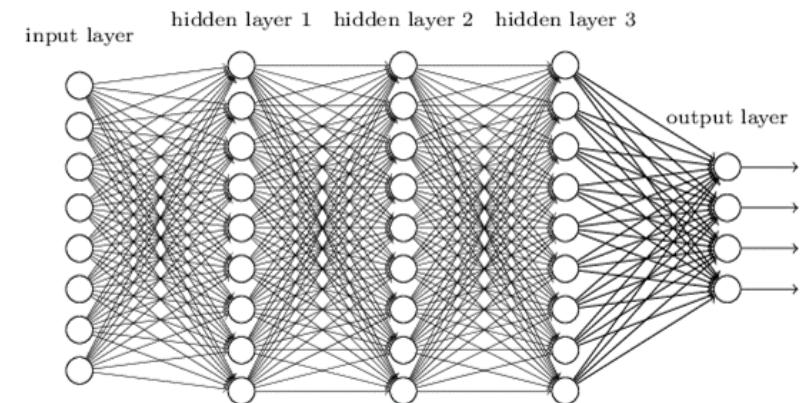
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- Social Network Analysis



- Deep Learning





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  - One-class setting
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  - Recommendations
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# **“Told You I Didn’t Like It”: Exploiting Uninteresting Items for Effective Collaborative Filtering**

## **(IEEE ICDE’16 / IEEE TKDE’19)**

# Motivation

- CF approaches focus on *only the ratings given by users*
  - Data sparsity problem: most users have evaluated *only a few items*
  - There are only a few ratings in a rating matrix (< 4%)
- CF approaches suffer from *low accuracy* and *coverage*

|       | Items |       |       |       |       |       |       |       |       |          |          |          |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|----------|
|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ | $i_6$ | $i_7$ | $i_8$ | $i_9$ | $i_{10}$ | $i_{11}$ | $i_{12}$ |
| $u_1$ |       | 1     |       | 1     |       | 5     | 3     |       |       | 5        |          |          |
| $u_2$ | 1     | 3     |       | 4     |       | 4     |       |       |       | 2        | 4        |          |
| $u_3$ |       |       |       |       |       |       |       |       |       |          |          | 5        |
| $u_4$ |       | 3     |       | 4     |       | 4     |       | 4     | 3     |          |          |          |
| $u_5$ |       |       | 4     |       |       |       |       |       |       |          |          |          |
| $u_6$ | 1     |       |       | 3     | 5     | 1     |       | 4     |       |          | 3        |          |

A rating on  $i_1$   
 given by user  $u_6$       A Rating Matrix

# Our Idea: Using Unrated Items

- Exploit uncharted unrated items
  - A fraction of rated items in a rating matrix R is extremely small ( $< 4\%$ )
  - Exploiting the vast number of “unrated” items in R can lead to a significant improvement in CF approaches

|       | Items |       |       |       |       |       |       |       |       |          |          |          |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|----------|
|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ | $i_6$ | $i_7$ | $i_8$ | $i_9$ | $i_{10}$ | $i_{11}$ | $i_{12}$ |
| $u_1$ |       | 1     |       | 1     |       | 5     | 3     |       |       | 5        |          |          |
| $u_2$ | 1     | 3     |       | 4     |       | 4     |       |       |       | 2        | 4        |          |
| $u_3$ |       |       |       |       |       |       |       |       |       |          |          | 5        |
| $u_4$ |       | 3     |       | 4     |       | 4     |       | 4     | 3     |          |          |          |
| $u_5$ |       |       | 4     |       |       |       |       |       |       |          |          |          |
| $u_6$ | 1     |       |       | 3     | 5     | 1     |       | 4     |       |          | 3        |          |

unrated items 

# Our Idea: Uninteresting Items

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- Unrated items
  - Users were not aware of their existence
    - Candidates for recommendation
  - Users knew but did not like and thus did not purchase
    - *Uninteresting items*
- Uninteresting items (of a user)
  - Items on which the user has “negative” preferences

# Users' Preferences: Two New Notions

- **Pre-use preferences**
  - User's impression on items **before** purchasing and using them
  - Determined **via** meta data of items (known before purchasing)
- **Post-use preferences**
  - User's impression on items **after** purchasing and using them
  - Determined **via** real content of items (unknown before purchasing)

# Pre-Use/Post-Use Preferences and Ratings

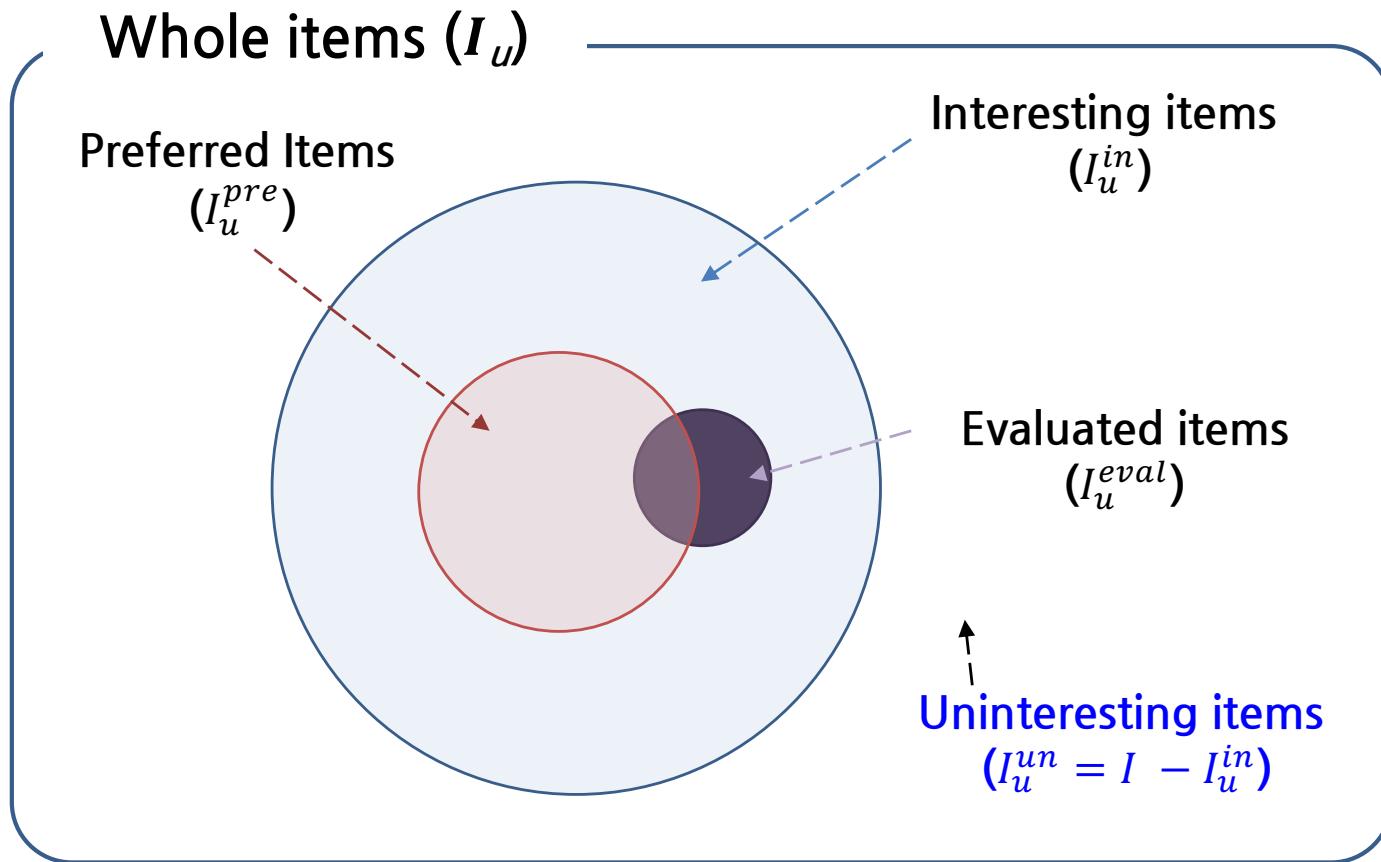
- A user has high pre-use preference for Movie #1 and Movie #2
  - The user likes Movie #1 but is disappointed at Movie #2
- A user has low pre-use preference for Movie #3

| Movie   | Pre-use preference | Post-use preference | Rating  |
|---------|--------------------|---------------------|---------|
| Movie#1 | High               | High                | 5       |
| Movie#2 | High               | Low                 | 1       |
| Movie#3 | Not high           | Unknown             | Unrated |

- In this case
  - **Uninteresting items:** Movie #3
  - Interesting items: Movie #1 (**preferred**) and Movie #2 (not preferred)

# Venn Diagram for Preferences of Items

- Entire set of items that an active user thinks





# Pre-Use Preference and Uninteresting Items

- Challenge: To identify uninteresting items among unrated items
- A user's uninteresting items
  - Her pre-use preferences on them are relatively low
- How to know a user's pre-use preferences
  - For all “rated” items: highest pre-use preferences
    - Otherwise, users would not have bought them in the first place
  - For unrated items: pre-use preferences need to be inferred
    - Based on pre-use preferences on rated items

# Exploiting Uninteresting items

- Our final goal
  - Identify top- $N$  (preferred) items
    - They are interesting items (*i.e.*, with high pre-use preferences)
    - Their post-use preferences are higher than others
- Two strategies with uninteresting items
  - Strategy 1: to exclude uninteresting items from the final recommendation list
  - Strategy 2: to exploit both uninteresting items and rated items in CF

# Zero-Injection (IEEE ICDE'16 / IEEE TKDE'19)

|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ |
|-------|-------|-------|-------|-------|
| $u_1$ | 5     | 2     | 3     |       |
| $u_2$ | 4     |       |       |       |
| $u_3$ |       | 4     |       |       |
| $u_4$ | 5     |       |       |       |

Post-Use Rating Matrix

Step 1

|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ |
|-------|-------|-------|-------|-------|
| $u_1$ | 1     | 1     | 1     |       |
| $u_2$ | 1     |       |       |       |
| $u_3$ |       | 1     |       |       |
| $u_4$ | 1     |       |       |       |

Pre-Use Preference Matrix

Step 3

Filling  $\theta\%$  missing ratings with 0 ( $\theta = 50\%$ )

Infer pre-use preference

Step 2

|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ |
|-------|-------|-------|-------|-------|
| $u_1$ | 5     | 2     | 3     | 0     |
| $u_2$ | 4     | 0     | 0     | 0     |
| $u_3$ | 0     | 4     | 0     | 0     |
| $u_4$ | 5     | 0     | 0     | 0     |

Zero-Injected Matrix

Estimating only those ratings by CF

Step 4

|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ |
|-------|-------|-------|-------|-------|
| $u_1$ | 1     | 1     | 1     | 0.1   |
| $u_2$ | 1     | 0.9   | 0.8   | 0.2   |
| $u_3$ | 0.8   | 1     | 0.4   | 0.1   |
| $u_4$ | 1     | 0.9   | 1     | 0.3   |

Pre-Use Preference Matrix

Collaborative Filterings

Heuristic-based Algorithms

User-based CF  
Item-based CF

Model-based Algorithms

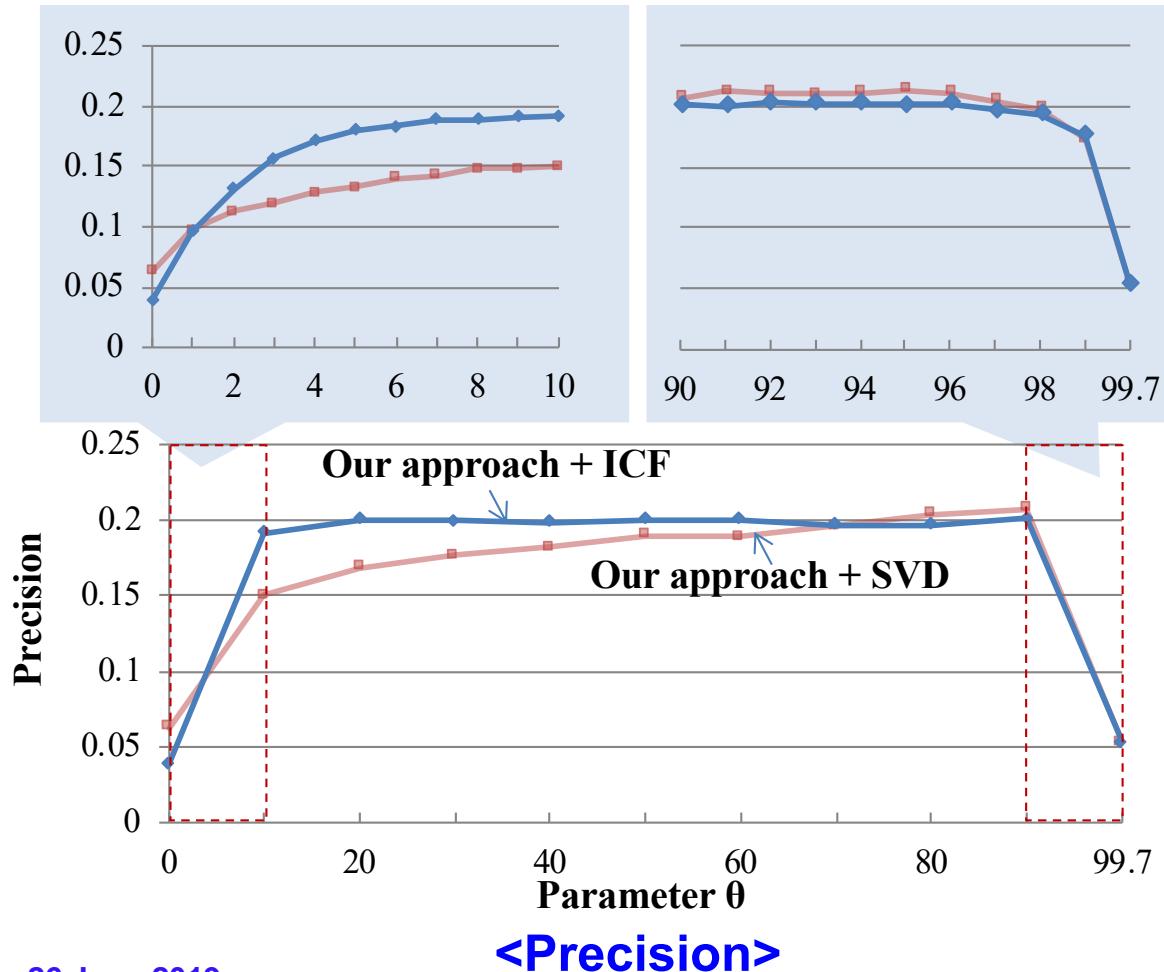
SVD-based CF  
Clustering-based CF

# Strengths of Our Approach

- Our approach could *improve the CF methods*
  - Uninteresting items are *excluded from a recommendation list*
  - A zero-injected matrix *provides richer information to CF*
    - With a *much more number of ratings* (including ratings with zero values)
- Our approach is *orthogonal to* existing CF methods
  - We *simply replace* the rating matrix  $R$  by the zero-injected matrix  $Z$
  - Business can *choose any appropriate CF methods* suiting their particular settings

# Effectiveness (IEEE ICDE'16 / IEEE TKDE'19)

- Accuracy of ICF and SVD equipped with our zero-injection under varying parameter  $\theta$



- Accuracy of four CF methods equipped with zero-injection ( $\theta = 90\%$ )

| Metric     | ICF     |       |       | SVD     |       |       | SVD++   |       |       | PureSVD |       |       |       |
|------------|---------|-------|-------|---------|-------|-------|---------|-------|-------|---------|-------|-------|-------|
|            | Orginal | Ours  | Gain  |       |
| Precision  | @5      | 0.039 | 0.201 | 413.8%  | 0.063 | 0.207 | 229.7%  | 0.076 | 0.193 | 153.3%  | 0.100 | 0.106 | 16.7% |
|            | @10     | 0.041 | 0.161 | 292.6%  | 0.056 | 0.166 | 196.9%  | 0.069 | 0.154 | 123.9%  | 0.082 | 0.089 | 19.1% |
|            | @15     | 0.040 | 0.137 | 243.7%  | 0.053 | 0.142 | 169.9%  | 0.063 | 0.134 | 112.0%  | 0.071 | 0.078 | 11.3% |
|            | @20     | 0.039 | 0.121 | 211.7%  | 0.048 | 0.125 | 159.1%  | 0.058 | 0.118 | 102.3%  | 0.063 | 0.071 | 13.7% |
| Recall     | @5      | 0.030 | 0.207 | 600.3%  | 0.052 | 0.218 | 316.0%  | 0.063 | 0.194 | 209.6%  | 0.112 | 0.120 | 16.9% |
|            | @10     | 0.059 | 0.305 | 412.7%  | 0.089 | 0.325 | 265.9%  | 0.109 | 0.288 | 163.1%  | 0.175 | 0.191 | 19.3% |
|            | @15     | 0.085 | 0.375 | 341.4%  | 0.121 | 0.394 | 226.3%  | 0.150 | 0.361 | 141.2%  | 0.220 | 0.245 | 11.4% |
|            | @20     | 0.111 | 0.428 | 285.4%  | 0.144 | 0.450 | 213.5%  | 0.184 | 0.415 | 125.3%  | 0.254 | 0.293 | 15.4% |
| nDCG       | @5      | 0.043 | 0.268 | 527.9%  | 0.076 | 0.274 | 260.7%  | 0.087 | 0.256 | 196.0%  | 0.135 | 0.143 | 16.0% |
|            | @10     | 0.053 | 0.285 | 436.0%  | 0.084 | 0.297 | 252.0%  | 0.099 | 0.272 | 175.6%  | 0.151 | 0.162 | 17.6% |
|            | @15     | 0.062 | 0.303 | 390.7%  | 0.094 | 0.315 | 234.8%  | 0.110 | 0.291 | 163.7%  | 0.164 | 0.178 | 18.9% |
|            | @20     | 0.071 | 0.319 | 351.7%  | 0.101 | 0.332 | 227.3%  | 0.121 | 0.306 | 153.5%  | 0.174 | 0.193 | 10.9% |
| <b>MRR</b> |         | 0.106 | 0.426 | 303.0%  | 0.165 | 0.428 | 159.2%  | 0.181 | 0.416 | 129.3%  | 0.262 | 0.274 | 14.7% |



---

# **How to Impute Missing Ratings? Claims, Solution, and Its Application to Collaborative Filtering (WWW'18)**

# Data Imputation

- A solution to the data sparsity problem
  - To *infer* missing ratings from existing ratings
  - To *impute* them to rating matrix  $R$
- Advantage
  - *Not to require additional information* from other sources such as trust networks and crowdsourcing

|       | Items |       |       |       |       |       |       |       |       |          |          |          |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|----------|
|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ | $i_6$ | $i_7$ | $i_8$ | $i_9$ | $i_{10}$ | $i_{11}$ | $i_{12}$ |
| $u_1$ |       | 1     |       | 1     |       | 5     | 3     |       |       | 5        |          |          |
| $u_2$ | 1     | 3     |       | 4     |       | 4     |       |       |       | 2        | 4        |          |
| $u_3$ |       |       |       |       |       |       |       |       |       |          |          | 5        |
| $u_4$ |       | 3     |       | 4     |       | 4     |       | 4     | 3     |          |          |          |
| $u_5$ |       |       | 4     |       |       |       |       |       |       |          |          |          |
| $u_6$ | 1     |       |       | 3     | 5     | 1     |       | 4     |       |          | 3        |          |

Rating matrix

# Existing Approaches for Data Imputation

- Group M
  - To infer missing ratings with *Multiple (i.e., different) values*
    - To determine the values of missing ratings *by performing CF* based on existing ratings
  - Example: AutAI [CIKM2012] and AdaM [ASONAM2013]

|       | Items |       |       |       |       |       |       |       |       |          |          |          |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|----------|
|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ | $i_6$ | $i_7$ | $i_8$ | $i_9$ | $i_{10}$ | $i_{11}$ | $i_{12}$ |
| AutAI | 3.1   | 1     | 4.2   | 1     | 1.2   | 5     | 3     | 4.3   | 5.0   | 5        | 2.8      | 4.4      |
| AdaM  | 1     | 3     | 3.9   | 4     | 4.2   | 4     | 2.6   | 4.0   | 4.2   | 2        | 4        | 2.9      |
| $u_3$ | 4.2   | 3.2   | 4.1   | 5.0   | 3.3   | 3.8   | 3.9   | 4     | 3     | 3.7      | 4.4      | 5        |
| $u_4$ | 1.1   | 3     | 3.4   | 4     | 4.8   | 4     | 4.4   | 4.4   | 2.6   | 4.7      | 3.8      | 4.2      |
| $u_5$ | 2.5   | 5.0   | 4     | 5.0   | 3.2   | 1.9   | 3.5   | 1.7   | 5     | 4.1      | 1.9      | 2.8      |
| $u_6$ | 1     | 4.2   | 4.4   | 3     | 3     | 1     | 2.6   | 4     | 4.3   | 4.2      | 3        | 5.0      |

A Rating Matrix

# Existing Approaches for Data Imputation

- Group S
  - To infer missing ratings with *a Single value*
    - To assume that missing ratings implicitly represent *a user's negative preference*
  - Example: PureSVD [RecSys2010], Zero-injection [ICDE2016], and AllRank [KDD2010]

PureSVD  
Zero-injection

| Users | Items |       |       |       |       |       |       |       |       |          |          |          |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|----------|
|       | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ | $i_6$ | $i_7$ | $i_8$ | $i_9$ | $i_{10}$ | $i_{11}$ | $i_{12}$ |
| $u_1$ | 0     | 1     | 0     | 1     | 0     | 5     | 3     | 0     | 0     | 5        | 0        | 0        |
| $u_2$ | 1     | 3     | 0     | 4     | 0     | 4     | 0     | 0     | 0     | 2        | 4        | 0        |
| $u_3$ | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 4     | 3     | 0        | 0        | 5        |
| $u_4$ | 0     | 3     | 0     | 4     | 0     | 4     | 0     | 0     | 0     | 0        | 0        | 0        |
| $u_5$ | 0     | 0     | 4     | 0     | 0     | 0     | 0     | 0     | 5     | 0        | 0        | 0        |
| $u_6$ | 1     | 0     | 0     | 3     | 3     | 1     | 0     | 4     | 0     | 0        | 3        | 0        |

A Rating Matrix

# Existing Approaches for Data Imputation

- Group S
  - To infer missing ratings with *a Single value*
    - To assume that missing ratings implicitly represent *a user's negative preference*
  - Example: PureSVD [RecSys2010], Zero-injection [ICDE2016], and AllRank [KDD2010]

Items

|         | $i_1$ | $i_2$ | $i_3$ | $i_4$ | $i_5$ | $i_6$ | $i_7$ | $i_8$ | $i_9$ | $i_{10}$ | $i_{11}$ | $i_{12}$ |   |
|---------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|----------|---|
| AllRank | $u_1$ | 2     | 1     | 2     | 1     | 2     | 5     | 3     | 2     | 2        | 5        | 2        | 2 |
| Users   | $u_2$ | 1     | 3     | 2     | 4     | 2     | 4     | 2     | 2     | 2        | 2        | 4        | 2 |
|         | $u_3$ | 2     | 2     | 2     | 2     | 2     | 2     | 2     | 4     | 3        | 2        | 2        | 5 |
|         | $u_4$ | 2     | 3     | 2     | 4     | 2     | 4     | 2     | 2     | 2        | 2        | 2        | 2 |
|         | $u_5$ | 2     | 2     | 4     | 2     | 2     | 2     | 2     | 2     | 5        | 2        | 2        | 2 |
|         | $u_6$ | 1     | 2     | 2     | 3     | 3     | 1     | 2     | 4     | 2        | 2        | 3        | 2 |

A Rating Matrix

# Our Contributions

---

- To point out the limitations of existing data imputation approaches
- To suggest three new claims to overcome these limitations
- To propose a deep-learning based data imputation approach
  - Based on our hypothesis on preferences on items
  - To satisfy all of the three claims
- To perform extensive experiments with real-world datasets
  - To validate our claims and hypothesis
  - To demonstrate the effectiveness of our proposed approach

# Missing Ratings: Our Observation

- Assumption
  - Users have time and budget constraints
- Characteristics of missing ratings
  - A *small number of interesting items* to each user
  - A *large number of uninteresting items* to each user



## Our Three Claims

---

- Claim 1: *most of imputed values should be a low rating*
  - C1 is to overcome the limitation of group M (AutAI, AdaM)
  - Despite being mostly uninteresting items, group M infers most of missing ratings as high

# Validation of Claim 1 (C1)

- Claim 1: *most of imputed values should be a low rating*

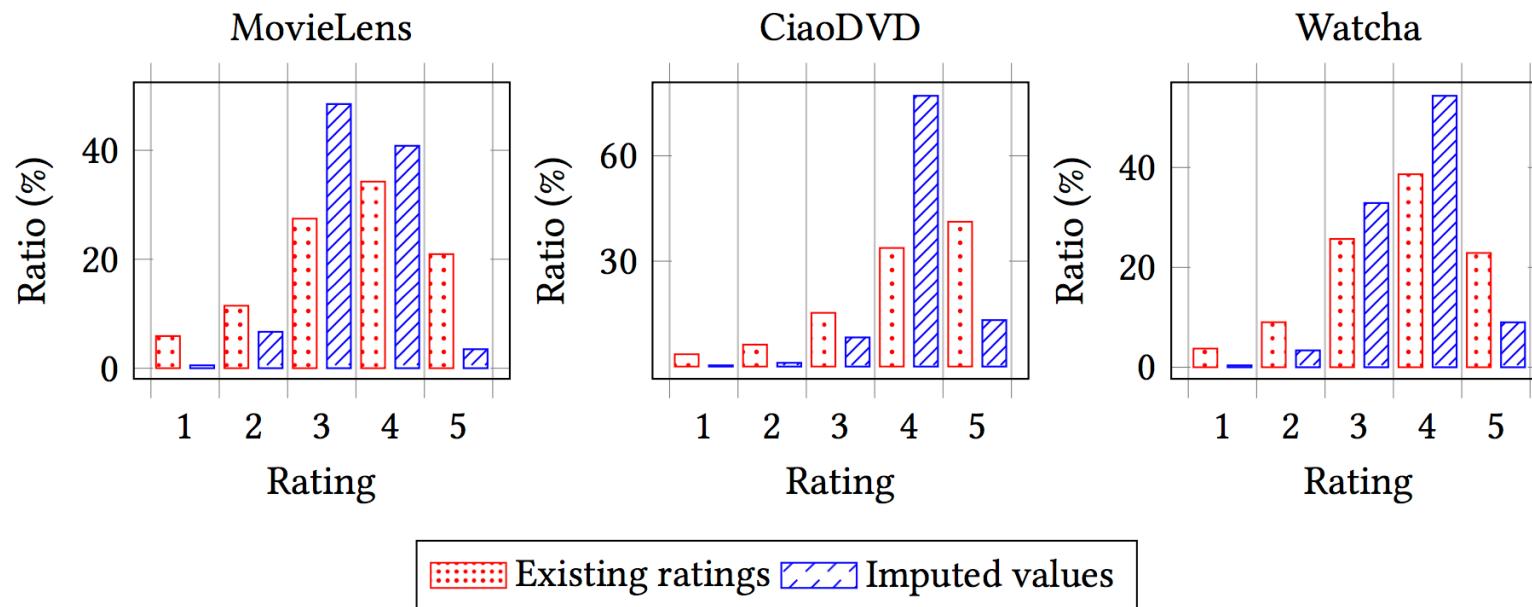


Figure 1: Distribution of existing ratings and imputed values in the three real-world datasets.

- Imputed values are *mostly higher than or equal to 3*

# Validation of Claim 1 (C1)

- Claim 1: *most of imputed values should be a low rating*

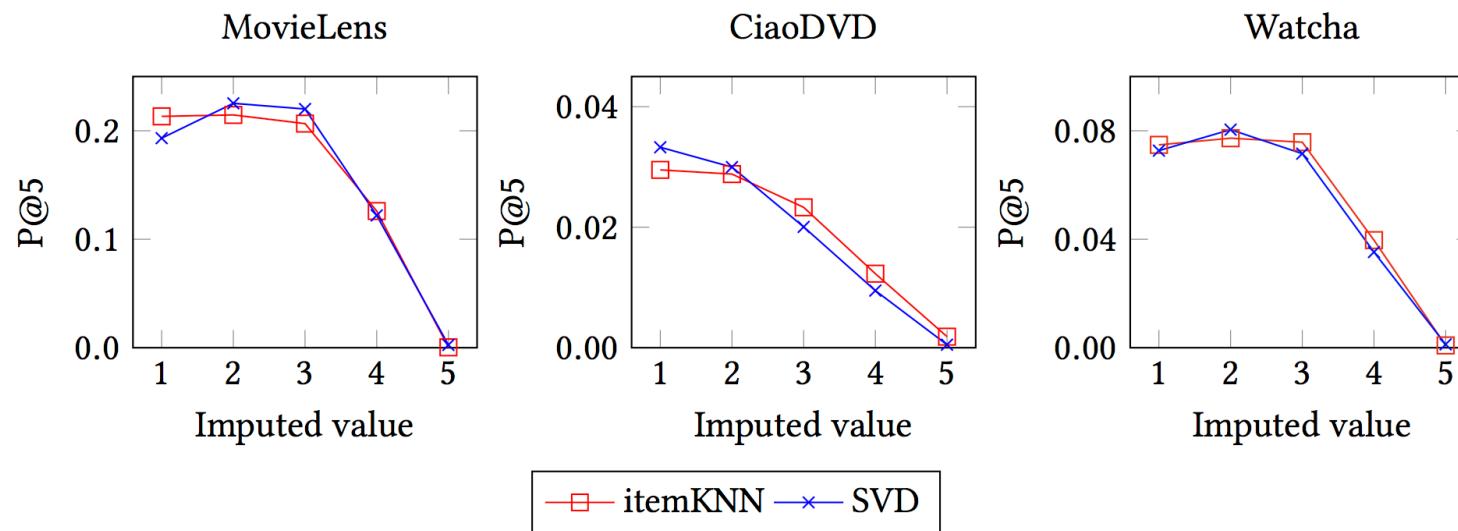


Figure 2: The accuracy with different imputed values of interesting items in the three real-world datasets.

- The accuracy of recommendations is high only when imputed values are *low ratings* (*i.e.*, 1 and 2)

# Validation of Claim 1 (C1)

- Claim 1: *most of imputed values should be a low rating*

**Table 2: Comparison of group M and group S (MovieLens)**

| Metrics   | AdaM (group M) | Zero-injection (group S) |
|-----------|----------------|--------------------------|
| $P@5$     | 0.051          | <b>0.207</b>             |
| $P@10$    | 0.046          | <b>0.166</b>             |
| $P@20$    | 0.042          | <b>0.125</b>             |
| $R@5$     | 0.043          | <b>0.218</b>             |
| $R@10$    | 0.076          | <b>0.325</b>             |
| $R@20$    | 0.132          | <b>0.450</b>             |
| $nDCG@5$  | 0.058          | <b>0.274</b>             |
| $nDCG@10$ | 0.066          | <b>0.297</b>             |
| $nDCG@20$ | 0.085          | <b>0.332</b>             |

- The accuracy of group M imputing high values is much lower than that of group S imputing low values

# Our Three Claims

---

- Claim 1: *most of imputed values should be a low rating*
  - C1 is to overcome the limitation of group M (AutAI, AdaM)
  - Despite being mostly uninteresting items, group M infers most of missing ratings as high
- Claim 2: *the imputed values of interesting items should be higher than those of uninteresting items*
  - C2 is to overcome the first limitation of group S (Zero-injection, PureSVD, AllRank)
  - Group S ignores a small number of interesting items

## Validation of Claim 2 (C2)

- Claim 2: *the imputed values of interesting items should be higher than those of uninteresting items*

**Table 3: The accuracy with respect to different imputed values of interesting items (MovieLens)**

| Metrics   | AllRank ( $I_{un} = 2$ ) |              |            |              |              | Metrics   | pureSVD ( $I_{un} = 0$ ) |              |            |              |              |
|-----------|--------------------------|--------------|------------|--------------|--------------|-----------|--------------------------|--------------|------------|--------------|--------------|
|           | $I_{un}-1.0$             | $I_{un}-0.5$ | $I_{un}+0$ | $I_{un}+0.5$ | $I_{un}+1.0$ |           | $I_{un}-1.0$             | $I_{un}-0.5$ | $I_{un}+0$ | $I_{un}+0.5$ | $I_{un}+1.0$ |
| $P@5$     | 0.081                    | 0.128        | 0.184      | <b>0.207</b> | <b>0.207</b> | $P@5$     | 0.113                    | 0.146        | 0.161      | <b>0.173</b> | <b>0.181</b> |
| $P@10$    | 0.063                    | 0.100        | 0.147      | <b>0.164</b> | <b>0.164</b> | $P@10$    | 0.087                    | 0.115        | 0.128      | <b>0.138</b> | <b>0.147</b> |
| $P@20$    | 0.047                    | 0.075        | 0.108      | <b>0.122</b> | <b>0.123</b> | $P@20$    | 0.066                    | 0.087        | 0.099      | <b>0.106</b> | <b>0.112</b> |
| $R@5$     | 0.067                    | 0.119        | 0.195      | <b>0.216</b> | <b>0.219</b> | $R@5$     | 0.102                    | 0.142        | 0.163      | <b>0.178</b> | <b>0.189</b> |
| $R@10$    | 0.099                    | 0.174        | 0.287      | <b>0.322</b> | <b>0.324</b> | $R@10$    | 0.150                    | 0.215        | 0.246      | <b>0.268</b> | <b>0.289</b> |
| $R@20$    | 0.141                    | 0.241        | 0.397      | <b>0.446</b> | <b>0.446</b> | $R@20$    | 0.212                    | 0.302        | 0.360      | <b>0.388</b> | <b>0.415</b> |
| $nDCG@5$  | 0.103                    | 0.170        | 0.247      | <b>0.278</b> | <b>0.281</b> | $nDCG@5$  | 0.147                    | 0.190        | 0.214      | <b>0.230</b> | <b>0.242</b> |
| $nDCG@10$ | 0.104                    | 0.175        | 0.264      | <b>0.297</b> | <b>0.300</b> | $nDCG@10$ | 0.151                    | 0.202        | 0.229      | <b>0.246</b> | <b>0.263</b> |
| $nDCG@20$ | 0.114                    | 0.192        | 0.294      | <b>0.330</b> | <b>0.334</b> | $nDCG@20$ | 0.166                    | 0.225        | 0.260      | <b>0.280</b> | <b>0.298</b> |

- The accuracy increases when we *impute higher values* to interesting items than those to uninteresting items
  - Interesting items are identified by using pre-use preferences as in [ICDE2016, AAAI 2017]

## Our Three Claims

---

- Claim 1: *most of imputed values should be a low rating*
  - C1 is to overcome the limitation of group M (AutAI, AdaM)
  - Despite being mostly uninteresting items, group M infers most of missing ratings as high
- Claim 2: *the imputed values of interesting items should be higher than those of uninteresting items*
  - C2 is to overcome the first limitation of group S (Zero-injection, PureSVD, AllRank)
  - Group S ignores a small number of interesting items
- Claim 3: *the imputed values should be within the range of ratings that users can give to items*
  - C3 is to overcome the second limitation of group S (Zero-injection, PureSVD)
  - Group S gives the rating that a user is unlikely to give to an item

# Validation of Claim 3 (C3)

- Claim 3: *the imputed values should be within the range of ratings that users can give to items*

**Table 4: The accuracy with respect to different imputed values ranging from 0 to 5 (MovieLens)**

| Metrics   | Zero-injection |       |              |       |       |       | Metrics   | pureSVD |       |       |              |       |       |
|-----------|----------------|-------|--------------|-------|-------|-------|-----------|---------|-------|-------|--------------|-------|-------|
|           | 0              | 1     | 2            | 3     | 4     | 5     |           | 0       | 1     | 2     | 3            | 4     | 5     |
| $P@5$     | 0.207          | 0.224 | <b>0.234</b> | 0.224 | 0.159 | 0.031 | $P@5$     | 0.161   | 0.167 | 0.184 | <b>0.194</b> | 0.105 | 0.005 |
| $P@10$    | 0.166          | 0.175 | <b>0.182</b> | 0.176 | 0.127 | 0.03  | $P@10$    | 0.128   | 0.136 | 0.147 | <b>0.149</b> | 0.08  | 0.004 |
| $P@20$    | 0.125          | 0.130 | <b>0.134</b> | 0.131 | 0.096 | 0.03  | $P@20$    | 0.099   | 0.103 | 0.108 | <b>0.111</b> | 0.06  | 0.003 |
| $R@5$     | 0.218          | 0.235 | <b>0.244</b> | 0.230 | 0.148 | 0.029 | $R@5$     | 0.163   | 0.176 | 0.195 | <b>0.197</b> | 0.076 | 0.002 |
| $R@10$    | 0.325          | 0.337 | <b>0.347</b> | 0.330 | 0.224 | 0.058 | $R@10$    | 0.246   | 0.264 | 0.287 | <b>0.276</b> | 0.113 | 0.003 |
| $R@20$    | 0.450          | 0.464 | <b>0.468</b> | 0.453 | 0.323 | 0.124 | $R@20$    | 0.360   | 0.379 | 0.397 | <b>0.383</b> | 0.161 | 0.006 |
| $nDCG@5$  | 0.274          | 0.303 | <b>0.316</b> | 0.304 | 0.209 | 0.037 | $nDCG@5$  | 0.214   | 0.224 | 0.247 | <b>0.259</b> | 0.13  | 0.005 |
| $nDCG@10$ | 0.297          | 0.319 | <b>0.331</b> | 0.319 | 0.219 | 0.046 | $nDCG@10$ | 0.229   | 0.242 | 0.264 | <b>0.268</b> | 0.128 | 0.005 |
| $nDCG@20$ | 0.332          | 0.353 | <b>0.362</b> | 0.350 | 0.244 | 0.067 | $nDCG@20$ | 0.260   | 0.274 | 0.294 | <b>0.295</b> | 0.137 | 0.005 |

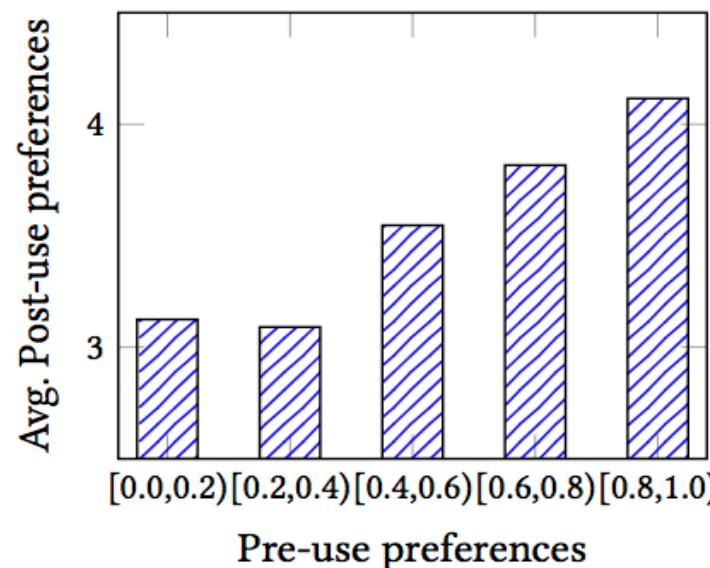
- Both Zero-injection and pureSVD show higher accuracy when *imputed values are 1, 2, and 3* rather than 0
  - This result identifies that *imputing 0 exaggerates users' negative preference* too much

# Our Hypothesis for Our Approach

- *Hypothesis: most pre-use preferences on items lead to their post-use preferences*
  - Most inherent features (i.e., real content) are tightly related to external features (i.e., meta data)
  - Example: the storyline of a movie is mostly under the influence of the director, actor, and genre's characteristics

# Validating Our Hypothesis

- *Hypothesis: most pre-use preferences on items lead to their post-use preferences*



**Figure 5:** Correlation analysis between pre-use preferences and post-use preferences (MovieLens).

- The positive correlation between the two axes
  - Especially, in the range of pre-use preferences between 0.2 and 1.0
  - Note that most items (around 98%) belong to the pre-use preferences range between 0.2 and 1.0

# Validating Our Hypothesis

Table 5: The accuracy of top-N recommendations using pre-use preferences (MovieLens)

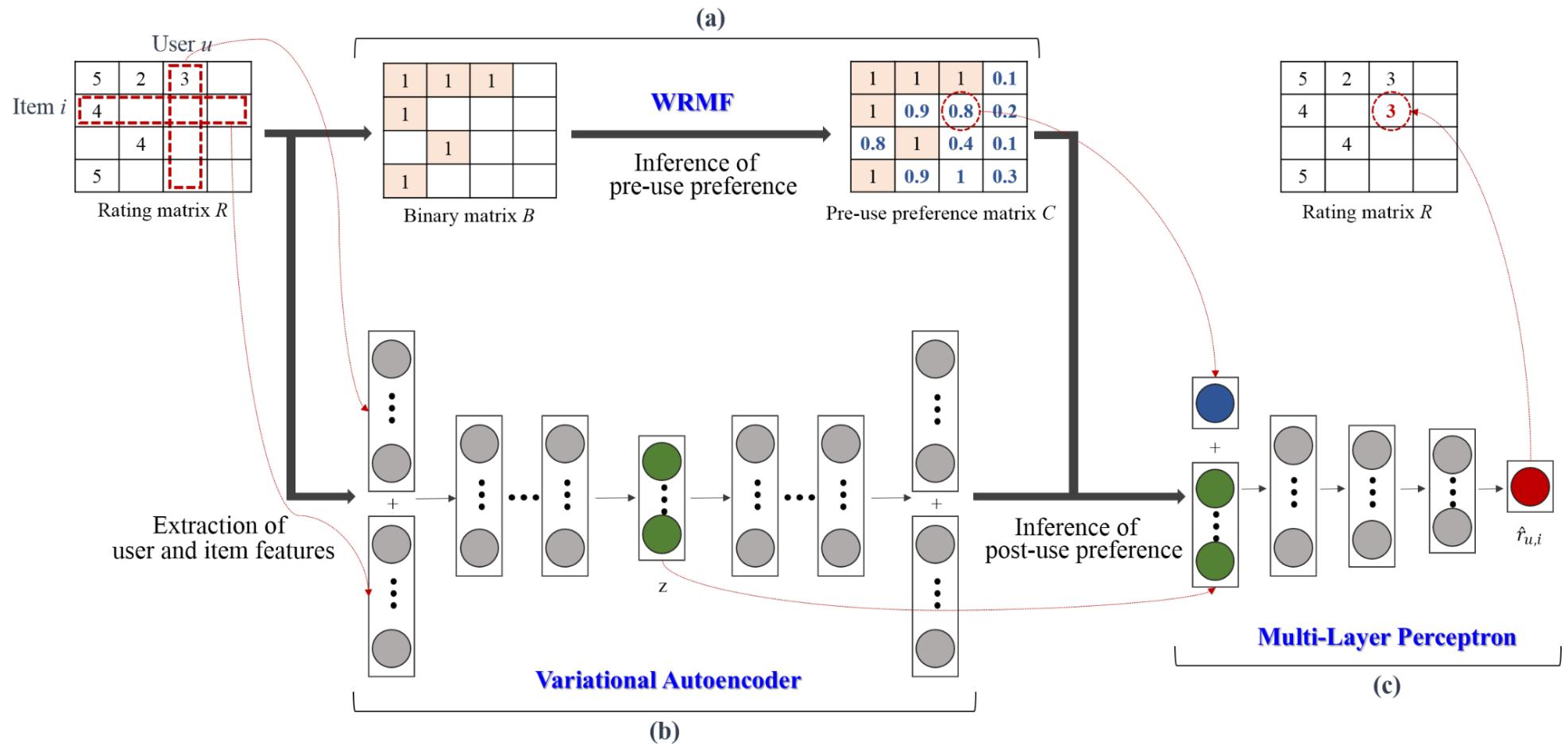
| Metrics   | itemKNN | SVD   | top- <i>N</i> pre-use preferences |
|-----------|---------|-------|-----------------------------------|
| $P@5$     | 0.039   | 0.069 | <b>0.192</b>                      |
| $P@10$    | 0.041   | 0.059 | <b>0.151</b>                      |
| $P@20$    | 0.039   | 0.048 | <b>0.115</b>                      |
| $R@5$     | 0.030   | 0.056 | <b>0.202</b>                      |
| $R@10$    | 0.059   | 0.091 | <b>0.299</b>                      |
| $R@20$    | 0.111   | 0.144 | <b>0.421</b>                      |
| $nDCG@5$  | 0.043   | 0.084 | <b>0.254</b>                      |
| $nDCG@10$ | 0.053   | 0.089 | <b>0.272</b>                      |
| $nDCG@20$ | 0.071   | 0.105 | <b>0.306</b>                      |

- The recommendation using pre-use preferences only (i.e., no further CF) achieves very high accuracy
  - *389% higher than itemKNN and 176% higher than SVD ( $P@5$ )*

# Overview of Our Approach

- Deep-learning based approach
  - Based on our hypothesis
  - To reflect the *degree* of a pre-use preference of a user on an item leading to its post-use preference *for each of a user and an item*
    - The degree of a pre-use preference of a user on an item leading to its post-use preference could be different, *depending on items and users*

# Our Approach



# Strengths of Our Approach

- *CF-agnostic*
  - Applicable to any CF methods for recommendation
- To satisfy 3 claims
  - *Most imputed values are low ratings (C1)*

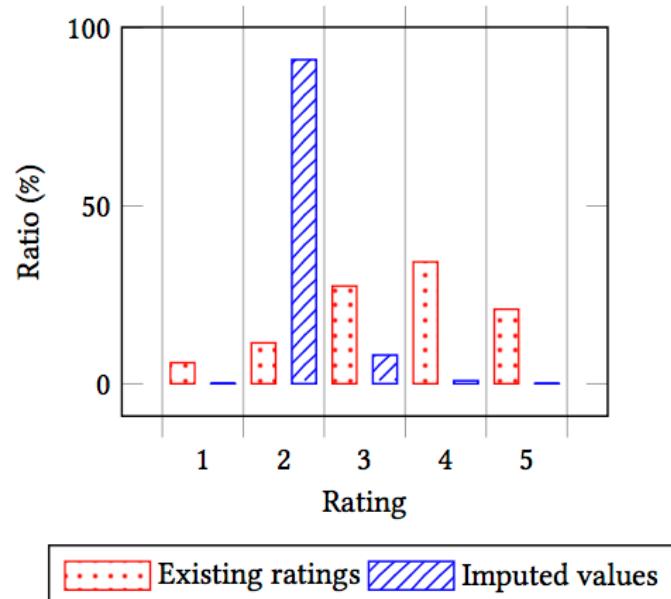


Figure 4: Distribution of imputed values inferred by our approach (MovieLens).

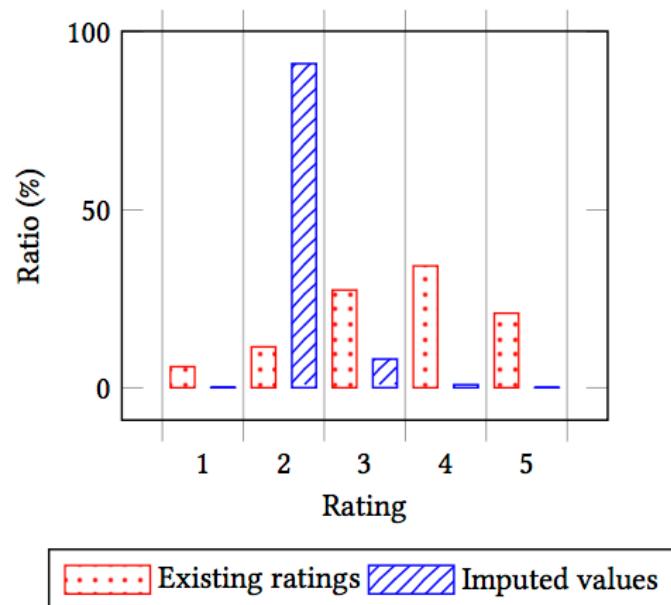
## Strengths of Our Approach

---

- To satisfy 3 claims
  - *The imputed values of interesting items should be higher than those of uninteresting items (C2)*
    - Our approach infers post-use preferences by referring to pre-use preferences for pairs of a user and an item

# Strengths of Our Approach

- To satisfy 3 claims
  - *Imputed values are in the range of ratings that any user actually can give to items (C3)*



**Figure 4: Distribution of imputed values inferred by our approach (MovieLens).**

# Comparison with State-of-the-Art Methods

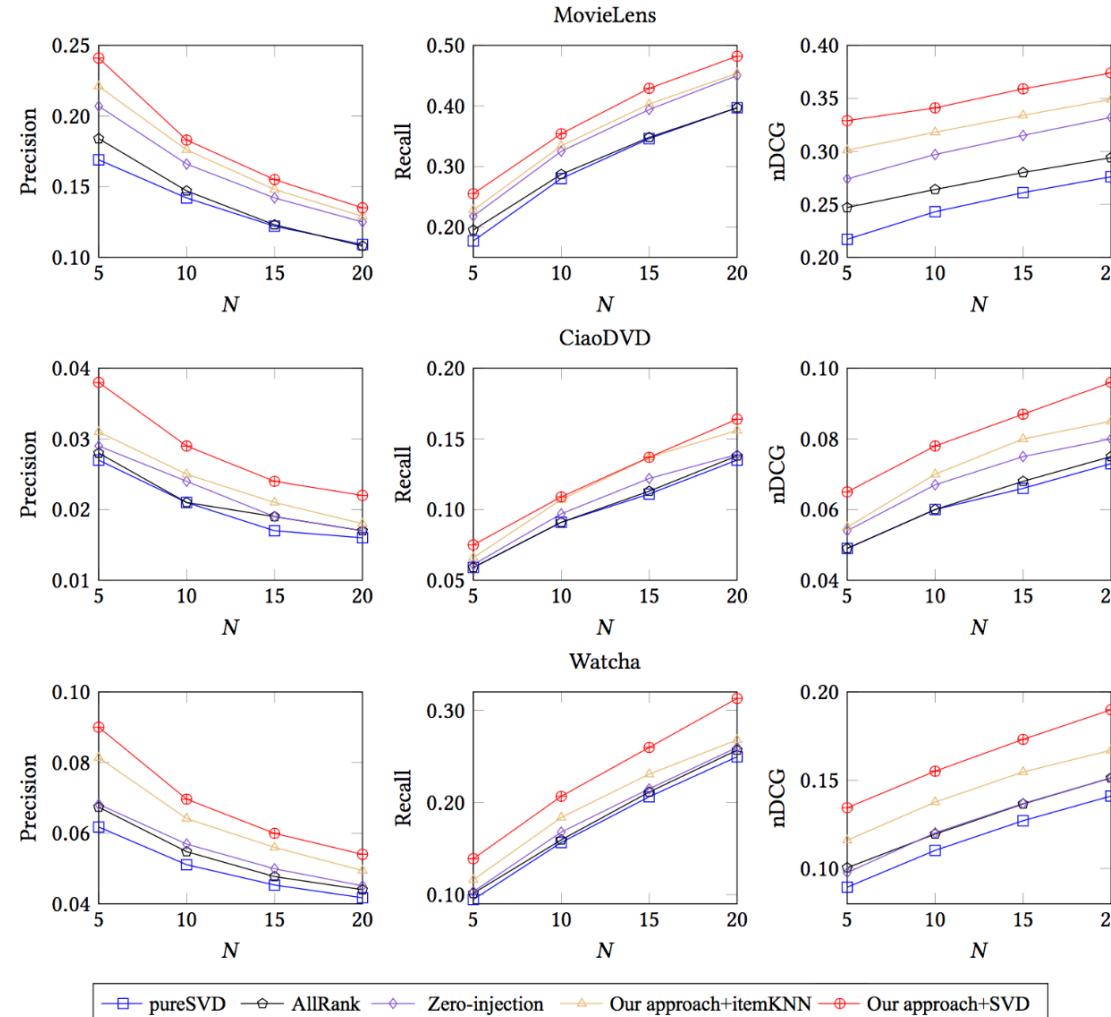
- Comparison of existing approaches and our approach

**Table 6: Comparison of existing state-of-the-art approaches and our approach**

|                | Imputed values | Imputing targets                     |
|----------------|----------------|--------------------------------------|
| pureSVD        | 0              | All missing ratings                  |
| AllRank        | 2              | All missing ratings                  |
| Zero-injection | 0              | Ratings only for uninteresting items |
| Our approach   | [1, 5]         | All missing ratings                  |

- Two variants of our approach
  - Our approach+itemKNN, Our approach+SVD
    - To demonstrate *the effectiveness of our approach independently* of CF methods

# Top-N Recommendation



**Figure 6: The accuracy of basic top-N recommendations (MovieLens, CiaoDVD, and Watcha).**

# Conclusions

---

- Summary of our contributions
  - To identify the limitations of existing data imputation approaches
  - To suggest three new claims that all data imputation approaches should follow for more accurate recommendations
  - To propose a novel deep-learning based approach, based on our hypothesis, that satisfies all of the three claims
- Results of extensive experiments with real-world datasets
  - To verify our claims and hypothesis
  - To demonstrate the superiority of our proposed approach over existing state-of-the-art approaches



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# **gOCCF: Graph-Theoretic One-Class Collaborative Filtering Based on Uninteresting Items**

## **(AAAI'18)**

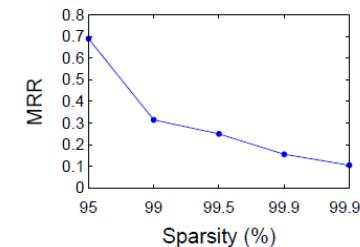
# Motivation

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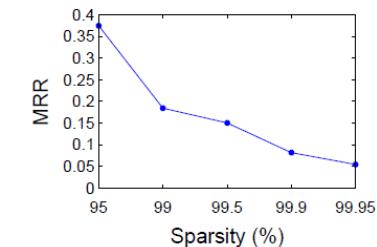
- **One-Class Collaborative Filtering (OCCF)**
  - To handle implicit feedback (*i.e.*, one-class setting, rather than ratings setting)
    - Example: Click, bookmark, and purchase
  - Challenges
    - *Less information to capture a user's taste* than in ratings setting
    - *Sparser datasets* than in ratings setting

# Motivation

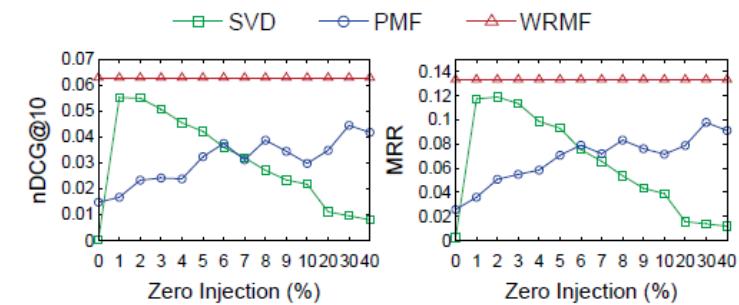
- Existing OCCF approaches
  - Less effective in dealing with sparser datasets with many unrated items
- A naïve application of zero-injection in one-class setting
  - Lower accuracy than existing OCCF approaches
  - Sensitivity to the number of uninteresting items



(a) MovieLens 100K



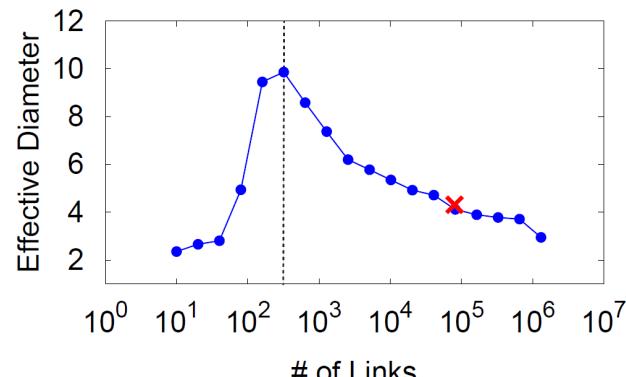
(b) Watcha



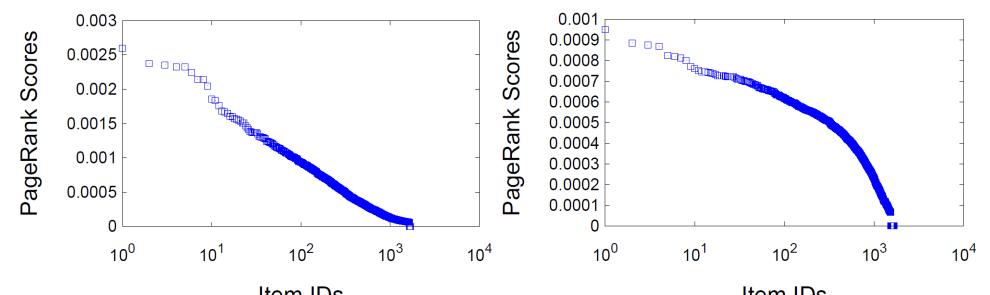
# Our Idea: Exploiting Graph Properties (AAAI'18)

Hanyang Univ.

- Challenge: To determine *a right number of uninteresting items* with the best accuracy
- Analyze properties of negative graphs constructed by user-uninteresting item pairs
  - Topological properties
    - Use *graph shattering theory*
  - Information propagation
    - Use PageRank scores



(1) ShatterPlot



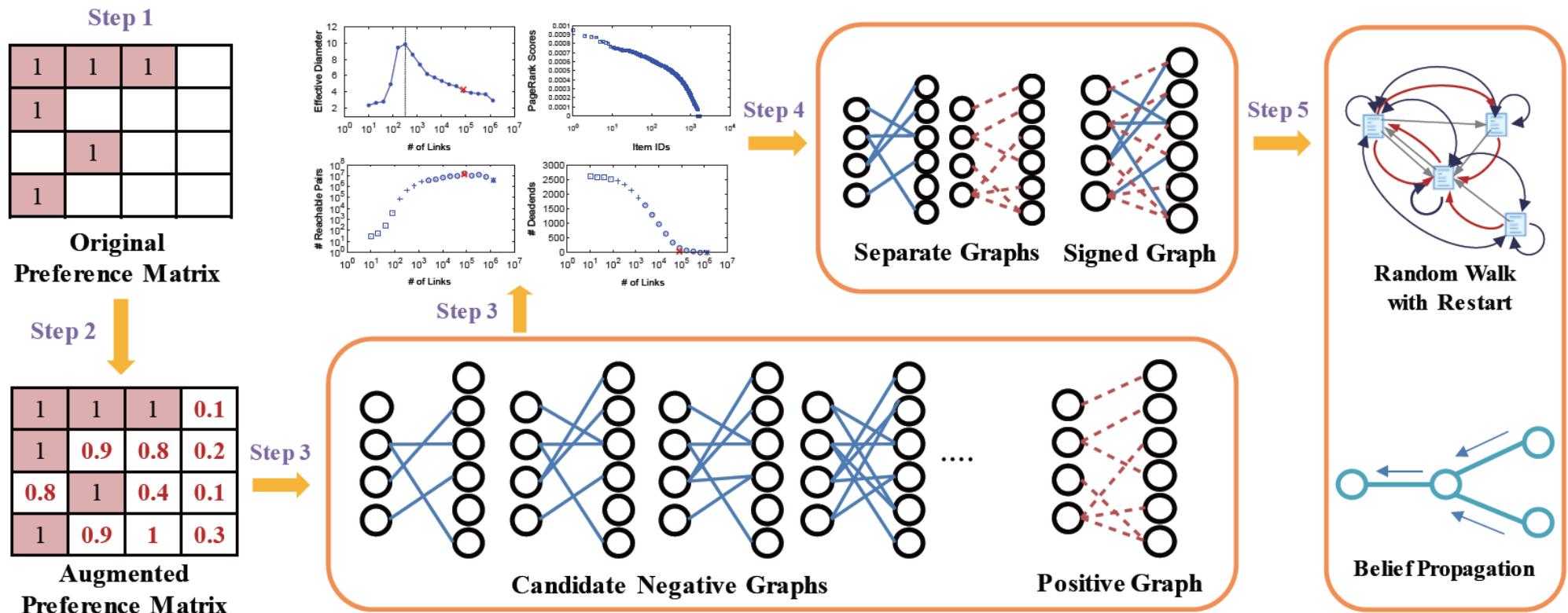
(a) Real Positive Graph

(b) Negative Graph

(2) PageRank Scores

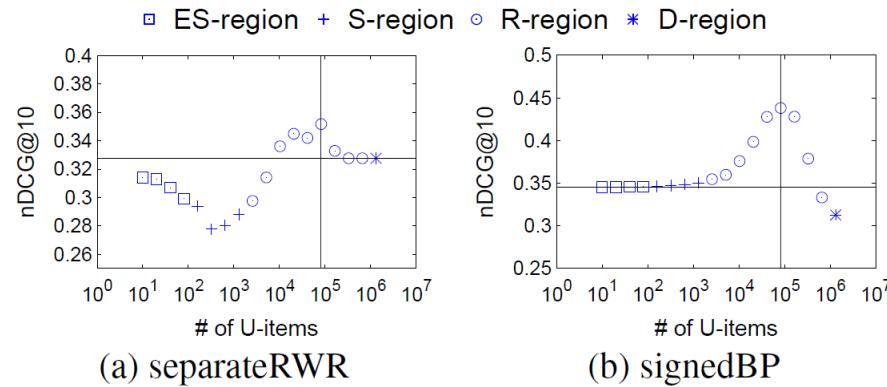
# Overview of Our Approach: gOCCF (AAAI'18)

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# Effectiveness of gOCCF (AAAI'18)

- Accuracy according to the number of uninteresting items
- Accuracy before and after exploiting uninteresting items



- Best accuracy when having the same number of negative links as that of positive links

| Metrics  | MovieLens     |               |                |
|----------|---------------|---------------|----------------|
|          | separateRWR   | separateBP    | signedBP       |
| P@10     | 0.302 (9.2%)  | 0.309 (7.2%)  | 0.370 (28.2%)  |
| R@10     | 0.171 (8.2%)  | 0.164 (10.9%) | 0.210 (42.1%)  |
| nDCG@ 10 | 0.352 (7.3%)  | 0.365 (5.7%)  | 0.438 (27.0%)  |
| MRR      | 0.584 (2.5%)  | 0.604 (1.9%)  | 0.679 (14.6%)  |
| HLU      | 43.894 (2.8%) | 47.195 (1.4%) | 55.980 (20.3%) |

|          | Watcha        |                |                |
|----------|---------------|----------------|----------------|
|          | separateRWR   | separateBP     | signedBP       |
| P@10     | 0.113 (10.8%) | 0.124 (13.2%)  | 0.151 (38.3%)  |
| R@10     | 0.107 (9.6%)  | 0.113 (13.4%)  | 0.142 (41.9%)  |
| nDCG@ 10 | 0.136 (9.9%)  | 0.152 (13.2%)  | 0.190 (41.8%)  |
| MRR      | 0.295 (7.1%)  | 0.329 (10.8%)  | 0.391 (32.0%)  |
| HLU      | 14.288 (7.7%) | 17.448 (20.4%) | 23.012 (58.8%) |

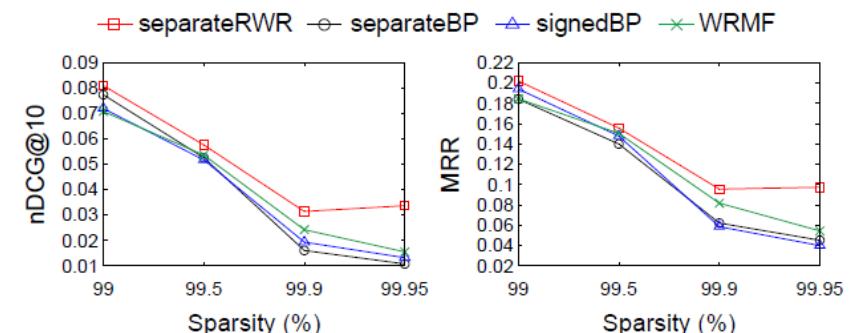
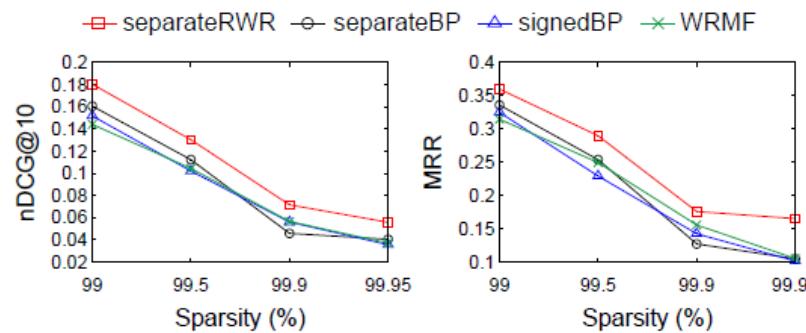
|          | CiteULike      |                |               |
|----------|----------------|----------------|---------------|
|          | separateRWR    | separateBP     | signedBP      |
| P@10     | 0.122 (21.0%)  | 0.112 (26.9%)  | 0.091 (2.9%)  |
| R@10     | 0.199 (13.5%)  | 0.162 (26.4%)  | 0.131 (2.4%)  |
| nDCG@ 10 | 0.202 (18.2%)  | 0.175 (29.9%)  | 0.138 (2.3%)  |
| MRR      | 0.326 (14.0%)  | 0.295 (21.0%)  | 0.247 (1.3%)  |
| HLU      | 21.257 (23.0%) | 19.323 (33.3%) | 14.680 (1.2%) |

# Effectiveness of gOCCF (AAAI'18)

- Accuracy of competing methods and our approach
  - CiteULike dataset

|         | MostPopular | SVD_ZI | PMF_ZI | WRMF  | BPRMF  | GBPRMF | SLIM | separateRWR   | separateBP | signedBP |
|---------|-------------|--------|--------|-------|--------|--------|------|---------------|------------|----------|
| P@10    | 0.012       | 0.043  | 0.034  | 0.045 | 0.092  | 0.049  | -    | <b>0.122</b>  | 0.112      | 0.091    |
| R@10    | 0.029       | 0.044  | 0.037  | 0.049 | 0.140  | 0.078  | -    | <b>0.199</b>  | 0.162      | 0.131    |
| nDCG@10 | 0.023       | 0.055  | 0.044  | 0.062 | 0.136  | 0.066  | -    | <b>0.202</b>  | 0.175      | 0.138    |
| MRR     | 0.050       | 0.117  | 0.073  | 0.133 | 0.240  | 0.132  | -    | <b>0.326</b>  | 0.295      | 0.247    |
| HLU     | 1.527       | 5.899  | 4.489  | 7.198 | 12.754 | 4.005  | -    | <b>21.257</b> | 19.323     | 14.680   |

- Accuracy of WRMF and our approach per sparsity
  - MovieLens 100K dataset
  - Watcha dataset



# Conclusions

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- We propose a *new concept of uninteresting items* to make more accurate CF for both *ratings and one-class settings*
- Our approach
  - To identify uninteresting items
  - To apply them to existing CF methods
  - To exclude them from the final recommendation list
- Strengths of our approach
  - *Orthogonal to* CF methods
  - *Parameter-free* for both *ratings and one-class settings*
  - *Consistently and universally improves* existing CF and OCCF methods



# Contents

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- Recommendation Systems: An Overview
  - Concepts and applications
  - Collaborative filtering (CF)
- Exploiting Uninteresting Items for Effective CF
  - Ratings setting
  - One-class setting
- **Some More Research Results**
  - Recommendations
  - Graph engines
- Conclusions

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# **No, That's Not My Feedback: TV Show Recommendation Using Watchable Interval (IEEE ICDE'19)**

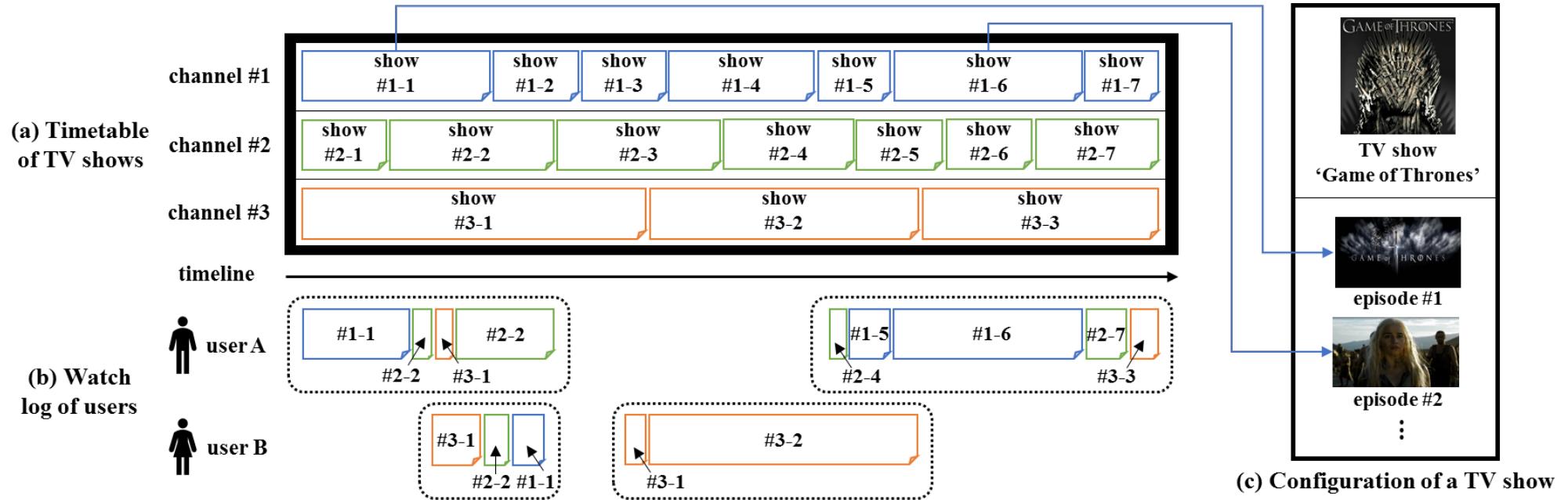
## Motivation

- A number of channels (more than 100 channels)
  - A user needs to select the TV shows that she prefers
    - e.g., changes and selects channels
  - *Time-consuming* for a user to find the TV shows which she is interested in



- Requirements of a TV show recommendation system
  - Consideration of user preferences
  - Consideration of currently broadcasting shows

# Characteristics of TV Show Data



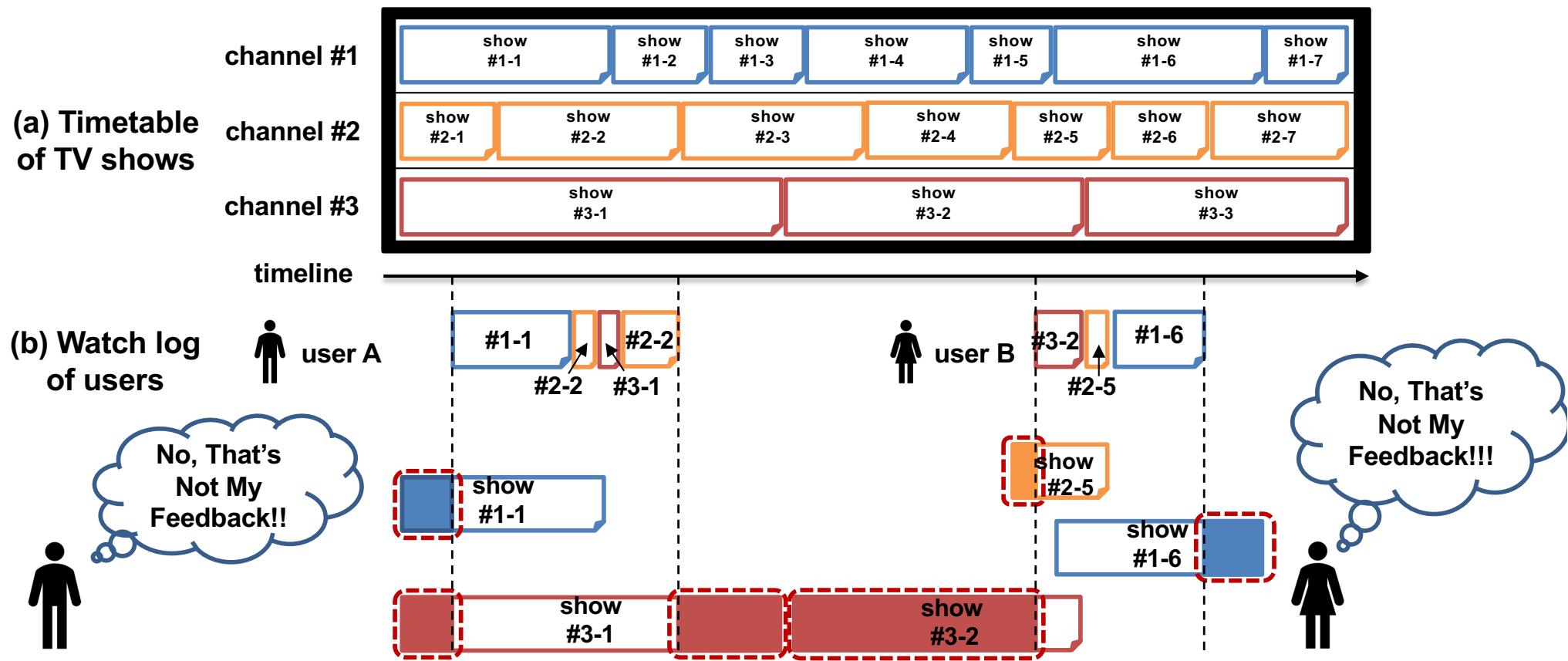
- User
  - Different watching intervals (of users) for TV
  - Different watching intervals for episodes of TV shows
    - Start at different times
    - Have watching times of different lengths

## Existing Work

- Different approaches to infer a user's preference on a TV show
  - PM (Positive, Missing) [ICDM'08] - WRMF
    - Consider whether a user *watched a TV show or not* (i.e., one-class setting)
  - ShowTime [Info. Sci.'14]
    - Consider the sum of a user's *watching times for a TV show*
  - PNM (Positive, Negative, Missing) [RecSys'11] - PMF
    - Consider the ratio of a user's *watching times to the broadcasting times of a TV show*
  - RecTime [Info. Sci.'17] – PARAFAC
    - Consider the ratio of a user's *watching times to the broadcasting times of a TV show*
    - Consider the entrance and leaving time of the user for the show

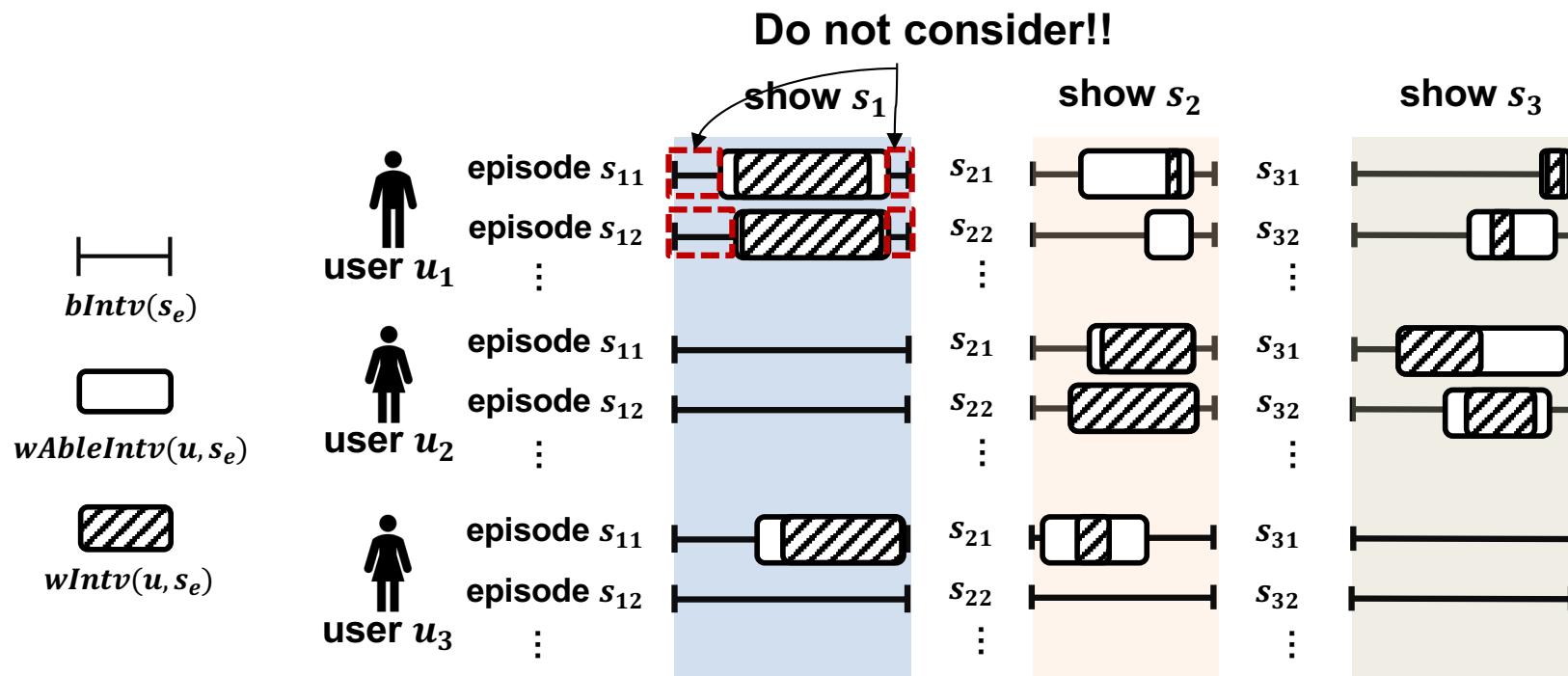
# Limitation of Existing Work

- Exploit the feedback that is not expressed by users
  - A part of broadcasting intervals for the show's episodes that a user was not able to watch

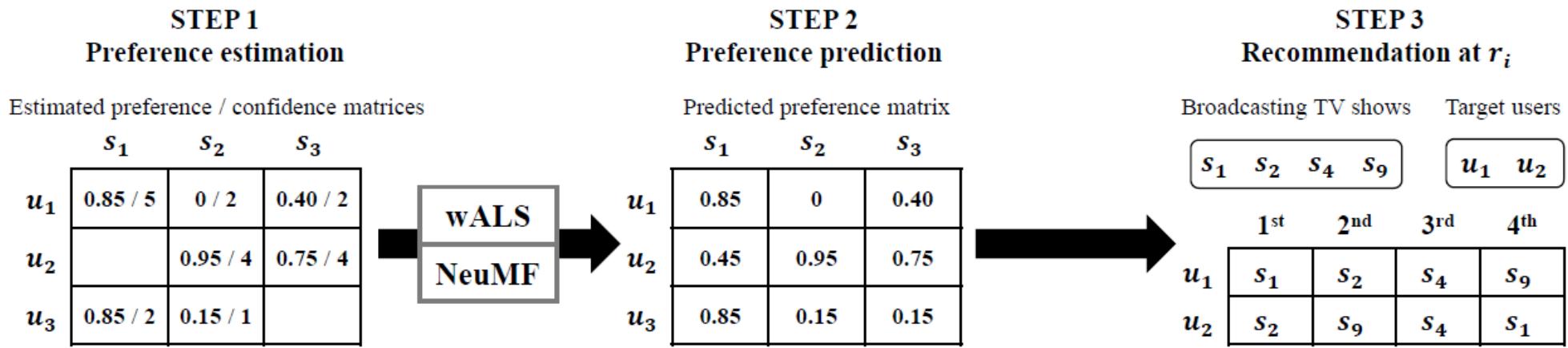


# Our Idea: Considering Watchable Interval (ICDE'19)

- Consider how long a user watched an episode of a TV show during her “*watchable interval*” on it
  - The overlapped interval between her TV watching interval and the broadcasting interval of the episode



# Overview of Proposed Framework (ICDE'19)



- Step 1: Preference estimation for watchable TV shows
- Step 2: Preference prediction for non-watchable TV shows
- Step 3: Recommendation at recommendation time

# Effectiveness of Our Framework (ICDE'19)

- Estimation accuracy for watchable TV shows

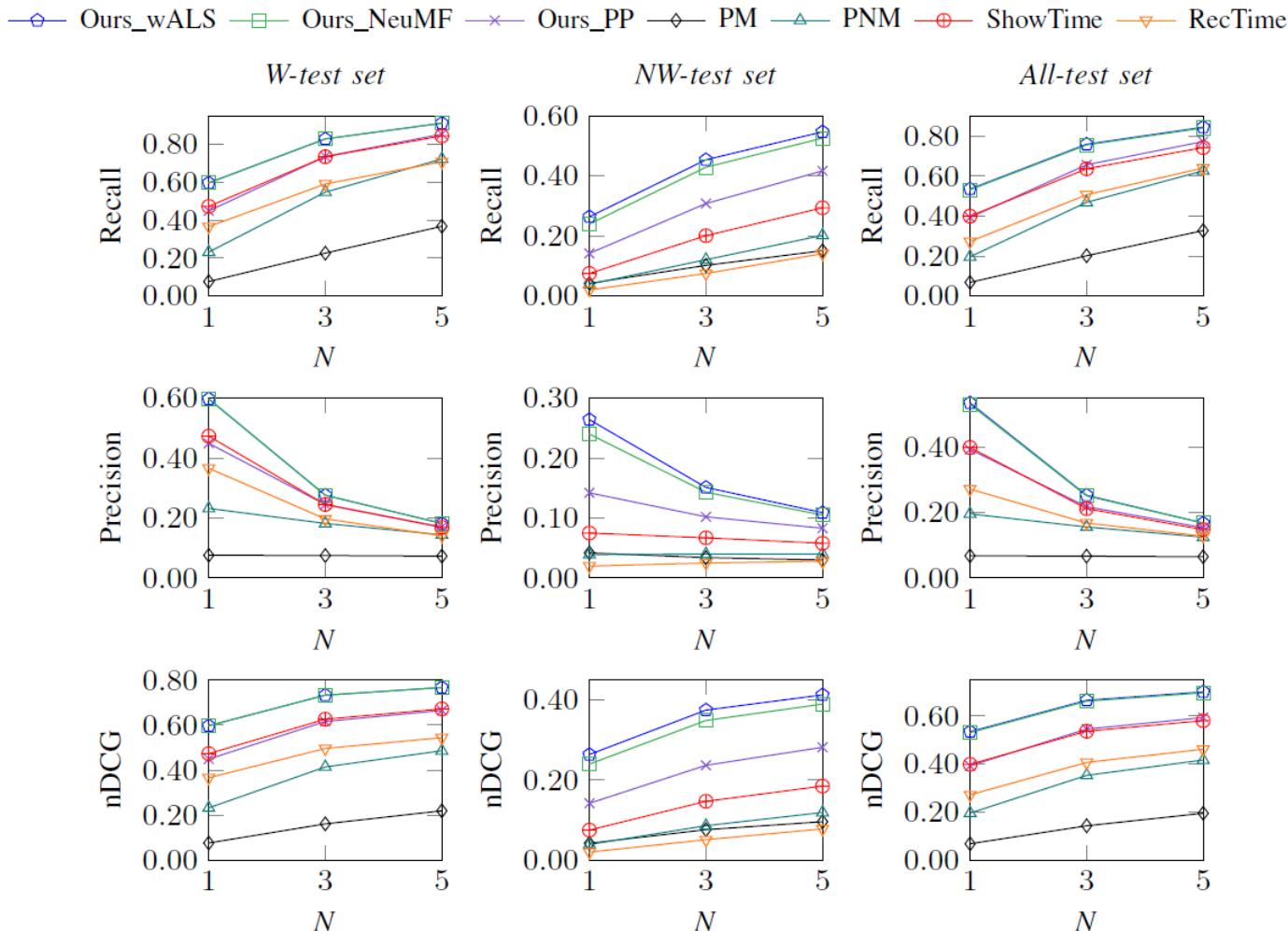
| Metrics     | PE           | PM    | PNM   | ShowTime | RecTime | Popular |
|-------------|--------------|-------|-------|----------|---------|---------|
| Recall@1    | <b>0.449</b> | 0.076 | 0.232 | 0.305    | 0.330   | 0.047   |
| Recall@5    | <b>0.854</b> | 0.368 | 0.723 | 0.740    | 0.755   | 0.363   |
| Precision@1 | <b>0.449</b> | 0.076 | 0.232 | 0.305    | 0.330   | 0.047   |
| Precision@5 | <b>0.170</b> | 0.073 | 0.144 | 0.148    | 0.151   | 0.072   |
| nDCG@1      | <b>0.449</b> | 0.076 | 0.232 | 0.305    | 0.330   | 0.047   |
| nDCG@5      | <b>0.665</b> | 0.219 | 0.486 | 0.530    | 0.550   | 0.204   |
| MRR         | <b>0.620</b> | 0.233 | 0.441 | 0.492    | 0.512   | 0.214   |

- Prediction accuracy for non-watchable TV shows

| Metrics     | PP           | PM    | PNM   | ShowTime | RecTime | Popular |
|-------------|--------------|-------|-------|----------|---------|---------|
| Recall@1    | <b>0.142</b> | 0.042 | 0.040 | 0.018    | 0.020   | 0.005   |
| Recall@5    | <b>0.417</b> | 0.151 | 0.203 | 0.138    | 0.141   | 0.096   |
| Precision@1 | <b>0.142</b> | 0.042 | 0.040 | 0.018    | 0.020   | 0.005   |
| Precision@5 | <b>0.083</b> | 0.030 | 0.040 | 0.027    | 0.028   | 0.019   |
| nDCG@1      | <b>0.142</b> | 0.042 | 0.040 | 0.018    | 0.020   | 0.005   |
| nDCG@5      | <b>0.282</b> | 0.096 | 0.120 | 0.076    | 0.078   | 0.049   |
| MRR         | <b>0.278</b> | 0.132 | 0.149 | 0.113    | 0.115   | 0.094   |

# Effectiveness of Our Framework (ICDE'19)

- Accuracy of the state-of-the-art methods and our frameworks



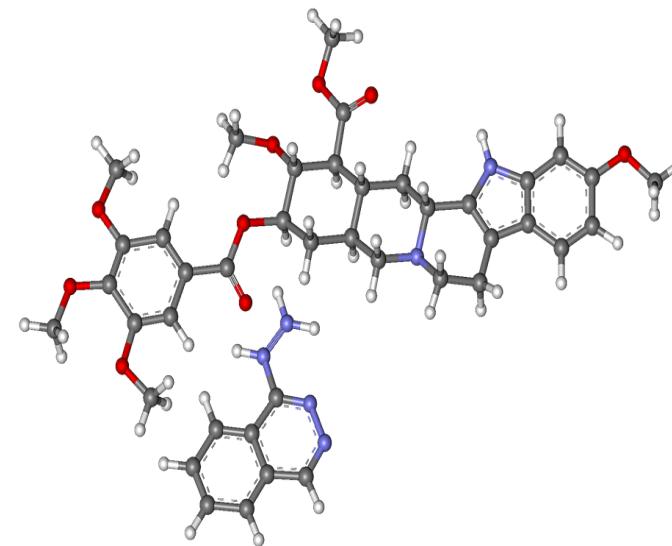
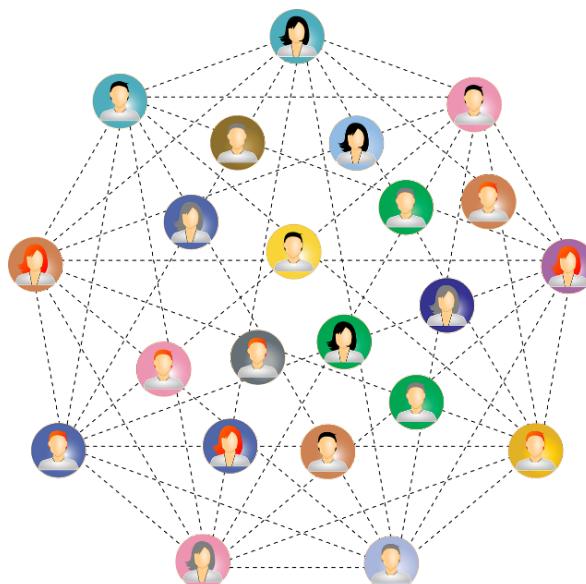
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# **RealGraph: A Graph Engine Leveraging the Power-Law Distribution of Real-World Graphs**

**(WWW'19)**

# Graph

- Data structure to represent social networks composed of objects and their relationships
- Ubiquitous big data in real-world domains
  - Web, social networks, protein, recommendation



<https://hackernoon.com/social-network-development-types-challenges-technologies-costs-1e185da3b2a9>

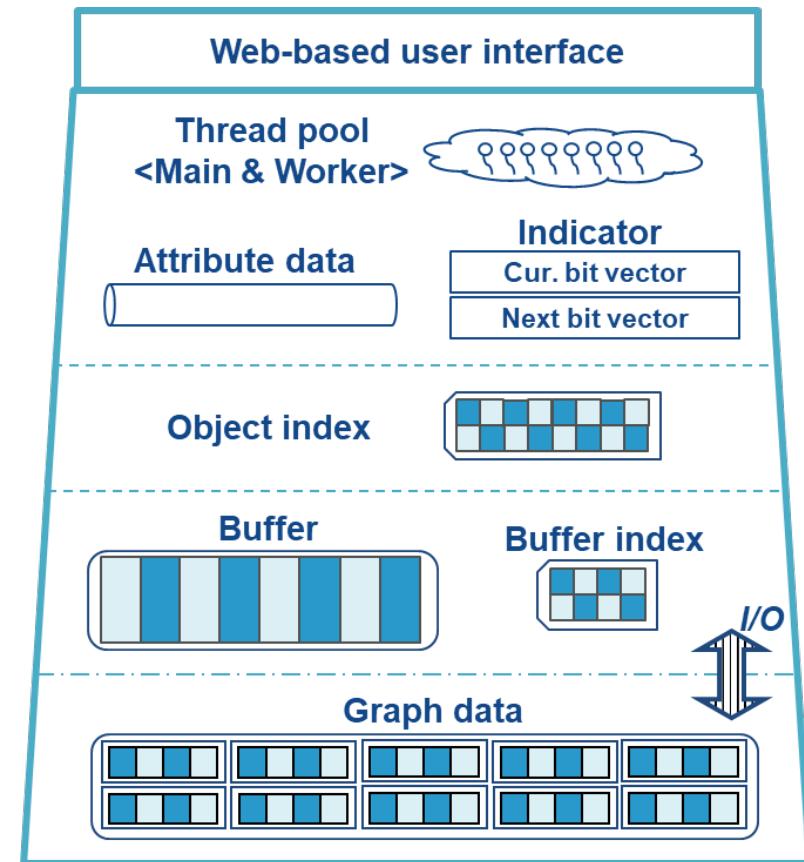
# Graph Engine

- System software for graphs
  - To help programmers to handle graphs **conveniently**
  - To process big graphs **efficiently**
- **Single-machine** based graph engines
  - Enough computing resources to store big graphs
  - No communication overhead



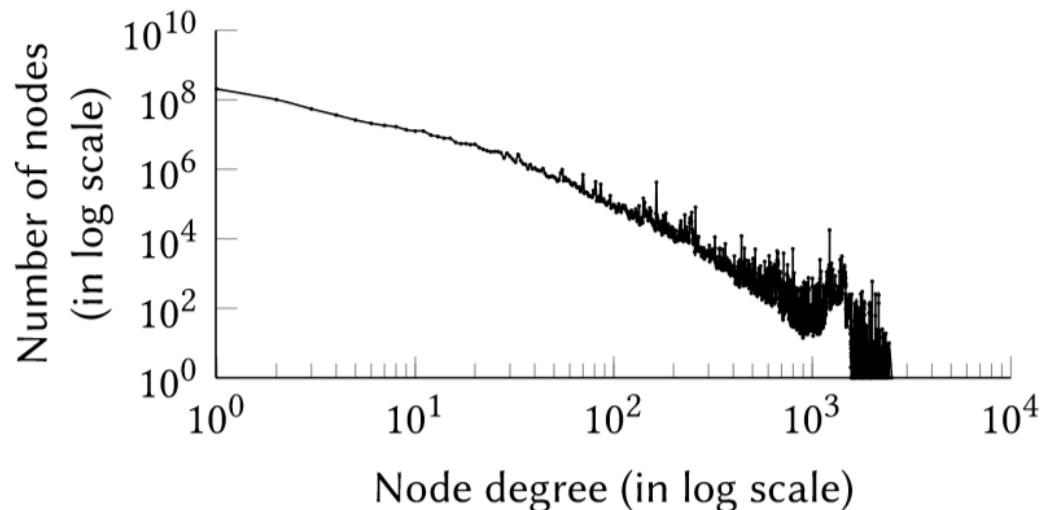
# RealGraph

- Single-machine based graph engine to efficiently handle big real-world graphs
  - Lower three layers
    - Storage, buffer, and object management layers
    - In charge of managing memory and storage space
    - Based on the philosophy of database systems
  - Thread management layer
    - In charge of managing threads to execute graph algorithms
  - Web-based user interface



# Motivation

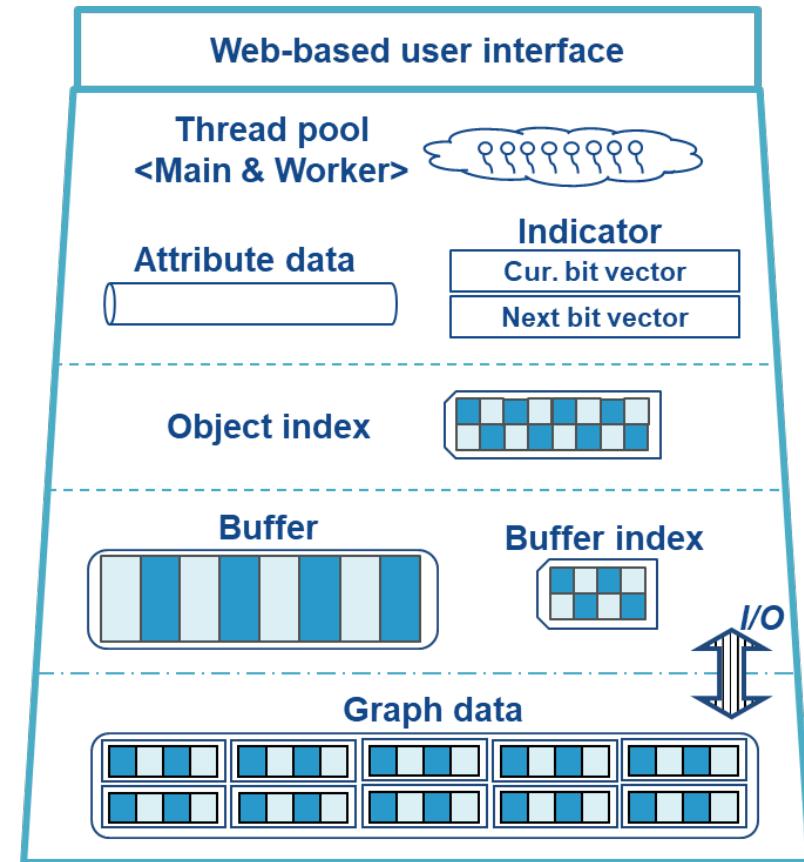
- No careful consideration of the power-law degree distribution of real-world graphs
  - A small number of hub nodes and a large number of non-hub nodes
- Three problems
  - Poor load balancing; inefficient node search; inefficient data layout



**Figure: Degree distribution of the Yahoo dataset.**

# RealGraph

- Single-machine based graph engine to efficiently handle big real-world graphs
  - Lower three layers
    - Storage, buffer, and object management layers
    - In charge of managing memory and storage space
    - Based on the philosophy of database systems
  - Thread management layer
    - In charge of managing threads to execute graph algorithms
  - Web-based user interface



# Evaluation

- Comparison with existing **distributed-system based** graph engines
  - RealGraph provides better performance in most cases
  - With much smaller computing resources

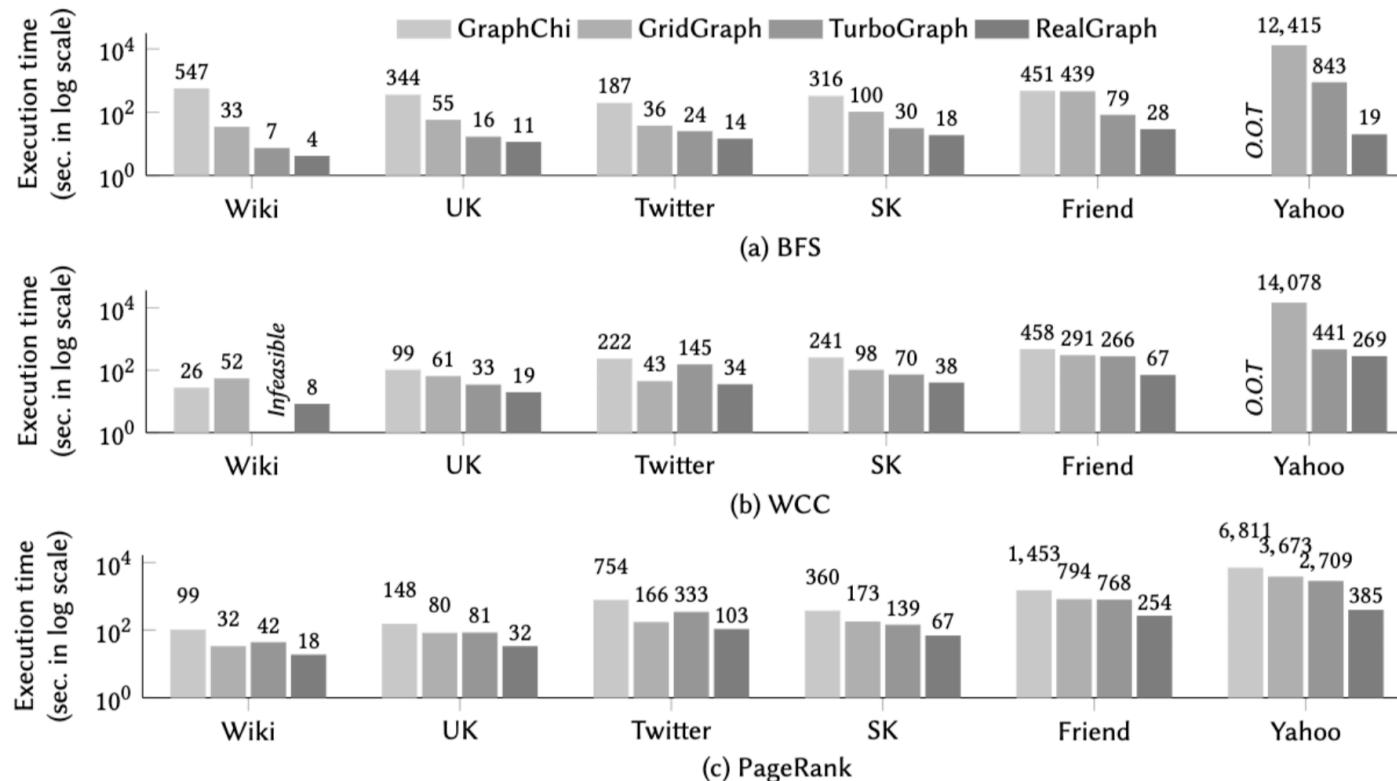
Quoted results on common algorithms on the Twitter dataset (unit: seconds)

| Graph<br>Engines | Graph<br>Algorithms |              | System Environment        |        |                |
|------------------|---------------------|--------------|---------------------------|--------|----------------|
|                  | WCC                 | Page<br>Rank | Machine                   | #Cores | Memory<br>Size |
| RealGraph        | 34                  | 94           | Single PC with Intel i7   | 4      | 16G            |
| PowerGraph       | -                   | 72           | 64 Amazon EC2 cc1.4xlarge | 8      | 23G            |
| GraphLab         | 244                 | 249          | 16 Amazon EC2 cc2.4xlarge | 8      | 68G            |
| GraphX           | 251                 | 419          | 16 Amazon EC2 cc2.4xlarge | 8      | 68G            |
| Giraph           | 200                 | 596          | 16 Amazon EC2 cc2.4xlarge | 8      | 68G            |

hyphen (-) means that the execution time is not measured

# Evaluation

- Comparison with existing **single-machine based** graph engines
  - RealGraph provides the best performance **universally**
  - RealGraph outperforms the best of existing ones by **1.8 ~ 44 times**





# Conclusions

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- Recommendation Systems: An Overview
  - Concepts and applications
  - Collaborative filtering (CF)
- Exploiting Uninteresting Items for Effective CF
  - Ratings setting
  - One-class setting
- Some More Research Results
  - Recommendations
  - Graph engines



# Data Science Lab. @ Hanyang University

- Since Mar. 2003





# Thank You !

