

ESTIMATION OF NEURAL CONNECTIONS FROM MULTIPLE SPIKE TRAINS

GRAPH STRUCTURE INFERENCE WITH NUISANCE INPUTS

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June 14, 2023

<https://noboru-murata.github.io/>

Introduction

Problem Formulation

Numerical Examples

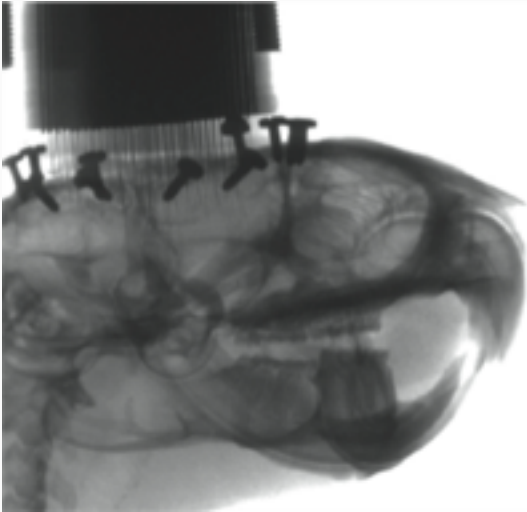
- synthetic data analysis

- real data analysis

Conclusion

INTRODUCTION

- estimating neural connections
 - understand functions of biological systems
 - investigate learning/adaptation mechanisms
- typical methods for measuring brain activities
 - fMRI (functional magnetic resonance imaging)
 - MEG (magnetoencephalography)
 - EEG (electroencephalography)
 - TPE (two-photon excitation microscopy)
 - multi-electrode recording
- different resolutions in
 - time (oxygen consumption - neuron firing)
 - space (brain mapping - synaptic connections)

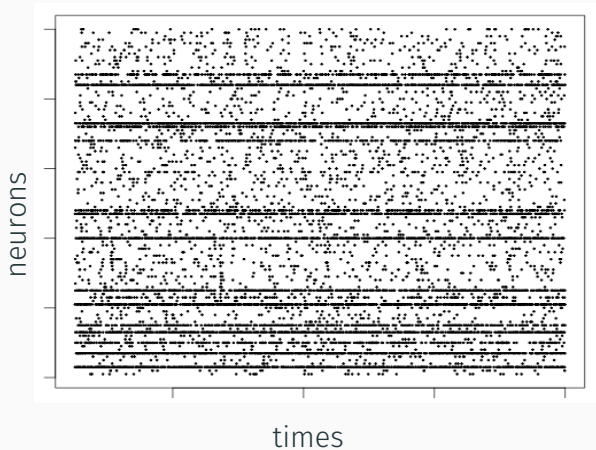


by courtesy of Dr. Tatsuno at University of Lethbridge

activities of individual neurons

- multiple neurons
(tens - hundreds)
- long term measurement
(several hours - several days)

multi-variate stochastic process



rearranged as binary sequence
indicating states of neurons

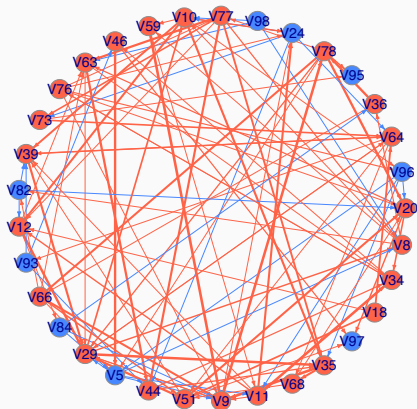
- 0: resting
- 1: firing

multi-variate binary time series
contains information of neural
interactions



mathematical representation –
directed graph

- node: neuron
- edge: synaptic connection



Objective

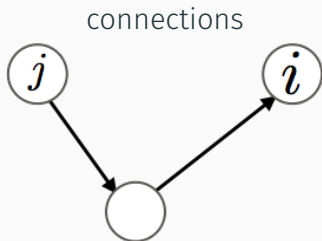
estimate weights of edges from binary
time series at nodes

typical methods for analysis

- pair-wise:
 - cross-correlation
(e.g. Wilson and McNaughton 1994)
 - joint peri-stimulus time histogram (e.g. Ito and Tsuji 2000)
- graph-based:
 - sparse inverse covariance matrix (e.g. Friedman, Hastie, and Tibshirani 2008)
 - digraph Laplacian (e.g. Noda et al. 2014)
- higher-order:
 - information geometric measure (e.g. Nakahara and Amari 2002; Tatsuno, Fellous, and Amari 2009)
 - Granger causality (e.g. Kim et al. 2011)

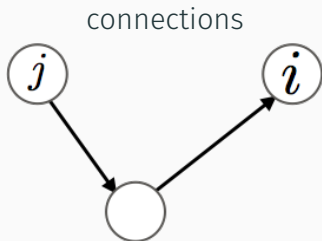
- pseudo correlation caused by higher-order effects
- influence from unobserved neurons
- directed excitatory/inhibitory connections

correlation coefficient: statistics for analyzing relation of two random variables

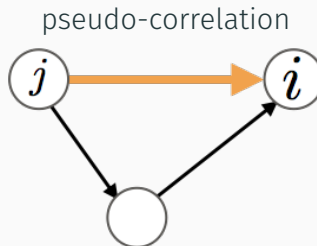


- no direct relation exists
- two nodes are connected with the same node

correlation coefficient: statistics for analyzing relation of two random variables

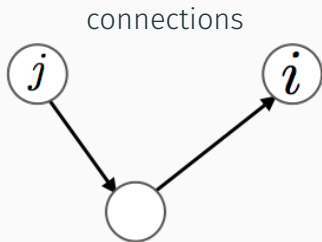


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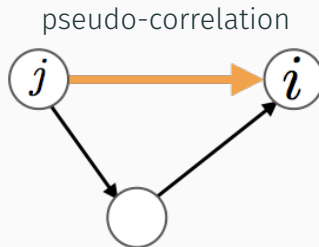


- spurious relation appears

correlation coefficient: statistics for analyzing relation of two random variables



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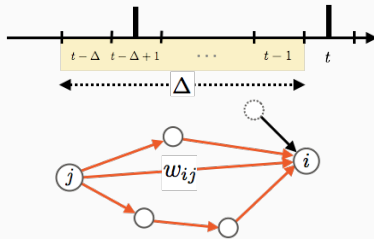


- spurious relation appears

Pseudo correlation

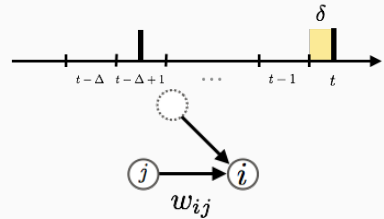
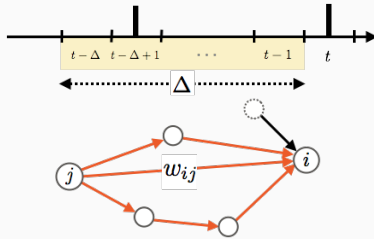
a common problem in complex network analysis

delayed correlation coefficient: statistics for analyzing time series



- appropriate intervals have to be considered
- information propagates multiple paths
- spurious relation appears

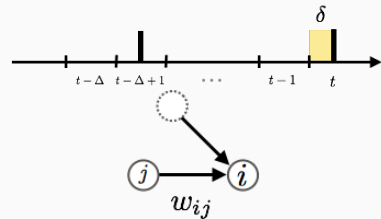
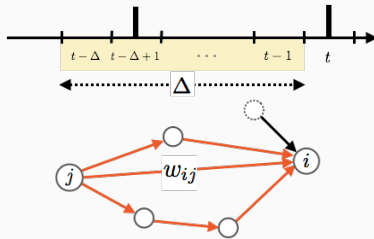
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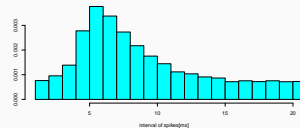
- consider short intervals?

delayed correlation coefficient: statistics for analyzing time series



- appropriate intervals have to be considered
- information propagates multiple paths
- spurious relation appears

- consider short intervals?



- spike intervals are random

a mathematical framework for treating

- pseudo correlation caused by higher-order effects
- influence from unobserved neurons
- directed excitatory/inhibitory connections

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Main contribution

solve those problems with simple mathematical tricks

PROBLEM FORMULATION

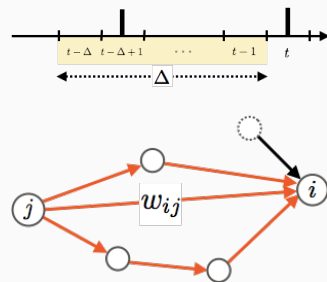
- indices
 - $i \in \{1, 2, \dots, N\}$: index of neurons
 - $t \in \{1, 2, \dots, T\}$: discrete time of measurement
 - $t_\Delta = [t - \Delta, \dots, t - 1]$:
interval for delayed correlation
- states
 - $X_i(t) \in \{0, 1\}$: state of neuron i at time t
 - $X_i[t_\Delta] \in \{0, 1\}$: state of neuron i in interval t_Δ
 - $U_i(t) \in \mathbb{R}$: internal state of neuron i at time t
- connections
 - $w_{ij} \in \mathbb{R}$: synaptic connection from neuron j to neuron i
 - $\lambda_{ij} \in \mathbb{R}$: pseudo connection from neuron j to neuron i

weighted sum of inputs from unobserved/observed neurons

$$U_i(t) = B_i(t) + \sum_{j=1}^N \lambda_{ij} X_j[t_\Delta],$$

$B_i(t)$: nuisance inputs from unobserved neurons

λ_{ij} : pseudo connection including undirect paths



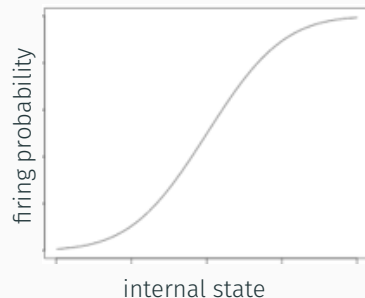
Remarks

- signal from neuron j has several paths
- λ_{ij} includes direct and undirect connections

stochastic dependency on internal state:

$$\Pr(X_i(t) = 1) = \Phi_{\sigma^2}(U_i(t)),$$

$$\Phi_{\sigma^2} : \text{cdf of } \mathcal{N}(0, \sigma^2).$$



Assumption

- we assume a probit model, where Φ_{σ^2} is the integral of

$$\phi_{\sigma^2}(z) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{z^2}{2\sigma^2}\right)$$

- internal state

$$U_i(t) = B_i(t) + \sum_{j=1}^N \lambda_{ij} X_j[t_\Delta],$$

$B_i(t)$: nuisance inputs,

λ_{ij} : pseudo connection.

- neuron firing

$$\Pr(X_i(t) = 1) = \Phi_{\sigma^2}(U_i(t)),$$

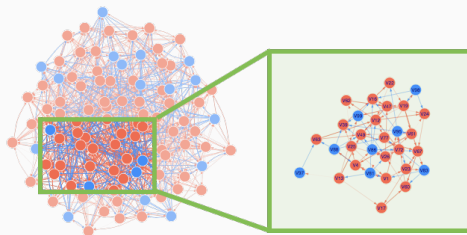
$$\phi_{\sigma^2}(z) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{z^2}{2\sigma^2}\right),$$

Φ_{σ^2} : cdf of $\mathcal{N}(0, \sigma^2)$, integral of ϕ_{σ^2} .

First step

- remove nuisance input B and estimate pseudo connection λ

$$U_i(t) = B_i(t) + \sum_{j=1}^N \lambda_{ij} X_j[t_{\Delta}].$$



Theorem

Let X and Y be independent random variables. For any function g , we have

$$\mathbb{E}[g(X + Y)] = \mathbb{E}[h(X + \mathbb{E}[Y])],$$

where f_Y is the pdf of Y and

$$f_Y^-(x) = f_Y(\mathbb{E}[Y] - x),$$

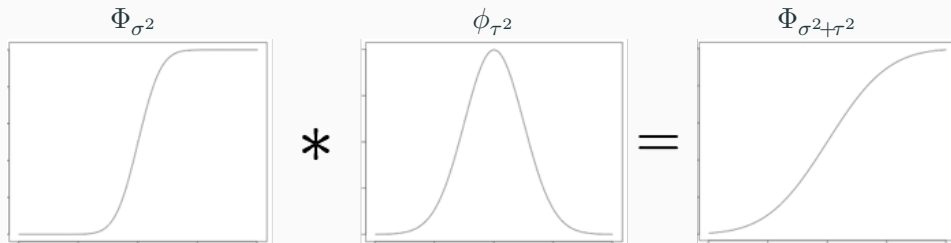
$$h = g * f_Y^-.$$

A special case is discussed in Hyvärinen 2002.

Corollary

If function g is Φ_{σ^2} and random variable X is constant value x , and probability density function f_Y is Gaussian with mean $\mathbb{E}[Y]$ and variance τ^2 , we have

$$\mathbb{E}[\Phi_{\sigma^2}(x + Y)] = \Phi_{\sigma^2 + \tau^2}(x + \mathbb{E}[Y]).$$



- consider the case of $X_j[t_\Delta]=1$,

$$\begin{aligned} U_i(t \mid X_j[t_\Delta]=1) &= B_i(t) + \lambda_{ij}X_j[t_\Delta] + \sum_{k \neq j} \lambda_{ik}X_k[t_\Delta] \\ &= \lambda_{ij} + C_{ij}(t \mid X_j[t_\Delta]=1). \end{aligned}$$

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- let us apply the corollary for calculating conditional expectation

$$\begin{aligned} \mathbb{E}[X_i(t) \mid X_j[t_\Delta]=1] &= \mathbb{E}[\Phi_{\sigma^2}(U_i(t \mid X_j[t_\Delta]=1))] \\ &= \mathbb{E}[\Phi_{\sigma^2}(\lambda_{ij} + C_{ij}(t \mid X_j[t_\Delta]=1))] \\ &= \Phi_{\rho^2}(\lambda_{ij} + \bar{C}_{ij}), \end{aligned}$$

where we assume $C_{ij} \sim \mathcal{N}(\bar{C}_{ij}, \tau^2)$ and $\rho^2 = \sigma^2 + \tau^2$.

- for binary random variables, the following holds

$$\mathbb{E}[X_i(t) \mid X_j[t_\Delta]=1] = \Pr(X_i(t)=1 \mid X_j[t_\Delta]=1).$$

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- therefore, we obtain

$$\begin{aligned} \Phi_{\rho^2}(\lambda_{ij} + \bar{C}_{ij}) &= \Pr(X_i(t) = 1 \mid X_j[t_\Delta]=1), \\ \Leftrightarrow \lambda_{ij} + \bar{C}_{ij} &= \rho \cdot \Phi_1^{-1}(\Pr(X_i(t)=1 \mid X_j[t_\Delta]=1)). \end{aligned}$$

- consider the both cases of $X_j[t_\Delta] = 1$ and $X_j[t_\Delta] = 0$,

$$U_i(t \mid X_j[t_\Delta] = 1) = \lambda_{ij} + C_{ij}(t \mid X_j[t_\Delta] = 1),$$

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Assumption

$$C_{ij}(t \mid X_j[t_\Delta] = 1), C_{ij}(t \mid X_j[t_\Delta] = 0) \sim \mathcal{N}(\bar{C}_{ij}, \tau^2)$$

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- then we obtain

$$\lambda_{ij} + \bar{C}_{ij} = \rho \cdot \Phi_1^{-1}(\Pr(X_i(t) = 1 \mid X_j[t_\Delta] = 1)),$$

$$\bar{C}_{ij} = \rho \cdot \Phi_1^{-1}(\Pr(X_i(t) = 1 \mid X_j[t_\Delta] = 0)).$$

- estimator of pseudo connection

$$\lambda_{ij} = \rho \left\{ \Phi_1^{-1} \left(\Pr(X_i(t)=1 \mid X_j[t_\Delta]=1) \right) - \Phi_1^{-1} \left(\Pr(X_i(t)=1 \mid X_j[t_\Delta]=0) \right) \right\}.$$

- empirical estimates of conditional probability

$$\Pr(X_i(t)=1 \mid X_j[t_\Delta]=1) = \frac{1}{Z} \sum_t X_i(t \mid X_j[t_\Delta]=1),$$

$$\Pr(X_i(t)=1 \mid X_j[t_\Delta]=0) = \frac{1}{Z'} \sum_t X_i(t \mid X_j[t_\Delta]=0).$$

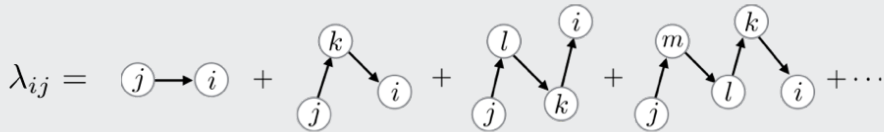
Second step

- decompose pseudo connections λ with direct connections w

$$\lambda_{ij} = \begin{array}{c} \textcircled{j} \longrightarrow \textcircled{i} \end{array} + \begin{array}{c} \textcircled{k} \\ \nearrow \searrow \\ \textcircled{j} \quad \textcircled{i} \end{array} + \begin{array}{c} \textcircled{l} \quad \textcircled{i} \\ \nearrow \quad \nearrow \\ \textcircled{j} \quad \textcircled{k} \end{array} + \begin{array}{c} \textcircled{m} \quad \textcircled{k} \\ \nearrow \quad \nearrow \searrow \\ \textcircled{j} \quad \textcircled{l} \quad \textcircled{i} \end{array} + \dots$$

Second step

- decompose pseudo connections λ with direct connections w



- consider an expansion with appropriate δ, δ' (delay time)

$$\begin{aligned} \lambda_{ij} &= w_{ij} \\ &+ \sum_k w_{ik} \Pr(X_k(t-\delta)=1 \mid X_j(t-\delta')=1) \\ &+ (\text{higher order terms}). \end{aligned}$$

- introduce a virtual probability with an appropriate interval t_δ

$$\theta_{ij} = \Pr(X_i(t)=1 \mid X_j[t_\delta]=1).$$

- we obtain an expansion of λ as

$$\lambda_{ij} = w_{ij} + \sum_k w_{ik} \theta_{kj} + \sum_{k,l} w_{ik} \theta_{kl} \theta_{lj} + \sum_{k,l,m} w_{ik} \theta_{kl} \theta_{lm} \theta_{mj} + \dots$$

- this expression gives a simple matrix form

$$\begin{aligned} \Lambda &= W(I + \Theta + \Theta^2 + \Theta^3 + \dots) && \triangleright \text{Neumann series} \\ &= W(I - \Theta)^{-1}, \end{aligned}$$

where $W = (w_{ij})$ and $\Theta = (\theta_{ij})$.

- relation between θ and w :

$$\begin{aligned}\theta_{ij} &= \Pr(X_i(t)=1 \mid X_j[t_\delta]=1) \\ &= \mathbb{E}[\Phi_{\sigma^2}(w_{ij} + C'_{ij})] \\ &= \Phi_{\rho^2}(w_{ij} + \mathbb{E}[C'_{ij}])\end{aligned}$$

- ▷ use expectation form
- ▷ t_δ is small enough
- ▷ by the corollary

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Assumption

$$C'_{ij} \sim \mathcal{N}(\bar{C}_{ij}, \tau^2)$$

- calculate θ by using w as

$$\begin{aligned}\theta_{ij} &= \Phi_{\rho^2}(w_{ij} + \bar{C}_{ij}), \\ \bar{C}_{ij} &= \rho \cdot \Phi_1^{-1}(\Pr(X_i(t)=1 \mid X_j[t_\Delta]=0)).\end{aligned}$$

Third step

- estimate types of neurons consistent with data:
 - excitatory neurons - positive connections only
 - inhibitory neurons - negative connections only

Third step

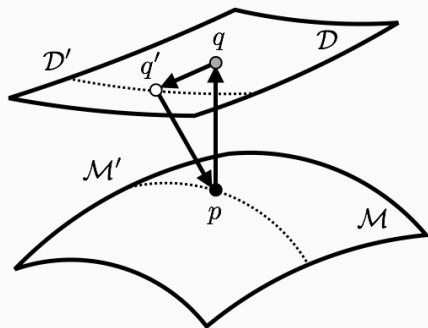
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treated as hidden variables $\mathbf{z} \in \{0, 1\}^N$

$$\Pr(\text{Data} \mid W, \mathbf{z}) \Leftrightarrow \Pr(\mathbf{z} \mid \text{Data}, W)$$

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treated as hidden variables $\mathbf{z} \in \{0, 1\}^N$

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use em algorithm (Amari 1995)
with approximations:

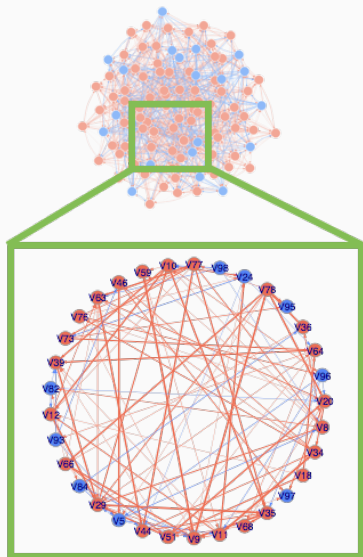
- factorial model in data manifold
- Gibbs sampling

```

1: Input:  $\Lambda, \bar{C}, \mathbf{z}$ 
2: function ESTIMATEW( $\Lambda, \bar{C}, \mathbf{z}$ )
3:   Initialization:  $\Theta^{(1)} \leftarrow [0, 1]^{N \times N}, \Lambda^{(1)} \leftarrow \Lambda$ 
4:   for  $\tau \leftarrow 1, T$  do
5:      $W^{(\tau+1)} \leftarrow \Lambda^{(\tau)}(I - \Theta^{(\tau)})$ 
6:     for  $i \leftarrow 1, N$  do
7:       for  $j \leftarrow 1, N$  do
8:          $[\hat{W}(\mathbf{z})^{(\tau+1)}]_{ij} \leftarrow \begin{cases} z_j [W^{(\tau+1)}]_{ij}, & [W^{(\tau+1)}]_{ij} > 0 \\ (1 - z_j) [W^{(\tau+1)}]_{ij}, & [W^{(\tau+1)}]_{ij} < 0 \end{cases}$ 
9:          $[\Theta^{(\tau+1)}]_{ij} \leftarrow \Phi_1([\hat{W}(\mathbf{z})^{(\tau+1)}]_{ij} + \bar{C}_{ij})$ 
10:         $\text{diag}(\Theta^{(\tau+1)}) \leftarrow 0$  ▷ update diagonal elements
11:         $\Lambda^{(\tau+1)} \leftarrow \Lambda^{(\tau)}$ 
12:         $\text{diag}(\Lambda^{(\tau+1)}) \leftarrow \text{diag}(\Lambda^{(\tau)} \Theta^{(\tau+1)})$  ▷ update diagonal elements
13: Output:  $\hat{W}(\mathbf{z})$ 

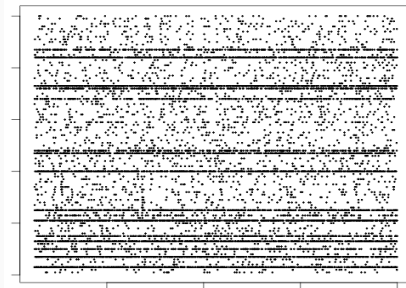
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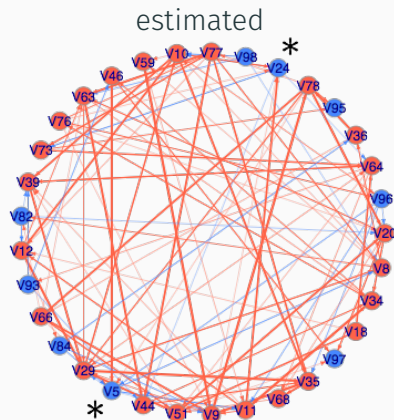
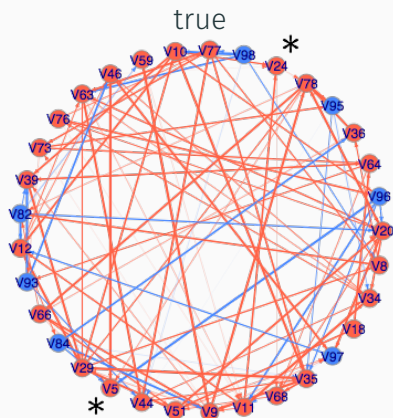
NUMERICAL EXAMPLES



Izhikevich's neuron model
(Izhikevich 2003)

- $N = 33$ out of 100 neurons
- excitatory:inhibitory = 80%:20%
- $w_{ij} \sim \text{Unif}[-10, 10]$
- $\#\{w_{.i}\} \leq 10$

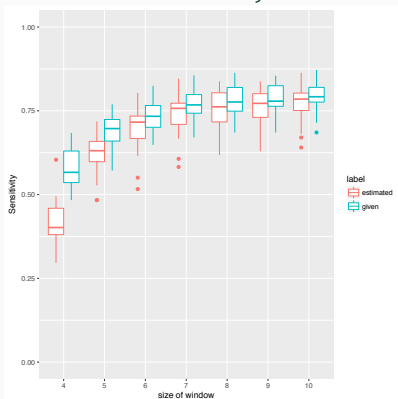




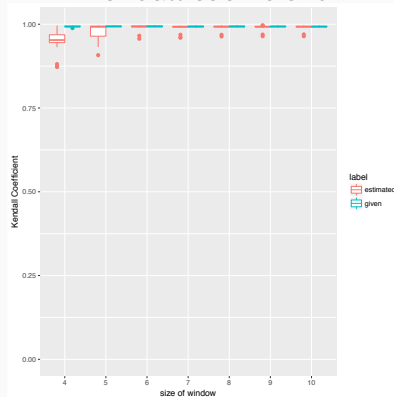
remarks

- estimation is scale indeterminate
- inhibitory connections are difficult to estimate

sensitivity



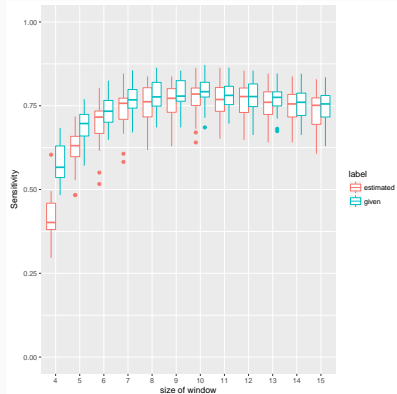
Kendall coefficient



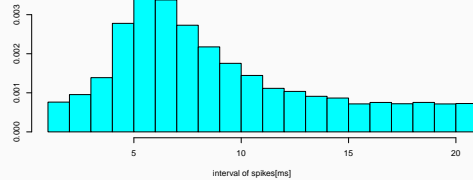
remarks

- estimation accuracy gets better if neuron types are given
- order of weights %strength is estimated with sufficient accuracy

sensitivity



spike interval



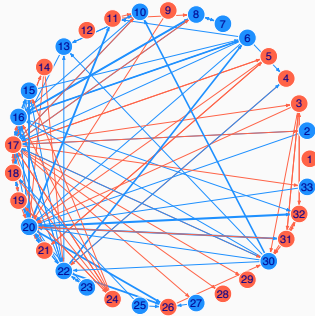
remark

- sensitivity is affected by choice of correlation interval

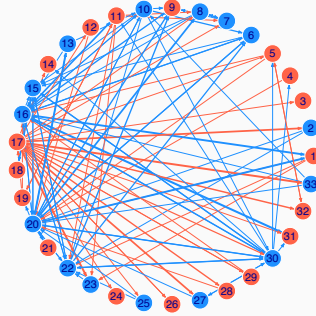
memory trace replay (Wilson and McNaughton 1994; Tatsuno, Lipa, and McNaughton 2006)

- purpose: examine the hypothesis “the replay of activity patterns during sleep plays an important role in the consolidation process of memory”
- measurements:
 - pre-task: activity of control
 - task: activity in learning stage
 - post-task: activity in non-REM stage

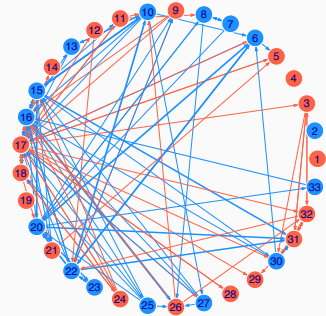
pre-task



task



post-task



remarks

- some connections changed at task period are retained at post-task period (e.g. 8,11,12,20)
- result should be discussed from the viewpoint of biology






CONCLUSION





we consider an approach to solve the following problems



- pseudo correlation caused by higher-order effect
- influence from unobserved neurons
- directional excitatory/inhibitory connections

possible extension would be

- estimating the number of connections
- estimating activation functions of individual neurons
- applying other real-world data

-  Amari, Shun-ichi (Jan. 1995). “The EM Algorithm and Information Geometry in Neural Network Learning.” In: *Neural Computation* 7.1, pp. 13–18. DOI: [10.1162/neco.1995.7.1.13](https://doi.org/10.1162/neco.1995.7.1.13).
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