

# Sharp spectral asymptotics for reversible diffusions trapped in moving domains

Noé Bassel (joint work with Tony Lelièvre and Gabriel Stoltz)

CERMICS lab, École des Ponts ParisTech - MATHERIALS team, Inria

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## Setting: overdamped Langevin dynamics

We work with the SDE

$$dX_t^\beta = -\nabla V(X_t^\beta) dt + \sqrt{2\beta^{-1}} dW_t, \quad (1)$$

Assume  $V : \mathbb{R}^d \rightarrow \mathbb{R}$  is smooth and **Morse**.  $X_t^\beta$  is reversible and ergodic with respect to the Gibbs measure

$$d\mu(x) = \mathcal{Z}_\beta^{-1} e^{-\beta V(x)} dx.$$

In computational statistical physics/molecular dynamics

$X_t^\beta$ : nuclear positions,  $V$ : interatomic potential (electronic ground state),  
 $\beta = 1/(k_B T)$ : inverse temperature.

For smooth bounded  $\Omega \subset \mathbb{R}^d$ , the Dirichlet generator

$$\mathcal{L}_\beta = -\nabla V \cdot \nabla + \frac{1}{\beta} \Delta, \quad \forall \varphi \in \mathcal{C}_c^\infty(\Omega), \quad \frac{d}{dt} \mathbb{E}_x[\varphi(X_t^\beta)]|_{t=0} = \mathcal{L}_\beta \varphi(x)$$

with domain  $H_0^1(\Omega, \mu) \cap H^2(\Omega, \mu)$  is self-adjoint on  $L^2(\Omega, \mu)$ , with compact resolvent and spectrum:

$$\dots \leq -\lambda_{2,\beta}(\Omega) < -\lambda_{1,\beta}(\Omega) < 0.$$

## Metastability

The dynamics  $X_t^\beta$  is typically metastable: some phenomena happen on timescales ( $10^{-3}$ – $10^1$  seconds, e.g. protein/ligand binding, or folding) much larger than the typical integration timestep ( $10^{-15}$  seconds  $\approx 0.1 \times$  vibrational stretching period of H–X bonds). This makes the simulation of long trajectories very challenging.

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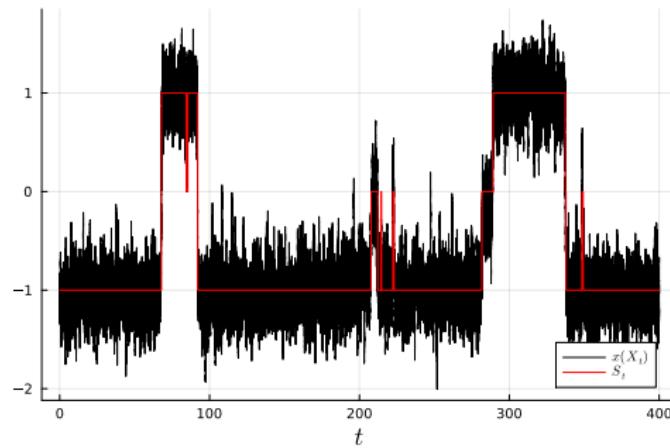


Figure: A typical slow variable  $x(X_t)$ , with an associated coarse-grained dynamics.

## Local approach to metastability

We consider metastable domains  $\Omega \subset \mathbb{R}^d$ , where a **local equilibrium** is reached quickly after which the exit time is large.

Notion of local equilibrium: **quasistationary distributions**.

### Definition

Denote  $\tau_\Omega = \inf \left\{ t \geq 0 \mid X_t^\beta \notin \Omega \right\}$ . A QSD for  $X_t^\beta$  on  $\Omega$  is a probability measure  $\nu \in \mathcal{P}_1(\Omega)$  such that for all  $A \in \mathcal{B}(\Omega)$

$$\mathbb{P}^\nu \left( X_t^\beta \in A \mid \tau_\Omega > t \right) = \nu(A)$$

Metastability of  $\Omega$  is related to **separation of timescales**: fast relaxation to/slow exit from the local equilibrium  $\nu$ .

## Metastable exit event: link with the Dirichlet spectrum

**Proposition (Le Bris, Lelièvre, Luskin, Perez 2012 [6])**

Let  $(\lambda_{1,\beta}, u_{1,\beta})$  be the principal Dirichlet eigenpair of  $-\mathcal{L}_\beta$  in  $\Omega$ , i.e.

$$\lambda_{1,\beta} = \inf_{u \in H_{0,\mu}^1(\Omega)} \frac{\langle -\mathcal{L}_\beta u, u \rangle_{L_\mu^2(\Omega)}}{\|u\|_{L_\mu^2(\Omega)}^2} = \frac{1}{\beta} \frac{\int_\Omega |\nabla u_{1,\beta}|^2 e^{-\beta V}}{\int_\Omega u_{1,\beta}^2 e^{-\beta V}}, \quad (2)$$

and choose  $u_{1,\beta} > 0$  on  $\Omega$ . Then

$$\nu(A) = \frac{\int_A u_{1,\beta} e^{-\beta V}}{\int_\Omega u_{1,\beta} e^{-\beta V}} \quad (3)$$

is the unique QSD for  $X_t^\beta$  on  $\Omega$ . Moreover, the exit time  $\tau_\Omega$  is exponentially distributed from  $\nu$  and independent from the exit point:

$$\mathbb{E}^\nu \left[ \varphi(X_{\tau_\Omega}^\beta) \mathbb{1}_{\tau_\Omega > t} \right] = e^{-\lambda_{1,\beta}} \mathbb{E}^\nu \left[ \varphi(X_{\tau_\Omega}^\beta) \right]. \quad (4)$$

The **exit rate** (slow time scale) from the QSD is given by the principal **Dirichlet** eigenvalue  $\lambda_{1,\beta}$ .

## Parallel Replica Algorithm



Figure: Initialization step.

The dynamics stays for a time  $T_{\text{corr}}$  inside  $\Omega$ , and is thus quasi-stationary.

## Parallel Replica Algorithm

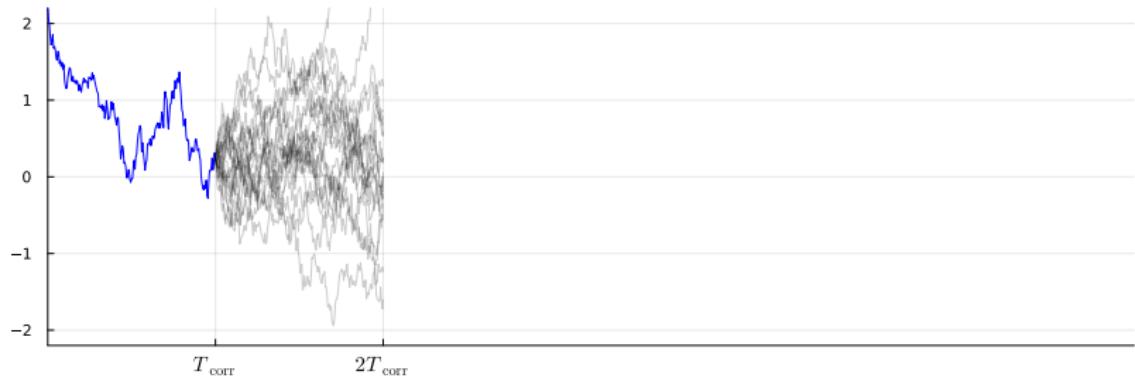


Figure: Decorrelation step.

$N$  replicas are spawned and evolved independently and in parallel for a time  $T_{\text{corr}}$ .

## Parallel Replica Algorithm

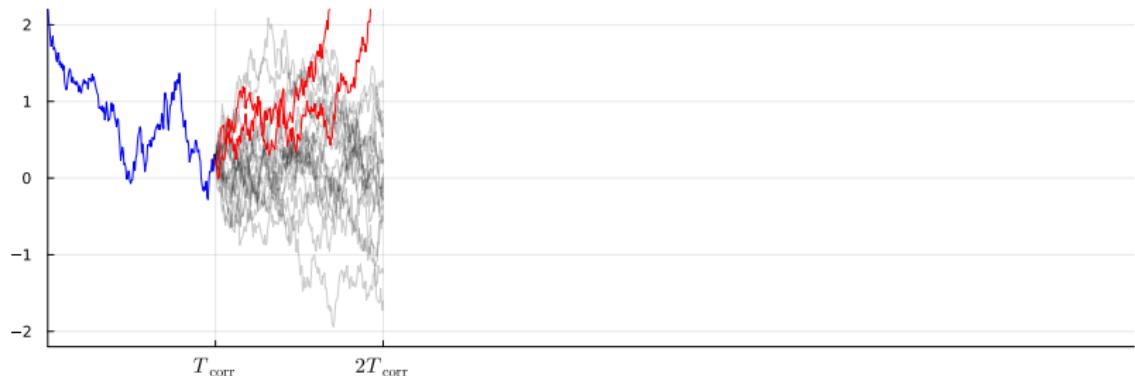


Figure: Rejection step.

The replicas which exited  $\Omega$  during the decorrelation step are rejected, leaving  $N_{\text{par}}$  independent quasi-stationary replicas.

## Parallel Replica Algorithm

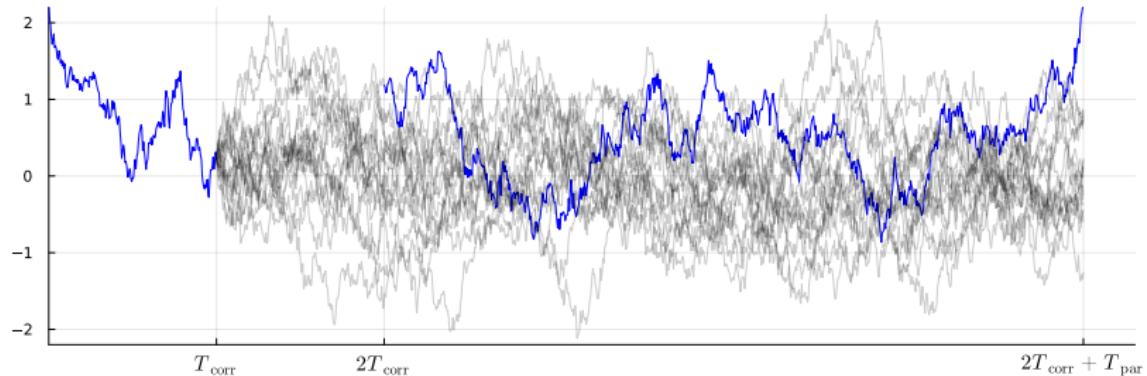


Figure: Parallel exit step.

The first replica to exit  $\Omega$  does so after an additional time  $T_{\text{par}}$ . The time  $T_{\text{corr}} + \frac{T_{\text{par}}}{N_{\text{par}}}$  gives an (almost unbiased) estimate of the exit time from  $\Omega$ .

## Efficiency of ParRep

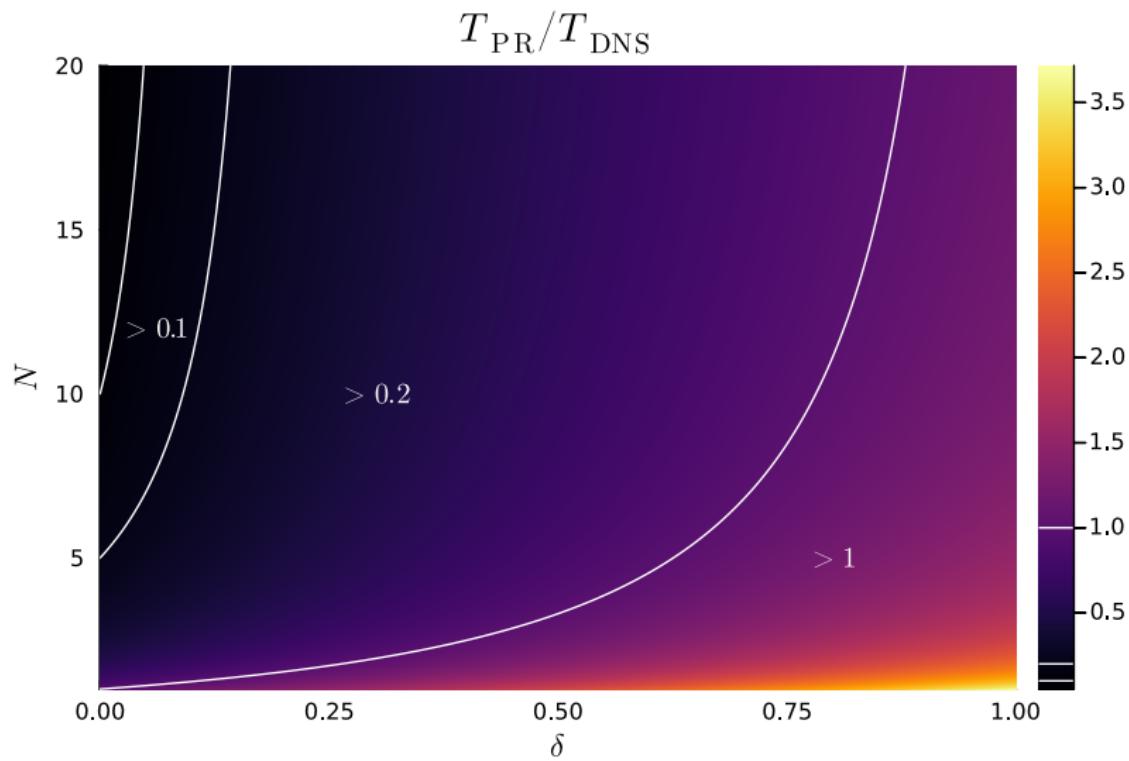
$$\mathbb{E}^n u[\tau_\Omega] = \frac{1}{\lambda_{1,\beta}}, \quad \mathbb{E}[N_{\text{par}}] = e^{-\lambda_{1,\beta} T_{\text{corr}}} N. \quad (5)$$

The wall-clock gain of using ParRep inside  $\Omega$  is given by the ratio:

$$\frac{T_{\text{corr}} + \mathbb{E}[\min_{i=1, \dots, N_{\text{par}}} \tau_\Omega^{(i)}]}{\mathbb{E}^\nu[\tau_\Omega]} = \delta + \frac{e^\delta}{N}, \quad (6)$$

where  $\delta = \lambda_{1,\beta} T_{\text{corr}}$ .

## Efficiency of ParRep



## Estimates on the decorrelation time

Let  $\lambda_{2,\beta}$  be the second Dirichlet eigenvalue of  $-\mathcal{L}_\beta$  in  $\Omega$ .

**Theorem (Le Bris, Lelièvre, Luskin, Perez 2012 [6])**

Assume  $\frac{d\mu_0}{d\mu} \in L^2(\Omega, \mu)$ , where  $X_0^\beta \sim \mu_0$ , write  $\mu_t = \text{Law}\left(X_t^\beta \mid \tau_\Omega > t\right)$ .

Then,  $\exists(C_1, C_2)(\beta, \mu_0)$ :

$$\|\mu_t - \nu\|_{\text{TV}} \leq C_1 e^{-(\lambda_{2,\beta} - \lambda_{1,\beta})t},$$

$$\sup_{\|f\|_\infty \leq 1} \left| \mathbb{E}^{\mu_0} \left[ f(X_{\tau_\Omega}^\beta, \tau_\Omega - t) \mid \tau_\Omega > t \right] - \mathbb{E}^\nu \left[ f(X_{\tau_\Omega}^\beta, \tau_\Omega) \right] \right| \leq C_2 e^{-(\lambda_{2,\beta} - \lambda_{1,\beta})t}.$$

The **relaxation rate** to the QSD (fast time scale) is at least as large as the spectral gap  $\lambda_{2,\beta} - \lambda_{1,\beta}$  of the Dirichlet generator  $\mathcal{L}_\beta$ .

This suggests a good correlation time is  $T_{\text{corr}} = \frac{n_{\text{corr}}}{\lambda_{2,\beta} - \lambda_{1,\beta}}$ .

## A spectral optimization problem

Question: how to make  $\Omega$  as locally metastable as possible ? Maximize separation of timescales.

$$J_\beta(\Omega) = \frac{\lambda_{2,\beta}(\Omega) - \lambda_{1,\beta}(\Omega)}{\lambda_{1,\beta}(\Omega)}.$$

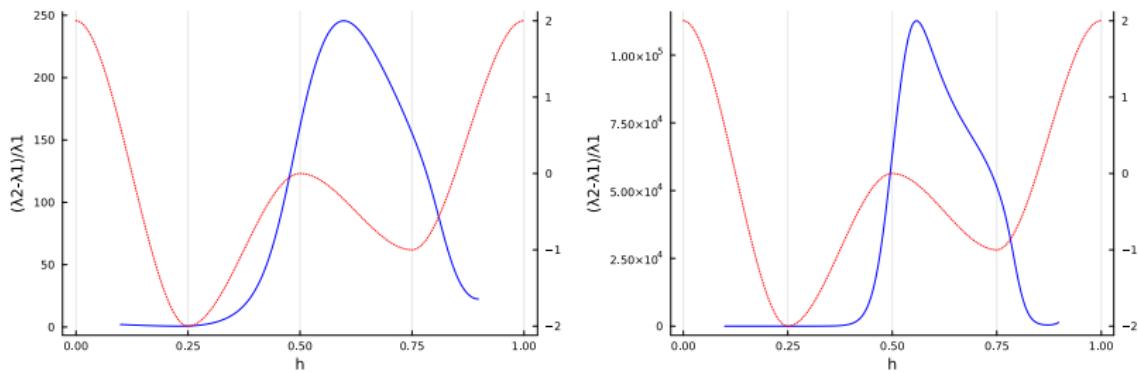
Make exit time from the QSD  $\gg$  decorrelation time to the QSD.

Objective: define highly locally metastable states  $(\Omega_i)_{i \in \mathbb{N}}$  in  $\mathbb{R}^d$ .

Motivation:

- Accurate approximate state-to-state dynamics via renewal processes [1]/jump processes.
- Efficient algorithms to sample long trajectories (Parallel replica methods [10, 9]).
- The case  $V = 0$  has been studied in the shape optimization litterature, e.g. the Payne–Polyá–Weinberger conjecture [8, 2].

## Illustration in a 1D potential



**Figure:** The ratio  $J_\beta(\Omega_h)$  as a function of  $h$ , for  $\Omega_h = (z_1, h)$ , in a 1D potential. Left: hot system, right: cold system.

## Shape differentiability of separation of timescales

Isolated Dirichlet eigenvalues of  $\mathcal{L}_\beta$  are **shape-differentiable**. Assume  $\lambda_{k,\beta}(\Omega)$  is simple.

Proposition (B., Lelièvre, Stoltz, 2024 (in preparation))

*The map*

$$\begin{cases} \mathcal{W}^{1,\infty}(\mathbb{R}^d; \mathbb{R}^d) \rightarrow \mathbb{R} \\ \theta \mapsto \lambda_{k,\beta}((\theta + \text{Id})\Omega) =: \lambda_k(\theta) \end{cases}$$

*is continuously Fréchet-differentiable at 0, with:*

$$d\lambda_{k,\beta}(\Omega)\xi = -\frac{1}{\beta} \int_{\partial\Omega} \left( \frac{\partial u_{k,\beta}(\Omega)}{\partial n} \right)^2 (\xi \cdot n) e^{-\beta V} d\sigma, \quad \forall \xi \in \mathcal{W}^{1,\infty}(\mathbb{R}^d; \mathbb{R}^d),$$

*where  $\sigma$  denotes the surface measure on  $\partial\Omega$ , and  $n$  the outward surface normal to  $\Omega$ .*

Proof of the case  $V = 0$  by Henrot–Michel (2005) [4] transfers to the  $L^2(\Omega, \mu)$  setting.

## Main idea: transport to a fix domain

For small  $\|\theta\|_{W^{1,\infty}}$ ,  $\text{Id} + \theta$  is a bi-Lipschitz homeomorphism from  $\Omega$  to  $\Omega_\theta := (\text{Id} + \theta)\Omega$ . The transported eigenfunction

$v_\theta := u_{k,\beta}(\Omega_\theta) \circ (\theta + \text{Id}) \in H_0^1(\Omega, \mu)$  solves an elliptic PDE on  $\Omega$ .

Use general results on parametric elliptic problems on a fixed domain. For the shape differential, compute:

$$\frac{d}{dt} \lambda_{k,\beta}((\text{Id} + t\theta)\Omega)|_{t=0}.$$

Shape gradient descent for  $J(\Omega) = (\lambda_{2,\beta} - \lambda_{1,\beta})/\lambda_{1,\beta}$ :

$$\Omega \mapsto (\text{Id} + \eta_k \nabla J_\beta(\Omega))\Omega, \quad \nabla J_\beta(\Omega) := -\frac{n}{\beta} \left[ \frac{1}{\lambda_{1,\beta}} \left( \frac{\partial u_{2,\beta}}{\partial n} \right)^2 - \frac{\lambda_{2,\beta}}{\lambda_{1,\beta}^2} \left( \frac{\partial u_{1,\beta}}{\partial n} \right)^2 \right] (\Omega)$$

## Local shape optimization around a potential well

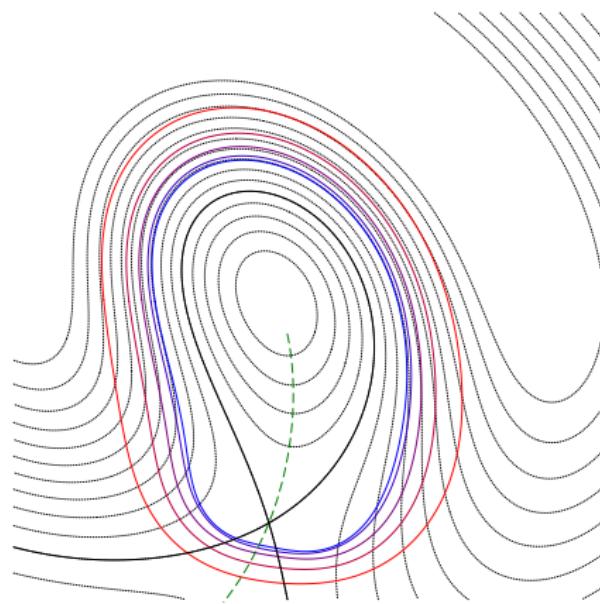


Figure: Optimized domains for increasing  $\beta$ .

## Asymptotic optimization in the low-temperature limit

For realistic problems,  $d \gg 1$ , so solving  $-\mathcal{L}_\beta u = \lambda u$  is not possible.

**Idea:** Take a family of domains  $(\Omega_\beta)_{\beta>0}$ . The spectrum is sensitive to a  $\alpha \in \mathbb{R}^N$  with  $N \ll d$  as  $\beta \rightarrow \infty$ . Find asymptotically optimal  $\alpha$  as  $\beta \rightarrow \infty$ .

**Parameter:**  $\alpha = (\alpha^{(i)})_{0 \leq i < N}$  is signed distance of critical points to the boundary on the scale  $\beta^{-\frac{1}{2}}$ :

$$\alpha^{(i)} = \lim_{\beta \rightarrow \infty} \sqrt{\beta} \sigma(\partial\Omega_\beta, z_i) \in (-\infty, +\infty],$$

where  $(z_i)_{0 \leq i < N}$  are the critical points (assume this limit exists).

We say  $z_i$  is **far** from the boundary if  $\alpha^{(i)} = +\infty$ , and **close** to the boundary if  $\alpha^{(i)} < +\infty$ .

**Goal:** compute the spectral asymptotics of  $\lambda_1(\Omega_\beta), \lambda_2(\Omega_\beta)$  in the limit  $\beta \rightarrow 0$ , and optimize the asymptotic behavior of the ratio  $\lambda_2(\Omega_\beta)/\lambda_1(\Omega_\beta)$  w.r.t.  $\alpha$ .  
 Problem in spectral asymptotics **with moving boundary**.

## Geometric assumptions

Suppose  $\Omega_\beta \subset \mathcal{K}_0$  compact for all  $\beta > 0$ .

$(z_i)_{0 \leq i < N}$ : critical points of  $V$  in  $\mathcal{K}_0$

Fix  $(\nu_j^{(i)}, v_j^{(i)})_{j=1, \dots, d}$  eigendecomposition of  $\nabla^2 V(z_i)$ ,  $U^{(i)}$  eigenrotation.

Assume  $\nu_1^{(i)} < 0$  if  $\text{Ind}(z_i) = 1$ , and there exist  $\delta, \gamma : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  such that:

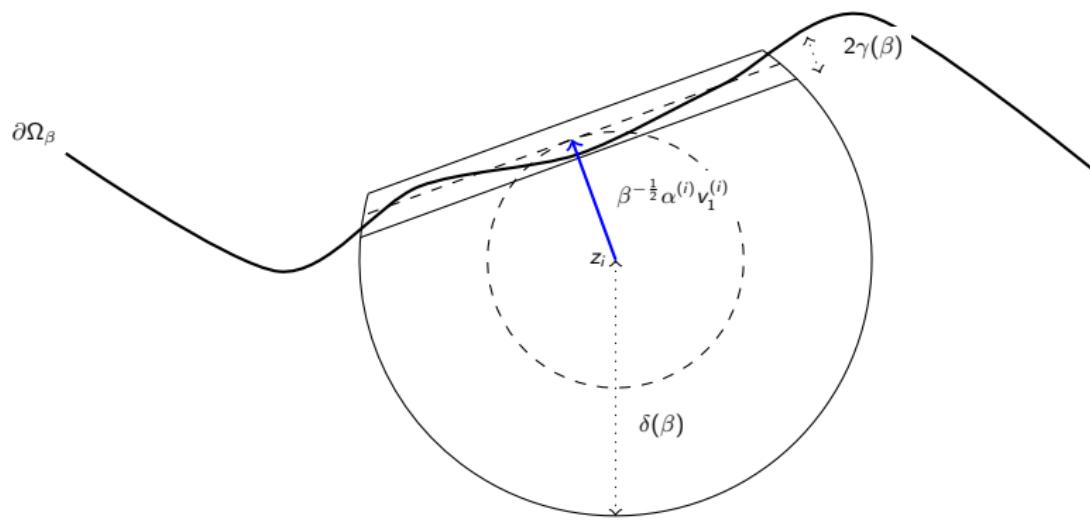
$$\begin{cases} \sqrt{\beta} \delta(\beta) \xrightarrow{\beta \rightarrow \infty} +\infty, \\ \delta(\beta) \xrightarrow{\beta \rightarrow \infty} 0, \\ \sqrt{\beta} \gamma(\beta) \xrightarrow{\beta \rightarrow \infty} 0, \\ \mathcal{O}_i^-(\beta) \subseteq B(z_i, \delta(\beta)) \cap \Omega_\beta \subseteq \mathcal{O}_i^+(\beta), \end{cases} \quad (7)$$

where

$$\mathcal{O}_i^\pm(\beta) = z_i + B(0, \delta(\beta)) \cap E^{(i)} \left( \frac{\alpha^{(i)}}{\sqrt{\beta}} \pm \gamma(\beta) \right), \quad (8)$$

$$E^{(i)}(\alpha) = U^{(i)} \left[ (-\infty, \alpha) \times \mathbb{R}^{d-1} \right]. \quad (9)$$

## Parametrization: local geometry of the boundary around critical points



**Figure:** The local geometry of  $\Omega_\beta$  in the neighborhood of a critical point  $z_i$  which is close to the boundary. The relevant length scales are  $\gamma(\beta) \ll \beta^{-\frac{1}{2}} \ll \delta(\beta) \ll 1$ .

Around saddle points close to the boundary, domains are asymptotically **orthogonal to the minimum energy path.**

# Harmonic approximation of the Dirichlet spectrum

Theorem (B., Lelièvre, Stoltz 2024 (in preparation))

Let  $k \in \mathbb{N}^*$ . Then

$$\lim_{\beta \rightarrow \infty} \lambda_{k,\beta}(\Omega_\beta) = \lambda_{k,\alpha}^H,$$

where  $\lambda_{k,\alpha}^H$  is the  $k$ -th eigenvalue of an explicit operator  $-\mathcal{L}_\alpha^H$ , the harmonic approximation.

Example of a single minimum  $z_0$  and order-one saddle points  $z_1, \dots, z_{N-1}$ .

$$\lambda_1(\Omega_\beta) \xrightarrow{\beta \rightarrow \infty} 0, \quad \lambda_2(\Omega_\beta) \xrightarrow{\beta \rightarrow \infty} \min \left[ \nu_1^{(0)}, \min_{0 < i < N} |\nu_1^{(i)}| \left( \mu_{0,\alpha^{(i)}} \sqrt{|\nu_1^{(i)}|/2} + \frac{1}{2} \right) \right]$$

$\mu_{0,\theta}$  ground-state energy of harmonic oscillator  $\frac{1}{2}(x^2 - \partial_x^2)$  with Dirichlet boundary conditions on  $(-\infty, \theta)$ .

## Witten representation and partition of unity

Using the unitary transformation  $\varphi \mapsto e^{-\beta V/2}\varphi$  from  $L^2(\Omega, \mu) \mapsto L^2(\Omega)$ , define the Witten Laplacian

$$H_\beta := -e^{-\beta V/2} \mathcal{L}_\beta e^{\beta V/2} = \frac{\beta}{4} |\nabla V|^2 - \frac{\Delta V}{2} - \frac{1}{\beta} \Delta,$$

self-adjoint on  $L^2(\Omega)$  with form domain  $H_0^1(\Omega)$ .

Introduce smooth cutoff functions for

$$\mathbb{1}_{B(z_i, \frac{1}{2}\delta(\beta))} \leq \chi_\beta^{(i)} \leq \mathbb{1}_{B(z_i, \delta(\beta))}.$$

Together with  $\chi_\beta^{(N)} = \sqrt{\mathbb{1}_{\Omega_\beta} - \sum_{0 \leq i < N} \chi_\beta^{(i)2}}$ ,  $(\chi_\beta^{(i)})_{0 \leq i \leq N}$  is a quadratic partition of unity on  $L^2(\Omega_\beta)$ .

## Local harmonic models

Idea is to locally approximate  $H_\beta$  with harmonic oscillator

$$H_{\beta,\alpha}^{(i)} := \beta(x - z_i)^\top \frac{\nabla^2 V(z_i)^2}{4} (x - z_i) - \frac{\Delta V(z_i)}{2} - \frac{1}{\beta} \Delta$$

with Dirichlet boundary condition on  $z_i + E^{(i)} \left( \frac{\alpha^{(i)}}{\sqrt{\beta}} \right)$ .

IMS localization formula:

$$H_\beta = \sum_{0 \leq i \leq N} \chi_\beta^{(i)} H_\beta \chi_\beta^{(i)} - \frac{1}{\beta} \sum_{0 \leq i \leq N} |\nabla \chi_\beta^{(i)}|^2. \quad (10)$$

Local error is asymptotically small:

$$\forall \varphi \in L^2(\Omega_\beta), 0 \leq i < N, \|(H_\beta - H_{\beta,\alpha}^{(i)}) \chi_\beta^{(i)} \varphi\|_{L^2(\Omega_\beta)} = o(1) \|\chi_\beta^{(i)} \varphi\|_{L^2(\Omega_\beta)}.$$

## Idea of proof à la Cycon–Froese–Kirsch–Simon [5]

- 1 Denote  $(\lambda_{n,\alpha}^{(i)}, \psi_{n,\beta,\alpha}^{(i)})$  the  $n$ -th eigenpair of  $H_\beta^{(i)} = \beta(x - z_i)^\top \frac{\nabla^2 V(z_i)^2}{4}(x - z_i) - \frac{\Delta V(z_i)}{2} - \frac{1}{\beta}\Delta$ . Note  $\lambda_{n,\alpha}^{(i)}$  does not depend on  $\beta$ .
- 2 The  $k$ -th first eigenvectors of  $\bigoplus_i H_{\beta,\alpha}^{(i)}$  can be seen as a family  $(\psi_{n_j,\beta,\alpha}^{(ij)})_{j=1,\dots,k}$ , with  $\psi_{n_j,\beta,\alpha}^{(ij)}$  localized around  $z_{ij}$ .
- 3 We take  $(\chi_\beta^{(ij)} \psi_{n_j,\beta,\alpha}^{(ij)})_{j=1,\dots,k}$  as approximate eigenmodes (or quasimodes) of  $H_\beta$ .
- 4 Using the Courant–Fischer variational principles and IMS localization formula, one can get upper and lower bounds on the spectrum.
- 5 Difficulty: because  $\chi_\beta^{(i)} \psi_{n,\beta,\alpha}^{(i)}$  is not necessarily in  $H_0^1(\Omega_\beta)$ , need to modify the geometry of the domain / use perturbation theory on the  $H_{\beta,\alpha}^{(i)}$  to get the final bounds.

## Finer asymptotics: additional assumptions

Harmonic approximation only gives:

$$\#\{\text{small eigenvalues}\} = \#\{\text{local minima far from the boundary}\}.$$

Need finer asymptotics, and additional assumptions.

- Assume  $z_0$  is the unique local minimum of  $V$  far from the boundary in all the  $\Omega_\beta$ , and define its basin of attraction:

$$\mathcal{A}(z_0) = \left\{ x_0 \in \mathbb{R}^d \mid \lim_{t \rightarrow \infty} X(t) = z_0 \right\},$$

where  $X'(t) = -\nabla V(X(t))$ .

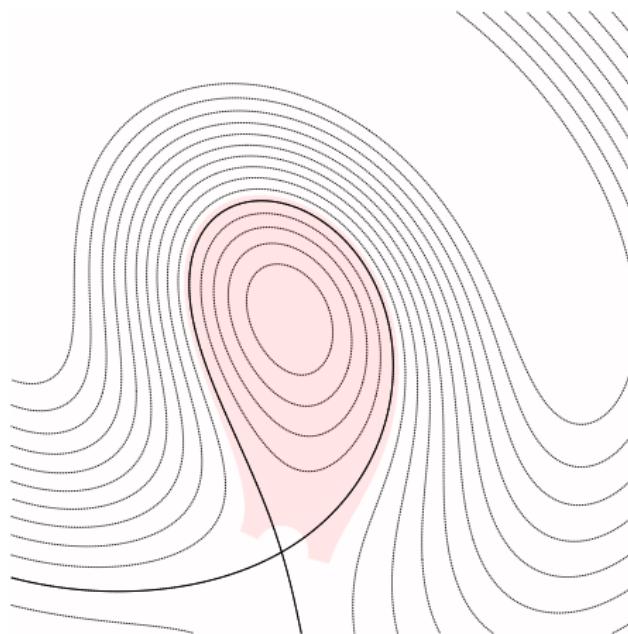
- The low-lying index-one saddle points are:

$$I_{\min} = \operatorname{Argmin}_{\substack{1 \leq i < N_1 \\ z_i \in \partial \mathcal{A}(z_0)}} V(z_i), \quad V^* = \min_{\substack{1 \leq i < N_1 \\ z_i \in \partial \mathcal{A}(z_0)}} V(z_i). \quad (11)$$

- Assume that the domains contain enough of the energy well around  $z_0$ :

$$\left[ \mathcal{A}(z_0) \cap \{V < V^* + C_V \delta(\beta)^2\} \right] \setminus \bigcup_{i \in I_{\min}} B(z_i, \delta(\beta)) \subset \Omega_\beta.$$

## Energy well assumption



**Figure:** The boundary cannot cross the shaded region for fear of introducing spurious saddle points.

## Finer asymptotics for $\lambda_1(\Omega_\beta)$

Modified Eyring–Kramers formula:

Theorem (B., Lelièvre, Stoltz 2024 (in preparation))

Let  $0 < \epsilon < 1$ . Under the previous assumptions, there exists  $c > 0$  so that the following estimate holds in the limit  $\beta \rightarrow +\infty$ :

$$\lambda_{1,\beta} = e^{-\beta(V^* - V(z_0))} \left[ \sum_{i \in I_{\min}} \frac{|\nu_1^{(i)}|}{2\pi\Phi(|\nu_1^{(i)}|^{\frac{1}{2}}\alpha^{(i)})} \sqrt{\frac{\det \nabla^2 V(z_0)}{\det \nabla^2 V(z_i)}} (1 + \mathcal{O}(\varepsilon_i(\beta))) \right], \quad (12)$$

where  $\Phi(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^x e^{-\frac{t^2}{2}} dt$ , and  $\varepsilon_i(\beta)$  decays polynomially in  $\beta$ .

## Construction of a more precise quasimode

Construction inspired by Bovier–Gayard–Klein (2005) [3] and Le Peutrec–Nectoux (2021) [7].

Precise quasimode for  $u_{1,\beta}$ :

$$\psi_\beta = \frac{1}{Z_\beta} \left[ \eta_\beta + \sum_{i \in I_{\min}} \chi_\beta^{(i)} (\varphi_\beta^{(i)} - \eta_\beta) \right], \quad (13)$$

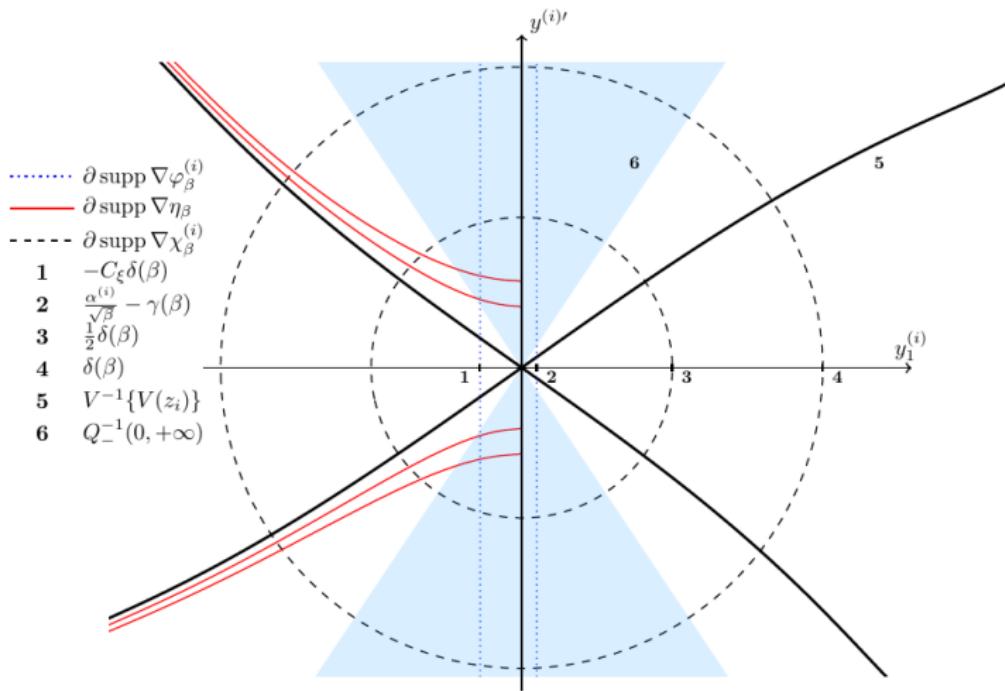
where  $\eta_\beta = \eta \left( \frac{V(x) - V^*}{C_\eta \delta(\beta)^2} \right) \mathbb{1}_{\mathcal{A}(z_0)}(x)$  is a rough energy cutoff, and, as before,  $\mathbb{1}_{B(z_i, \frac{1}{2}\delta(\beta))} \leq \chi_\beta^{(i)} \leq \mathbb{1}_{B(z_i, \delta(\beta))}$  is smooth.

Local approximation:

$$\varphi_\beta^{(i)}(x) = \frac{\int_{(x-z_i)\tau v_1^{(i)}}^{+\infty} e^{-\beta \frac{|\nu_1^{(i)}|}{2} t^2} \xi_\beta^{(i)}(t) dt}{\int_{-\infty}^{+\infty} e^{-\beta \frac{|\nu_1^{(i)}|}{2} t^2} \xi_\beta^{(i)}(t) dt}, \quad (14)$$

with  $\mathbb{1}_{(-C_\xi \delta(\beta), \alpha^{(i)}/\sqrt{\beta} - 2\gamma(\beta))} \leq \xi_\beta^{(i)} \leq \mathbb{1}_{(-2C_\xi \delta(\beta), \alpha^{(i)}/\sqrt{\beta} - \gamma(\beta))}$  is smooth.

# Construction near a low-energy saddle point



**Figure:** Construction of the quasimode close to the boundary.

## Idea of proof of Eyring–Kramers formula.

Tune  $C_\xi, C_\eta$  to ensure  $\psi_\beta \in H_0^1 \cap H^2(\Omega_\beta, \mu)$  for  $\beta$  large enough. Project  $\psi_\beta$  using the spectral projector  $\pi_\beta$  associated with  $\lambda_{1,\beta}$ .

$$\varphi \mapsto \frac{1}{2i\pi} \oint_{\Gamma} (\mathcal{L}_\beta + z)^{-1} \varphi \, dz.$$

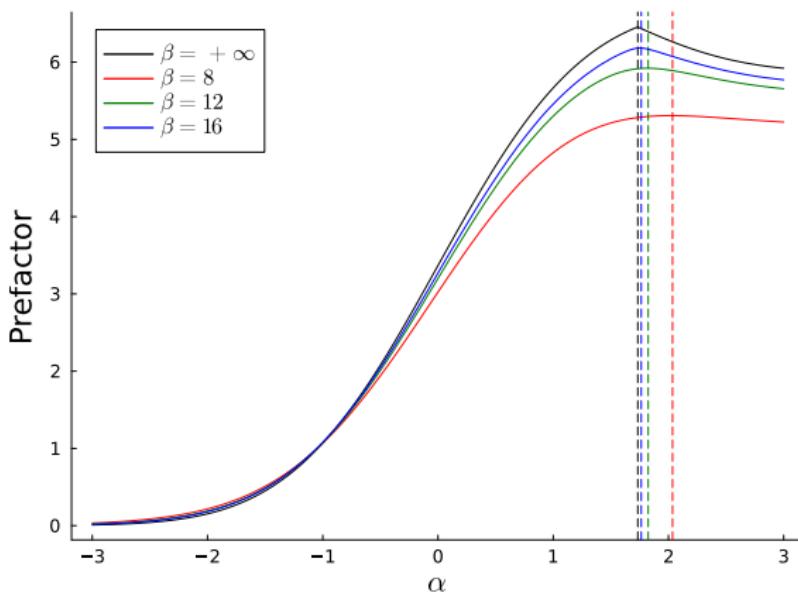
Easy to show, using harmonic limit to isolate  $\lambda_{1,\beta}$  from the rest of the spectrum, that

$$\begin{cases} \|(1 - \pi_\beta)\psi_\beta\|_{L_\mu^2(\Omega_\beta)} = \mathcal{O}(\|\mathcal{L}_\beta\psi_\beta\|_{L_\mu^2(\Omega_\beta)}), \\ \|\nabla\pi_\beta\psi_\beta\|_{L_\mu^2(\Omega_\beta)}^2 = \|\nabla\psi_\beta\|_{L_\mu^2(\Omega_\beta)}^2 + \mathcal{O}\left(\|\mathcal{L}_\beta\psi_\beta\|_{L_\mu^2(\Omega_\beta)}^2\right). \end{cases} \quad (15)$$

Using a Laplace method adapted to moving domains, we estimate  $\|\nabla\psi_\beta\|_{L_\mu^2(\Omega_\beta)}^2$ , and show  $\|\mathcal{L}_\beta\psi_\beta\|_{L_\mu^2(\Omega_\beta)} \ll \|\nabla\psi_\beta\|_{L_\mu^2(\Omega_\beta)}$ . Allows to compute sharp asymptotics for

$$\lambda_{1,\beta}(\Omega_\beta) = \frac{\|\nabla\pi_\beta\psi_\beta\|_{L_\mu^2(\Omega_\beta)}^2}{\|\pi_\beta\psi_\beta\|_{L_\mu^2(\Omega_\beta)}^2}.$$

## Asymptotic optimization of the boundary position



**Figure:** Blow-up of the transition  $e^{-\beta(V^*-V(z_0))} J_\beta(\Omega_\beta)$  as a function of  $\alpha$ . The semiclassical prescription is asymptotically optimal.

## Perspectives

- Extension to Riemannian setting / multiple wells.
- Moving generalized saddle points (removing energy well assumptions).
- More general asymptotic geometries.
- Asymptotic shape optimization in the entropic case.

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