- **1.2-2.)** Insertion sort runs in 8n<sup>2</sup> steps, while merge sort runs in 64nlg(n) steps. Simplifying the inequality in order for insertion sort to beat merge sort:
  - $8n^2 < 64nlg(n)$
  - $n^2 < 8nlg(n)$
  - n < 8lg(n)
  - $2^n < n^8$

Plotting both equations it is clear the inequality is only true after n = 2 and until n = 43, therefore insertion sort beats merge sort at input sizes  $2 \le n \le 43$ .

- **1.2-3.)** One algorithm takes  $100n^2$  steps while another takes  $2^n$  steps for a given n inputs. Simplifying the inequality in order for the first algorithm to run faster:
  - $100n^2 < 2^n$

Plotting both equations it is clear the inequality is true after n = 14, therefore n = 15 is the smallest value of inputs that the first algorithm will run faster, so  $n \ge 15$ .

**1-1.)** Largest size n of a problem an algorithm can solve taking f(n) microseconds for each function:

	1 second	1 minute	1 hour	1 day	1 month	1 year	1 century
lg(n)	2^(106)	2^(6*107)	2^(3.6*109)	2^(8.6*1010)	2^(2.62*1012)	2^(3.15*10 <sup>13</sup> )	2^(3.15*10 <sup>15</sup> )
sqrt(n)	1012	36*10 <sup>14</sup>	12.96*10 <sup>18</sup>	74.65*10 <sup>20</sup>	6.86*10 <sup>24</sup>	9.92*10 <sup>26</sup>	9.92*10 <sup>30</sup>
n	106	6*10 <sup>7</sup>	3.6*109	8.64*10 <sup>10</sup>	2.62*1012	3.15*10 <sup>13</sup>	3.15*10 <sup>15</sup>
nlg(n)	6.27*104	2.8*106	1.33*108	2.75*10°	7.62*10 <sup>10</sup>	7.97*1011	6.85*10 <sup>13</sup>
$n^2$	$10^3$	7.75*10 <sup>3</sup>	6*10 <sup>4</sup>	2.94*105	1.61*10 <sup>6</sup>	5.61*106	5.61*10 <sup>7</sup>
n <sup>3</sup>	$10^2$	3.91*10 <sup>2</sup>	1.53*10 <sup>3</sup>	4.42*10³	1.38*104	3.16*104	1.47*105
2 <sup>n</sup>	19	25	31	36	41	45	51
n!	9	11	12	13	15	16	17

## **2.1-1.)** Illustrating Insertion-Sort on the array:

```
{31, 41, 59, 26, 41, 58}

{31, 41, 59, 26, 41, 58}

{31, 41, <u>59</u>, 26, 41, 58}

{<u>26</u>, 31, 41, 59, 41, 58}

{26, 31, 41, <u>41</u>, 59, 58}

{26, 31, 41, 41, <u>58</u>, 59}
```

## **2.1-2.)** Loop Invariant of Sum-Array:

**Initialization:** Prior to the first iteration of the loop, sum correctly starts as 0 because no elements of A have been added.

**Maintenance:** Sum is only changed by adding the element A[i] indexed at the current loop iteration to itself. Therefore sum will include elements A[1:i-1] prior to the current loop iteration, and the element added during the iteration will ensure sum includes elements A[1:i] before the next iteration.

**Termination:** The loop terminates after i = n, and there are no more elements in A to add to the sum. Therefore the procedure returns the sum of every element in A[1 : n].

# **2.1-4.)** Pseudocode for linear search, proving the algorithm is correct with a loop invariant: linear search(A, n, x)

```
Index = NIL

For i = 1 to n

If A[i] = x

Index = i // x found!

Return index

Return index // no x found
```

**Initialization:** Prior to the first iteration of the loop, none of the elements in array A have been searched, so the index starts as NIL because x has not been found.

**Maintenance:** Every iteration of the for loop will act as the next index to search in A. Before the current iteration, index is still NIL because x has not been found in the elements A[1:i-1]. After the current iteration, if A[i] is not x, then the index will remain NIL before the next iteration. However if A[i] is x, then the index is stored and the loop terminates.

**Termination:** The loop terminates if during any iteration A[i] is x, or after the iteration i = n because an index for x was not found. The algorithm is correct because both cases of termination give us the desired output, either an index for x in array A, or NIL indicating x is not in array A.

**2.2-2.)** Pseudocode for selection sort, and the loop invariant maintained:

## Selection Sort(A, n)

```
For i = 1 to (n-1)

Key = A[i] // element to be exchanged

Small = key

Index = i

For j = (i+1) to n

If A[j] < small

Small = A[j]

Index = j

A[i] = small

A[index] = key
```

**Initialization:** Prior to the first iteration of the loop, when i = 1, the subarray A[1 : i-1] contains no elements and is thus sorted.

**Maintenance:** Before the current iteration, A[1:i-1] elements are in sorted order. First the value at A[i] and its index is stored along with it being the smallest element this iteration has searched. Then the nested for loop will search every element after A[i], and store the smallest and its index. Swapping A[i] with the smallest element (potentially even itself if nothing was found) ensures that A[1:i] is sorted before the next iteration.

**Termination:** The loop only terminates after i = n-1, demonstrating elements A[1 : n-1] are sorted and smaller than A[n]. Therefore A[1 : n] is in sorted order without needing to iterate all n elements.

Worst Case Runtime:  $\Theta(n^2)$ . The best case runtime is not any better, given the first for loop always iterates n times, and the second for loop n-i times. There would be less calls to the lines in the if-statement, however this does not affect the order of growth.

**2.2-3.)** The average case of linear search will need to check half of n elements, while the worst case will search all n elements. Given the average case is still n elements with a coefficient of  $\frac{1}{2}$ , the order of growth for both the average and worst case is  $\Theta(n)$ .

#### **2.3-4.)** Mathematical induction to prove the recurrence relation:

```
Assume for some positive integer k \ge 1, T(n) = nlg(n) for all n = 2^k. The base case T(2) = 2 can be represented as T(2) = 2lg(2) = 2, demonstrating the statement holds for k = 1. By substituting n = 2^{k+1} into the recurrence relation T(n) = 2T(n/2) + n: T(2^{k+1}) = 2T(2^k) + 2^{k+1}. The inductive hypothesis states T(2^k) = 2^k lg(2^k) = 2^k k, substituted above makes T(2^{k+1}) = 2(2^k k) + 2^{k+1} = 2^{k+1} k + 2^{k+1} = 2^{k+1} (k+1). Rewriting (k+1) as lg(2^{k+1}) demonstrates T(2^{k+1}) = 2^{k+1} lg(2^{k+1}) matches the form T(n) = nlg(n). Therefore T(n) = nlg(n) for all n = 2^k, k \ge 1
```

- **2.3-7.)** If insertion sort used binary search instead of linear search, the binary search would only produce a worst case runtime of  $\Theta(\lg(n))$  all n iterations of the for loop, rather than linear search causing  $\Theta(n)$  for all n iterations. This would improve insertion sort's worst case runtime to  $\Theta(\lg(n))$ .
- **2.3-8.)** Pseudocode of FindSum, looks for two elements in S that exactly sum to x:

#### FindSum(S, x)

```
Mergesort(S)
Left = 1
Right = length(S)
While left < right
Sum = S[left] + S[right]
If sum == x
Return true
Elif sum < x
Left += 1
Else
Right -= 1
Return false
```

FindSum will return true if any two elements sum to x, or false otherwise. The worst case runtime of merge sort is  $\Theta(n\lg(n))$ , and the while loops performs in linear time  $\Theta(n)$ , making FindSum's worst case runtime  $\Theta(n\lg(n))$ .

**2-1a.)** Time complexity of linear search on n/k sublists each length k:

Each sublist of length k would take  $\Theta(k^2)$  worst case runtime during the linear search, with n/k sublists to sort, the total runtime is  $\Theta(k^2*n/k) = \Theta(nk)$ .

**2-1b.)** Time complexity of merging n/k sublists:

The merge can be demonstrated as a tree with lg(n/k) levels each with n elements to compare, resulting in  $\Theta(nlg(n/k))$ .

**2-1c.)** Largest value of k as a function of n to match merge sorts runtime:

Due to the nk term in the modified algorithms runtime  $\Theta(nk + nlg(n/k))$ , any value greater than k = lg(n) would result in a runtime greater than  $\Theta(nlg(n))$ . When k = lg(n) the term nlg(n/k) = nlg(n/lg(n)) which asymptotically grows smaller than nlg(n) and is not considered in the  $\Theta$ . Therefore k = lg(n) results in the same runtime as standard merge sort.

**2-1d.)** In practice, k should be a value smaller than lg(n) in order to improve the runtime of standard merge sort.

- **2-3a.)** The Horner procedure has a running time of  $\Theta(n)$  from the for loop iterating n times.
- **2-3b.)** Naive polynomial-evaluation computing each term from scratch:

## Naive-Horner(A, n, x)

```
P = 0
For k = 0 to n
Exp = 1
For i = 1 to k //calculating kth exponential term
Exp = exp * x
P = p + A[k] * exp
```

This method of implementation requires a runtime of  $\Theta(n^2)$  due to the nested for loop required to compute the  $x^k$  term.

**2-3c.)** Loop invariant of horner procedure, termination resulting in  $p = \sum_{k=0}^{n} A[k] * x^{k}$ :

**Initialization:** Since the summation has not added any terms, p = 0.

**Maintenance:** Before the ith iteration  $p = \sum_{k=0}^{n-(i+1)} A[k+i+1] * x^k$ , and by the end of the iteration  $p = \sum_{k=0}^{n-i} A[k+i] * x^k$ .

**Termination:** The loop terminates after i = 0, in which  $p = \sum_{k=0}^{n-i} A[k+i] * x^k = \sum_{k=0}^{n} A[k] * x^k$ .