

LP rounding technique:

Write weighted v.c. problem as integer linear program. Min $\sum x_u w_u$ (x_u is variable and belongs to $\{0,1\}$). w_u given.

For each edge $x_u + x_v \geq 1$

Relax integrality constraints to get an LP.

Replace $x_u \in \{0,1\}$ with $x_u \geq 0$ for all u .

Round fractional solution to get a solution in your integral feasible space. If $x_u \geq .5$, add to vertex cover. $x < .5$, don't add to vc.

Objective goes up by at most $2x$ because you replaced all $x \geq .5$ by 1 and just got rid of all $x_u < .5$

Randomized Algorithm:

$E[x]$ = expectation of x

$E \Pr(e) X(e)$

Linearity of expectation:

$E[x+y] = E[x] + E[y]$

$X_i = 0$ if event doesn't happen, $=1$ if it does

happen. $E[x] = 0 \cdot \Pr(x=0) + 1 \cdot \Pr(x=1) = \Pr(x=1)$

Markov's:

$\Pr(x \geq t) \leq E[x]/t$

$\text{Var}(x) = E[x^2] - (E[x])^2$

Chebyshev:

$\Pr[|X-E[x]| > t] <$

$\text{var}(x)/t^2$

Lemma 2.1.5 $E[\text{num. edges in cut}] = \frac{m}{2}$

Proof: Let us number the edges 1 to m . Define an indicator variable X_i for each edge i s.t. $X_i = 1$ if the edge crosses the cut and $X_i = 0$ if the edge doesn't cross the cut. Since we assigned the vertices independently randomly, probability that both endpoints of i are in the same set = probability that the endpoints are in different sets = $\frac{1}{2}$. Hence, $E[X_i] = \frac{1}{2}$. Expected total number of edges crossing the cut = $\sum_{i=1}^m E[X_i] = \frac{m}{2}$ by linearity of expectation. ■ Hence the random algorithm is a 2-approx algorithm on expectation.

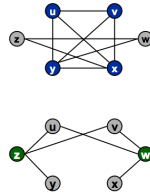
Vertex Cover and Clique

Claim. VERTEX COVER \leq_p CLIQUE.

- Given an undirected graph $G = (V, E)$, its complement is $G' = (V, E')$, where $E' = \{(v, w) : (v, w) \notin E\}$.
- G has a clique of size k if and only if G' has a vertex cover of size $|V| - k$.

Proof. \Rightarrow

- Suppose G has a clique S with $|S| = k$.
- Consider $S' = V - S$.
- $|S'| = |V| - k$.
- To show S' is a cover, consider any edge $(v, w) \in E'$.
 - then $(v, w) \notin E$
 - at least one of v or w is not in S (since S forms a clique)
 - at least one of v or w is in S'
 - hence (v, w) is covered by S'



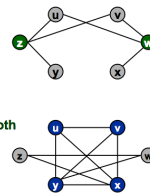
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Proof. \Leftarrow

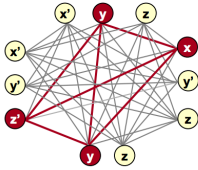
- Suppose G' has a cover S' with $|S'| = |V| - k$.
- Consider $S = V - S'$.
- Clearly $|S| = k$.
- To show S is a clique, consider some edge $(v, w) \in E'$.
 - if $(v, w) \in E'$, then either $v \in S'$, $w \in S'$, or both
 - by contrapositive, if $v \notin S'$ and $w \notin S'$, then $(v, w) \in E$
 - thus S is a clique in G



Satisfiability Reduces to Clique

Claim. CNF-SAT \leq_p CLIQUE.

- Given instance of CNF-SAT, create a person for each literal in each clause.
- Two people know each other except if:
 - they come from the same clause
 - they represent a literal and its negation
- Clique of size $C \Rightarrow$ satisfiable assignment.
- Satisfiable assignment \Rightarrow clique of size C .
 - $(x, y, z) = (\text{true}, \text{true}, \text{false})$
 - choose one true literal from each clause



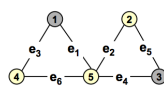
$(x' + y + z)(x + y' + z)(y + z)(x' + y' + z)$
C = 4 clauses

Subset Sum

Claim. G has vertex cover of size k if and only if there is a subset S that sums to exactly t .

Proof. \Rightarrow

- Suppose G has a vertex cover C of size k .
- Let $S = C \cup \{y_i : |e_i \cap C| = 1\}$
 - most significant bits add up to k
 - remaining bits add up to 2



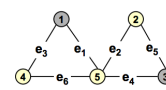
	e1	e2	e3	e4	e5	e6	decimal
x1	1	1	0	1	0	0	5,184
x2	1	0	1	0	0	1	4,356
x3	1	0	0	0	1	1	4,116
x4	1	0	0	1	0	0	4,161
x5	1	1	1	0	1	0	5,393
y1	0	1	0	0	0	0	1,024
y2	0	0	1	0	0	0	256
y3	0	0	0	1	0	0	64
y4	0	0	0	0	1	0	16
y5	0	0	0	0	0	1	4
y6	0	0	0	0	0	1	1
t	3	2	2	2	2	2	15,018

Subset Sum

Claim. G has vertex cover of size k if and only if there is a subset S that sums to exactly t .

Proof. \Leftarrow

- Suppose subset S sums to t .
- Let $C = S \cap \{x_1, \dots, x_n\}$.
 - each edge has three 1's, so no carries possible
 - $|C| = k$
 - at least one x_i must contribute to sum for e_i



	e1	e2	e3	e4	e5	e6	decimal
x1	1	1	0	1	0	0	5,184
x2	1	0	1	0	0	1	4,356
x3	1	0	0	0	1	1	4,116
x4	1	0	0	1	0	0	4,161
x5	1	1	1	0	1	0	5,393
y1	0	1	0	0	0	0	1,024
y2	0	0	1	0	0	0	256
y3	0	0	0	1	0	0	64
y4	0	0	0	0	1	0	16
y5	0	0	0	0	0	1	4
y6	0	0	0	0	0	1	1
t	3	2	2	2	2	2	15,018

Partition

SUBSET-SUM: Given a set X of integers and a target integer t , is there a subset $S \subseteq X$ whose elements sum to exactly t .

PARTITION: Given a set X of integers, is there a subset $S \subseteq X$ such that $\sum_{a \in S} a = \sum_{a \in X \setminus S} a$.

Claim. SUBSET-SUM \leq_p PARTITION.

Proof. Let (X, t) be an instance of SUBSET-SUM.

- Define W to be sum of integers in X : $W = \sum_{a \in X} a$.
- Create instance of PARTITION: $X' = X \cup \{2W - t\} \cup \{W + t\}$.
- SUBSET-SUM instance is yes if and only if PARTITION instance is.
 - In any partition of X'
 - Each half of partition sums to $2W$.
 - Two new elements can't be in same partition.
 - Discard new elements \Rightarrow subset of X that sums to t .

Theorem 2 Greedy outputs an independent set S such that $|S| \geq n/(\Delta + 1)$ where Δ is the maximum degree of any node in the graph.

GREEDY(G):

$S \leftarrow \emptyset$

While G is not empty do

Let v be a node of minimum degree in G

$S \leftarrow S \cup \{v\}$

Remove v and its neighbors from G

end while

Output S

Proof: We upper bound the number of nodes in $V \setminus S$ as follows. A node u is in $V \setminus S$ because it is removed as a neighbor of some node $v \in S$ when Greedy added v to S . Charge u to v . A node $v \in S$ can be charged at most Δ times since it has at most Δ neighbors. Hence we have that $|V \setminus S| \leq \Delta|S|$. Since every node is either in S or $V \setminus S$ we have $|S| + |V \setminus S| = n$ and therefore $(\Delta + 1)|S| \geq n$ which implies that $|S| \geq n/(\Delta + 1)$. ■

Since the maximum independent set size in a graph is n we obtain the following.

Corollary 3 Greedy gives a $\frac{1}{\Delta+1}$ -approximation for (unweighted) MIS in graphs of degree at most Δ .

LP Relaxation: One can formulate a simple linear-programming relaxation for the (weighted) MIS problem where we have a variable $x(v)$ for each node $v \in V$ indicating whether v is chosen in the independent set or not. We have constraints which state that for each edge (u, v) only one of u or v can be chosen.

$$\begin{aligned} & \text{maximize } \sum_{v \in V} w(v)x(v) \\ & \text{subject to } x(u) + x(v) \leq 1 \quad (u, v) \in E \\ & \quad \quad \quad x(v) \in [0, 1] \quad v \in V \end{aligned}$$

Although the above is a valid integer programming relaxation of MIS when the variables are constrained to be in $\{0, 1\}$, it is not a particularly useful formulation for the following simple reason.

Claim 4 For any graph the optimum value of the above LP relaxation is at least $w(V)/2$. In particular, for the unweighted case it is at least $n/2$.

Linear Programming:

Min/Max a linear objective subject to linear constraints:

Min: $E c_i x_i$ Max: $E c_i x_i$

s.t $Ax \geq b$ $Ax \leq b$

In most cases, $x \geq 0$ because they're nonnegative.

Any maximization/minimization problem can be written as LP.

Integer LP programming is NP hard, but it generalizes every problem.

Duality: change a max to min and vice versa

Primal: Dual:

Min $c x$ max $b y$

s.t. $Ax \geq b$ s.t. $A^T y \leq c$ (transpose of A)

NP

NP means a problem that we do not know of a polynomial time algorithm, and we cannot prove one exists.

How to prove a problem is NP hard:

1. If we know a solution to the problem, then we can verify the solution is right/wrong in polynomial time. Thus, we need to prove that if a solution is provided, we have an algorithm that validates the solution and prove its polynomial time.
2. Identify a known NP hard problem x , and do a reduction to the current problem y , and we need to prove that x is polynomial time reducible to y . $x \leq_p y$. We should also prove that $y \leq_p x$.
3. Proving $x \leq_p y$. first, do a reduction from x to y to prove the reduction is valid. Next, prove that the reduction is polynomial time.

Independent Set: Given a graph $G=(V,E)$ we say that a set of nodes $S \subseteq V$ is independent if no two nodes in S are joined by an edge, i.e., nodes in S are not adjacent. IS at least k (maximization)

Vertex Cover: Given a graph $G=(V,E)$, we say that a set of nodes $S \subseteq V$ is a Vertex Cover if every edge $e \in E$ has at least one end in S , i.e., S covers all edges. VC is at most k (minimization problem).

Proof $IS \leq_p VC$

- First, suppose that S is an independent set.

- Let $e=(u,v)$ be an arbitrary edge in G .

- Since S is independent, it cannot be the case that both u and v are in S as that would contradict the claim that S is an independent set.

- Therefore, one of the endpoints of L must lie in the set $V-S$.

- Therefore, since S is an independent set, it follows that this must be true $\forall e \in G$. i.e., every edge has at least one end in $V-S$. By definition, $V-S$ is a vertex cover.

- Suppose $V-S$ is a vertex cover.

- Consider any two nodes u and $v \in S$.

- If u and v were joined by an edge, then not both ends of the edge would lie in $V-S$, contradicts our assumption that $V-S$ is a Vertex Cover.

- No two nodes in S are joined by an edge.

- So, S is an independent set. We can conclude that IS and VC are closely related to each other.

Input: given a set X of n Boolean variables x_1, x_2, \dots, x_n each can take the value 0 or 1 (equivalently to false or true).

A clause is a disjunction of distinct terms where every term contains the variable x_i or x_i'

F is a formula consists of conjunction of clauses.

E.g. $F=(x_1 \vee x_2 \vee x_3') \wedge (x_4 \vee x_5' \vee x_1) \wedge (x_3' \vee x_4' \vee x_6)$

F is satisfiable if we can assign truth values to variables (not literals -- a var or it's negation) to make the entire formula true. In this example ($x_1=1$ and $x_2=x_3=x_4=x_5=x_6=0$) • Satisfiability problem: given a set of clauses C, C_1, \dots, C_k , over a set of $X=\{x_1, x_2, \dots, x_n\}$, is there a satisfying truth assignment?

3SAT is each clause is restricted to EXACTLY 3 literals but we can have any number of clauses

Sat to 3SAT conversion:

One var, 2 unknown: $\{x \vee z_1 \vee z_2\}, \{x \vee z_1 \vee z_2'\}, \{x \vee z_1' \vee z_2\}$, and $\{x \vee z_1' \vee z_2'\}$

Two var, 1 unknown: $\{x_1 \vee x_2 \vee z\}$ and $\{x_1 \vee x_2 \vee z'\}$

3 var, 0 unknown (3-Sat): $\{x_1 \vee x_2 \vee x_3\}$.

4+ var:

Let C_i be equal to $\{x_1 \vee x_2 \vee \dots \vee x_k\}$. We create $k-3$ new variables and $k-2$ new clauses in a chain where for $2 \leq j \leq k-3$, $C_{i,j}=\{z_{i,j-1} \vee x_{j+1} \vee z_{i,j}\}$, $C_{i,1}=\{x_1 \vee x_2 \vee z_{i,1}\}$, and $C_{i,k-2}=\{z_{i,k-3} \vee x_{k-1} \vee x_k\}$ If none of the original literals in C_i is true, then there are not enough free variables to be able to satisfy all of the new subclasses. If you satisfy $C_{i,1}$ by setting $z_{i,1}$ to false, it would require $z_{i,2}=false$ and so on until $C_{i,k-2}$ cannot be satisfied and thus the clause cannot be satisfied. However if any of the single literal x_i is

$L=a+b+$

$E=\{a, b, _ \}$ #alphabets

$Q=\{s_0, ACCEPT, REJECT, s_1, s_2\}$ #stats

$(a, s_0) \rightarrow (a, s_1, 'move\ right')$

$(b, s_0) \rightarrow (b, REJECT, stay);$

$(_ , s_0) \rightarrow (_ , REJECT, stay);$

$(a, s_1) \rightarrow (a, s_1, 'move\ right');$

$(b, s_1) \rightarrow (b, s_2, 'move\ right');$

$(_ , s_1) \rightarrow (_ , REJECT, stay);$

$(a, s_2) \rightarrow (a, REJECT, stay);$

$(b, s_2) \rightarrow (b, s_2, 'move\ right');$

$(_ , s_2) \rightarrow (_ , accept, stay);$

$L=a*b*$

$E=\{a, b, _ \}$ #alphabets

$Q=\{s_0, ACCEPT, REJECT, s_1, s_2\}$ #stats

$(a, s_0) \rightarrow (a, s_1, 'move\ right')$

$(b, s_0) \rightarrow (b, s_2, 'move\ right')$

$(_ , s_0) \rightarrow (_ , accept, stay)$

$(a, s_1) \rightarrow (a, s_1, 'move\ right')$

$(b, s_1) \rightarrow (b, s_2, 'move\ right')$

$(_ , s_1) \rightarrow (_ , accept, stay)$

$(a, s_2) \rightarrow (a, reject, stay)$

$(b, s_2) \rightarrow (b, s_2, 'move\ right')$

$(_ , s_2) \rightarrow (_ , accept, stay)$

The infinite loop in a TM example:

$\{a, si\} \rightarrow (a, sj, 'move\ right')$

$\{b, sj\} \rightarrow (b, si, 'move\ left');$

The number of transition entries for a TM equals to $|E| \times |Q| - \{accept, reject\}$

Clique: $IS \leq_p clique$. A clique is a subset S of V such that for every two nodes u, v in S , there exists an edge from u to v . The reduction is by creating a complement graph G' , which has same vertices but opposite edges. $E'=V \times V - E$.

Approximation Algorithm:

[our answer] $\leq \alpha \times$ [correct answer]

where $\alpha \geq 1$;

A Load Balancing Problem (NP – HARD)

M machines, N Jobs. Each job has a non negative weight w_i .

Goal: Minimize the max load of any one machine.

First version of input is non sorted.

L^* is the optimal maximum load.

Claims: $w \leq L^*$ and $L \leq L^*$

Total load = $M \cdot L$. Therefore average load is $M \cdot L / M \leq L^*$

Claims: $2L^* \geq w + L$ by adding the above two claims

This holds at every step, which means our answer $\leq 2L^*$

Second version of input is sorted in descending order of weights

Add a weight w to a machine of load L

Case1:: $L=0 \rightarrow L+w=w \leq L^*$

Case2: $L>0$, at least $m+1$ jobs have the weight $\geq w$.

$L^* \geq 2w$, $w \leq L^*/2$

$L + w \leq L^* + L^*/2 \leq 1.5 L^*$

$A_{tm} = \{(M,w), M \text{ is a TM and } M \text{ accepts } w\}$

Assum A_{tm} is decidable, and thus we construct H a decider for A_{tm} such that

$H(M,w) = \{accept \text{ if } M \text{ accepts } w, \text{ and reject if } M \text{ does not accept } w\}$

Construct a new TM D with H as a subroutine. It feeds a TM as the input.

$D = \text{"On input } \langle M \rangle, \text{ where } M \text{ is a TM:}$

1. Run H on input $\langle M, \langle M \rangle \rangle$

2. Output the opposite of what H outputs, that is if H accepts, reject, and if H rejects, accept.

Call D with itself as an input. $D \leq D \Rightarrow \{accept \text{ if } D \text{ does not accept } D, \text{ reject if } D \text{ accepts. This is a contradiction.}$

If the language L is decidable, then its complement \bar{L} is also decidable. If L is decidable, there exists a TM that can detect L and another TM that can detect \bar{L} .

$Atm = \langle M, w \rangle$; M is a turing machine, w is a string

$M \rightarrow$ accepts if w belongs to L

\rightarrow reject if w does not belong to L

If L is decidable then L' is also decidable. i.e L' has a turing m/c M' such that M' accept w if w belong L' and rejects if w does not belong to L' .

Weighted Set Cover (NP Hard):

$U \rightarrow$ universe of size m

S_1, \dots, S_k are subsets of U , such that $U = \bigcup S_i$.

Each s_i has a weight $w_i > 0$.

Subset of $\{s_1, \dots, s_k\}$ is a "set cover" if the union of the elements of the subset = U .

Goal: Find a set cover with the minimum weight.

$E W_{\alpha_i}$ is minimized.

Greedy rule: Choose the next s_i that minimizes

$w_i / |s_i|$ where $|s_i|$ is num of elems in S_i

Algo:

T is the set of uncovered elements. Pick an s_i that

minimized $(w_i / |s_i \cap T|)$. We get a

$O(\log(n))$ approximation ratio.

Charging Scheme: How much do you charge for elements covered?

Claim: For any set s_i total of charges assigned to elements of $s_i \leq w_i(1 + .5 + \dots + 1/|s_i|)$.

Approximation Proof:

Let S_1, S_2, S_3 be the optimal set cover.

Therefore, the cost of adding $S_1 \leq w_1 * H_n$,

$S_3 \leq w_3 * H_n$, $S_3 \leq w_3 * H_n$. Therefore, the

total cost $\leq (w_1 + w_2 + w_3) * H_n$. Therefore,

the total cost = $w * H_n$

Converting from Vertex Cover to Set Cover. U is

the list of all edges, S_i is the list of edges incident on vertex i .

We can get an approximation of H_d where d is the max degree of the graph.

2-approx for weighted vertex cover.

Maintain a non negative charge C_e for each edge

e . Initialize $C_e = 0$ for all edges. Maintain

invariant that $E C_{(u,v)} \leq w_u$ for every u in V .

The charges induce a coloring of the vertices if inequality for a vertex is an equality. If it is a tight inequality, it is red, not tight, it is blue.

Which there is an edge that is blue, increase $C_{(u,v)}$ until at least one of (u,v) becomes red. Return the set of red vertices. Let u^* be an optimal vertex cover with $w(u^*) = w^*$. Let U be the output of our algorithm, $w(U) \leq 2w^*$

Claim: $w(u^*) \geq E c_e =$ sum of all edge charges.

$w_i \geq$ total remaining charge on edges adjacent to i .

Therefore, $E w_i \geq E \text{ total.}$

$w(u^*) \geq E c_e$ where e belongs to E .

Claim: $w(u) \leq 2 * E c_e$.

$= 2 * \text{Total of all charges}$

Consider an edge (u,v) , fill in the buckets with $c_{(u,v)}$ in each bucket. Observe that the total $\$$ in bag at $u = w_u$ if $u \in U$. Thus, the total $\$$ distributed = $E w_u = w(u)$ to vertices in U . $2 * \text{Total charges} = \text{Total distributed. Thus, } 2 * E c_e \geq \text{total } \$ \text{ dist} = E w_u = W(u)$.