

## LP rounding technique:

Write weighted v.c. problem as integer linear program. Min  $\sum x_u w_u$  ( $x_u$  is variable and belongs to  $\{0,1\}$ ).  $w_u$  given.

For each edge  $x_u + x_v \geq 1$

Relax integrality constraints to get an LP.

Replace  $x_u \in \{0,1\}$  with  $x_u \geq 0$  for all  $u$ .

Round fractional solution to get a solution in your integral feasible space. If  $x_u \geq .5$ , add to vertex cover.  $x < .5$ , don't add to vc.

Objective goes up by at most  $2x$  because you replaced all  $x \geq .5$  by 1 and just got rid of all  $x_u < .5$

## Randomized Algorithm:

$E[x]$  = expectation of  $x$

$E \Pr(e) X(e)$

Linearity of expectation:

$E[x+y] = E[x] + E[y]$

$X_i = 0$  if event doesn't happen,  $=1$  if it does

happen.  $E[x] = 0 \cdot \Pr(x=0) + 1 \cdot \Pr(x=1) = \Pr(x=1)$

Markov's:

$\Pr(x \geq t) \leq E[x]/t$

$\text{Var}(x) = E[x^2] - (E[x])^2$

Chebyshev:

$\Pr[|X-E[x]| > t] <$

$\text{var}(x)/t^2$

**Lemma 2.1.5**  $E[\text{num. edges in cut}] = \frac{m}{2}$

**Proof:** Let us number the edges 1 to  $m$ . Define an indicator variable  $X_i$  for each edge  $i$  s.t.  $X_i = 1$  if the edge crosses the cut and  $X_i = 0$  if the edge doesn't cross the cut. Since we assigned the vertices independently randomly, probability that both endpoints of  $i$  are in the same set = probability that the endpoints are in different sets =  $\frac{1}{2}$ . Hence,  $E[X_i] = \frac{1}{2}$ . Expected total number of edges crossing the cut =  $\sum_{i=1}^m E[X_i] = \frac{m}{2}$  by linearity of expectation. ■ Hence the random algorithm is a 2-approx algorithm on expectation.

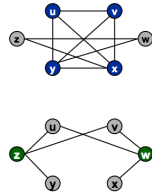
## Vertex Cover and Clique

**Claim.** VERTEX COVER  $\leq_p$  CLIQUE.

- Given an undirected graph  $G = (V, E)$ , its complement is  $G' = (V, E')$ , where  $E' = \{(v, w) : (v, w) \notin E\}$ .
- $G$  has a clique of size  $k$  if and only if  $G'$  has a vertex cover of size  $|V| - k$ .

**Proof.**  $\Rightarrow$

- Suppose  $G$  has a clique  $S$  with  $|S| = k$ .
- Consider  $S' = V - S$ .
- $|S'| = |V| - k$ .
- To show  $S'$  is a cover, consider any edge  $(v, w) \in E'$ .
  - then  $(v, w) \notin E$
  - at least one of  $v$  or  $w$  is not in  $S$  (since  $S$  forms a clique)
  - at least one of  $v$  or  $w$  is in  $S'$
  - hence  $(v, w)$  is covered by  $S'$



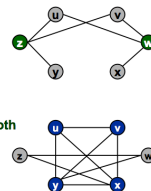
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**Proof.**  $\Leftarrow$

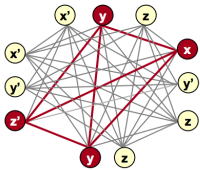
- Suppose  $G'$  has a cover  $S'$  with  $|S'| = |V| - k$ .
- Consider  $S = V - S'$ .
- Clearly  $|S| = k$ .
- To show  $S$  is a clique, consider some edge  $(v, w) \in E'$ .
  - if  $(v, w) \in E'$ , then either  $v \in S'$ ,  $w \in S'$ , or both
  - by contrapositive, if  $v \notin S'$  and  $w \notin S'$ , then  $(v, w) \in E$
  - thus  $S$  is a clique in  $G$



## Satisfiability Reduces to Clique

**Claim.** CNF-SAT  $\leq_p$  CLIQUE.

- Given instance of CNF-SAT, create a person for each literal in each clause.
- Two people know each other except if:
  - they come from the same clause
  - they represent a literal and its negation
- Clique of size  $C \Rightarrow$  satisfiable assignment.
- Satisfiable assignment  $\Rightarrow$  clique of size  $C$ .
  - $(x, y, z) = (\text{true}, \text{true}, \text{false})$
  - choose one true literal from each clause



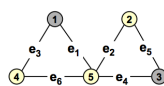
$(x' + y + z)(x + y' + z)(y + z)(x' + y' + z)$   
C = 4 clauses

## Subset Sum

**Claim.**  $G$  has vertex cover of size  $k$  if and only if there is a subset  $S$  that sums to exactly  $t$ .

**Proof.**  $\Rightarrow$

- Suppose  $G$  has a vertex cover  $C$  of size  $k$ .
- Let  $S = C \cup \{y_i : |e_i \cap C| = 1\}$ 
  - most significant bits add up to  $k$
  - remaining bits add up to 2



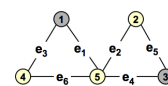
	e1	e2	e3	e4	e5	e6	decimal
x1	1	1	0	1	0	0	5,184
x2	1	0	1	0	0	1	4,356
x3	1	0	0	0	1	1	4,116
x4	1	0	0	1	0	0	4,161
x5	1	1	1	0	0	1	5,393
y1	0	1	0	0	0	0	1,024
y2	0	0	1	0	0	0	256
y3	0	0	0	1	0	0	64
y4	0	0	0	0	1	0	16
y5	0	0	0	0	0	1	4
y6	0	0	0	0	0	1	1
t	3	2	2	2	2	2	15,018

## Subset Sum

**Claim.**  $G$  has vertex cover of size  $k$  if and only if there is a subset  $S$  that sums to exactly  $t$ .

**Proof.**  $\Leftarrow$

- Suppose subset  $S$  sums to  $t$ .
- Let  $C = S \cap \{x_1, \dots, x_n\}$ .
  - each edge has three 1's, so no carries possible
  - $|C| = k$
  - at least one  $x_i$  must contribute to sum for  $e_i$



	e1	e2	e3	e4	e5	e6	decimal
x1	1	1	0	1	0	0	5,184
x2	1	0	1	0	0	1	4,356
x3	1	0	0	0	1	1	4,116
x4	1	0	0	1	0	0	4,161
x5	1	1	1	0	0	1	5,393
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y3	0	0	0	1	0	0	64
y4	0	0	0	0	1	0	16
y5	0	0	0	0	0	1	4
y6	0	0	0	0	0	1	1
t	3	2	2	2	2	2	15,018

## Partition

**SUBSET-SUM:** Given a set  $X$  of integers and a target integer  $t$ , is there a subset  $S \subseteq X$  whose elements sum to exactly  $t$ .

**PARTITION:** Given a set  $X$  of integers, is there a subset  $S \subseteq X$  such that  $\sum_{a \in S} a = \sum_{a \in X \setminus S} a$ .

**Claim.** SUBSET-SUM  $\leq_p$  PARTITION.

**Proof.** Let  $(X, t)$  be an instance of SUBSET-SUM.

- Define  $W$  to be sum of integers in  $X$ :  $W = \sum_{a \in X} a$ .
- Create instance of PARTITION:  $X' = X \cup \{2W - t\} \cup \{W + t\}$ .
- SUBSET-SUM instance is yes if and only if PARTITION instance is.
  - In any partition of  $X'$ 
    - Each half of partition sums to  $2W$ .
    - Two new elements can't be in same partition.
    - Discard new elements  $\Rightarrow$  subset of  $X$  that sums to  $t$ .

**Theorem 2** Greedy outputs an independent set  $S$  such that  $|S| \geq n/(\Delta + 1)$  where  $\Delta$  is the maximum degree of any node in the graph.

**GREEDY( $G$ ):**

$S \leftarrow \emptyset$

While  $G$  is not empty do

Let  $v$  be a node of minimum degree in  $G$

$S \leftarrow S \cup \{v\}$

Remove  $v$  and its neighbors from  $G$

end while

Output  $S$

**Proof:** We upper bound the number of nodes in  $V \setminus S$  as follows. A node  $u$  is in  $V \setminus S$  because it is removed as a neighbor of some node  $v \in S$  when Greedy added  $v$  to  $S$ . Charge  $u$  to  $v$ . A node  $v \in S$  can be charged at most  $\Delta$  times since it has at most  $\Delta$  neighbors. Hence we have that  $|V \setminus S| \leq \Delta|S|$ . Since every node is either in  $S$  or  $V \setminus S$  we have  $|S| + |V \setminus S| = n$  and therefore  $(\Delta + 1)|S| \geq n$  which implies that  $|S| \geq n/(\Delta + 1)$ . ■

Since the maximum independent set size in a graph is  $n$  we obtain the following.

**Corollary 3** Greedy gives a  $\frac{1}{\Delta+1}$ -approximation for (unweighted) MIS in graphs of degree at most  $\Delta$ .

**LP Relaxation:** One can formulate a simple linear-programming relaxation for the (weighted) MIS problem where we have a variable  $x(v)$  for each node  $v \in V$  indicating whether  $v$  is chosen in the independent set or not. We have constraints which state that for each edge  $(u, v)$  only one of  $u$  or  $v$  can be chosen.

$$\begin{aligned} & \text{maximize } \sum_{v \in V} w(v)x(v) \\ & \text{subject to } x(u) + x(v) \leq 1 \quad (u, v) \in E \\ & \quad \quad \quad x(v) \in [0, 1] \quad v \in V \end{aligned}$$

Although the above is a valid integer programming relaxation of MIS when the variables are constrained to be in  $\{0, 1\}$ , it is not a particularly useful formulation for the following simple reason.

**Claim 4** For any graph the optimum value of the above LP relaxation is at least  $w(V)/2$ . In particular, for the unweighted case it is at least  $n/2$ .

## Linear Programming:

Min/Max a linear objective subject to linear constraints:

Min:  $E c_i x_i$                       Max:  $E c_i x_i$

s.t  $Ax \geq b$                        $Ax \leq b$

In most cases,  $x \geq 0$  because they're nonnegative.

Any maximization/minimization problem can be written as LP.

Integer LP programming is NP hard, but it generalizes every problem.

Duality: change a max to min and vice versa

Primal:                      Dual:

Min  $c x$                       max  $b y$

s.t.  $Ax \geq b$                       s.t.  $A^T y \leq c$  (transpose of A)

## NP

NP means a problem that we do not know of a polynomial time algorithm, and we cannot prove one exists.

How to prove a problem is NP hard:

1. If we know a solution to the problem, then we can verify the solution is right/wrong in polynomial time. Thus, we need to prove that if a solution is provided, we have an algorithm that validates the solution and prove its polynomial time.
2. Identify a known NP hard problem  $x$ , and do a reduction to the current problem  $y$ , and we need to prove that  $x$  is polynomial time reducible to  $y$ .  $x \leq_p y$ . We should also prove that  $y \leq_p x$ .
3. Proving  $x \leq_p y$ . first, do a reduction from  $x$  to  $y$  to prove the reduction is valid. Next, prove that the reduction is polynomial time.

Independent Set: Given a graph  $G=(V,E)$  we say that a set of nodes  $S \subseteq V$  is independent if no two nodes in  $S$  are joined by an edge, i.e., nodes in  $S$  are not adjacent. IS at least  $k$  (maximization)

Vertex Cover: Given a graph  $G=(V,E)$ , we say that a set of nodes  $S \subseteq V$  is a Vertex Cover if every edge  $e \in E$  has at least one end in  $S$ , i.e.,  $S$  covers all edges. VC is at most  $k$  (minimization problem).

Proof  $IS \leq_p VC$

- First, suppose that  $S$  is an independent set.

- Let  $e=(u,v)$  be an arbitrary edge in  $G$ .

- Since  $S$  is independent, it cannot be the case that both  $u$  and  $v$  are in  $S$  as that would contradict the claim that  $S$  is an independent set.

- Therefore, one of the endpoints of  $L$  must lie in the set  $V-S$ .

- Therefore, since  $S$  is an independent set, it follows that this must be true  $\forall e \in G$ . i.e., every edge has at least one end in  $V-S$ . By definition,  $V-S$  is a vertex cover.

- Suppose  $V-S$  is a vertex cover.

- Consider any two nodes  $u$  and  $v \in S$ .

- If  $u$  and  $v$  were joined by an edge, then not both ends of the edge would lie in  $V-S$ , contradicts our assumption that  $V-S$  is a Vertex Cover.

- No two nodes in  $S$  are joined by an edge.

- So,  $S$  is an independent set. We can conclude that IS and VC are closely related to each other.

Input: given a set  $X$  of  $n$  Boolean variables  $x_1, x_2, \dots, x_n$  each can take the value 0 or 1 (equivalently to false or true).

A clause is a disjunction of distinct terms where every term contains the variable  $x_i$  or  $x_i'$

$F$  is a formula consists of conjunction of clauses.

E.g.  $F=(x_1 \vee x_2 \vee x_3') \wedge (x_4 \vee x_5' \vee x_1) \wedge (x_3' \vee x_4' \vee x_6)$

$F$  is satisfiable if we can assign truth values to variables (not literals -- a var or it's negation) to make the entire formula true. In this example ( $x_1=1$  and  $x_2=x_3=x_4=x_5=x_6=0$ ) • Satisfiability problem: given a set of clauses  $C, C_1, \dots, C_k$ , over a set of  $X=\{x_1, x_2, \dots, x_n\}$ , is there a satisfying truth assignment?

3SAT is each clause is restricted to EXACTLY 3 literals but we can have any number of clauses

Sat to 3SAT conversion:

One var, 2 unknown:  $\{x \vee z_1 \vee z_2\}, \{x \vee z_1 \vee z_2'\}, \{x \vee z_1' \vee z_2\}$ , and  $\{x \vee z_1' \vee z_2'\}$

Two var, 1 unknown:  $\{x_1 \vee x_2 \vee z\}$  and  $\{x_1 \vee x_2 \vee z'\}$

3 var, 0 unknown (3-Sat):  $\{x_1 \vee x_2 \vee x_3\}$ .

4+ var:

Let  $C_i$  be equal to  $\{x_1 \vee x_2 \vee \dots \vee x_k\}$ . We create  $k-3$  new variables and  $k-2$  new clauses in a chain where for  $2 \leq j \leq k-3$ ,  $C_{i,j}=\{z_{i,j-1} \vee x_{j+1} \vee z_{i,j}\}$ ,  $C_{i,1}=\{x_1 \vee x_2 \vee z_{i,1}\}$ , and  $C_{i,k-2}=\{z_{i,k-3} \vee x_{k-1} \vee x_k\}$  If none of the original literals in  $C_i$  is true, then there are not enough free variables to be able to satisfy all of the new subclasses. If you satisfy  $C_{i,1}$  by setting  $z_{i,1}$  to false, it would require  $z_{i,2}=false$  and so on until  $C_{i,k-2}$  cannot be satisfied and thus the clause cannot be satisfied. However if any of the single literal  $x_i$  is

$L=a+b+$

$E=\{a, b, \_ \}$  #alphabets

$Q=\{s_0, ACCEPT, REJECT, s_1, s_2\}$  #stats

$(a, s_0) \rightarrow (a, s_1, 'move\ right')$

$(b, s_0) \rightarrow (b, REJECT, stay);$

$(\_ , s_0) \rightarrow (\_ , REJECT, stay);$

$(a, s_1) \rightarrow (a, s_1, 'move\ right');$

$(b, s_1) \rightarrow (b, s_2, 'move\ right');$

$(\_ , s_1) \rightarrow (\_ , REJECT, stay);$

$(a, s_2) \rightarrow (a, REJECT, stay);$

$(b, s_2) \rightarrow (b, s_2, 'move\ right');$

$(\_ , s_2) \rightarrow (\_ , accept, stay);$

$L=a*b*$

$E=\{a, b, \_ \}$  #alphabets

$Q=\{s_0, ACCEPT, REJECT, s_1, s_2\}$  #stats

$(a, s_0) \rightarrow (a, s_1, 'move\ right')$

$(b, s_0) \rightarrow (b, s_2, 'move\ right')$

$(\_ , s_0) \rightarrow (\_ , accept, stay)$

$(a, s_1) \rightarrow (a, s_1, 'move\ right')$

$(b, s_1) \rightarrow (b, s_2, 'move\ right')$

$(\_ , s_1) \rightarrow (\_ , accept, stay)$

$(a, s_2) \rightarrow (a, reject, stay)$

$(b, s_2) \rightarrow (b, s_2, 'move\ right')$

$(\_ , s_2) \rightarrow (\_ , accept, stay)$

The infinite loop in a TM example:

$\{a, si\} \rightarrow (a, sj, 'move\ right')$

$\{b, sj\} \rightarrow (b, si, 'move\ left');$

The number of transition entries for a TM equals to  $|E| \times |Q| - \{accept, reject\}$

Clique:  $IS \leq_p clique$ . A clique is a subset  $S$  of  $V$  such that for every two nodes  $u, v$  in  $S$ , there exists an edge from  $u$  to  $v$ . The reduction is by creating a complement graph  $G'$ , which has same vertices but opposite edges.  $E'=V \times V - E$ .

Approximation Algorithm:

[our answer]  $\leq \alpha \times$  [correct answer]

where  $\alpha \geq 1$ ;

A Load Balancing Problem (NP – HARD)

$M$  machines,  $N$  Jobs. Each job has a non negative weight  $w_i$ .

Goal: Minimize the max load of any one machine.

First version of input is non sorted.

$L^*$  is the optimal maximum load.

Claims:  $w \leq L^*$  and  $L \leq L^*$

Total load =  $M \cdot L$ . Therefore average load is  $M \cdot L / M \leq L^*$

Claims:  $2L^* \geq w + L$  by adding the above two claims

This holds at every step, which means our answer  $\leq 2L^*$

Second version of input is sorted in descending order of weights

Add a weight  $w$  to a machine of load  $L$

Case1:  $L=0 \rightarrow L+w=w \leq L^*$

Case2:  $L>0$ , at least  $m+1$  jobs have the weight  $\geq w$ .

$L^* \geq 2w$ ,  $w \leq L^*/2$

$L + w \leq L^* + L^*/2 \leq 1.5 L^*$

$A_{tm} = \{(M, w), M \text{ is a TM and } M \text{ accepts } w\}$

Assum  $A_{tm}$  is decidable, and thus we construct  $H$  a decider for  $A_{tm}$  such that

$H(M, w) = \{accept \text{ if } M \text{ accepts } w, \text{ and reject if } M \text{ does not accept } w\}$

Construct a new TM  $D$  with  $H$  as a subroutine. It feeds a TM as the input.

$D = \text{"On input } \langle M \rangle, \text{ where } M \text{ is a TM:}$

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$

2. Output the opposite of what  $H$  outputs, that is if  $H$  accepts, reject, and if  $H$  rejects, accept.

Call  $D$  with itself as an input.  $D \leq D \Rightarrow \{accept \text{ if } D \text{ does not accept } D, \text{ reject if } D \text{ accepts. This is a contradiction.}$

If the language  $L$  is decidable, then its complement  $\bar{L}$  is also decidable. If  $L$  is decidable, there exists a TM that can detect  $L$  and another TM that can detect  $\bar{L}$ .

$Atm = \langle M, w \rangle$ ;  $M$  is a turing machine,  $w$  is a string

$M \rightarrow$  accepts if  $w$  belongs to  $L$

$\rightarrow$  reject if  $w$  does not belong to  $L$

If  $L$  is decidable then  $L'$  is also decidable. i.e  $L'$  has a turing m/c  $M'$  such that  $M'$  accept  $w$  if  $w$  belong  $L'$  and rejects if  $w$  does not belong to  $L'$ .

Weighted Set Cover (NP Hard):

$U \rightarrow$  universe of size  $m$

$S_1, \dots, S_k$  are subsets of  $U$ , such that  $U = \bigcup S_i$ .

Each  $s_i$  has a weight  $w_i > 0$ .

Subset of  $\{s_1, \dots, s_k\}$  is a "set cover" if the union of the elements of the subset =  $U$ .

Goal: Find a set cover with the minimum weight.

$E W_{\alpha_i}$  is minimized.

Greedy rule: Choose the next  $s_i$  that minimizes

$w_i / |s_i|$  where  $|s_i|$  is num of elems in  $S_i$

Algo:

$T$  is the set of uncovered elements. Pick an  $s_i$  that

minimized  $(w_i / |s_i \cap T|)$ . We get a

$O(\log(n))$  approximation ratio.

Charging Scheme: How much do you charge for elements covered?

Claim: For any set  $s_i$  total of charges assigned to elements of  $s_i \leq w_i(1 + .5 + \dots + 1/|s_i|)$ .

Approximation Proof:

Let  $S_1, S_2, S_3$  be the optimal set cover.

Therefore, the cost of adding  $S_1 \leq w_1 * H_n$ ,

$S_3 \leq w_3 * H_n$ ,  $S_3 \leq w_3 * H_n$ . Therefore, the

total cost  $\leq (w_1 + w_2 + w_3) * H_n$ . Therefore,

the total cost =  $w * H_n$

Converting from Vertex Cover to Set Cover.  $U$  is

the list of all edges,  $S_i$  is the list of edges incident on vertex  $i$ .

We can get an approximation of  $H_d$  where  $d$  is the max degree of the graph.

2-approx for weighted vertex cover.

Maintain a non negative charge  $C_e$  for each edge

$e$ . Initialize  $C_e = 0$  for all edges. Maintain

invariant that  $E C_{(u,v)} \leq w_u$  for every  $u$  in  $V$ .

The charges induce a coloring of the vertices if inequality for a vertex is an equality. If it is a tight inequality, it is red, not tight, it is blue.

Which there is an edge that is blue, increase  $C_{(u,v)}$  until at least one of  $(u,v)$  becomes red. Return the set of red vertices. Let  $u^*$  be an optimal vertex cover with  $w(u^*) = w^*$ . Let  $U$  be the output of our algorithm,  $w(U) \leq 2w^*$

Claim:  $w(u^*) \geq E c_e =$  sum of all edge charges.

$w_i \geq$  total remaining charge on edges adjacent to  $i$ .

Therefore,  $E w_i \geq E \text{ total.}$

$w(u^*) \geq E c_e$  where  $e$  belongs to  $E$ .

Claim:  $w(u) \leq 2 * E c_e$ .

$= 2 * \text{Total of all charges}$

Consider an edge  $(u,v)$ , fill in the buckets with  $c_{(u,v)}$  in each bucket. Observe that the total  $\$$  in bag at  $u = w_u$  if  $u \in U$ . Thus, the total  $\$$  distributed =  $E w_u = w(u)$  to vertices in  $U$ .  $2 * \text{Total charges} = \text{Total distributed. Thus, } 2 * E c_e \geq \text{total } \$ \text{ dist} = E w_u = W(u)$ .