# Problem Set 7

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# Problem 7-2: Reductions and Algorithms

#### Reduction

Finding a satisfiable assignment for boolean formula  $\phi$  is a SAT problem. We develop a reduction to reduce a SAT formula to a 3SAT formula.  $\phi$  could have clauses of various length and we provide reduction for clauses of various length. There are 4 cases to consider. For the purpose of simplicity, we have used  $x_j$ , but we could replace it with its negation  $x'_j$ .

#### Case 1: k = 1

Assume there is a clause  $C_i$  which has only one variable x. We can transform this clause  $C_i$  by converting it into 4 clauses and by introducing 2 new variables  $z_1$  and  $z_2$ . The clause  $C_i$  can now be written as  $\{x \vee z_1 \vee z_2\}$ ,  $\{x \vee z_1 \vee z_2\}$ ,  $\{x \vee z_1 \vee z_2\}$ , and  $\{x \vee z_1' \vee z_2'\}$ . The only way these clauses can simultaneously satisfied is if x is true. This also means that the original clause  $C_i$  will be satisfied.

#### Case 2: k = 2

Assume there is a clause  $C_i$  which has two variables  $x_1$  and  $x_2$ . We create a new variable z and two new clauses  $\{x_1 \lor x_2 \lor z\}$  and  $\{x_1 \lor x_2 \lor z'\}$ . The only way to satisfy both of these clauses is for one of  $x_1$  or  $x_2$  to be true. This also means that the original clause  $C_i$  will be satisfied.

#### Case 3: k = 3

This implies that  $C_i = \{x_1 \lor x_2 \lor x_3\}$ . Thus, the clause is in 3SAT form and be transferred as is.

### Case 4: $k \ge 4$

Let  $C_i$  be equal to  $\{x_1 \vee x_2 \vee \ldots \vee x_k\}$ . We create k-3 new variables and k-2 new clauses in a chain where for  $2 \leq j \leq j-3$ ,  $C_{i,j} = \{z_{i,j-1} \vee x_{j+1} \vee z'_{i,j}\}$ ,  $C_{i,1} = \{x_1 \vee x_2 \vee z'_{i,1}\}$ , and  $C_{i,k-2} = \{z_{i,k-3} \vee x_{k-1} \vee x_k\}$ 

If none of the original literals in  $C_i$  is true, then there are not enough free variables to be able to satisfy all of the new subclasses. If you satisfy  $C_{i,1}$  by setting  $z_{i,1}$  to false, it would require  $z_{1,2}$  = false and so on until  $C_{i,k-2}$  cannot be satisfied and thus the clause cannot be satisfied. However, if any of the single literal  $x_i$  is equal to true, then we have k-3 free variables and k-3 remaining clauses, and thus each of the clauses can be satisfied. Thus,  $C_i$  can be satisfied.

#### Complexity

Assume that there are n clauses and m total literals, the total complex of the transformation is O(m+n).

### Algorithm

- 1. Convert a SAT problem to 3SAT as per the reduction steps listed above.
- 2. Pass the 3SAT problem thus reduced to the Black Box that can solve 3SAT problems.
- 3. If the Black Box returns that a satisfiable assignment of variables exists, then return that a satisfiable assignment exists for  $\varphi$ .
- 4. Else return that a satisfiable assignment for  $\varphi$  does not exist.