

## 1 $O$ notation

$\geq \Omega$

$= \Theta$

$\leq O$

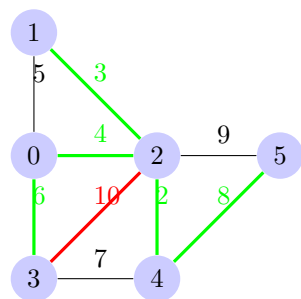
## 2 Minimum Spanning Tree

*Input:* Connected undirected weighted  $G = (V, E)$   $W : E \rightarrow \mathbb{R}$

*Output:* Subset of edges  $T \subseteq E$  that connects all vertices and  $\min w(e), e \in T$ .

( $T$  = tree)

### 2.1 Example



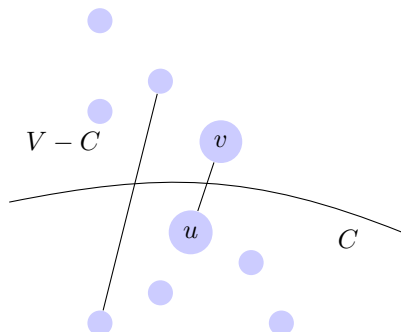
The edge between 2 and 3 with a weight of 10 is an edge to definitely remove because it is unnecessary (since there is a cycle) and it also weighs a lot.

**Green** good edges to keep as their weights are small.  
**Red** not so good due to large weights.

### 2.2 Applications:

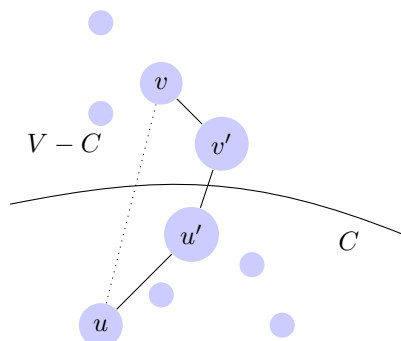
- network design
- clustering
- vision
- approximation algorithms

## 2.3 Cut Lemma



**Lemma 2.1.** Let  $C \subseteq V$   $(u, v) \in E, v \notin C, u \in C$ .  $(u, v)$  is a lightest edge of this kind. There there exists a minimum spanning tree that contains  $(u, v)$ .

*Proof.* Suppose  $T$  is a MST that does not contain  $(u, v)$ .



$T$  connected  $u$  and  $v$

$\Rightarrow$  There must exist an edge  $(u', v') \in T, u' \in C, v' \notin C, w(u', v') \geq w(u, v)$ . Thus, weight of  $T \cup \{(u, v)\} - \{(u', v')\} \triangleq T'$  is no larger than  $T$ 's weight.

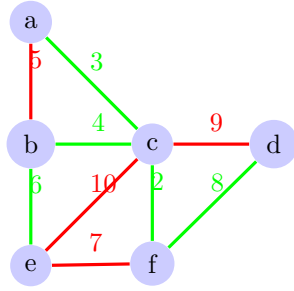
*Note 2.1.* Note that  $T'$  is a tree that connects all vertices. Because a path  $a \rightsquigarrow u' \rightarrow v' \rightsquigarrow b$  in  $T$  becomes a path  $a \rightsquigarrow u' \rightsquigarrow u \rightarrow v \rightsquigarrow v' \rightsquigarrow b$

□

*Note 2.2.* Observation: If all edge weights are distinct, the MST is unique, because the weight of  $T'$  is strictly smaller than the weight of  $T$

## 3 Prim's Algorithm

Chose the shortest edge when in a cut.



Notice that the edges we choose here are the same as in the previous example.

### 3.1 Algorithm

Please refer Algorithm 1.  $\Pi(u)$  holds the parent for  $u$ . The Tree  $T$  is constructed using edge  $(\Pi(u), u)$ .

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#### Algorithm 1 Prim's Algorithm

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1: procedure PRIM'S ALGORITHM( $G, s$ )                                 $\triangleright G(V, E)$  weighted graph
   w/non-negative weights,  $s \in V$ ,  $s$  is an arbitrary vertex in  $V$ 
2:    $Q \leftarrow V$                                                  $\triangleright Q$  is a priority queue containing all vertices.
3:    $\forall v \in V - \{s\}, \text{ key}(v) \leftarrow \infty$                      $\triangleright$  for all vertices except for  $s$ (
4:    $\text{key}(s) \leftarrow 0$                                                $\triangleright s$  is an arbitrary vertex
5:    $T \leftarrow \{\}$ 
6:   while  $Q \neq \emptyset$  do
7:      $u \leftarrow \text{Extract-Min}(Q)$                                  $\triangleright$  The edge we take to  $T$  is  $(\Pi(u), u)$ 
8:      $T \leftarrow T \cup (\Pi(u), u)$ 
9:     for all neighbor  $v$  of  $u$  do
10:      if  $v \in Q$  then
11:        Decrease-Key( $Q, v, w(u, v)$ )                             $\triangleright$  if  $\text{key}(v) \geq w(u, v)$  then
12:         $\text{key}(v) = w(u, v)$ 
13:         $\Pi(v) \leftarrow u$ 
14:      end if
15:    end for
16:  end while
17:  return MST for  $G$ 
18: end procedure

```

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### 3.2 Run Time

$m = |E|$  (# of edges)

$n = |V|$  (# of nodes)

Runtime:  $O(n \log n + m \log n)$

Runtime does not change on where you start.