COMP353 Databases

Relational Algebra (RA) for Relational Data Model

Relational Algebra (RA)

- Database Query languages are specialized languages to ask for information (queries) in DB.
- Relational Algebra (RA) is a query language associated with the relational data model.
- Queries in RA are expressions using a collection of operators on relations in the DB.
- The input(s) and output of a RA query are relations
- A query is **evaluated** using the **current instance** of the input relations to produce the output

Operations in "standard" RA

- The well-known set operations
 - Vunion (U)
 - √Intersection (∩)
 - √ Difference (—)
- Special DB operations that select "parts" of a relation instance
 - Selection (σ) selects some rows (tuples) & discards the rest
 - Projection (π) selects some columns (attributes) & discards the rest
- Operations that "combine" the tuples from the argument relations
- √Cartesian product (X) pairs the tuples in all possible ways
- Join(▷¬) pairs particular tuples from the two input relations
 A unary operation to *rename* relations, called Rename (ρ)
- Note: The output of a RA expression is an "unnamed" relation/set, i.e.,

 RA expressions return sets, whereas SQL returns multisets (bags)

Compatibility Requirement

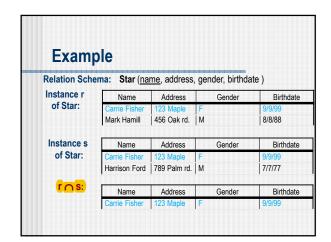
- We can apply the set operators of union, intersection, and difference to instances of relations R and S if R and S are compatible, that is they have "the same" schemas.
- Definition: Relations S(A₁,...,A_n) and R(B₁,...,B_m) are compatible if:
 - (1) n=m and
 - (2) type(A_i) = type(B_i) (or compatible types), for all $1 \le i \le n$.

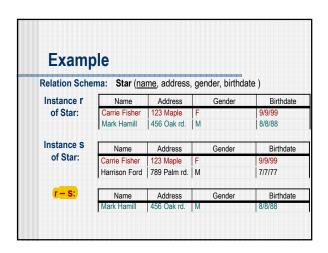
Set Operations on Relations

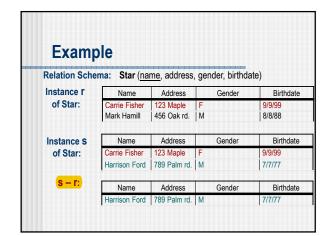
Let ${\bf R}$ and ${\bf S}$ be relation schemas, and ${\bf r}$ and ${\bf s}$ be any instances of them.

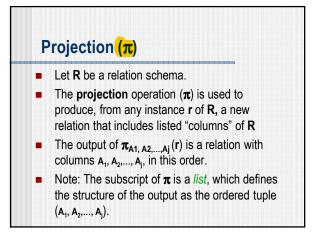
- The union of r and s is the set of all tuples that appear in either one or both. Each tuple t appears only once in the union, even if it appears in both; r ∪ s = {t | t∈ r ∨ t ∈ s}
- The intersection of r and s, is the set of all tuples that appear in both; $r \cap s = \{t \mid t \in r \land t \in s\}$
- The difference of r and s, is the set of all tuples that appear in r but not in s; $r s = \{t \mid t \in r \land t \notin s\}$
 - Commutative operations; r Op s = s Op r Note: Set difference (-) is not commutative, i.e., (r-s≠s-r)

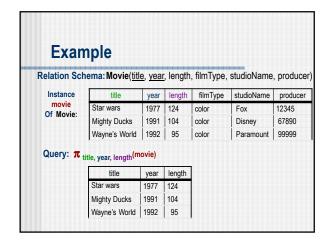
Example Relation Schema: Star (name, address, gender, birthdate) Instance r Name Address Birthdate of Star: Mark Hamill 456 Oak rd. M 8/8/88 Instance s Name Address Gender Birthdate of Star: Harrison Ford | 789 Palm rd. | M 7/7/77 r Us: Birthdate Address Gender Name 456 Oak rd. M 8/8/88 Mark Hamill Harrison Ford 789 Palm rd. M 7/7/77

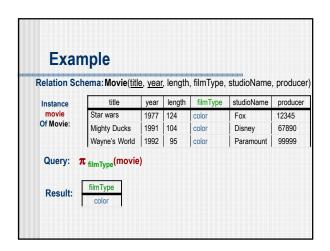






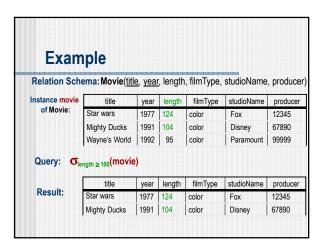


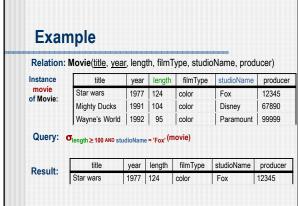


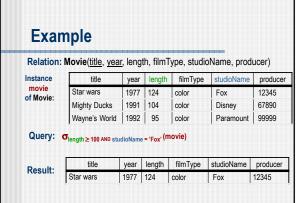




- The **selection** operator (**o**), applied to an instance r of relation R, returns a subset of r
- We denote this operation/query by $\sigma_c(r)$
- The output includes tuples satisfying condition C
- The schema of the output is the same as R







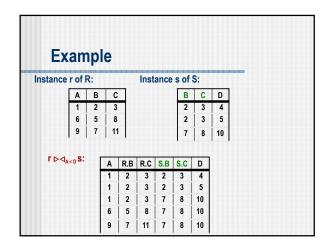
Example Instance r of R: Instance s of S: A B B C D 10 rxs: A R.B S.B C D 2 4 7 2 10 11 1 9 3 4 2 5 6 4 7 3 4 8 4 10 11

Cartesian Product (x)

- Let **R** and **S** be relation schemas, and **r** and **s** be any instances of R and S, respectively.
- The Cartesian Product of r and s is the set of all tuples obtained by "concatenating" the tuples in **r** and **s**. Formally, $\mathbf{r} \times \mathbf{s} = \{t_1, t_2 \mid t_1 \in \mathbf{r} \land t_2 \in \mathbf{s}\}$
- The schema of result is the "union" of R and S
- If R and S have some attributes in common, we need to invent new names for identical names, e.g., use R.B and S.B, if B appears in both R and S

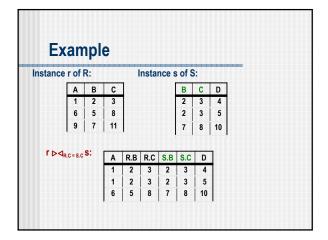
Theta-join (θ)

- Suppose **R** and **S** are relation schemas, **r** is an instance of R, and s is an instance of S. The theta-join of r and s is the set of all tuples obtained from concatenating all $t_1 \in \mathbf{r}$ and $t_2 \in \mathbf{s}$, such that t₁ and t₂ satisfy some condition C
- We denote θ -join by $r \triangleright \triangleleft_c s$
- The schema of the result is the same as the schema of R×S (i.e., the union of R and S)
- **c** is a Boolean expression, simple or complex, as in operation σ



Equi-join

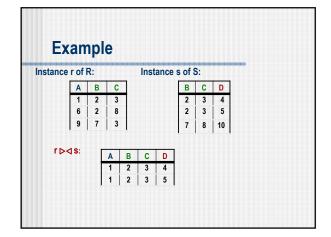
- The equi-join operator, is a special case of θ-join, in which we may only use the equality relation (=) in condition C
- It is denoted as $\mathbf{r} \triangleright \triangleleft_{\mathbf{c}} \mathbf{s}$ (i.e., the same as $\mathbf{\theta}$ -join)
- The schema of the output is the same as that of **0**-join



Natural Join (⊳⊲)

- Natural join, is a special case of equi-join, where the
 equalities are not explicitly specified, rather they are
 assumed implicitly on the common attributes of R and S
- We denote this natural join operation by r ⊳⊲s
- The schema of the output is similar to that of equi-join, except that each common attribute appears only once.

Note: If **R** and **S** do not have any common attribute, then the join operation becomes Cartesian product.

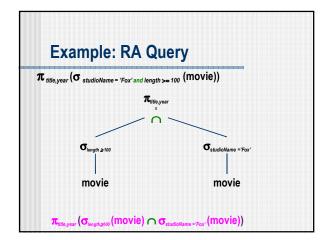


Expressing Queries in RA

- Every standard RA operation has relation(s) as argument(s) and produces a relation (set) as the output
 - (Exception is the sort operator τ)
- This property of RA operations (that inputs and output are relations) make it possible to formulate/express any query by composing/nesting/grouping queries.
- We can use parentheses for grouping, in order to improve clarity and readability

Example: RA Query

- Relation schema:
 - Movie (title, year, length, filmType, studioName)
- Query: List the title and year of every movie made by Fox studio whose length is at least 100 minutes?
- One way to express this query in RA is:
 - $\pi_{title,year}(\sigma_{studioName = 'Fox' and length>= 100} (movie))$
- Another way:
 - Select those **movie** tuples that have *length* ≥100
 - Select those **movie** tuples that have *studioName* = 'Fox'
 - Find the intersection of the above two results
 - Project on the attributes title and year



Example: RA Query

- Relation schema:
 - Movie (<u>title, year, length, filmType, studioName)</u> StarsIn (<u>title, year, starName</u>)
- Query: List the names of the stars of movies of length ≥ 100 minutes long.
- One expression in RA for this query:
 - Select movie tuples of *length* ≥ 100
 - Join the result with relation StarsIn
 - Project on the attribute **starName**
- Exp1: π starName (σ_{length ≥100} (movie) ▷⊲ starsIn)
- Another solution: π starName (σ_{length ≥100} (movie ⊳⊲ starsIn))

Renaming Operator (p)

- To control the names of the attributes of the relations used by algebra operations, we may need to explicitly rename relations. Can do this for convenience as well
- Renaming Operator is denoted as $\rho_{s(A1,A2,...,An)}(r)$
- The result is a copy of the input relation instance r, but named as s, and the attributes A1, A2, ..., An, in that order.
- Use ρ_s(r) to give relation r, a new name s
 In this case, the schema of s will be the same as that of r.

Example

- Query: π starName (σ_{length ≥ 100} (movie) ⊳⊲ starsIn)
- This query can be rewritten in 2 steps as follows:
 - P_M(title, year, length, filmType, studioName)</sub> (σ_{length≥00} (movie))

 or even simpler as: ρ_M (σ_{length≥00} (movie)) if used in the same formula
 - Or use M := σ_{length≥100} (movie) as a separate formula and then formulate the query as: π_{starName} (M ⊳⊲ starsIn)
- Consider takes(sid, cid, grade)
- Query: Find ID of every student who has taken at least 2 courses
- $\pi_{\text{takes.sid}}(\sigma_{\text{(takes.sid}} = T.\text{sid)})$ and $(\text{takes.cid} \neq T.\text{cid})$ (takes ρ_T (takes)))

Dependent and Independent Operations

- Some RA operations can be expressed based on other operations. Examples include:
 - r∩s=r-(r-s)
 - $r \triangleright \triangleleft_{\mathbb{C}} s = \sigma_{\mathbb{C}} (r \times s)$
 - r ⊳⊲ s = π_L(σ_{r,A1 = s,A1 AND... AND r,An = s,An} (r × s)), where L is the list of attributes in R followed by those attributes in S that are not in R, and A1,..., An are the common attributes of R and S

Relational Algebra with Bag Semantics

- Relations stored in DB are called base relations/tables.
- Base relations are normally sets; no duplicates.
- In some situations, e.g., during query processing, it is allowed for relations to have duplicate tuples.
- If duplicates are allowed in a collection, it is called bag/multiset.

Instance r of R:

| Α | В | С |
|---|---|----|
| 1 | 2 | 3 |
| 6 | 5 | 8 |
| 6 | 5 | 8 |
| 1 | 2 | 3 |
| 9 | 7 | 11 |

Here, r is a bag

Why Bags?

- 1. Faster projection operations
 - Bag projection is faster, since otherwise returning distinct values is expensive (as we need sorting for duplicate elimination. Another example: Computing the bag union (r Uss) is much cheaper than computing the standard set union r Us. Formally, if r and s have n and m tuples, then the bag and set union operations will cost O(n+m) and O(n+m), respectively.
- 2. Correct computation with some aggregation
 - For example, to compute the average of values for attribute A in the previous relation, we must consider the bag of those values

Set Operations on Bags

r ∪⁸ s, the bag union of r and s, is the bag of tuples that are in r, in s, or in both. If a tuple t appears n times in r, and m times in s, then t appears n+m times in bag r ∪⁸ s

 $\mathbf{r} \cup^{\mathbf{B}} \mathbf{s} = \{ t: k \mid t: n \in \mathbf{r} \wedge t: m \in \mathbf{s} \wedge k = n+m \}$

• r ∩⁸ s, the bag intersection of r and s, is the bag of tuples that appear in both r and s. If a tuple t appears n times in r, and m times in s, then the number of occurrences of t in bag r ∩⁸ s is min(n,m)

 $r \cap^B s = \{ t:k \mid t:n \in r \land t:m \in s \land k = min(n,m) \}$

■ r — s, the bag difference of r and s is defined as follows:

 $\mathbf{r} - \mathbf{B} \mathbf{s} = \{ t: k \mid t: n \in \mathbf{r} \wedge t: m \in \mathbf{s} \wedge k = max(0, n-m) \}$

 $s - r = \{ t:k \mid t:n \in r \land t:m \in s \land k = max(0, m-n) \}$

Example

| ag r: | | _ |
|-------|---|---|
| | Α | В |
| | 1 | 2 |
| | 3 | 4 |

Bag s: A B
1 2
3 4
3 4

Example



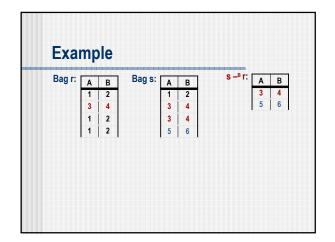
Bag s: A B 1 2 3 4 3 4 5 6

r ∩^B s: A B 1 2 3 4

Example

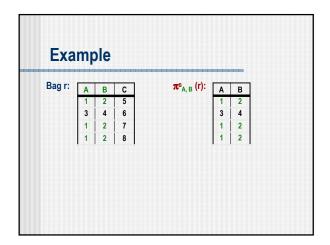
Bag r: A B Bag s: A B 1 2 3 4 3 4 1 2 5 6

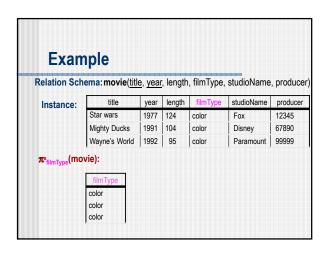
r -8 S: A B
1 2
1 2

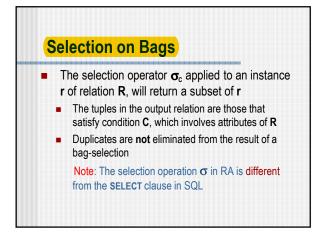


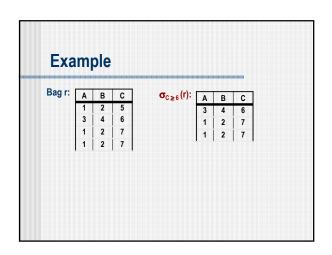
Let R be a relation scheme, and r be a collection of tuples over R, which could have duplicates. The bag projection operator is used to produce, from r, a bag of tuples over some of R. Even when r does not have duplicates, we may get duplicates when projecting on some attributes of R. That is, π does not eliminate the duplicates and hence

corresponds exactly to the SELECT clause in SQL.



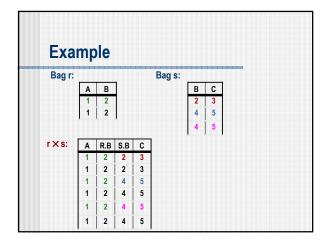






Cartesian Product of Bags

- The Cartesian Product of bags r and s is the bag of tuples that can be formed by concatenating pairs of tuples, the first of which comes from r and the second from s. In symbols, r x s = {t₁.t₂ | t₁∈r ∧ t₂∈s }
 - Each tuple of one relation is paired with each tuple of the other, regardless of whether it is a duplicate or not
 - If a tuple t₁ appears m times in a relation r, and a tuple t₂ appears n times in relation s, then tuple t₁.t₂ appears m*n times in their bag-product, r×s



Join of Bags

- The bag join is computed in the same way as the standard join operation
- Duplicates are not eliminated in a bag join operation

| ag r: | | Bag s: | | | r⊳⊲s: | | | |
|-------|---|--------|---|---|-------|---|---|---|
| Α | В | | В | С | ſ | Α | В | С |
| 1 | 2 | | 2 | 3 | | 1 | 2 | 3 |
| 1 | 2 | | 4 | 5 | | 1 | 2 | 3 |

Constraints on Relations

- RA offers a convenient way to express a wide variety of constraints, e.g., referential integrity and FD's.
- There are two ways to express constraints in RA
 - **1.** If **r** is an expression in RA, then the constraint $\mathbf{r} = \emptyset$ says: "**r** has no tuples, i.e., or **r** is empty"
 - 2. If r and s are RA expressions, then the constraint $r \subseteq s$ says: "every tuple in (the result of) r is in (the result of) s"

These constraints hold also when **r** and **s** are bags.

Constraints on Relations

- The two types of constraints are not independent. For instance:
 - The constraint r ⊆ s could be written as r − s = Ø

This follows from the definition of "—", because $r \subseteq s$ iff $r-s=\varnothing$, meaning that there is no tuple in r that is not in s

Referential Integrity Constraints

- Referential integrity in relational data model means:
 - if we have a value v in a tuple t in a relation r, then we also expect that v appears in a particular component of some tuple s in relation s
 - E.g., if we have a tuple (s,c,g) in **takes**(sid,cid,grade), then there must be a **student** with sid = s and a **course** with cid = c such that s has taken c

The mentions of values S and C in takes "refers" to some values outside this relation, and these values must exist

Example

- Relation schemas:
 Movie (title, year, length, filmType)
 StarsIn (title, year, starName)
- Constraint:

the title and year of every movie that appears in relation starsIn must appea also in movie; otherwise there is a violation in referencing in starsIn

- Query in RA:
 - $\pi_{\text{title, year}}(\text{starsIn}) \subseteq \pi_{\text{title, year}}(\text{movie})$ or equivalently
 - $\pi_{\text{title, year}}$ (starsIn) $-\pi_{\text{title, year}}$ (movie) = \varnothing

Functional Dependencies

- Any functional dependency X → Y can be expressed as an expression in RA
- Example:
 Consider the relation schema:

 Star (name, address, gender, birthdate)
- How to express the FD: name → address in RA?

Functional Dependencies

- Relation schema:
 Star (name, address, birthdate)
- With the FD: name → address
- The idea is that if we construct all pairs of star tuples, we must not find a pair that agree on name but disagree on address
- To "construct" the pairs in RA, we use Cartesian product, and to find pairs that violate this FD, we use selection
- We are then ready to express this FD by equating the result to ø, as

| <u> </u> | ample |) | | | | Distriction of the last of the | |
|------------------------------|-------------------|------------------------------|-----------------------|---------------|--------------------------|--|-----------------------|
| Sta | r: [| Name | Address | | Birth | date | |
| | | Carrie Fisher | rk Hamill 456 Oak rd. | | 9/9/99 | | |
| | | lark Hamili larrison Ford | | | 8/8/88 7/7/77 | | |
| P _{S1(nar} | ne, address, biri | _{thdate)} (star) | | | $ ho_{	extsf{S2(name)}}$ | , address,birthda | _{te)} (star) |
| Name | Address | Birthdat | e | 9 00 10 10 10 | lame | Address | Birthdate |
| | 123 Maple | 9/9/99 | | 8.8.8.8 | e Fisher Hamill | 123 Maple 456 Oak rd. | 9/9/99 |
| Carrie Fisher Mark Hamill | 456 Oak rd. | 8/8/88 | | | | | |

Example s1 x s2: S1.Name S1.Address S1.Birthdate S2.Address S2.Birthda S2.Name Carrie Fisher 123 Maple Carrie Fisher 123 Maple Carrie Fisher | 123 Maple 9/9/99 Carrie Fisher 123 Maple 9/9/99 Harrison Ford 789 Palm rd. 7/7/77 Mark Hamill 456 Oak rd. | 8/8/88 Carrie Fisher 123 Maple 9/9/99 456 Oak rd. | 8/8/88 Mark Hamill Mark Hamill 456 Oak rd. 8/8/88 Mark Hamill | 456 Oak rd. | 8/8/88 Harrison Ford 789 Palm rd. 7/7/77 Harrison Ford | 789 Palm rd. | 7/7/77 Carrie Fisher 123 Maple 9/9/99 Mark Hamill 456 Oak rd. 8/8/88 Harrison Ford | 789 Palm rd. | 7/7/77 Harrison Ford | 789 Palm rd. | 7/7/77 Harrison Ford 789 Palm rd. 7/7/77 $\sigma_{S1.name=S2.name AND S1.address \neq S2.address}(s1xs2) = \emptyset$

Functional Dependencies Relation schema: Star (name, address, birthdate) With the FD: name → address In RA: σ_{S1.name=S2.name AND S1.address, s2.address}(ρ_{S1}(star) × ρ_{S2}(star)) = Ø

Domain Constraints

- Relation schema:
 - Star (name, address, gender, birthdate)
- How to express the following constraint?
 - Valid values for gender are 'F' and 'M'
- In RA:
 - σ_{gender≠'F' AND gender≠'M'} (star) = Ø
 - This is an example of domain constraints

Domain Constraints

- Relation schema:
- Employee (eid, name, address, salary)
- How to express the constraint:

Maximum employee salaries is \$150,000

- In RA:
 - **σ**_{salary > 150000} (employee) = Ø

"For All" Queries (1)

- Given the database schema:
 - Student(Sid, Sname, Addr) Course(Cid, Cname, Credits) Enrolled (Sid, Cid)
- Consider the query:
- "Find students enrolled in all the courses."
- A first attempt (below) fails!
 - π_{sid} (Enrolled)
- This RA query returns students enrolled in some courses.
- So, how to correctly express "For All" types of queries?

"For All" Queries (2)

- A solution strategy would be to:
 - start with the list of all students (all guys), from which we then subtract those who have not taken some courses (bad guys)
- That is, to find all the "good guys", we need to find "all guys" from which we then remove the "bad guys", i.e.,

Answer (Good guys) = All guys - Bad guys

"For All" Queries (3)

- Set of all students which we need to consider:
 - All Courses $\leftarrow \pi_{\textit{Cid}}$ (Course) All Students $\leftarrow \pi_{\textit{Sid}}$ (Student)
- Steps to find students not enrolled in all the courses
 - Create all possible "student-course" pairs: SC-Pairs ← π_{Sid} (Student) × π_{Cid} (Course)
 - 2. Get all "actual" student-course pairs -- take them from Enrolled
 - Students who are not enrolled in all the courses:
 - $\mathbf{B} \leftarrow \boldsymbol{\pi}_{Sid}(\boldsymbol{\pi}_{Sid}(\mathbf{Student}) \times \boldsymbol{\pi}_{Cid}(\mathbf{Course}) \mathbf{Enrolled})$
- Answer : All Students "Bad"

The Division Operation (÷)

- The previous query can be conveniently and expressed in RA using the *division* operator +
 - Divide Enrolled by π _{Cid} (Course)
 that is, Enrolled + π _{Cid} (Course)
 - Schema of the result is {Sid, Cid} {Cid}
- R ÷ S requires that the attributes of S to be a subset of R.
 - The schema of the output would be R S

Example: Enrolled (student, sport) Find students enrolled in all sports {Hockey, Football}. Enrolled (Student, sport) Jim Hockey Joe Football Jim Football Sue Hockey

