# CS5200 Database Management Integrity and Security

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#### **Outline**

- Solution to Assignment #3
- Integrity
  - Checks and Assertions
  - Triggers
- Security
- Content Management

- Translating to the Relational Algebra
- Index Design
- Assignment #5
- Review for Mid-Term Exam

# Solution to Assignment #3

## Integrity

#### **Check Constraints**

- Clause in a create table statement
- Constrains the values of one or more fields
- Examples:

#### Constraints for Single Table Strategy

- Single table strategy for inheritance requires constraints
- For the chemistry database
  - If a bond is ionic then
    - charge is not null
    - polarity is null
  - If a bond is covalent then
    - polarity is not null
    - charge is null

- SQL only supports AND, OR and NOT.
- Is it possible to express these constraints using AND, OR and NOT?
- Yes, it is possible!

#### **Logical Implication**

• The implies operator is expressible in logic like this:

A implies B is equivalent to (not A) or B

For example,

```
If a bond is ionic then charge is not null
  is equivalent to
  (bondType != 'Ionic')
  or (charge is not null)
```

#### Assertion

- Declared outside any table
- Example:

 In theory, any assertion could be specified as a check constraint and vice versa

#### Database Support for Checks and Assertions

- In reality, database systems do not support assertions and have limited support for check constraints
- What can one do?
  - Enforce using a programming language
  - Use triggers
- Triggers are more reliable but have disadvantages
  - No standard syntax or semantics
  - Very complex language
  - Limited support for testing and debugging
  - Triggers can interact with one another

#### **Example Database**

```
create table Student(
  id int primary key auto increment,
  name varchar(255),
 major varchar(255)
);
create table Course(
  id int primary key auto increment,
  code varchar(255) not null
);
create table Section(
  id int primary key auto increment,
  number int not null,
 partOf int not null references Course(id)
    on update cascade on delete cascade
);
create table Registration(
  registers int references Student(id)
    on update cascade on delete cascade,
  registeredIn int references Section(id)
    on update cascade on delete cascade,
  primary key(registers, registeredIn)
);
```

#### **Enforcement Strategies**

- Singular Table
- Error Table
- Stored Procedure Language
  - Raise exception
  - Rollback

#### Singular Table

- Table with exactly one row
  - It is essential that there never be another row in the table
- Example:

```
create table Singular (
  id int primary key
);
insert into Singular(id) values (1);
```

#### **Enforcing Not Null Constraint**

Start with a simple case: Student.name is not null

```
create trigger NameNotNullInsert before insert on Student
for each row
  insert into Singular(id)
  select *
    from Singular si
    where new.name is null;
```

- This will be executed ("triggered") whenever the insert command is executed on the Student table
  - Each row that is inserted will execute the insert command into the Singular table
  - If the new record has null name, then the triggered insert command will fail and so the insert into the Student table will also fail.

#### **Enforcing Not Null Constraint**

It is also necessary to check updates

```
create trigger NameNotNullInsert before update on Student
for each row
  insert into Singular(id)
  select *
   from Singular si
  where new.name is null;
```

It is not necessary to check deletes

#### **Triggering Events**

- Each table has 6 events that can trigger a command:
  - before or after
  - insert, update or delete
- Each event can have only one trigger
- The old record is indicated with old and the new record with new
  - The names for these records vary with database
  - The syntax for triggers is not standard

#### Converting check constraint to trigger

- Define triggers for insert and update that negate the check constraint
- Example in the Section table: check (number > 0)

```
create trigger NumberPositiveInsert before insert on Section
for each row
  insert into Singular(id)
  select *
    from Singular si
    where new.number <= 0;

create trigger NumberPositiveUpdate before update on Section
for each row
  insert into Singular(id)
  select *
    from Singular si
    where new.number <= 0;</pre>
```

- This is more complex
  - One must determine which of the tables are being constrained
  - One must define insert, update and delete triggers
- Example assertion: A student may not be enrolled in two different sections of the same course

Simplify as much as possible

- Determine the table being constrained
  - In this case, the registrations are being constrained

- Negate and define the triggers
  - This is the insert trigger

```
create trigger NoRedundantSectionEnrollmentInsert
after insert on Registration
for each row
  insert into Singular(id)
  select *
    from Singular si
    where exists (
        select *
        from Registration r, Section se
        where se.id = r.registeredIn
        group by r.registers, se.partOf
        having count(*) > 1
    );
```

#### **Error Table Strategy**

Instead of a singular table, use an error table like this:

```
create table Error(
  id int primary key auto_increment,
  message varchar(1023)
);
```

#### **Error Table Strategy**

• Instead of a singular table, use an error table like this:

#### **Error Table Strategy**

- Advantages
  - More information about the error
  - Does not fail immediately
- Disadvantages
  - Does not fail immediately
  - Someone must check the Error table

#### Stored Procedure Exception Strategy

This uses the MySQL stored procedure language

```
create trigger WarnRedundantSectionEnrollmentInsert
     after insert on Registration
   for each row
     declare RedundantSectionEnrollment exception;
     begin
       if (exists (
             select *
               from Section se, Registration r
              where s.id = r.registers
                and se.id = r.registeredIn
                and c.id = se.partOf
              group by s.id, c.id
              having count(*) > 1
       then raise RedundantSectionEnrollment;
       end if;
     end;
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```

#### Stored Procedure Exception Strategy

- Advantages
  - Fails immediately
  - Error is explained
- Disadvantages
  - Highly non-standard and non-portable
  - Few databases support user-defined exceptions

#### Stored Procedure Rollback Strategy

This uses the MySQL stored procedure language

#### Stored Procedure Rollback Strategy

- Advantages
  - Fails immediately
  - Most databases will support this
- Disadvantages
  - No explanation of error
  - Highly non-standard and non-portable

#### Conclusions

- Very clumsy way to enforce integrity constraints compared to not null, check and assertion constraints
- Unfortunately, no major DBMS supports all of them
  - Most support not null constraints
  - Most support check constraints that do not have subqueries
- The only reliable technique for constraint enforcement is with triggers

#### **Assertion Problem 1**

```
create table Company(
  id int primary key,
  name varchar(500) not null,
  product varchar(500)
);
create table Person(
  id int primary key,
  name varchar(200) not null,
  worksFor int,
  foreign key (worksFor) references Company(id)
    on update cascade on delete no action
);
```

It is required that there is a company named IBM in the database.

#### **Assertion Solution**

It is required that there is a company named IBM in the database.

```
create assertion IBMisCompany check
  exists (
    select *
      from Company c
    where c.name = 'IBM'
);
```

#### **Assertion Problem 2**

```
create table Company(
  id int primary key,
  name varchar(500) not null,
  product varchar(500)
);
create table Person(
  id int primary key,
  name varchar(200) not null,
  worksFor int,
  foreign key (worksFor) references Company(id)
    on update cascade on delete no action
);
```

Require that every company has at least one person who works for the company.

#### **Assertion Solution Part 1**

Require that every company has at least one person who works for the company.

First rewrite: For every company c there exists a person p such that p works for c

Second rewrite: There does not exist a company c such that there does not exist a person p such that p works for c

#### **Assertion Solution Part 2**

There does not exist a company c such that there does not exist a person p such that p works for c

```
create assertion CompanyHasAWorker check
not exists (
    select *
    from Company c
    where not exists (
        select *
        from Person p
        where p.worksFor = c.id
    )
);
```

#### **Check Constraint Solution**

For every company there exists a person p such that p works for the company

```
alter table Company
  add constraint CompanyHasAWorker check(
    exists(
        select *
        from Person p
        where p.worksFor = id
    )
);
```

#### **Assertion Problem 3**

```
create table Company(
  id int primary key,
  name varchar(500) not null,
  product varchar(500)
);
create table Person(
  id int primary key,
  name varchar(200) not null,
  worksFor int,
  foreign key (worksFor) references Company(id)
    on update cascade on delete no action
);
```

It is required that if a company has an employee, then the company has a product.

Write your answer on paper or on your laptop.

## Security

## **Granting Privileges**

- Similar to operating system privileges
  - The creator (owner) of a file specifies who has access and update privileges on the file
  - The creator of a table specifies who has privileges on the table
- Possible privileges on tables
  - select for reading the table
  - update for updating table records
  - delete for deleting records of the table
  - Many other kinds of privilege

#### **Granting Privileges**

- Syntax is:
   grant privileges on table to users or roles
- Roles are groups of users
- Some databases allow one to specify the columns of the table that are being authorized
- One can specify views as well as tables
  - This allows very fine-grained access rights
- One can add "with grant option" to delegate the granted authority

# Security Problem 1

```
create table Company(
  id int primary key,
  name varchar(500) not null,
  product varchar(500)
);
create table Person(
  id int primary key,
  name varchar(200) not null,
  worksFor int,
  foreign key (worksFor) references Company(id)
    on update cascade on delete no action
);
```

Give 'alice' permission to access all persons who work for IBM.

## Security Problem 1 Solution

Give 'alice' permission to access all persons who work for IBM.

```
create view IBMEmployees as
  select p.id, p.name
    from Person p, Company c
    where p.worksFor = c.id
    and c.name = 'IBM';
grant select on IBMEmployees to 'alice';
```

# Security Problem 2

```
create table Company(
  id int primary key,
  name varchar(500) not null,
  product varchar(500)
);
create table Person(
  id int primary key,
  name varchar(200) not null,
  worksFor int,
  foreign key (worksFor) references Company(id)
    on update cascade on delete no action
);
```

Give 'alice' permission to change the employees who work for IBM.

## Security Problem 2 Analysis

Give 'alice' permission to change the employees who work for IBM.

The company is specified by its name, but the company id is necessary for changing the employees. So one must have read permission to the id and name fields of the Company record for IBM. No attribute of Person is specified, so the id is used for identifying a person. One must have read and update permission to the id and worksFor fields of Person.

#### Security Problem 2 Solution

Give 'alice' permission to change the employees who work for IBM.

```
create view IBM as
  select c.id, c.name
    from Company c
    where c.name = 'IBM';
grant select on IBM to 'alice';

create view PersonIdWorksFor as
  select p.id, p.worksFor from Person p;
grant select, update
  on PersonIdWorksFor to 'alice';
```

#### Landform Security Problem

```
create table Landform (
  id int primary key,
 name varchar(200) not null
);
create table Hill (
  id int primary key,
  foreign key(id) references Landform(id)
    on update cascade on delete cascade,
  height double not null,
  summitArea double,
 partOf int,
  foreign key(partOf) references Hill(id)
    on update cascade on delete cascade
);
create table Valley (
  id int primary key,
  foreign key(id) references Landform(id)
    on update cascade on delete cascade,
  area double not null
```

## Landform Security Problem

```
create table Border (
  borders int not null,
  foreign key(borders) references Valley(id)
    on update cascade on delete cascade,
  isBorderedBy int not null,
  foreign key(isBorderedBy) references Hill(id)
    on update cascade on delete cascade,
  primary key(borders, isBorderedBy)
);
```

Give the 'geographer' role permission to update the borders of a valley and to delegate this permission.

Write your answer on paper or on your laptop.

# **Content Management**

#### **Definition**

- A content management system (CMS) is a system used to organize and facilitate collaborative content creation
- One can regard a CMS as being a software layer above the database system
- There are around 100 open source CMSs, and at least as many proprietary CMSs
- This is a very active area, and one can expect considerable growth in the future

#### Examples

- One CMS among hundreds is WordPress
  - Estimated to be used on over 25% of all websites
  - Mainly used for blogging sites
- Another CMS is MediaWiki
  - The CMS used by Wikipedia
  - Over 25,000 public sites currently being tracked
  - MediaWiki software is downloaded over 50,000 times every month, mainly to China
  - Even WordPress uses MediaWiki
- Piazza is a kind of content management system

#### **Standards**

- The SQL standard played a significant role in the success of the relational database industry
- However, SQL is only an API, not a protocol
  - Databases cannot easily interoperate
- There is a standard for CMS which is built on SQL and includes a protocol
  - The standard is CMIS
  - Latest version is at

http://docs.oasis-open.org/cmis/CMIS/v1.1/CMIS-v1.1.html

#### **CMIS Standard**

The Content Management Interoperability Services (CMIS) standard defines a domain model and Web Services, Restful AtomPub and browser (JSON) bindings that can be used by applications to work with one or more Content Management repositories/systems.

The CMIS interface is designed to be layered on top of existing Content Management systems and their existing programmatic interfaces. It is not intended to prescribe how specific features should be implemented within those CM systems, nor to exhaustively expose all of the CM system's capabilities through the CMIS interfaces. Rather, it is intended to define a generic/universal set of capabilities provided by a CM system and a set of services for working with those capabilities.

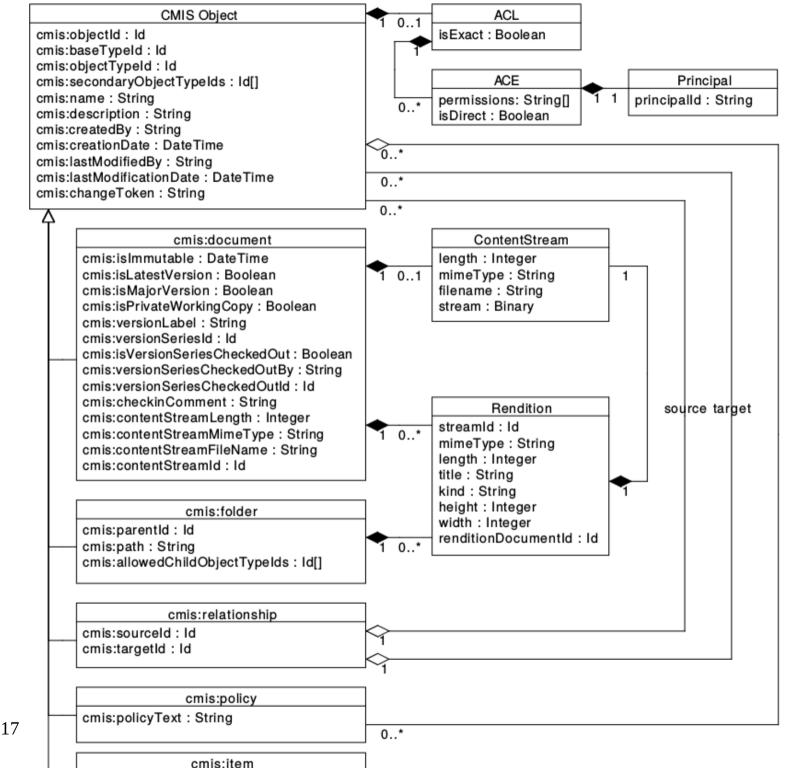
#### **CMIS Services**

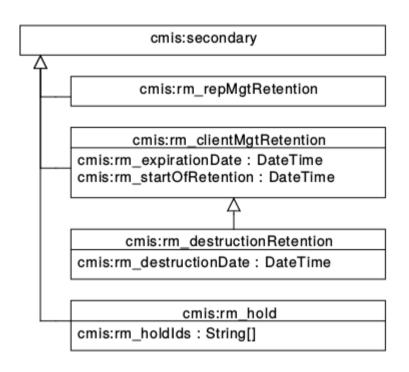
- CMIS is an interface to a repository
  - May access multiple repositories
  - May federate multiple databases
- Core model
  - Persistent information entities
  - Basic services for access and manipulation of entities

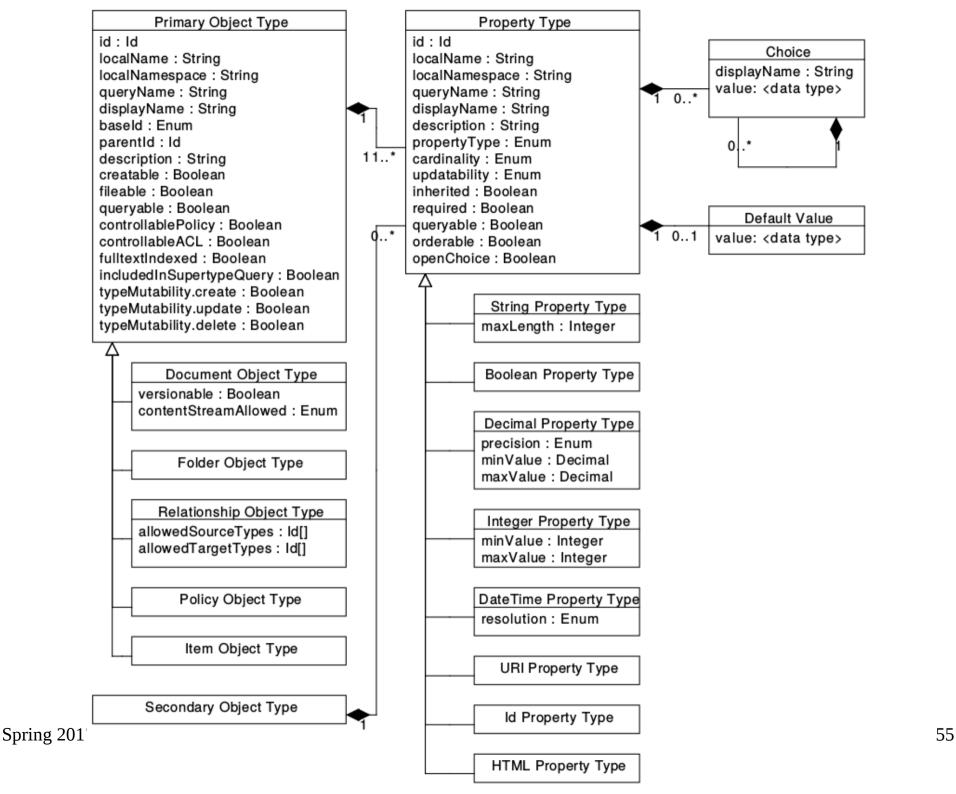
#### **CMIS** Limitations

#### CMIS does not include

- Transient entities
- User profiles
- Compound documents
- Virtual documents
- Work flows and business processes
- Events
- Subscriptions
- Inter-repository operations







# Translating to the Relational Algebra

#### Translate Query 6 to relational algebra

 List all molecules consumed by a reaction named WFO

- The query performs these steps:
  - Join 3 tables
  - Select the rows of the join such that r.name is 'WFO'
  - Return the m.id column

# Translate Query 6 to relational algebra

- List all molecules consumed by a reaction named WFO
- The relational algebra expression is  $\pi_{\{m.id\}}\sigma_{P}((Reaction \bowtie_{K} Consumption) \bowtie_{J} Molecule)$  where

**P** is the constraint "r.name = 'WPO'"

**J** is the join condition c.consumes = m.id

**K** is the join condition r.id = c.consumedBy

#### Rearranging a relational algebra expression

 The RA expression can be rearranged to improve performance as follows:

$$\pi_{\{m.id\}}\sigma_{P}((Reaction \bowtie_{\kappa} Consumption) \bowtie_{\jmath} Molecule)$$

$$\pi_{\{m,id\}}(\sigma_P(Reaction \bowtie_K Consumption) \bowtie_J Molecule)$$

$$\pi_{\{m.id\}}((\sigma_P \text{Reaction} \bowtie_K \text{Consumption}) \bowtie_J \text{Molecule})$$

#### Advantages of the rearrangement

- The rearranged expression has better performance
- The original expression computed the entire join of all three tables
  - The resulting join has as many records as the Consumption table
- The new expression joins one record of the Reaction table with the Consumption table and then joins these with the Molecule table.

#### Translating a query to relational algebra

- Show the code and number of bonds for every molecule, but if a molecule has no bonds, then show the code and "unbonded"
- Here is the SQL query

```
select m.code,
    if(count(b.id) = 0,
        'unbonded',count(b.id))
  from Molecule m left join Bond b
    on (m.id = b.partOf)
  group by m.id
```

## Translating a query to relational algebra

- Show the code and number of bonds for every molecule, but if a molecule has no bonds, then show the code and "unbonded"
- The relational algebra expression is

 $\pi_{\text{\{m.code,if\}}}(\xi_{\text{if}}(\xi_{\text{count(b.id)}}(\psi_{\text{c}}(\text{Molecule}_{\textbf{J}}\text{Bond}))))$ 

where

if is the if expression in the select clause

C is the grouping criterion "group by m.id"

**J** is the join condition m.id = b.partOf

# Implementing a query

The relational algebra expression is how the query is implemented  $\pi_{\{\text{m.code,if}\}}(\xi_{\text{if}}(\xi_{\text{count(b.id)}}(\psi_{\text{c}}(\text{Molecule} \ \ \textbf{Molecule}))))$ 

- 1. Read the Molecule table from the database
- 2. For each row of the Molecule table match with rows in the Bond table
- 3. Hash the results using the value of m.id
- 4. Count the number of values of b.id in each hash set
- 5. Apply the if expression
- 6. Discard all columns except the m.code and if expression columns

#### Equivalent query trees

- A relational algebra expression is also called a query tree
  - The operations are the nodes
  - The child nodes are the operands (parameters) of the operations
- The relational algebra satisfies axioms
  - Products and joins are commutative and associative
  - Selections with AND are split into several selections
    - Use conjunctive normal form
  - Selection and join sometimes satisfy distributivity
    - Move selections up and down the query tree

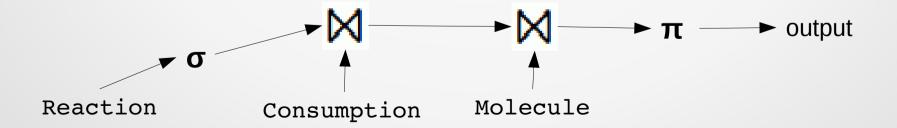
#### Equivalent query trees

- Other axioms
  - Partition and join sometimes satisfy distributivity
    - Move partitions up and down the query tree
  - Projection and join sometimes satisfy distributivity
    - Move partitions up and down the query tree

#### **Query Processing**

- Translate to relational algebra
- Optimize the relational algebra expression
- Select program for each operator
- Pipeline processing

 $\pi_{\{m.id\}}((\sigma_{P}Reaction \bowtie_{K} Consumption) \bowtie_{J} Molecule)$ 



#### Optimization

- List candidate query trees
  - Restrict to pipeline (left-deep) query trees
  - Use heuristics to limit the number of possibilities
- For each candidate query tree select an implementation of every operator in the tree
  - This is the Strategy Design Pattern
- Compute the cost (time and memory requirements) of each candidate query tree
  - Depends on storage devices being used
- Use the lowest cost candidate query tree

## Selecting Implementations

- Selection
  - Either scan or index
  - Index strategy requires an index
    - Must be on a table
    - Must be selective
- Projection
  - Only keep columns that will later be used

# Selecting Implementations

- Join
  - Nested loop join (including product)
    - Always performed by blocks
  - Index loop join
    - Requires index on one of the columns
    - Must be selective
  - Hash join
    - Takes advantage of sequential writes
  - Merge join
    - Same as hash join but also sorts
    - Can also take advantage of sequential writes

# **Index Design**

#### **Index Design**

- Index Types
  - Hash table
  - B-tree
- Required indexes
  - Primary key
  - Uniqueness constraints
  - Target of foreign key constraint

- Optional indexes
  - Selection
  - Join
- Index Design
  - Both required and optional indexes
  - Specify type for each index
  - Order of columns for Btree indexes

#### Hash versus B-tree

- Hash index for exact match only
- Hash index is faster
- Use hash index if range query is not needed

- B-tree index supports both exact match and range queries
- B-tree index is slower

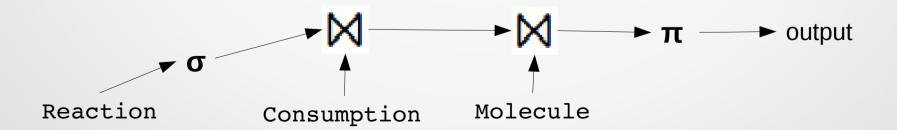
## **Index Design**

- Given a set of queries and commands find the candidate indexes
- Only one design for the set, not one design per query
- Must include all required indexes
- Must specify type of every index
- If B-tree has multiple columns, must specify order of columns in the index

#### **Index Design**

- For this query
  - **P** is the constraint "r.name = 'WPO'"
  - **J** is the join condition c.consumes = m.id
  - **K** is the join condition r.id = c.consumedBy

 $\pi_{\{m.id\}}((\sigma_{P}Reaction \bowtie_{K} Consumption) \bowtie_{J} Molecule)$ 



#### Index Design

For this query

```
P is the constraint "r.name = 'WPO'"

Hash index on Reaction(name)

J is the join condition c.consumes = m.id

Hash index on Molecule(id)

K is the join condition r.id = c.consumedBy

Hash index on Consumption(consumedBy)
```

 However, there is a primary key index on Consumption
 Better design is B-tree index on Consumption(consumedBy, consumes)

#### Range Queries

- Kinds of range query
  - Comparison constraint: r.name > 'Mary'
  - Prefix wildcard: r.name like 'M%'
  - Prefix in multicolumn index: c.consumedBy = r.id
- Maintaining an index is costly
  - One index will almost always be better than two

# **Assignment #5**

## Checking assertion syntax

- Since databases don't support assertions, how can one check that the syntax is correct?
- Simple trick: rewrite the assertion as a query
- For example,

## Review for MidTerm

#### **Data Modeling**

- Object versus Value
- Class structure
- Attributes
- Multiplicity
- Attribute Type
- Enumeration
- Specialization

- Association
- Roles
- Association Class
- Aggregation
- Composition
- Datatype
- Stereotype

#### **Relational Model**

- Tables
  - Rows
  - Columns
- Column Types
- Meaning of NULL
- Primary Key
- Create table syntax

- Table versus Class
  - No object identity
  - No multi-attributes
  - Only primitive values
  - No direct object references
  - Limited extendability
  - No inheritance

## Translating to Relational Model

- Strategies
- Simulating object identity
- Class as a table
- Uniqueness constraints
- Single-valued attributes
- Multivalued attributes
- Foreign keys
- Update and delete behavior

- Associations
  - Many-to-one
  - Many-to-many
- Compositions
- Aggregations
- Cyclic dependencies

#### Translating to Relational Model

- Subclass hierarchies
  - Joined strategy
  - Table per class
  - Single table
- Selecting a strategy
- Enumerations
  - Column type
  - Separate table

- Design Issues
  - Attribute vs Association
  - Attribute vs Class
  - Reification

#### **Basic Queries**

- Navigation
- Basic clauses
  - From
  - Where
  - Group by
  - Having
  - Order by
  - Select
- NULL and logic
- Wildcards

- Aliases
  - Table
  - Column
- Joins
  - Inner
  - Outer
- Join syntax
- Using select distinct
- Aggregation
- Conditional expression

#### **Advanced Queries**

- Nested Queries
- Exists operator
  - Exists vs duplicate elimination
- Rewriting requirements
- Simplifying queries
- Universal quantification
- Alternative syntaxes

- Subquery contexts
- Set operations
- Common errors
  - Multivalued attributes
  - Canceling negations

## Modifying the Database

- Insert
  - One row
  - Query
- Update
  - Basic
  - Subquery

- Delete
  - Basic
  - Subquery
- Auto increment
- Views