

# HATNet: Hardware Attestation of Neural Networks

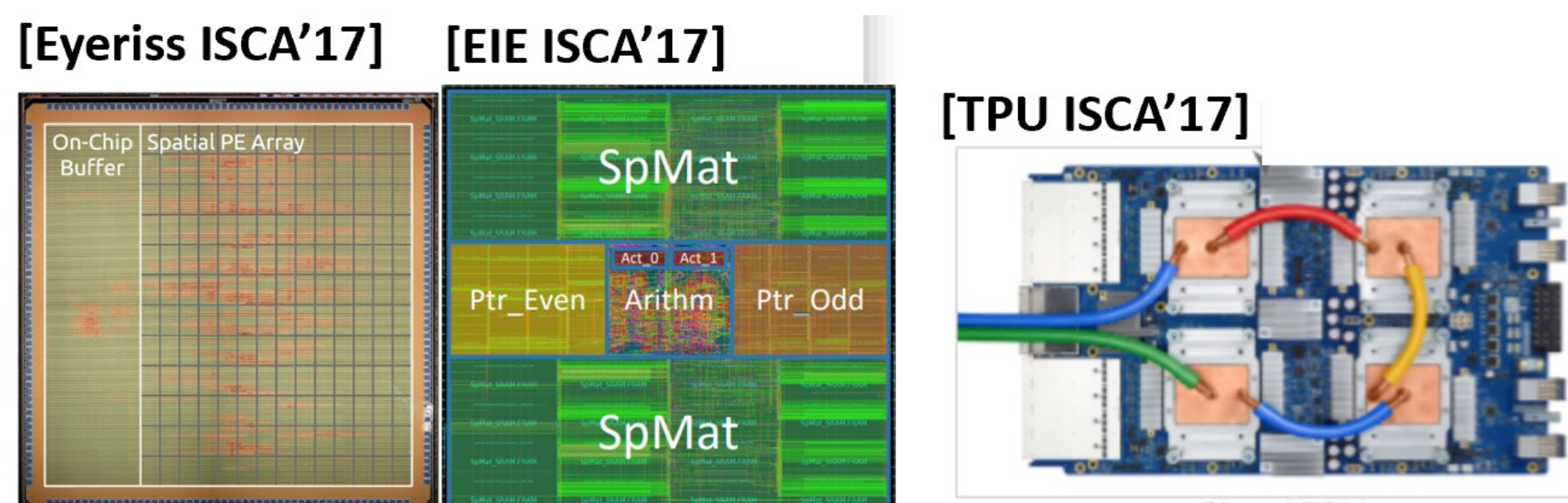
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## Abstract

- ❖ Presenting HATNet, an **on-device DNN attestation** method that certifies the legitimacy of underlying hardware for running a given DL model
- ❖ Leveraging **Algorithm/Software/Hardware co-design** approach to develop HATNet. HATNet binds the parameter distribution of the trained model with a legitimate hardware platform
- ❖ Enabling **usage control** and **intellectual property (IP) protection** of DL platforms
- ❖ Corroborating HATNet's **effectiveness, reliability, and efficiency** on various DNN benchmarks

## Motivation

- ❖ Developing high-performance, large-scale DL models (e.g., Transformer, BERT, GPT-3) is both **time-** and **resource-consuming**
- ❖ **Functional DL model** shall be considered as **IP** of the designer and needs to be **protected** to preserve the commercial advantage of the DL model owner



## Methodology

- ❖ HATNet consists of two stages:

**1 Off-line marking phase:** Hardware provider generates a **unique, device-specific FP** and finetunes the model with the **FP-regularized loss**:

$$\mathcal{L} = \mathcal{L}_0 + \gamma \text{MSE}(f_j - Xw), \quad f_j = \sum_{i=1}^v b_{ij} u_j.$$

FP is stored in secure memory of the target hardware

**2 Online attestation phase:** **Extracts FP** from the unknown/queried device when the trigger is activated:

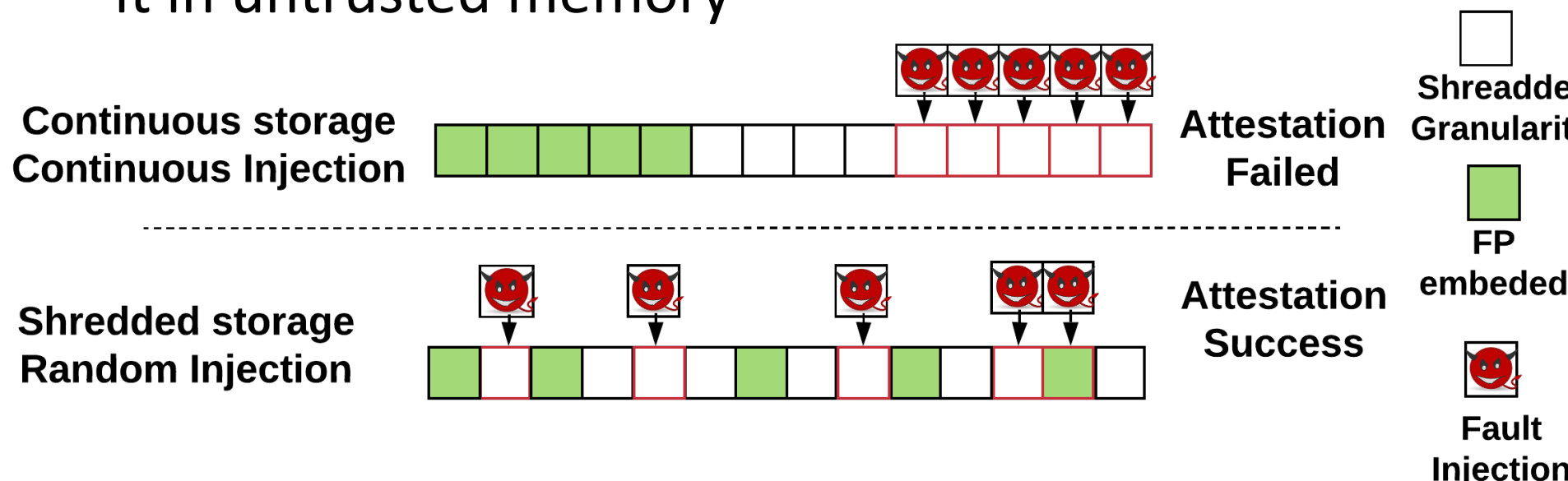
$$f'_j = Xw', \quad b'_j = f_j'^T * U$$

- ❖ HATNet deploys a **hybrid trigger mechanism**:

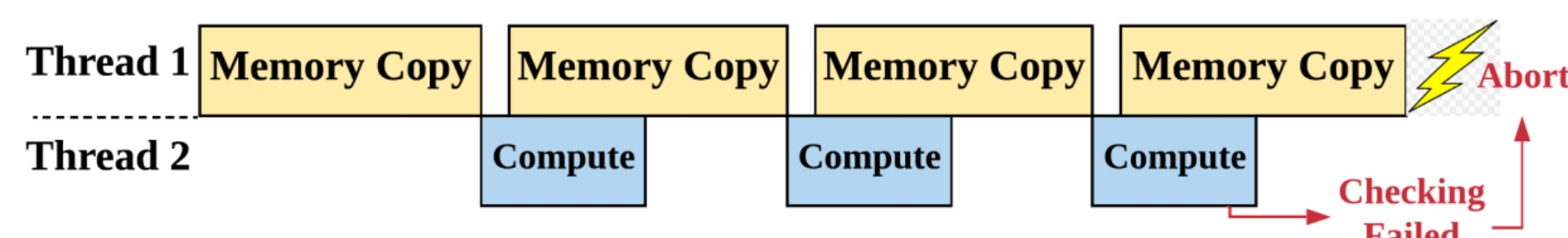
- **Static trigger:** OS detects DNN program's start request
- **Dynamic trigger:** Two sources: (1) memory change signal from OS monitor, and (2) fixed-frequency timer

## Hardware Optimization

- ❖ HATNet incorporates multiple HW optimization techniques for security and overhead consideration
- **Shredder storage:** **shuffle weight** data before storing it in untrusted memory



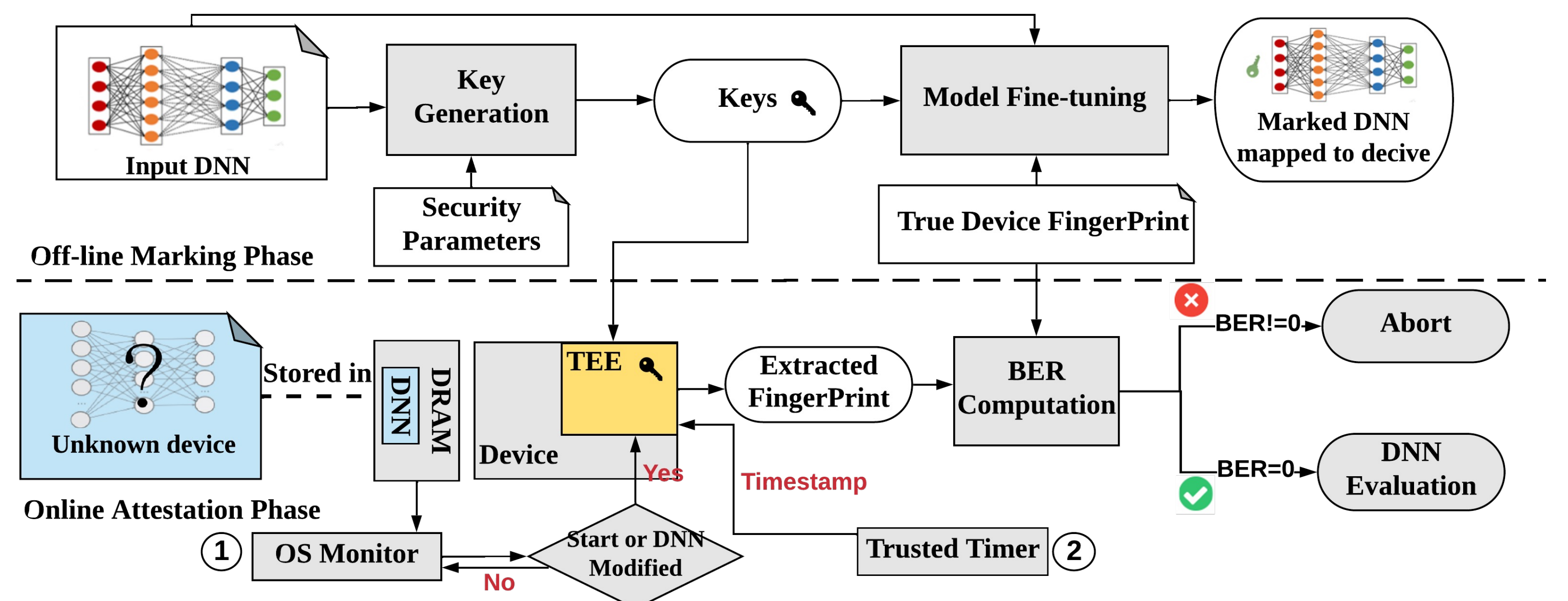
- **Data pipeline:** Hide the data communication latency
- **Early stopping:** Skip unnecessary computation



## HATNet's Global Flow

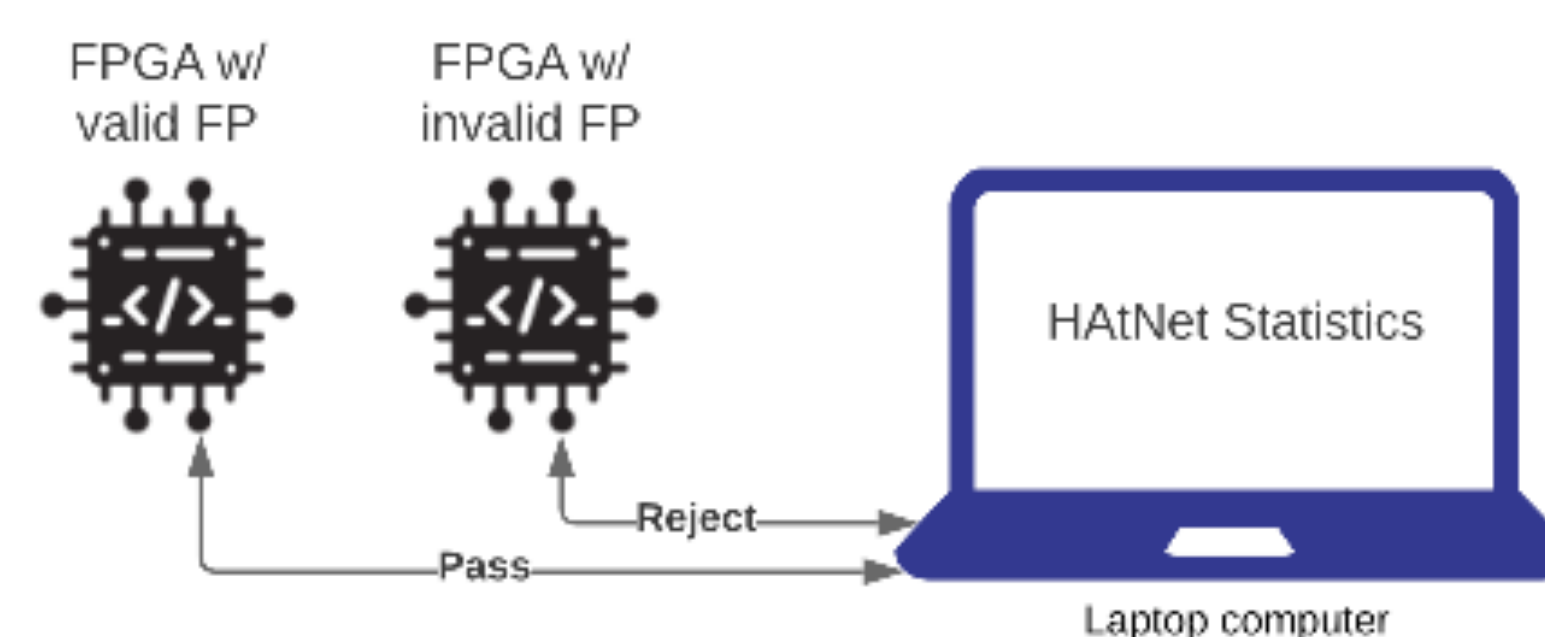
### Threat Model

- The company is the IP holder. He / She sells the pre-trained DNNs together with the legitimate DL device.
- The attacker could be a malicious user who wants to run the DNN on an unauthorized hardware platform
- ❖ HATNet generates device-specific fingerprint (FP) and **binds device's FP** to the DNN by embedding the FP in the **weight distribution** of the DL model



## Experimental Results

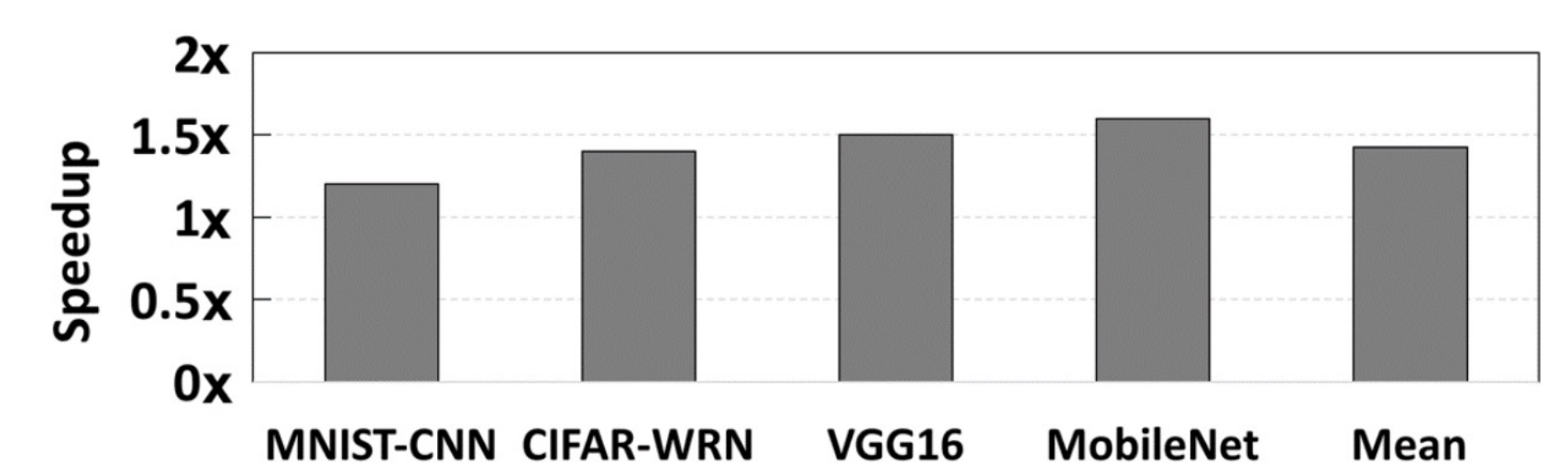
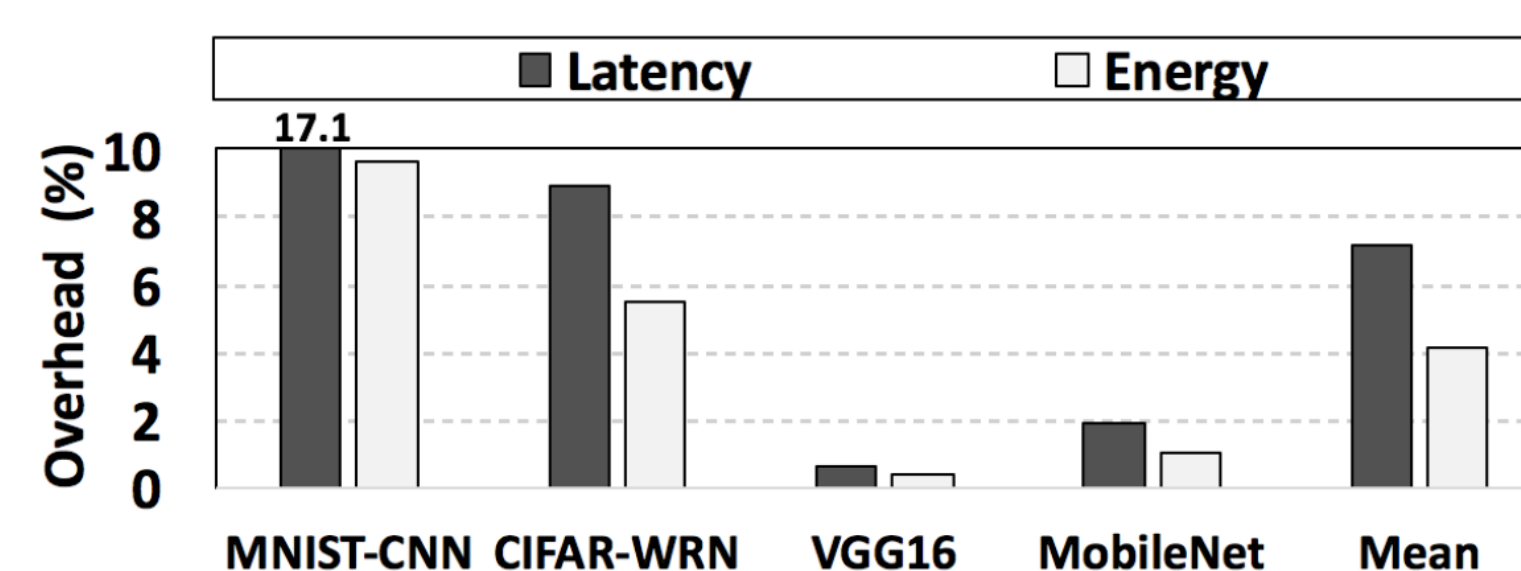
- ❖ We evaluate HATNet on various DNN benchmarks to corroborate its properties:



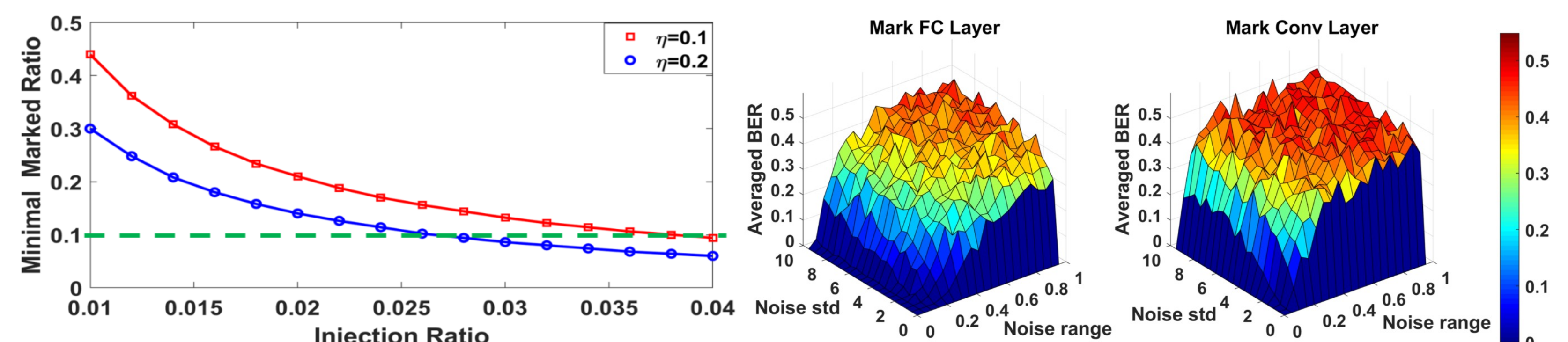
- **Fidelity:** Test accuracy of the marked DNN is comparable as the corresponding baseline

Benchmark	Dataset	Model Size (MB)	Multiply-Add Operations (Mops)	Marked Layer Size (MB)	Baseline Accuracy (%)	Marked Accuracy (%)
MNIST-CNN	MNIST [34]	1.3	24	0.13 (10.1%)	99.52	99.66
CIFAR-WRN	CIFAR10 [35]	2.4	198	0.29 (12.3%)	91.85	92.03
VGG16	ImageNet [36]	276.7	25180	28.3 (10.2%)	91.2	92.23
MobileNet	ImageNet [36]	8.4	569	1.05 (12.6%)	85.83	85.75

- **Efficiency:** (a) Low runtime and energy overhead of online attestation, (b) data pipeline speedup



- **Security and Reliability analysis:**



## Conclusion

- ❖ Devising HATNet, an effective, lightweight, reliable and secure on-device attestation framework that authenticates the legitimacy of the hardware to run the protected DL model
- ❖ Leveraging Algorithm/Software/Hardware **co-design** principle to achieve **hardware-bounded IP protection** and **device usage control** of DL hardware.