COLLECTED ARTICLES

(1968-1982)

BY

DA VID ROSENBOOM

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COLLECTED ARTICLES

A Selection of Previously Unpublished or Out of Print Writings

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DAVID ROSENBOOM

1968-1982

with

"Somethins Called Maple Susar"

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Jacqueline Humbert

1978

David Rosenboom Publishing 235 Greenbank Piedmont, CA, USA 94611

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I.

Music, Mind and Thought 1972-1982

- 1. The Qualities of Chanse: "On Beins Invisible": Steps Towards Transitional Topologies of Musical Form: (1977:1978:1982)
- 2. Prolesomenon to Extended Musical Interface With the Human Nervous System: An Outline Mandala of Instrumental, Electrocortical Forms Observable Through Point Consciousness (1976)
- 3. Rosenblueth and Halacy: A Critical Review (1972)
- 4. Churchman and Valenstein: A Critical Review (1977)
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- 6. Towards a Language for Self-Directed Learning by Computer Facilitated Interaction With Large Visual Knowledge Bases (1982)

II.

Collaborative Performance Art, the Late 1970's

Something Called Maple Sugar (Jacqueline Humbert, 1978)

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Multi-Media, Art and Technology, the Late 1960's 1968-1970

- Program Notes for an Electric Ear Concert: Hiller, Reynolds Subotnick, Martin, & Martirano (1968)
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- 5. . . . the future of art and power. FOR THE LAST SEVERAL MILLION YEARS (1970)

David Rosenboom

I.

"The Qualities of Chanse"

Much music is conceived physically under the broader concept of resonance. This includes the conception of the physical materials of music as beins embodied in the seometry of vibrations of air molecules in a bounded space, inside which the concept of the "outside" of this space is meaningless. I am interested in the properties of universality that involve music unbounded by this multi-dimensional, enclosed continuum. We remember the one eyed, sessile philosopher who could only conceive of a crude elliptic, two-dimensional space. three-dimensional form of tactual space is resultant from the combination of images from two eyes and is given meaning that is conditioned in part by the semi-circular canals of the ear, the changes of which are corss-correlated with the dynamic, myogenetic forms of extension, contraction, and resistance to sravity. What, then is the latent sense, or abstract "third eye" which will enable us to create a music that articulates itself physically through universals of experience with all of space. Kant maintained that space is not an empirical concept derived from external experience, but' a framwork already existins in the mind without which no external phenomena would be possible. Poincare points out that, just as the Principle of Relativity tries to show us that it is impossible by any means to obtain a knowledge of absolute motion, so, it is theoretically impossible to determine what are the qualities of matter as "distinct" from those of space. Therefore, it is beyond our power to obtain a knowledge of absolute space.

Time may be thought of simply as that dimension in which we "move" order to articulate the remaining dimensions. It is the arbitrarily chosen dimension for "motion" in our normal conception of space. It need not be fixed as the only one, however. It is simply that dimension upon which our scanning mechanism for obtaining information about the others is focused at this stage in evolution. We, therefore consider it as anisotropic in order that we may rely on memory processes to produce correlations and consequent reductions on the data of experience. In an elliptic space, however, time does not need to be considered anisotropic. Consider the bi-directionality from which any star may be observed in such a space, assuming no loss by absorption over the remaining axes. The different views of space observable from two opposite directions, then, represent bi-directional articulation of information represented on three axes of our space by "motion" on a fourth. Time may then also be thought simply as a means of senerating shared experience, of observers sufficiently close to each other, about information articulated on the remaining axes. Rhythm in music, then, the material of time with which music is so fundamentally involved, may also be thought of as simply a means of senerating shared experience of material information articulated on the remaining musical axes.

We may now look for that yet untapped sense which will enable us to synthesize, through correlation, an idea of resonance unbounded by our anisotropic view of time, a music more universal than that presently articulated by the elasticity of local compactings of air molecules will then arise. Psychotronic research in communication, which is really an art-science of transoformation along one or more axes of conception with maximum invariance, along with work on our new views of physics and the self-organizing universe, may contribute to the awakening or reawakening of that sense which will lead to this fundamentally important step in evolution.

partially accomplished bУ three-space is Correlation in cross-correlation of energy patterns obtained from the two eyes. This is certainly a totally abstract process which sains its meanins the aforementioned tactual and kinesthetic senses. development of these and related processes arise primarily out of our need, as local concentrations of sameness, other to locate enersy view of the remaining dimension of anisotropic Our conception · of time, arises from our experience, mechanisms of memory allow for correlations of information arising from different points on the time axes, just as our visual-tactual for correlations of information arising from allow the normal spatial axes. These allow different points on However, from whence arises the localizations of perception to arise. notion that one of these concentrations is located "first" or "last" on a particular axis? Only as it relates to the preprogrammed ontogenetic growth! And this directional growth arises only of statistical and its resultant shaping from boundedness correlation of two concentrations, A and B, Temporal potentiality. the occurence of B, storage of A 'til requires the conception of the anisotropic nature of that axis upon which our scanning mechanism focuses in order to reduce data and semerate shared experience.

Possibly, this new sense will embody a conception of some sense of non-directional correlation as distinct from our present conception of one-way memory storage. The hypotheses of elliptic geometry lead to the result that a star would be visible in opposite directions. This would be true except for the finite rate of propagation of light in space. These assumptions result in the case that the two images of the star seen in opposite directions will represent the star at different points on the time axis. If these two images were capable of being superimposed through correlation (non-directional), just as the images from two eyes are superimposed to develop three-space, we may have the basis for the necessary change in the conception of memory and a view of the isotropic nature of all perceptual axes. This is the "time-eye-in-the-back-of-the-head" we may be looking for.

These assumptions may lead further to the notion that existence in all places at all times is a thoroughly plausible concept. However, Just as we have learned that rigid physical objects are not necessarily invariant with respect to shape through transformations in space, so, too, we must realize that a locally differentiable concentration in universal space is not necessarily invariant with respect to

information content through transformations over one or more axes of that space. One who achieves such a mode of existence in all places at all times, therefore, can not communicate, in the above sense of communication, with another who has not. He or she may merely effect the other! The action required to accomplish this state may only require putting an existing structure to some purpose to which it has not been put in recent time.

II.

"On Beins Invisible"

"On Being Invisible" is the title of a continuously developing body of work for soloist deriving from the author-composer's work in extended musical interface with the human nervous system, (1), (2), (3), (4). Though one idea has certainly been that of increasing the palette, bringing previously unconscious processes into conscious awareness and potential use, this work has led to the realization that the stability of natural oscillators is such that one can submerse him/herself in them and learn about the relationship between resonance and the idea of initiating action. This has profoundly influenced my understanding of the meaning of change in my music and constitutes the psychic space in which the major explorations of this piece take place.

During a performance of "On Being Invisible" inputs to an electronic instrument that comprise the performing actions are derived from signals from the brain, short term, manual actions, and signals from small acoustic instruments and the voice. What's soins on inside hardware may be best understood by extending our usual conception of the idea of an instrument. An instrument, in this system, is defined as a set of data that establishes all system interconnections, information flow paths, analytical or senerative alsorithms, and a stimulus-response mapping from inputs to outputs. A seneral purpose computer is used to store a library of such "instruments" and can initiate any of them nearly instantaneously, when an appropriate, predefined "stimulus" is detected by it. The computer also analyzes input signals in such a way that it can detect things like patterns of rhythmic events, spectral composition, desrees of resonance, resularity, and degrees and types of rates of change used to detect structural features that mark off cohesive temporal groupings of events in the input or output streams. Special purpose computers are used to generate and control the actual sound waveforms heard.

During Part I of the piece, (first realized in 1976), the performing actions are all derived from brain signals or from touch sensitive keyboard responses. The brain signals are analyzed in two ways. First, they are subjected to an auto-correlation analysis used to extract patterns from the brain signals that tend towards regularity. This is done by comparing the sampled signal to many stored versions of itself that are incrementally delayed in time. This determines how closely the patterns present at any given moment are related to patterns that occured in the recent history of the signal. The most obvious mapping of the results onto sound occurs in the way these

detected patterns are used to influence the flow of musical time, rhythm, in the the production of some melodic contours, and in the senerating of clouds of timbral relationships in, especially percussive, "instruments". Second, the signals are analyzed so as to show how their energy is distributed throughout the frequency spectrum. The presence or absence of energy in a particular range, together with a measure of how regularly this energy is pulsating, generates stimuli for the initiation of "instruments" and rhythmic sequences. The touch sensitive keyboard responses are used to initiate new instruments and are played contrapuntally with the above brain signal events.

During Part II of "On Being Invisible", performing inputs come almost exclusively from small acoustic instruments or the voice. In this case, the computer listens to and analyzes the sound fed to it through a microphone and looks for resonant acoustic patterns in the sound as appears in the performance space. It tries to adjust the parameters of the sound it senerates so as to complement the resonant patterns it finds. Of course, it is also always listening to itself as well as to the acoustic instruments or voice. An essential characteristic of most of the "instruments" programmed for this section is that they all tend to move towards some type of acoustic balance. One could make an analogy with the action of the skin of a large drum. One plays it by striking or rubbing it in some manner, the effect of which actions is to displace the elastic head from its state of rest. The performer then rests and listens to the sound produced by the head, which represents the process it soes through in order to return to its state of rest. So, the method of playing the "instruments" involves making a sound into their microphone, ear, and listening, then to a process by which the "instrument" may acheive a balanced state. The term, balance, here may be thought of in the abstract, since one may program the nature of sound complements that constitute an hypothetical state of homeostasis. As a performer, one must practice the ways in which one can become involved in initiating actions which allow inaction between the sounds, during which time one listens to the sounds freely articulating a natural process of motion towards a set of static complements. It is during this listening phase when the most difficult work arises. Here one must adjust one's performing consciouness in order to choose the best moments to become an initiating force and decide on how.

It is an essential characteristic of all parts of this piece that the performer constantly ride a border line between being, on the one hand, an initiator of action and, on the other, submersing him/herself in processes larger than him/herself. This requires that the performer become adept at manipulating his/her state of consciousness, application of willful actions, and the energizing of programmed personal response modes. This requires a great deal of practice and is the inspiration for the title, "On Being Invisible", (5).

Part III of "On Beins Invisible" has not been realized in live performance to date. Research and development in preparation for it has been soins on for some time, however. It involves detection and analysis of auditory evoked responses from the brain, the details of

which can reveal a number of important features of activity occurring on various levels of the musical information processing hierarchy. These constitute electrophysiological correlates of formal perception. It is intended that changes in these signals be used to cause the music output to converge upon coherent forms or, possibly, even language structures and to diverge from them as the processes of selective attention become locked and unlocked to important structural features of the sonic stream. Laboratory research leading up to this has raised many questions about perception and music theory and has spawned ideas for language structures to be used in computerized electronic music instruments. Some of these ideas are described below.

III.

"Steps Towards Transitional Topologies of Musical Form"

Some of the background research began with the application by the author in 1968 of techniques of measurements and analysis of electrical brain activity to the study of musical experience and the of biofeedback as an homeostatic stabilizer of perceptual processes and conscious states for study and analysis, (3). As progress developed in articulating features of musical information processing, new techniques allowed for the extracting of electrical concomitants in nervous system activity of finer and finer details of responses to structural landmarks in musical stimuli, (4), (6). Experiments were devised in which significant aspects of the structure of an evolving musical fabric were brought under the control of information derived from ongoing and evoked responses of the brain, which were time locked to events contained in those same structural aspects. From these experiences it was possible to make numerous observations about the "rerceptual" versus the assumed "structural" significance of musical events for which brain, responses were being analyzed. The work of several other researchers, notably, R. John on the exosenous versus endosenous aspects of neural activity and their relation to learning and memory, (7), M. Clynes on morphological invariants of output forms of the nervous system involved in expressive activity, (8), J. Tenney on temporal sestalt organization in musical perception, (9), and D. Rothenberg on the mathematical modeling of feature detecting systems, (10), lent considerable inspiration and theoretical support to the observations.

These observations about perceptual significance, as seen in brain signals, however, do not always bear an obvious relationship to the significance of events that might be suggested by the compositional system used. In addition, when the relationship is obvious, it does not always parallel the musical theory. This leads naturally to a re-examination of theoretical practices applied in composition and their relationship to natural language. It is imperative that such a re-examination of music theory be based on information arising from studies in psychoacoustics and higher levles of both neural and cognitive processing of musical input. This is especially true if the results are to be operationally significant, ie., produce useable

methodolosy that can be applied in both notated and non-notated composition as well as in the real-time, performing instruments of electronic music. The musical project, currently under development, is one whose results are intended to explore and test a subset of processes that are important to this newly evolving musical theory: "a topological method for recognition and manipulation of morphological, gestural shape units in musical expression".

A topological model is invoked because of its inherent flexibility in effecting transitional processes, between phonemic level reflect most naturally the mind's tendency to characterize the "qualitative" dynamics of the evolution of a form, (11). In this the composer specifies early in the compositional process a system: These may take the form of set of contour specifications. sestures, melodic contours, etc., and may be sraphically input in the form of data or sensed in real-time by means of performance composer chooses the sestural contours so as to The transducers. comprise the major language elements of his/her work on as many hierarchically related levels of structure as he/she wishes. In the case of a real-time performance system, in which the sestural contours are input by means of action transducers, an adaptive, recognition alsorithm is employed to enable the system to learn to partition and, contours repertoire ò f subsequently, identify as stimuli, a characteristic to a given performer. Such a technique also permits the creation of programs in which the composer may need only supply an economical set of "connectedness" relations among elements of the set action stimuli and shape the system's response by means of simple feedback expressions, (12).

evolution of the compositional To effect the desired temporal structure, which is always described by means of a topological mapping transition states, perturbations to effect mutation are introduced by means of a stochastic proceedure. This proceedure may, of course, input at the next level of the controlled by a contour itself Next, a sequence grouping detector is employed to separate hierarchy. for being out new contour vectors that are candidates This detector is inspired by a inserted in the piece as new elements. and is modified to model for temporal sestalt organization, (9), reflect the unidirectional rate-sensitive properties of input channels of the nervous system, (8). Once a sequence grouping is indentified, is subjected to a cross-correlation test with its associated prime The result contour element, as supplied originally by the composer. value which may be tested against a criterion value supplied by itself Ьe a next level of control, which may morphological another such comprising time-dependent function: sesture. If the test result is positive, the sequence may be output. The criterion or test value may be a dynamic variable or set of variables that describe adjacency relations in a user defined "concept space" of one sestural element to its neishbors over one or several map. In this way, the quality of topological dimensions of the transitions between two siven elements may be controlled and a This is superior, for our such transitions is available. purposes, to the more common means of effecting transitions by means scale factor changes, (like mixing or multiplicative OF of

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cross-fading in electronic music), with which the quality of change effected in transmuting one siven share into another may only be varied with respect to speed.

The resultant output may be applied to all the vertical and horizontal parameters of music. From each level of the hierarchy, we may, then, derive a specification of form for the parameters of a siven piece. Further, the levels may be related pyramidally, in ever broadening scope, from the micro- to the macro-structure of the piece, segment, or sound. One must also, of course, choose one's flavor of stochastic process with which to introduce mutations in the form of a particular sestural shape element, or leave this up to real-time control. By so doing, a natural movement through a network of topologically related elements is effected and will include the qualities of natural, pravitational, (resonant), clustering at different points linked by the network. Additionally, contours may be mapped over more than two dimensions, though, they must be related to at least one dimension such that their unfolding proceeds over larger and larger values of that dimension, (for instance, time), as we proceed upwards in the hierarchy. It is also important to remember that the entire process may reside in a computer assisted electronic music system and be invoked nearly instantly. The system may be thought of in this case as a temporally structured instrument, (13).

References/Notes

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- Though the author-composer has produced numerous other works involving bioelectronic monitoring of many performers and, sometimes, audience members, "On Beins Invisible" is unique in its musical, theoretical, and conceptual content. It is not scored but is based on a large body of knowledge and experience accumulated over many years of personal work. Some performances by others using this title have been produced. It should be noted, however, that the author-composer does not consider these to be valid representations of the work. Some day it may be possible to map the essential features of the piece such that

others can perform it. It is not now intended that this take place, however. There are other pieces that serve this purpose. Rosenboom, D.: A MODEL FOR DETECTION AND ANALYSIS OF INFORMATION PROCESSING MODALITIES IN THE NERVOUS SYSTEM THROUGH AN ADAPTIVE, INTERACTIVE, COMPUTERIZED, ELECTRONIC MUSIC INSTRUMENT, Proceedings, Second Annual Music Computation Conference, Part 4, "Information Processing Systems", Office of Continuing Education and Public Service in Music, University of Illinois, Urbana, 1975.

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13. Elements of this article appeared in two earlier papers from 1977 and 1978 and were reassembled in 1982.

PROLEGOMENON TO EXTENDED MUSICAL

INTERFACE WITH THE HUMAN NERVOUS

SYSTEM: AN OUTLINE MANDALA OF

INSTRUMENTAL, ELECTROCORTICAL FORMS

OBSERVABLE THROUGH POINT CONSCIOUSNESS.

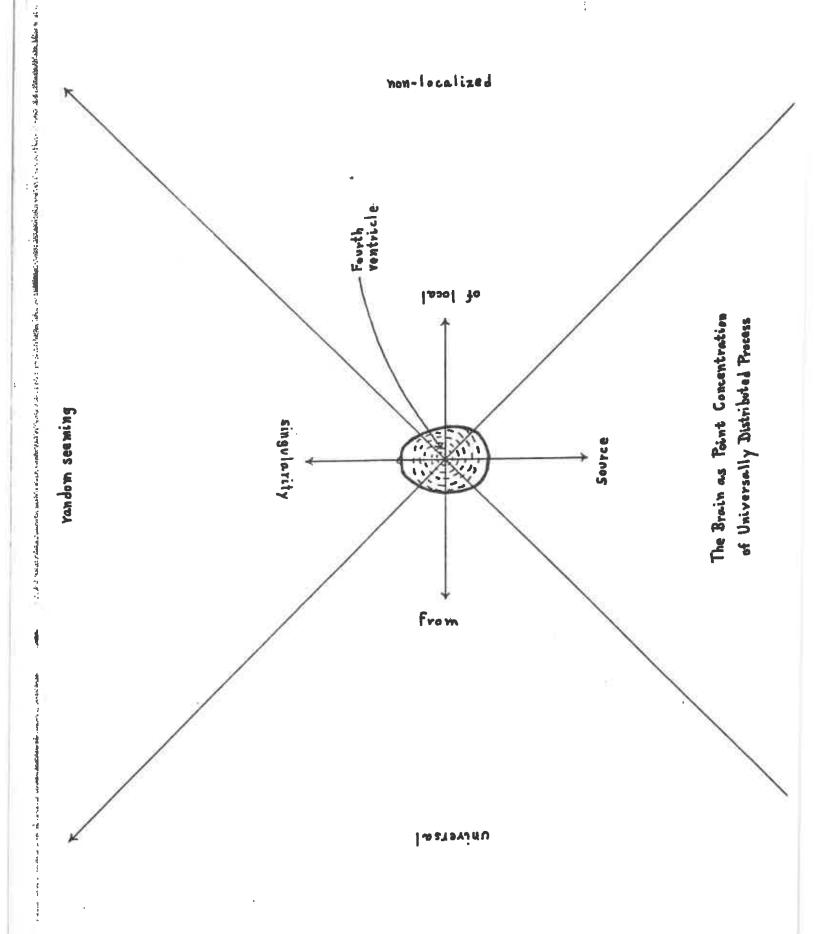
dedicated to Terry Riley DAVID ROSENBOOM
TORONTO, SAN FRANCISCO, PALM SPRINGS
JUNE, 1996

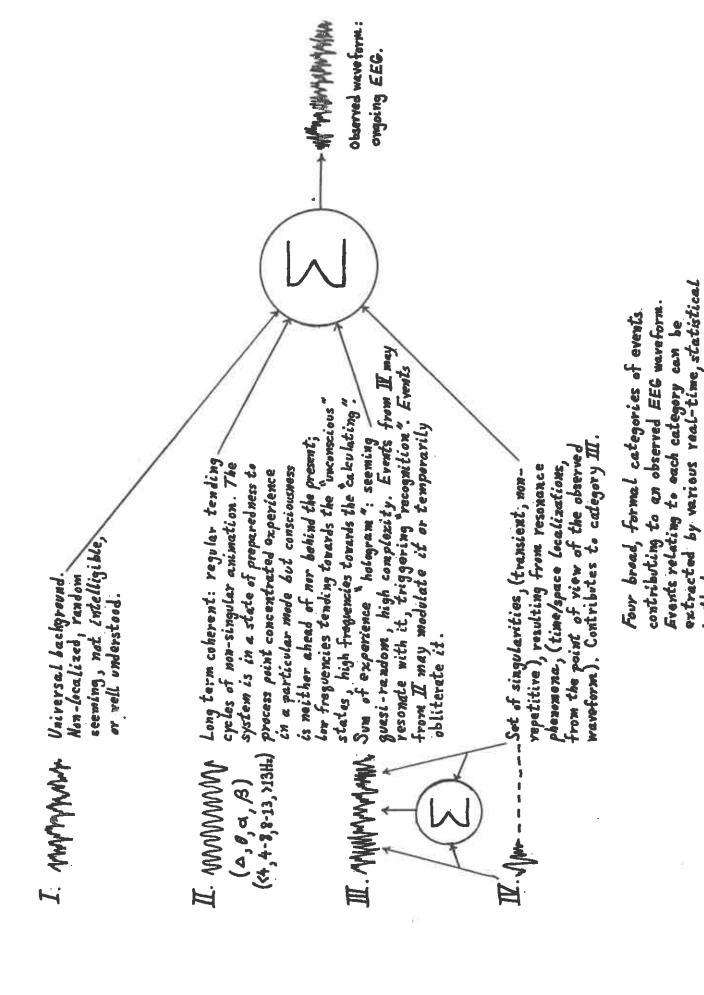
One idea is that of increasing the palette; bringing proviously unconscious rhythmic processes into conscious awareness and potential use. The stability of natural escillators is such that one can submerge him/herself in them and learn the relationship between resonance and the idea of initiating action. These oscillators are plentiful in biological systems and have been well researched. Contained herein is a guide for interpretation of events occurring in the human electroencephalogram, EEG, as they are used in the improvisational composition, ON BEING INVISIBLE, according to musical, psychophysical, and psychophysical parameters.

During the course of this composition, a computer program condenses the action of many of these phenomena into pattern analysis data that is, in turn, used to control the production musical syntax, realized electronically in real time. Additional macrostructure information is derived from the calculation of plots of rolative functioning efficiency of such processes as mental functioning acuity, emotional and intuitive sonsitivity, and physical effectiveness. Interactive resonance patterns of such phenomena between human beings can also be derived and utilized.

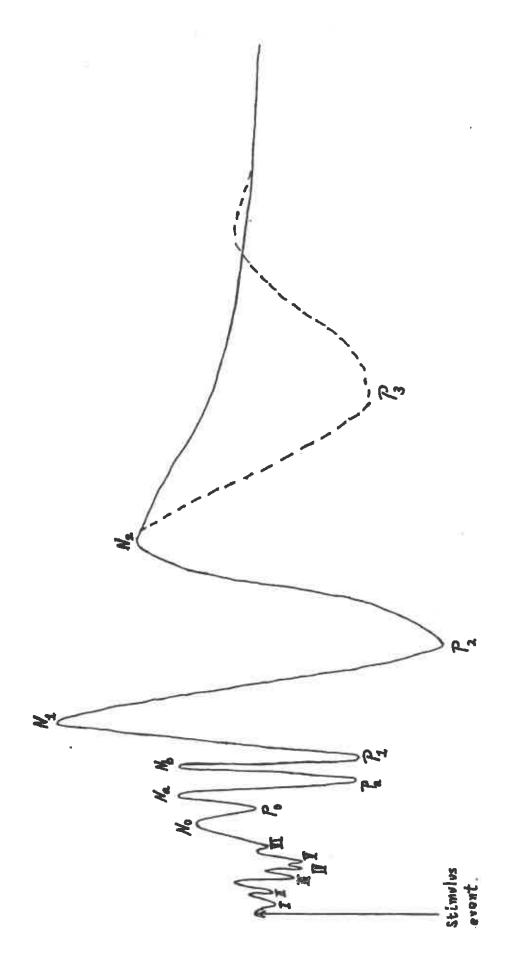
Other macrostructural information is derived from a program that looks for resonance phenomena in a performer's vocal patterns and ongoing EEG. Microstructure information comes from an analysis of physiological performing actions, short-term, transient neural signals, and physiological output codes, termed, "actons".

Thus, natural physical processes, larger than ourselves, influence several levels of the compositional proceedure. The following diagrams constitute the score, in part.





methods.



Analysis of detail contained in waveforms from major category. IF Prototype of entrained, average evoked response. (AER) to a occure and its amplitude higher cognitive processing of average evoked response, (here a 60dbSL monaural sensitive to the perceiver's the specific stimulus. novel, auditory at the vertex

Stimulus event.

A linear relationship exists between time after a stimulus, (latency), and the relationship of a waveform peak to the position of events occuring in the information processing hierarchy.

Component Early Attentional poaks I-W. gates, gating. Rupengation life.
of sonse modelity obyan signals specific, through the location idistribution specific, not work, etc.

Endogenous events, output releasing,

Memory template matching.

imagined or some long latency composents may occure for expected events which do not occure if the subject smagines that

they did occure. Also relates to the formation of an idiolog or mental image of the event.

Point of temporal Iscalization, (present).

event is expected to occure.

biasing in the negative direction, just befor an

space, message content,

specific to time,

or a combination of

these). Appears in the Naveform as a general

Psychophysiological interpretation of the meaning of waveform peak events in the evoked response shown in the previous diagram.

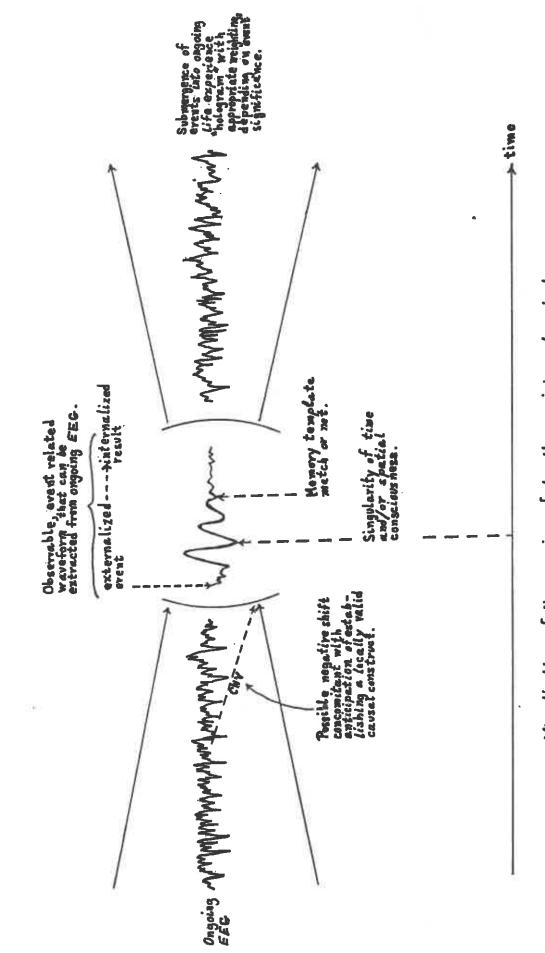
100 ms

109

Anticipation of a cue

Expectency: CNY

for the orienting response. (Can be



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Visualization of the meaning of locally arrising, trensient waveforms from major category III, in relation to the ongoing EEG. These waveforms are extracted by time locked avoraging or correlation methods.

Hyperdiacasinally Non Return to hyper-tending experience singular dimensionally tending tending experience. Hyperdinensimally

experience.

tending expanience. non-singular Return to Non-singular experience. tending

Integration into growth program of individual in rolation to memory, Point of singularity.

Anticipation.

imagination and output

programs.

Integration of new deta into orgains hyperdinensionality. A PRINCE CO 四个一五日十

Point of focus On singular tending experience. Hyperdinensionally

experience.

Point of potential release of output programs from available repetoire, (90, no-90 decision making).

Three sample excerpts from EEG tracings shoving transitions between regions of Predominance of events from the ma formal categories.

*Amplitudes of events from Category IV are shown exagerated for clarity.

NOTES ON INTERPRETATION OF THE SIGNALS

Category I

Very little understood. Look for influence from outer space.

Category II

Coherence and amplitude of signals relates to the number of neurons locking into wide spread cortical synchrony. A continuum of states of consciousness can be observed along the frequency domain ranging from the "unconscious" to the "calculating".

Category III

Pattern analysis is required to reveal salient features that relate to individual experience and programming.

Category IV

Parameters that influence the evoked response.

- A. Altered states of consciousness may influence most recordable parameters.
- B. Physical aspects of the stimulus.
 - 1. Sound: unidirectional changes of rate of change in amplitude, pitch, timbre, location, and abstract accostical form. Changes in one parameter tool to mask the effect of changes in another.
 - 2. Vision: unidirectional changes of rate of change in intensity, location, motion speed or direction, color, and abstract form. (Form is a special case in which changes can take place in any or all parameters as a function of of the visual acanning mechanism as well as a function of the stimulus.

C. Cognitive factors.

1. Recognition: positive correlation of input with stored template.

- 2. Formation of an idiolog or mental image of an event having just occured.
- 3. Decision making: go or no-go decisions with respect to output programs.
- 4. Occurance of formal structural Landmarks in a stimulus sequence.
- 5. Characterizations of sentic states observable in EEG or other physiological parameters.
- 6. Semantic meaning of the stimulus.

D. Psychological factors.

- 1. When an unconditioned stimulus becomes a conditioned stimulus, a new, late component appears in the evoked response, (P3).
- 2. Selective attention: N, and P2 are large for stimuli that are attended to.
- 3. Relevance of stimulus to subject or to task at hand.
- 4. Sensitivity: (measured by standard d'technique).

 P3 is Large for stimuli for which d'is high.

 Note: Sensitivity may be altered by feedback, facilitating an heuristic process in which pattern perception is altered.
- 5. Expectancy: Anticipation of a cue for the orienting response. Expectancy may be of what or when or where or a combination of these and may influence sensitivity. It is seen preceeding the evoked response in a contingent negative variation, CNV. It is different in situations where a physical response to a stimulus may be required. Endogenous evoked responses may appear at the time expected but absent stimuli are expected to accure and resemble evoked responses to the coresponding physical stimuli.

E. Information value of the stimulus.

- 1. There is a monotonic relationship between the size of an evoked response to a particular stimulus and the informedness of that stimulus until information saturation is reached and the function Levels off.
- 2. Evoked responses are large if one or more stimulus parameters are out of the range of comonly present environmental stimuli.

- F. A linear relationship exists between time after a stimulus and the relationship of an avoked response peak to the position of its associated cortical events in the information processing hierarchy. Early components relate to primary processing while later components relate to higher mental functions.
 - 1. Spatial Location of an energy source occures early.
 - 2. Propagation of sensory signals through the distribution network occures early.
 - 3. Signal classification, memory correlation, readout of endogenous or imagined ovents occure later, for instance.
 - 4. Na relates to sensory gating and is channel specific.
 - 5. Ps is message specific and is sensitive to a particular stimulus within a relevant sensory modality:

G. The system is bilateral.

- 1. Left hemispheric events represent analytic events or positional visual processing.
- 2. Right hemispheric events represent holistic events or apositional visual processing.

H. Readout components

- 1. Late evoked response components may arrise from endogenous sources, from memory, or from imagination.
- 2. Physiological output code signatures may be recorded from EEG or muscle signals and are termed, "actions". They are specific to particular expressions of sentic states.

For a detailed discussion of the research background of these ideas, please consult:

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