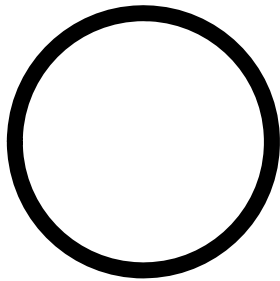


Lect. 12: Ring Resonator

Consider a ring waveguide



Assuming there is light circulating inside the ring

Resonance condition:

$$e^{-j\beta(2\pi r)} = 1$$

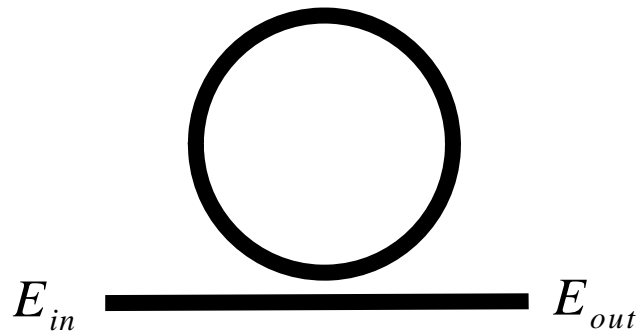
$$n_{eff} \frac{2\pi}{\lambda} 2\pi r = 2m\pi \quad 2\pi r = m \frac{\lambda}{n_{eff}}$$

What can we do with this?

How to couple light in and out?

Lect. 12: Ring Resonator

How to couple light in and out?



Use directional coupler

Coupling coefficient: $-j\kappa$

Through coefficient: γ

$$|\kappa|^2 + |\gamma|^2 = 1$$

Consider loss in the ring waveguide

Loss coefficient: α

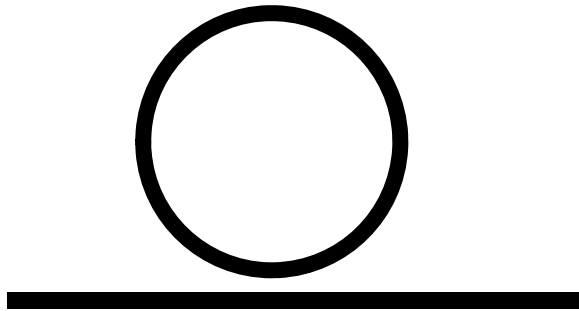
E (after one roundtrip) = $\alpha \times E$ (before the round trip)

$$E_{out} = \gamma E_{in} - (j\kappa) E_{in} \alpha e^{-j\beta L} (-j\kappa) + (-j\kappa) E_{in} \alpha e^{-j\beta L} \gamma \alpha e^{-j\beta L} (-j\kappa) + \dots$$

$$\frac{E_{out}}{E_{in}} = \gamma - \kappa^2 \alpha e^{-j\beta L} [1 + \gamma \alpha e^{-j\beta L} + (\gamma \alpha e^{-j\beta L})^2 + \dots]$$

$$= \gamma - \frac{\kappa^2 \alpha e^{-j\beta L}}{1 - \gamma \alpha e^{-j\beta L}} = \frac{\gamma - \gamma^2 \alpha e^{-j\beta L} - \kappa^2 \alpha e^{-j\beta L}}{1 - \gamma \alpha e^{-j\beta L}} = \frac{\gamma - \alpha e^{-j\beta L}}{1 - \gamma \alpha e^{-j\beta L}}$$

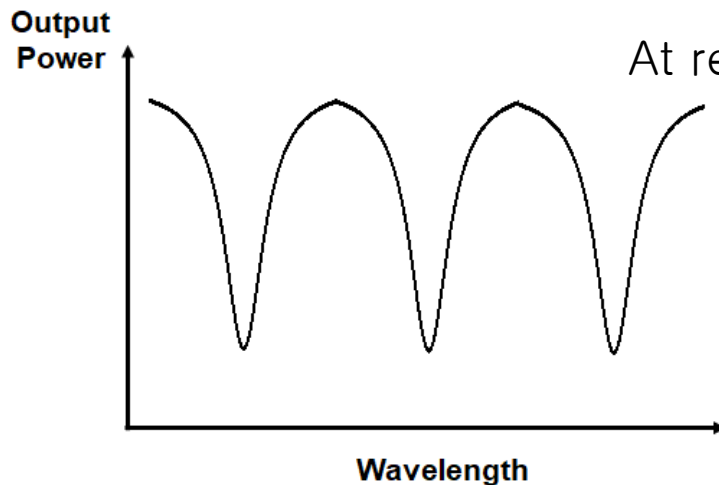
Lect. 12: Ring Resonator



$$\frac{E_{out}}{E_{in}} = \frac{\gamma - \alpha e^{-j\beta L}}{1 - \gamma\alpha e^{-j\beta L}}$$

$$T = \left| \frac{E_{out}}{E_{in}} \right|^2 = \frac{\gamma^2 + \alpha^2 - 2\gamma\alpha \cos \beta L}{1 + \gamma^2\alpha^2 - 2\gamma\alpha \cos \beta L}$$

T minimum when $\beta L = 2m\pi$ (resonance) $L = m \frac{\lambda}{n_{eff}}$



At resonance,

$$T = \frac{\gamma^2 + \alpha^2 - 2\gamma\alpha}{1 + \gamma^2\alpha^2 - 2\gamma\alpha} = \frac{(\gamma - \alpha)^2}{(1 - \gamma\alpha)^2}$$

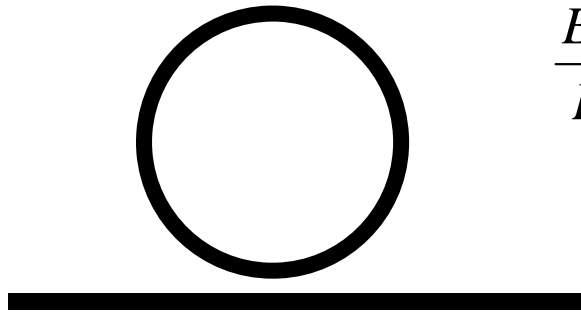
$T=0$ if $\gamma=\alpha$; critical coupling

$\gamma<\alpha$; over coupling

$\gamma>\alpha$; under coupling

Wavelength filter

Lect. 12: Ring Resonator



$$\frac{E_{out}}{E_{in}} = \frac{\gamma - \alpha e^{-j\beta L}}{1 - \gamma\alpha e^{-j\beta L}} \quad T = \frac{\gamma^2 + \alpha^2 - 2\gamma\alpha \cos \beta L}{1 + \gamma^2\alpha^2 - 2\gamma\alpha \cos \beta L}$$

If $\alpha=1$, $T = \frac{1 + \gamma^2 - 2\gamma \cos \beta L}{1 + \gamma^2 - 2\gamma \cos \beta L} = 1$

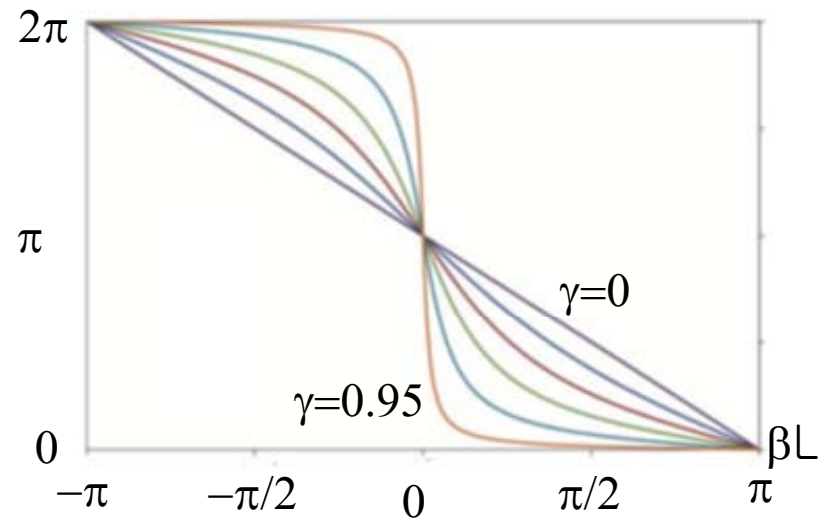
What good is this?

$$\frac{E_{out}}{E_{in}} = \frac{\gamma - e^{-j\beta L}}{1 - \gamma e^{-j\beta L}}$$

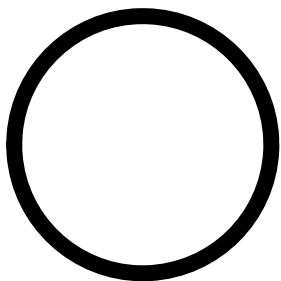
Phase-domain filter

➔ Optical All-Pass Filter

Optical Delay Line

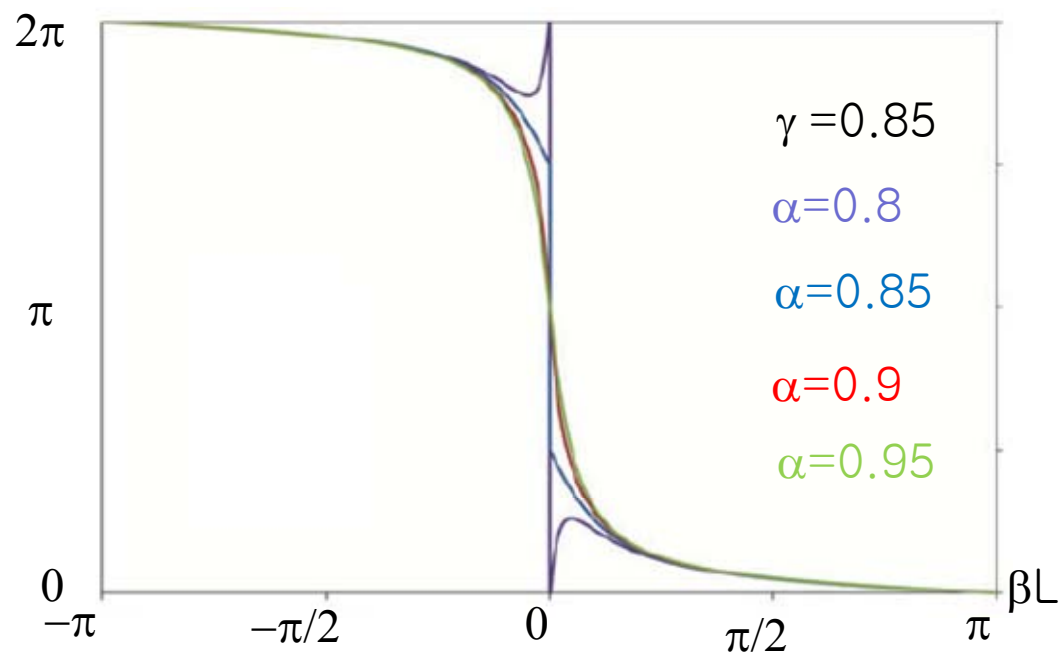


Lect. 12: Ring Resonator



$$\frac{E_{out}}{E_{in}} = \frac{\gamma - \alpha e^{-j\beta L}}{1 - \gamma\alpha e^{-j\beta L}} \quad T = \frac{\gamma^2 + \alpha^2 - 2\gamma\alpha \cos \beta L}{1 + \gamma^2\alpha^2 - 2\gamma\alpha \cos \beta L}$$

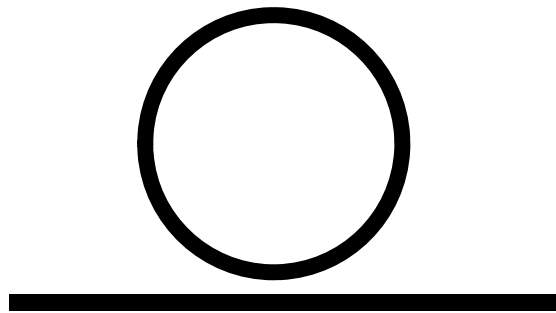
Phase response for $\alpha < 1$



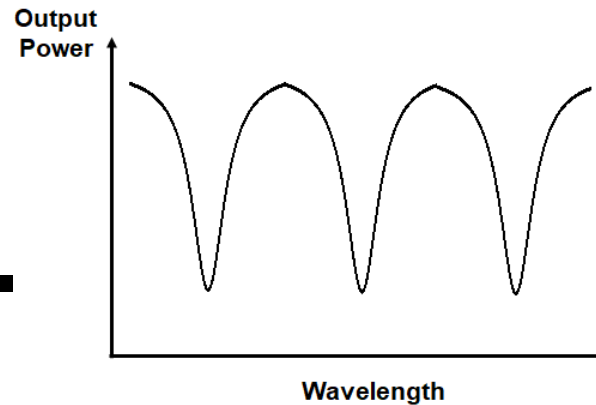
Sing change at resonance

Sharp transition from 2π to 0 around resonance

Lect. 12: Ring Resonator



FSR



$$T = \frac{\gamma^2 + \alpha^2 - 2\gamma\alpha \cos \beta L}{1 + \gamma^2 \alpha^2 - 2\gamma\alpha \cos \beta L}$$

$$\Delta\beta L = 2\pi \Rightarrow \Delta\beta = \frac{2\pi}{L}$$

$$\Delta\lambda = ? \quad |\Delta\lambda| \sim \left| \frac{d\lambda}{d\beta} \right| \Delta\beta = n_{eff} \frac{2\pi}{\beta^2} \Delta\beta = \frac{\lambda^2}{n_{eff} L} \Rightarrow \frac{\lambda^2}{n_g L}$$

$$\lambda = n_{eff} \frac{2\pi}{\beta}$$

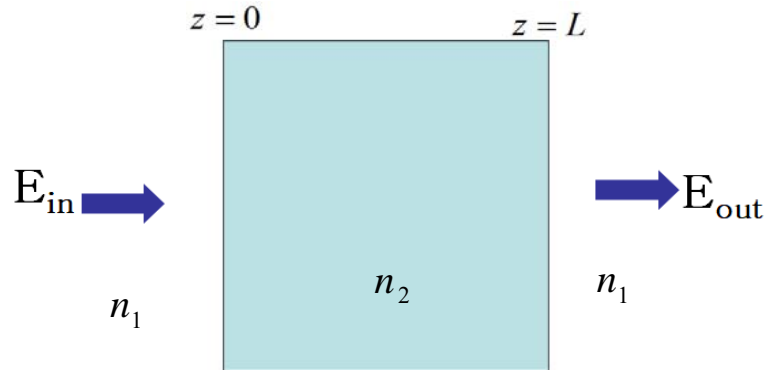
Group effective index (Lecture 8)

$$n_g = n_{eff}(\lambda) - \lambda \frac{\partial n_{eff}(\lambda)}{\partial \lambda}$$

Above results are effectively same as those for F-P interferometer

Lect. 12: Ring Resonator

(Lecture 8)

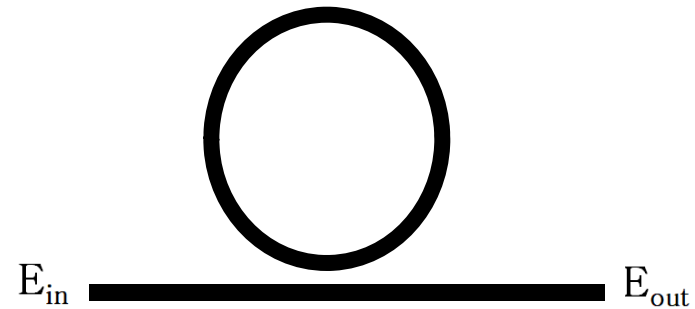


$$\text{FSR: } \frac{\lambda^2}{2n_2L}$$

$$\text{FWHM: } \frac{\lambda^2}{2n_2L} \frac{(1-R)}{\pi\sqrt{R}}$$

$$\text{Finesse: } \frac{\pi\sqrt{R}}{(1-R)}$$

$$\text{Q-factor: } \frac{2n_2L\pi\sqrt{R}}{\lambda_{res}(1-R)}$$



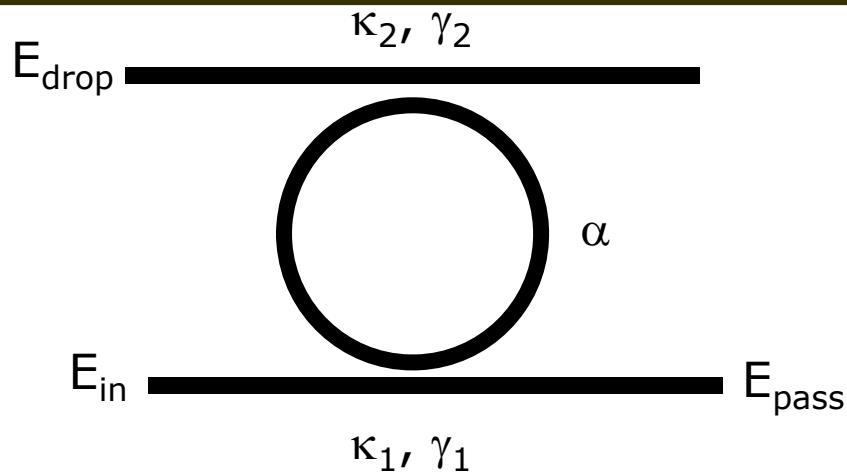
$$\text{FSR: } \frac{\lambda^2}{n_gL}$$

$$\text{FWHM: } \frac{\lambda^2(1-\alpha\gamma)}{n_gL\pi\sqrt{\alpha\gamma}}$$

$$\text{Finesse: } \frac{\pi\sqrt{\alpha\gamma}}{(1-\alpha\gamma)}$$

$$\text{Q-factor: } \frac{n_gL\pi\sqrt{\alpha\gamma}}{\lambda_{res}(1-\alpha\gamma)}$$

Lect. 12: Ring Resonator



$$\frac{E_{out}}{E_{in}} = \frac{\gamma - \alpha e^{-j\beta L}}{1 - \gamma\alpha e^{-j\beta L}}$$

$$T = \frac{\gamma^2 + \alpha^2 - 2\gamma\alpha \cos \beta L}{1 + \gamma^2\alpha^2 - 2\gamma\alpha \cos \beta L}$$

$$\gamma \Rightarrow \gamma_1 \quad \alpha \Rightarrow \alpha\gamma_2$$

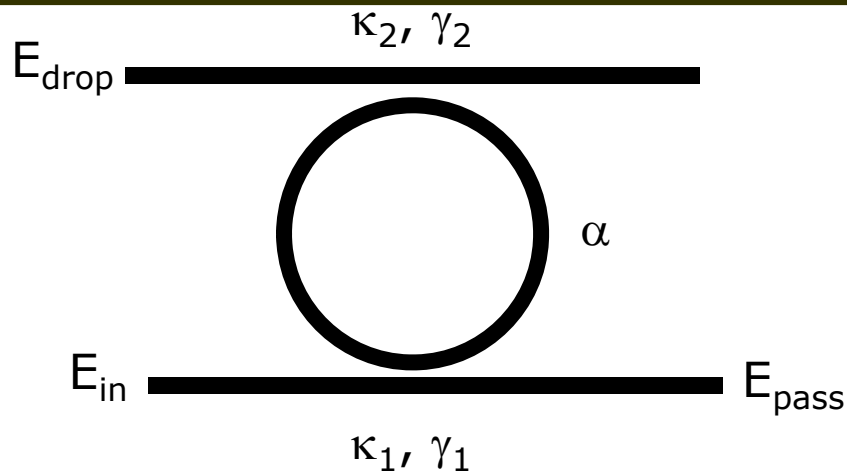
$$\frac{E_{pass}}{E_{in}} = \frac{\gamma_1 - \alpha\gamma_2 e^{-j\beta L}}{1 - \alpha\gamma_1\gamma_2 e^{-j\beta L}} \quad T_{pass} = \frac{\gamma_1^2 + \alpha^2\gamma_2^2 - 2\alpha\gamma_1\gamma_2 \cos \beta L}{1 + \alpha^2\gamma_1^2\gamma_2^2 - 2\alpha\gamma_1\gamma_2 \cos \beta L}$$

Resonance $\beta L = 2m\pi$ $T=0$ if $\gamma_1 = \alpha\gamma_2$; critical coupling

$\gamma_1 < \alpha\gamma_2$; over coupling

$\gamma_1 > \alpha\gamma_2$; under coupling

Lect. 12: Ring Resonator



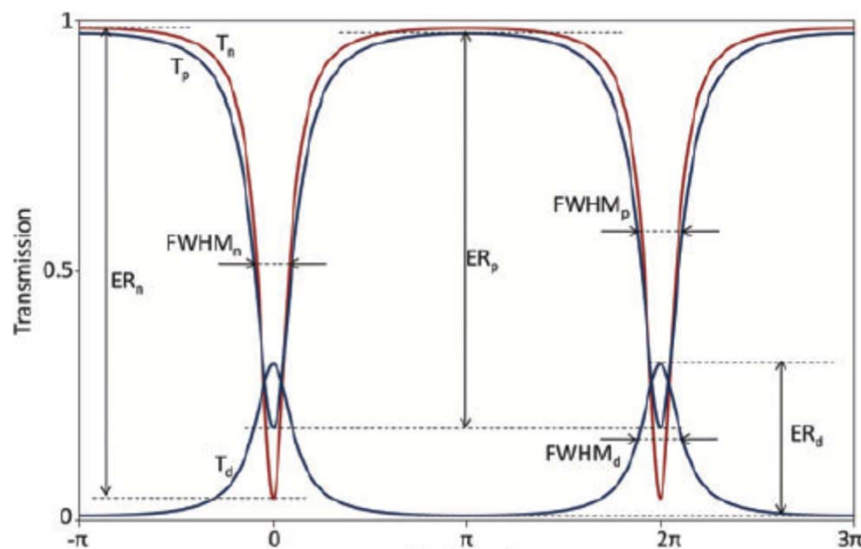
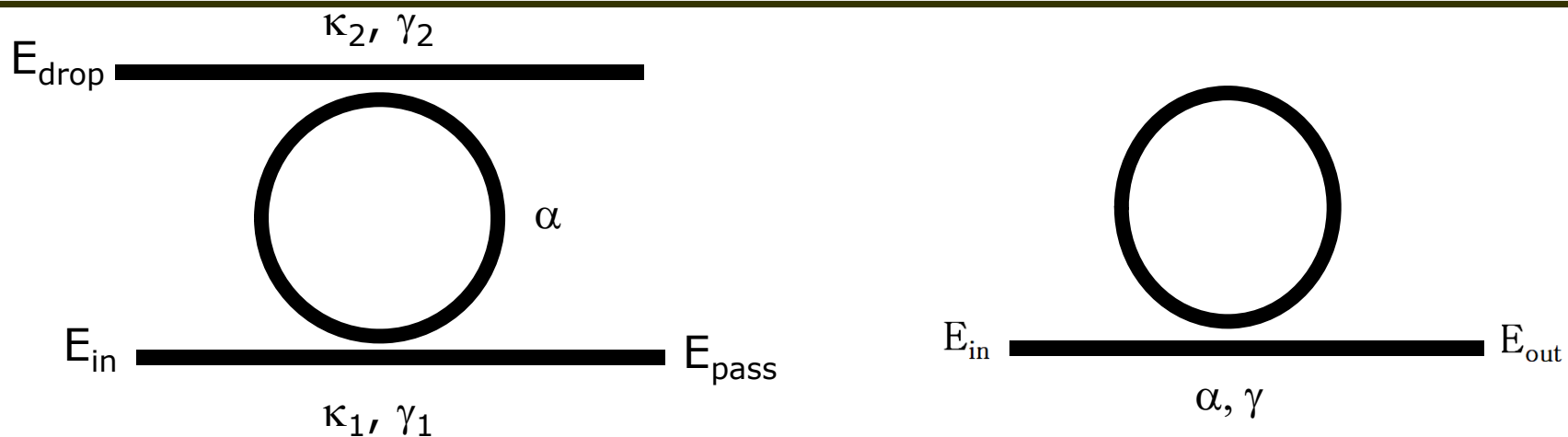
$$\frac{E_{\text{out}}}{E_{\text{in}}} = \frac{\gamma - \alpha e^{-j\beta L}}{1 - \gamma \alpha e^{-j\beta L}}$$

$$T = \frac{\gamma^2 + \alpha^2 - 2\gamma\alpha \cos \beta L}{1 + \gamma^2 \alpha^2 - 2\gamma\alpha \cos \beta L}$$

$$\frac{E_{\text{drop}}}{E_{\text{in}}} = -j\kappa_1 \sqrt{a} e^{-j\frac{\beta L}{2}} (-j\kappa_2) [1 + a\gamma_1\gamma_2 e^{-j\beta L} + \dots] = -\frac{\kappa_1 \kappa_2 \sqrt{a} e^{-j\frac{\beta L}{2}}}{1 - a\gamma_1\gamma_2 e^{-j\beta L}}$$

$$T_{\text{pass}} = \frac{\kappa_1^2 \kappa_2^2 \alpha^2}{1 + \alpha^2 \gamma_1^2 \gamma_2^2 - 2\alpha\gamma_1\gamma_2 \cos \beta L} = \frac{(1 - \gamma_1^2)(1 - \gamma_2^2)\alpha^2}{1 + \alpha^2 \gamma_1^2 \gamma_2^2 - 2\alpha\gamma_1\gamma_2 \cos \beta L}$$

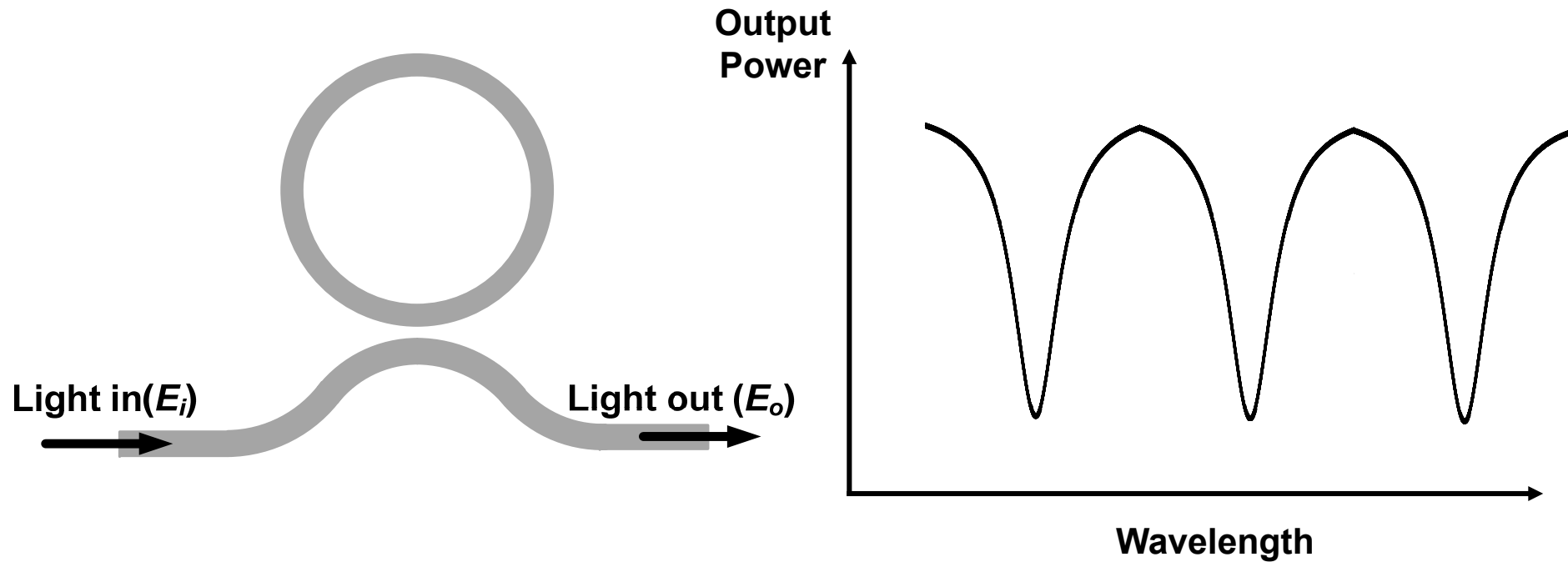
Lect. 12: Ring Resonator



$$\alpha = 0.85$$

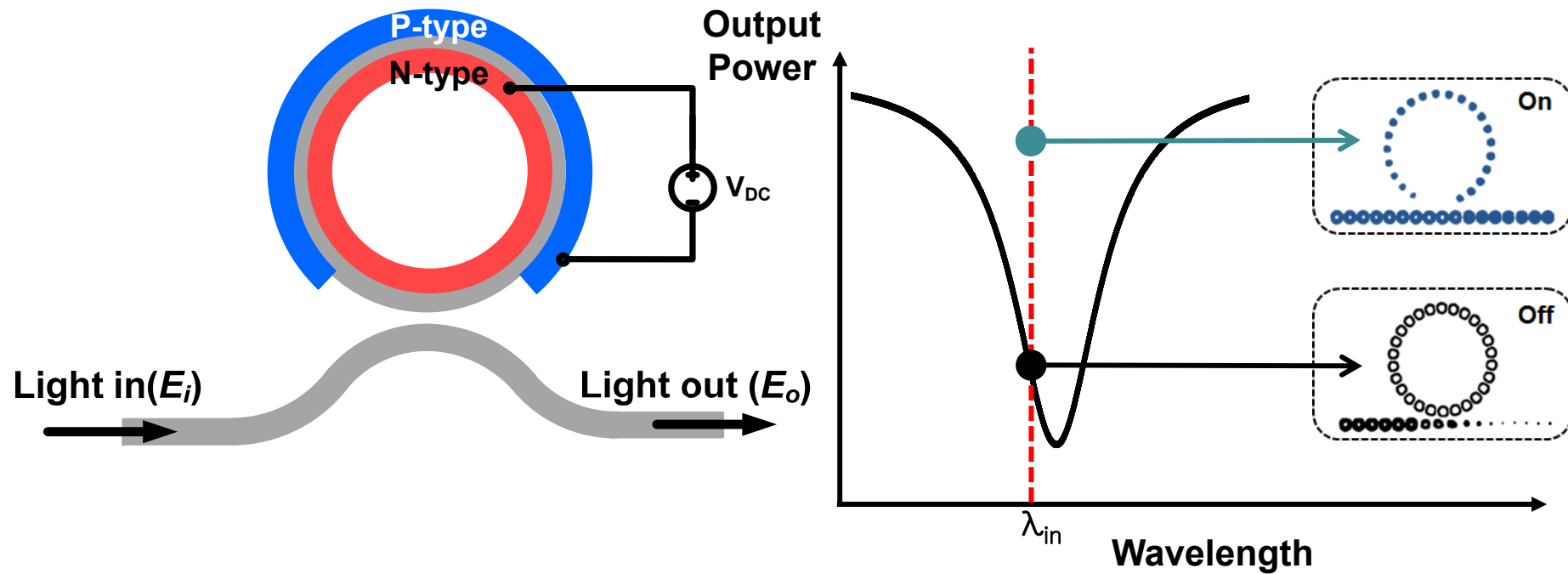
$$\gamma = \gamma_1 = \gamma_2 = 0.9$$

Lect. 12: Ring Resonator



Si intensity modulator based on ring resonator?

Lect. 12: Ring Resonator



Lect. 12: Ring Resonator

Design Exercise 8 (12/4)

Simulate ring resonator characteristics
(Field intensity profile, spectrum ...)

Compare with equations derived in the class