

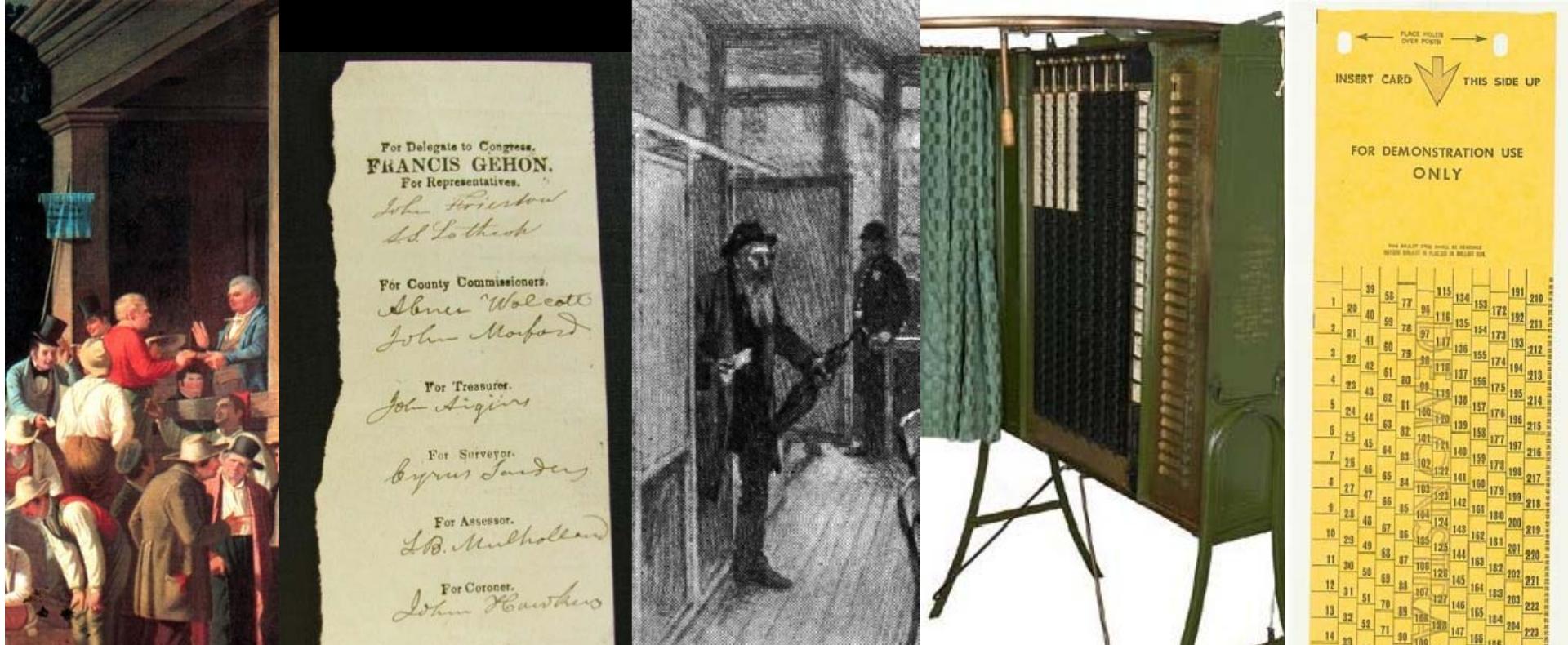
Securing Digital Democracy

Lecture 3 | *Computers at the Polls*



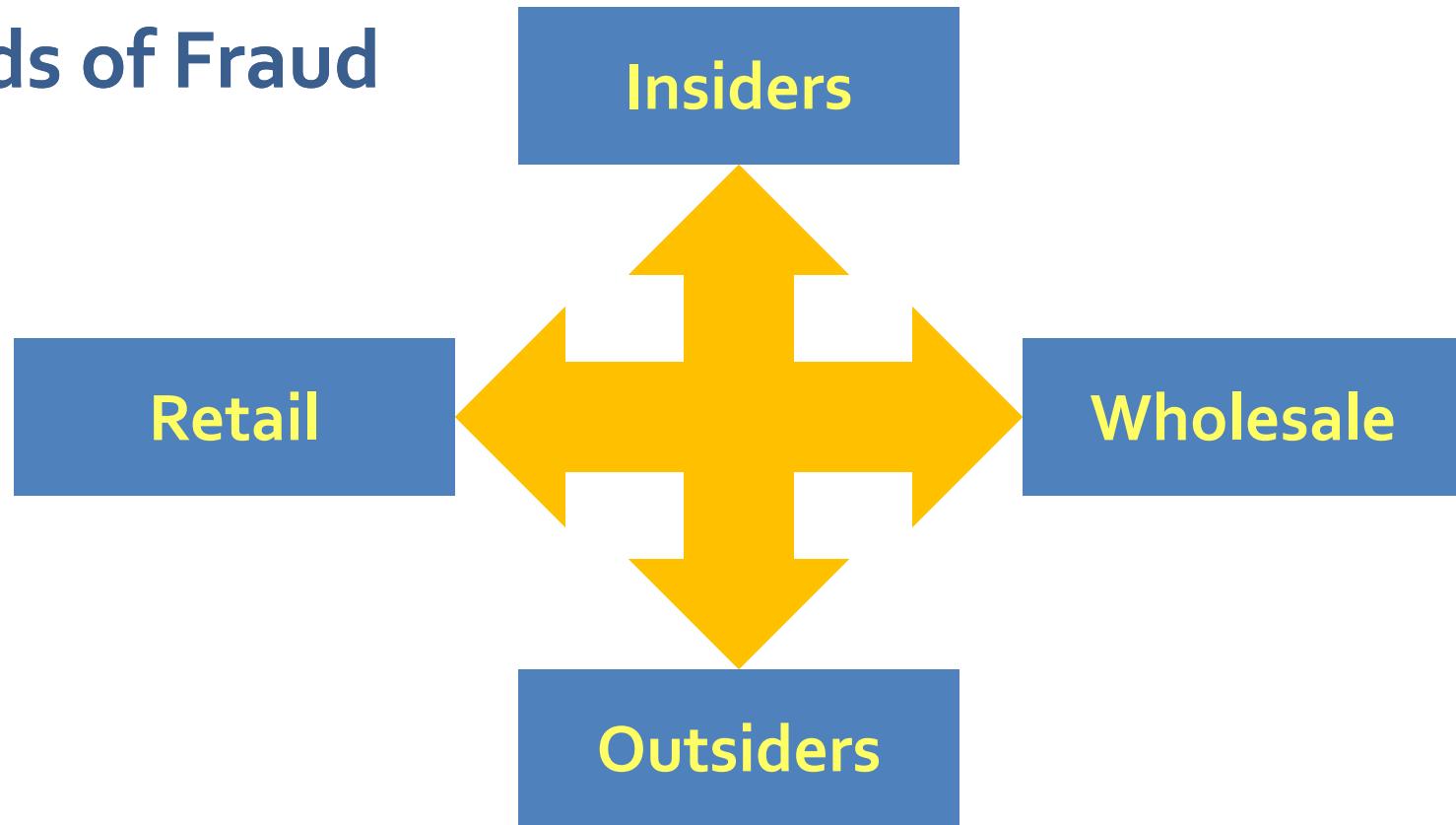
J. Alex Halderman
University of Michigan

3.1 Review



- (1) Public domain image (original at St. Louis Art Museum) via Doug Jones. <http://homepage.cs.uiowa.edu/~jones/voting/pictures/>
- (2) Image used by permission of Doug Jones. <http://homepage.cs.uiowa.edu/~jones/voting/pictures/>
- (3) Public domain image from Wikimedia Commons. http://en.wikipedia.org/wiki/File:1900_New_York_polling_place.jpg
- (4) Public domain image from Smithsonian Institution. <http://americanhistory.si.edu/vote/votingmachine.html>
- (5) Public domain image from Smithsonian Institution. <http://americanhistory.si.edu/vote/punchcard.html>

Kinds of Fraud



3.1 Review

Securing Digital Democracy 



DREs



Optical Scan



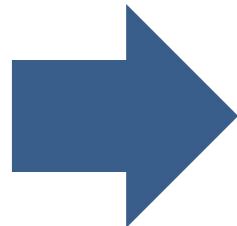
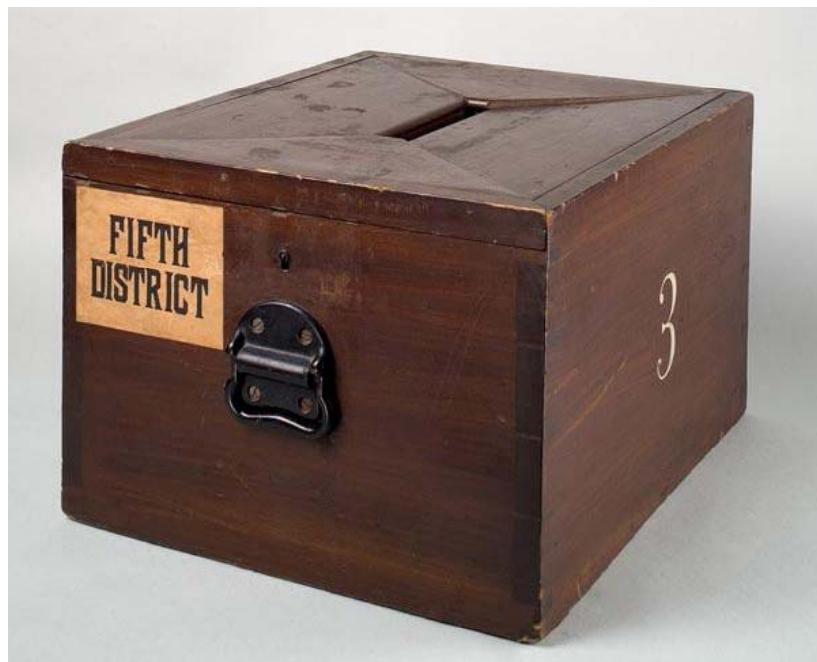
= Computers

(1), (2) Public domain images from Smithsonian Institution. <http://americanhistory.si.edu/vote/future.htm>

Optical Scan Voting

3.2 Optical Scan Voting

Securing Digital Democracy 



(left) Public domain image from Smithsonian Institution. <http://americanhistory.si.edu/vote/patchwork.html>
(right) Public domain image from Smithsonian Institution. <http://americanhistory.si.edu/vote/future.htm>

3.2 Optical Scan Voting

STATEWIDE / ESTATAL	
GOVERNOR (Vote for ONE / Vote por UNO) You may vote only ONCE for the Office of Governor. ¡Usted puede votar sólo por UN candidato al cargo de Gobernador!	
REPUBLICAN PRIMARY FOR THE OFFICE OF GOVERNOR / PRIMARIAS REPUBLICANAS PARA EL CARGO DE GOBERNADOR	
SCOTT WALKER Republican / Republicano	<input type="radio"/> SCOTT WALKER
ARTHUR KOHL-RIGGS Republican / Republicano	<input type="radio"/> ARTHUR KOHL-RIGGS
White-In / Candidato no registrado	
DEMOCRATIC PRIMARY FOR THE OFFICE OF GOVERNOR / PRIMARIAS DEMOCRATAS PARA EL CARGO DE GOBERNADOR	
GLADYS R. HUBER Democratic / Demócrata	<input type="radio"/> GLADYS R. HUBER
KATHLEEN VINEHOUT Democratic / Demócrata	<input type="radio"/> KATHLEEN VINEHOUT
DOUG LA FOLLETTE Democratic / Demócrata	<input type="radio"/> DOUG LA FOLLETTE
KATHLEEN FALK Democratic / Demócrata	<input type="radio"/> KATHLEEN FALK
TOM BARRETT Democratic / Demócrata	<input type="radio"/> TOM BARRETT
White-In / Candidato no registrado	

STATEWIDE / ESTATAL (CONT.)	
LIEUTENANT GOVERNOR (Vote for ONE / Vote por UNO) You may vote only ONCE for the Office of Lieutenant Governor. ¡Usted puede votar sólo por UN candidato al cargo de Vicegobernador!	
DEMOCRATIC PRIMARY FOR THE OFFICE OF LIEUTENANT GOVERNOR / PRIMARIAS DEMOCRATAS PARA EL CARGO DE VICEGOBERNADOR	
ISAAC WEIX Democratic / Demócrata	<input type="radio"/> ISAAC WEIX
MAHLON MITCHELL Democratic / Demócrata	<input type="radio"/> MAHLON MITCHELL
IRA ROBINS Democratic / Demócrata	<input type="radio"/> IRA ROBINS
White-In / Candidato no registrado	

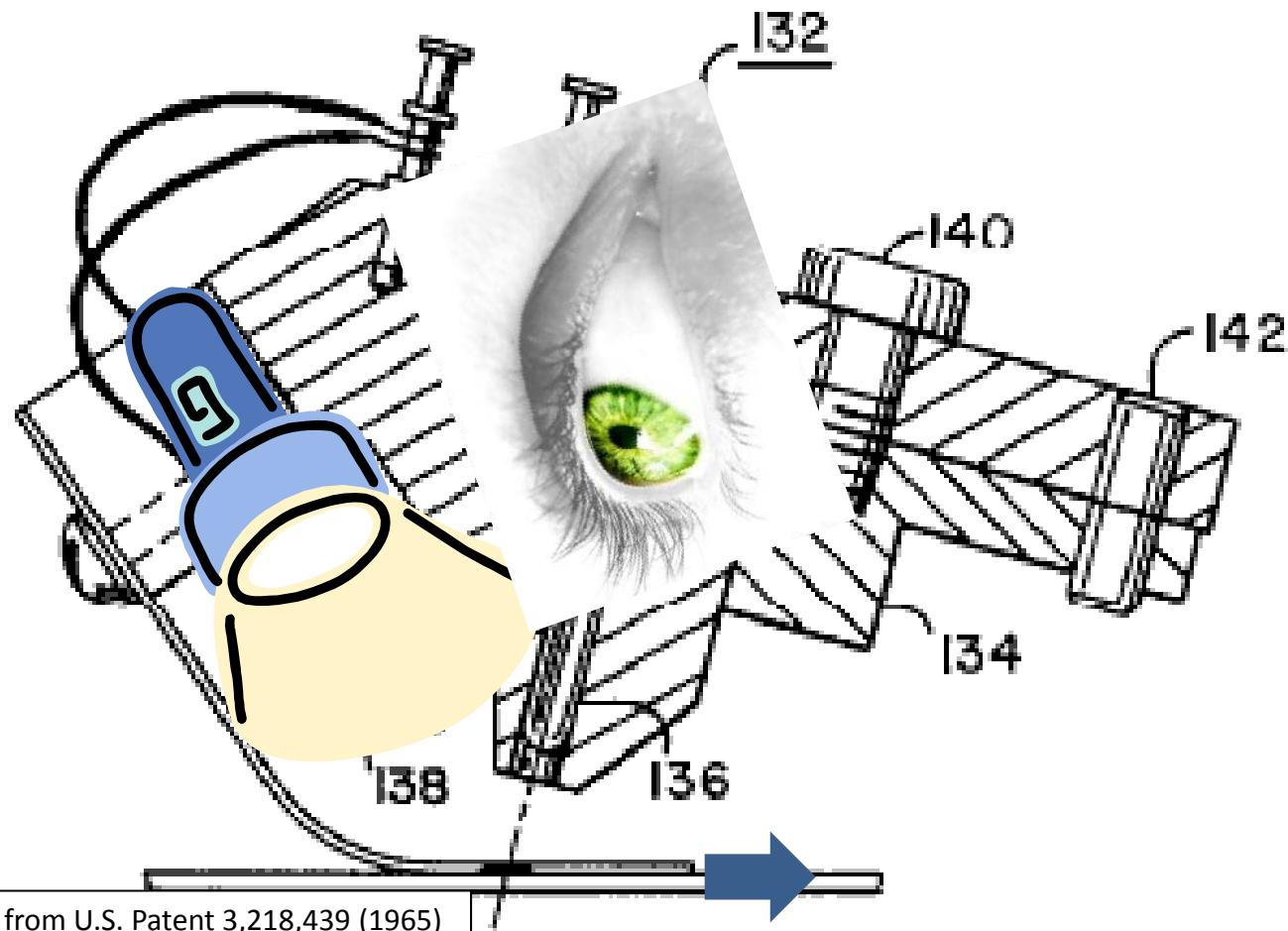
OFFICIAL RECALL PRIMARY BALLOT FOR PARTISAN OFFICE PAPEleta Oficial para PRIMARIAS DE DESTITUCIÓN PARA CARGOS PARTIDISTAS MAY 8, 2012	
8 de mayo de 2012 for/para CITY OF MILWAUKEE CIUDAD DE MILWAUKEE	
A.D. 9 WARD 1	
Ballot Issued By / Papeleta de votación emitida por	

OFFICIAL BALLOT
CONSOLIDATED GENERAL ELECTION
SANTA BARBARA COUNTY, CALIFORNIA
NOVEMBER 5, 2002

INSTRUCTIONS TO VOTERS: To vote for the candidate of your choice, completely fill in the OVAL to the LEFT of the can person w hose name is not on the ballot, darken the OVAL next to and w rite in the candidate's name on the Write-in line. T he OVAL next to the word "Yes" or the word "No". All distinguishing marks or erasures are forbidden and make the ballo w rongly mark this ballot, return it and get another. **VOTE LIKE THIS:**  **VOTE BOTH SIDES**

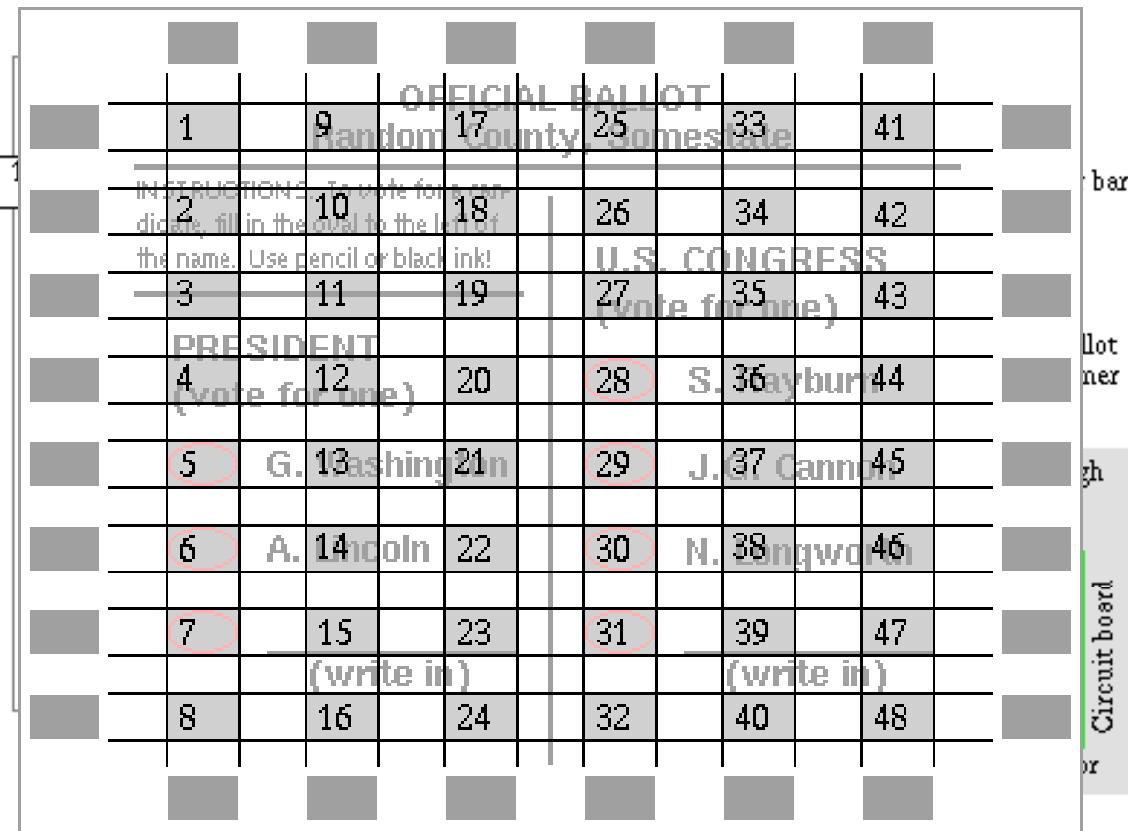
STATE	INSURANCE COMMISSIONER Vote for One	FOR ASSOCIATE 2nd APPELLAT	
GOVERNOR Vote for One			
<input checked="" type="radio"/> GARY DAVID COPELAND Chief Executive Officer <input type="radio"/> BILL SIMON Businessman/Charity Director <input type="radio"/> REINHOLD GULKE Electrical Contractor/Farmer <input type="radio"/> GRAY DAVIS Governor of the State of California <input type="radio"/> IRIS ADAM Business Analyst <input type="radio"/> PETER MIGUEL CAMEJO Financial Investment Advisor <input type="radio"/> Write-In		Libertarian Republican American Independent Democratic Natural Law Green	
MEMBER, STATE BOARD OF EQUALIZATION 2 ND District Vote for One		<input type="radio"/> DALE F. OGDEN Insurance Consultant/Actuary <input checked="" type="radio"/> DAVID I. SHEIDLWER Financial Services Executive <input type="radio"/> GARY MENDOZA Businessman <input type="radio"/> JOHN GARAMENDI Rancher <input type="radio"/> STEVE KLEIN Businessman <input type="radio"/> RAUL CALDERON, JR. Health Researcher/Educator <input type="radio"/> Write-In	Libertarian Green Republican Democratic Natural Law
LIEUTENANT GOVERNOR Vote for One		<input type="radio"/> PAT WRIGHT Ferret Legalization Coordinator <input type="radio"/> PAUL JERRY HANNOSH Educator/Businessman <input type="radio"/> BRUCE MC PHERSON California State Senator <input type="radio"/> KALEE PRZYBYLAK Public Relations Director <input type="radio"/> CRUZ M. BUSTAMANTE Lieutenant Governor <input type="radio"/> JIM KING American Independent	Libertarian Reform Republican Natural Law Democratic
UNITED STATES REPRESENTATIVE 2 ND District			Shall ASSOCIAT ASHMANN be elected prescribed by law <input type="radio"/> YES
			Shall ASSOCIAT TODD be elected prescribed by law <input type="radio"/> YES
			Shall PRESIDING KLEIN be elected prescribed by law <input type="radio"/> YES
			Shall ASSOCIAT 2nd APPELLAT

3.2 Optical Scan Voting



Public domain image from U.S. Patent 3,218,439 (1965)

3.2 Optical Scan Voting



Sensed
as a mark

Threshold

Sensed
as blank

Diagrams (left) by Doug Jones. <http://homepage.cs.uiowa.edu/~jones/voting/optical/>

3.2 Optical Scan Voting

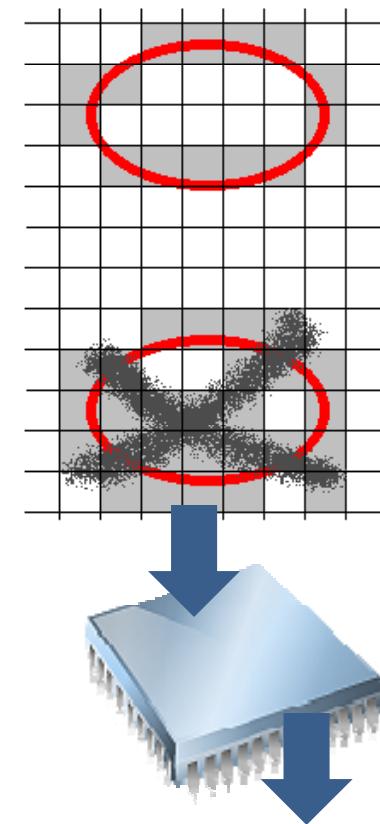
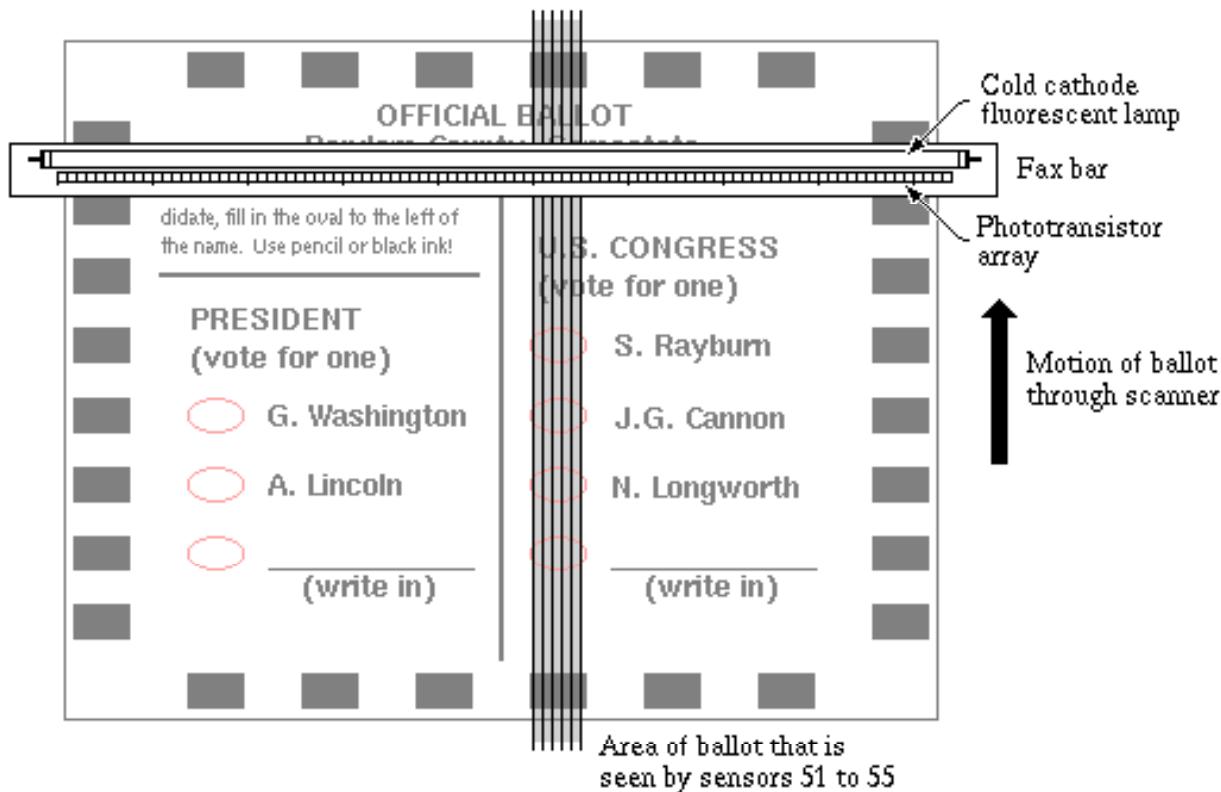


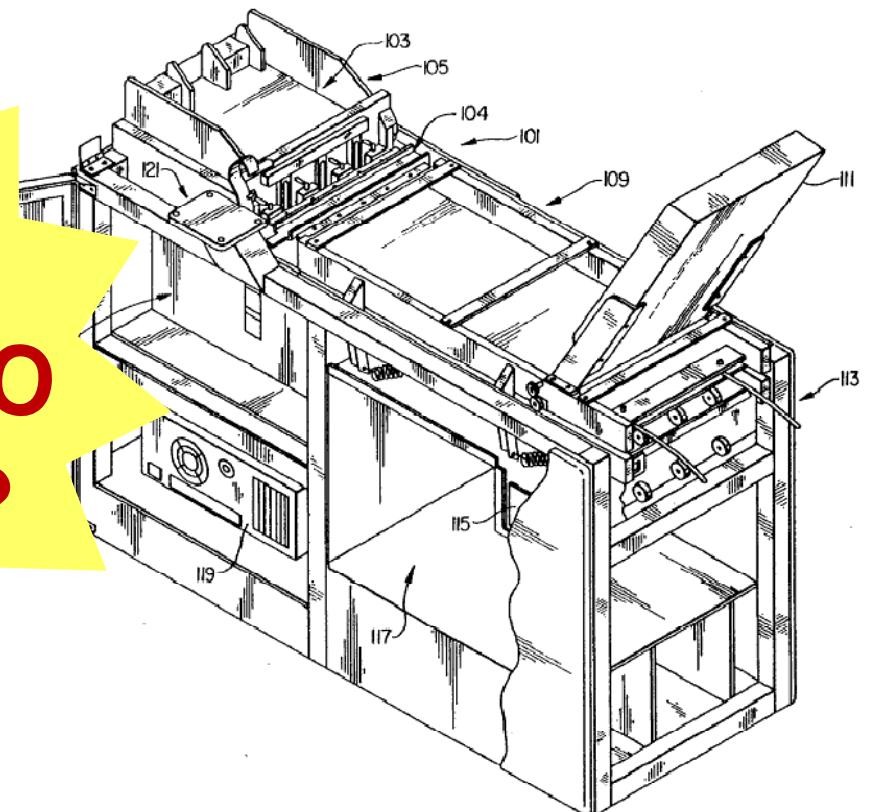
Diagram by Doug Jones. <http://homepage.cs.uiowa.edu/~jones/voting/optical/>

3.2 Optical Scan Voting

Securing Digital Democracy 



Precinct
Count



Central Count

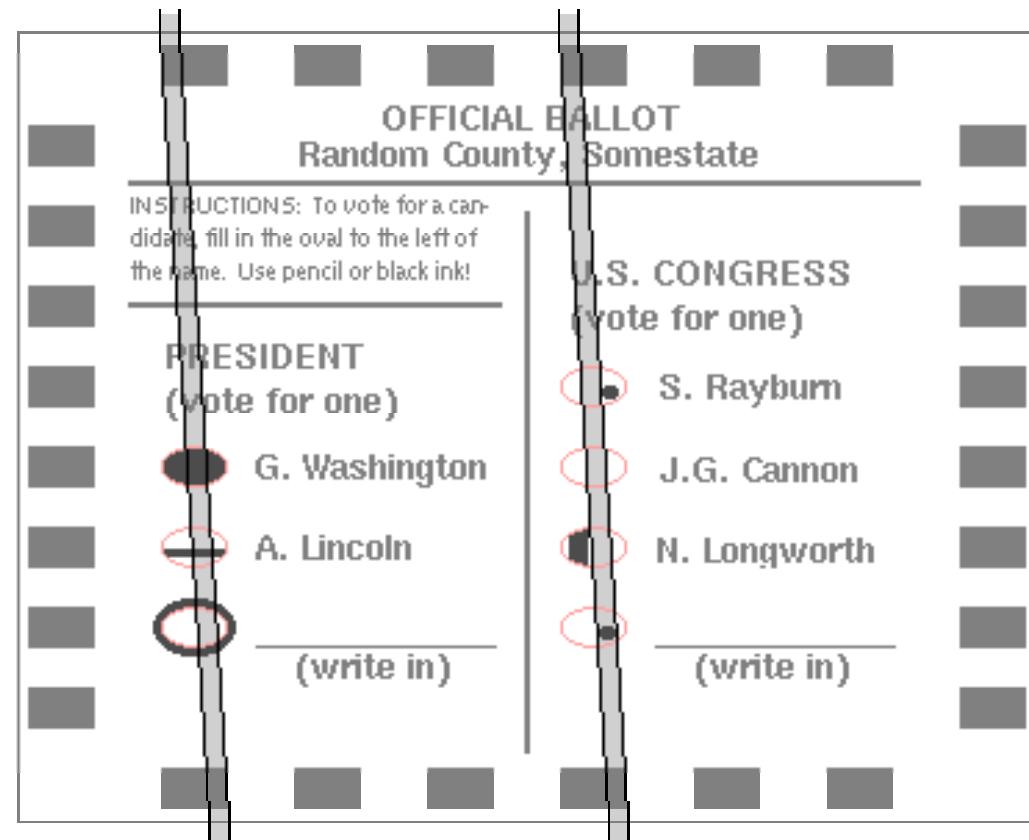
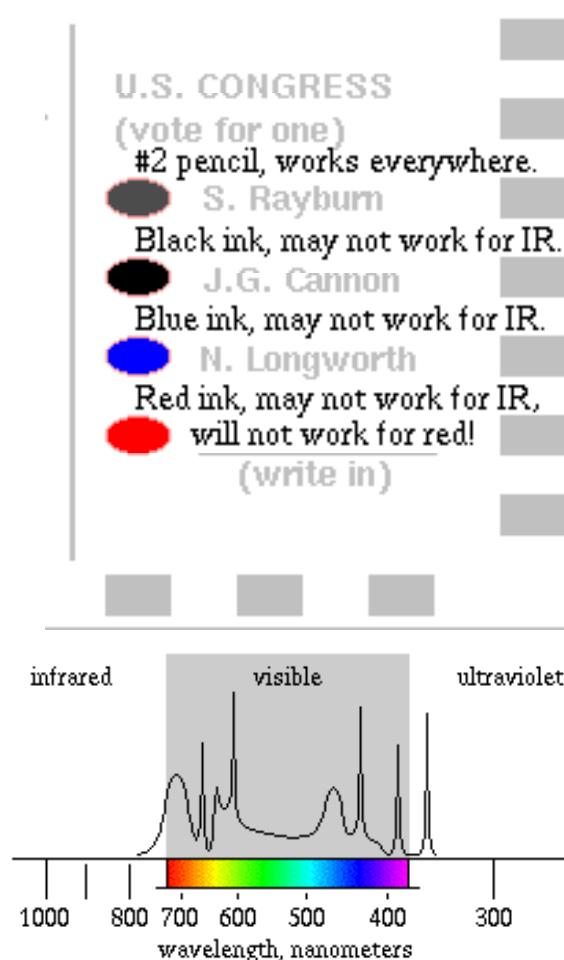
Instructions:

Use only a #2 PENCIL or the marking pen provided.
Do not use red ink! Completely fill in the OVAL.

Image from Wang et al., EVT 2012.

https://www.usenix.org/sites/default/files/conference/protected-files/wang_evt12_slides.pdf

3.2 Optical Scan Voting



Illustrations by Doug Jones. <http://homepage.cs.uiowa.edu/~jones/voting/optical/>

Bubble Trouble: Off-Line De-Anonymization of Bubble Forms



Abstract

Fill-in-the-bubble forms are widely used for surveys, election ballots, and standardized tests. In these and other scenarios, use of the forms comes with an implicit assumption that individuals' bubble markings themselves are not identifying. This work challenges this assumption, demonstrating that fill-in-the-bubble forms could convey a respondent's identity even in the absence of explicit identifying information. We develop methods to capture the unique features of a marked bubble and use machine learning to isolate characteristics indicative of its creator. Using surveys from more than ninety indi-

tential implications, from detecting cheating on standardized tests to threatening the anonymity of election ballots.

Bubble forms are widely used in scenarios where confirming or protecting the identity of respondents is critical. Over 137 million registered voters in the United States reside in precincts with optical scan voting machines [27], which traditionally use fill-in-the-bubble paper ballots. Voter privacy (and certain forms of fraud) relies on an inability to connect voters with these ballots. Surveys for research and other purposes use bubble forms to automate data collection. The anonymity of survey subjects not only affects subject honesty but also impacts requirements governing human subjects research [26]. Over 1.6 million members of the high school class of 2010 completed the SAT [8], one of many large-

Calandrino, et al. Usenix Security 2011. Available at
<http://www.cs.princeton.edu/~jcalandr/papers/bubbles-usenix11.pdf>

3.2 Optical Scan Voting

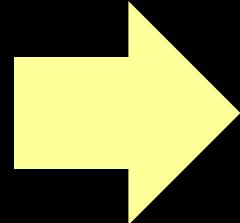
Securing Digital Democracy 



DRE Voting Machines

Direct Recording Electronic

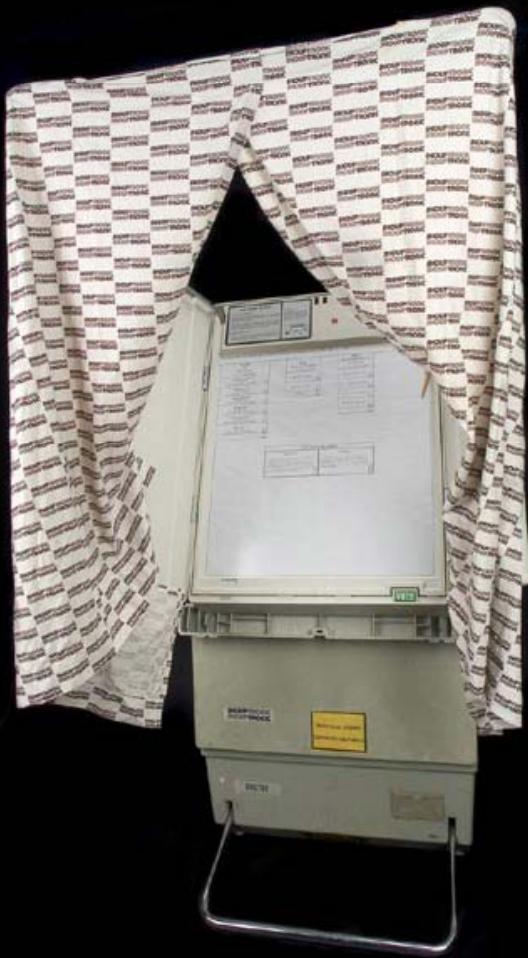
3.3 DRE Voting Machines



Public domain image from Smithsonian Institution.
<http://americanhistory.si.edu/vote/future.html>

3.3 DRE Voting Machines

Securing Digital Democracy 



3.3 DRE Voting Machines

Securing Digital Democracy 



3.3 DRE Voting Machines

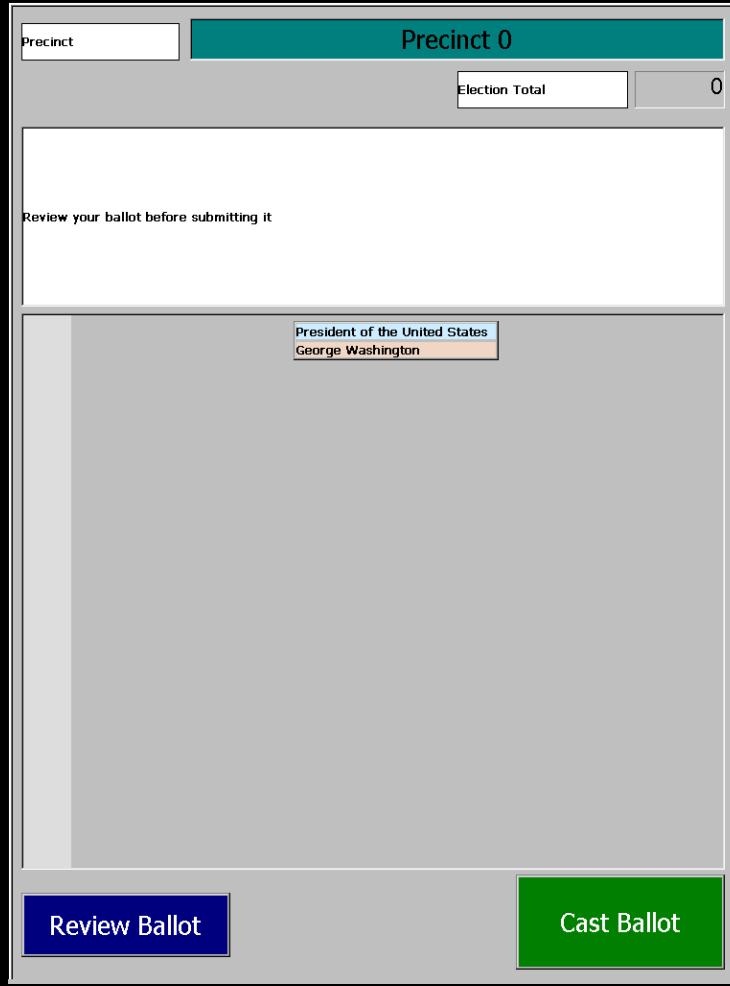


3.3 DRE Voting Machines



Public domain image from Smithsonian Institution.
<http://americanhistory.si.edu/vote/future.html>

3.3 DRE Voting Machines



3.3 DRE Voting Machines

Post Election Mode

Election September 1, 2006

Steal This Election

Vote Center 3

Princeton Vote Center

Unit 0 Version 2 Copy 1 Count 1

Reporting

Transfer Results

Accumulator

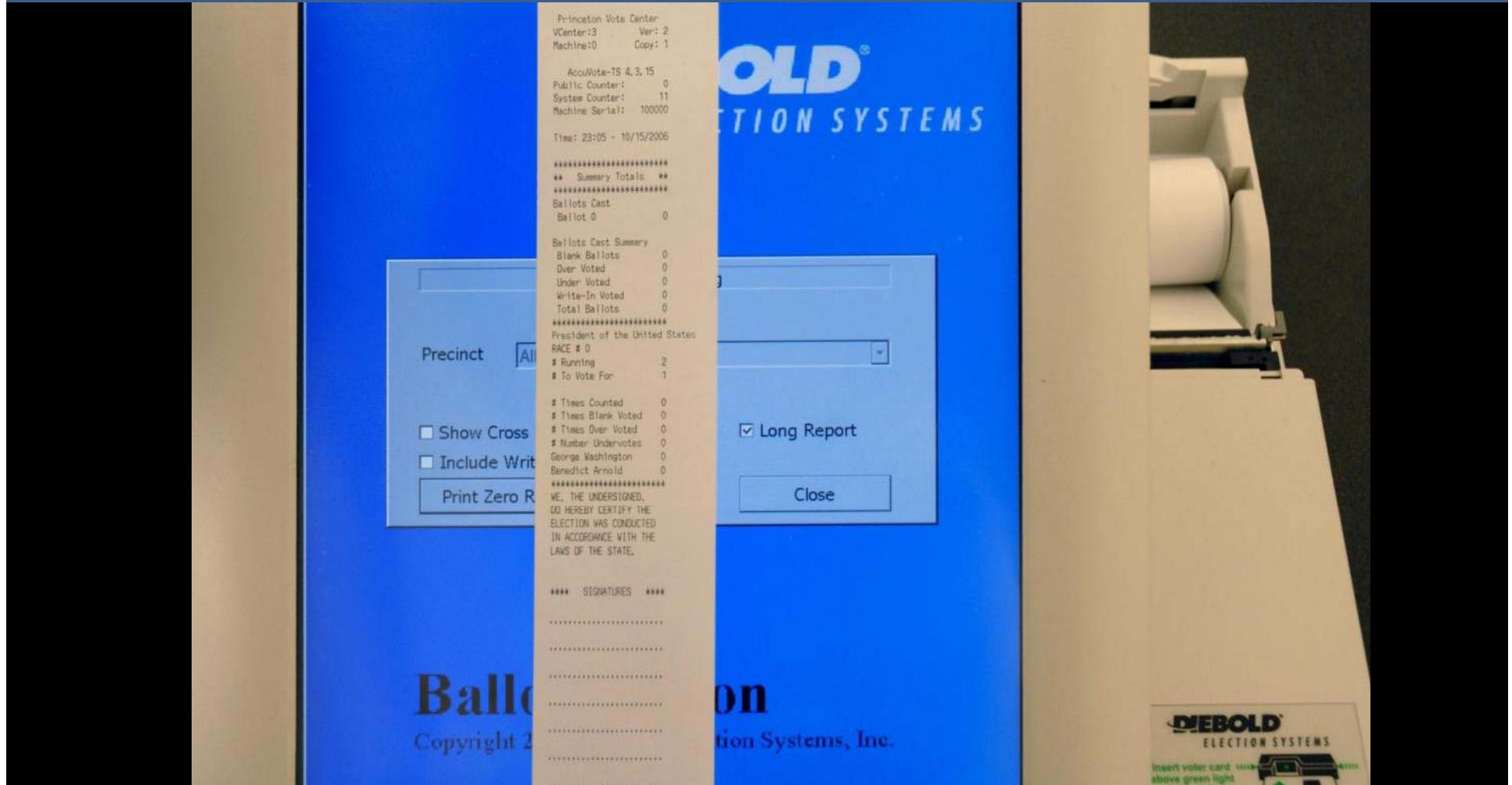
System Information

SN  System Total 90 AC Online

No Battery



3.3 DRE Voting Machines



3.3 DRE Voting Machines



**WHAT
COULD GO
WRONG?**

3.3 DRE Voting Machines



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Drawings: Koen Hottentot; Story: Rop Gonggrijp / Barry Wels; Color: Adam Swiecky; Translation: Jaap Weel

3.3 DRE Voting Machines

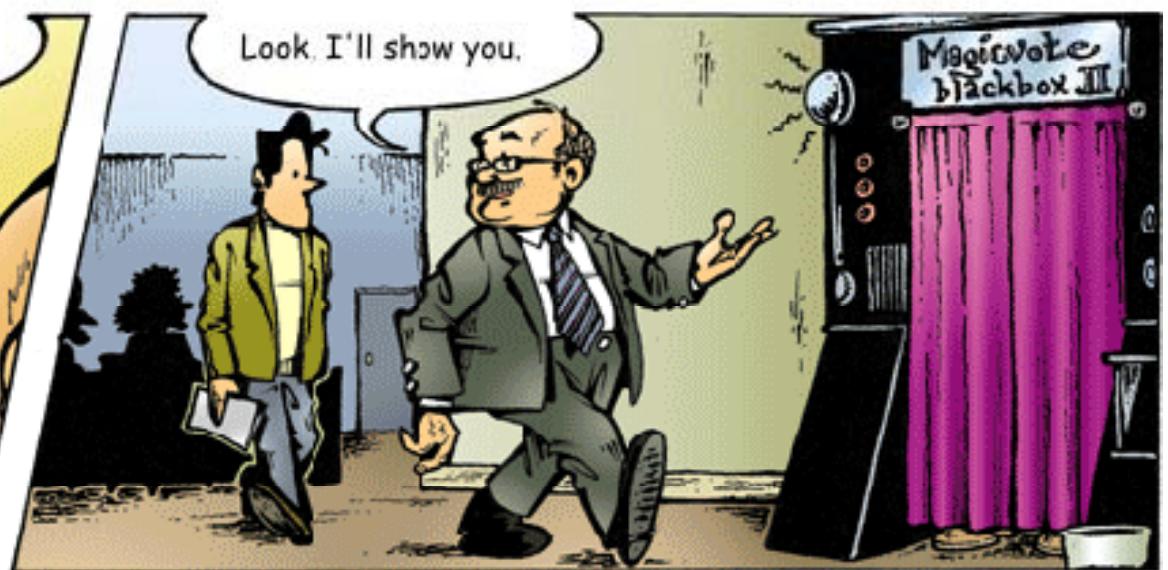


3.3 DRE Voting Machines

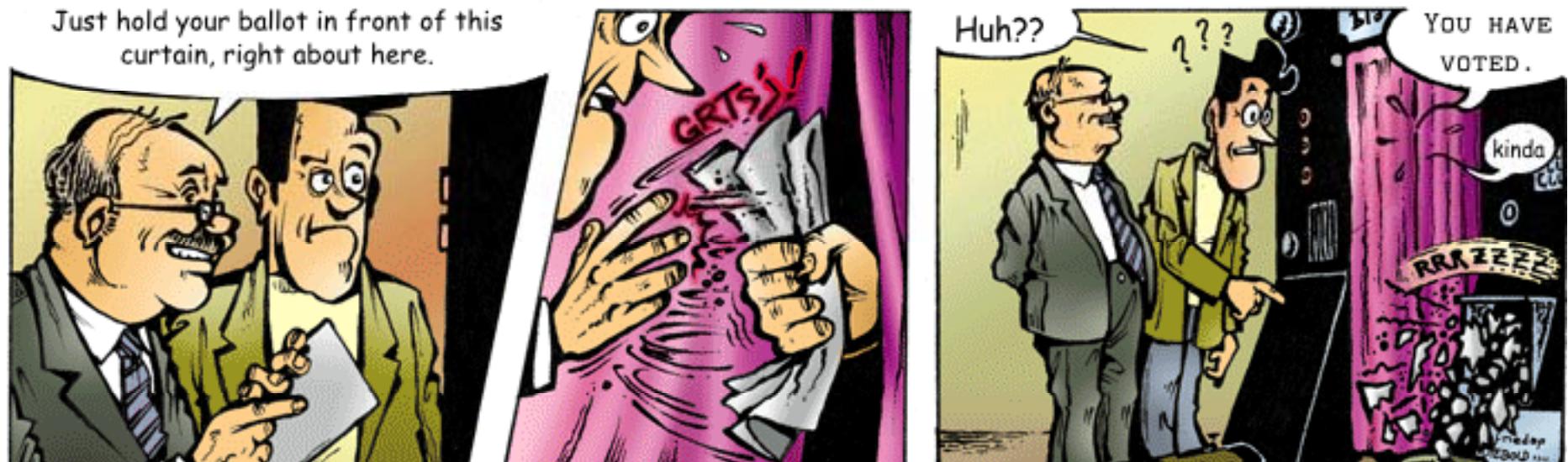
No, no, no, Mr. Robertson, we scrapped those for efficiency. We now have the latest in voting technology...



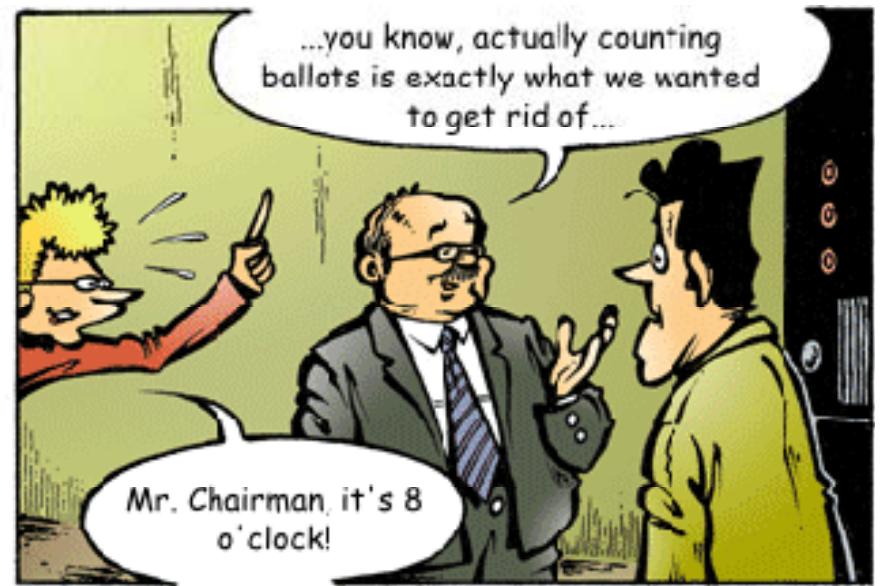
Look, I'll show you.



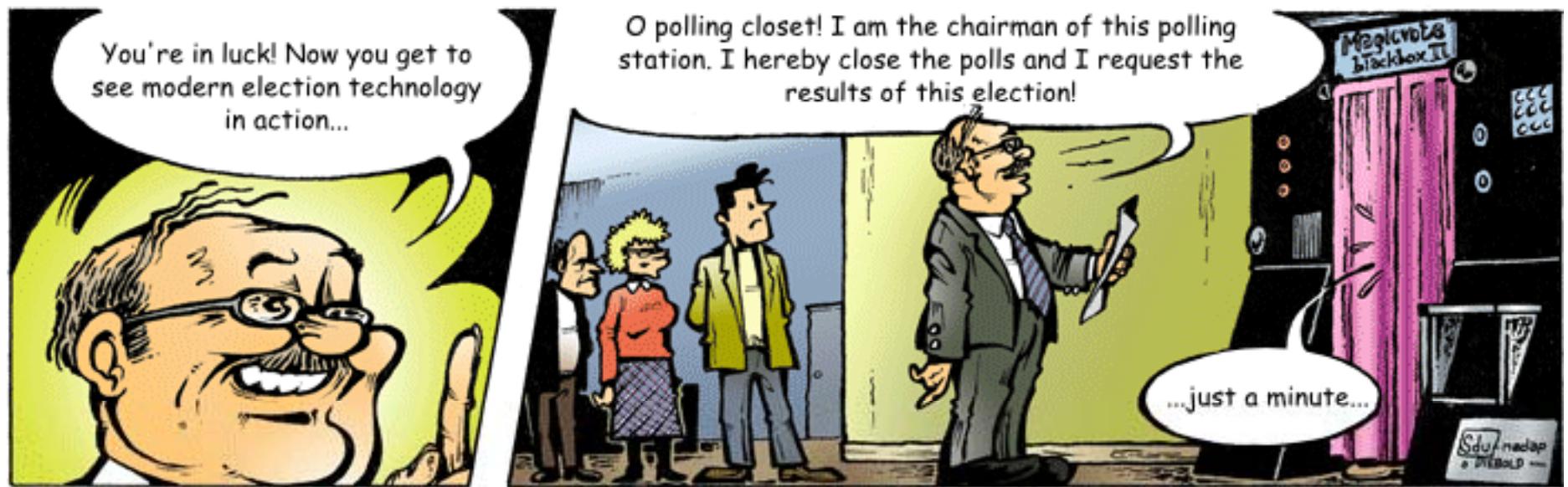
3.3 DRE Voting Machines



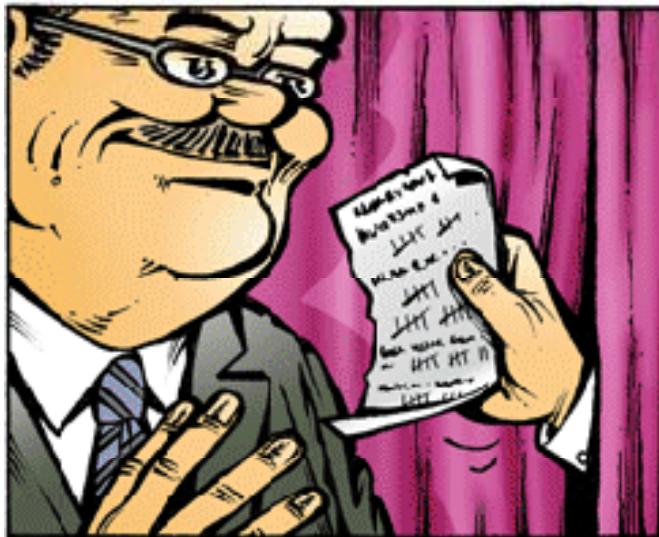
3.3 DRE Voting Machines



3.3 DRE Voting Machines



3.3 DRE Voting Machines



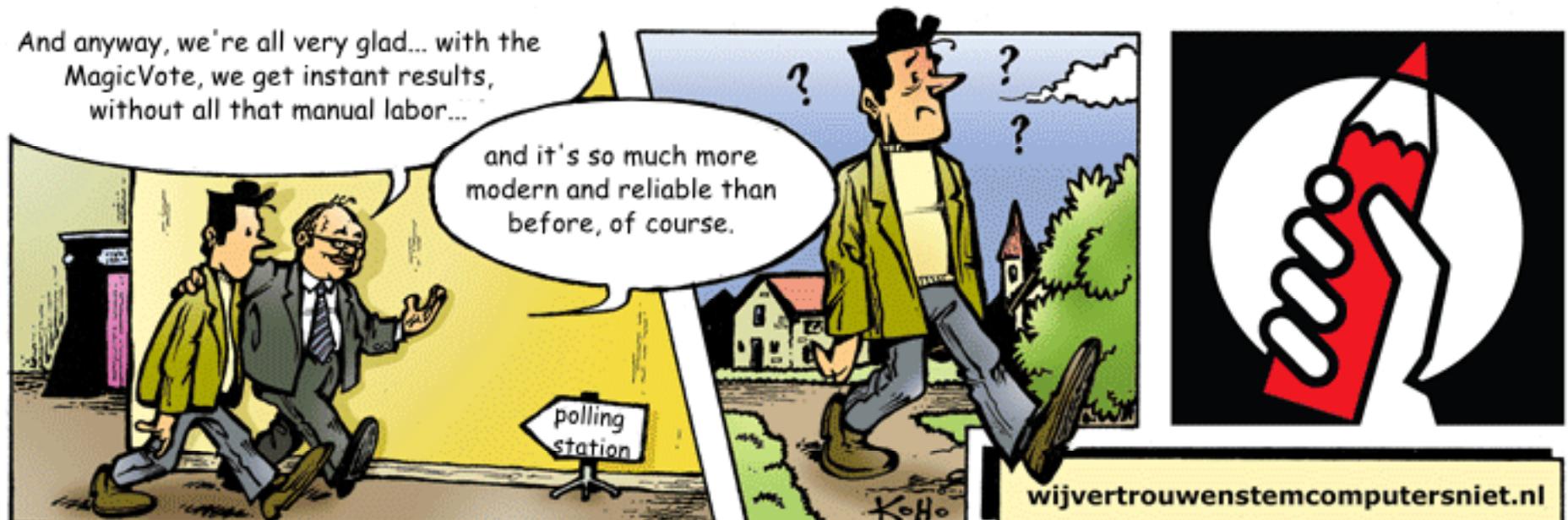
But... aren't you supposed to count those ballots? How do you know the guy in the closet counted right?



Well, honestly, we have no idea, but the government says it's all been taken care of, and the man behind the curtain has been extensively tested. I'm sure they know best.



3.3 DRE Voting Machines



Drawings: Koen Hottentot — Story: Rop Gonggrijp / Barry Wels — Color: Adam Swiecky — Translation: Jaap Weel

Hard Problem: Correct Software

Even Harder: Secure Software

Even Harder: Secure Software for Voting

Integrity  **Ballot Secrecy**

Trustworthy Technology?



Errors?

Design Flaws
Software Bugs
Hardware Glitches
Reliability Problems

Vulnerabilities?

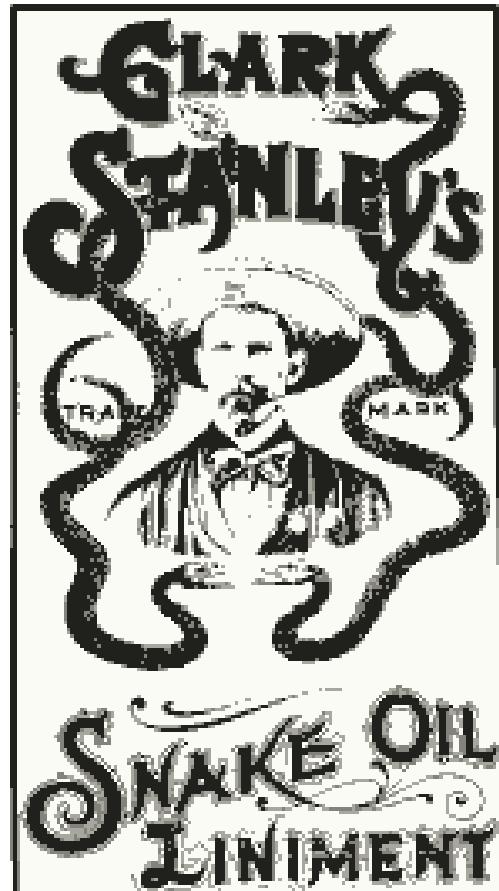
Software Security
Hardware Sabotage
Data Manipulation
Privacy Leaks

System Integrity?

Secret Software
Unapproved Software
COTS Software
Dishonest Lookalikes

Inside the Black Box

Secret Software



(center) Public domain image from Wikimedia Commons. <http://en.wikipedia.org/wiki/File:Snake-oil.png>

Analysis of an Electronic Voting System

TADAYOSHI KOHNO*

ADAM STUBBLEFIELD†

AVIEL D. RUBIN‡

DAN S. WALLACH§

February 27, 2004

Abstract

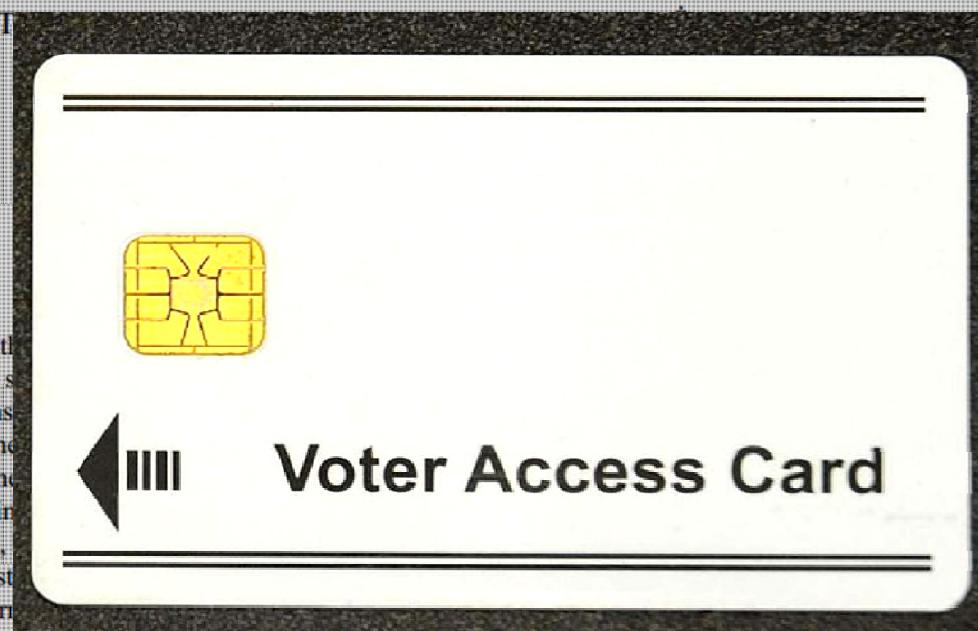
With significant U.S. federal funds now available to replace outdated punch-card and mechanical voting systems, municipalities and states throughout the U.S. are adopting paperless electronic voting systems from a number of different vendors. We present a security analysis of the source code to one such machine used in a significant share of the market. Our analysis shows that this voting system is far below even the most minimal security standards applicable in other contexts. We identify several problems including unauthorized privilege escalation, incorrect use of cryptography, vulnerabilities to network threats, and poor software development processes. We show that voters, without any insider privileges, can cast unlimited votes without being detected by any mechanisms within the voting terminal software. Furthermore, we show that even the most serious of our outsider attacks could have been discovered

Kohno, et al. IEEE Symp. on Security and Privacy, 2004.
Available at <http://avirubin.com/vote.pdf>

in the source code. In the face of such attacks, the usual worries about concerns; outsiders can do the damage. That said, we demonstrate that considerable, showing that not only can an insider, such as a poll worker,

modify the votes but that insiders can also violate voter privacy and match votes with the voters who

Analysis of an Electronic Voting System



With voting systems machine even the including threats, can cast. Furthermore,

and executed without access to the source code. In the face of such attacks, the usual worries about insider threats are not the only concerns; outsiders can do the damage. That said, we demonstrate that the insider threat is also quite considerable, showing that not only can an insider, such as a poll worker,

mechanical voting such below problems network privileges, software, overrid

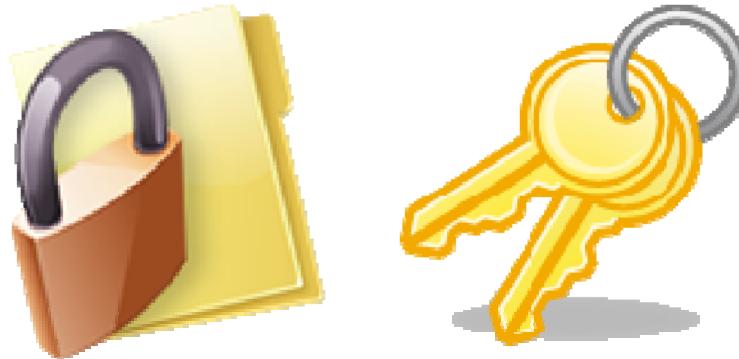
Analysis of an Electronic Voting System

TADAYOSHI KONO*

ADAM STUPPLERFIELD†

AVIEL D. RUBIN‡

Encryption



```
#define DESKEY ((des_key*)"F2654hD4")
```

Furthermore, we show that even the threats of our outsider attacks could have been discovered and executed without access to the source code. In the face of such attacks, the usual worries about insider threats are not the only concerns; outsiders can do the damage. That said, we demonstrate that the insider threat is also quite considerable, showing that not only can an insider, such as a poll worker, ~~modify the votes but that incidents can also violate voter privacy and match votes with the voters who~~

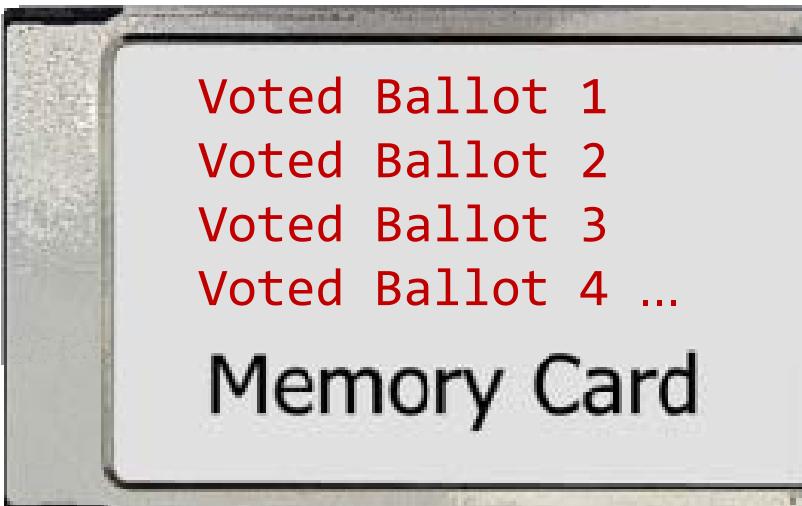
Analysis of an Electronic Voting System

TADAYOSHI KOINO*

ADAM STUBBLEFIELD†

AVIEL D. RUBIN‡

Ballot Secrecy



Voted Ballot 1
Voted Ballot 2
Voted Ballot 3
Voted Ballot 4 ...

Memory Card

Furthermore, we show that even the most serious of our outsider attacks could have been discovered and executed without access to the source code. In the face of such attacks, the usual worries about insider threats are not the only concerns; outsiders can do the damage. That said, we demonstrate that the insider threat is also quite considerable, showing that not only can an insider, such as a poll worker, modify the voter but that insiders can also violate voter privacy and match votes with the voters who

Analysis of an Electronic Voting System

Engineering Practices

```
/* Okay, I don't like this one bit. Its really tough  
to tell where m_AudioPlayer should live. [...] A  
reorganization might be in order here. */
```

```
/* This is a bit of a hack for now. [...] Calling  
from the timer message appears to work. Solution is  
to always do a 1ms wait between audio clips. */
```

```
/* need to work on exception *caused by audio*. I  
think they will currently result in double-fault. */
```

the insider threat is also quite considerable, showing that not only can an insider, such as a poll worker, modify the voter but that incidents can also violate voter privacy and match votes with the voters who

Analysis of an Electronic Voting System

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With significant U.S. federal funds now available to replace outdated punch-card and mechanical voting systems, municipalities and states throughout the U.S. are adopting paperless electronic voting systems from a number of different vendors. We present a security analysis of the source code to one such machine used in a significant share of the market. Our analysis shows that this voting system is far below even the most minimal security standards applicable in other contexts. We identify several problems including unauthorized privilege escalation, incorrect use of cryptography, vulnerabilities to network threats, and poor software development processes. We show that voters, without any insider privileges, can cast unlimited votes without being detected by any mechanisms within the voting terminal software. Furthermore, we show that even the most serious of our outsider attacks could have been discovered and executed without access to the source code. In the face of such attacks, the usual worries about insider threats are not the only concerns; outsiders can do the damage. That said, we demonstrate that the insider threat is also quite considerable, showing that not only can an insider, such as a poll worker, ~~modify the votes but that insiders can also violate voter privacy and match votes with the voters who~~

Spot the Security Bug?

```
// Check to see if there is an installation file on the storage card
WIN32_FIND_DATA findData;
HANDLE hFind = ::FindFirstFile(_T("\\Storage Card\\*.ins"), &findData);
if (hFind != INVALID_HANDLE_VALUE) {
    do {
        TCHAR name;
        _stprintf(&name, _T("\\Storage Card\\%s"), findData.cFileName);
        Install(&name, hInstance);
    }
    while (::FindNextFile(hFind, &findData));
    CloseHandle(hFind);
}
```

Paper as a Defense

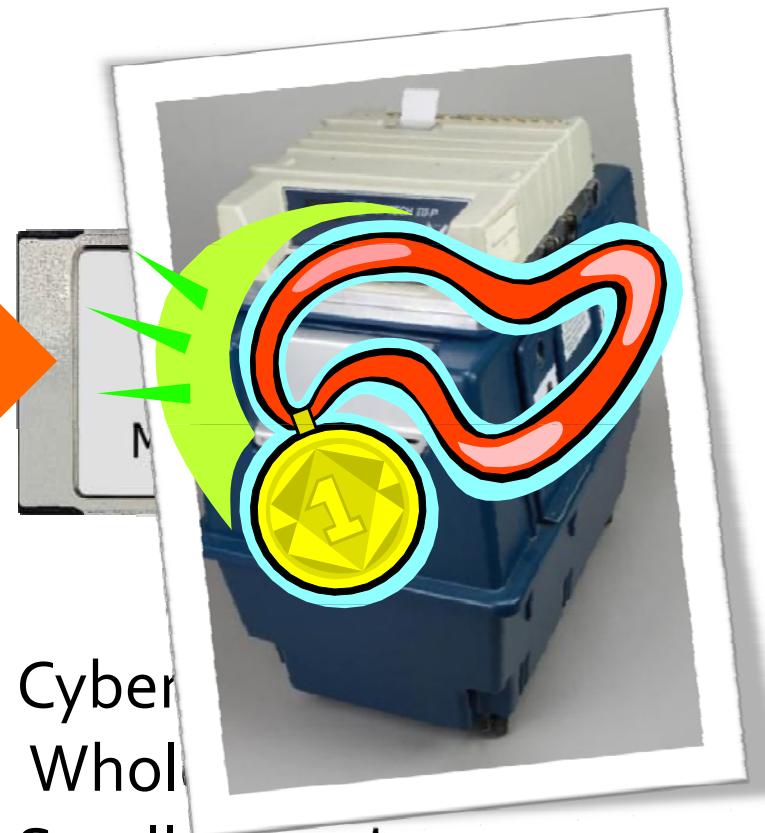
3.5 Paper as a Defense



CC licensed image by Flickr user jurvetson.

<http://www.flickr.com/photos/jurvetson/7510460530/>

Redundant Records



Physical tampering
Retail fraud
Large Conspiracy

Cyber
Whole
Small Conspiracy

DRE+**VVPAT**

Voter-Verifiable Paper Audit Trail

Voter-Verified Physical Audit Trail

**WHAT
COULD GO
WRONG?**

Securing Digital Democracy

Lecture 3 | *Computers at the Polls*



J. Alex Halderman
University of Michigan