## Functional Analysis HW3

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#### Problem 11.

For  $X=L^2(\mathbb{R}),$  dual space is  $X^*=L^2(\mathbb{R}),$  too. The norm defined in  $X^*$  is for some  $f\in X^*,$   $\|f\|_{X^*}=(\int_{\mathbb{R}}|f|^2\,d\mu)^{\frac{1}{2}}.$ 

Define  $u_n \in L^2(\mathbb{R})$  such that:

$$x \in \mathbb{R}, u_n(x) = \begin{cases} n^{\frac{1}{2}}, & \text{if } x \in [0, 1/n] \\ 0, & \text{otherwise} \end{cases}$$

Then,

$$\forall n, \|u_n\|_X = \left(\int_0^{\frac{1}{n}} |n^{\frac{1}{2}}|^2 d\mu\right)^{\frac{1}{2}} = \left(\int_0^{\frac{1}{n}} n d\mu\right)^{\frac{1}{2}} = n\left(\frac{1}{n} - 0\right) = 1$$

However,

$$\forall f \in X^*, f(u_n) = \int_0^{\frac{1}{n}} fu_n \, d\mu = \int_0^{\frac{1}{n}} f\sqrt{n} \, d\mu \to 0 \text{ as } n \to \infty \text{ (In almost everywhere sense.)}$$

(it's much better to add some explanation supporting the above assertion, e.g. Cauchy-Schwarz inequality.)

which implies  $u_n \rightharpoonup 0$ .

Therefore,  $u_n$  is weakly convergent but not strongly convergent to constant function 0.

10/10

#### Problem 12.

 $\psi_n \rightharpoonup \psi$ ,  $\exists N \in \mathbb{N}$  s.t  $\forall n \geq N$ ,  $\forall l \in \mathcal{H}^*$ ,  $\exists \{l_k\}_{k=1}^{\infty} \subset \mathcal{H}$  s.t  $||l_k - l||_{\mathcal{H}} \leq \epsilon$  for some  $\epsilon > 0$ . Then,

$$|l(\psi_n) - l(\psi)| \leq |l(\psi_n) - l_k(\psi_n)| + |l_k(\psi_n) - l_k(\psi)| + |l_k(\psi) - l(\psi)|$$

$$\leq ||l - l_k||_{\mathcal{H}^*} ||\psi_n||_{\mathcal{H}} + |l_k(\psi_n) - l_k(\psi)| + ||l_k - l||_{\mathcal{H}^*} ||\psi||_{\mathcal{H}}$$

$$\leq \epsilon M + \epsilon ||\psi||_{\mathcal{H}} + |l_k(\psi_n) - l_k(\psi)|$$

If we take limit  $n \to \infty$ ,

$$\limsup_{n \to \infty} |l(\psi_n) - l(\psi)| \le \epsilon M + \epsilon ||\psi||_{\mathcal{H}} + \lim_{n \to \infty} |l_k(\psi_n) - l_k(\psi)|$$
$$= \epsilon M + \epsilon ||\psi||_{\mathcal{H}}$$

Since we can choose  $\epsilon$  arbitrarily close to 0,  $l(\psi_n) \to l(\psi)$  as  $n \to \infty$  which implies  $\psi_n \rightharpoonup \psi$ . Thus, if  $\psi_n^{(\alpha)} \to \psi^{(\alpha)}$  for each  $\alpha$ ,  $\psi_n \rightharpoonup \psi$ .

Assume  $\|\psi_n\|$  is bounded with some constant M > 0. i.e,  $\|\psi_n\|_{\mathcal{H}} \leq M$ .

If orthonormal basis  $\{\varphi_{\alpha}\}_{\alpha}$  for  $\mathcal{H}$  exists, for  $\psi_n \in \mathcal{H}$ , infinite linear combination of the orthonormal basis exists and its coefficient is projection with each element in basis.

$$\psi_n = \sum_{\alpha} \langle \psi_n, \varphi_\alpha \rangle \varphi_\alpha, \{\varphi_\alpha\}_\alpha \text{ orthonomal}$$

Then,

for 
$$\{\psi_n\}_{n\in\mathbb{N}}\subset\mathcal{H}, \forall l\in\mathcal{H}^*, \ l(\psi_n)-l(\psi)=l(\psi_n-\psi)=\sum_{\alpha}\langle\varphi_\alpha,\psi_n-\psi\rangle\,l(\varphi_\alpha)$$

Thus, if  $l(\psi_n) - l(\psi) \to 0$ , this implies  $\langle \varphi_\alpha, \psi_n - \psi \rangle = 0$  for every  $\alpha$ , since l can be arbitrary linear functional.(ex. identity mapping)

Please read your proof by yourself and consider how to reorganize and correct it. In the first part of your proof, the condition "Assume  $\|\psi_n\|$  is bounded with some constant M>0. i.e,  $\|\psi_n\|_{\mathcal{H}} \leq M$ " should appear at the beginning. Also, you should clarify  $l_k$  is the finite combination of  $\{\langle \varphi_{\alpha}, \cdot \rangle\}$ . For the second part, you should prove  $\{\|\phi_n\|\}$  is bounded (if  $\psi_n \rightharpoonup \psi$ ). In fact, if  $\psi_n \rightharpoonup \psi$ , it follows that  $\psi_n^{(\alpha)} \rightarrow \psi^{(\alpha)}$  directly from the definition. You can shorten your reasoning.

## Problem 13.

The weak-\* topology on  $L^1(\mathbb{R}) = (L^{\infty}(\mathbb{R}))^*$  can be defined as a family of seminorms $\{\|\cdot\|_{\lambda} : \lambda \in L^{\infty}(\mathbb{R})\}$  where  $\|f\|_{\lambda} = |f(\lambda)| = |\int_{\mathbb{R}} f(x)\lambda(x) \, d\mu(x)|$  for  $\forall \lambda \in L^{\infty}(\mathbb{R})$ .

Since  $||f_n||_{\lambda} = \left| \int_0^{\frac{1}{n}} n\lambda(x) d\mu(x) \right|$ ,

 $\lim_{n\to\infty} \|f_n\|_{\lambda} = \lim_{n\to\infty} |f_n(\lambda)| = |\lambda(0)| \quad \text{(could you justify it for arbitrary } \lambda \in L^{\infty}(\mathbb{R})?)$ 

Let  $\lambda_0 \in L^{\infty}(\mathbb{R})$  such that  $\lambda_0(x) = 1$  for  $\forall x \in \mathbb{R} \setminus \{0\}$  and  $\lambda_0(0) = 0$ .

Then,  $||f_n||_{\lambda_0} = \left| \int_0^{\frac{1}{n}} n \, d\mu(x) \right| = 1 \text{ for } \forall n \in \mathbb{R}.$ 

But  $\lim_{n\to\infty} ||f_n||_{\lambda} = 0$ .

Thus, it is not sequentially compact.

Therefore,  $\{f_n\}$  has no convergent subsequence in weak-\* topology.

It would be better to consider  $\lambda = 1_K$  where K is a compact set in  $\mathbb{R}$  and  $0 \notin K$ .

#### Problem 14.

Let T be bounded linear operator from X to Y.

And  $T^*$  be Banach space adjoint of T.

For  $\forall \Lambda \in Y^*$ ,

$$||T^*\Lambda||_{X^*} = ||\Lambda T||_{\mathcal{L}(X,Y)} \le ||\Lambda||_{Y^*} ||T||_{\mathcal{L}(X,Y)}$$

Since  $\Lambda$  is bounded linear functional on X and T is bounded linear operator on X. Then,

$$\frac{\|T^*\Lambda\|_{X^*}}{\|\Lambda\|_{Y^*}} \le \|T\|_{\mathcal{L}(X,Y)}$$

which implies  $||T^*||_{\mathcal{L}(Y^*,X^*)} \le ||T||_{\mathcal{L}(X,Y)}$ .

Let  $x_0 \in X$  with  $||x_0||_X = 1$ .

By the one of the Corollaries of the Hahn-Banach thorem, there exists an element  $\Lambda_0 \in Y^*$ ,  $\|\Lambda_0\|_{Y^*} = 1$  such that  $\Lambda_0 T x_0 = \|T x_0\|_Y$ .

Therefore,

$$||T^*||_{\mathcal{L}(Y^*,X^*)} = \sup_{\|\Lambda\|=1} ||T^*\Lambda||_{X^*} \ge ||T^*\Lambda||_{X^*} = ||\Lambda T||_{\mathcal{L}(X,Y)} = \sup_{\|x\|_X=1} |(\Lambda T)x| \ge |(\Lambda T)x_0| = ||Tx_0||_Y$$

Thus,  $||Tx_0|| \le ||T^*||$ .

Therefore, for  $\forall x \in X$  with  $x \neq 0$ ,

$$||T^*||_{\mathcal{L}(Y^*,X^*)} \ge ||T\frac{x}{||x||_X}||_Y \ge \frac{||Tx||_Y}{||x||_X}$$

which implies  $||T||_{\mathcal{L}(X,Y)} \leq ||T^*||_{\mathcal{L}(Y^*,X^*)}$ . Thus,  $||T||_{\mathcal{L}(X,Y)} = ||T^*||_{\mathcal{L}(Y^*,X^*)}$ .

# Problem 15.

If T is self-adjoint,  $\forall x \in \mathcal{H}$ ,

$$\langle x, Tx \rangle = \langle T^*x, x \rangle = \langle Tx, x \rangle$$

Also, since  $\langle Tx, x \rangle = \overline{\langle x, Tx \rangle}$ ,  $\langle x, Tx \rangle \in \mathbb{R}$  for  $\forall x \in \mathcal{H}$ .

If  $\forall x \in \mathcal{H}, \langle x, Tx \rangle = \langle Tx, x \rangle,$ 

$$\langle x, Tx \rangle = \langle Tx, x \rangle = \langle x, T^*x \rangle$$

Thus,  $T = T^*$  and T is self-adjoint.

5/10