

# Hopf Algebras Acting on Quantum Planes

Brandon Mather

## 1 Hopf Algebra Definitions

**Definition 1.1.** A Hopf Algebra,  $(H, \nabla, \eta, \Delta, \varepsilon, S)$ , is a bialgebra  $H$  over a field  $\mathbb{k}$  with an antipode  $S : H \rightarrow H$  where the bialgebra has product  $\nabla : H \otimes H \rightarrow H$ , unit  $\eta : \mathbb{k} \rightarrow H$ , coproduct  $\Delta : H \rightarrow H \otimes H$ , counit  $\varepsilon : H \rightarrow \mathbb{k}$  such that the following diagrams commute

$$\begin{array}{c}
 \text{Associativity:} \quad \begin{array}{ccc} H \otimes H \otimes H & \xrightarrow{\nabla \otimes id} & H \otimes H \\ \downarrow id \otimes \nabla & & \downarrow \nabla \\ H \otimes H & \xrightarrow{\nabla} & H \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{Unit:} \quad \begin{array}{ccc} & H \otimes H & \\ \eta \otimes id \nearrow & \downarrow \nabla & \nwarrow id \otimes \eta \\ \mathbb{k} \otimes H & & H \otimes \mathbb{k} \\ \searrow = & & \swarrow = \\ & H & \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{Coassociativity:} \quad \begin{array}{ccc} H & \xrightarrow{\Delta} & H \otimes H \\ \downarrow \Delta & & \downarrow \Delta \otimes id \\ H \otimes H & \xrightarrow{id \otimes \Delta} & H \otimes H \otimes H \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{Counit:} \quad \begin{array}{ccc} & H & \\ \nwarrow = & \downarrow \Delta & \searrow = \\ \mathbb{k} \otimes H & & H \otimes \mathbb{k} \\ \nwarrow \varepsilon \otimes id & & \swarrow id \otimes \varepsilon \\ & H \otimes H & \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{Coproduct compatibility:} \quad \begin{array}{ccccc} H \otimes H & \xrightarrow{\nabla} & H & \xrightarrow{\Delta} & H \otimes H \\ \downarrow \Delta \otimes \Delta & & & & \downarrow \nabla \otimes \nabla \\ H \otimes H \otimes H \otimes H & \xrightarrow{id \otimes \tau \otimes id} & H \otimes H \otimes H \otimes H & & \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{Unit compatibility:} \quad \begin{array}{ccc} \mathbb{k} & \xrightarrow{\eta} & H \\ & \searrow \eta \otimes \eta & \downarrow \Delta \\ & & H \otimes H \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{Counit compatibility:} \quad \begin{array}{ccc} H & \xrightarrow{\varepsilon} & \mathbb{k} \\ \uparrow \nabla & \nearrow \varepsilon \otimes \varepsilon & \\ H \otimes H & & \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{Antipode:} \quad \begin{array}{ccccc} H \otimes H & \xrightarrow{id \otimes S} & H \otimes H & & \\ \uparrow \Delta & & \downarrow \nabla & & \\ H & \xrightarrow{\varepsilon} & \mathbb{k} & \xrightarrow{\eta} & H \\ \downarrow \Delta & & \uparrow \nabla & & \\ H \otimes H & \xrightarrow{S \otimes id} & H \otimes H & & \end{array}
 \end{array}$$

For the sake of brevity, we write in general that  $\Delta(h) = \sum h_{(1)} \otimes h_{(2)}$ , this is called Sweedler notation.

One can note that these diagrams are self-dual, changing the directions of the morphisms gives another diagram. Then an immediate question is when is the dual of a Hopf algebra again a Hopf algebra?

**Definition 1.2.** Let  $V$  be a  $\mathbb{k}$  vector space and  $V^*$  its corresponding dual, then they determine a non-degenerate bilinear form  $\langle, \rangle : V^* \otimes V \rightarrow \mathbb{k}$  by  $\langle \phi, v \rangle = \phi(v)$ .

**Definition 1.3.** If  $V$  and  $W$  are  $\mathbb{k}$  vector spaces and  $f : V \rightarrow W$  is  $\mathbb{k}$ -linear, then the transpose of  $f$  is  $f^* : W^* \rightarrow V^*$  given by

$$f^*(\phi)(v) = f(\phi(v)).$$

**Definition 1.4.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra, then  $C^*$  is an algebra with multiplication  $\Delta^* : C^* \otimes C^* \rightarrow C^*$  and unit  $\varepsilon^* : \mathbb{k} \rightarrow C^*$ .

Note that  $\Delta^*$ , by definition 1.3, maps from  $(C \otimes C)^*$ , but we can restrict the map to the domain  $C^* \otimes C^*$  to meet the criteria of being a product.

In a similar vein, if we start with an algebra  $(A, \nabla, \eta)$ , then the transpose of the product  $\nabla$  is  $\nabla^* : A^* \rightarrow (A \otimes A)^*$ . But unless  $A$  is finite dimensional, we cannot know that  $\nabla^*(A^*) \subseteq A^* \otimes A^*$ , which is required for  $\nabla^*$  to be a coproduct. This is exactly the requirement for  $A^*$  to be a coalgebra. This motivates the following definition.

**Definition 1.5.** The finite dual of an algebra  $H$  is  $H^\circ = \{f \in H^* \mid f(I) = 0 \text{ for some ideal } I \text{ of } A \text{ where } \dim H/I < \infty\}$ .

If  $H$  is finite-dimensional, then  $H^\circ$  is exactly  $H^*$ .

**Proposition 1.6.** If  $A$  is an algebra, then  $A^\circ$  is a coalgebra with coproduct  $\nabla^* : A^\circ \rightarrow (A \otimes A)^\circ = A^\circ \otimes A^\circ$  and counit  $\eta^* : A^\circ \rightarrow \mathbb{k}$ .

**Proposition 1.7.** As proved in [13], if  $H$  is a Hopf algebra,  $H^\circ$  is also a Hopf algebra with product, unit, coproduct, counit and antipode  $\Delta^*, \varepsilon^*, \nabla^*, \eta^*, S^*$  respectively. Explicitly,  $\forall \phi, \psi \in H^\circ$  and all  $h, g \in H$ ,

$$\begin{aligned} \langle \nabla^*(\phi\psi), h \rangle &= \langle \phi \otimes \psi, \Delta(h) \rangle, \quad \langle 1, h \rangle = \varepsilon(h), \quad \langle \Delta^*(\phi), h \otimes g \rangle = \langle \phi, \nabla(hg) \rangle, \quad \varepsilon^*(\phi) = \langle \phi, 1 \rangle, \\ \langle S^*\phi h \rangle &= \langle \phi, Sh \rangle. \end{aligned}$$

**Example 1.8.** Let  $G$  be any group and denote  $\mathbb{k}G = \{\sum_{i=0}^{\infty} a_i g_i \mid a_i \in \mathbb{k}, g_i \in G, n \in \mathbb{N}\}$  The  $\mathbb{k}G$  is a Hopf algebra called the group algebra of  $G$ . It has the product

$$\left( \sum_{i=0}^n a_i g_i \right) \left( \sum_{j=0}^m b_j g_j \right) = \sum_{i=0}^n \sum_{j=0}^m a_i b_j (g_i g_j)$$

where  $a_i \cdot b_j$  is the product in  $\mathbb{k}$  and  $g_i \cdot g_j$  is the product in the group. The unit is  $1_{\mathbb{k}} 1_G$  where  $1_{\mathbb{k}}$  is the unit of  $\mathbb{k}$  and  $1_G$  is the identity element of the group. The coproduct is defined by  $\Delta(g) = g \otimes g$  extended linearly to all of  $\mathbb{k}G$ , and the counit is  $\varepsilon(g) = 1_{\mathbb{k}}$ , again extended linearly. Finally, the antipode is  $S(g) = g^{-1}$ .

Note that group algebras are always cocommutative, in other words  $\nabla(h) = \tau \circ \nabla(h)$  for all  $h \in \mathbb{k}G$ , where  $\tau(a \otimes b) = b \otimes a$ , and are commutative if and only if  $G$  is abelian.

**Definition 1.9.** For a Hopf algebra  $H$ ,  $G(H) = \{g \in H \mid \Delta g = g \otimes g\}$  is called the set of grouplike elements of  $H$ .

**Example 1.10.** Let  $\mathfrak{g}$  be a Lie algebra and  $U(\mathfrak{g})$  the corresponding Universal Enveloping algebra. Then  $U(\mathfrak{g})$  is naturally an algebra, but also has a Hopf algebra structure. The coproduct is given by  $\Delta x = x \otimes 1 + 1 \otimes x$ ,  $\varepsilon(x) = 0$  and  $S(x) = -x$ .

**Definition 1.11.** For a Hopf algebra  $H$ ,  $P(H) = \{x \in H \mid \Delta x = x \otimes 1 + 1 \otimes x\}$  is called the set of primitive elements of  $H$ . Generally, one can define the skew-primitive elements as  $P_{a,b} = \{x \in H \mid \Delta x = x \otimes a + b \otimes x\}$ .

**Example 1.12.** In his seminal book, [16], Sweedler defined a 4-dimensional, non-commutative, non-cocommutative Hopf algebra

$$H_4 = \langle g, x \mid g^2 = 1, x^2 = 0, gx = -xg \rangle$$

with operations

$$\begin{aligned} \Delta g &= g \otimes g, \quad \Delta x = x \otimes 1 + g \otimes x, \quad \varepsilon(g) = 1, \quad \varepsilon(x) = 0, \\ S(g) &= g^{-1} \quad S(x) = -xg^{-1}. \end{aligned}$$

We will see in section 3 a generalization of this to Taft algebras, which were introduced by Taft in [17].

**Definition 1.13.** If  $H$  is a Hopf algebra, then  $H^{op}$  is a Hopf algebra with the same structure except the opposite multiplication,  $\nabla^{op}(hg) = \nabla(gh)$ . As well,  $H^{cop}$  is a Hopf algebra with the same structure as  $H$  but with the opposite coproduct,  $\Delta^{cop}(h) = \sum h_{(2)} \otimes h_{(1)}$ .

**Definition 1.14.** A left action of a Hopf algebra on a vector space  $V$  is a tuple  $(\alpha, V)$  so that  $\alpha : H \otimes V \rightarrow V$  is a map satisfying the diagrams

$$\begin{array}{ccccc} H \otimes H \otimes V & \xrightarrow{\nabla \otimes id} & H \otimes V & \mathbb{k} \otimes V & \xrightarrow{\eta \otimes id} & H \otimes V \\ \downarrow id \otimes \alpha & & \downarrow \alpha & & \searrow = & \downarrow \alpha \\ H \otimes V & \xrightarrow{\alpha} & V & & & V \end{array}$$

In this case,  $V$  is called a left Hopf-module.

For the rest of this paper we suppress the action  $\alpha$  and instead write  $\alpha(h \otimes v) = {}^h v$ .

**Definition 1.15.** A right coaction,  $\rho$ , of  $H$  on  $V$  is a tuple  $(\rho, V)$  with  $\rho : V \rightarrow V \otimes H$  so that the following commute

$$\begin{array}{ccc} V & \xrightarrow{\rho} & V \otimes H \\ \rho \downarrow & & \downarrow id \otimes \Delta \\ V \otimes H & \xrightarrow{\rho \otimes id} & V \otimes H \otimes H \end{array} \quad \begin{array}{ccc} V & \xrightarrow{\rho} & V \otimes H \\ \searrow = & & \downarrow id \otimes \varepsilon \\ & & V \otimes \mathbb{k} \end{array}$$

In this case,  $V$  is called a right Hopf-comodule.

**Definition 1.16.** When an algebra  $A$  is a left Hopf-module with action  $\alpha$ , we call it a left Hopf-module algebra if it also satisfies the diagrams

$$\begin{array}{ccccc}
H \otimes A \otimes A & \xrightarrow{\nabla} & H \otimes A & \xrightarrow{\alpha} & A \xleftarrow{\nabla} A \otimes A \\
\Delta \otimes id \otimes id \downarrow & & & & \uparrow \alpha \otimes \alpha \\
H \otimes H \otimes A \otimes A & \xrightarrow{id \otimes \tau \otimes id} & H \otimes A \otimes H \otimes A & & H \otimes A
\end{array}
\quad
\begin{array}{ccc}
H & \xrightarrow{\varepsilon} & \mathbb{k} \xrightarrow{\eta} A \\
\downarrow \eta & \nearrow \alpha & \\
H \otimes A & & 
\end{array}$$

Note that a Hopf algebra acts if and only if its dual coacts. (Write why here)

**Definition 1.17.** And when a coalgebra  $A$  is a an Hopf-module with action  $\alpha$ , we call it an Hopf-module coalgebra if it also satisfies the diagrams

$$\begin{array}{ccccc}
H \otimes A & \xrightarrow{\alpha} & A & \xrightarrow{\Delta} & A \otimes A \\
\Delta \otimes id \otimes id \downarrow & & & & \uparrow \alpha \otimes \alpha \\
H \otimes H \otimes A \otimes A & \xrightarrow{id \otimes \tau \otimes id} & H \otimes A \otimes H \otimes A & & H \otimes A
\end{array}
\quad
\begin{array}{ccc}
A & \xrightarrow{\varepsilon} & \mathbb{k} \\
\uparrow \alpha & \nearrow \varepsilon \otimes \varepsilon & \\
H \otimes A & & 
\end{array}$$

Similar diagrams give the conditions for Hopf-comodule algebras and Hopf-comodule coalgebras.

**Definition 1.18.** A useful and prevalent construction on Hopf algebras is given a Hopf algebra  $H$  and a left Hopf-module algebra  $A$ , the smash product algebra  $A \# H$  is the algebra where  $A \# H = A \otimes H$  as a  $\mathbb{k}$ -vector space and has product

$$(a \otimes h)(b \otimes k) = \sum a \cdot {}^{h(1)}b \otimes h(2)k.$$

**Definition 1.19.** A Hopf algebra is called pointed if all of its left (right) comodules are 1-dimensional.

**Definition 1.20.** If  $I \subseteq H$  and for any  $h \in H$ ,  $hI \subseteq I$ , then  $I$  is called an ideal of  $H$ . If  $\Delta(I) \subseteq I \otimes H + H \otimes I$ , then  $I$  is called a coideal of  $H$ . If  $I$  is both an ideal and a coideal, it is called a biideal. Finally, if  $I$  is a biideal and  $S(I) \subseteq I$ , then  $I$  is called a Hopf ideal of  $H$ .

**Lemma 1.21.** If  $I$  is a Hopf ideal of  $H$ , then the quotient  $H/I$  is a Hopf algebra.

**Definition 1.22.** If  $H$  acts on an algebra  $A$  and there is a Hopf ideal  $I$  so that  $I \cdot A = 0$ , then we say the action of  $H$  factors through the quotient  $H/I$ . In particular, if  $H/I$  is isomorphic to a group algebra, we say the action of  $H$  factors through a group action.

## 2 Big Questions

Juan Cuadra, Pavel Etingof and Chelsea Walton have been classifying algebras with Hopf actions for which the action factors through a group action [7]. For example, they have shown that any action by a semi-simple, finite dimensional Hopf algebra on an integral domain always has this property. As well, they have shown that any action by a finite dimensional Hopf algebra on a Weyl algebra also has this property. This is a component of a larger search for algebras on which Hopf algebras act.

Andruskiewitsch and Schneider have been classifying pointed Hopf algebras [1].

Kenneth Chan, Ellen Kirkman, Jim Kuzmanovich, Chelsea Walton, and James Zhang have a series of works on Hopf algebras acting on AS-regular algebras [2] [3] [4] [11]. They have posed the question of when are the coinvariant subrings from these actions Artin-Schelter Gorenstein?

Miriam Cohen and Davida Fishman extended work by Fisher and Montgomery [8] and Cohen and Montgomery [6] to determine when  $A\#H$  is semiprime for  $A$  an algebra and  $H$  a Hopf algebra. Specifically, if  $H$  is semi-simple and finite-dimensional and  $A$  is semiprime, they ask is  $A\#H$  semiprime? [5]

Chelsea Walton and Sarah Witherspoon have been working towards PBW deformation conditions on  $B\#H$  where  $B$  is a Koszul algebra and  $H$  a Hopf algebra. In [18] they are able to provide these conditions when the antipode of  $H$  is bijective,  $B$  is connected as an  $H$ -module algebra, and the action of  $H$  preserves the grading on  $B$ . In the same paper they pose the question if  $H = U_q(\mathfrak{sl}_2)$ , are there nontrivial PBW deformations of  $B\#H$ ?

### 3 Taft Algebras

A Taft algebra, as defined in [2], is a Hopf algebra  $T_{n,m} = \langle g, x \mid g^n = 1, x^n = 0, gx = \zeta xg \rangle$  where  $\zeta$  is a primitive  $n$ -th root of unity.  $T_{n,m}$  has the maps

$$\begin{aligned}\Delta(g) &= g \otimes g, & \Delta(x) &= 1 \otimes x + x \otimes g \\ \varepsilon(g) &= 1, & \varepsilon(x) &= 0, & S(g) &= g^{-1}, & S(x) &= -xg^{-1}.\end{aligned}$$

A small example is the lowest dimension non-commutative, non-cocommutative Hopf algebra, the 4-dimensional Sweedler algebra. This is given by  $H_4 = \langle g, x \mid g^2 = 1, x^2 = 0, xg = -gx \rangle$  with operations

$$\begin{aligned}\Delta(g) &= g \otimes g, & \Delta(x) &= 1 \otimes x + x \otimes g \\ \varepsilon(g) &= 1, & \varepsilon(x) &= 0, & S(g) &= g, & S(x) &= -xg.\end{aligned}$$

This algebra in particular acts on the AS-regular algebra  $\mathbb{k}_{-1}[u, v] = \mathbb{k}[u, v]/(uv + vu)$  by

$$g \cdot u = u, \quad g \cdot v = -v, \quad x \cdot u = 0, \quad x \cdot v = v.$$

Let  $q$  be a primitive root of unity where  $|q^2| = m > 1$ , let  $\alpha \in \mathbb{k}$  and  $n \in \mathbb{Z}^+$  where  $|q| \nmid n$ , then a generalized Taft algebra is a Hopf algebra

$$T_{q,\alpha,n} = \langle g, g^{-1}x \mid gg^{-1} = g^{-1}g = 1, xg = qgx, g^n = 1, x^m = \alpha(g^m - g^{-m}) \rangle$$

with maps

$$\begin{aligned}\Delta(g) &= g \otimes g, & \Delta(x) &= x \otimes g^{-1} + g \otimes x, \\ \varepsilon(g) &= 1, & \varepsilon(x) &= 0, & S(g) &= g^{-1}, & S(x) &= -qx.\end{aligned}$$

If  $q^m \neq 1$ , then  $\alpha = 0$ , and if  $q^m = 1$ ,  $\alpha \in \{0, 1\}$ .

Another definition for a generalized Taft algebra given in [1] is to take a Yetter-Drinfeld module  $V$  of  $D_2$ -type over the group algebra  $k\mathbb{Z}/n\mathbb{Z}$ . Then if  $\mathcal{B}(V)$  is the Nichols algebra of  $V$ , the bosonization  $(\mathcal{B}(V)\#k\mathbb{Z}/n\mathbb{Z})^{\text{cop}}$  is a generalized Taft algebra.

Let  $q$  be a primitive root of unity with  $q^2 \neq 1$ , then we can define the quantum polynomial ring  $\mathbb{k}_q[u, v] = \mathbb{k}[u, v]/\langle uv - qvu \rangle$ . The subring  $U = \mathbb{k}u \oplus \mathbb{k}v$  is then a left  $T_{q,\alpha,n}$ -module. If as a  $T_{q,\alpha,n}$ -module  $U$  is not semi-simple, then in [2] it is proved that  $T_{q,\alpha,n}$  coacts on  $\mathbb{k}_q[u, v]$ . This coaction is given by

$$\rho(u) = u \otimes g \quad \rho(v) = v \otimes g^{-1} + u \otimes x.$$

The coaction induces an action by the finite dual  $(T_{q,\alpha,n})^\circ$  on  $\mathbb{k}_q[u, v]$ .

## 4 8-dimensional Hopf Algebra

In [10], Kac and Paljutkin define an 8-dimensional, non-commutative, non-cocommutative, semi-simple Hopf algebra

$$H_8 = \langle x, y, z \mid x^2 = y^2 = 1, xy = yx, zx = yz, zy = xz, z^2 = \frac{1}{2}(1 + x + y - xy) \rangle$$

with operations

$$\Delta(x) = x \otimes x, \quad \Delta(y) = y \otimes y, \quad \Delta(z) = \frac{1}{2}(1 \otimes 1 + 1 \otimes x + y \otimes 1 - y \otimes x)(z \otimes z),$$

$$\varepsilon(x) = \varepsilon(y) = \varepsilon(z) = 1, \quad S(x) = x^{-1}, \quad S(y) = y^{-1}, \quad S(z) = z.$$

Then in [12], three representations of  $H_8$  acting on quantum polynomial rings are given. For the ring  $\mathbb{k}_q[x_1, x_2]$  where  $q^2 = -1$ , the first representation is given by

$$x \mapsto \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \quad y \mapsto \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad z \mapsto \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}.$$

For the ring  $\mathbb{k}_Q[x_1, x_2, x_3, x_4]$  where  $Q = (q_{ij})$ ,  $x_j x_i = q_{ij} x_i x_j$  and  $q_{12} = q_{34}^{-1}$ ,  $q_{13} = q_{24}^{-1}$ ,  $q_{14}^2 = 1$ ,  $q_{23}^2 = -1$ , we also have the representation

$$x \mapsto \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad y \mapsto \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad z \mapsto \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}.$$

And finally, for the ring  $\mathbb{k}_{-1}[u, v]$ , we get the representation

$$x \mapsto \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad y \mapsto \begin{bmatrix} 0 & -1 \\ -1 & 0 \end{bmatrix} \quad z \mapsto \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

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