

auto**N**omous, self-Learning, **OPT**imal and comp**L**ete **U**nderwater **S**ystems **NOPTILUS**

FP7-ICT-2009.6: Information and Communication Technologies

Webinar

Situation Understanding (WP6)

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Situation Understanding



➤ **Definition**

- cognitive ability of inferring high-level descriptions and representations of the current state of the environment

➤ **Noptilus SU Goal**

- *recognize interesting events in streams of observations*
- *observations*: (abstracted) sensor data
- *events*: patterns in observation streams

➤ **Quiz: what kind of event is this one?**

- get eggs, break eggs, discard eggshells, stir eggs, pan on fire, eggs in pan, stir, flip, serve

➤ **Yes! This is the making of an omelet!**

➤ **Models**

- Probabilistic Context-Free Grammars (PCFGs)

➤ **Task 1: Event Recognition**

- real-time, hierarchical parsing for on-line recognition

➤ **Task 2: Grammar Learning**

- off-line learning of PCFGs from past AUV mission logs

PCFG

Probabilistic Context-Free Grammars

Probabilistic CFGs

➤ Context-Free Grammars (CFG)

- formal models for specifying syntax (sequences)
- components:
 - terminal symbols, non-terminal symbols, start symbol
 - production rules

➤ CFG example

- terminals {a, b}, non-terminals {S, A, B}, start symbol S
- rules = { $S \rightarrow A$, $S \rightarrow B$, $A \rightarrow aAb$, $B \rightarrow bBa$, $A \rightarrow ab$, $B \rightarrow ba$ }
- encodes/produces all sequences $a^n b^n$ or $b^n a^n$ for $n > 0$
- producing **bbbaaa**: $S \rightarrow B \rightarrow bBa \rightarrow bbBaa \rightarrow bbbaaa$

➤ Probabilistic CFGs (PCFG)

- CFG with a probability value to each production rule

A Simple PCFG

Grammar	Prob
$S \rightarrow NP VP$	0.8
$S \rightarrow Aux NP VP$	0.1
$S \rightarrow VP$	0.1
$NP \rightarrow Pronoun$	0.2
$NP \rightarrow Proper-Noun$	0.2
$NP \rightarrow Det Nominal$	0.6
$Nominal \rightarrow Noun$	0.3
$Nominal \rightarrow Nominal Noun$	0.2
$Nominal \rightarrow Nominal PP$	0.5
$VP \rightarrow Verb$	0.2
$VP \rightarrow Verb NP$	0.5
$VP \rightarrow VP PP$	0.3
$PP \rightarrow Prep NP$	1.0

Lexicon	
$Det \rightarrow the \mid a \mid that \mid this$	0.6 0.2 0.1 0.1 $\Sigma=1.0$
$Noun \rightarrow book \mid flight \mid meal \mid money$	0.1 0.5 0.2 0.2 $\Sigma=1.0$
$Verb \rightarrow book \mid include \mid prefer$	0.5 0.2 0.3 $\Sigma=1.0$
$Pronoun \rightarrow I \mid he \mid she \mid me$	0.5 0.1 0.1 0.3 $\Sigma=1.0$
$Proper-Noun \rightarrow Houston \mid NWA$	0.8 0.2 $\Sigma=1.0$
$Aux \rightarrow does$	1.0 $\Sigma=1.0$
$Prep \rightarrow from \mid to \mid on \mid near \mid through$	0.25 0.25 0.1 0.2 0.2 $\Sigma=1.0$

PCFG Parsing

➤ **Derivation**

- sequential application of rules to the start symbol
- *probability*: product of the probabilities of the rules used

➤ **Sequence**

- sequence of terminal symbols derived from start symbol
- *probability*: sum of the probabilities of all its derivations

➤ **Sequence parsing**

- given a sequence, find a derivation, if one exists
- useful for uncovering the structure of the sequence

➤ **Sequence likelihood**

- compute the probability of a derivable sequence
- useful for classifying and ordering sequences

PCFG Benefits

➤ **Representation**

- compact and hierarchical representation of sequences
- human-readable, self-explanatory production rules

➤ **Algorithms**

- a variety of parsers for various types of sequences
- algorithms for learning the probabilities of the rules
- algorithms for grammatical inference

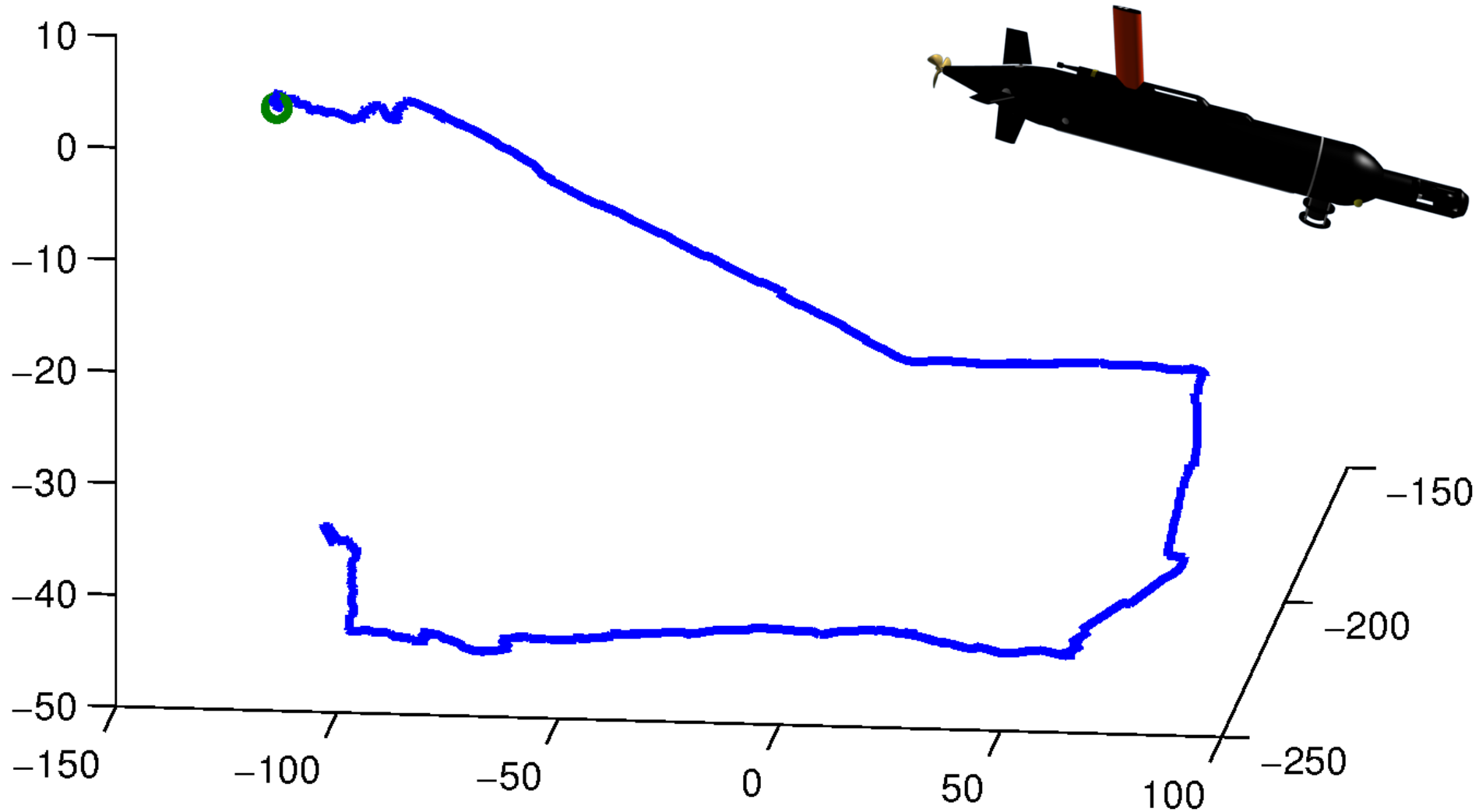
➤ **Applications**

- natural language processing
- visual human activity recognition
- ...

PCFG Event Recognition

on-line, real-time, on-board parsing

AUV Mission Log



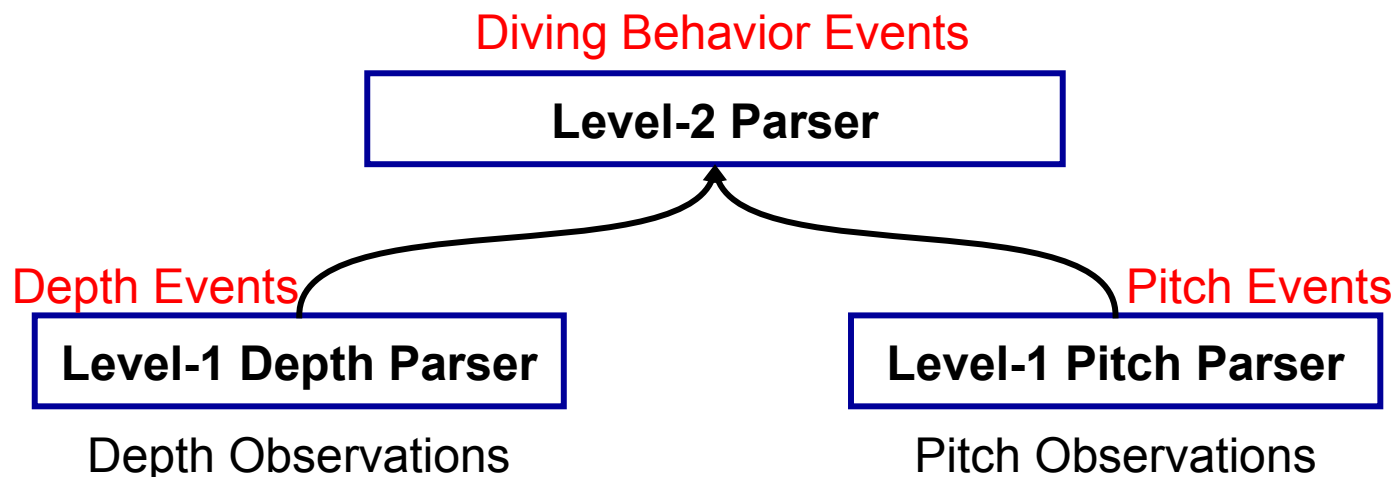
PCFGs for Noptilus

➤ Goal

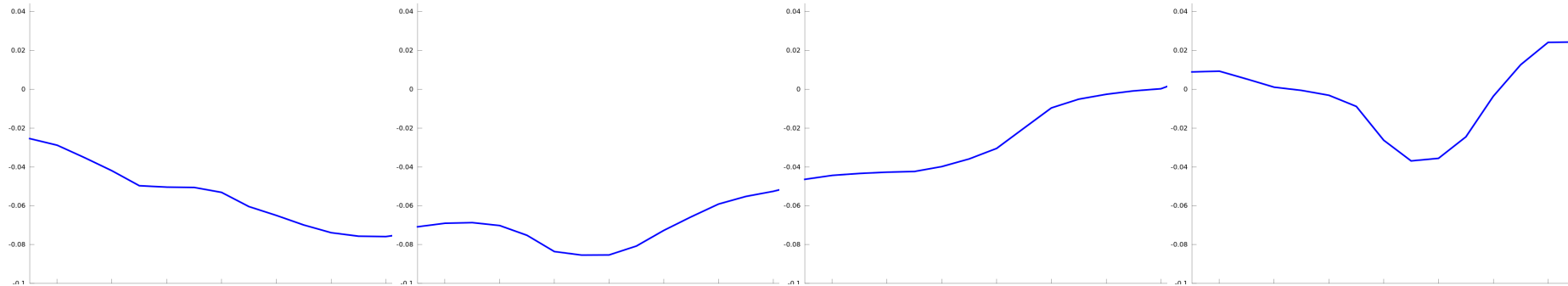
- simple event recognition regarding diving behavior
- focus on joint patterns in depth and pitch

➤ Hierarchy

- level 1: independent grammars for depth and pitch events
- level 2: grammar for the combination of Level-1 events



Depth Observation Generation



+

0

-

0

0.037474
0.032219
0.028115
0.025039
0.022243
0.022903
0.026767
0.035741
0.037393
0.034305
0.028398
0.023691
0.024289
0.026708
0.027268

0.024209
0.017864
0.014133
0.013886
0.015512
0.016860
0.014031
0.009555
0.003580
-0.000692
-0.002861
-0.007767
-0.012192
-0.017634
-0.019469

-0.019689
-0.017810
-0.016547
-0.022303
-0.027118
-0.032096
-0.033769
-0.030667
-0.019416
-0.000125
0.008617
0.017316
0.025421
0.028816
0.035222

0.028816
0.035222
0.041991
0.049693
0.050414
0.050569
0.053115
-0.006621
0.007136
0.021382
0.029188
0.040740
0.043735
0.042784
0.042784

quantization: average rate of change (+, 0, -), averaging window s=15



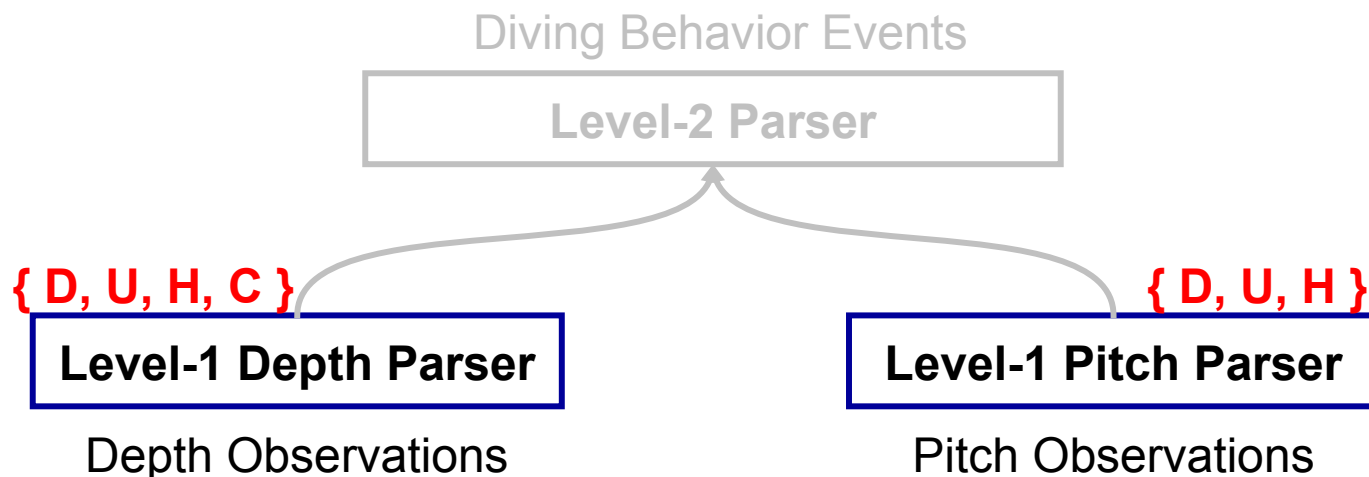
Level-1 Event Recognition

➤ Level-1 events

- depth : **D**own, **U**p, **H**over, **C**hange
- pitch : **D**own, **U**p, **H**over

➤ Level-1 parsing

- input: observations over a rolling window
- output: most probable depth/pitch event occurred



Grammar for Depth Events

$E \rightarrow C$ [0.10]
 $E \rightarrow U$ [0.30]
 $E \rightarrow D$ [0.30]
 $E \rightarrow H$ [0.30]

$d \rightarrow '+'$ [0.85]
 $d \rightarrow '0'$ [0.15]
 $u \rightarrow '-'$ [0.85]
 $u \rightarrow '0'$ [0.15]
 $h \rightarrow '0'$ [1.00]

$D \rightarrow D D$ [0.50]
 $D \rightarrow d$ [0.50]

$U \rightarrow U U$ [0.50]
 $U \rightarrow u$ [0.50]

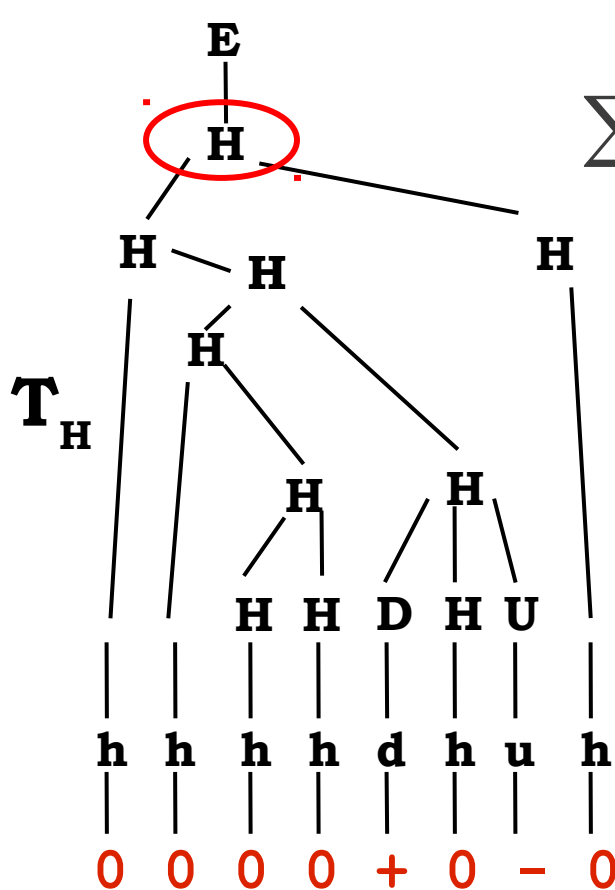
$C \rightarrow U H D$ [0.50]
 $C \rightarrow D H U$ [0.50]

$H \rightarrow H H$ [0.34]
 $H \rightarrow U H D$ [0.16]
 $H \rightarrow D H U$ [0.16]
 $H \rightarrow h$ [0.34]

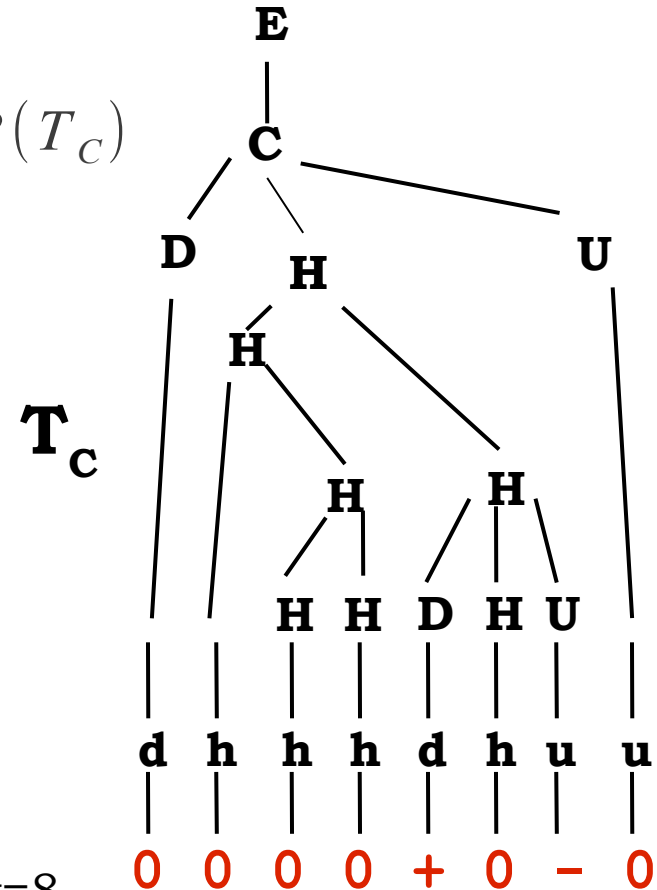
Recognize event E by choosing the derivation that maximizes production probability

Level-1 Parsing: Depth

0 0 0 0 0 0 + 0 - 0 + + + + + + + + + + + + + + +
 H H H C C C H C D D D D D D D D D D D D D D D

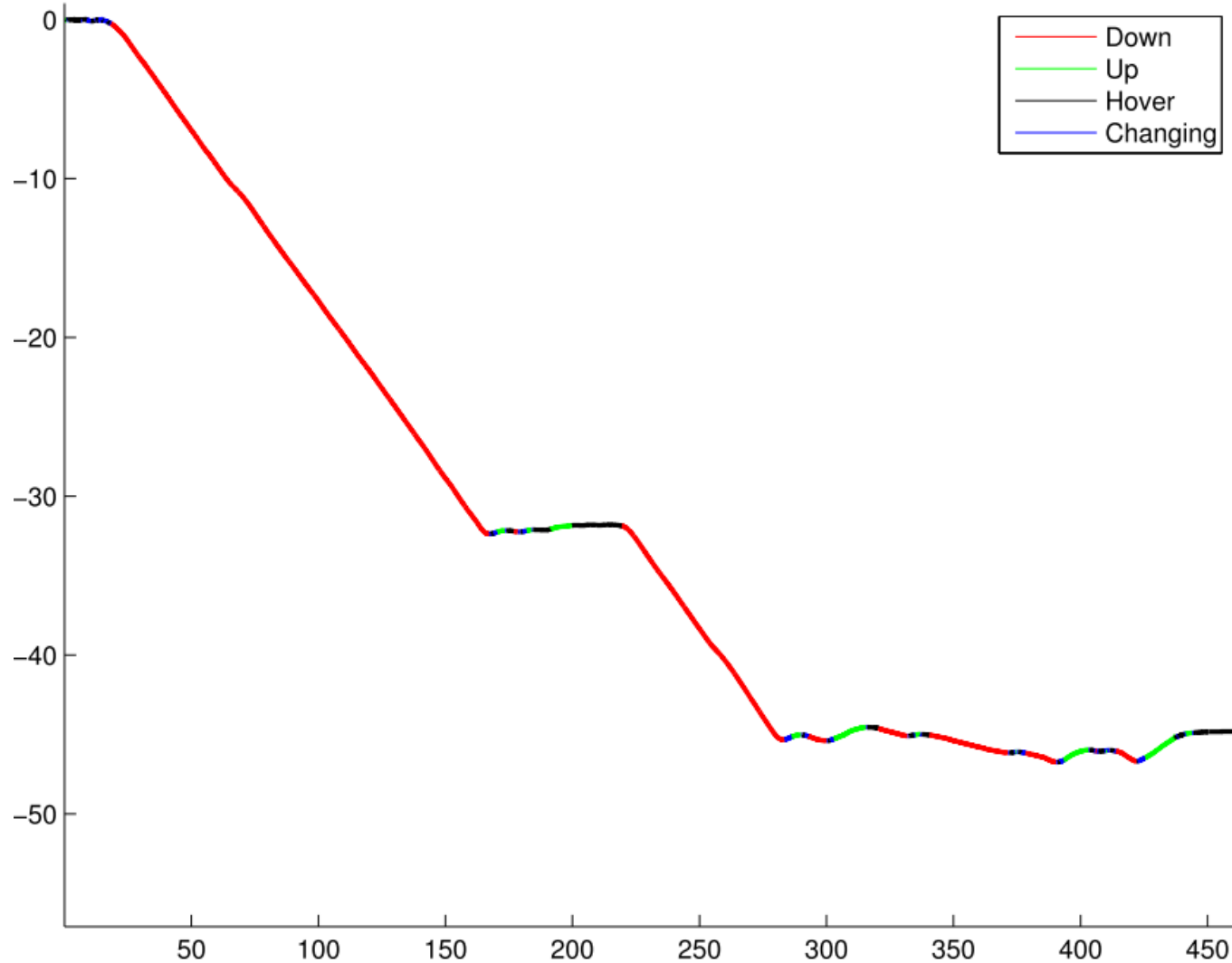


$$\sum_{T_H} P(T_H) > \sum_{T_C} P(T_C)$$

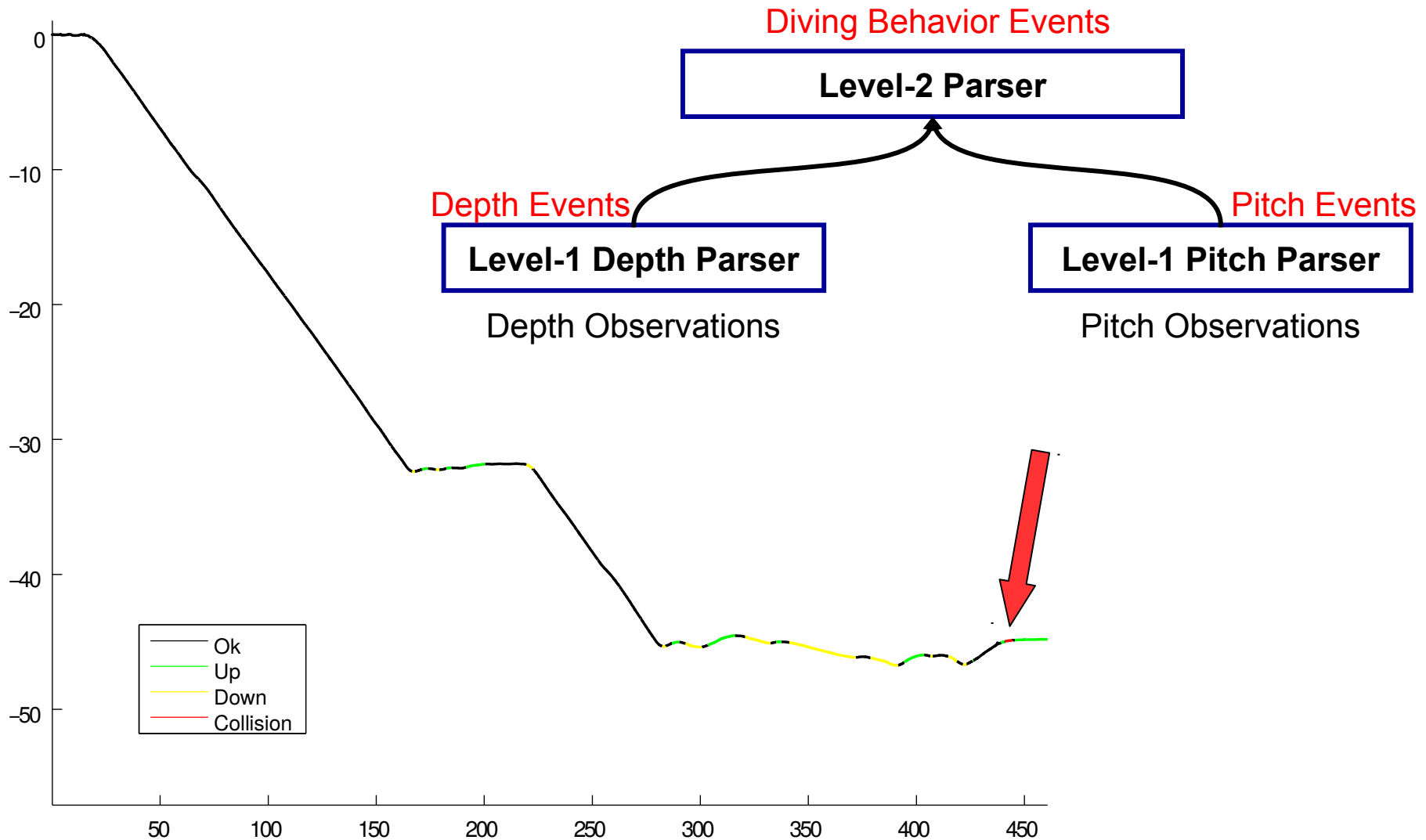


parsing window $w=8$

Level-1 Annotated Depth



Diving Behavior Event Recognition



PCFG Learning from Logs

off-line, off-board grammatical inference

Normal/Abnormal Events

➤ **Considerations**

- what is an interesting event? what do we care about?
- likely interesting events are unusual and unexpected
- in most missions almost nothing abnormal occurs
- *idea*: instead of looking for the abnormal and rare, ...
- ... why not look after the normal and frequent?
- easier to define normal as opposed to abnormal

➤ **Normal Operation**

- typical patterns in motion and measurements (PCFG!)

➤ **Abnormal Operation**

- any pattern that does not occur in normal operation

Grammar Learning

➤ **Structured Prediction**

- make a prediction about a structured object

➤ **Grammatical Inference**

- infer a PCFG (symbols, rules, probabilities) from words

➤ **Training Data**

- corpus of positive (normal) examples only
- must generalize (but not too much) and must not overfit

➤ **Challenges**

- the space of grammars cannot be generated systematically
- the space of grammars is not a vector space
- the neighborhood of a grammar is hard to define
- cannot tell if the best possible grammar has been reached

Our Bayesian Approach

➤ Learning objective

- given a corpus O , find G^* that maximizes the posterior

$$G^* = \arg \max_G P(G|O)$$

➤ Bayes Rule

$$G^* = \arg \max_G \frac{P(G)P(O|G)}{P(O)} = \arg \max_G P(G)P(O|G)$$

➤ Prior of G

$$P(G) = \frac{1}{2^{|G|}}$$

➤ Likelihood of O over G

$$P(O|G) = \prod_{w \in O} P(w|G)$$

PCFG Initialization (Example)

$$O = \left\{ \begin{array}{cccccc} a & b & c & c & c & c \\ a & a & a & b & c & \\ a & a & b & b & b & c \\ a & a & b & b & c & c \\ a & a & a & b & c & c & c & c \\ a & a & a & a & a & a & b & c \\ a & a & b & b & b & c & & \end{array} \right\}$$

$$G_{init} = (V, \Sigma, R, P, S)$$

$$RP = \left\{ \begin{array}{lcl} S & \rightarrow & N_1 \quad N_2 \quad N_3 \quad N_3 \quad N_3 \quad N_3 \quad (1) \\ & | & N_1 \quad N_1 \quad N_1 \quad N_2 \quad N_3 \quad (1) \\ & | & N_1 \quad N_1 \quad N_2 \quad N_2 \quad N_2 \quad N_3 \quad (2) \\ & | & N_1 \quad N_1 \quad N_2 \quad N_2 \quad N_3 \quad N_3 \quad (1) \\ & | & N_1 \quad N_1 \quad N_1 \quad N_2 \quad N_3 \quad N_3 \quad N_3 \quad N_3 \quad (1) \\ & | & N_1 \quad N_1 \quad N_1 \quad N_1 \quad N_1 \quad N_1 \quad N_2 \quad N_3 \quad (1) \\ N_1 & \rightarrow & a \quad (19) \\ N_2 & \rightarrow & b \quad (12) \\ N_3 & \rightarrow & c \quad (14) \end{array} \right\}$$

Chunk and Merge Operations

➤Chunk

- creates a new non-terminal to replace a sub-sequence

$$\begin{array}{ll}
 N_1 \rightarrow a & a & a & b & c & (15) \\
 N_2 \rightarrow a & b & c & a & a & (22) \\
 N_3 \rightarrow d & d & a & b & c & a & (9)
 \end{array}
 \implies
 \begin{array}{ll}
 N_1 \rightarrow a & a & N_4 & (15) \\
 N_2 \rightarrow N_4 & a & a & (22) \\
 N_3 \rightarrow d & d & N_4 & a & (9) \\
 N_4 \rightarrow a & b & c & (46)
 \end{array}$$

➤Merge

- combines two existing non-terminals into one

$$\begin{array}{ll}
 N_1 \rightarrow a & N_2 & a & N_3 & c & (15) \\
 N_2 \rightarrow a & N_1 & a & N_3 & d & (6) \\
 N_3 \rightarrow d & N_2 & d & c & d & (32)
 \end{array}
 \implies
 \begin{array}{ll}
 N_1 \rightarrow a & N_2 & a & N_2 & c & (15) \\
 N_2 \rightarrow a & N_1 & a & N_2 & d & (6) \\
 & | & d & N_2 & d & c & d & (32)
 \end{array}$$

Grammar Learning Approach

➤ **Local Search**

- Beam Search strategy to avoid local minima

➤ **Posterior Gain**

- incremental computation over previous grammar

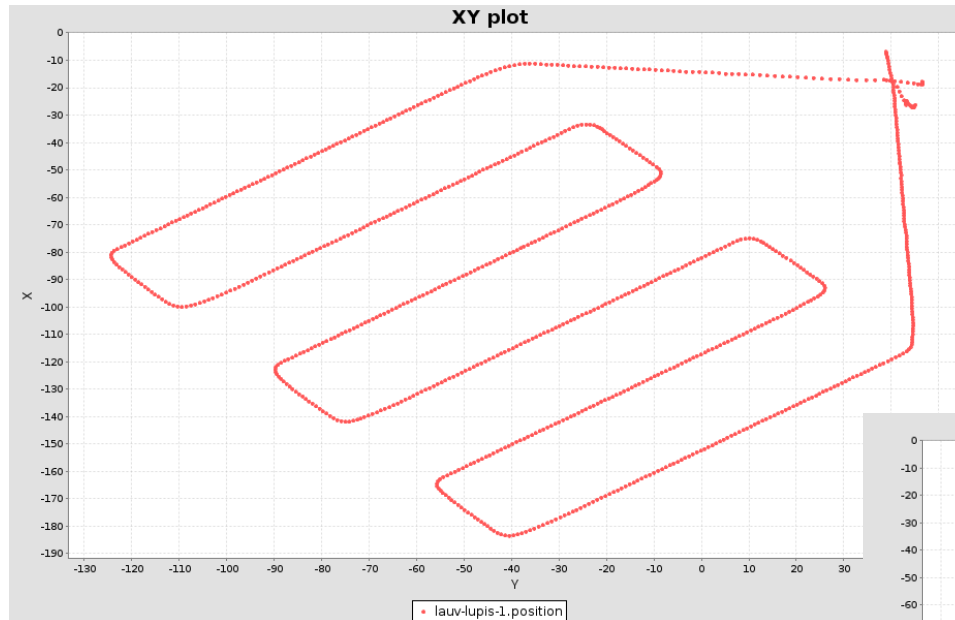
➤ **Recalculation of Probabilities**

- merge operation invalidates the counts of the rules
- fix: Inside-Outside algorithm over the corpus

➤ **Incremental Learning**

- learning over a batch of the most frequent words
- elimination of parsed words from training corpus
- repeat learning and elimination until corpus is empty

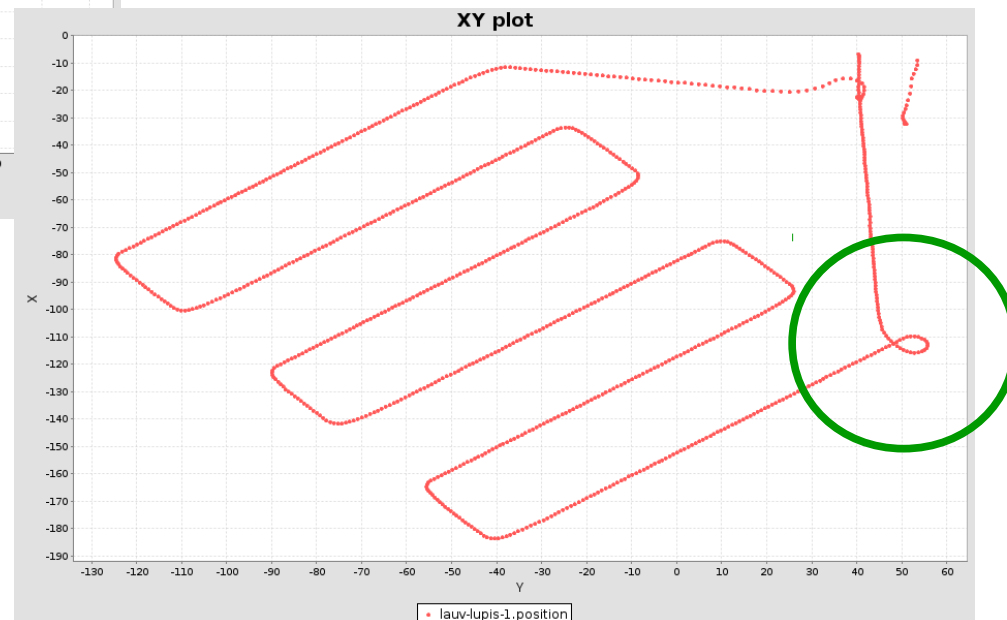
AUV Normal and Abnormal Behavior



Normal
[Training]



Abnormal
[Testing]



Learned Grammar on AUV Yaw

Start Rules:

N24

All Rules:

N12 \rightarrow j (1)

N13 \rightarrow k (1)

...

N23 \rightarrow d (1)

N24 \rightarrow N29 N29 (0.367347)

\rightarrow N26 N26 N26 (0.27551)

\rightarrow N27 N27 N27 (0.122449)

\rightarrow N25 N25 (0.0612245)

...

N25 \rightarrow N33 N14 (0.842105)

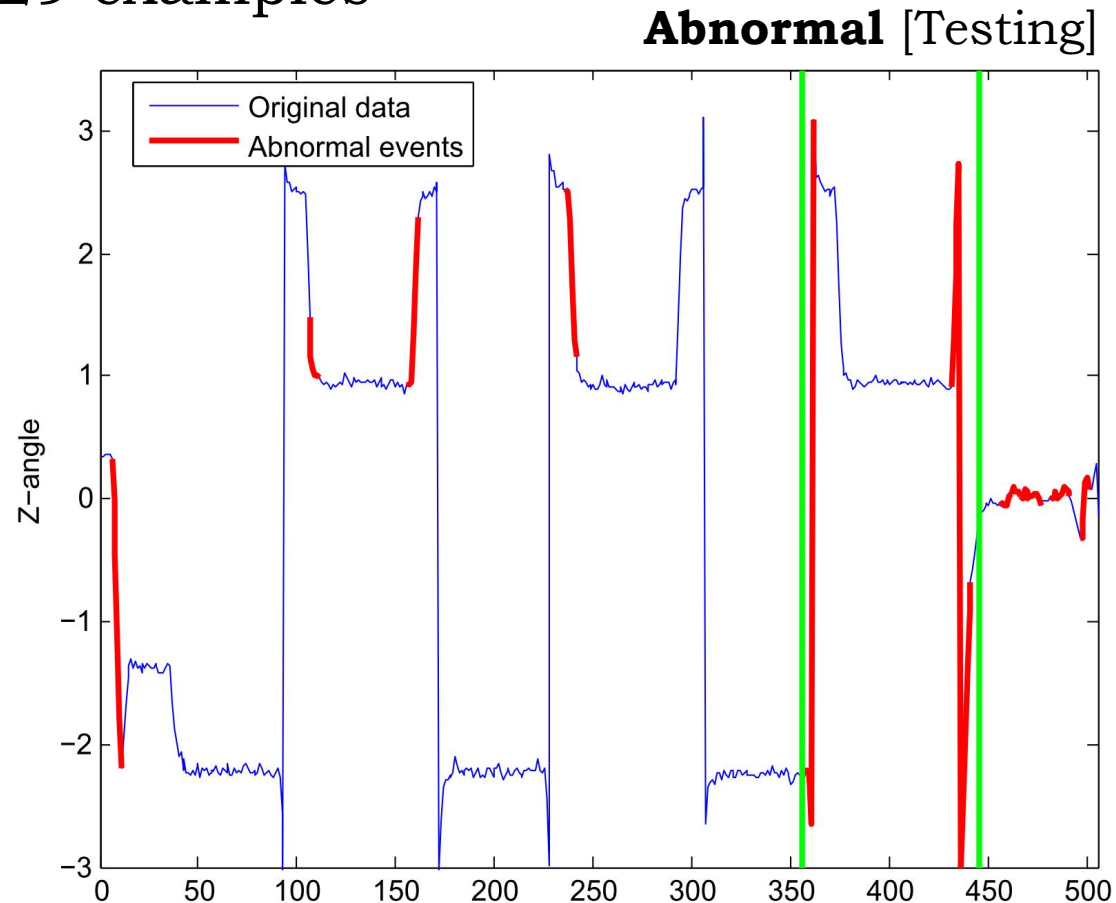
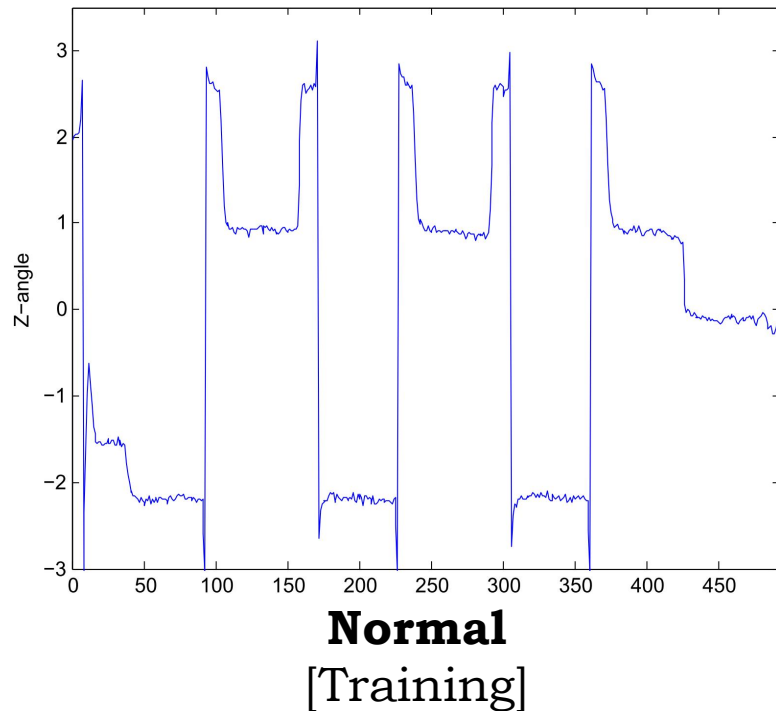
\rightarrow N13 N19 N20 (0.157895)

N26 \rightarrow N21 N21 (0.53795)

...

Recognition with Learned Grammar

- data stream: yaw (z-angle) of the AUV
- training corpus: 129 examples



Integration

Putting everything together

AUV Mission Integration

➤ **Off-line (before)**

- identify type of event
- identify related data
- collect normal data
- learn grammar(s)

➤ **On-line (during)**

- execute parser onboard
- recognize events
- signal detection(s)

➤ **Off-line (after)**

- parse past mission logs
- event detection

➤ **Event**

- loss of orientation
- gyro (z-angle, yaw)
- normal mission data
- rules and probabilities

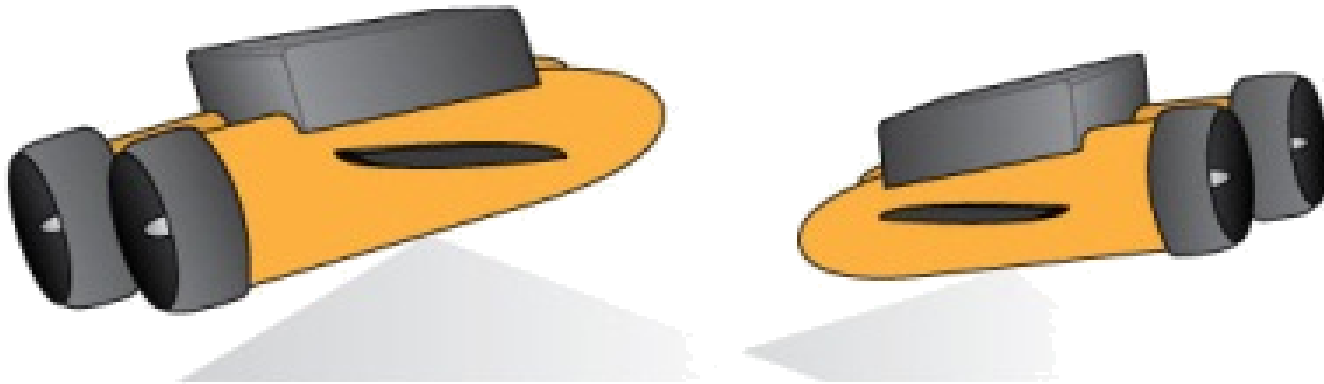
➤ **Mission**

- use learned grammar
- detect abnormality
- reset state, notify

➤ **Investigation**

- detect past occurrences
- extract event statistics

Thank you!



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