# 浙江北学



课程名称: 操作系统原理与实践 题 目: Lab 2: RV64 内核线程调度 授课教师: 申文博 助 教: 王鹤翔、陈淦豪、许昊瑞 姓 名: 潘潇然 学 号: 3220106049 地 点: 32舍367

## 一、实验过程与步骤

#### 1. 准备工程

- 在 haed.S 的 \_start 中的 jal start\_kernel 前加上 jal mm\_init
- 在 defs.h 中添加对应内容
- 2. 线程初始化
- 首先补充完成 arch/riscv/kernel/proc.c 的 task\_init() 函数。我们首先每次初始化调用 kalloc() 分配一个物理页,同时 state 设置为 TASK\_RUNNING (本次实验只有这一种状态)。
  - 对于 idle,由于其不参与调度,因此我们将其 counter, priority 和 pid 均设为 0,最后将 current 指针和 task[0] 都指向 idle
  - 对于其他线程, 首先初始化 counter 为0, priority 为随机数, 其值在限定的最大值和最小值之间。之后, 将 ra 设置为之后会完成的 \_\_dummy 的地址, sp 设置为物理页高地址。根据内存布局和页大小我们可以知道 sp 地址为 task\_page 加上 PAGE\_SIZE

```
void task_init() {
  srand(2024);
 void *idle_page = kalloc();
 if (!idle_page) {
   printk("kalloc failed\n");
    return;
  idle = (struct task_struct *)idle_page;
  idle->state = TASK_RUNNING;
  idle->counter = 0;
 idle->priority = 0;
  idle->pid = 0;
  current = idle;
  task[0] = idle;
 for (int i = 1; i < NR_TASKS; ++i) {
   void *task_page = kalloc();
   if (!task_page) {
     printk("kalloc failed\n");
     return;
    task[i] = (struct task_struct *)task_page;
    task[i]->pid = i;
```

- 之后在 haed.S 的 \_start 中的 jal start\_kernel 前加上 jal task\_init
- 3. \_\_dummy 与 dummy 的实现
- 在 arch/riscv/kernel/entry.S 中添加 \_\_dummy 。首先获取 dummy 的地址, 之后将 dummy 值存储到 sepc , 最后 sret 从S模式返回

```
.extern dummy
.globl __dummy
__dummy:
    la a0,dummy
    csrw sepc,a0
    sret
```

#### 4. 实现线程切换

• 函数 switch\_to 判断当前线程和下一个执行的线程是否为同一个线程, 若是则不进行任何处理, 否则用 prev 储存当前线程, 并将当前线程设为下一线程 next , 最后对 prev 和 next 调用 switch to 进行线程切换

• 在 \_\_switch\_to 中,我们保存当前线程相关数据,并载入下一执行线程。这里要注意的是我们传入的指针是 task\_struct 类型,而在 task\_struct 结构体中, thread 之前还有四个 uint64\_t 类型的变量,各占8字节,共占用32字节。因此每次载入或保存前要首先加32。之后依次对 ra , sp , s0~s11 进行相关操作,最后 ret 返回。

```
__switch_to:
   # save state to prev process
   addi t0,a0,32
   sd ra, 0(t0)
  sd sp, 8(t0)
  sd s0, 16(t0)
  sd s1, 24(t0)
  sd s2, 32(t0)
   sd s3, 40(t0)
   sd s4, 48(t0)
   sd s5, 56(t0)
   sd s6, 64(t0)
   sd s7, 72(t0)
   sd s8, 80(t0)
   sd s9, 88(t0)
   sd s10, 96(t0)
   sd s11, 104(t0)
   # restore state from next process
   addi t0,a1,32
   ld ra, 0(t0)
   ld sp, 8(t0)
   ld s0, 16(t0)
   ld s1, 24(t0)
   ld s2, 32(t0)
   ld s3, 40(t0)
   ld s4, 48(t0)
   ld s5, 56(t0)
   ld s6, 64(t0)
   ld s7, 72(t0)
   ld s8, 80(t0)
   ld s9, 88(t0)
   ld s10, 96(t0)
   ld s11, 104(t0)
    ret
```

#### 5. 实现调度入口函数

• 实现 do\_timer 函数。若当前线程为 idle 或当前 counter 为0,则直接进行 schedule (),否则 counter 减1并返回

```
void do_timer() {
  if (current == idle || current->counter == 0)
    schedule();
  else if (current->counter > 0) {
    current->counter--;
    return;
  }
}
```

• 之后在 trap.c 中加入 do\_timer()

- 6. 线程调度算法实现。
- 调度时首先扫描所有线程,执行目前 counter 最大的线程,若有多个 counter 相同且 非零的线程,则优先执行 pid 小的线程
- 若所有线程都为0,则令所有线程 counter 值为 priority 值,即优先执行 priority 高的线程
- 之后重新获取 counter 最大的线程, 并通过 switch\_to 切换到对应的线程

```
void schedule() {
  struct task_struct *next = NULL;
  uint64_t max_counter = 0;

for (int i = 0; i < NR_TASKS; i++) {
   if (task[i]->counter > max_counter) {
     max_counter = task[i]->counter;
     next = task[i];
   }
}

if (!max_counter) {
  for (int i = 1; i < NR_TASKS; i++) {</pre>
```

#### 7. 编译及测试

• make TEST\_SCHED=1 run 输出,与提供的正确输出一致,通过测试

```
...mm_init done!
...task_init done!
2024 ZJU Operating System
SET [PID = 1 PRIORITY = 7 COUNTER = 7]
SET [PID = 2 PRIORITY = 10 COUNTER = 10]
SET [PID = 3 PRIORITY = 4 COUNTER = 4]
SET [PID = 4 PRIORITY = 1 COUNTER = 1]
switch to [PID = 2 PRIORITY = 10 COUNTER = 10]
[PID = 2] is running. auto_inc_local_var = 1
[PID = 2] is running. auto_inc_local_var = 2
[PID = 2] is running. auto_inc_local_var = 3
[PID = 2] is running. auto_inc_local_var = 4
[PID = 2] is running. auto_inc_local_var = 5
[PID = 2] is running. auto_inc_local_var = 6
[PID = 2] is running. auto_inc_local_var = 7
[PID = 2] is running. auto_inc_local_var = 8
[PID = 2] is running. auto_inc_local_var = 9
[PID = 2] is running. auto_inc_local_var = 10
switch to [PID = 1 PRIORITY = 7 COUNTER = 7]
[PID = 1] is running. auto_inc_local_var = 1
[PID = 1] is running. auto_inc_local_var = 2
[PID = 1] is running. auto_inc_local_var = 3
[PID = 1] is running. auto_inc_local_var = 4
[PID = 1] is running. auto_inc_local_var = 5
```

```
[PID = 1] is running. auto_inc_local_var = 6
[PID = 1] is running. auto_inc_local_var = 7
switch to [PID = 3 PRIORITY = 4 COUNTER = 4]
[PID = 3] is running. auto_inc_local_var = 1
[PID = 3] is running. auto_inc_local_var = 2
[PID = 3] is running. auto_inc_local_var = 3
[PID = 3] is running. auto_inc_local_var = 4
switch to [PID = 4 PRIORITY = 1 COUNTER = 1]
[PID = 4] is running. auto_inc_local_var = 1
SET [PID = 1 PRIORITY = 7 COUNTER = 7]
SET [PID = 2 PRIORITY = 10 COUNTER = 10]
SET [PID = 3 PRIORITY = 4 COUNTER = 4]
SET [PID = 4 PRIORITY = 1 COUNTER = 1]
switch to [PID = 2 PRIORITY = 10 COUNTER = 10]
[PID = 2] is running. auto_inc_local_var = 11
[PID = 2] is running. auto_inc_local_var = 12
[PID = 2] is running. auto_inc_local_var = 13
[PID = 2] is running. auto_inc_local_var = 14
[PID = 2] is running. auto_inc_local_var = 15
[PID = 2] is running. auto_inc_local_var = 16
[PID = 2] is running. auto_inc_local_var = 17
[PID = 2] is running. auto_inc_local_var = 18
[PID = 2] is running. auto_inc_local_var = 19
[PID = 2] is running. auto_inc_local_var = 20
switch to [PID = 1 PRIORITY = 7 COUNTER = 7]
[PID = 1] is running. auto_inc_local_var = 8
[PID = 1] is running. auto_inc_local_var = 9
[PID = 1] is running. auto_inc_local_var = 10
[PID = 1] is running. auto_inc_local_var = 11
[PID = 1] is running. auto_inc_local_var = 12
[PID = 1] is running. auto_inc_local_var = 13
[PID = 1] is running. auto_inc_local_var = 14
switch to [PID = 3 PRIORITY = 4 COUNTER = 4]
[PID = 3] is running. auto_inc_local_var = 5
Test passed!
    Output: 22222222211111113333422222222211111113
```

• make run 的部分输出,可以观察到同样符合代码逻辑

```
...mm_init done!
...task_init done!
```

```
2024 ZJU Operating System
SET [PID = 1 PRIORITY = 7 COUNTER = 7]
SET [PID = 2 PRIORITY = 10 COUNTER = 10]
SET [PID = 3 PRIORITY = 4 COUNTER = 4]
SET [PID = 4 PRIORITY = 1 COUNTER = 1]
SET [PID = 5 PRIORITY = 4 COUNTER = 4]
SET [PID = 6 PRIORITY = 7 COUNTER = 7]
SET [PID = 7 PRIORITY = 5 COUNTER = 5]
SET [PID = 8 PRIORITY = 10 COUNTER = 10]
SET [PID = 9 PRIORITY = 1 COUNTER = 1]
SET [PID = 10 PRIORITY = 9 COUNTER = 9]
SET [PID = 11 PRIORITY = 6 COUNTER = 6]
SET [PID = 12 PRIORITY = 9 COUNTER = 9]
SET [PID = 13 PRIORITY = 6 COUNTER = 6]
SET [PID = 14 PRIORITY = 6 COUNTER = 6]
SET [PID = 15 PRIORITY = 5 COUNTER = 5]
SET [PID = 16 PRIORITY = 8 COUNTER = 8]
SET [PID = 17 PRIORITY = 1 COUNTER = 1]
SET [PID = 18 PRIORITY = 5 COUNTER = 5]
SET [PID = 19 PRIORITY = 3 COUNTER = 3]
SET [PID = 20 PRIORITY = 7 COUNTER = 7]
SET [PID = 21 PRIORITY = 7 COUNTER = 7]
SET [PID = 22 PRIORITY = 3 COUNTER = 3]
SET [PID = 23 PRIORITY = 3 COUNTER = 3]
SET [PID = 24 PRIORITY = 3 COUNTER = 3]
SET [PID = 25 PRIORITY = 4 COUNTER = 4]
SET [PID = 26 PRIORITY = 3 COUNTER = 3]
SET [PID = 27 PRIORITY = 9 COUNTER = 9]
SET [PID = 28 PRIORITY = 1 COUNTER = 1]
SET [PID = 29 PRIORITY = 9 COUNTER = 9]
SET [PID = 30 PRIORITY = 10 COUNTER = 10]
SET [PID = 31 PRIORITY = 3 COUNTER = 3]
switch to [PID = 2 PRIORITY = 10 COUNTER = 10]
[PID = 2] is running. auto_inc_local_var = 1
[PID = 2] is running. auto_inc_local_var = 2
[PID = 2] is running. auto_inc_local_var = 3
[PID = 2] is running. auto_inc_local_var = 4
[PID = 2] is running. auto_inc_local_var = 5
[PID = 2] is running. auto_inc_local_var = 6
[PID = 2] is running. auto_inc_local_var = 7
[PID = 2] is running. auto_inc_local_var = 8
[PID = 2] is running. auto_inc_local_var = 9
[PID = 2] is running. auto_inc_local_var = 10
switch to [PID = 8 PRIORITY = 10 COUNTER = 10]
[PID = 8] is running. auto_inc_local_var = 1
```

```
[PID = 8] is running. auto_inc_local_var = 2
[PID = 8] is running. auto_inc_local_var = 3
[PID = 8] is running. auto_inc_local_var = 4
[PID = 8] is running. auto_inc_local_var = 5
[PID = 8] is running. auto_inc_local_var = 6
[PID = 8] is running. auto_inc_local_var = 7
[PID = 8] is running. auto_inc_local_var = 8
[PID = 8] is running. auto_inc_local_var = 9
[PID = 8] is running. auto_inc_local_var = 10
switch to [PID = 30 PRIORITY = 10 COUNTER = 10]
[PID = 30] is running. auto_inc_local_var = 1
[PID = 30] is running. auto_inc_local_var = 2
[PID = 30] is running. auto_inc_local_var = 3
[PID = 30] is running. auto_inc_local_var = 4
[PID = 30] is running. auto_inc_local_var = 5
[PID = 30] is running. auto_inc_local_var = 6
[PID = 30] is running. auto_inc_local_var = 7
[PID = 30] is running. auto_inc_local_var = 8
[PID = 30] is running. auto_inc_local_var = 9
[PID = 30] is running. auto_inc_local_var = 10
switch to [PID = 10 PRIORITY = 9 COUNTER = 9]
[PID = 10] is running. auto_inc_local_var = 1
[PID = 10] is running. auto_inc_local_var = 2
[PID = 10] is running. auto_inc_local_var = 3
[PID = 10] is running. auto_inc_local_var = 4
[PID = 10] is running. auto_inc_local_var = 5
[PID = 10] is running. auto_inc_local_var = 6
[PID = 10] is running. auto_inc_local_var = 7
[PID = 10] is running. auto_inc_local_var = 8
[PID = 10] is running. auto_inc_local_var = 9
switch to [PID = 12 PRIORITY = 9 COUNTER = 9]
[PID = 12] is running. auto_inc_local_var = 1
[PID = 12] is running. auto_inc_local_var = 2
[PID = 12] is running. auto_inc_local_var = 3
[PID = 12] is running. auto_inc_local_var = 4
[PID = 12] is running. auto_inc_local_var = 5
[PID = 12] is running. auto_inc_local_var = 6
[PID = 12] is running. auto_inc_local_var = 7
[PID = 12] is running. auto_inc_local_var = 8
[PID = 12] is running. auto_inc_local_var = 9
switch to [PID = 27 PRIORITY = 9 COUNTER = 9]
[PID = 27] is running. auto_inc_local_var = 1
[PID = 27] is running. auto_inc_local_var = 2
```

```
[PID = 27] is running. auto_inc_local_var = 3
[PID = 27] is running. auto_inc_local_var = 4
```

# 二、实验心得

这次实验主要卡在了两个地方,一个一开始 \_\_switch\_to 没有考虑到 task\_struct 和 thr ead\_struct 结构体在内存布局上的区别,因此一开始没有加上32,后面才注意到。另一个是在 trap.c 中没有弄清楚 clock\_set\_next\_event() 和 do\_timer() 这两个函数的顺序,导致逻辑出现了一些混乱。具体来说就是这两个函数如果先调用 do\_timer() 再调用 clock\_set\_next\_event(),会导致在进程没有上下文时输出少一次。

## 三、思考题

- 1. 在RV64中一共有32个通用寄存器,为什么 switch to 中只保存了 14 个?
- 这是因为 \_\_switch\_to 是在C语言的 switch\_to 函数中被调用的,而在上一个实验中我们已经知道了有Caller Saved Register和Callee Saved Register的区别。而C语言在函数调用过程中会自动保存Caller Saved Register的部分,因此我们只需要保存Callee Saved Register(sp及 s0~s11)以及保存了 \_\_switch\_to 函数调用点 ra 即可
- 2. 阅读并理解 arch/riscv/kernel/mm.c 代码,尝试说明 mm\_init 函数都做了什么,以及 在 kalloc 和 kfree 的时候内存是如何被管理的。
- mm\_init 调用 kfreerange 来释放 \_ekernel 到 PHY\_END 之间的内存。其中 \_ekernel 代表kernel代码的结束地址, PHY\_END 代表物理内存的结束位置,在 defs.h 中 PHY\_END 被定义为 PHY\_START+PHY\_SIZE ,其中前者是起始地址,即0,后者是QEMU的默认内存大小128MiB。而 kfreerange 函数首先将 start 地址上对齐到页面边界,再以 PGSIZE 为步长,释放每个页面
- 内存管理是通过 freelist 完成的,所有可用的内存都储存到其中。当调用 kalloc 的时候,从 freelist 取出一个可用的内存块并将其中的内容清零以避免内存泄漏。而调用 kfree 的时候首先对齐地址,再清零内存块内容,最后放入自由链表。
- 3. 当线程第一次调用时,其 ra 所代表的返回点是 \_\_dummy ,那么在之后的线程调用中 \_ \_ switch\_to 中, ra 保存/恢复的函数返回点是什么呢?请同学用 gdb 尝试追踪一次完整的线程切换流程,并关注每一次 ra 的变换(需要截图)。
- 首先在终端1输入 make TEST\_SCHED=1 debug 启动qemu以减少运行的线程,终端2输入 g db-multiarch vmlinux,注意这两个终端都在本次实验工程根目录下运行,以运行正确的内核
- 启动gdb后 target remote :1234
- 分别在 dummy 和 switch to 设置两个断点

```
>>> b __dummy
Breakpoint 1 at 0x80200178: file entry.S, line 88.
>>> b __switch_to
Breakpoint 2 at 0x80200188: file entry.S, line 95.
```

• 运行 c , 切换到 pid=2 线程, 触发 \_\_switch\_to 断点, 此时将要存进 ra 的值是 0x000 0000080200b7c , 对应 switch\_to+128 at proc.c:161 , 即C语言中 switch\_to 函数被调用的下一行。

• 之后 si 到 ld ra, 0(t0) 发现 ra 读取的值为 \_\_dummy 的值

• 同时 c 会触发 dummy 断点, 说明进入了 dummy

• 如此过程重复四次,可以得到相同的结果

```
| Base | Assembly | As
```

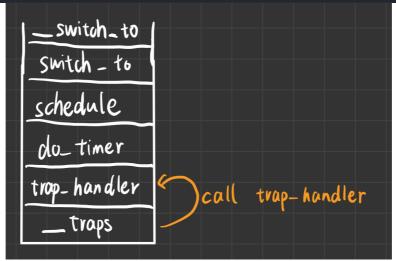
• 之后重复第五次,再次触发 \_\_switch\_to 断点,发现 ra 中将要储存的值依旧为C语言中 switch\_to 函数被调用的下一行。但再次单步到 ld ra, 0(t0) 后发现 ra 的值不发生变化,没有被重置为 \_\_dummy 的值

• 以上 TEST\_SCHED=1 模式下,一共有四个线程,由于初始没有上下文,且我们在初始化函数中将其设置为了 \_\_dummy 的值,因此每个线程第一次进入 \_\_switch\_to 的时候会

被恢复为 \_\_dummy 的值,而在之后就不会恢复为 \_\_dummy 的值,都保持为C语言中 switch to 函数的下一行。

- 4. 请尝试分析并画图说明kernel 运行到输出第两次 switch to [PID ... 的时候内存中存在的全部函数帧栈布局。(可通过 gdb 调试使用 backtrace 等指令辅助分析,注意分析第一次时钟中断触发后的 pc 和 sp 的变化。)
- 我们启动gdb, 在 \_\_switch\_to 设置断点,运行 c 两次,再运行 bt ,从而得到在输出 第二次进程切换信息时的函数帧栈布局,如下示意图所示。

```
#0 __switch_to () at entry.S:95
#1 0x0000000080200b7c in switch_to (next=0x87ffe000) at proc.c:161
#2 0x0000000080200a60 in schedule () at proc.c:138
#3 0x000000080200ab8 in do_timer () at proc.c:148
#4 0x0000000080200ed0 in trap_handler (scause=9223372036854775813, sepc=2149582460) at trap.c:19
#5 0x00000000802000ec in _traps () at entry.S:44
Backtrace stopped: frame did not save the PC
```



- 首先是启动程序后,运行 main.c 中的 test() 函数
- 每隔一段时间,触发一次时钟中断(只有时钟中断才会进入后续的 do\_timer),这时进入 entry.S 中的 \_traps 。在 \_traps 中,先保存32个寄存器的值到栈上,之后调用 trap\_handler 处理
- 在 trap\_handler 中,由于检测到是时钟中断,因此在 clock\_set\_next\_event() 之后会 进入 do\_timer() 进行进程调度相关处理
- 在 do\_timer 中, 若当前进程的 counter 大于0,则减少1即可,不会触发进程切换。若当前进程为 idle (idle 的 counter 我们设为了0)或当前进程的 counter 为0,则调用 schedule() 进行进程调度
- 在 schedule 中,通过一系列处理获取下一个要切换到的进程 next ,并调用 switch\_to (next) 进行切换
- 在 switch\_to 中,我们处理好 current 后,调用 \_\_switch\_to 进行进程切换,到此结束