

On the sharpest upper bound on the MP-ratio: approaching the problem by geometric insights

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joint work with Bojan Bašić

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15th Nordic Combinatorial Conference
Reykjavik, Iceland
June 17, 2025

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

On words

On words

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :
 $abc123, abcabcbcabcbcab \dots$;

On words

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :
 $abc123, abcabcbcabcbc \dots$;
- Σ^* – the set of finite words, Σ^∞ – the set of finite or infinite words;

On words

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :
 $abc123, abcabcbcabcbc \dots$;
- Σ^* – the set of finite words, Σ^∞ – the set of finite or infinite words;
- concatenation:

On words

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :
 $abc123, abcabcbcabcbcab \dots$;
- Σ^* – the set of finite words, Σ^∞ – the set of finite or infinite words;
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On words

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :
 $abc123, abcabcabcabcabc \dots$;
- Σ^* – the set of finite words, Σ^∞ – the set of finite or infinite words;
- concatenation: $u = abc, v = 123: uv = abc123$;
- reversal:

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :
 $abc123, abcabcabcabcabc \dots$;
- Σ^* – the set of finite words, Σ^∞ – the set of finite or infinite words;
- concatenation: $u = abc, v = 123: uv = abc123$;
- reversal: desserts = stressed;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :
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Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

- A *word* is a finite or infinite sequence of symbols taken from a given non-empty countable set Σ :
 $abc123, abcabcabcabcab \dots$;
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Was it a cat I saw? = Was it a cat I saw?

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

On words

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On words

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Introduction

On words

On palindromes

Binary case

Definitions

Some interesting

roles of

subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for

$n \geq 4$

On bounding the

MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything

together

On words

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 - subword of $w \in \Sigma^*$ if and only if there exist words $x_1, x_2, \dots, x_n, x_{n+1} \in \Sigma^*$ and $y_1, y_2, \dots, y_n \in \Sigma^*$ such that $u = y_1 y_2 \dots y_n$ and $w = x_1 y_1 x_2 y_2 \dots x_n y_n x_{n+1}$;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

On words

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Iceland
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Iceland

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

On words

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 - factor of $w \in \Sigma^\infty$ if and only if there exist words $x \in \Sigma^*$, $y \in \Sigma^\infty$ such that $w = xuy$;
Iceland
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Iceland
- $|u|$ – length of $u \in \Sigma^*$;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

On words

- A word u is called a:
 - factor of $w \in \Sigma^\infty$ if and only if there exist words $x \in \Sigma^*$, $y \in \Sigma^\infty$ such that $w = xuy$;
Iceland
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Iceland
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- $w[i]$ – the i^{th} letter of the word w ;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

On words

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

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 - factor of $w \in \Sigma^\infty$ if and only if there exist words $x \in \Sigma^*$, $y \in \Sigma^\infty$ such that $w = xuy$;
Iceland
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On words

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting roles of subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything together

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Iceland
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- $|u|_v = |\{i : 1 \leq i \leq |u| - |v| + 1, u[i, i + |v| - 1] = v\}|$.

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

What is “palindromicity”?

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

What is “palindromicity”?

- The role of palindromic words in both pure and applied mathematics, as well as in completely different areas, attracts more and more attention in recent times.

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

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Definition (Brlek, Hamel, Nivat, Reutenauer; 2004)

Palindromic defect:

$$D(w) = |w| + 1 - |\text{Pal}(w)|, w \in \Sigma^*;$$

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Definition (Frid, Puzynina, Zamboni; 2013)

Palindromic length of $w \in \Sigma^$ – the least number of palindromes whose concatenation is the given word w .*

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – binary word on $\{0, 1\}$;

Definitions

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- w contains a subpalindrome of length $\left\lceil \frac{|w|}{2} \right\rceil$;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – binary word on $\{0, 1\}$;
- w contains a subpalindrome of length $\left\lceil \frac{|w|}{2} \right\rceil$;
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Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – binary word on $\{0, 1\}$;
- w contains a subpalindrome of length $\left\lceil \frac{|w|}{2} \right\rceil$;
- w is minimal-palindromic \leftrightarrow does not contain a subpalindrome longer than $\left\lceil \frac{|w|}{2} \right\rceil$;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – binary word on $\{0, 1\}$;
- w contains a subpalindrome of length $\left\lceil \frac{|w|}{2} \right\rceil$;
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Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – binary word on $\{0, 1\}$;
- w contains a subpalindrome of length $\left\lceil \frac{|w|}{2} \right\rceil$;
- w is minimal-palindromic \leftrightarrow does not contain a subpalindrome longer than $\left\lceil \frac{|w|}{2} \right\rceil$;
- $r, s \in \{0, 1\}^*$, (r, s) is an MP-extension of $w \leftrightarrow rws$ is minimal-palindromic;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – binary word on $\{0, 1\}$;
- w contains a subpalindrome of length $\left\lceil \frac{|w|}{2} \right\rceil$;
- w is minimal-palindromic \leftrightarrow does not contain a subpalindrome longer than $\left\lceil \frac{|w|}{2} \right\rceil$;
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- (r, s) is an SMP-extension

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – binary word on $\{0, 1\}$;
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Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – binary word on $\{0, 1\}$;
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- MP-ratio of the word w :

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

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- (r, s) is an SMP-extension $\leftrightarrow |r| + |s|$ is the least possible;
- MP-ratio of the word w : $\frac{|rws|}{|w|}$, (r, s) – SMP-extension of w .

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Some interesting roles of subpalindromes

Introduction

On words

On palindromes

Binary case

Definitions

**Some interesting
roles of
subpalindromes**

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Some interesting roles of subpalindromes

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Theorem (Holub, Saari; 2009)

*Every binary minimal-palindromic word is abelian
unbordered.*

Some interesting roles of subpalindromes

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

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Theorem (Holub, Saari; 2009)

*Every binary word is characterized, up to reversal, by the
set of its subpalindromes.*

The optimal upper bound on the MP-ratio

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

The optimal upper bound on the MP-ratio

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Theorem (Holub, Saari; 2009)

The MP-ratio of any binary word is at most 4.

The optimal upper bound on the MP-ratio

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

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Proof (sketch).

$$0^{|w|+|w|_1} w 1^{|w|+|w|_0}$$



The optimal upper bound on the MP-ratio

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

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Theorem (Holub, Saari; 2009)

This upper bound is the best possible.

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

Definitions

- w – n -ary word on $\{0, 1, \dots, n-1\}$;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – n -ary word on $\{0, 1, \dots, n-1\}$;
- w contains a subpalindrome of length $\left\lceil \frac{|w|}{n} \right\rceil$;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – n -ary word on $\{0, 1, \dots, n-1\}$;
- w contains a subpalindrome of length $\left\lceil \frac{|w|}{n} \right\rceil$;
- w is minimal-palindromic

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

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- w contains a subpalindrome of length $\left\lceil \frac{|w|}{n} \right\rceil$;
- w is minimal-palindromic \leftrightarrow does not contain a subpalindrome longer than $\left\lceil \frac{|w|}{n} \right\rceil$;

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

- w – n -ary word on $\{0, 1, \dots, n-1\}$;
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- w is minimal-palindromic \leftrightarrow does not contain a subpalindrome longer than $\left\lceil \frac{|w|}{n} \right\rceil$;
- $r, s \in \{0, 1, \dots, n-1\}^*$, (r, s) is an MP-extension of w $\leftrightarrow rws$ is minimal-palindromic;
- (r, s) is an SMP-extension $\leftrightarrow |r| + |s|$ is the least possible;
- MP-ratio of the word w : $\frac{|rws|}{|w|}$, (r, s) – SMP-extension of w .

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Definitions

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 $\leftrightarrow rws$ is minimal-palindromic; – Does it always exist???
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Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Well-definedness for $n \geq 4$

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
**Well-definedness for
 $n \geq 4$**
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Well-definedness for $n \geq 4$

Theorem (A., Bašić; 2021)

Each n -ary word has an MP-extension.

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
**Well-definedness for
 $n \geq 4$**
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Well-definedness for $n \geq 4$

Theorem (A., Bašić; 2021)

Each n -ary word has an MP-extension.

Proof (sketch).

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

**Well-definedness for
 $n \geq 4$**

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Well-definedness for $n \geq 4$

Theorem (A., Bašić; 2021)

Each n -ary word has an MP-extension.

Proof (sketch).

Let $w \in \{0, 1, \dots, n-1\}^*$, $n \geq 4$.

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

**Well-definedness for
 $n \geq 4$**

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Well-definedness for $n \geq 4$

Theorem (A., Bašić; 2021)

Each n -ary word has an MP-extension.

Proof (sketch).

Let $w \in \{0, 1, \dots, n-1\}^*$, $n \geq 4$.

Let $M = 2^{\lceil \frac{n}{2} \rceil} + 2^{\lfloor \frac{n}{2} \rfloor - 1} - 3$; exceptionally, if $n = 4$ or $n = 5$, we define $M = 4$, respectively $M = 8$ instead.

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

**Well-definedness for
 $n \geq 4$**

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Well-definedness for $n \geq 4$

Theorem (A., Bašić; 2021)

Each n -ary word has an MP-extension.

Proof (sketch).

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$$r = 0^{l_0} 1^{l_1} \dots (n-2)^{l_{n-2}}, \quad s = 1^{r_1} 2^{r_2} \dots (n-1)^{r_{n-1}},$$

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

**Well-definedness for
 $n \geq 4$**

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Well-definedness for $n \geq 4$

Theorem (A., Bašić; 2021)

Each n -ary word has an MP-extension.

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$$r = 0^{l_0} 1^{l_1} \dots (n-2)^{l_{n-2}}, \quad s = 1^{r_1} 2^{r_2} \dots (n-1)^{r_{n-1}},$$

where $l_0 = M|w| - |w|_0$ and

$$l_i = \begin{cases} (M - 2^i + 1)|w|, & \text{for } i = 1, \dots, \lceil \frac{n}{2} \rceil - 1; \\ (2^{n-1-i} - 1)|w| - |w|_i, & \text{for } i = \lceil \frac{n}{2} \rceil, \dots, n-2, \end{cases}$$

while $r_{n-1} = M|w| - |w|_{n-1}$ and

$$r_i = \begin{cases} (2^i - 1)|w| - |w|_i, & \text{for } i = 1, \dots, \lceil \frac{n}{2} \rceil - 1; \\ (M - 2^{n-1-i} + 1)|w|, & \text{for } i = \lceil \frac{n}{2} \rceil, \dots, n-2. \end{cases}$$

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting

roles of

subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Well-definedness for $n \geq 4$

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Then (r, s) is an MP-extension of w .

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting

roles of

subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for $n \geq 4$

On bounding the

MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything

together

On bounding the MP-ratio from above

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
**On bounding the
MP-ratio from above**

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

On bounding the MP-ratio from above

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Theorem (A., Bašić; 2021)

Let w be an n -ary word for $n \geq 4$, and let

$$M = \begin{cases} 4, & \text{if } n = 4; \\ 8, & \text{if } n = 5; \\ 2^{\lceil \frac{n}{2} \rceil} + 2^{\lfloor \frac{n}{2} \rfloor - 1} - 3, & \text{if } n \geq 6. \end{cases}$$

Then the MP-ratio of w is not greater than nM .

On bounding the MP-ratio from above

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

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Then the MP-ratio of w is not greater than nM .

Theorem (A., Bašić; 2021)

The optimal upper bound on the MP-ratio is not less than $2n$.

On the
sharpest
upper bound
on the
MP-ratio

Kristina Ago

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

**On bounding the
MP-ratio from above**

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

On optimality

On optimality

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Now we know that the optimal upper bound on the MP-ratio for $n \geq 4$ is somewhere between

$$2n \quad \text{and} \quad \sim 2^{\frac{n}{2}} n,$$

and it remains an open problem to narrow (or even better, close) this gap.

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

The main theorem

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

The main theorem

Theorem (A., Bašić; 2021)

The MP-ratio is well-defined in the ternary case, it is bounded from above by 6 and this upper bound is the best possible.

The main theorem

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The MP-ratio is well-defined in the ternary case, it is bounded from above by 6 and this upper bound is the best possible.

$$f(w) = 0^{2|w|-|w|_0} 2^{2|w|-|w|_2-g'(w,0,2)} w 2^{g'(w,0,2)} 1^{2|w|-|w|_1}$$

$$f'(w) = 1^{2|w|-|w|_1} 2^{g'(\tilde{w},0,2)} w 2^{2|w|-|w|_2-g'(\tilde{w},0,2)} 0^{2|w|-|w|_0}$$

The main theorem

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$$f'(w) = 1^{2|w|-|w|_1} 2^{g'(\tilde{w},0,2)} w 2^{2|w|-|w|_2-g'(\tilde{w},0,2)} 0^{2|w|-|w|_0}$$

$$g'(w, a, b) = \max (\{2|w[i, |w|]|_a - |w[i, |w|]|_b : i = 1, 2, \dots, j(a, w)\} \cup \{0\}),$$

where $j(a, w)$ denotes the position of the last occurrence of a in w , and $j(a, w) = 0$ if a does not occur in w .

The main theorem

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The MP-ratio is well-defined in the ternary case, it is bounded from above by 6 and this upper bound is the best possible.

$$f(w) = 0^{2|w|-|w|_0} 2^{2|w|-|w|_2-g'(w,0,2)} w 2^{g'(w,0,2)} 1^{2|w|-|w|_1}$$

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$$g'(w, a, b) = \max (\{2|w[i, |w|]|_a - |w[i, |w|]|_b : i = 1, 2, \dots, j(a, w)\} \cup \{0\}),$$

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Theorem (A., Bašić; 2022)

Let $u \in \{1, 2\}^$, $t, v \in 2^*$ and let p and q be subpalindromes of tu and uv , respectively. If $|p| + |q| > 2|u|$,*

The main theorem

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The MP-ratio is well-defined in the ternary case, it is bounded from above by 6 and this upper bound is the best possible.

$$f(w) = 0^{2|w|-|w|_0} 2^{2|w|-|w|_2 - g'(w,0,2)} w 2^{g'(w,0,2)} 1^{2|w|-|w|_1}$$

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$$g'(w, a, b) = \max (\{2|w[i, |w|]|_a - |w[i, |w|]|_b : i = 1, 2, \dots, j(a, w)\} \cup \{0\}),$$

where $j(a, w)$ denotes the position of the last occurrence of a in w , and $j(a, w) = 0$ if a does not occur in w .

Theorem (A., Bašić; 2022)

Let $u \in \{1, 2\}^$, $t, v \in 2^*$ and let p and q be subpalindromes of tu and uv , respectively. If $|p| + |q| > 2|u|$, then*

$$|u|_1 \leq \frac{|tv| - 1}{|tv|} |tuv|_2.$$

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Quaternary case

Quaternary case

Theorem (A., Bašić; 2025+)

The MP-ratio of any 4-ary word is at most 8.

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Quaternary case

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Proof (sketch).

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Quaternary case

Theorem (A., Bašić; 2025+)

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Proof (sketch).

We define two extensions of w , and show that at least one of them is an MP-extension.

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Quaternary case

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Proof (sketch).

We define two extensions of w , and show that at least one of them is an MP-extension.

$$0^{2|w|-|w|_0} 3^{2|w|-|w|_3-g(w,0,3)} 2^{g(\tilde{w},1,2)} w \ 3^{g(w,0,3)} 2^{2|w|-|w|_2-g(\tilde{w},1,2)} 1^{2|w|-|w|_1};$$

$$1^{2|w|-|w|_1} 2^{2|w|-|w|_2-g(w,1,2)} 3^{g(\tilde{w},0,3)} w \ 2^{g(w,1,2)} 3^{2|w|-|w|_3-g(\tilde{w},0,3)} 0^{2|w|-|w|_0}.$$

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Quaternary case

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$$0^{2|w|-|w|_0} 3^{2|w|-|w|_3-g(w,0,3)} 2^{g(\tilde{w},1,2)} w 3^{g(w,0,3)} 2^{2|w|-|w|_2-g(\tilde{w},1,2)} 1^{2|w|-|w|_1};$$

$$1^{2|w|-|w|_1} 2^{2|w|-|w|_2-g(w,1,2)} 3^{g(\tilde{w},0,3)} w 2^{g(w,1,2)} 3^{2|w|-|w|_3-g(\tilde{w},0,3)} 0^{2|w|-|w|_0}.$$

Let us call them $f_1(w)$ and $f_2(w)$, respectively.

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Quaternary case

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The MP-ratio of any 4-ary word is at most 8.

Proof (sketch).

We define two extensions of w , and show that at least one of them is an MP-extension.

$$\begin{aligned} & 0^{2|w|-|w|_0} 3^{2|w|-|w|_3-g(w,0,3)} 2^{g(\tilde{w},1,2)} w \ 3^{g(w,0,3)} 2^{2|w|-|w|_2-g(\tilde{w},1,2)} 1^{2|w|-|w|_1}; \\ & 1^{2|w|-|w|_1} 2^{2|w|-|w|_2-g(w,1,2)} 3^{g(\tilde{w},0,3)} w \ 2^{g(w,1,2)} 3^{2|w|-|w|_3-g(\tilde{w},0,3)} 0^{2|w|-|w|_0}. \end{aligned}$$

Let us call them $f_1(w)$ and $f_2(w)$, respectively. We have $|f_1(w)| = |f_2(w)| = 8|w|$, and we prove that at least one of the words $f_1(w)$ and $f_2(w)$ does not have a subpalindrome whose length exceeds $2|w|$. ■

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Propositions 1–2

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Propositions 1–2

$$\begin{aligned}
 &0^{2|w|-|w|_0} 3^{2|w|-|w|_3-g(w,0,3)} 2^{g(\tilde{w},1,2)} w \ 3^{g(w,0,3)} 2^{2|w|-|w|_2-g(\tilde{w},1,2)} 1^{2|w|-|w|_1}; \\
 &1^{2|w|-|w|_1} 2^{2|w|-|w|_2-g(w,1,2)} 3^{g(\tilde{w},0,3)} w \ 2^{g(w,1,2)} 3^{2|w|-|w|_3-g(\tilde{w},0,3)} 0^{2|w|-|w|_0}.
 \end{aligned}$$

Propositions 1–2

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

$$0^{2|w|-|w|_0} 3^{2|w|-|w|_3-g(w,0,3)} 2^{g(\tilde{w},1,2)} w 3^{g(w,0,3)} 2^{2|w|-|w|_2-g(\tilde{w},1,2)} 1^{2|w|-|w|_1};$$

$$1^{2|w|-|w|_1} 2^{2|w|-|w|_2-g(w,1,2)} 3^{g(\tilde{w},0,3)} w 2^{g(w,1,2)} 3^{2|w|-|w|_3-g(\tilde{w},0,3)} 0^{2|w|-|w|_0}.$$

Proposition

The length of an arbitrary subpalindrome of the form $0p0$ in each of the words $f_1(w)$ and $f_2(w)$ is less than or equal to $2|w|$.

Propositions 1–2

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting

roles of

subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

$$0^{2|w|-|w|_0} 3^{2|w|-|w|_3-g(w,0,3)} 2^{g(\tilde{w},1,2)} w 3^{g(w,0,3)} 2^{2|w|-|w|_2-g(\tilde{w},1,2)} 1^{2|w|-|w|_1};$$

$$1^{2|w|-|w|_1} 2^{2|w|-|w|_2-g(w,1,2)} 3^{g(\tilde{w},0,3)} w 2^{g(w,1,2)} 3^{2|w|-|w|_3-g(\tilde{w},0,3)} 0^{2|w|-|w|_0}.$$

Proposition

The length of an arbitrary subpalindrome of the form $0p0$ in each of the words $f_1(w)$ and $f_2(w)$ is less than or equal to $2|w|$.

Proposition

The length of an arbitrary subpalindrome of the form $1p1$ in each of the words $f_1(w)$ and $f_2(w)$ is less than or equal to $2|w|$.

Introduction

- On words
- On palindromes

Binary case

- Definitions
- Some interesting
roles of
subpalindromes
- The main theorem

n -ary case

- Definitions
- Well-definedness for
 $n \geq 4$
- On bounding the
MP-ratio from above

Ternary case

- The main theorem

Quaternary case

- The main theorem

The proof

- Putting everything
together

Proposition 3

Proposition 3

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Proposition

At least one among the words $f_1(w)$ and $f_2(w)$ does not contain a subpalindrome of the form $2p2$ longer than $2|w|$.

Proposition 3

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Proposition

At least one among the words $f_1(w)$ and $f_2(w)$ does not contain a subpalindrome of the form $2p2$ longer than $2|w|$.

- In proving this result, we used a geometric approach in one part of the proof. We defined certain mappings on sequences of letters in a word, inspired by Euclidean isometries—especially reflections and translations—and used these mappings in our analysis.

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Propositions 4–5

Propositions 4–5

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Proposition

At least one among the words $f_1(w)$ and $f_2(w)$ does not contain a subpalindrome of the form $3p3$ longer than $2|w|$.

Propositions 4–5

Introduction

On words

On palindromes

Binary case

Definitions

Some interesting
roles of
subpalindromes

The main theorem

n -ary case

Definitions

Well-definedness for
 $n \geq 4$

On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem

The proof

Putting everything
together

Proposition

At least one among the words $f_1(w)$ and $f_2(w)$ does not contain a subpalindrome of the form $3p3$ longer than $2|w|$.

Proposition

One of the following is true: the word $f_1(w)$ does not contain a subpalindrome of the form $2p2$ longer than $2|w|$, or the word $f_2(w)$ does not contain a subpalindrome of the form $3p3$ longer than $2|w|$. Analogously, the word $f_1(w)$ does not contain a subpalindrome of the form $3p3$ longer than $2|w|$, or the word $f_2(w)$ does not contain a subpalindrome of the form $2p2$ longer than $2|w|$.

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
**Putting everything
together**

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Recall: we claim that at least one of the words $f_1(w)$ and $f_2(w)$ does not have a subpalindrome whose length exceeds $2|w|$.

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Recall: we claim that at least one of the words $f_1(w)$ and $f_2(w)$ does not have a subpalindrome whose length exceeds $2|w|$.

	$f_1(w)$	$f_2(w)$
$0p0$		
$1p1$		
$2p2$		
$3p3$		

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Recall: we claim that at least one of the words $f_1(w)$ and $f_2(w)$ does not have a subpalindrome whose length exceeds $2|w|$.

	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$		
$2p2$		
$3p3$		

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Recall: we claim that at least one of the words $f_1(w)$ and $f_2(w)$ does not have a subpalindrome whose length exceeds $2|w|$.

	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$	\times	\times
$2p2$		
$3p3$		

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

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	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$	\times	\times
$2p2$	\times	
$3p3$		

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Recall: we claim that at least one of the words $f_1(w)$ and $f_2(w)$ does not have a subpalindrome whose length exceeds $2|w|$.

	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$	\times	\times
$2p2$	\times	
$3p3$	\times	

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

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	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$	\times	\times
$2p2$	\times	
$3p3$	\times	
	\checkmark	

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

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	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$	\times	\times
$2p2$	\times	
$3p3$	\in	

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

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	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$	\times	\times
$2p2$	\times	
$3p3$	\in	\times

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Recall: we claim that at least one of the words $f_1(w)$ and $f_2(w)$ does not have a subpalindrome whose length exceeds $2|w|$.

	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$	\times	\times
$2p2$	\times	\times
$3p3$	\in	\times

Putting everything together

Introduction

On words
On palindromes

Binary case

Definitions
Some interesting
roles of
subpalindromes
The main theorem

n -ary case

Definitions
Well-definedness for
 $n \geq 4$
On bounding the
MP-ratio from above

Ternary case

The main theorem

Quaternary case

The main theorem
The proof
Putting everything
together

Recall: we claim that at least one of the words $f_1(w)$ and $f_2(w)$ does not have a subpalindrome whose length exceeds $2|w|$.

	$f_1(w)$	$f_2(w)$
$0p0$	\times	\times
$1p1$	\times	\times
$2p2$	\times	\times
$3p3$	\in	\times
		\checkmark