

XML & Allied Technologies



XML Schemas



Quick Revision



- ✓ What is the diff. between PCDATA & CDATA?
- ✓ What is the diff. between internal & external DTD?
- ✓ Define some attribute types.
- ✓ What are the disadvantages of DTD?



XML schemas

- XML schema is an XML based **alternative to DTD**.
- An XML vocabulary for **expressing your data's business rules**.
- XML schemas are:
 - ✓ Written in **xml**.
 - ✓ **Extensible** for future use.
 - ✓ Support **non textual data types**.
- XML document that conforms to an XML schema is said to be “***schema valid***”.



XML schemas

- XML Schema is more advanced over DTDs:
 - **Enhanced datatypes**
 - ✓ 44+ .
 - ✓ Can create your own datatypes.
 - **Object-Oriented'ish**
 - ✓ Can **extend** or **restrict** a type
(derive new type definitions on the basis of old ones)
 - Can express sets, i.e., can define the child elements to occur in **any order** .(xs:sequence, xs:all, xs:choice)



XML Document

```
<?xml version="1.0"?>
  <note>
    <to>Tove</to>
    <from>Jani</from>
    <subject>Reminder</subject>
    <message>
      Don't forget me this weekend!
    </message>
  </note>
```



DTD Document

```
<?xml version="1.0"?>
  <note>
    <to>Tove</to>
    <from>Jani</from>
    <subject>Reminder</subject>
```

```
<!ELEMENT note (to,from,subject,message)>
<!ELEMENT to (#PCDATA)>
<!ELEMENT from (#PCDATA)>
<!ELEMENT subject (#PCDATA)>
<!ELEMENT message (#PCDATA)>
```



Simple Xml Schema Document

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
targetNamespace="http://www.w3schools.com"
xmlns=http://www.w3schools.com
elementFormDefault="qualified">

  <xs:element name="note">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="to" type="xs:string"/>
        <xs:element name="from" type="xs:string"/>
        <xs:element name="subject" type="xs:string"/>
        <xs:element name="message" type="xs:string"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```



Simple type elements

- EX. :

```
<price>12.3</price>
```

```
<xs:element name="price"  
            type="xs:decimal" />
```

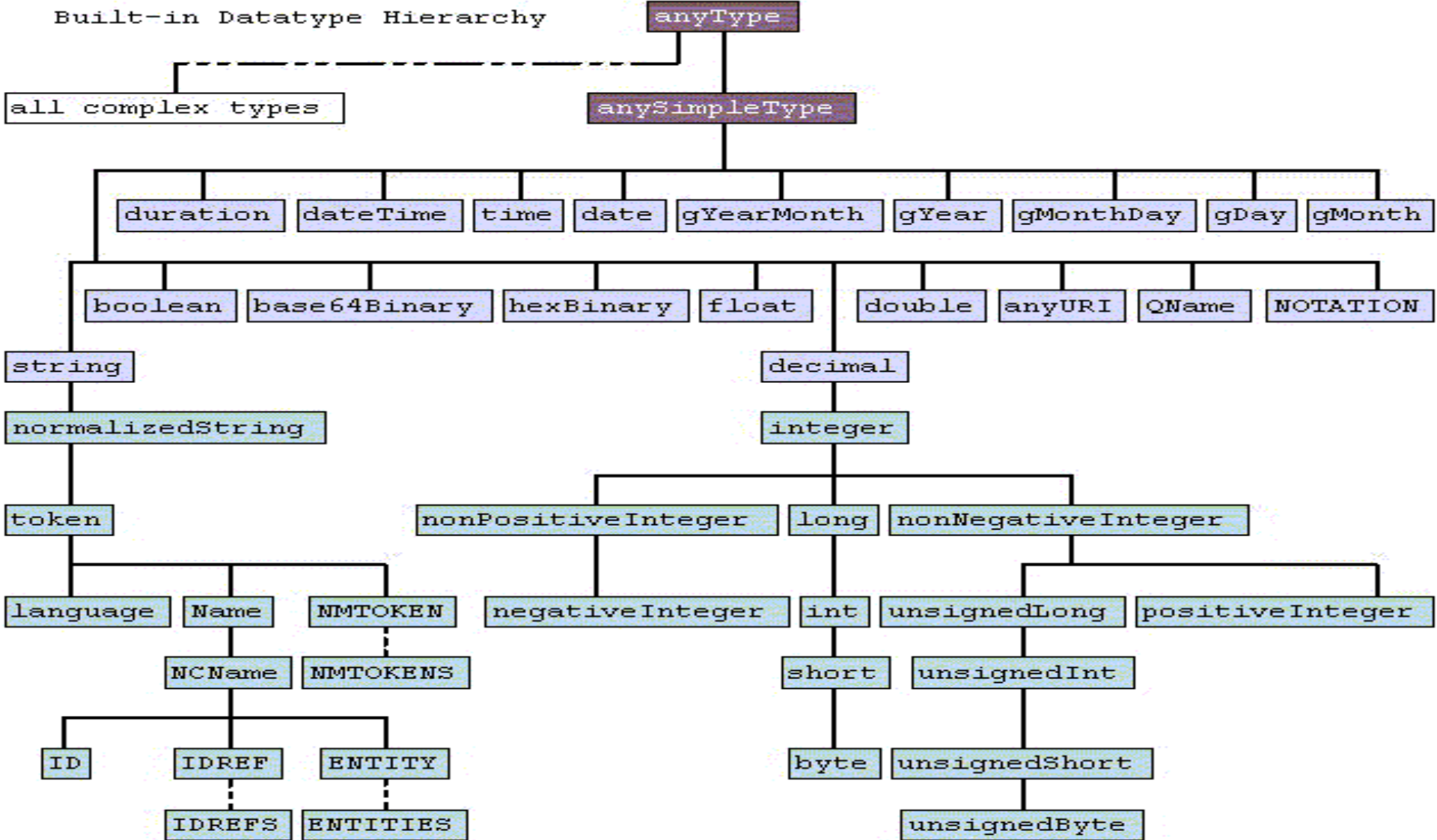
- **Simple type :**

- ✓ Predefined type.
- ✓ Derived from predefined type.

- **Complex type :**

Have either sub-elements or “*attributes*”

Built-in Datatype Hierarchy



- ur types
- built-in primitive types
- built-in derived types
- complex types

- derived by restriction
- derived by list
- derived by extension or restriction



Built-in Simple Types

Predefined Datatypes

✓ String	→	"Hello World"
✓ boolean	→	{true, false, 1, 0}
✓ decimal	→	7.08
✓ float	→	12.56E3,12,12560,0,INF,-INF,NAN
✓ dateTime	→	<u>format:</u> YYYY-MM-DDThh:mm:ss
✓ time	→	<u>format:</u> hh:mm:ss.sss
✓ date	→	<u>format:</u> YYYY-MM-DD
✓ gYearMonth	→	<u>format:</u> YYYY-MM
✓ gYear	→	<u>format:</u> YYYY
✓ gMonthDay	→	<u>format:</u> MM-DD



Built-in Simple Types (cont.)

- **Derived types :**
 - ✓ **negativeInteger**
 - ✓ **Long**
 - ✓ **int**
 - ✓ **Short**
 - ✓ **Byte**
 - ✓ **nonNegativeInteger**
 - ✓ **unsignedLong**
 - ✓ **unsignedInt**
 - ✓ **unsignedShort**
 - ✓ **unsignedByte**
 - ✓ **positiveInteger**



Schema Data Types

■ Creating New Data Type:

- Definition :

- ✓ Create **new types** (both simple and complex types)

- Declaration :

- ✓ Enable elements and attributes with specific names and types (both simple and complex) to appear in document instances.



1] Create a combined Simple Type

- Schemas **provide data types** that can be combined together to produce tailored data types.

- **<!-- Definition -->**

```
<xs:simpleType name="mytype">  
  <xs:union memberTypes="xs:integer xs:string"/>  
</xs:simpleType>
```

- **<!-- Declaration -->**

```
<xs:element name="age" type="mytype"/>
```



2] Derived from existing simple type

- Derived from existing simple types (**predefined or derived**).
- Typically **restricting** existing simple type.
- The legal **range of values** for a new type is subset of the ones of existing type.
- Existing type is called **base** type.
- Use **restriction** element along with **facets** to restrict the range of values.



Example

```
<xs:simpleType name= "name">  
  <xs:restriction base= "xs:source">  
    <xs:facet value= "value" />  
    <xs:facet value= "value" />  
    ...  
  </xs:restriction>  
</xs:simpleType>
```

Facets:

length, maxlength, minlength
minInclusive, maxInclusive
minExclusive, maxExclusive,
Pattern, enumeration

Sources:

String, boolean,
number, float, double
, duration,
dateTime, time ...



Derived simple type (cont.)

- **Ex.:**

The string primitive datatype **optional** facets:

- ✓ **Length.**
- ✓ **minLength.**
- ✓ **maxLength.**
- ✓ **Pattern.**
- ✓ **Enumeration.**



Derived simple type (cont.)

```
<xs:simpleType name="TelephoneNumber">  
  <xs:restriction base="xs:string">  
    <xs:length value="8"/>  
    <xs:pattern value="\d{3}-\d{4}"/>  
  </xs:restriction>  
</xs:simpleType>
```

①

②

③

④

1. This creates a new datatype called 'TelephoneNumber'.
2. Elements of this type can hold string values.
3. But the string length must be exactly 8 characters long.
4. The string must follow the pattern: ddd-dddd,
where 'd' represents a 'digit'.



Example (enumeration)

```
<xs:simpleType name="shape">  
  <xs:restriction base="xs:string">  
    <xs:enumeration value="circle"/>  
    <xs:enumeration value="triangle"/>  
    <xs:enumeration value="square"/>  
  </xs:restriction>  
</xs:simpleType>
```

- **Patterns, enumerations** => "or" them together.
- **All other facets** => "and" them together.



Facets of the integer Datatype

- The integer data type optional facets:
 - ✓ totalDigits.
 - ✓ Pattern.
 - ✓ Enumeration.
 - ✓ minInclusive.
 - ✓ maxInclusive.
 - ✓ minExclusive.
 - ✓ maxExclusive.



Example (numeric range)

```
<xs:simpleType name="myInteger">  
  <xs:restriction base="xs:integer">  
    <xs:minInclusive value="10000"/>  
    <xs:maxInclusive value="99999"/>  
  </xs:restriction>  
</xs:simpleType>
```

- minInclusive & maxInclusive are *facets* to *integer* type



Example (numeric range)

```
<simpleType name="lessthanonehundred-and-one">  
  <restriction base='integer'>  
    <maxExclusive value='101' />  
  </restriction>  
</simpleType>
```

- Limits values to integers less than or equal to 100.



Example(numeric range)

```
<simpleType name='more-than-ninety-nine'>  
  <restriction base='integer'>  
    <minExclusive value='99' />  
  </restriction>  
</simpleType>
```

- Limits values to integers greater than or equal to 100.



3] Creating simpleType from created simpleType

- We have created a simpleType using one of the built-in data types (by restricting our base type).
- However, we can create a simpleType that **restricts another simpleType** as the base.



Example

```
<xs:simpleType name="EarthSurfaceElevation">  
  <xs:restriction base="xs:integer">  
    <xs:minInclusive value="-1290"/>  
    <xs:maxInclusive value="29035"/>  
  </xs:restriction>  
</xs:simpleType>
```

```
<xs:simpleType name="BostonAreaSurfaceElevation">  
  <xs:restriction base="EarthSurfaceElevation">  
    <xs:minInclusive value="0"/>  
    <xs:maxInclusive value="120"/>  
  </xs:restriction>  
</xs:simpleType>
```




Fixing a Facet Value

- Sometimes when we define a simpleType we want to require that one (or more) facet have an unchanging value. That is, we want to make the facet a **constant**.

```
<xs:simpleType name= "ClassSize">  
  <xs:restriction base="xs:nonNegativeInteger">  
    <xs:minInclusive value="10" fixed="true"/>  
    <xs:maxInclusive value="60"/>  
  </xs:restriction>  
</xs:simpleType>
```



1] Complex types

- Defined using “*complexType*” element typically contain :
 - ✓ Element declarations .
 - ✓ *Attribute* declarations .
 - ✓ *Element* references .



a. Complex Types contain(text + attributes) only

```
<name age="24">ahmed</name>
```

```
<xs:element name="name" >  
  <xs:complexType>  
    <xs:simpleContent>  
      <xs:extension base="xs:string">  
        <xs:attribute name="age"  
          type="xs:integer"/>  
      </xs:extension>  
    </xs:simpleContent>  
  </xs:complexType>  
</xs:element >
```



b. Complex Types contain Nested Elements only

```
<person>
  <firstname>Mohamed</firstname>
  <lastname>Ahmed</lastname>
</person>
```

```
<xs:element name="person">
  <xs:complexType>
    <xs:sequence>(xs:all) (xs:choice)
      <xs:element name="firstname"
        type="xs:string"/>
      <xs:element name="lastname"
        type="xs:string"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
```



c. Complex Types contain Nested + attributes only

```
<father job="Engineer">  
  <mother>hoda</mother>  
  <daughter>Kareema</daughter>  
</father>
```

```
<xs:element name="father">  
  <xs:complexType mixed="false">  
    <xs:sequence>  
      <xs:element name="mother" type="xs:string"/>  
      <xs:element name="daughter" type="xs:string"/>  
    </xs:sequence>  
    <xs:attribute name="job" type="xs:string"  
      default="Engineer"/>  
  </xs:complexType>  
</xs:element>
```



d. Complex Types mixed content(Nested + Text)

```
<salutation>DearMr  
    <name>RobertSmith</name>  
</salutation>
```

```
<xs:element name="salutation">  
  <xs:complexType mixed="true">  
    <xs:sequence>(xs:all)  
      <xs:element name="name" type="xs:string"/>  
    </xs:sequence>  
  </xs:complexType>  
</xs:element>
```



e. Empty Content (selfclosed)

```
<internationalPrice currency="EUR" />
```

```
<xs:element name="internationalPrice">  
  <xs:complexType>  
    <xs:complexContent>  
      <xs:restriction base="xs:anyType">  
        <xs:attribute name="currency"  
          type="xs:string" />  
      </xs:restriction>  
    </xs:complexContent>  
  </xs:complexType>  
</xs:element>
```



Occurrences of elements

<xs:sequence>

<xs:element name="person" minOccurs="1">

</xs:sequence>

- **minOccurs**
- **maxOccurs**
- **fixed = "Hi"**: If the element appears, the value **must** be "Hi", otherwise the value is set to "Hi" by the parser.
- **default = "Hi"**: If the element appears, the value is set to what is specified, otherwise value is set to "Hi" by the parser



Occurrences of attributes

- Attributes can occur once or not at all
 - ✓ **use** attribute (required / optional).
 - ✓ **default** attribute.
 - ✓ **fixed** attribute .

```
<xs:attribute name="age" type="xs:integer"
              use="required" fixed="22"/>
```

```
<xs:attribute name="age" type="xs:integer"
              use="required" />
```

```
<xs:attribute name="age" type="xs:integer"
              use="optional" fixed="22"/>
```

```
<xs:attribute name="age" type="xs:integer"
              use="optional" default="22"/>
```



Elements Occurrences Examples

```
<xs:element name="test" type="xs:string"
  minOccurs="1" maxOccurs="1"
  minOccurs="2" maxOccurs="unbounded"
  minOccurs="1" maxOccurs="1" fixed="Hi"
  minOccurs="0" maxOccurs="1" default="Hi"
>
```

```
<xs:element name="grandmother" type="xs:string"
  minOccurs="0"
  maxOccurs="unbounded" />
```



2] Create Complex Type from another created complex type

- We can do a form of subclassing complexType definitions.
- We call this "derived types":
 - ✓ Derive by **extension**:
 - extend the parent complexType with more element.
 - ✓ Derive by **restriction**:
 - create a type which is a subset of the base type. There are two ways to subset the elements



Derived by extension

```
<xs:complexType name="Publication">
  <xs:sequence>
    <xs:element name="Title" type="xs:string"
      maxOccurs="unbounded"/>
    <xs:element name="Author" type="xs:string"
      maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="BookPublication">
  <xs:complexContent>
    <xs:extension base="Publication" >
      <xs:sequence>
        <xs:element name="ISBN" type="xs:string"/>
      </xs:sequence>
    </xs:extension>
  </xs:complexContent>
</xs:complexType>
```

Derived by Restriction

```
<xs:complexType name="Publication">
  <xs:sequence>
    <xs:element name="Title" type="xs:string"
      maxOccurs="unbounded"/>
    <xs:element name="Author" type="xs:string"
      maxOccurs="unbounded"/>
  </xs:sequence></xs:complexType>

<xs:complexType name="SingleAuthorPublication">
  <xs:complexContent>
    <xs:restriction base="Publication">
      <xs:sequence>
        <xs:element name="Title" type="xs:string"
          maxOccurs="unbounded"/>
        <xs:element name="Author" type="xs:string"/>
      </xs:sequence>
    </xs:restriction>
  </xs:complexContent>
</xs:complexType>
```



Prohibiting Derivations

- Publication cannot be extended nor restricted:

`<xs:complexType name="Publication" final="#all" >`

- Publication cannot be restricted:

`<xs:complexType name="Publication" final="restriction" >`

- Publication cannot be extended:

`<xs:complexType name="Publication" final="extension" >`



Elements References

- Used for code organization.
- Must define the element we reference to.

```
<xs:element name="family">
  <xs:complexType>
    <xs:sequence>
      <xs:element ref="son" minOccurs="2"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>

<xs:element name="son" type="xs:string"/>
```



Group Element

- The group element enables you to **group** together **element declarations**.

Note:

The group element is just for grouping together element declarations, **no attribute declarations allowed!**



Group Element

```
<xs:element name="Book" >  
  <xs:complexType>  
    <xs:sequence>  
      <xs:group ref="PublicationElements"/>  
    </xs:sequence>  
  </xs:complexType>  
</xs:element>
```

```
<xs:group name="PublicationElements">  
  <xs:sequence>  
    <xs:element name="Title" type="xs:string"/>  
    <xs:element name="Author" type="xs:string"  
      maxOccurs="unbounded"/>  
    <xs:element name="Date" type="xs:string"/>  
  </xs:sequence>  
</xs:group>
```



General examples

Example 1:

Consider the case where we need to Convert the following *BookStore.dtd* file to the XML Schema syntax:

```
<!ELEMENT BookStore (Book)+>  
<!ELEMENT Book (Title, Author, Date, ISBN, Publisher)>  
<!ELEMENT Title (#PCDATA)>  
<!ELEMENT Author (#PCDATA)>  
<!ELEMENT ISBN (#PCDATA)>
```

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
            targetNamespace="http://www.books.org" elementFormDefault="qualified">
```

```
  <xs:element name="BookStore">
    <xs:complexType>                                <!ELEMENT BookStore (Book)+>
      <xs:sequence>
        <xs:element ref="Book" minOccurs="1" maxOccurs="unbounded"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
```

```
  <xs:element name="Book">
    <xs:complexType>                                <!ELEMENT Book (Title, Author, ISBN)>
      <xs:sequence>
        <xs:element ref="Title" minOccurs="1" maxOccurs="1"/>
        <xs:element ref="Author" minOccurs="1" maxOccurs="1"/>
        <xs:element ref="ISBN" minOccurs="1" maxOccurs="1"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
```

```
  <xs:element name="Title" type="xs:string"/> <!ELEMENT Title (#PCDATA)>
```

```
  <xs:element name="Author" type="xs:string"/> <!ELEMENT Author (#PCDATA)>
```

```
  <xs:element name="ISBN" type="xs:string"/> <!ELEMENT ISBN(#PCDATA)>
```

```
</xs:schema>
```



General examples

Example 2:

```
<!ELEMENT BookStore (Book+)>
<!ELEMENT Book (Title, Author)>
<!ATTLIST Book
  Category (autobiography | fiction) #REQUIRED
  InStock (true | false) "false" >
<!ELEMENT Title (#PCDATA)>
<!ELEMENT Author (#PCDATA)>
```

Note: - I can use **attribute reference** .

- I can use **attribute group** .

- I can specify a user defined simple type within the **attribute** if it wont be reused.

Attribute using ref

```
<xs:element name="Book" maxOccurs="unbounded">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="Title" type="xs:string"/>
      <xs:element name="Author" type="xs:string"/>
    </xs:sequence>
    <xs:attribute ref="Category" use="required"/>
    <xs:attribute name="InStock" type="xs:boolean"
      default="false"/>
  </xs:complexType>
</xs:element>
<xs:attribute name="Category">
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:enumeration value="autobiography"/>
      <xs:enumeration value="fiction"/>
    </xs:restriction>
  </xs:simpleType>
</xs:attribute>
```

Attribute group ref.

```
<xs:element name="BookStore">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="Book" maxOccurs="unbounded">
        <xs:complexType>
          <xs:sequence>
            <xs:element name="Title" type="xs:string"/>
            <xs:element name="Author" type="xs:string"/>
          </xs:sequence>
          <xs:attributeGroup ref="BookAttributes"/>
        </xs:complexType>
      </xs:element>
    </xs:sequence>
  </xs:complexType>
</xs:element>
<xs:attributeGroup name="BookAttributes">
  <xs:attribute name="Category" use="required">
    <xs:simpleType>
      <xs:restriction base="xs:string">
        <xs:enumeration value="autobiography"/>
        <xs:enumeration value="fiction"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:attribute>
  <xs:attribute name="InStock" type="xs:boolean" default="false"/>
</xs:attributeGroup>
```

The diagram illustrates the structure of the `BookAttributes` attribute group. It consists of two attributes: `Category` and `InStock`. The `Category` attribute is of type `xs:string` with a restriction to the enumeration values `autobiography` and `fiction`, and it is required. The `InStock` attribute is of type `xs:boolean` with a default value of `false`. Arrows point from the `BookAttributes` group definition to the details of each attribute.

Category (autobiography | fiction) #REQUIRED

InStock (true | false) "false"



Schema file

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"-----(1)
    targetNamespace=http://www.books.org- - - - - (2)
    elementFormDefault="qualified">-----(3)
.....
</xs:schema>
```

- 1) The elements and datatypes that are used to construct schemas: *schema*, *Element*, *complexType*, *Sequence* and *string* come from the `http://.../XMLSchema` namespace.
- 2) Says that the elements defined by this schema BookStore: *Book*, *Title*, *Author*, *Date*, *ISBN* and *Publisher* are to go in this namespace.
- 3) This is to imply to any instance document which conforms to this schema:
Any elements used by the instance document which were declared in this schema must be namespace qualified by the namespace specified by target Namespace.

Note: The “target Namespace” (2) attribute is removed when elements aren’t to go to any namespace.



Referencing a schema in an XML instance document

```
<?xml version="1.0"?>
<BookStore xmlns="http://www.books.org"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://www.books.org/BookStore.xsd">
  OR
  xsi:noNamespaceSchemaLocation="fname.xsd">
  ...
</BookStore>
```

①

③

②

1. First, using a default namespace declaration, tell the schema-validator that all of the elements used in this instance document come from the Book namespace.
2. Second, with schemaLocation tell the schema-validator that the *http://www.books.org* namespace is defined by BookStore.xsd
In case Of no namespace associated, use
"xsi:noNamespaceSchemaLocation"
3. Third, tell the schema-validator that the schemaLocation attribute we are using is the one in the XMLSchema-instance namespace.