Lecture Notes of Spring 2013

# **Algorithms I**

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# TODO: Elementary Notions about Graphs

TODO

**Definition** 1.1

An undirected graph is a pair G=(V, E) where V is a set of nodes and E is a set of edges, together with a function i:  $E \to \gamma(v)$  such that  $0 < |i(e)| \le 2$ .

If u,v i(e) we call u,v endpoints of e. If V and E are a finite set we call G a finite graph. If  $i(e_1) = i(e_2)$  we call  $e_1$ ,  $e_2$  parallel edges.

If |i(e)| = 1 we call e a loop. The degree of a node v is the number of edges for which v is an endpoint where loops a counted twice. Is the degree of v = 0 then we call v isolated.

Example

TODO: Graphic missing!

## Lemma 1.1

In a finite graph the number of nodes with odd degree is even.

**Proof:**  $\sum_{i=1}^{n} degree(v_i) = 2 * |E|$ 

This is because we start with a graph, where each node is isolated. Then we insert one edge after another.

Case 1: i(e) = x then the degree of x is increased by 2

Case 2: i(e) = x, y then the degree of x and y are increased by 1

## **Definition** 1.2

TODO

# **Definition** 1.3

TODO

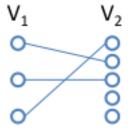
# Lemma 1.2

TODO

# **Definition** 1.4

Let G = (V, E) be a graph without loops. If there exists  $V_1, V_2 \le V$  and  $V_1 \cup V_2 = V$  such that  $V_1 \cap V_2 = \emptyset$  and every edge e has one endpoint in  $V_1$  and the other in  $V_2$ , then we call G a bipartite.

# **Example**



# **Definition** 1.5

A directed graph is a pair G = (V, E) where V is a set of nodes (vertices) and E is a set of edges together with a function i: E - > VxV. If  $i(e) = (v_1, v_2)$  then  $v_1$  is called start point,  $v_2$  is called end point.

# Graphically:

If  $i(e) = (v_1, v_2)$  we draw 1.

If  $i(e') = (v_1, v_2)$  then this indices a second edge (2.).

If  $i(e_1) = i(e_2)$  we call  $e_1, e_2$  parallel.

If i(e) = (v, v) then e is called a directed loop.

 $g_{out}(v)$  is the number of edges that have starting point v.

 $g_{in}(v)$  is the number of edges with endpoint v.

Lemma 1.3 
$$\sum_{v \in V} g_{in}(v) = \sum_{v \in V} g_{out}(v)$$

**Proof:** We start with a graph without edges. Then we insert one after the other edges in E. Each edge contributes 1 to both sides of the equation.

#### **Definition** 1.6

A directed path is a sequence of edges  $e_1, e_2$ ... such that the end point of  $e_i$  is the start point of  $e_1 + 1$ , i > 1([NW] + 1 seems strange to me, correct?).

A directed path  $e_1...e_k$  is called a (directed) <u>cycle</u>, if the start point of  $e_1$  and the end point of  $e_k$  coincide.

A simple (directed) path is a path where every node occurs at most once.

A directed cycle is called simple if every node except for the start and end node occurs at most once.

# **Definition** 1.7

A graph directed or undirected is called simple, if it does not contain parallel edges.

#### **Definition** 1.8

A directed graph is called <u>strongly connected</u> if for any pair of nodes (u,v) there is a direct path from u to v.

Let G be a directed graph G = (V, E).  $x, y \in Vx \sim y$  ([NW] does ~mean are "connected"?) if there is a directed path from x to y and vice versa.

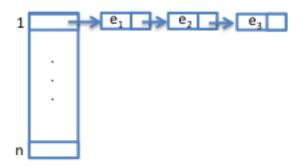
The equivalence classes of this relation cVxV are called strongly connected components. (Analogously: Define connected components for undirected graphs)



We should know how the following terms are defined: reflexivity, symetry, transitivity.

# Implementation:

1. Adjacency Lists  $V = 1...n, E = e_1...e_t$ 



2. Dynamically changing graphs:

e.g. multi user databses: Nodes ≡ transactions of user; Edges ≡ waiting situations



Graph is used to detect dead locks. Waiting arises when data are locked by a user that modifies these data.

 $U_1$  write(d), read(d')

 $U_2 read(d), write(d')$ 

## **Definition** 1.9

An undirected graph is called a tree if it is connected and does not have simple cycles. Let G be a directed graph, G = (V, E). A node r is called root if every other node can be reached from r via a directed path.

A directed graph is called a tree if it has a root and the underlying undirected graph is a tree.

Let G be a directed graph. A node is called source if  $g_{in}(v) = 0$ . v is called sink if  $g_{out}(v) = 0$ 

# Lemma 1.4

NW: what was lemma 1.3? the next one was 1.4 in my notes

# Lemma 1.5

If G = (V, E) is a directed graph without directed cycles, then tere is always a source and sink.

We use this theorem to detect cycles

**Proof: Source (sink analogously):** Select an arbitrary node  $v_1$ . If  $v_1$  is a source we are done. If it is not, then there must be an edge  $e_1$  leading to it  $v_2 \stackrel{e_1}{\longrightarrow} v_1$ .

If  $v_2$  is a source we are done. If not, there must be an edge  $e_2$  leading to it  $v_3 \stackrel{e_2}{\longrightarrow} v_2 \stackrel{e_1}{\longrightarrow} v_1$ . We continue this process. It must stop because there are only finitely many nodes and if a node would appear once more on such a path, there would be a directed cycle.

# 2

# **Euler Graphs and Hamilton Graphs**

TODO

# 2.1 Euler Graphs

# 2.1.1 Euler 1736: Königsberger Brückenproblem

Is it possible to do a round walk crossing every bridge exactly once?



# Example 2.1



# **Definition** 2.1

Let G be a finite undirected graph. A path  $e_1..e_t$  is called a euler path if every edge in E occurs exactly once in the list.

A graph is a euler graph if it has a euler path.

#### **Theorem** 2.1

A finite connected graph is a euler graph if and only if:

- i) It ha eiter exactly two nodes of odd degree. or
- ii) All nodes have even degree.

In the last case the path is a cycle. In the first case no euler path is a cycle. Check is possible in linear time.

**Proof:** ">" Let G = (V, E) be a graph that has a euler path that is not a cycle. Let |E| = k  $\circ \xrightarrow{e_1} \circ \xrightarrow{e_2} ... \circ \xrightarrow{e_k}$  In this path  $v_1$  and  $v_{k+1}$  have od degreee and all other nodes have even degree. Now consider the case teht G has a euler cycle.



Hence every node has even degree.

" < " Let G be a graph with exactly two nodes with odd degree, let this be a and b. We contradict a euler path as follows:

Start at node *a* and follow an edge ?inktt? on a.  $a \circ \rightarrow \cdots \rightarrow ... \circ b$ 

Case 1: All edges have been used -> done

Case 2: Still edges unused. Then because G is connected there must be some node v on the path from which there is an unused edge. We construct a path starting from v as before. This path must end in v.

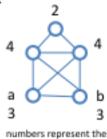
 $\Rightarrow$  Repeat until there are no more unused edges.

Analogously we proceed when the degree of all nodes is even.



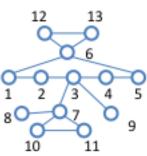
# Example

1.



degree of each edge

2.



numbers identify the nodes

In the directed case a directed Euler path is a directed path on which every edge appears exactly once. Directed Euler cycle analogously.

## Theorem 2.2

A finite directed graph is a directed Euler graph if and only if its underlying undirected graph is connected.

- i) There is one node a with  $g_{out}(a) = g_{in}(a) + 1$  and another node  $bg_{out}(b) = g_{in}(b) + 1$  and for all other nodes  $vg_{in}(v) = g_{out}(v)$ . Or
- ii) For all nodes  $g_{in}(v) = g_{out}(v)$  (directed Euler cyle)

# 2.2 Hamiltonian Graphs

# **Definition** 2.2

Let G = (V, E) be a graph. A Hamiltonian cycle C is a cycle on which every node  $\in V$  occurs exactly once. If G has a Hamiltonian cycle it is called Hamiltonian.

# Example

1.



is hamiltonian!

2.



is hamiltonian!

3.



not hamiltonian!

The problem, given an arbitrary undirected graph: Is it Hamiltonian? ⇒ NP complete ⇒ no polinomial time algorithm is known and it is assumed there is no such.

One way out of the complexity issue is to derive conditions that can be tested explicitly and if they are satisfied the desired property is ensured.

#### Theorem 2.3

Let G = (V, E) be an undirected finite graph without loops and without parallel edges. Let |V| = n. If for all  $x, y \in V$  with  $x \neq y$  and no edge with end points x, y the following holds:

 $deg(x) + deg(v) \ge |V| = n$ 

Then *G* has a Hamiltonian Cycle.

# **Example**







**Proof:** Assume there is a graph G = (V, E) with  $deg(x) + deg(y) \ge |V|$  for all x and y with  $x \neq y$  and no edges between them, but is not Hamiltonian. Among all graphs with nodes in V, we choose one that has this property and has the maximal number of edges, we call graph  $G_0 = (V, E_0)$ . As the complete graph (every node is connected with every other node) is Hamiltonian, we know there must be an edge e connecting some x and y and  $e \in E_0$ .

We add edge e to the graph and obtain a new graph  $G_1 = (V, E_1)$  that still satisfies the degree conditions and must be Hamiltonian because  $G_0$  was the one with the largest number of edges. We know that the Hamiltonian cycle must contain the edge e.



 $v_i \neq v_i fori \neq j$ 

 $S = \{v_i : 1 \le i \le n \text{ x,y are connected with an edge in } E_0\}$  $T = \{v_i : 1 \le i \le n \text{ there is an edge between } y \text{ and } v_i \text{ in } E_0\}$ 

# Observation:

i) 
$$y = v_n \in S \cup T$$

ii) 
$$|S \cup T| < |V| = n$$

iii) 
$$deg(x) = |S|$$
  
 $deg(y) = |T|$ 

Hence  $S \cap T \neq \emptyset$ ,  $let v_j \in S \cap T$  hence there is an edge between  $x, v_{j+1}$  and an edge between  $y, v_j$ . Now remove edge e and there is a Hamiltonian left.

Cost of checking the condition  $O(M^2)|E| \le |V|^2$ 



# 2.3 Bipartite Graphs

# Example

1. 2. 3. bipartite bipartite bipartite bipartite cc3 bipartite if and only if n is even

## **Theorem** 2.4

Let G = (V, E) be a connected undirected graph without loops and parallel edges. G is bipartite if and only if it does not contain any circle of odd length.

Corollary: All trees are bipartite

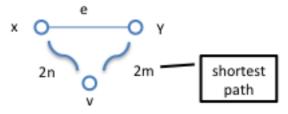
**Proof:**  $\Rightarrow$  IF G contains a cicle of odd length then it is not bipartite.  $\Leftarrow$  Let G not have any circle of odd length we choose node v.

 $V_1 = \{u \in V \text{ a shortest path between u and v is of odd length}\}\$ 

 $V_2 = \{u \in V \text{ a shortest path between u and v is of even length}\}\$ 

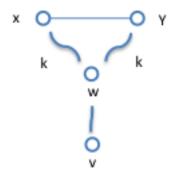
 $V \in V_2, V = V_1 \dot{\cup} V_2$  (disjoint union)

Claim: Ther is no edge e with both endpoints in  $V_1$  respectively  $V_2$ . Assume there is an edge e with both endpoints in  $V_1$ . Let the end points be x, y



 $2m \le 2n + 1$  and  $2n \le 2m + 1 \Rightarrow m = n$ 

Let P(x) a shortest path from v to x, analogously P(y) let w be the last node on the paths starting at v that lies on both paths.

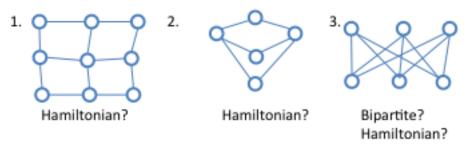


The length of the path from w to x coincides with the length of path from w to y. The circle w - x - y - w is of odd length i.e.  $2k + 1 \Rightarrow$  contradiction!

Corollary 2.5: A bipartie graph with an odd number of nodes cannot be Hamiltionian

**Proof:** Assume if were Hamiltionian then there is a cycle where node appears exactly once. This cycle is of odd length  $\Rightarrow$  contradicts Theorem 2.4

# Example 2.2



- ⇒ We have two theorems to check:
  - i) Count degrees
  - ii) Corollary 2.5

# TODO

TODO