

various

Lecture Notes of Spring 2013

# **Algorithms I**

University of Mannheim  
2013

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# Contents

<b>1</b>	<b>TODO: Elementary Notions about Graphs</b>	<b>4</b>
<b>2</b>	<b>Euler Graphs and Hamilton Graphs</b>	<b>9</b>
2.1	Euler Graphs . . . . .	9
2.1.1	Euler 1736: Königsberger Brückenproblem . . . . .	9
2.2	Hamiltonian Graphs . . . . .	11
2.3	Bipartite Graphs . . . . .	13
<b>3</b>	<b>(Network) Flow Problems</b>	<b>16</b>
3.1	Network Flow Problems . . . . .	16

# 1

## TODO: Elementary Notions about Graphs

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### Definition 1.1

An undirected graph is a pair  $G=(V, E)$  where  $V$  is a set of nodes and  $E$  is a set of edges, together with a function  $i: E \rightarrow \gamma(v)$  such that  $0 < |i(e)| \leq 2$ .

If  $u, v \in i(e)$  we call  $u, v$  endpoints of  $e$ . If  $V$  and  $E$  are a finite set we call  $G$  a finite graph. If  $i(e_1) = i(e_2)$  we call  $e_1, e_2$  parallel edges.

If  $|i(e)| = 1$  we call  $e$  a loop. The degree of a node  $v$  is the number of edges for which  $v$  is an endpoint where loops are counted twice. If the degree of  $v = 0$  then we call  $v$  isolated.

### Example

TODO: Graphic missing!

### Lemma 1.1

In a finite graph the number of nodes with odd degree is even.

**Proof:**  $\sum_{i=1}^n \text{degree}(v_i) = 2 * |E|$

This is because we start with a graph, where each node is isolated. Then we insert one edge after another.

Case 1:  $i(e) = x$  then the degree of  $x$  is increased by 2

Case 2:  $i(e) = x, y$  then the degree of  $x$  and  $y$  are increased by 1

We assume that  $v_1 \dots v_i$  have an even degree and  $v_{i+1} \dots v_n$  have odd degree.

---


$$\sum_{k=1}^i \text{degree}(v_k) + \sum_{k=i+1}^n \text{degree}(v_k) = 2 |E|$$

$\sum_{k=1}^i \text{degree}(v_k)$  is an even number

$\sum_{k=i+1}^n \text{degree}(v_k)$  must be an even number and hence the number of nodes with odd degree must be even

$2 |E|$  is an even number

### Definition 1.2

If  $G=(V,E)$  is a graph and  $v_1, v_2 \in V$  with  $i(e) = \{v_1, v_2\}$  then we say that  $v_1, v_2$  are neighbours. A path in  $G$  is a sequence of edges  $e_1, e_2, \dots$  such that:

- i)  $e_i, e_{i+1}$  share an endpoint
- ii) if  $e_i$  is not a loop and neither the first nor the last edge. Then  $e_i$  shares one endpoint with  $e_{i-1}$  and the other with  $e_{i+1}$  [MS: does this make sense? sounds strange!]

### Definition 1.3

TODO

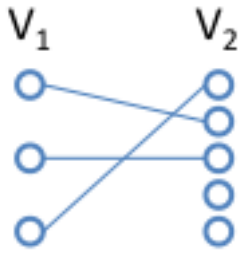
### Lemma 1.2

TODO

### Definition 1.4

Let  $G = (V, E)$  be a graph without loops. If there exists  $V_1, V_2 \subseteq V$  and  $V_1 \cup V_2 = V$  such that  $V_1 \cap V_2 = \emptyset$  and every edge  $e$  has one endpoint in  $V_1$  and the other in  $V_2$ , then we call  $G$  a **bipartite**.

### Example



#### Definition 1.5

A directed graph is a pair  $G = (V, E)$  where  $V$  is a set of nodes (vertices) and  $E$  is a set of edges together with a function  $i : E \rightarrow V \times V$ . If  $i(e) = (v_1, v_2)$  then  $v_1$  is called start point,  $v_2$  is called end point.

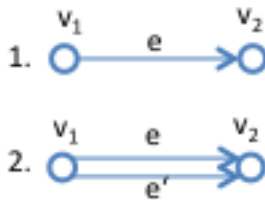
Graphically:

If  $i(e) = (v_1, v_2)$  we draw 1.

If  $i(e') = (v_1, v_2)$  then this indicates a second edge (2.).

If  $i(e_1) = i(e_2)$  we call  $e_1, e_2$  parallel.

If  $i(e) = (v, v)$  then  $e$  is called a directed loop.



$g_{out}(v)$  is the number of edges that have starting point  $v$ .

$g_{in}(v)$  is the number of edges with endpoint  $v$ .

#### Lemma 1.3

$$\sum_{v \in V} g_{in}(v) = \sum_{v \in V} g_{out}(v)$$

**Proof:** We start with a graph without edges. Then we insert one after the other edges in  $E$ . Each edge contributes 1 to both sides of the equation.

#### Definition 1.6

A directed path is a sequence of edges  $e_1, e_2, \dots$  such that the end point of  $e_i$  is the start point of  $e_{i+1}$ ,  $i \geq 1$  ( $[NW] + 1$  seems strange to me, correct?).

A directed path  $e_1 \dots e_k$  is called a (directed) cycle, if the start point of  $e_1$  and the end point of  $e_k$  coincide.

A simple (directed) path is a path where every node occurs at most once.

A directed cycle is called simple if every node except for the start and end node occurs at most once.

### Definition 1.7

A graph directed or undirected is called simple, if it does not contain parallel edges.

### Definition 1.8

A directed graph is called strongly connected if for any pair of nodes  $(u, v)$  there is a directed path from  $u$  to  $v$ .

Let  $G$  be a directed graph  $G = (V, E)$ .  $x, y \in V$   $x \sim y$  ([NW] does  $\sim$  mean are "connected"?) if there is a directed path from  $x$  to  $y$  and vice versa.

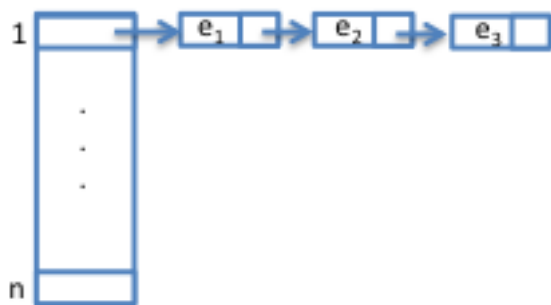
The equivalence classes of this relation  $cVxV$  are called strongly connected components. (Analogously: Define connected components for undirected graphs)



We should know how the following terms are defined: reflexivity, symmetry, transitivity.

Implementation:

1. Adjacency Lists  $V = 1 \dots n, E = e_1 \dots e_t$



2. Dynamically changing graphs:

e.g. multi user databases: Nodes  $\equiv$  transactions of user; Edges  $\equiv$  waiting situations

1	1	2
2	1	3
3	1	2

---

Graph is used to detect dead locks. Waiting arises when data are locked by a user that modifies these data.

$U_1 \text{ write}(d), \text{ read}(d')$

$U_2 \text{ read}(d), \text{ write}(d')$

**Definition 1.9**

An undirected graph is called a tree if it is connected and does not have simple cycles.

Let  $G$  be a directed graph,  $G = (V, E)$ . A node  $r$  is called root if every other node can be reached from  $r$  via a directed path.

A directed graph is called a tree if it has a root and the underlying undirected graph is a tree.

Let  $G$  be a directed graph. A node is called source if  $g_{in}(v) = 0$ .  $v$  is called sink if  $g_{out}(v) = 0$

**Lemma 1.4**

NW: what was lemma 1.3? the next one was 1.4 in my notes

**Lemma 1.5**

If  $G = (V, E)$  is a directed graph without directed cycles, then there is always a source and sink.

We use this theorem to detect cycles

**Proof: Source (sink analogously):** Select an arbitrary node  $v_1$ . If  $v_1$  is a source we are done. If it is not, then there must be an edge  $e_1$  leading to it  $v_2 \xrightarrow{e_1} v_1$ .

If  $v_2$  is a source we are done. If not, there must be an edge  $e_2$  leading to it  $v_3 \xrightarrow{e_2} v_2 \xrightarrow{e_1} v_1$ . We continue this process. It must stop because there are only finitely many nodes and if a node would appear once more on such a path, there would be a directed cycle.



# 2

## Euler Graphs and Hamilton Graphs

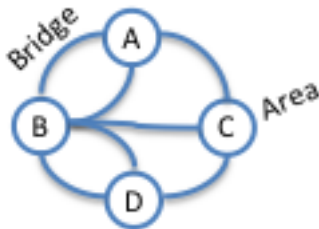
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### 2.1 Euler Graphs

#### 2.1.1 Euler 1736: Königsberger Brückenproblem

Is it possible to do a round walk crossing every bridge exactly once?



#### Example 2.1



#### Definition 2.1

Let  $G$  be a finite undirected graph. A path  $e_1..e_t$  is called a **euler path** if every edge in  $E$  occurs exactly once in the list.

A graph is a **euler graph** if it has a euler path.

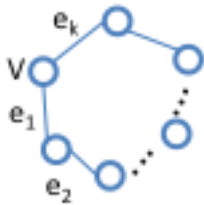
### Theorem 2.1

A finite connected graph is a euler graph if and only if:

- i) It has either exactly two nodes of odd degree. or
- ii) All nodes have even degree.

In the last case the path is a cycle. In the first case no euler path is a cycle. Check is possible in linear time.

**Proof:** " $>$ " Let  $G = (V, E)$  be a graph that has a euler path that is not a cycle. Let  $|E| = k$   
 $\circ \xrightarrow{e_1} \circ \xrightarrow{e_2} \dots \circ \xrightarrow{e_k}$  In this path  $v_1$  and  $v_{k+1}$  have odd degree and all other nodes have even degree.  
 Now consider the case that  $G$  has a euler cycle.



Hence every node has even degree.

" $<$ " Let  $G$  be a graph with exactly two nodes with odd degree, let this be  $a$  and  $b$ . We contradict a euler path as follows:

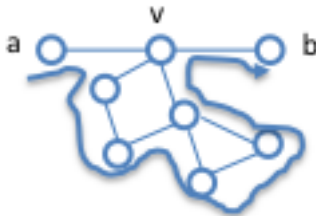
Start at node  $a$  and follow an edge on a.  $a \rightarrow \circ \rightarrow \dots \rightarrow \circ \rightarrow b$

Case 1: All edges have been used  $\rightarrow$  done

Case 2: Still edges unused. Then because  $G$  is connected there must be some node  $v$  on the path from which there is an unused edge. We construct a path starting from  $v$  as before. This path must end in  $v$ .

$\Rightarrow$  Repeat until there are no more unused edges.

Analogously we proceed when the degree of all nodes is even.





**Example**

1.



is hamiltonian!

2.



is hamiltonian!

3.



not hamiltonian!

The problem, given an arbitrary undirected graph: Is it **Hamiltonian**?  $\Rightarrow$  NP complete  $\Rightarrow$  no polynomial time algorithm is known and it is assumed there is no such.

One way out of the complexity issue is to derive conditions that can be tested explicitly and if they are satisfied the desired property is ensured.

**Theorem 2.3**

Let  $G = (V, E)$  be an undirected finite graph without loops and without parallel edges. Let  $|V| = n$ . If for all  $x, y \in V$  with  $x \neq y$  and no edge with end points  $x, y$  the following holds:

$$\deg(x) + \deg(y) \geq |V| = n$$

Then  $G$  has a **Hamiltonian Cycle**.

**Example**



$K_3$



$K_4$

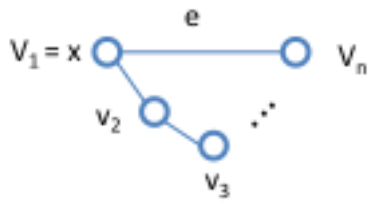


$K_5$

**Proof:** Assume there is a graph  $G = (V, E)$  with  $\deg(x) + \deg(y) \geq |V|$  for all  $x$  and  $y$  with  $x \neq y$  and no edges between them, but is not **Hamiltonian**. Among all graphs with nodes in  $V$ , we choose one that has this property and has the maximal number of edges, we call graph  $G_0 = (V, E_0)$ . As the complete graph (every node is connected with every other node) is **Hamiltonian**, we know there must be an edge  $e$  connecting some  $x$  and  $y$  and  $e \in E_0$ .

We add edge  $e$  to the graph and obtain a new graph  $G_1 = (V, E_1)$  that still satisfies the degree conditions and must be **Hamiltonian** because  $G_0$  was the one with the largest number of edges.

We know that the **Hamiltonian cycle** must contain the edge  $e$ .



$v_i \neq v_j$  for  $i \neq j$

$S = \{v_i : 1 \leq i \leq n \text{ x,y are connected with an edge in } E_0\}$

$T = \{v_i : 1 \leq i \leq n \text{ there is an edge between y and } v_i \text{ in } E_0\}$

Observation:

i)  $y = v_n \in S \cup T$

ii)  $|S \cup T| < |V| = n$

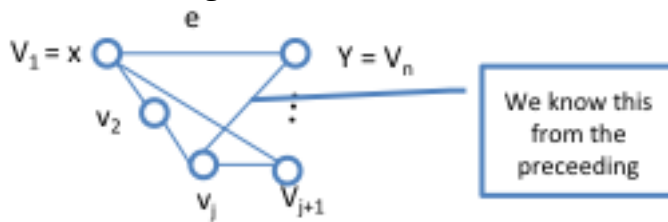
iii)  $\deg(x) = |S|$

$\deg(y) = |T|$

Hence  $S \cap T \neq \emptyset$ , let  $v_j \in S \cap T$  hence there is an edge between  $x, v_{j+1}$  and an edge between  $y, v_j$ .

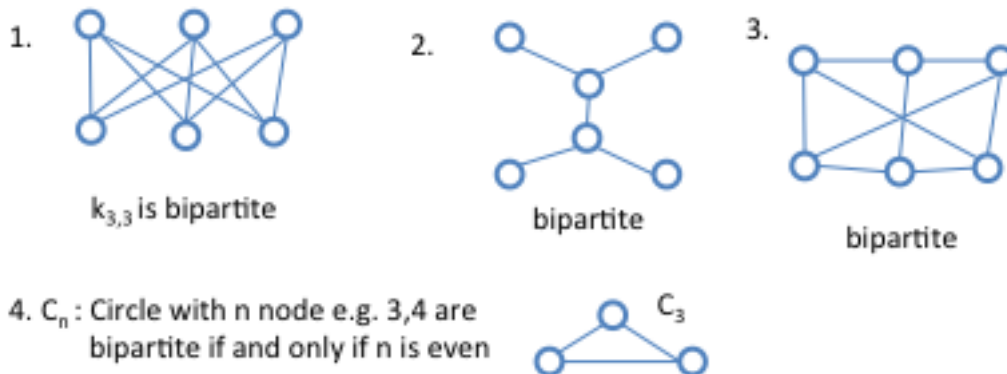
Now remove edge  $e$  and there is a Hamiltonian left.

Cost of checking the condition  $O(M^2)|E| \leq |V|^2$



## 2.3 Bipartite Graphs

**Example**



**Theorem 2.4**

Let  $G = (V, E)$  be a connected undirected graph without loops and parallel edges.  $G$  is bipartite if and only if it does not contain any cycle of odd length.

Corollary: All trees are bipartite

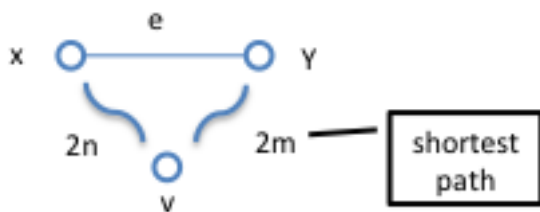
**Proof:**  $\Rightarrow$  IF  $G$  contains a cycle of odd length then it is not bipartite.  $\Leftarrow$  Let  $G$  not have any cycle of odd length we choose node  $v$ .

$V_1 = \{u \in V \text{ a shortest path between } u \text{ and } v \text{ is of odd length}\}$

$V_2 = \{u \in V \text{ a shortest path between } u \text{ and } v \text{ is of even length}\}$

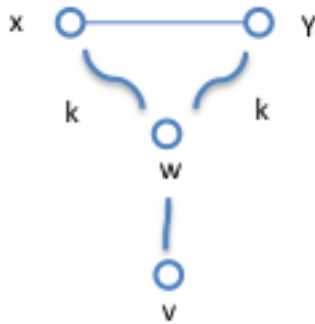
$V = V_1 \cup V_2$  (disjoint union)

Claim: There is no edge  $e$  with both endpoints in  $V_1$  respectively  $V_2$ . Assume there is an edge  $e$  with both endpoints in  $V_1$ . Let the endpoints be  $x, y$



$$2m \leq 2n + 1 \text{ and } 2n \leq 2m + 1 \Rightarrow m = n$$

Let  $P(x)$  a shortest path from  $v$  to  $x$ , analogously  $P(y)$  let  $w$  be the last node on the paths starting at  $v$  that lies on both paths.

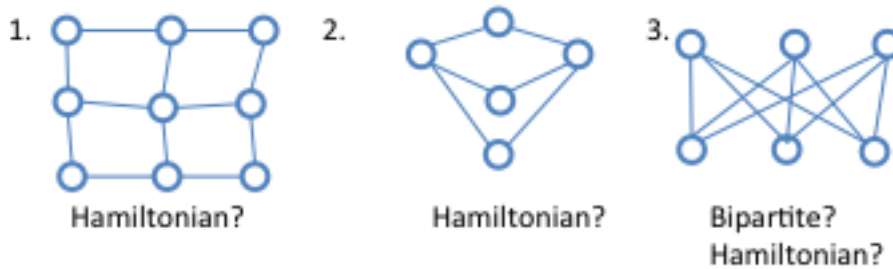


The length of the path from  $w$  to  $x$  coincides with the length of path from  $w$  to  $y$ .  
 The circle  $w - x - y - w$  is of odd length i.e.  $2k + 1 \Rightarrow$  contradiction!

Corollary 2.5: A bipartite graph with an odd number of nodes cannot be [Hamiltonian](#)

**Proof:** Assume if were Hamiltonian then there is a cycle where node appears exactly once.  
 This cycle is of odd length  $\Rightarrow$  contradicts Theorem 2.4

### Example 2.2



$\Rightarrow$  We have two theorems to check:

- i) Count degrees
- ii) Corollary 2.5

# 3

## (Network) Flow Problems

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### 3.1 Network Flow Problems

#### Example 3.1

Example: Oil field + transportation

#### Definition 3.1

A network  $N$  consists of

- i) A finite directed graph  $G = (V, E)$  without loops and parallel edges
- ii) a function  $c : E \rightarrow \mathbb{R}^+$ , which assigns a capacity to each edge
- iii) two designated nodes  $s$  and  $t$ , called **source** and **sink**

Short:  $N = (G, c, \{s, t\})$

#### Definition 3.2

Let  $N = (G, c, \{s, t\})$  be a network. A flow function on  $N$  is a function  $f : E \rightarrow \mathbb{R}$  such that

- $0 \leq f(e) \leq c(e), \forall e \in E$
- $\alpha(v) := \{e : \text{endpoint of } e \text{ is } v\}, v \in V$   
 $\beta(v) := \{e : \text{startpoint of } e \text{ is } v\}, v \in V$

For every  $v \in V \setminus \{s, t\}$

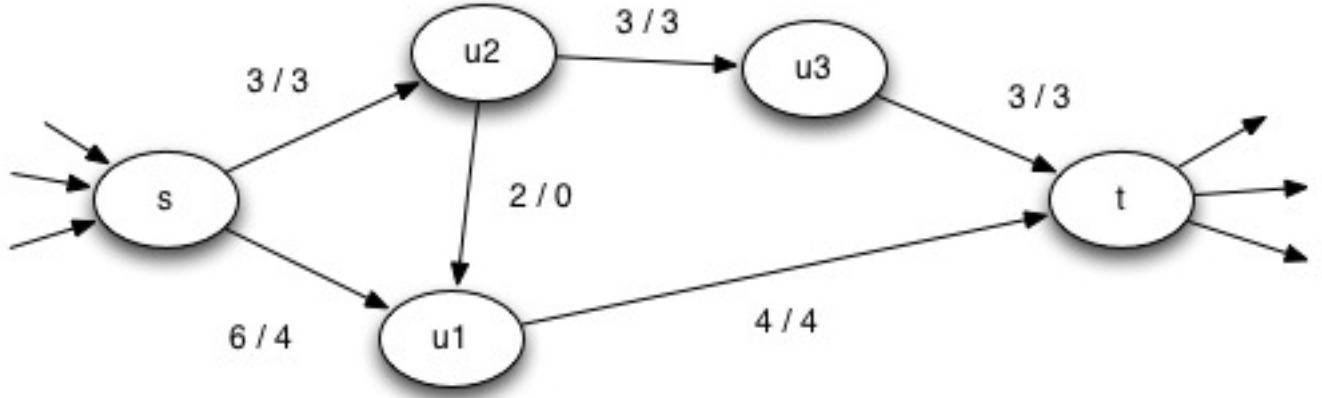
$$\sum_{e \in \alpha(v)} f(e) = \sum_{e \in \beta(v)} f(e)$$

This is called "**conservation function**".

The **total flow** of the flow function is given by  $F = \sum_{e \in \alpha(t)} f(e) - \sum_{e \in \beta(t)} f(e)$



**Example 3.2**



Notion: 6/4 describes the capacity and the flow of an edge. In this example the capacity of the edge is 6 and the flow is 4.

**Problem:**

Given an arbitrary network  $N$ , find a flow function  $f$ , where the total flow  $F$  is maximal.

**Definition 3.3**

Let  $N = (G, c, \{s, t\})$  be a network. Let  $S \subseteq V$  with  $s \in S, t \notin S$

$\bar{S} = V \setminus S$  (i.e.  $t \in \bar{S}$ )

$E_{S\bar{S}} = \{e : \text{all edges with starting point in } S \text{ and endpoint in } \bar{S}\}$

$E_{\bar{S}S} = \{e : \text{all edges with start point in } \bar{S} \text{ and end point in } S\}$

$E_{S\bar{S}} \cup E_{\bar{S}S}$  is the **cut** defined by  $S$ .

The capacity of a cut defined by  $S$ :  $c(S) = \sum_{e \in E_{S\bar{S}}} c(e)$

**Lemma 3.1**

Let  $N = (G, c, \{s, t\})$  be a network,  $f : E \rightarrow \mathbb{R}$  be a flow function then for any  $S \subseteq V$  with  $s \in S, t \notin S$ :

$$F = \sum_{e \in E_{S\bar{S}}} f(e) - \sum_{e \in E_{\bar{S}S}} f(e)$$

*Proof.*

$$F = \sum_{e \in \alpha(t)} f(e) - \sum_{e \in \beta(t)} f(e)$$

$$0 = \sum_{e \in \alpha(v)} f(e) - \sum_{e \in \beta(v)} f(e); \forall v \in \bar{S} \setminus \{t\}$$

We add all these equations up. Left hand side:  $F$  remains. Right hand side: Let  $x \xrightarrow{e} y$  be an edge. We need to consider 4 cases:

- i)  $x, y \in S$ , then the value  $f(e)$  does not occur in the summation
- ii)  $x, y \in \bar{S}$ , then  $f(e)$  occurs one time positive in the summation, namely for  $y$   
 $f(e)$  occurs one time negative in the summation, namely for  $x$
- iii)  $x \in S; y \in \bar{S}$ ,  $f(e)$  occurs positive for  $y$  and nowhere else and  $e \in E_{S\bar{S}}$
- iv)  $x \in \bar{S}; y \in S$ , then  $f(e)$  occurs negative for  $x$  and nowhere else and  $e \in E_{\bar{S}S}$

This leads to the following equation:

$$F = \sum_{e \in E_{S\bar{S}}} f(e) - \sum_{e \in E_{\bar{S}S}} f(e)$$

Only case 3 and 4 contribute. □

### Lemma 3.2

For every flow function  $f$  with total flow  $F$  and any set  $S \subseteq V$ ,  $s \in S$ ,  $t \notin S$

$$F \leq c(S)$$

*Proof.* From lemma 3.1 we know

$$F = \sum_{e \in E_{S\bar{S}}} f(e) - \sum_{e \in E_{\bar{S}S}} f(e) \leq \sum_{e \in E_{S\bar{S}}} f(e) \leq \sum_{e \in E_{S\bar{S}}} c(e) = c(S)$$

□

### Korollar 3.1 Max Flow - Min Cut Statement

If  $F = c(S)$  then the total flow  $F$  is maximal and the capacity of the cut defined by  $S$  is minimal.

*Proof.* Let  $F = c(S)$ , consider another flow function  $f'$  with total flow  $F'$ .

- i)  $F' \leq c(S)$  (Lemma 3.2) //  $F' \leq c(S) = F$   
Hence,  $f$  is a flow function with maximal total flow.
- ii) Let  $S'$  with  $s \in S'$ ,  $t \notin S'$  be given.  $c(S) = F \leq c(S')$ . Hence the capacity  $c(S)$  is minimal among all other capacities.

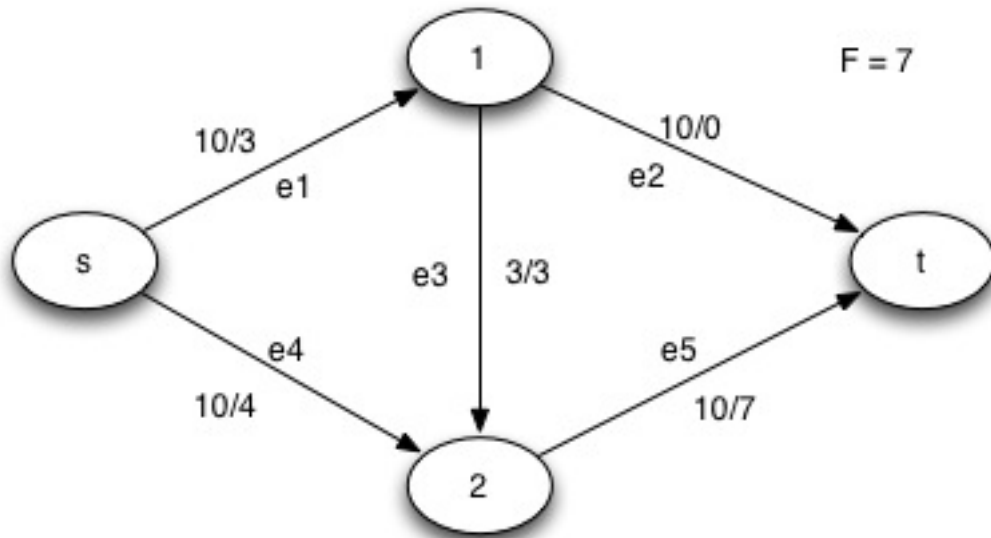
□

An **augmenting path** is a simple path from  $s$  to  $t$ , that is not necessarily directed. And for which the following two cases hold: Let  $e$  be an edge on this path:

i)  $s \rightarrow \circ \rightarrow \circ \rightarrow \dots \rightarrow \underset{s_i}{\circ} \xrightarrow{e} \underset{s_{i+1}}{\circ} \dots \underset{t}{\circ}$  then we request that  $f(e) < c(e)$

ii)  $s \rightarrow \dots \underset{s_i}{\circ} \xleftarrow{e} \underset{s_{i+1}}{\circ} \dots \underset{t}{\circ}$  then we request that  $f(e) > 0$

**Example 3.3**



Which of the following is an augmenting path?

- $e_1 e_2$
- $e_1 e_3 e_5$
- $e_4 e_3 e_2$
- $e_4 e_5$

Solution:

The first, third and fourth example are augmenting paths. The second path violates case 1.

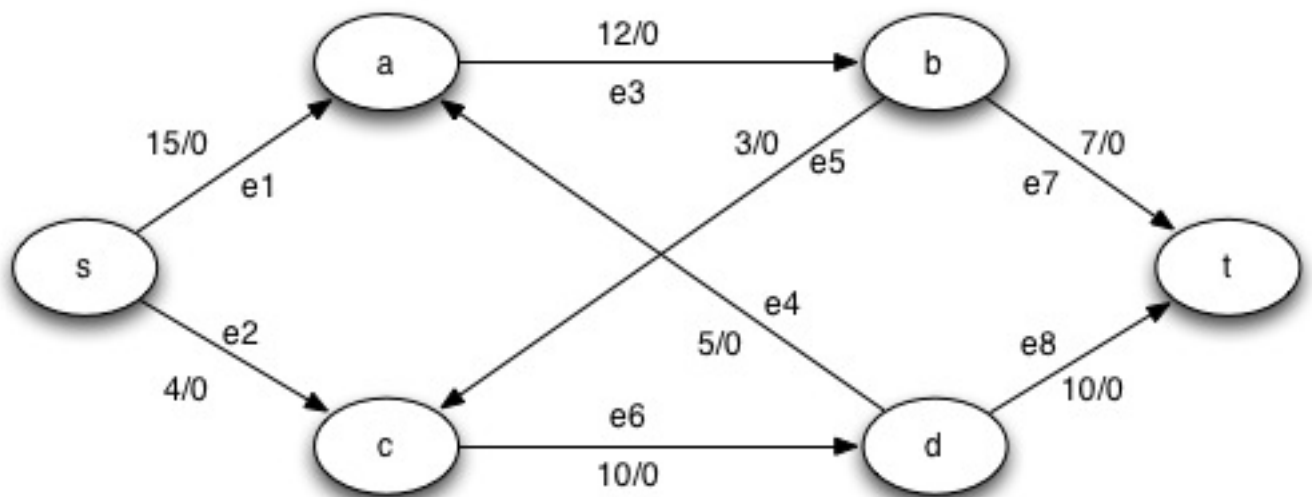
We use  $e_4 e_3 e_2$  to improve the flow function as follows:

- For forward edges  $e : c(e) - f(e)$ :
  - $e_4 : 6$
  - $e_2 : 10$
- For backward edges  $e : f(e)$ 
  - $e_3 : 3$

We chose the minimum from the values and add the value to the flow of forward edges and subtract it from backward edges. The flows of the edges change as follows:

- $e_4$  10/7
- $e_2$  10/3
- $e_3$  3/0

**Example 3.4**



Augmenting path:

$s^* \xrightarrow{e_2} c^* \xrightarrow{e_6} d^* \xrightarrow{e_4} a^* \xrightarrow{e_3} b^* \xrightarrow{e_7} t^*$

Compute deltas:

- $\Delta_{(e_2)} = 4$
- $\Delta_{(e_6)} = 10$
- $\Delta_{(e_4)} = 5$
- $\Delta_{(e_3)} = 12$
- $\Delta_{(e_7)} = 7$

The minimum  $\Delta$  is 4, so the flow of the edges will be increased by 4.

- $e_2 = 4/4$
- $e_6 = 10/4$
- $e_4 = 5/4$
- $e_3 = 12/4$
- $e_7 = 7/4$

The next steps or paths would be:

- $s \rightarrow a \rightarrow b \rightarrow c \rightarrow d \rightarrow t$
- $s \rightarrow a \rightarrow b \rightarrow t$
- $s \rightarrow a \rightarrow d \rightarrow t$

The application of this paths leads to a new flow:  $F = 14$ .

**Lemma 3.3**

When executing a step in the algorithm, the actual function  $f$  is a flow function.

*Proof.* The assumption is obviously true for step 1 because  $f \equiv 0$  is a flow function. □