

# The Liouville equation for high harmonic generation in solid: the electromagnetic gauge dependence and the Bloch/Wannier representation

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## Abstract

We provide a derivation of the Liouville equation in various representations and gauges .

## Appendix A: Fundamental formulas

$$\begin{aligned}
\langle n\mathbf{k}|\hat{\mathbf{x}}|n''\mathbf{k}'' \rangle &= \langle n\mathbf{k}|\{-i\nabla_{\mathbf{k}''}e^{i\mathbf{k}''\cdot\hat{\mathbf{x}}}\}|u_{n''\mathbf{k}''} \rangle \\
&= -i\nabla_{\mathbf{k}''} \langle n\mathbf{k}|n''\mathbf{k}'' \rangle + i \langle u_{n\mathbf{k}}|e^{-i(\mathbf{k}-\mathbf{k}'')\cdot\hat{\mathbf{x}}}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''} \rangle \\
&= -i\nabla_{\mathbf{k}''} \langle n\mathbf{k}|n''\mathbf{k}'' \rangle + \delta(\mathbf{k}-\mathbf{k}'')\mathbf{d}_{nn''}(\mathbf{k})
\end{aligned} \tag{A1}$$

$$\begin{aligned}
\langle n''\mathbf{k}''|\hat{\mathbf{x}}|n'\mathbf{k}' \rangle &= \langle u_{n''\mathbf{k}''}|\{i\nabla_{\mathbf{k}''}e^{-i\mathbf{k}''\cdot\hat{\mathbf{x}}}\}|n'\mathbf{k}' \rangle \\
&= i\nabla_{\mathbf{k}''} \langle n''\mathbf{k}''|n'\mathbf{k}' \rangle - i \langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|e^{-i(\mathbf{k}''-\mathbf{k}')\cdot\hat{\mathbf{x}}}u_{n'\mathbf{k}'} \rangle \\
&= i\nabla_{\mathbf{k}''} \langle n''\mathbf{k}''|n'\mathbf{k}' \rangle + \delta(\mathbf{k}''-\mathbf{k}')\mathbf{d}_{n''n'}(\mathbf{k}')
\end{aligned} \tag{A2}$$

$$\begin{aligned}
i \langle u_{n\mathbf{k}}|e^{-i(\mathbf{k}-\mathbf{k}'')\cdot\hat{\mathbf{x}}}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''} \rangle &= \frac{iN}{\Omega} \langle u_{n\mathbf{K}}|e^{-i(\mathbf{K}-\mathbf{K}'')\cdot\hat{\mathbf{x}}}|\nabla_{\mathbf{K}''}u_{n''\mathbf{K}''} \rangle \\
&= \frac{iN}{\Omega} \int d\mathbf{x} \langle u_{n\mathbf{K}}|\mathbf{x} \rangle \langle \mathbf{x}|\nabla_{\mathbf{K}''}u_{n''\mathbf{K}''} \rangle e^{-i(\mathbf{K}-\mathbf{K}'')\cdot\mathbf{x}} \\
&= \frac{iN}{\Omega} \sum_{\mathbf{R}} e^{-i(\mathbf{K}-\mathbf{K}'')\cdot\mathbf{R}} \int_{BL} d\mathbf{y} \langle u_{n\mathbf{K}}|\mathbf{y} \rangle \langle \mathbf{y}|\nabla_{\mathbf{K}''}u_{n''\mathbf{K}''} \rangle e^{-i(\mathbf{K}-\mathbf{K}'')\cdot\mathbf{y}} \\
&= \frac{iN^2}{\Omega} \delta_{KK''} \int_{BL} d\mathbf{y} \langle u_{n\mathbf{K}}|\mathbf{y} \rangle \langle \mathbf{y}|\nabla_{\mathbf{K}}u_{n''\mathbf{K}} \rangle \\
&= i\Omega\delta(\mathbf{k}-\mathbf{k}'') \int_{BL} d\mathbf{y} \langle u_{n\mathbf{k}}|\mathbf{y} \rangle \langle \mathbf{y}|\nabla_{\mathbf{k}}u_{n\mathbf{k}} \rangle \\
&= \frac{i\Omega}{N} \delta(\mathbf{k}-\mathbf{k}'') \int d\mathbf{x} \langle u_{n\mathbf{k}}|\mathbf{x} \rangle \langle \mathbf{x}|\nabla_{\mathbf{k}}u_{n''\mathbf{k}} \rangle \\
&= \delta(\mathbf{k}-\mathbf{k}'') \left\{ \frac{i\Omega}{N} \langle u_{n\mathbf{k}}|\nabla_{\mathbf{k}}u_{n''\mathbf{k}} \rangle \right\} \\
&\equiv \delta(\mathbf{k}-\mathbf{k}'')\mathbf{d}_{nn''}(\mathbf{k})
\end{aligned} \tag{A3}$$

$$\begin{aligned}
i \langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|e^{-i(\mathbf{k}''-\mathbf{k}')\cdot\hat{\mathbf{x}}}u_{n'\mathbf{k}'} \rangle &= \frac{iN}{\Omega} \langle \nabla_{\mathbf{K}''}u_{n''\mathbf{K}''}|e^{-i(\mathbf{K}''-\mathbf{K}')\cdot\hat{\mathbf{x}}}u_{n'\mathbf{K}'} \rangle \\
&= \frac{iN}{\Omega} \int d\mathbf{x} \langle \nabla_{\mathbf{K}''}u_{n''\mathbf{K}''}|\mathbf{x} \rangle \langle \mathbf{x}|u_{n'\mathbf{K}'} \rangle e^{-i(\mathbf{K}''-\mathbf{K}')\cdot\mathbf{x}} \\
&= \frac{iN}{\Omega} \sum_{\mathbf{R}} e^{-i(\mathbf{K}''-\mathbf{K}')\cdot\mathbf{R}} \int_{BL} d\mathbf{y} \langle \nabla_{\mathbf{K}''}u_{n''\mathbf{K}''}|\mathbf{y} \rangle \langle \mathbf{y}|u_{n'\mathbf{K}'} \rangle e^{-i(\mathbf{K}''-\mathbf{K}')\cdot\mathbf{y}} \\
&= \frac{iN^2}{\Omega} \delta_{K''K'} \int_{BL} d\mathbf{y} \langle \nabla_{\mathbf{K}'}u_{n''\mathbf{K}'}|\mathbf{y} \rangle \langle \mathbf{y}|u_{n'\mathbf{K}'} \rangle \\
&= i\Omega\delta(\mathbf{k}''-\mathbf{k}') \int_{BL} d\mathbf{y} \langle \nabla_{\mathbf{k}'}u_{n''\mathbf{k}'}|\mathbf{y} \rangle \langle \mathbf{y}|u_{n'\mathbf{k}'} \rangle \\
&= \frac{i\Omega}{N} \delta(\mathbf{k}''-\mathbf{k}') \int d\mathbf{x} \langle \nabla_{\mathbf{k}'}u_{n''\mathbf{k}'}|\mathbf{x} \rangle \langle \mathbf{x}|u_{n'\mathbf{k}'} \rangle
\end{aligned}$$

$$\begin{aligned}
&= \delta(\mathbf{k}'' - \mathbf{k}') \left\{ \frac{i\Omega}{N} < \nabla_{\mathbf{k}'} u_{n''\mathbf{k}'} | u_{n'\mathbf{k}'} > \right\} \\
&= -\delta(\mathbf{k}'' - \mathbf{k}') \left\{ \frac{i\Omega}{N} < u_{n''\mathbf{k}'} | \nabla_{\mathbf{k}'} u_{n'\mathbf{k}'} > \right\} \\
&\equiv -\delta(\mathbf{k}'' - \mathbf{k}') \mathbf{d}_{n''n'}(\mathbf{k}')
\end{aligned} \tag{A4}$$

$$\begin{aligned}
0 &= i \nabla_{\mathbf{k}} < u_{n\mathbf{k}} | u_{n'\mathbf{k}} > \\
&= i < \nabla_{\mathbf{k}} u_{n\mathbf{k}} | u_{n'\mathbf{k}} > + i < u_{n\mathbf{k}} | \nabla_{\mathbf{k}} u_{n'\mathbf{k}} > \\
&= -(i < u_{n'\mathbf{k}} | \nabla_{\mathbf{k}} u_{n\mathbf{k}} >)^* + i < u_{n\mathbf{k}} | \nabla_{\mathbf{k}} u_{n'\mathbf{k}} > \\
&= -\mathbf{d}_{n'n}^*(\mathbf{k}) + \mathbf{d}_{nn'}(\mathbf{k}).
\end{aligned} \tag{A5}$$

$$\begin{aligned}
&< nk | [\hat{x}, \hat{\rho}] | n'k' > \\
&= \sum_{n''} \int dk'' (< nk | \hat{x} | n''k'' > < n''k'' | \hat{\rho} | n'k' > - < nk | \hat{\rho} | n''k'' > < n''k'' | \hat{x} | n'k' >) \\
&= \sum_{n''} \int dk'' [\{-i \nabla_{\mathbf{k}''} < nk | n''\mathbf{k}'' > + \delta(\mathbf{k} - \mathbf{k}'') \mathbf{d}_{nn''}(\mathbf{k})\} < n''k'' | \hat{\rho} | n'k' > \\
&\quad - < nk | \hat{\rho} | n''k'' > \{i \nabla_{\mathbf{k}''} < n''\mathbf{k}'' | n'\mathbf{k}' > + \delta(\mathbf{k}'' - \mathbf{k}') \mathbf{d}_{n''n'}(\mathbf{k}')\}] \\
&= i(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'} ) < nk | \hat{\rho} | n'k' > + \sum_{n''} \{ \mathbf{d}_{nn''}(\mathbf{k}) < n''k | \hat{\rho} | n'k' > - < nk | \hat{\rho} | n''k' > \mathbf{d}_{n''n'}(\mathbf{k}') \}
\end{aligned} \tag{A6}$$

$$\begin{aligned}
&< nk | [\hat{x}, [\hat{x}, \hat{\rho}]] | n'k' > \\
&= \sum_{n'''} \int dk''' (< nk | \hat{x} | n'''k''' > < n'''k''' | [\hat{x}, \hat{\rho}] | n'k' > - < nk | [\hat{x}, \hat{\rho}] | n'''k''' > < n'''k''' | \hat{x} | n'k' >) \\
&= \sum_{n'''} \int dk''' \{ -i \nabla_{\mathbf{k}'''} < nk | n'''k''' > + \delta(\mathbf{k} - \mathbf{k}''') \mathbf{d}_{nn'''}(k) \} \\
&\quad \times [i(\nabla_{\mathbf{k}'''} + \nabla_{\mathbf{k}'} ) < n'''k''' | \hat{\rho} | n'k' > + \sum_{n''} \mathbf{d}_{n''n'''}(\mathbf{k}'') < n''k''' | \hat{\rho} | n'k' > - < n'''k''' | \hat{\rho} | n''k' > \mathbf{d}_{n''n'}(\mathbf{k}') \}] \\
&\quad - [i(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'''} ) < nk | \hat{\rho} | n'''k''' > + \sum_{n''} \mathbf{d}_{nn''}() < n''k | \hat{\rho} | n'''k''' > - < nk | \hat{\rho} | n''k''' > \mathbf{d}_{n''n'''}(k''')] \\
&\quad \times \{ i \nabla_{\mathbf{k}'''} < n'''k''' | n'k' > + \delta(k''' - k') \mathbf{d}_{n''n'}(k') \} \\
&= -(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'} )^2 < nk | \hat{\rho} | n'k' > + 2i(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'} ) \{ \sum_{n''} \mathbf{d}_{nn''}(k) < n''k | \hat{\rho} | n'k' > - < nk | \hat{\rho} | n''k' > \mathbf{d}_{n''n'}(k') \} \\
&\quad + \sum_{n''n'''} [d_{nn''}(k) d_{n''n'''}(k) < n'''k | \hat{\rho} | n'k' > - 2d_{nn''}(k) < n''k | \hat{\rho} | n'''k' > d_{n''n'''}(k') + < nk | \hat{\rho} | n''k' > d_{n''n'''}(k') d_{n''n'''}(k')]
\end{aligned} \tag{A7}$$

$$[\hat{\mathbf{x}}, \hat{H}_0] = [\hat{\mathbf{x}}, \frac{\hat{\mathbf{p}}}{2m}] = \frac{i\hbar}{m} \hat{\mathbf{p}} \quad (\text{A8})$$

$$\begin{aligned} \langle n\mathbf{k} | \hat{\mathbf{p}} | n'\mathbf{k}' \rangle &= i \frac{m(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'})}{\hbar} \langle n\mathbf{k} | \hat{\mathbf{x}} | n'\mathbf{k}' \rangle \\ &= \begin{cases} \frac{m}{\hbar} (\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \{ -\nabla_{\mathbf{k}} \langle n\mathbf{k} | n'\mathbf{k}' \rangle + i\delta(\mathbf{k} - \mathbf{k}') \mathbf{d}_{nn'}(\mathbf{k}) \} \\ \frac{m}{\hbar} (\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \{ \nabla_{\mathbf{k}'} \langle n\mathbf{k} | n'\mathbf{k}' \rangle + i\delta(\mathbf{k} - \mathbf{k}') \mathbf{d}_{nn'}(\mathbf{k}) \} \end{cases} \end{aligned} \quad (\text{A9})$$

$$\begin{aligned} \langle n\mathbf{k} | \hat{\mathbf{p}} | n\mathbf{k} \rangle &= \frac{m}{i\hbar} \langle n\mathbf{k} | [\hat{\mathbf{x}}, \hat{H}_0] | n\mathbf{k} \rangle \\ &= \frac{m}{i\hbar} \{ \langle u_{n\mathbf{k}} | (i\nabla_{\mathbf{k}} e^{-i\mathbf{k} \cdot \hat{\mathbf{x}}}) \hat{H}_0 | n\mathbf{k} \rangle - \langle n\mathbf{k} | \hat{H}_0 (-i\nabla_{\mathbf{k}} e^{i\mathbf{k} \cdot \hat{\mathbf{x}}}) | u_{n\mathbf{k}} \rangle \} \\ &= \frac{m}{\hbar} \{ \nabla_{\mathbf{k}} \langle n\mathbf{k} | \hat{H}_0 | n\mathbf{k} \rangle - \langle \nabla_{\mathbf{k}} u_{n\mathbf{k}} | e^{-i\mathbf{k} \cdot \hat{\mathbf{x}}} \hat{H}_0 | n\mathbf{k} \rangle - \langle n\mathbf{k} | \hat{H}_0 e^{i\mathbf{k} \cdot \hat{\mathbf{x}}} | \nabla_{\mathbf{k}} u_{n\mathbf{k}} \rangle \} \\ &= \frac{m}{\hbar} \{ \nabla_{\mathbf{k}} \epsilon_{n\mathbf{k}} - \epsilon_{n\mathbf{k}} \nabla_{\mathbf{k}} \langle u_{n\mathbf{k}} | u_{n\mathbf{k}} \rangle \} \\ &= \frac{m}{\hbar} \nabla_{\mathbf{k}} \epsilon_{n\mathbf{k}} \end{aligned} \quad (\text{A10})$$

## Appendix B: Bloch-basis and length gauge

## Appendix C: velocity/current and position

$$\begin{aligned} J &= -e \frac{\partial}{\partial t} \text{tr}(\hat{\rho} \hat{\mathbf{x}}) \\ &= -e \text{tr}(\frac{\partial \hat{\rho}}{\partial t} \hat{\mathbf{x}}) \\ &= -\frac{e}{i\hbar} \text{tr}([\hat{H}, \hat{\rho}] \hat{\mathbf{x}}) \\ &= -\frac{e}{i\hbar} \text{tr}\{\hat{\rho}(\hat{\mathbf{x}} \hat{H} - \hat{H} \hat{\mathbf{x}})\} \\ &= -\frac{e}{i\hbar} \text{tr}\{\hat{\rho}[\hat{\mathbf{x}}, \hat{H}]\} \\ &= -\frac{e}{m} \text{tr}\{\hat{\rho}(\hat{\mathbf{p}} - e\mathbf{A})\} \\ &= -\frac{e}{m} \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \langle n'\mathbf{k}' | \hat{\mathbf{p}} | n\mathbf{k} \rangle + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \\ &= -\frac{e}{\hbar} \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle (\epsilon_{n'\mathbf{k}'} - \epsilon_{n\mathbf{k}}) \{ \nabla_{\mathbf{k}} \langle n'\mathbf{k}' | n\mathbf{k} \rangle + i\delta(\mathbf{k}' - \mathbf{k}) \mathbf{d}_{n'n}(\mathbf{k}) \} \end{aligned}$$

$$\begin{aligned}
& + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \\
& = + \frac{e}{\hbar} \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \nabla_{\mathbf{k}} \{ \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle (\epsilon_{n'\mathbf{k}'} - \epsilon_{n\mathbf{k}}) \} \langle n'\mathbf{k}' | n\mathbf{k} \rangle \\
& \quad - \frac{e}{\hbar} \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle (\epsilon_{n'\mathbf{k}} - \epsilon_{n\mathbf{k}}) \{ i\mathbf{d}_{n'n}(\mathbf{k}) \} + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \\
& = - \frac{e}{\hbar} \sum_n \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle \nabla_{\mathbf{k}} \epsilon_{n\mathbf{k}} - \frac{e}{\hbar} \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle (\epsilon_{n'\mathbf{k}} - \epsilon_{n\mathbf{k}}) \{ i\mathbf{d}_{n'n}(\mathbf{k}) \} + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho})
\end{aligned} \tag{C1}$$

$$\begin{aligned}
J^2 & = - \frac{e}{\hbar} \int d\mathbf{k} (\langle v\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle \nabla_{\mathbf{k}} \epsilon_{v\mathbf{k}} + \langle c\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \nabla_{\mathbf{k}} \epsilon_{c\mathbf{k}}) + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \\
& \quad - \frac{e}{\hbar} \int d\mathbf{k} [\langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle (\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \{ i\mathbf{d}_{cv}(\mathbf{k}) \} + \langle c\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle (\epsilon_{v\mathbf{k}} - \epsilon_{c\mathbf{k}}) \{ i\mathbf{d}_{vc}(\mathbf{k}) \}] \\
& = - \frac{e}{\hbar} \int d\mathbf{k} (\langle v\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle \nabla_{\mathbf{k}} \epsilon_{v\mathbf{k}} + \langle c\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \nabla_{\mathbf{k}} \epsilon_{c\mathbf{k}}) + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \\
& \quad + \frac{e}{\hbar} \int d\mathbf{k} (\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \mathbf{Im}[\langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \mathbf{d}_{vc}]
\end{aligned} \tag{C2}$$

$$\begin{aligned}
\mathbf{x} & = \text{tr}(\hat{\rho} \hat{\mathbf{x}}) \\
& = \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \langle n'\mathbf{k}' | \hat{\mathbf{x}} | n\mathbf{k} \rangle \\
& = \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \{ \frac{1}{2i} (\nabla_{\mathbf{k}} - \nabla_{\mathbf{k}'} ) \langle n'\mathbf{k}' | n\mathbf{k} \rangle + \delta(\mathbf{k}' - \mathbf{k}) \mathbf{d}_{n'n}(\mathbf{k}) \} \\
& = \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle \mathbf{d}_{n'n}(\mathbf{k})
\end{aligned} \tag{C3}$$

$$\mathbf{x}^2 = \int d\mathbf{k} \{ \langle v\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle \mathbf{d}_{vv}(\mathbf{k}) + \langle c\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \mathbf{d}_{cc}(\mathbf{k}) + 2\text{Re}\{ \langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \mathbf{d}_{vc}^*(\mathbf{k}) \} \} \tag{C4}$$

## Appendix D: Wannier basis

$n$  is the band index,  $\mathbf{k}$  is the crystal momentum,  $\mathbf{R}$  is the Bravais Lattice The Bloch states  $\{|n\mathbf{k}\rangle\}$  and Wannier states  $\{|n\mathbf{R}\rangle\}$  are related by the Fourier expansion.

$$\begin{aligned}
|n\mathbf{R}\rangle & = \frac{1}{\sqrt{N}} \sum_{\mathbf{K}} \exp(-i\mathbf{K} \cdot \mathbf{R}) |n\mathbf{K}\rangle \\
& = \frac{1}{\sqrt{\Omega}} \int_{BZ} d\mathbf{k} \exp(-i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{k}\rangle
\end{aligned}$$

$$= \frac{1}{\sqrt{\Omega}} \int_{BZ} d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \hat{\mathbf{x}})\} |u_{n\mathbf{k}}\rangle \quad (\text{D1})$$

$$\langle \mathbf{x} | n\mathbf{R} \rangle = \frac{1}{\sqrt{\Omega}} \int_{BZ} d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{x})\} \langle \mathbf{x} | u_{n\mathbf{k}} \rangle \quad (\text{D2})$$

$$|n\mathbf{K}\rangle = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}} \exp(i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{R}\rangle \quad (\text{D3})$$

$$\begin{aligned} |n\mathbf{k}\rangle &= \sqrt{\frac{N}{\Omega}} |n\mathbf{K}\rangle \\ &= \frac{1}{\sqrt{\Omega}} \sum_{\mathbf{R}} \exp(i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{R}\rangle \end{aligned} \quad (\text{D4})$$

$$\int_{BZ} d\mathbf{k} = \frac{\Omega}{N} \sum_{\mathbf{K}} \quad (\text{D5})$$

$$\sum_{\mathbf{K}} |n\mathbf{K}\rangle \langle n\mathbf{K}| = \int_{BZ} d\mathbf{k} |n\mathbf{k}\rangle \langle n\mathbf{k}| \quad (\text{D6})$$

$$\delta_{KK'} = \langle n\mathbf{K} | n\mathbf{K}' \rangle = \frac{\Omega}{N} \langle n\mathbf{k} | n\mathbf{k}' \rangle = \frac{\Omega}{N} \delta(\mathbf{k} - \mathbf{k}') \quad (\text{D7})$$

$$\nabla_{\mathbf{k}} = \nabla_{\mathbf{K}} \quad (\text{D8})$$

$$\begin{aligned} \langle n\mathbf{R} | n'\mathbf{R}' \rangle &= \frac{1}{\Omega} \int_{BZ} \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp\{i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')\} \langle n\mathbf{k} | n'\mathbf{k}' \rangle \\ &= \frac{\delta_{nn'}}{\Omega} \int_{BZ} d\mathbf{k} \exp\{i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \\ &= \delta_{nn'} \delta_{\mathbf{R}\mathbf{R}'} \end{aligned} \quad (\text{D9})$$

$$\begin{aligned} |n\mathbf{R}\rangle &= \frac{1}{\Omega} \int_{BZ} d\mathbf{k} \exp(-i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{k}\rangle \\ &= \frac{1}{\Omega} \sum_{\mathbf{R}'} \int_{BZ} d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} |n\mathbf{R}'\rangle \\ &= \sum_{\mathbf{R}'} \delta_{\mathbf{R}\mathbf{R}'} |n\mathbf{R}'\rangle \\ &= |n\mathbf{R}\rangle \end{aligned} \quad (\text{D10})$$

$$\begin{aligned} \int_{BZ} d\mathbf{k}' \langle n\mathbf{k} | n'\mathbf{k}' \rangle &= \frac{1}{\Omega} \sum_{\mathbf{R}\mathbf{R}'} \int_{BZ} d\mathbf{k}' \exp\{i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')\} \langle n\mathbf{R} | n'\mathbf{R}' \rangle \\ &= \frac{\delta_{nn'}}{\Omega} \sum_{\mathbf{R}} \int_{BZ} d\mathbf{k}' \exp\{i(\mathbf{k} - \mathbf{k}') \cdot \mathbf{R}\} \\ &= \frac{\delta_{nn'}}{N} \sum_{\mathbf{R}\mathbf{K}'} \exp\{i(\mathbf{K} - \mathbf{K}') \cdot \mathbf{R}\} \\ &= \frac{\delta_{nn'}}{N} \sum_{\mathbf{R}} 1 \\ &= \delta_{nn'} \end{aligned} \quad (\text{D11})$$

$$\begin{aligned} |n\mathbf{k}\rangle &= \frac{1}{\sqrt{\Omega}} \sum_{\mathbf{R}} \exp(i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{R}\rangle \\ &= \frac{1}{\Omega} \sum_{\mathbf{R}} \int_{BZ} d\mathbf{k}' \exp\{i(\mathbf{k} - \mathbf{k}') \cdot \mathbf{R}\} |n\mathbf{k}'\rangle \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{\sqrt{N\Omega}} \sum_{\mathbf{R}\mathbf{K}'} \exp\{i(\mathbf{K} - \mathbf{K}') \cdot \mathbf{R}\} |n\mathbf{K}'\rangle \\
&= \sqrt{\frac{N}{\Omega}} \sum_{\mathbf{K}'} \delta_{\mathbf{K}\mathbf{K}'} |n\mathbf{K}'\rangle \\
&= \sqrt{\frac{N}{\Omega}} |n\mathbf{K}\rangle \\
&= |n\mathbf{k}\rangle
\end{aligned} \tag{D12}$$

$$\begin{aligned}
\langle \mathbf{x} | u_{n\mathbf{k}} \rangle &= \exp(-i\mathbf{k} \cdot \mathbf{x}) \langle \mathbf{x} | n\mathbf{k} \rangle \\
&= \frac{1}{\sqrt{\Omega}} \sum_{\mathbf{R}} \exp\{-i\mathbf{k} \cdot (\mathbf{x} - \mathbf{R})\} \langle \mathbf{x} | n\mathbf{R} \rangle
\end{aligned} \tag{D13}$$

$$\nabla_{\mathbf{k}} \langle \mathbf{x} | u_{n\mathbf{k}} \rangle = \frac{-i}{\sqrt{\Omega}} \sum_{\mathbf{R}} (\mathbf{x} - \mathbf{R}) \exp\{-i\mathbf{k} \cdot (\mathbf{x} - \mathbf{R})\} \langle \mathbf{x} | n\mathbf{R} \rangle \tag{D14}$$

where  $N$  is number of Bravais lattice points, and  $\Omega$  is the volume of a Brillouin Zone. The matrix element of an operator  $\hat{O}$  is transferred to

$$\langle n\mathbf{R} | \hat{O} | n'\mathbf{R}' \rangle = \frac{1}{\Omega} \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp[i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')] \langle n\mathbf{k} | \hat{O} | n'\mathbf{k}' \rangle \tag{D15}$$

$$\begin{aligned}
\tilde{o}(\mathbf{R}) &= \frac{1}{\Omega} \int_{BZ} d\mathbf{k} \exp(-i\mathbf{k} \cdot \mathbf{R}) o(\mathbf{k}) \\
&= \frac{1}{N} \sum_{\mathbf{K}} \exp(-i\mathbf{K} \cdot \mathbf{R}) o(\mathbf{K})
\end{aligned} \tag{D16}$$

$$\begin{aligned}
\langle n\mathbf{R} | \hat{H}_0 | n'\mathbf{R}' \rangle &= \frac{1}{\Omega} \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp\{i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')\} \langle n\mathbf{k} | \hat{H}_0 | n'\mathbf{k}' \rangle \\
&= \frac{1}{\Omega} \int d\mathbf{k} \exp\{i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \delta_{nn'} \epsilon_{n\mathbf{k}} \\
&= \delta_{nn'} \tilde{\epsilon}_n(\mathbf{R}' - \mathbf{R})
\end{aligned} \tag{D17}$$

Assuming the 1-dimensional tight-binding model:  $\epsilon_{n\mathbf{k}} = E_n^0 + \Delta E_n \{1 - \cos(\mathbf{k}\mathbf{a})\}$ , where  $\mathbf{a}$  is lattice constant,

$$\begin{aligned}
\tilde{\epsilon}_n(\mathbf{R}) &= \frac{1}{\Omega} \int d\mathbf{k} \exp(-i\mathbf{k} \cdot \mathbf{R}) \epsilon_{n\mathbf{k}} \\
&= \frac{1}{\Omega} \int d\mathbf{k} \exp(-i\mathbf{k} \cdot \mathbf{R}) [E_n^0 + \Delta E_n \{1 - \cos(ka)\}] \\
&= (E_n^0 + \Delta E_n) \delta_{\mathbf{R}\mathbf{0}} - \frac{\Delta E_n}{2} \{\delta_{\mathbf{R}\mathbf{a}} + \delta_{\mathbf{R}(-\mathbf{a})}\}
\end{aligned} \tag{D18}$$

$$\tag{D19}$$

$$\begin{aligned}
\langle n\mathbf{R} | [\hat{H}_0, \hat{\rho}] | n'\mathbf{R}' \rangle &= \sum_{n''\mathbf{R}''} (\langle n\mathbf{R} | \hat{H}_0 | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{H}_0 | n'\mathbf{R}' \rangle) \\
&= \sum_{n''\mathbf{R}''} \{ \delta_{nn''} \tilde{\epsilon}_n(\mathbf{R}'' - \mathbf{R}) \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \delta_{n''n'} \tilde{\epsilon}_{n'}(\mathbf{R}' - \mathbf{R}'') \} \\
&= \sum_{\mathbf{R}''} \{ \tilde{\epsilon}_n(\mathbf{R}'' - \mathbf{R}) \langle n\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n'\mathbf{R}'' \rangle \tilde{\epsilon}_{n'}(\mathbf{R}' - \mathbf{R}'') \}
\end{aligned} \tag{D20}$$

$$\begin{aligned}
\langle n\mathbf{R} | \hat{\mathbf{x}} | n'\mathbf{R}' \rangle &= \frac{1}{\Omega} \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp\{i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')\} \langle n\mathbf{k} | \hat{\mathbf{x}} | n'\mathbf{k}' \rangle \\
&= \frac{1}{\Omega} \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp\{i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')\} \{ i\nabla_{\mathbf{k}} \langle n\mathbf{k} | n'\mathbf{k}' \rangle + \delta(\mathbf{k} - \mathbf{k}') \mathbf{d}_{nn'}(\mathbf{k}) \} \\
&= \frac{1}{\Omega} \int d\mathbf{k} \exp\{i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \{ \delta_{nn'} \mathbf{R} + \mathbf{d}_{nn'}(\mathbf{k}) \}
\end{aligned} \tag{D21}$$

$$= \delta_{nn'} \delta_{\mathbf{R}\mathbf{R}'} \mathbf{R} + \tilde{\mathbf{d}}_{nn'}(\mathbf{R}' - \mathbf{R}) \tag{D22}$$

$$\tag{D23}$$

$$\begin{aligned}
\tilde{\mathbf{d}}_{nn'}(\mathbf{R} - \mathbf{R}') &= \frac{1}{\Omega} \int d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \mathbf{d}_{nn'}(\mathbf{k}) \\
&= \frac{1}{\Omega} \int d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \left\{ \frac{i\Omega}{N} \langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} u_{n'\mathbf{k}} \rangle \right\} \\
&= i \int_{BL} d\mathbf{y} \int d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \langle u_{n\mathbf{k}} | \mathbf{y} \rangle (\nabla_{\mathbf{k}} \langle \mathbf{y} | u_{n'\mathbf{k}} \rangle) \\
&= \frac{1}{\Omega} \int_{BL} d\mathbf{y} \int d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \\
&\quad \times \left[ \sum_{\mathbf{R}''} \exp\{i\mathbf{k} \cdot (\mathbf{y} - \mathbf{R}'')\} \langle n\mathbf{R}'' | \mathbf{y} \rangle \right] \left[ \sum_{\mathbf{R}'''} (\mathbf{y} - \mathbf{R}''') \exp\{-i\mathbf{k} \cdot (\mathbf{y} - \mathbf{R}''')\} \langle \mathbf{y} | n'\mathbf{R}''' \rangle \right] \\
&= \frac{1}{\Omega} \sum_{\mathbf{R}''\mathbf{R}'''} \int_{BL} d\mathbf{y} (\mathbf{y} - \mathbf{R}''') \int d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}' + \mathbf{R}'' - \mathbf{R}''')\} \langle n\mathbf{R}'' | \mathbf{y} \rangle \langle \mathbf{y} | n'\mathbf{R}''' \rangle \\
&= \sum_{\mathbf{R}''} \int_{BL} d\mathbf{y} (\mathbf{y} - \mathbf{R} + \mathbf{R}' - \mathbf{R}'') \langle n\mathbf{R}'' | \mathbf{y} \rangle \langle \mathbf{y} | n'(\mathbf{R} - \mathbf{R}' + \mathbf{R}'') \rangle
\end{aligned}$$

$$\begin{aligned}
\langle \mathbf{x} | u_{n\mathbf{k}} \rangle &= \exp(-i\mathbf{k} \cdot \mathbf{x}) \langle \mathbf{x} | n\mathbf{k} \rangle \\
&= \frac{1}{\sqrt{\Omega}} \sum_{\mathbf{R}} \exp\{-i\mathbf{k} \cdot (\mathbf{x} - \mathbf{R})\} \langle \mathbf{x} | n\mathbf{R} \rangle
\end{aligned} \tag{D24}$$

$$\nabla_{\mathbf{k}} \langle \mathbf{x} | u_{n\mathbf{k}} \rangle = \frac{-i}{\sqrt{\Omega}} \sum_{\mathbf{R}} (\mathbf{x} - \mathbf{R}) \exp\{-i\mathbf{k} \cdot (\mathbf{x} - \mathbf{R})\} \langle \mathbf{x} | n\mathbf{R} \rangle \tag{D25}$$

$$\langle \mathbf{x} | n\mathbf{R} \rangle = \frac{1}{\sqrt{\Omega}} \int_{BZ} d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{x})\} \langle \mathbf{x} | u_{n\mathbf{k}} \rangle \tag{D26}$$



$$\begin{aligned}
\langle n\mathbf{R} | [\hat{\mathbf{x}}, \hat{\rho}] | n'\mathbf{R}' \rangle &= \sum_{n''\mathbf{R}''} (\langle n\mathbf{R} | \hat{\mathbf{x}} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\mathbf{x}} | n'\mathbf{R}' \rangle) \\
&= \sum_{n''\mathbf{R}''} [\{\delta_{nn''} \delta_{\mathbf{R}\mathbf{R}''} \mathbf{R} + \tilde{\mathbf{d}}_{nn''}(\mathbf{R}'' - \mathbf{R})\} \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle \\
&\quad - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \{\delta_{n''n'} \delta_{\mathbf{R}'\mathbf{R}''} \mathbf{R}' + \tilde{\mathbf{d}}_{n''n'}(\mathbf{R}' - \mathbf{R}'')\}] \\
&= (\mathbf{R} - \mathbf{R}') \langle n\mathbf{R} | \hat{\rho} | n'\mathbf{R}' \rangle \\
&\quad + \sum_{n''\mathbf{R}''} \{\tilde{\mathbf{d}}_{nn''}(\mathbf{R}'' - \mathbf{R})\} \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \{\tilde{\mathbf{d}}_{n''n'}(\mathbf{R}' - \mathbf{R}'')\}]
\end{aligned} \tag{D27}$$

$$\begin{aligned}
i\hbar \langle n\mathbf{R} | \hat{\rho} | n'\mathbf{R}' \rangle &= \sum_{n''\mathbf{R}''} (\langle n\mathbf{R} | \hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}} | n'\mathbf{R}' \rangle) \\
&= \sum_{\mathbf{R}''} \{\tilde{\epsilon}_n(\mathbf{R}'' - \mathbf{R}) \langle n\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n'\mathbf{R}' \rangle \tilde{\epsilon}_{n'}(\mathbf{R}' - \mathbf{R}'')\} \\
&\quad - e\mathbf{E} \cdot (\mathbf{R} - \mathbf{R}') \langle n\mathbf{R} | \hat{\rho} | n'\mathbf{R}' \rangle \\
&\quad - e\mathbf{E} \cdot \sum_{n''\mathbf{R}''} \{\tilde{\mathbf{d}}_{nn''}(\mathbf{R}'' - \mathbf{R})\} \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \{\tilde{\mathbf{d}}_{n''n'}(\mathbf{R}' - \mathbf{R}'')\}]
\end{aligned} \tag{D28}$$

## Appendix E: the Chern topology

revisited in the wannier basis (2-dimension)

$$C_1 = \frac{i}{2\pi} \int d\mathbf{k} \nabla_{\mathbf{k}} \times \langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} u_{n\mathbf{k}} \rangle \tag{E1}$$

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