

# The Liouville equation for high harmonic generation in solid: the electromagnetic gauge dependence and the Bloch/Wannier representation

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## Abstract

We provide a derivation of the Liouville equation in various representations and gauges .

## I. INTRODUCTION

Let  $\hat{\rho}$  be the reduced density operator and  $\hat{H}$  be the hamiltonian of the system, then the time development of the system is calculated by the Liouville equation, or the von Neumann equation.

$$i\hbar \frac{\partial \hat{\rho}}{\partial t} = [\hat{H}, \hat{\rho}] \quad (1)$$

The righthand side of the second line corresponds the anti-Hermite component of the  $\hat{H}\hat{\rho}/\hbar$ .

Let  $|\mathbf{m}\rangle$  be the time-independent orthonormal basis satisfying the complete relation  $\sum_{\mathbf{m}} |\mathbf{m}\rangle \langle \mathbf{m}| = \mathbf{1}$ . Then we have the matrix representation of the Liouville equation as follows.

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} \langle \mathbf{m} | \hat{\rho} | \mathbf{m}' \rangle &= \langle \mathbf{m} | \hat{H} \hat{\rho} - \hat{\rho} \hat{H} | \mathbf{m}' \rangle \\ &= \sum_{\mathbf{m}''} (\langle \mathbf{m} | \hat{H} | \mathbf{m}'' \rangle \langle \mathbf{m}'' | \hat{\rho} | \mathbf{m}' \rangle - \langle \mathbf{m} | \hat{\rho} | \mathbf{m}'' \rangle \langle \mathbf{m}'' | \hat{H} | \mathbf{m}' \rangle). \end{aligned} \quad (2)$$

The Bloch states  $\{|n\mathbf{k}\rangle\}$  and Wannier states  $\{|n\mathbf{R}\rangle\}$  are the time-independent orthonormal basis. with the band index  $n$  and  $\mathbf{c}$ , When we consider a system interacting with electromagnetic field, the hamiltonian of the system is

$$\begin{aligned} \hat{H} &= \frac{(\hat{\mathbf{p}} - e\mathbf{A})^2}{2m} - e\phi + \hat{V}(x) \\ &= H_0 + H_{int} \end{aligned} \quad (3)$$

where  $\mathbf{A}$  is the vector potential,  $\phi$  is the scalar potential,  $\hat{H}_0 = \hat{\mathbf{p}}^2/2m + \hat{V}(x)$  is the free hamiltonian without external electric filed, and  $H_{int} = (-2e\mathbf{A} \cdot \hat{\mathbf{p}} + e^2|\mathbf{A}|^2)/2m - e\phi$  is the interaction hamiltonian with electric field.

Considering the electromagnetic gauge freedom, the interaction hamiltonian is not uniquely determined (See Appendix). For convenience, we consider the length and velocity gauge from the Coulomb gauge (i.e. the divergence of the vector potential vanishes  $\nabla \cdot \mathbf{A} = 0$ ). In the length gauge, the vector potential is set to be 0 ( $\mathbf{A} = \mathbf{0}$ ). On the other hand, in the velocity gauge ( $\phi = 0$ ) The corresponding interaction hamiltonian of the length gauge  $\hat{H}_{int}^L$  and that of the velocity gauge  $\hat{H}_{int}^V$  are

$$\hat{H}_{int}^L = -e\mathbf{E} \cdot \hat{\mathbf{x}}, \quad (4)$$

$$\hat{H}_{int}^V = \frac{-2e\mathbf{A} \cdot \hat{\mathbf{p}} + e^2|\mathbf{A}|^2}{2m}. \quad (5)$$

where  $\mathbf{A} = -\int \mathbf{E} dt$  is the vector potential determined by the external electric field.

emitted field is proportional to electric current flowing through the solid The current is separated into two parts: the interband current and intraband current.<sup>1</sup> electric dipole is expressed

In this report, we calculated the spectra of HHG from solid by numerically solving the Liouville equation with four schemes: the Bloch representation with the length and velocity gauges (Chap. ?? and ??, respectively), and the Wannier representation with the length and velocity gauges (Chap. H and I, respectively)

## II. RESULT

## III. DISCUSSION

## IV. CONCLUSION

## Appendix A: Formulas

$$\begin{aligned}
\langle n\mathbf{k}|\hat{\mathbf{x}}|n''\mathbf{k}'' \rangle &= \langle n\mathbf{k}|\{-i\nabla_{\mathbf{k}''}e^{i\mathbf{k}''\cdot\hat{\mathbf{x}}}\}|u_{n''\mathbf{k}''} \rangle \\
&= -i\nabla_{\mathbf{k}''} \langle n\mathbf{k}|n''\mathbf{k}'' \rangle + i \langle u_{n\mathbf{k}}|e^{-i(\mathbf{k}-\mathbf{k}'')\cdot\hat{\mathbf{x}}}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''} \rangle \\
&= -i\nabla_{\mathbf{k}''} \langle n\mathbf{k}|n''\mathbf{k}'' \rangle + \delta(\mathbf{k}-\mathbf{k}'')\mathbf{d}_{nn''}(\mathbf{k})
\end{aligned} \tag{A1}$$

$$\begin{aligned}
\langle n''\mathbf{k}''|\hat{\mathbf{x}}|n'\mathbf{k}' \rangle &= \langle u_{n''\mathbf{k}''}|\{i\nabla_{\mathbf{k}''}e^{-i\mathbf{k}''\cdot\hat{\mathbf{x}}}\}|n'\mathbf{k}' \rangle \\
&= i\nabla_{\mathbf{k}''} \langle n''\mathbf{k}''|n'\mathbf{k}' \rangle - i \langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|e^{-i(\mathbf{k}''-\mathbf{k}')\cdot\hat{\mathbf{x}}}|u_{n'\mathbf{k}'} \rangle \\
&= i\nabla_{\mathbf{k}''} \langle n''\mathbf{k}''|n'\mathbf{k}' \rangle + \delta(\mathbf{k}''-\mathbf{k}')\mathbf{d}_{n''n'}(\mathbf{k}')
\end{aligned} \tag{A2}$$

$$\begin{aligned}
i \langle u_{n\mathbf{k}}|e^{-i(\mathbf{k}-\mathbf{k}'')\cdot\hat{\mathbf{x}}}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''} \rangle &= i \int d\mathbf{x} \langle u_{n\mathbf{k}}|\mathbf{x} \rangle \langle \mathbf{x}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''} \rangle e^{-i(\mathbf{k}-\mathbf{k}'')\cdot\mathbf{x}} \\
&= i \sum_{\mathbf{R}} e^{-i(\mathbf{k}-\mathbf{k}'')\cdot\mathbf{R}} \int_{BL} d\mathbf{y} \langle u_{n\mathbf{k}}|\mathbf{y} \rangle \langle \mathbf{y}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''} \rangle e^{-i(\mathbf{k}-\mathbf{k}'')\cdot\mathbf{y}} \\
&= \delta(\mathbf{k}-\mathbf{k}'')(i \int_{BL} d\mathbf{y} \langle u_{n\mathbf{k}}|\mathbf{y} \rangle \langle \mathbf{y}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''} \rangle) \\
&\equiv \delta(\mathbf{k}-\mathbf{k}'')\mathbf{d}_{nn''}(\mathbf{k})
\end{aligned} \tag{A3}$$

$$\begin{aligned}
i \langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|e^{-i(\mathbf{k}''-\mathbf{k}')\cdot\hat{\mathbf{x}}}|u_{n'\mathbf{k}'} \rangle &= i \int d\mathbf{x} \langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|\mathbf{x} \rangle \langle \mathbf{x}|u_{n'\mathbf{k}'} \rangle e^{-i(\mathbf{k}''-\mathbf{k}')\cdot\mathbf{x}} \\
&= i \sum_{\mathbf{R}} e^{-i(\mathbf{k}''-\mathbf{k}')\cdot\mathbf{R}} \int_{BL} d\mathbf{y} \langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|\mathbf{y} \rangle \langle \mathbf{y}|u_{n'\mathbf{k}'} \rangle e^{-i(\mathbf{k}''-\mathbf{k}')\cdot\mathbf{y}} \\
&= \delta(\mathbf{k}''-\mathbf{k}')(i \int_{BL} d\mathbf{y} \langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|\mathbf{y} \rangle \langle \mathbf{y}|u_{n'\mathbf{k}'} \rangle) \\
&= -\delta(\mathbf{k}''-\mathbf{k}')(i \int_{BL} d\mathbf{y} \langle u_{n'\mathbf{k}'}|\mathbf{y} \rangle \langle \mathbf{y}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''} \rangle)^* \\
&= -\delta(\mathbf{k}''-\mathbf{k}')\mathbf{d}_{n''n'}(\mathbf{k}')
\end{aligned} \tag{A4}$$

$$\begin{aligned}
0 &= i\nabla_{\mathbf{k}} \langle u_{n\mathbf{k}}|u_{n'\mathbf{k}} \rangle \\
&= i \langle \nabla_{\mathbf{k}}u_{n\mathbf{k}}|u_{n'\mathbf{k}} \rangle + i \langle u_{n\mathbf{k}}|\nabla_{\mathbf{k}}u_{n'\mathbf{k}} \rangle \\
&= -\mathbf{d}_{n'n}^*(\mathbf{k}) + \mathbf{d}_{nn'}(\mathbf{k}).
\end{aligned} \tag{A5}$$

$$\begin{aligned}
& \langle nk | [\hat{x}, \hat{\rho}] | n' k' \rangle \\
&= \sum_{n''} \int dk'' (\langle nk | \hat{x} | n'' k'' \rangle \langle n'' k'' | \hat{\rho} | n' k' \rangle - \langle nk | \hat{\rho} | n'' k'' \rangle \langle n'' k'' | \hat{x} | n' k' \rangle) \\
&= \sum_{n''} \int dk'' [\{ -i \nabla_{\mathbf{k}''} \langle nk | n'' \mathbf{k}'' \rangle + \delta(\mathbf{k} - \mathbf{k}'') \mathbf{d}_{nn''}(\mathbf{k}) \} \langle n'' k'' | \hat{\rho} | n' k' \rangle \\
&\quad - \langle nk | \hat{\rho} | n'' k'' \rangle \{ i \nabla_{\mathbf{k}''} \langle n'' \mathbf{k}'' | n' \mathbf{k}' \rangle + \delta(\mathbf{k}'' - \mathbf{k}') \mathbf{d}_{n''n'}(\mathbf{k}') \}] \\
&= i(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'}) \langle nk | \hat{\rho} | n' k' \rangle + \sum_{n''} \{ \mathbf{d}_{nn''}(\mathbf{k}) \langle n'' k | \hat{\rho} | n' k' \rangle - \langle nk | \hat{\rho} | n'' k' \rangle \mathbf{d}_{n''n'}(\mathbf{k}') \}
\end{aligned} \tag{A6}$$

$$\begin{aligned}
& \langle nk | [\hat{x}, [\hat{x}, \hat{\rho}]] | n' k' \rangle \\
&= \sum_{n'''} \int dk''' \langle nk | \hat{x} | n''' k''' \rangle \langle n''' k''' | [\hat{x}, \hat{\rho}] | n' k' \rangle - \langle nk | [\hat{x}, \hat{\rho}] | n''' k''' \rangle \langle n''' k''' | \hat{x} | n' k' \rangle \\
&= \sum_{n'''} \int dk''' \{ -i \nabla_{\mathbf{k}'''} \langle nk | n''' \mathbf{k}''' \rangle + \delta(\mathbf{k} - \mathbf{k}''') \mathbf{d}_{nn'''}(k) \} \\
&\quad \times [i(\nabla_{\mathbf{k}'''} + \nabla_{\mathbf{k}'}) \langle n''' k''' | \hat{\rho} | n' k' \rangle + \sum_{n''} \mathbf{d}_{n''n'''}(\mathbf{k}'') \langle n'' k''' | \hat{\rho} | n' k' \rangle - \langle n''' k''' | \hat{\rho} | n'' k' \rangle \mathbf{d}_{n''n'}(\mathbf{k}') \} \\
&\quad - [i(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'''}) \langle nk | \hat{\rho} | n''' k''' \rangle + \sum_{n''} \mathbf{d}_{nn''}() \langle n'' k | \hat{\rho} | n''' k''' \rangle - \langle nk | \hat{\rho} | n'' k''' \rangle \mathbf{d}_{n''n'''}(k''')] \\
&\quad \times \{ i \nabla_{\mathbf{k}'''} \langle n''' k''' | n' k' \rangle + \delta(k''' - k') \mathbf{d}_{n''n'}(k') \} \\
&= -(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'})^2 \langle nk | \hat{\rho} | n' k' \rangle + 2i(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'}) \{ \sum_{n''} \mathbf{d}_{nn''}(k) \langle n'' k | \hat{\rho} | n' k' \rangle - \langle nk | \hat{\rho} | n'' k' \rangle \mathbf{d}_{n''n'}(k') \} \\
&\quad + \sum_{n''n'''} [d_{nn''}(k) d_{n''n'''}(k) \langle n''' k | \hat{\rho} | n' k' \rangle - 2d_{nn''}(k) \langle n'' k | \hat{\rho} | n''' k' \rangle d_{n''n'}(k') + \langle nk | \hat{\rho} | n'' k' \rangle d_{n''n'''}(k') d_{n''n'}(k')]
\end{aligned} \tag{A7}$$

$$[\hat{\mathbf{x}}, \hat{H}_0] = [\hat{\mathbf{x}}, \frac{\hat{\mathbf{p}}^2}{2m}] = \frac{i\hbar}{m} \hat{\mathbf{p}} \tag{A8}$$

$$\begin{aligned}
\langle nk | \hat{\mathbf{p}} | n' k' \rangle &= i \frac{m(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'})}{\hbar} \langle nk | \hat{\mathbf{x}} | n' k' \rangle \\
&= \begin{cases} \frac{m}{\hbar} (\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \{ -\nabla_{\mathbf{k}} \langle nk | n' \mathbf{k}' \rangle + i\delta(\mathbf{k} - \mathbf{k}') \mathbf{d}_{nn'}(\mathbf{k}) \} \\ \frac{m}{\hbar} (\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \{ \nabla_{\mathbf{k}'} \langle nk | n' \mathbf{k}' \rangle + i\delta(\mathbf{k} - \mathbf{k}') \mathbf{d}_{nn'}(\mathbf{k}) \} \end{cases}
\end{aligned} \tag{A9}$$

$$\begin{aligned}
\langle n\mathbf{k}|\hat{\mathbf{p}}|n\mathbf{k}\rangle &= \frac{m}{i\hbar} \langle n\mathbf{k}|\hat{\mathbf{x}}, \hat{H}_0|n\mathbf{k}\rangle \\
&= \frac{m}{i\hbar} \{ \langle u_{n\mathbf{k}}|(i\nabla_{\mathbf{k}}e^{-i\mathbf{k}\cdot\hat{\mathbf{x}}})\hat{H}_0|n\mathbf{k}\rangle - \langle n\mathbf{k}|\hat{H}_0(-i\nabla_{\mathbf{k}}e^{i\mathbf{k}\cdot\hat{\mathbf{x}}})|u_{n\mathbf{k}}\rangle \} \\
&= \frac{m}{\hbar} \{ \nabla_{\mathbf{k}} \langle n\mathbf{k}|\hat{H}_0|n\mathbf{k}\rangle - \langle \nabla_{\mathbf{k}}u_{n\mathbf{k}}|e^{-i\mathbf{k}\cdot\hat{\mathbf{x}}}\hat{H}_0|n\mathbf{k}\rangle - \langle n\mathbf{k}|\hat{H}_0e^{i\mathbf{k}\cdot\hat{\mathbf{x}}}|\nabla_{\mathbf{k}}u_{n\mathbf{k}}\rangle \} \\
&= \frac{m}{\hbar} \{ \nabla_{\mathbf{k}}\epsilon_{n\mathbf{k}} - \epsilon_{n\mathbf{k}}\nabla_{\mathbf{k}} \langle u_{n\mathbf{k}}|u_{n\mathbf{k}}\rangle \} \\
&= \frac{m}{\hbar} \nabla_{\mathbf{k}}\epsilon_{n\mathbf{k}}
\end{aligned} \tag{A10}$$

## Appendix B: Bloch-basis and length gauge

$$\begin{aligned}
i\hbar \frac{\partial}{\partial t} \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}'\rangle &= \sum_{n''} \int_{BZ} dk'' (\langle n\mathbf{k}|\hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}}|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|\hat{\rho}|n'\mathbf{k}'\rangle \\
&\quad - \langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|\hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}}|n'\mathbf{k}'\rangle) \\
&= (\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}'\rangle \\
&\quad - e\mathbf{E} \cdot \sum_{n''} \int_{BZ} dk'' (\langle n\mathbf{k}|\hat{\mathbf{x}}|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|\hat{\rho}|n'\mathbf{k}'\rangle - \langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|\hat{\mathbf{x}}|n'\mathbf{k}'\rangle) \\
&= (\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}'\rangle \\
&\quad - e\mathbf{E} \cdot [i(\nabla_{\mathbf{k}} + \nabla_{\mathbf{k}'}) \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}'\rangle + \sum_{n''} \{ \mathbf{d}_{nn''}(\mathbf{k}) \langle n''\mathbf{k}|\hat{\rho}|n'\mathbf{k}'\rangle - \mathbf{d}_{n''n'}(\mathbf{k}') \langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}'\rangle \}]
\end{aligned} \tag{B1}$$

$$\begin{aligned}
i\hbar \frac{\partial}{\partial t} \langle v\mathbf{k}|\hat{\rho}|v\mathbf{k}\rangle &= -e\mathbf{E} \cdot [i\nabla_{\mathbf{k}} \langle v\mathbf{k}|\hat{\rho}|v\mathbf{k}\rangle + \{ \mathbf{d}_{vc}(\mathbf{k}) \langle c\mathbf{k}|\hat{\rho}|v\mathbf{k}\rangle - \mathbf{d}_{cv}(\mathbf{k}) \langle v\mathbf{k}|\hat{\rho}|c\mathbf{k}\rangle \}] \\
&= -e\mathbf{E} \cdot [i\nabla_{\mathbf{k}} \langle v\mathbf{k}|\hat{\rho}|v\mathbf{k}\rangle - 2i\mathbf{Im}\{ \mathbf{d}_{vc}^*(\mathbf{k}) \langle v\mathbf{k}|\hat{\rho}|c\mathbf{k}\rangle \}]
\end{aligned} \tag{B2}$$

$$\begin{aligned}
i\hbar \frac{\partial}{\partial t} \langle c\mathbf{k}|\hat{\rho}|c\mathbf{k}\rangle &= -e\mathbf{E} \cdot [i\nabla_{\mathbf{k}} \langle c\mathbf{k}|\hat{\rho}|c\mathbf{k}\rangle + \{ \mathbf{d}_{cv}(\mathbf{k}) \langle v\mathbf{k}|\hat{\rho}|c\mathbf{k}\rangle - \mathbf{d}_{vc}(\mathbf{k}) \langle c\mathbf{k}|\hat{\rho}|v\mathbf{k}\rangle \}] \\
&= -e\mathbf{E} \cdot [i\nabla_{\mathbf{k}} \langle c\mathbf{k}|\hat{\rho}|c\mathbf{k}\rangle + 2i\mathbf{Im}\{ \mathbf{d}_{vc}^*(\mathbf{k}) \langle v\mathbf{k}|\hat{\rho}|c\mathbf{k}\rangle \}]
\end{aligned} \tag{B3}$$

$$i\hbar \frac{\partial}{\partial t} \langle v\mathbf{k}|\hat{\rho}|c\mathbf{k}\rangle$$

$$\begin{aligned}
&= (\epsilon_{v\mathbf{k}} - \epsilon_{c\mathbf{k}}) \langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \\
&\quad - e\mathbf{E} \cdot [i\nabla_{\mathbf{k}} \langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle + \sum_{n''} \{ \mathbf{d}_{vn''}(\mathbf{k}) \langle n''\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle - \mathbf{d}_{n''c}(\mathbf{k}) \langle v\mathbf{k} | \hat{\rho} | n''\mathbf{k} \rangle \}] \\
&= -(\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle - ie\mathbf{E} \cdot \nabla_{\mathbf{k}} \langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \\
&\quad - e\mathbf{E} \cdot [-\{\mathbf{d}_{cc}(\mathbf{k}) - \mathbf{d}_{vv}(\mathbf{k})\} \langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle + \mathbf{d}_{vc}(\mathbf{k}) \{ \langle c\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle - \langle v\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle \}] \tag{B4}
\end{aligned}$$

$$\begin{aligned}
H_L &= \text{tr}\{\hat{\rho}(\hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}})\} \\
&= \sum_n \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle \epsilon_{n\mathbf{k}} - e\mathbf{E} \cdot \left\{ \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \langle n'\mathbf{k}' | \hat{\mathbf{x}} | n\mathbf{k} \rangle \right\} \\
&= \sum_n \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle \epsilon_{n\mathbf{k}} \\
&\quad - e\mathbf{E} \cdot \left[ \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \left\{ \frac{1}{2i} (\nabla_{\mathbf{k}} - \nabla_{\mathbf{k}'} ) \langle n'\mathbf{k}' | n\mathbf{k} \rangle + \delta(\mathbf{k}' - \mathbf{k}) \mathbf{d}_{n'n}(\mathbf{k}) \right\} \right] \\
&= \sum_n \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle \epsilon_{n\mathbf{k}} \\
&\quad - e\mathbf{E} \cdot \left\{ \sum_n \int d\mathbf{k} \text{Im}(\nabla_{\mathbf{k}} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle) + \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle \mathbf{d}_{n'n}(\mathbf{k}) \right\} \\
&= \sum_n \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle \epsilon_{n\mathbf{k}} - e\mathbf{E} \cdot \left\{ \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle \mathbf{d}_{n'n}(\mathbf{k}) \right\} \tag{B5}
\end{aligned}$$

$$\begin{aligned}
H_L^2 &= \sum_n \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle \epsilon_{n\mathbf{k}} - e\mathbf{E} \cdot \left\{ \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle \mathbf{d}_{n'n}(\mathbf{k}) \right\} \\
&= \int d\mathbf{k} (\langle v\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle \epsilon_{v\mathbf{k}} + \langle c\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \epsilon_{c\mathbf{k}}) \\
&\quad - e\mathbf{E} \cdot \int d\mathbf{k} [\langle v\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle \mathbf{d}_{vv}(\mathbf{k}) + \langle c\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \mathbf{d}_{cc}(\mathbf{k}) + 2\text{Re}\{\langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \mathbf{d}_{vc}^*(\mathbf{k})\}] \tag{B6}
\end{aligned}$$

## Appendix C: Bloch-basis and velocity gauge

$$\begin{aligned}
&i\hbar \frac{\partial}{\partial t} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \\
&= \sum_{n''} \int d\mathbf{k}'' (\langle n\mathbf{k} | \hat{H}_0 - \frac{e\mathbf{A} \cdot \hat{\mathbf{p}}}{m} | n''\mathbf{k}'' \rangle \langle n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' \rangle \\
&\quad - \langle n\mathbf{k} | \hat{\rho} | n''\mathbf{k}'' \rangle \langle n''\mathbf{k}'' | \hat{H}_0 - \frac{e\mathbf{A} \cdot \hat{\mathbf{p}}}{m} | n'\mathbf{k}' \rangle)
\end{aligned}$$

$$\begin{aligned}
& =(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) < n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' > \\
& \quad - \frac{e\mathbf{A}}{m} \cdot \sum_{n''} \int d\mathbf{k}'' \{ < n\mathbf{k} | \hat{\mathbf{p}} | n''\mathbf{k}'' > < n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' > - < n\mathbf{k} | \hat{\rho} | n''\mathbf{k}'' > < n''\mathbf{k}'' | \hat{\mathbf{p}} | n'\mathbf{k}' > \} \\
& =(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) < n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' > \\
& \quad - \frac{e\mathbf{A}}{\hbar} \cdot \sum_{n''} \int d\mathbf{k}'' [(\epsilon_{n\mathbf{k}} - \epsilon_{n''\mathbf{k}''}) \{ \nabla_{\mathbf{k}''} < n\mathbf{k} | n''\mathbf{k}'' > + i\delta(\mathbf{k} - \mathbf{k}'') \mathbf{d}_{nn''}(\mathbf{k}) \} < n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' > \\
& \quad - < n\mathbf{k} | \hat{\rho} | n''\mathbf{k}'' > (\epsilon_{n''\mathbf{k}''} - \epsilon_{n'\mathbf{k}'}) \{ -\nabla_{\mathbf{k}''} < n''\mathbf{k}'' | n'\mathbf{k}' > + i\delta(\mathbf{k}'' - \mathbf{k}') \mathbf{d}_{n''n'}(\mathbf{k}') \}] \\
& =(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) < n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' > \\
& \quad - \frac{e\mathbf{A}}{\hbar} \cdot (\nabla_{\mathbf{k}} \epsilon_{n\mathbf{k}} - \nabla_{\mathbf{k}'} \epsilon_{n'\mathbf{k}'}) < n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' > \\
& \quad - \frac{ie\mathbf{A}}{\hbar} \cdot \sum_{n''} [(\epsilon_{n\mathbf{k}} - \epsilon_{n''\mathbf{k}}) \mathbf{d}_{nn''}(\mathbf{k}) < n''\mathbf{k} | \hat{\rho} | n'\mathbf{k}' > - (\epsilon_{n''\mathbf{k}'} - \epsilon_{n'\mathbf{k}'}) < n\mathbf{k} | \hat{\rho} | n''\mathbf{k}' > \mathbf{d}_{n''n'}(\mathbf{k}')]
\end{aligned} \tag{C1}$$

$$\begin{aligned}
& i\hbar \frac{\partial}{\partial t} < v\mathbf{k} | \hat{\rho} | v\mathbf{k} > \\
& = -\frac{ie\mathbf{A}}{\hbar} \cdot \{ (\epsilon_{v\mathbf{k}} - \epsilon_{c\mathbf{k}}) \mathbf{d}_{vc}(\mathbf{k}) < c\mathbf{k} | \hat{\rho} | v\mathbf{k} > - (\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > \mathbf{d}_{cv}(\mathbf{k}) \} \\
& = +\frac{ie\mathbf{A}}{\hbar} \cdot [2(\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \mathbf{Re}\{ \mathbf{d}_{vc}^*(\mathbf{k}) < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > \}]
\end{aligned} \tag{C2}$$

$$\begin{aligned}
& i\hbar \frac{\partial}{\partial t} < c\mathbf{k} | \hat{\rho} | c\mathbf{k} > \\
& = -\frac{ie\mathbf{A}}{\hbar} \cdot \{ (\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \mathbf{d}_{cv}(\mathbf{k}) < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > - (\epsilon_{v\mathbf{k}} - \epsilon_{c\mathbf{k}}) < c\mathbf{k} | \hat{\rho} | v\mathbf{k} > \mathbf{d}_{vc}(\mathbf{k}) \} \\
& = -\frac{ie\mathbf{A}}{\hbar} \cdot [2(\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \mathbf{Re}\{ \mathbf{d}_{vc}^*(\mathbf{k}) < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > \}]
\end{aligned} \tag{C3}$$

$$\begin{aligned}
& i\hbar \frac{\partial}{\partial t} < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > \\
& = (\epsilon_{v\mathbf{k}} - \epsilon_{c\mathbf{k}}) < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > - \frac{e\mathbf{A}}{\hbar} \cdot \{ (\nabla_{\mathbf{k}} \epsilon_{v\mathbf{k}} - \nabla_{\mathbf{k}} \epsilon_{c\mathbf{k}}) < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > \} \\
& \quad - \frac{ie\mathbf{A}}{\hbar} \cdot \{ (\epsilon_{v\mathbf{k}} - \epsilon_{c\mathbf{k}}) \mathbf{d}_{vc}(\mathbf{k}) < c\mathbf{k} | \hat{\rho} | c\mathbf{k} > - (\epsilon_{v\mathbf{k}} - \epsilon_{c\mathbf{k}}) < v\mathbf{k} | \hat{\rho} | v\mathbf{k} > \mathbf{d}_{vc}(\mathbf{k}) \} \\
& = -(\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > + \frac{e\mathbf{A}}{\hbar} \cdot \{ (\nabla_{\mathbf{k}} \epsilon_{c\mathbf{k}} - \nabla_{\mathbf{k}} \epsilon_{v\mathbf{k}}) < v\mathbf{k} | \hat{\rho} | c\mathbf{k} > \} \\
& \quad + \frac{ie\mathbf{A}}{\hbar} \cdot \{ (\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \mathbf{d}_{vc}(\mathbf{k}) (< c\mathbf{k} | \hat{\rho} | c\mathbf{k} > - < v\mathbf{k} | \hat{\rho} | v\mathbf{k} >) \}
\end{aligned} \tag{C4}$$

$$\begin{aligned}
H_V & = tr \{ \hat{\rho} (\hat{H}_0 - \frac{e\mathbf{A} \cdot \hat{\mathbf{p}}}{m}) \} \\
& = \sum_n \int d\mathbf{k} < n\mathbf{k} | \hat{\rho} | n\mathbf{k} > \epsilon_{n\mathbf{k}} - \frac{e\mathbf{A}}{m} \cdot \{ \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' < n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' > < n'\mathbf{k}' | \hat{\mathbf{p}} | n\mathbf{k} > \} \\
& = \sum_n \int d\mathbf{k} < n\mathbf{k} | \hat{\rho} | n\mathbf{k} > \epsilon_{n\mathbf{k}}
\end{aligned}$$



$$\begin{aligned}
& -\frac{e\mathbf{A}}{\hbar} \cdot \left[ \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' (\epsilon_{n'\mathbf{k}'} - \epsilon_{n\mathbf{k}}) \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \{ \nabla_{\mathbf{k}} \langle n'\mathbf{k}' | n\mathbf{k} \rangle + i\delta(\mathbf{k}' - \mathbf{k}) \mathbf{d}_{n'n}(\mathbf{k}) \} \right] \\
& = \sum_n \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle \epsilon_{n\mathbf{k}} \\
& -\frac{e\mathbf{A}}{\hbar} \cdot \left[ \sum_n \int d\mathbf{k} \nabla_{\mathbf{k}} \epsilon_{n\mathbf{k}} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle + i \sum_{n \neq n'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle (\epsilon_{n'\mathbf{k}'} - \epsilon_{n\mathbf{k}}) \mathbf{d}_{n'n}(\mathbf{k}) \right] \quad (\text{C5})
\end{aligned}$$

$$\begin{aligned}
H_V^2 & = \int d\mathbf{k} (\langle v\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle \epsilon_{v\mathbf{k}} + \langle c\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \epsilon_{c\mathbf{k}}) \\
& -\frac{e\mathbf{A}}{\hbar} \cdot \int d\mathbf{k} (\langle v\mathbf{k} | \hat{\rho} | v\mathbf{k} \rangle \nabla_{\mathbf{k}} \epsilon_{v\mathbf{k}} + \langle c\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \nabla_{\mathbf{k}} \epsilon_{c\mathbf{k}}) \\
& +\frac{2e\mathbf{A}}{\hbar} \cdot \int d\mathbf{k} (\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \mathbf{Im} \{ \langle v\mathbf{k} | \hat{\rho} | c\mathbf{k} \rangle \mathbf{d}_{vc}^*(\mathbf{k}) \} \quad (\text{C6})
\end{aligned}$$

#### Appendix D: velocity/current and position

$$\begin{aligned}
J & = -e \frac{\partial}{\partial t} \text{tr}(\hat{\rho} \hat{\mathbf{x}}) \\
& = -e \text{tr} \left( \frac{\partial \hat{\rho}}{\partial t} \hat{\mathbf{x}} \right) \\
& = -\frac{e}{i\hbar} \text{tr}([\hat{H}, \hat{\rho}] \hat{\mathbf{x}}) \\
& = -\frac{e}{i\hbar} \text{tr} \{ \hat{\rho} (\hat{\mathbf{x}} \hat{H} - \hat{H} \hat{\mathbf{x}}) \} \\
& = -\frac{e}{i\hbar} \text{tr} \{ \hat{\rho} [\hat{\mathbf{x}}, \hat{H}] \} \\
& = -\frac{e}{m} \text{tr} \{ \hat{\rho} (\hat{\mathbf{p}} - e\mathbf{A}) \} \\
& = -\frac{e}{m} \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \langle n'\mathbf{k}' | \hat{\mathbf{p}} | n\mathbf{k} \rangle + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \\
& = -\frac{e}{\hbar} \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle (\epsilon_{n'\mathbf{k}'} - \epsilon_{n\mathbf{k}}) \{ \nabla_{\mathbf{k}} \langle n'\mathbf{k}' | n\mathbf{k} \rangle + i\delta(\mathbf{k}' - \mathbf{k}) \mathbf{d}_{n'n}(\mathbf{k}) \} \\
& \quad + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \\
& = +\frac{e}{\hbar} \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \nabla_{\mathbf{k}} \{ \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle (\epsilon_{n'\mathbf{k}'} - \epsilon_{n\mathbf{k}}) \} \langle n'\mathbf{k}' | n\mathbf{k} \rangle \\
& \quad - \frac{e}{\hbar} \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle (\epsilon_{n'\mathbf{k}} - \epsilon_{n\mathbf{k}}) \{ i\mathbf{d}_{n'n}(\mathbf{k}) \} + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \\
& = -\frac{e}{\hbar} \sum_n \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n\mathbf{k} \rangle \nabla_{\mathbf{k}} \epsilon_{n\mathbf{k}} - \frac{e}{\hbar} \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k} \rangle (\epsilon_{n'\mathbf{k}} - \epsilon_{n\mathbf{k}}) \{ i\mathbf{d}_{n'n}(\mathbf{k}) \} + \frac{e^2 \mathbf{A}}{m} \text{tr}(\hat{\rho}) \quad (\text{D1})
\end{aligned}$$

$$\begin{aligned}
J^2 &= -\frac{e}{\hbar} \int d\mathbf{k} (\langle v\mathbf{k}|\hat{\rho}|v\mathbf{k} \rangle \nabla_{\mathbf{k}}\epsilon_{v\mathbf{k}} + \langle c\mathbf{k}|\hat{\rho}|c\mathbf{k} \rangle \nabla_{\mathbf{k}}\epsilon_{c\mathbf{k}}) + \frac{e^2\mathbf{A}}{m} tr(\hat{\rho}) \\
&\quad -\frac{e}{\hbar} \int d\mathbf{k} [\langle v\mathbf{k}|\hat{\rho}|c\mathbf{k} \rangle (\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \{i\mathbf{d}_{cv}(\mathbf{k})\} + \langle c\mathbf{k}|\hat{\rho}|v\mathbf{k} \rangle (\epsilon_{v\mathbf{k}} - \epsilon_{c\mathbf{k}}) \{i\mathbf{d}_{vc}(\mathbf{k})\}] \\
&= -\frac{e}{\hbar} \int d\mathbf{k} (\langle v\mathbf{k}|\hat{\rho}|v\mathbf{k} \rangle \nabla_{\mathbf{k}}\epsilon_{v\mathbf{k}} + \langle c\mathbf{k}|\hat{\rho}|c\mathbf{k} \rangle \nabla_{\mathbf{k}}\epsilon_{c\mathbf{k}}) + \frac{e^2\mathbf{A}}{m} tr(\hat{\rho}) \\
&\quad + \frac{e}{\hbar} \int d\mathbf{k} (\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}) \mathbf{Im}[\langle v\mathbf{k}|\hat{\rho}|c\mathbf{k} \rangle \mathbf{d}_{vc}]
\end{aligned} \tag{D2}$$

$$\begin{aligned}
\mathbf{x} &= tr(\hat{\rho}\hat{\mathbf{x}}) \\
&= \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}' \rangle \langle n'\mathbf{k}'|\hat{\mathbf{x}}|n\mathbf{k} \rangle \\
&= \sum_{nn'} \int d\mathbf{k} \int d\mathbf{k}' \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}' \rangle \left\{ \frac{1}{2i} (\nabla_{\mathbf{k}} - \nabla_{\mathbf{k}'} ) \langle n'\mathbf{k}'|n\mathbf{k} \rangle + \delta(\mathbf{k}' - \mathbf{k}) \mathbf{d}_{n'n}(\mathbf{k}) \right\} \\
&= \sum_{nn'} \int d\mathbf{k} \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k} \rangle \mathbf{d}_{n'n}(\mathbf{k})
\end{aligned} \tag{D3}$$

$$\mathbf{x}^2 = \int d\mathbf{k} \{ \langle v\mathbf{k}|\hat{\rho}|v\mathbf{k} \rangle \mathbf{d}_{vv}(\mathbf{k}) + \langle c\mathbf{k}|\hat{\rho}|c\mathbf{k} \rangle \mathbf{d}_{cc}(\mathbf{k}) + 2\text{Re}\{ \langle v\mathbf{k}|\hat{\rho}|c\mathbf{k} \rangle \mathbf{d}_{vc}^*(\mathbf{k}) \} \} \tag{D4}$$

## Appendix E: The gauge transformations

$$|x\rangle \rightarrow |x'\rangle = U(x)|x\rangle \quad (\text{E1})$$

$$|k\rangle \rightarrow |k'\rangle = U(k)|k\rangle \quad (\text{E2})$$

where  $U(x)$  and  $U(k)$  are unitary. **note: I have no idea if  $U(x)$  and  $U(k)$  are independent.**

$$\phi \rightarrow \phi' = \phi + \frac{\hbar}{e} \frac{\partial \chi}{\partial t} \quad (\text{E3})$$

$$\mathbf{A} \rightarrow \mathbf{A}' = \mathbf{A} + \frac{\hbar}{e} \nabla \chi \quad (\text{E4})$$

**note:  $H^V$  is not the hamilton of the whole system; what is does  $\mathbf{A}$  mean?**

$$\hat{O} \rightarrow U^\dagger \hat{O} U$$

$$e^A B e^{-A} = B + [A, B] + \frac{1}{2} [A, [A, B]] + \dots$$

By Campbell-Baker-Hausdorff formula, the hamiltonian transforms as

$$\begin{aligned} \hat{H}^V &= \frac{(\hat{\mathbf{p}} - e\mathbf{A})^2}{2m} + V(\hat{x}) \\ \rightarrow \hat{H}^L &= \exp\left(-\frac{ie\tilde{\mathbf{A}} \cdot \hat{\mathbf{x}}}{\hbar}\right) \hat{H}^V \exp\left(\frac{ie\tilde{\mathbf{A}} \cdot \hat{\mathbf{x}}}{\hbar}\right) \\ &= \hat{H}^V + \frac{ie}{\hbar} [\tilde{\mathbf{A}} \cdot \hat{\mathbf{x}}, \hat{H}^V] + o^2 \\ &= \hat{H}^V + \frac{ie}{\hbar} \{ \tilde{\mathbf{A}} \cdot [\hat{\mathbf{x}}, \hat{H}^V] + [\tilde{\mathbf{A}}, \hat{H}^V] \cdot \hat{\mathbf{x}} \} + o^2 \\ &= \hat{H}^V + \frac{ie}{\hbar} \{ \tilde{\mathbf{A}} \cdot (i\hbar \frac{\hat{\mathbf{p}} - e\mathbf{A}}{m}) + (-i\hbar \frac{\partial \tilde{\mathbf{A}}}{\partial t}) \cdot \hat{\mathbf{x}} \} + o^2 \\ &= \frac{\hat{\mathbf{p}}^2}{2m} - e\mathbf{E} \cdot \hat{\mathbf{x}} + V(\hat{\mathbf{x}}) + (\text{constant}). \end{aligned} \quad (\text{E5})$$

where the vector potential  $\tilde{\mathbf{A}}$  is formally an operator. **note:  $H^V$  is not the hamilton of the whole system; what does  $\mathbf{A}$  mean?**

Hereafter we consider 1-dimensional case. According to the Campbell-Baker-Hausdorff formula, the density operator transforms as

$$\begin{aligned}\hat{\rho}^V &\rightarrow \hat{\rho}^L = \exp\left(-\frac{ie\tilde{A}\hat{x}}{\hbar}\right)\hat{\rho}^V\exp\left(\frac{ie\tilde{A}\hat{x}}{\hbar}\right) \\ &= \hat{\rho}^V - \frac{ie\tilde{A}}{\hbar}[\hat{x}, \hat{\rho}^V] - \frac{e^2\tilde{A}^2}{\hbar^2}[\hat{x}, [\hat{x}, \hat{\rho}^V]] + O(\tilde{A}^3)\end{aligned}\quad (\text{E6})$$

## Appendix F: variation principle and charge; the Neother's theorem

## Appendix G: Wannier basis

$n$  is the band index,  $\mathbf{k}$  is the crystal momentum,  $\mathbf{R}$  is the Bravais Lattice The Bloch states  $\{|n\mathbf{k}\rangle\}$  and Wannier states  $\{|n\mathbf{R}\rangle\}$  are related by the Fourier expansion.

$$|n\mathbf{R}\rangle = \frac{1}{\sqrt{\Omega}} \int_{BZ} d\mathbf{k} \exp(-i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{k}\rangle \quad (\text{G1})$$

$$= \frac{1}{\sqrt{\Omega}} \int_{BZ} d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \hat{\mathbf{x}})\} |u_{n\mathbf{k}}\rangle \quad (\text{G2})$$

$$\langle \mathbf{x} | n\mathbf{R} \rangle = \frac{1}{\sqrt{\Omega}} \int_{BZ} d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{x})\} \langle \mathbf{x} | u_{n\mathbf{k}} \rangle \quad (\text{G3})$$

$$|n\mathbf{k}\rangle = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}} \exp(i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{R}\rangle \quad (\text{G4})$$

$$\begin{aligned}\langle n\mathbf{R} | n\mathbf{R} \rangle &= \frac{1}{\Omega} \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp\{-i(\mathbf{k} - \mathbf{k}') \cdot \mathbf{R}\} \langle n\mathbf{k} | n\mathbf{k}' \rangle \\ &= \frac{1}{\Omega} \int_{BZ} dk \\ &= 1\end{aligned}\quad (\text{G5})$$

$$\begin{aligned}\langle n\mathbf{k} | n\mathbf{k} \rangle &= \frac{1}{N} \sum_{\mathbf{R}\mathbf{R}'} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \langle n\mathbf{R} | n\mathbf{R}' \rangle \\ &= \frac{1}{\Omega} \int_{BZ} dk \\ &= 1\end{aligned}\quad (\text{G6})$$

$$\begin{aligned}|n\mathbf{k}\rangle &= \frac{1}{\sqrt{N}} \sum_{\mathbf{R}} \exp(i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{R}\rangle \\ &= \frac{1}{\sqrt{N\Omega}} \sum_{\mathbf{R}} \int_{BZ} d\mathbf{k}' \exp\{i(\mathbf{k} - \mathbf{k}') \cdot \mathbf{R}\} |n\mathbf{k}'\rangle \\ |n\mathbf{R}\rangle &= \frac{1}{\sqrt{\Omega}} \int_{BZ} d\mathbf{k} \exp(-i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{k}\rangle \\ &= \frac{1}{\sqrt{N\Omega}} \sum_{\mathbf{R}'} \int_{BZ} d\mathbf{k} \exp\{-i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} |n\mathbf{R}'\rangle\end{aligned}\quad (\text{G7})$$

where  $N$  is number of Bravais lattice points, and  $\Omega$  is the volume of a Brillouin Zone. The matrix element of an operator  $\hat{O}$  is transferred to

$$\langle n\mathbf{R}|\hat{O}|n'\mathbf{R}'\rangle \propto \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp[i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')] \langle n\mathbf{k}|\hat{O}|n'\mathbf{k}'\rangle \quad (\text{G8})$$

$$\begin{aligned} \langle n\mathbf{R}|\hat{H}_0|n'\mathbf{R}'\rangle &\propto \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp\{i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')\} \langle n\mathbf{k}|\hat{H}_0|n'\mathbf{k}'\rangle \\ &= \int d\mathbf{k} \exp\{i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \delta_{nn'} \epsilon_{n\mathbf{k}} \end{aligned} \quad (\text{G9})$$

$$\equiv \delta_{nn'} \tilde{\epsilon}_n(\mathbf{R} - \mathbf{R}') \quad (\text{G10})$$

$$(\text{G11})$$

$$\begin{aligned} \langle n\mathbf{R}|\hat{\mathbf{x}}|n'\mathbf{R}'\rangle &= \frac{1}{\Omega} \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp\{i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')\} \langle n\mathbf{k}|\hat{\mathbf{x}}|n'\mathbf{k}'\rangle \\ &= \frac{1}{\Omega} \int \int_{BZ} d\mathbf{k} d\mathbf{k}' \exp\{i(\mathbf{k} \cdot \mathbf{R} - \mathbf{k}' \cdot \mathbf{R}')\} \{i\nabla_{\mathbf{k}} \langle n\mathbf{k}|n'\mathbf{k}'\rangle + \delta(\mathbf{k} - \mathbf{k}') \mathbf{d}_{nn'}(\mathbf{k})\} \\ &= \frac{1}{\Omega} \int d\mathbf{k} \exp\{i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \{\delta_{nn'} \mathbf{R} + \mathbf{d}_{nn'}(\mathbf{k})\} \end{aligned} \quad (\text{G12})$$

$$= \delta_{nn'} \delta_{\mathbf{R}\mathbf{R}'} \mathbf{R} + \tilde{\mathbf{d}}_{nn'}(\mathbf{R} - \mathbf{R}') \quad (\text{G13})$$

$$(\text{G14})$$

here we define  $\tilde{\mathbf{d}}_{nn'}(\mathbf{R} - \mathbf{R}') \equiv \frac{1}{\Omega} \int d\mathbf{k} \exp\{i\mathbf{k} \cdot (\mathbf{R} - \mathbf{R}')\} \mathbf{d}_{nn'}(\mathbf{k})$ .

$$\begin{aligned} \langle n\mathbf{R}||[\hat{\mathbf{x}}, \hat{\rho}]|n'\mathbf{R}'\rangle &= \sum_{n''\mathbf{R}''} (\langle n\mathbf{R}|\hat{\mathbf{x}}|n''\mathbf{R}''\rangle \langle n''\mathbf{R}''|\hat{\rho}|n'\mathbf{R}'\rangle - \langle n\mathbf{R}|\hat{\rho}|n''\mathbf{R}''\rangle \langle n''\mathbf{R}''|\hat{\mathbf{x}}|n'\mathbf{R}'\rangle) \\ &= \sum_{n''\mathbf{R}''} [\{\delta_{nn''} \delta_{\mathbf{R}\mathbf{R}''} \mathbf{R} + \tilde{\mathbf{d}}_{nn''}(\mathbf{R} - \mathbf{R}'')\} \langle n''\mathbf{R}''|\hat{\rho}|n'\mathbf{R}'\rangle \\ &\quad - \langle n\mathbf{R}|\hat{\rho}|n''\mathbf{R}''\rangle \{\delta_{n''n'} \delta_{\mathbf{R}''\mathbf{R}'} \mathbf{R}' + \tilde{\mathbf{d}}_{n''n'}(\mathbf{R}'' - \mathbf{R}')\}] \\ &= (\mathbf{R} - \mathbf{R}') \langle n\mathbf{R}|\hat{\rho}|n'\mathbf{R}'\rangle \\ &\quad + \sum_{n''\mathbf{R}''} \{\tilde{\mathbf{d}}_{nn''}(\mathbf{R} - \mathbf{R}'')\} \langle n''\mathbf{R}''|\hat{\rho}|n'\mathbf{R}'\rangle - \langle n\mathbf{R}|\hat{\rho}|n''\mathbf{R}''\rangle \tilde{\mathbf{d}}_{n''n'}(\mathbf{R}'' - \mathbf{R}') \} \end{aligned} \quad (\text{G15})$$

$$\langle n\mathbf{R}||[\hat{H}_0, \hat{\rho}]|n'\mathbf{R}'\rangle = \sum_{n''\mathbf{R}''} (\langle n\mathbf{R}|\hat{H}_0|n''\mathbf{R}''\rangle \langle n''\mathbf{R}''|\hat{\rho}|n'\mathbf{R}'\rangle - \langle n\mathbf{R}|\hat{\rho}|n''\mathbf{R}''\rangle \langle n''\mathbf{R}''|\hat{H}_0|n'\mathbf{R}'\rangle)$$

$$\begin{aligned}
&= \sum_{n''\mathbf{R}''} \{ \delta_{nn''} \tilde{\epsilon}_n(\mathbf{R} - \mathbf{R}'') \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \delta_{n''n'} \tilde{\epsilon}_{n'}(\mathbf{R}'' - \mathbf{R}') \} \\
&= \sum_{\mathbf{R}''} \{ \tilde{\epsilon}_n(\mathbf{R} - \mathbf{R}'') \langle n\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n'\mathbf{R}'' \rangle \tilde{\epsilon}_{n'}(\mathbf{R}'' - \mathbf{R}') \}
\end{aligned} \tag{G16}$$

$$\begin{aligned}
i\hbar \langle n\mathbf{R} | \hat{\rho} | n'\mathbf{R}' \rangle &= \sum_{n''\mathbf{R}''} ( \langle n\mathbf{R} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\mathbf{x}} | n'\mathbf{R}' \rangle ) \\
&= \sum_{n''\mathbf{R}''} ( \langle n\mathbf{R} | \hat{\mathbf{x}} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\mathbf{x}} | n'\mathbf{R}' \rangle )
\end{aligned} \tag{G17}$$

## Appendix H: the Wannier representation -Length gauge

$$\begin{aligned}
&\frac{\partial}{\partial t} \langle n\mathbf{R} | \hat{\rho} | n'\mathbf{R}' \rangle \\
&= \frac{1}{i\hbar} \sum_{n''\mathbf{R}''} ( \langle n\mathbf{R} | \hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{\rho} | n'\mathbf{R}' \rangle \\
&\quad - \langle n\mathbf{R} | \hat{\rho} | n''\mathbf{R}'' \rangle \langle n''\mathbf{R}'' | \hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}} | n'\mathbf{R}' \rangle ) \\
&= \frac{1}{i\hbar} [ (\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'} ) \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \\
&\quad - e\mathbf{E} \cdot ( \langle n\mathbf{k} | \hat{\mathbf{x}} | n\mathbf{k} \rangle - \langle n'\mathbf{k}' | \hat{\mathbf{x}} | n'\mathbf{k}' \rangle ) \langle n\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle \\
&\quad - e\mathbf{E} \cdot \{ \sum_{n'' \neq n; \mathbf{k}''} \langle n\mathbf{k} | \hat{\mathbf{x}} | n''\mathbf{k}'' \rangle \langle n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' \rangle \\
&\quad - \sum_{n'' \neq n'; \mathbf{k}''} \langle n\mathbf{k} | \hat{\rho} | n''\mathbf{k}'' \rangle \langle n''\mathbf{k}'' | \hat{\mathbf{x}} | n'\mathbf{k}' \rangle \} ]
\end{aligned}$$

$$\begin{aligned}
\frac{\partial \rho_{n',n}(l', l; t)}{\partial t} &= \frac{1}{i\hbar} \sum_{n'', l''} \{ [\epsilon_{n'}(l' - l'') \delta_{n'n''}] \\
&\quad - eE_0 \cdot \Delta_{n'n''}(l' - l'') + eV_{n'n''}(l', l'') ] \rho_{n'',n} \\
&\quad - [\epsilon_n(l'' - l) \delta_{n'n''}] - eE_0 \cdot \Delta_{n'n''}(l' - l'') \\
&\quad + eV_{n'n''}(l', l'') ] \rho_{n'',n} \}
\end{aligned}$$

$$\text{ここで } \mathbf{m}(x; t) = \frac{1}{\sqrt{(N)}} \sum_l \exp(iKl) A_n(x - l; t)$$

$\epsilon_n(l' - l'')$  と  $\Delta_{nn'}(l - l')$  は双極子遷移のフーリエ展開に対応

$$\epsilon_n(l' - l'') = \frac{1}{N} \sum_K \exp(-ik \cdot (l - l'')) \epsilon_n(k - \frac{e}{\hbar c} A_0)$$

$$\Delta_{nn'}(l-l') = \frac{1}{N} \sum_K \exp(-ik \cdot (l-l')) R_{nn'}(k)$$

$$V_{n'n}(l', l) = \int dx W_{n'}^*(x, l') V(x, t) W_n(x, l)$$

$$R_{nn'}(k) = \frac{i}{\Omega} \int dx U_{n'k}^* \nabla_k U_{nk}$$

## Appendix I: the Wannier representation -Velocity gauge

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## Appendix J: The Maximally localized Wannier function

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- <sup>1</sup> Péter Földi. Gauge invariance and interpretation of interband and intraband processes in high-order harmonic generation from bulk solids. *Phys. Rev. B*, 96:035112, Jul 2017.
- <sup>2</sup> E.I. Blount. Formalisms of band theory. volume 13 of *Solid State Physics*, pages 305 – 373. Academic Press, 1962.
- <sup>3</sup> Gerald J. Iafrate and Joseph B. Krieger. Quantum transport for bloch electrons in inhomogeneous electric fields. *Phys. Rev. B*, 40:6144–6148, Sep 1989.