The Liouville equation for high harmonic generation in solid: the electromagnetic gauge dependence and the Bloch/Wannier representation

Nobuyoshi Hiramatsu

Department of Applied Physics, the University of Tokyo

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Abstract

We provide representations of the Liouville equation in various basis and gauges .

I. INTRODUCTION

Let $\hat{\rho}$ be the reduced density operator and \hat{H} be the hamiltonian of the system, then the time development of the system is calculated by the Liouville equation, or the von Neumann equation.

$$i\hbar \frac{\partial \hat{\rho}}{\partial t} = [\hat{H}, \hat{\rho}] \tag{1}$$

The righthand side of the second line corresponds the anti-Hermite component of the $\hat{H}\hat{\rho}/\hbar$. Let $|\mathbf{m}>$ be the time-independent orthonormal basis satisfying the complete relation $\sum_{\mathbf{m}} |\mathbf{m}> <\mathbf{m}| = 1$. Then we have the matrix representation of the Liouville equation as follows.

$$i\hbar \frac{\partial}{\partial t} < \mathbf{m}|\hat{\rho}|\mathbf{m}'> = < \mathbf{m}|\hat{H}\hat{\rho} - \hat{\rho}\hat{H}|\mathbf{m}'>$$

$$= \sum_{\mathbf{m}''} (< \mathbf{m}|\hat{H}|\mathbf{m}''> < \mathbf{m}''|\hat{\rho}|\mathbf{m}'> - < \mathbf{m}|\hat{\rho}|\mathbf{m}''> < \mathbf{m}''|\hat{H}|\mathbf{m}'>). (2)$$

The Bloch states $\{|n\mathbf{k}\rangle\}$ and Wannier states $\{|n\mathbf{R}\rangle\}$ are the time-independent orthonormal basis, with the band index n and c, When we consider a system interacting with electromagnetic field, the hamiltonian of the system is

$$\hat{H} = \frac{(\hat{\mathbf{p}} - e\mathbf{A})^2}{2m} - e\phi + \hat{V}(x)$$

$$= H_0 + H_{int}$$
(3)

where **A** is the vector potential, ϕ is the scalar potential, $\hat{H}_0 = \hat{\mathbf{p}}^2/2m + \hat{V}(x)$ is the free hamiltonian without external electric filed, and $H_{int} = (-2e\mathbf{A} \cdot \hat{\mathbf{p}} + e^2|\mathbf{A}|^2)/2m - e\phi$ is the interaction hamiltonian with electric field.

Considering the electromagnetic gauge freedom, the interaction hamiltonian is not uniquely determined (See Appendix). For convenience, we consider the length and velocity gauge from the Coulomb gauge (i.e. the divergence of the vector potential vanishes $\nabla \cdot \mathbf{A} = 0$). In the length gauge, the vector potential is set to be 0 ($\mathbf{A} = \mathbf{0}$). On the other hand, in the velocity gauge($\phi = 0$ The corresponding interaction hamiltonian of the length gauge \hat{H}_{int}^L and that of the velocity gauge \hat{H}_{int}^V are

$$\hat{H}_{int}^{L} = -e\mathbf{E} \cdot \hat{\mathbf{x}},\tag{4}$$

$$\hat{H}_{int}^{V} = \frac{-2e\mathbf{A} \cdot \hat{\mathbf{p}} + e^2 |\mathbf{A}|^2}{2m}.$$
(5)

where $\mathbf{A} = -\int \mathbf{E} dt$ is the vector potential determined by the external electric filed.

emitted field is proportional to electric current flowing through the solid The current is separated into two parts: the interband current and intraband current.¹ electric dipole is expressi

In this report, we calculated the spectra of HHG from solid by numerically solving the Liouville equation with four scheme: the Bloch representation with the length and velocity gauges (Chap. II and III, respectively), and the wannier representation with the length and velocity gauges (Chap. IV and V, respectively)

II. BLOCH REPRESENTATION-LENGTH GAUGE

First we represent the Liouville equation in the Bloch state $|n\mathbf{k}\rangle$ and we fix the length gauge (i.e. $\mathbf{m} = \{n, \mathbf{k}\}; H_{int} = -e\mathbf{E} \cdot \hat{\mathbf{x}}$). Then the equation 2 become

$$i\hbar \frac{\partial}{\partial t} \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}' \rangle$$

$$= \sum_{n''\mathbf{k}''} \int_{BZ} dk'' (\langle n\mathbf{k}|\hat{H}_{0} - e\mathbf{E} \cdot \hat{\mathbf{x}}|n''\mathbf{k}'' \rangle \langle n''\mathbf{k}''|\hat{\rho}|n'\mathbf{k}' \rangle$$

$$- \langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}'' \rangle \langle n''\mathbf{k}''|\hat{H}_{0} - e\mathbf{E} \cdot \hat{\mathbf{x}}|n'\mathbf{k}' \rangle)$$

$$= [(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}' \rangle$$

$$- e\mathbf{E} \cdot \sum_{n''} \int_{BZ} dk'' (\langle n\mathbf{k}|\hat{\mathbf{x}}|n''\mathbf{k}'' \rangle \langle n''\mathbf{k}''|\hat{\rho}|n'\mathbf{k}' \rangle$$

$$- \langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}'' \rangle \langle n''\mathbf{k}''|\hat{\mathbf{x}}|n'\mathbf{k}' \rangle)]$$
(6)

where $\epsilon_{n\mathbf{k}}$ is the eigenenergy of the free hamiltonian corresponding to the eigenstate $|n\mathbf{k}\rangle$, dipole approx., dipole transition, derivative

Here we notice that

$$\int_{BZ} dk'' < n\mathbf{k} |\hat{\mathbf{x}}| n''\mathbf{k}'' > < n''\mathbf{k}'' |\hat{\rho}| n'\mathbf{k}' >$$

$$= \int_{BZ} dk'' (-i\frac{\partial}{\partial \mathbf{k}''} < n\mathbf{k} |n''\mathbf{k}'' > -i < u_{n\mathbf{k}} |\frac{\partial}{\partial \mathbf{k}} u_{n''\mathbf{k}''} >)$$

$$\times < n''\mathbf{k}'' |\hat{\rho}| n'\mathbf{k}' >$$

$$= \int_{BZ} dk'' < n\mathbf{k} |n''\mathbf{k}'' > (i\frac{\partial}{\partial \mathbf{k}''} < n''\mathbf{k}'' |\hat{\rho}| n'\mathbf{k}' >)$$

$$+ d_{nn''}(k) < n''\mathbf{k}'' |\hat{\rho}| n'\mathbf{k}' >$$

$$= i\frac{\partial}{\partial \mathbf{k}} < n\mathbf{k} |\hat{\rho}| n'\mathbf{k}' >$$

$$-\int_{BZ} dk'' \, d_{nn''}(k) < n'' \mathbf{k}'' |\hat{\rho}| n' \mathbf{k}' >,$$

$$\int_{BZ} dk'' < n \mathbf{k} |\hat{\rho}| n'' \mathbf{k}'' > < n'' \mathbf{k}'' |\hat{\mathbf{x}}| n' \mathbf{k}' >$$

$$= \int_{BZ} dk'' < n \mathbf{k} |\hat{\rho}| n'' \mathbf{k}'' >$$

$$\times (i \frac{\partial}{\partial \mathbf{k}''} < n'' \mathbf{k}'' | n' \mathbf{k}' > + i < \frac{\partial}{\partial \mathbf{k}''} u_{n'' \mathbf{k}''} | u_{n' \mathbf{k}'} >)$$

$$= -i \frac{\partial}{\partial \mathbf{k}'} < n \mathbf{k} |\hat{\rho}| n' \mathbf{k}' >$$

$$+ \int_{BZ} dk'' < n \mathbf{k} |\hat{\rho}| n' \mathbf{k}'' > d_{n''n'}(k')$$
(8)

by using the fact²

$$\langle n\mathbf{k}|\hat{\mathbf{x}}|n''\mathbf{k''}\rangle = -i \langle n\mathbf{k}|\{\frac{\partial}{\partial\mathbf{k''}}exp(i\mathbf{k''}\cdot\hat{\mathbf{x}})\}|u_{n''\mathbf{k''}}\rangle$$

$$= -i\frac{\partial}{\partial\mathbf{k''}}\langle n\mathbf{k}|n''\mathbf{k''}\rangle + i \langle u_{n\mathbf{k}}|\frac{\partial}{\partial\mathbf{k''}}u_{n''\mathbf{k''}}\rangle,$$

$$\langle n''\mathbf{k''}|\hat{\mathbf{x}}|n'\mathbf{k'}\rangle = i \langle u_{n''\mathbf{k''}}|\{\frac{\partial}{\partial\mathbf{k''}}exp(-i\mathbf{k''}\cdot\hat{\mathbf{x}})\}|n''\mathbf{k''}\rangle$$

$$= i\frac{\partial}{\partial\mathbf{k''}}\langle n''\mathbf{k''}|n'\mathbf{k'}\rangle - i \langle \frac{\partial}{\partial\mathbf{k''}}u_{n''\mathbf{k''}}|u_{n'\mathbf{k'}}\rangle$$

where $d_{nn''}(k) = \langle u_{n\mathbf{k}} | i \frac{\partial}{\partial \mathbf{k}} | u_{n''\mathbf{k}''} \rangle$ is the dipole transition matrix.

By using the formula 7 and 8 we finally obtain the expression

$$\frac{\partial}{\partial t} \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}' \rangle
= \frac{1}{i\hbar} [(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}' \rangle
-e\mathbf{E} \cdot \sum_{n''} \int_{BZ} dk'' (\langle n\mathbf{k}|\hat{\mathbf{x}}|n''\mathbf{k}'' \rangle \langle n''\mathbf{k}''|\hat{\rho}|n'\mathbf{k}' \rangle
-\langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}'' \rangle \langle n''\mathbf{k}''|\hat{\mathbf{x}}|n'\mathbf{k}' \rangle].$$
(9)

III. BLOCH REPRESENTATION-VELOCITY GAUGE

Next we consider the velocity gauge with the Bloch representation (i.e. $\mathbf{m} = \{n, \mathbf{k}\};$ $H_{int} = (-2e\mathbf{A} \cdot \hat{\mathbf{p}} + e^2 |\mathbf{A}|^2)/2m$), and apply the dipole approximation that we ignores the $-\mathbf{A}|^2$ term. Then the Liouville equation become

$$\frac{\partial}{\partial t} < n\mathbf{k}|\hat{\rho}|n'\mathbf{k}' >
= \frac{1}{i\hbar} \sum_{n''\mathbf{k}''} (< n\mathbf{k}|\hat{H}_0 - \frac{e\mathbf{A} \cdot \hat{\mathbf{p}}}{m}|n''\mathbf{k}'' > < n''\mathbf{k}''|\hat{\rho}|n'\mathbf{k}' >$$

$$- \langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|\hat{H}_{0} - \frac{e\mathbf{A}\cdot\hat{\mathbf{p}}}{m}|n'\mathbf{k}'\rangle)$$

$$= \frac{1}{i\hbar} [(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}'\rangle$$

$$- \frac{e\mathbf{A}}{i\hbar} \cdot \sum_{n''\mathbf{k}''} \{\langle n\mathbf{k}|[\hat{\mathbf{x}}, \hat{H}_{0}]|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|\hat{\rho}|n'\mathbf{k}'\rangle$$

$$- \langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|[\hat{\mathbf{x}}, \hat{H}_{0}]|n'\mathbf{k}'\rangle\}]$$

$$= \frac{1}{i\hbar} \{(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) \langle n\mathbf{k}|\hat{\rho}|n'\mathbf{k}'\rangle - \frac{e\mathbf{A}}{i\hbar} \cdot$$

$$\sum_{n''\mathbf{k}''} \{(\epsilon_{n''\mathbf{k}''} - \epsilon_{n\mathbf{k}}) \langle n\mathbf{k}|\hat{\mathbf{x}}|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|\hat{\rho}|n'\mathbf{k}'\rangle$$

$$+ (\epsilon_{n''\mathbf{k}''} - \epsilon_{n'\mathbf{k}'}) \langle n\mathbf{k}|\hat{\rho}|n''\mathbf{k}''\rangle \langle n''\mathbf{k}''|\hat{\mathbf{x}}|n'\mathbf{k}'\rangle\}$$

where we used the relation $\hat{\mathbf{p}} = \frac{m}{i\hbar}[\hat{\mathbf{x}}, \hat{H}_0]$.

IV. THE WANNIER REPRESENTATION -LENGTH GAUGE

$$\begin{split} &\frac{\partial}{\partial t} < n\mathbf{R} |\hat{\rho}| n'\mathbf{R}' > \\ &= \frac{1}{i\hbar} \sum_{n''\mathbf{R}''} (< n\mathbf{R} |\hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}} | n''\mathbf{R}'' > < n''\mathbf{R}'' |\hat{\rho}| n'\mathbf{R}' > \\ &- < n\mathbf{R} |\hat{\rho}| n''\mathbf{R}'' > < n''\mathbf{R}'' |\hat{H}_0 - e\mathbf{E} \cdot \hat{\mathbf{x}} | n'\mathbf{R}' >) \\ &= \frac{1}{i\hbar} [(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}'}) < n\mathbf{k} |\hat{\rho}| n'\mathbf{k}' > \\ &- e\mathbf{E} \cdot (< n\mathbf{k} |\hat{\mathbf{x}}| n\mathbf{k} > - < n'\mathbf{k}' |\hat{\mathbf{x}}| n'\mathbf{k}' >) < n\mathbf{k} |\hat{\rho}| n'\mathbf{k}' > \\ &- e\mathbf{E} \cdot \{ \sum_{n'' \neq n; \mathbf{k}''} < n\mathbf{k} |\hat{\rho}| n''\mathbf{k}'' > < n''\mathbf{k}'' |\hat{\rho}| n'\mathbf{k}' > \} \\ &- \sum_{n'' \neq n'; \mathbf{k}''} < n\mathbf{k} |\hat{\rho}| n''\mathbf{k}'' > < n''\mathbf{k}'' |\hat{\mathbf{x}}| n'\mathbf{k}' > \}] \end{split}$$

$$\frac{\partial \rho_{n',n}(l',l;t)}{\partial t} = \frac{1}{i\hbar} \sum_{n'',l''} \{ [\epsilon_{n'}(l'-l'')\delta_{n'n''}] \\ &- eE_0 \cdot \Delta_{n'n''}(l'-l'') + eV_{n'n''}(l',l'')] \rho_{n'',n} \\ &- [\epsilon_n(l''-l)\delta_{n'n''}] - eE_0 \cdot \Delta_{n'n''}(l'-l'') \end{split}$$

ここで
$$\mathbf{m}(x;t)=rac{1}{\sqrt(N)}\sum_l exp(iK\dot{l})A_n(x-l;t)$$
 $\epsilon_n(l'-l'')$ と $\Delta_{nn'}(l-l')$ は双極子遷移のフーリエ展開に対応

 $+eV_{n'n''}(l',l'')]\rho_{n'',n}$

$$\epsilon_n(l'-l'') = \frac{1}{N} \sum_K \exp(-ik \cdot (l-l')) \epsilon_n(k - \frac{e}{\hbar c} A_0)$$

$$\Delta_{nn'}(l-l') = \frac{1}{N} \sum_K \exp(-ik \cdot (l-l')) R_{nn'})(k)$$

$$V_{n'n}(l',l) = \int dx W_{n'}^*(x,l') V(x,t) W_n(x,l)$$

$$R_{nn'}(k) = \frac{i}{\Omega} \int dx U_{n'k}^* \nabla_k U_{nk}$$

V. THE WANNIER REPRESENTATION -VELOCITY GAUGE

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VI. DISCUSSION

VII. CONCLUSION

Appendix A: Fundamental formulas

$$\langle n\mathbf{k}|\hat{\mathbf{x}}|n''\mathbf{k}''\rangle = \langle n\mathbf{k}|\{-i\nabla_{\mathbf{k}''}e^{i\mathbf{k}''\cdot\hat{\mathbf{x}}}\}|u_{n''\mathbf{k}''}\rangle$$

$$= -i\nabla_{\mathbf{k}''}\langle n\mathbf{k}|n''\mathbf{k}''\rangle + i\langle u_{n\mathbf{k}}|e^{-i(\mathbf{k}-\mathbf{k}'')\cdot\hat{\mathbf{x}}}|\nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}\rangle$$

$$= -i\nabla_{\mathbf{k}''}\langle n\mathbf{k}|n''\mathbf{k}''\rangle + i\delta(\mathbf{k}-\mathbf{k}')\langle u_{n\mathbf{k}}|\nabla_{\mathbf{k}}u_{n''\mathbf{k}}\rangle$$

$$\langle n''\mathbf{k}''|\hat{\mathbf{x}}|n'\mathbf{k}'\rangle = \langle u_{n''\mathbf{k}''}|\{i\nabla_{\mathbf{k}''}e^{-i\mathbf{k}''\cdot\hat{\mathbf{x}}}\}|n'\mathbf{k}'\rangle$$

$$= i\nabla_{\mathbf{k}''}\langle n''\mathbf{k}''|n'\mathbf{k}'\rangle - i\langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|e^{-i(\mathbf{k}''-\mathbf{k}')\cdot\hat{\mathbf{x}}}|u_{n'\mathbf{k}'}\rangle$$

$$= i\nabla_{\mathbf{k}''}\langle n''\mathbf{k}''|n'\mathbf{k}'\rangle - i\delta(\mathbf{k}-\mathbf{k}')\langle \nabla_{\mathbf{k}''}u_{n''\mathbf{k}''}|u_{n'\mathbf{k}'}\rangle$$

 $\nabla_{\mathbf{k''}} < n\mathbf{k}|n''\mathbf{k''}>$ is the derivative of the generalized function. note: delta fuction; momentum; $< u_{n\mathbf{k}}|\nabla_{\mathbf{k}}u_{n''\mathbf{k}}>$ is a function?

$$\int d\mathbf{k}'' \langle n\mathbf{k} | \hat{\mathbf{x}} | n''\mathbf{k}'' \rangle \langle n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' \rangle
= \int d\mathbf{k}'' \langle -i\nabla_{\mathbf{k}''} \langle n\mathbf{k} | n''\mathbf{k}'' \rangle + i\delta(\mathbf{k} - \mathbf{k}') \langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} u_{n''\mathbf{k}} \rangle) \langle n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' \rangle
= \int d\mathbf{k}'' \langle n\mathbf{k} | n''\mathbf{k}'' \rangle \langle i\nabla_{\mathbf{k}''} \langle n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' \rangle)
+ \int d\mathbf{k}'' \delta(\mathbf{k} - \mathbf{k}') \langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} u_{n''\mathbf{k}} \rangle \langle n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' \rangle
= i\nabla_{\mathbf{k}} \langle n\mathbf{k} | \hat{\rho} | n''\mathbf{k}' \rangle + i\sum_{n''} \langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} u_{n''\mathbf{k}} \rangle \langle n''\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle
= i\nabla_{\mathbf{k}} \langle n\mathbf{k} | \hat{\rho} | n''\mathbf{k}'' \rangle \langle n''\mathbf{k}'' | \hat{n}'\mathbf{k}' \rangle
= \int d\mathbf{k}'' \langle n\mathbf{k} | \hat{\rho} | n''\mathbf{k}'' \rangle \langle i\nabla_{\mathbf{k}''} \langle n''\mathbf{k}'' | n'\mathbf{k}' \rangle - i\delta(\mathbf{k} - \mathbf{k}') \langle \nabla_{\mathbf{k}''} u_{n''\mathbf{k}''} | u_{n'\mathbf{k}'} \rangle)
= \int d\mathbf{k}'' \langle i\nabla_{\mathbf{k}''} \langle n\mathbf{k} | \hat{\rho} | n''\mathbf{k}'' \rangle) \langle n''\mathbf{k}'' | n'\mathbf{k}' \rangle - i\delta(\mathbf{k} - \mathbf{k}') \langle \nabla_{\mathbf{k}''} u_{n''\mathbf{k}''} | u_{n'\mathbf{k}'} \rangle
= (i\nabla_{\mathbf{k}} \langle n''\mathbf{k} | \hat{\rho} | n'\mathbf{k}' \rangle) \langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} u_{n''\mathbf{k}} \rangle \langle n''\mathbf{k}'' | \hat{\rho} | n'\mathbf{k}' \rangle$$
(A3)

$$[\hat{\mathbf{x}}, \hat{H}_0] = [\hat{\mathbf{x}}, \frac{\hat{\mathbf{p}}}{2m}] = \frac{i\hbar}{m}\hat{\mathbf{p}}$$
(A4)

$$\langle n\mathbf{k}|\hat{\mathbf{p}}|n'\mathbf{k'}\rangle = i\frac{m(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k'}})}{\hbar} \langle n\mathbf{k}|\hat{\mathbf{x}}|n'\mathbf{k'}\rangle$$

$$= i\frac{m(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k'}})}{\hbar} (-i\nabla_{\mathbf{k''}} \langle n\mathbf{k}|n''\mathbf{k''}\rangle + i\delta(\mathbf{k} - \mathbf{k'}) \langle u_{n\mathbf{k}}|\nabla_{\mathbf{k}}u_{n''\mathbf{k}}\rangle) (A6)$$

In particular if $\{n\mathbf{k}\} = \{n'\mathbf{k}'\}$

$$\langle n\mathbf{k}|\hat{\mathbf{p}}|n\mathbf{k}\rangle = i\frac{m}{i\hbar} \langle n\mathbf{k}|[\hat{\mathbf{x}}, \hat{H}_{0}]|n\mathbf{k}\rangle$$

$$= i\frac{m}{i\hbar} \{\langle u_{n\mathbf{k}}|(i\nabla_{\mathbf{k}}e^{-i\mathbf{k}\cdot\hat{\mathbf{x}}})\hat{H}_{0}|n\mathbf{k}\rangle - \langle n\mathbf{k}|\hat{H}_{0}(-i\nabla_{\mathbf{k}}e^{i\mathbf{k}\cdot\hat{\mathbf{x}}})|u_{n\mathbf{k}}\rangle\}$$

$$= i\frac{m}{\hbar} \{\nabla_{\mathbf{k}}\langle n\mathbf{k}|\hat{H}_{0}|n\mathbf{k}\rangle - \langle \nabla_{\mathbf{k}}u_{n\mathbf{k}}|e^{-i\mathbf{k}\cdot\hat{\mathbf{x}}}\rangle\hat{H}_{0}|n\mathbf{k}\rangle - \langle n\mathbf{k}|\hat{H}_{0}e^{i\mathbf{k}\cdot\hat{\mathbf{x}}}\rangle|\nabla_{\mathbf{k}}u_{n\mathbf{k}}\rangle$$

$$= i\frac{m}{\hbar} \{\nabla_{\mathbf{k}}\epsilon_{n\mathbf{k}} - 2\epsilon_{n\mathbf{k}}Re(\langle u_{n\mathbf{k}}|\nabla_{\mathbf{k}}u_{n\mathbf{k}}\rangle)\}$$
(A7)

$$\langle u_{n\mathbf{k}}|e^{-i(\mathbf{k}-\mathbf{k''})\cdot\hat{\mathbf{x}}}\rangle|\nabla_{\mathbf{k''}}u_{n''\mathbf{k''}}\rangle = \int dx \langle u_{n\mathbf{k}}|x\rangle \langle x|\nabla_{\mathbf{k''}}u_{n''\mathbf{k''}}\rangle e^{-i(\mathbf{k}-\mathbf{k''})\cdot\mathbf{x}})$$

$$= \sum_{\mathbf{R}} e^{-i(\mathbf{k}-\mathbf{k''})\cdot\mathbf{R}} \int_{BL} dy \langle u_{n\mathbf{k}}|y\rangle \langle y|\nabla_{\mathbf{k''}}u_{n''\mathbf{k''}}\rangle e^{-i(\mathbf{k}-\mathbf{k''})\cdot\mathbf{y}})$$

$$= \langle u_{n\mathbf{k}}|\nabla_{\mathbf{k}}u_{n''\mathbf{k}}\rangle \delta(k-k'')$$
(A8)

where the integral variable y runs in a Blavais lattice.

Appendix B: variation principle and charge

Appendix C: Wannier basis

n is the band index, \mathbf{k} is the crystal momentum, \mathbf{R} is the Bravais Lattice The Bloch states $\{|n\mathbf{k}>\}$ and Wannier states $\{|n\mathbf{R}>\}$ are related by the Fourier expansion.

$$|n\mathbf{R}\rangle = \frac{1}{\sqrt{\Omega}} \int_{BZ} dk \, exp(-i\mathbf{k} \cdot \mathbf{R}) |n\mathbf{k}\rangle$$
 (C1)

$$|n\mathbf{k}\rangle = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}} exp(i\mathbf{k} \cdot \mathbf{R})$$
 (C2)

where N is number of Bravais lattice points, and Ω is the volume of a Brillouin Zone. The matrix element of an operator \hat{O} is transferred to

$$\langle n\mathbf{R}|\hat{O}|n'\mathbf{R}'\rangle \propto \int \int_{BZ} dkdk'$$

$$\times exp[i(\mathbf{k}\cdot\mathbf{R} - \mathbf{k}'\cdot\mathbf{R}')] < n\mathbf{k}|\hat{O}|n'\mathbf{k}'\rangle$$
(C3)

where $|u_{n''\mathbf{k}''}\rangle = exp(-i\mathbf{k}\cdot\hat{\mathbf{x}})|n''\mathbf{k}''\rangle$ is the periodic part of the Bloch states.

Appendix D: The gauge transformations

$$|x\rangle \to |x'\rangle = U(x)|x\rangle$$
 (D1)

$$|k\rangle \to |k'\rangle = U(k)|k\rangle \tag{D2}$$

where U(x) and U(k) are unitary. note: I have no idea if U(x) and U(k) are independent.

$$\phi \to \phi' = \phi + \frac{\hbar}{e} \frac{\partial \chi}{\partial t}$$
 (D3)

$$\mathbf{A} \to \mathbf{A}' = +\frac{\hbar}{e} \nabla \chi \tag{D4}$$

note: H^V is not the hamilton of the whole system; what is does A mean?

$$\hat{O} \to U^{\dagger} \hat{O} U$$

$$e^{A}Be^{-A} = B + [A, B] + \frac{1}{2}[A, [A, B]] + \dots$$

By Campbell-Baker-Hausdorff formula, the hamiltonian transforms as

$$\begin{split} \hat{H}^V &= \frac{(\hat{\mathbf{p}} - e\mathbf{A})^2}{2m} + V(\hat{x}) \\ \to \hat{H}^L &= exp(-\frac{ie\widetilde{\mathbf{A}} \cdot \hat{\mathbf{x}}}{\hbar}) \hat{H}^V exp(\frac{ie\widetilde{\mathbf{A}} \cdot \hat{\mathbf{x}}}{\hbar}) \\ &= \hat{H}^V + \frac{ie}{\hbar} [\widetilde{\mathbf{A}} \cdot \hat{\mathbf{x}}, \hat{H}^V] + o^2 \\ &= \hat{H}^V + \frac{ie}{\hbar} \{\widetilde{\mathbf{A}} \cdot [\hat{\mathbf{x}}, \hat{H}^V] + [\widetilde{\mathbf{A}}, \hat{H}^V] \cdot \hat{\mathbf{x}}\} + o^2 \\ &= \hat{H}^V + \frac{ie}{\hbar} \{\widetilde{\mathbf{A}} \cdot (i\hbar \frac{\hat{\mathbf{p}} - e\mathbf{A}}{m}) + (-i\hbar \frac{\partial \widetilde{\mathbf{A}}}{\partial t}) \cdot \hat{\mathbf{x}}\} + o^2 \\ &= \frac{\hat{\mathbf{p}}^2}{2m} - e\mathbf{E} \cdot \hat{\mathbf{x}} + V(\hat{\mathbf{x}}) + (constant). \end{split}$$

where the vector potential $\widetilde{\mathbf{A}}$ is formally an operator. note: H^V is not the hamilton of the whole system; what is does A mean?

By Campbell-Baker-Hausdorff formula, the hamiltonian transforms as

$$\hat{\rho}^{V} \to \hat{\rho}^{L} = exp(-\frac{ie\widetilde{\mathbf{A}} \cdot \hat{\mathbf{x}}}{\hbar})\hat{\rho}^{V} exp(\frac{ie\widetilde{\mathbf{A}} \cdot \hat{\mathbf{x}}}{\hbar})$$
(D6)

(D5)

Appendix E: The Maximally localized Wannier function

¹ Péter Földi. Gauge invariance and interpretation of interband and intraband processes in highorder harmonic generation from bulk solids. *Phys. Rev. B*, 96:035112, Jul 2017.

² E.I. Blount. Formalisms of band theory. volume 13 of *Solid State Physics*, pages 305 – 373. Academic Press, 1962.

³ Gerald J. Iafrate and Joseph B. Krieger. Quantum transport for bloch electrons in inhomogeneous electric fields. *Phys. Rev. B*, 40:6144–6148, Sep 1989.