

1. Give the  $\Theta$  for the following and justify your answer:

(a)  $5n^2 + 4n + 3$

$$f(n) \in \Theta(n^2) \text{ by theorem 3.}$$

(b)  $2^n + n!$

$$f(n) \in \Theta(n!), \text{ since } 2^n \in \mathcal{O}(n!)$$

(c)  $n^2 + 2^n$

$$\begin{aligned} 2^n + n^2 &> 2^n \in \Omega(2^n) \\ 2^n + n^2 &< 2^n + 2^n \in O(2^n) \implies f(n) \in \Theta(2^n) \end{aligned}$$

(d)  $\log(n) + n$

$$\begin{aligned} \log(n) + n &> n \in \Omega(n) \\ \log(n) + n &< n + n \in O(n) \implies f(n) \in \Theta(n) \end{aligned}$$

(e)  $\log(n!)$

$$\log(n!) = \log \left( \prod_{i=1}^n k_i \right) = \sum_{k=1}^n \log(k) \in \Theta(n \log n)$$

2. Give a closed form for the following, then give the  $\Theta$

(a)  $a_0 = 5$   
 $a_n = 3a_{n-1}$

$$= 5 + 3(5) + 3(3(5)5) + 3(3(3(15)))$$

$$= \sum_{k=0}^n 3^k(5) = \frac{5(3^{n+1} - 1)}{2} \in \Theta(3^n)$$

(b)  $a_4 = 2$   
 $a_n = a_{n-1} + \log_2(n)$

$$= 2 + (2 + \log_2(5)) + (2 + (2 + \log_2(6)))$$

$$= \sum_{k=5}^n 2 + (2 + \log_2(k))$$

$$= \sum_{k=5}^n 2 + \sum_{k=5}^n 2 + \log_2(k)$$

$$= \sum_{k=5}^n 2 + \sum_{k=5}^n 2 + \sum_{k=5}^n \log_2(k)$$

$$= 2 \sum_{k=5}^n 2 + \sum_{k=5}^n \log_2(k)$$

$$= 4(n-4) + \log_2 \left( \prod_{i=5}^n k_i \right)$$

$$= 4(n-4) + \log_2(n!) \in \Theta(n \log_2(n))$$

(c)  $a_1 = 1$   
 $a_n = 2a_{n-2} + 1$

$$= 1 + (2(1) + 1) + (2(3) + 1) + (2(7) + 1)$$

$$= \sum_{k=0}^n 2k + 1 \quad \Leftarrow k \% 2 = 1 \quad \text{i.e., sum over odd indices}$$

$$= \sum_{i=1}^n 1 + \sum_{i=1}^n 2k + 1$$

$$= n + n(n+1)$$

$$= 2n + n^2 \in \Theta(n^2)$$

(d)  $T(1) = 1$   
 $T(n) = 3T(n/2) + 1$

$$a = 3, b = 2, k = \log_2(n), f(n) = 1$$

$$\begin{aligned}\Rightarrow T(n) &= 3^k + \sum_{i=0}^{k-1} 3^i(1) \\ &= 3^k + \sum_{i=0}^{k-1} 3^i \\ &= 3^k + \frac{3^k - 1}{2} \\ &= 3^{\log_2(n)} + \frac{3^{\log_2(n)} - 1}{2} \in \Theta\left(n^{\log_2(n)}\right)\end{aligned}$$

(e)  $T(1) = 4$   
 $T(n) = T(n/3) + 4$

$$a = 1, b = 3, k = \log_3(n), f(n) = 4$$

$$\begin{aligned}\Rightarrow T(n) &= (1)(4) + \sum_{i=0}^{k-1} (1)(4) \\ &= 4 + \sum_{i=0}^{k-1} 4 \\ &= 4 + 4(k-1) \\ &= 4 \log_3(n) \in \Theta(\log_3(n))\end{aligned}$$

3. (Extra Credit):

$$T(0) = 1$$

$$T(n) = 3T(n-2) + 4(n-2) + 2$$

$$a = 3, b = 2, k = \log_2(n), f(n) = 4(n-2) + 2$$

$$\begin{aligned}\Rightarrow T(n) &= 3^k + \sum_{i=0}^{k-1} 3^i \frac{4n}{2^i} - 6 \\&= 3^k + 4n \sum_{i=0}^{k-1} \left(\frac{3}{2}\right)^i - \sum_{i=0}^{k-1} 6 \\&= 3^k + \frac{4n \left(\frac{3^k}{2}\right) - 1}{\frac{3}{2} - 1} - 6k - 6 \\&= 3^{\log_2(n)} + \frac{4n \left(\frac{3^{\log_2(n)}}{2}\right) - 1}{\frac{3}{2} - 1} - 6 \log_2(n) - 6 \\&\in \Theta \left( n^{\log_2(n)} \right)\end{aligned}$$

4. Prove **theorem 2**:  $x^k \in \mathcal{O}(x^{k+c})$

*Proof.*

$$\text{Let } n_0 = 1 \implies f(n_0^{k+c}) \leq f(n_0^{k+c})$$

5. Prove **theorem 3**:  $x^k + c \cdot x^{k-r} \in \mathcal{O}(x^k)$

6. Prove **theorem 5**: if  $f(n) \in \mathcal{O}(g(n))$  and  $g(n) \in \mathcal{O}(h(n))$ , then  $f(n) \in \mathcal{O}(h(n))$

7. Give the  $\Theta$  running time for the following selection sort algorithm

```
def selSort(l):
    for i in range(len(l)):
        min = l[i]
        minI = i
        for j in range(i, len(l)):
            if l[j] < min:
                minI = j
                min = l[j]
            #end if
        # end for
        (l[i], min) = (min, l[i])
    # end for
```

$\in \Theta(n^2)$ , since there is a double for-loop, which dominates other factors.

8. Give the recurrence relation for badSort. Remember `l[a:b]` copies the elements from `l[a]` to `l[b]`, so even though it's an expression `l[a:b]` runs in  $n - 2$  time.

```
def badSort(l): n = len(l)

    if n == 1:
        return l

    first = badSort(l[0:n-2])
    middle = badSort(l[1:n-1])
    end = badSort(l[2:n])

    return [first[0]] + middle + [end[n-1]]
```

9. The following algorithm is the merge sort we way in class

```
def merge(low, high):
    i = 0
    j = 0
    merged = []
    while i < len(low) and j < len(high):
        if low[i] < high[j]:
            merged += [low[i]]
            i += 1
        else:
            merged += [high[j]]
            j += 1
    return merged + low[i:] + high[j:]

def mergeSort(lst):
    n = len(lst)
    n2 = int(n/2)
    # base case:
    if n <= 1:
        return lst
    # recursive case:
    low = mergeSort(lst[0:n2])
    high = mergeSort(lst[n2:n])
    lst = merge(low,high)

    return lst
```

- (a) Give the  $\Theta$  running time for merge.  
hint: what is the input size for merge?
- (b) Use (a) to give a recurrence relation for the running time of mergeSort.
- (c) Solve the recurrence to get a  $\Theta$  running time for mergesort.