

# INTRODUCTION TO NEURAL NETWORKS

## Lecture 4. Perceptron

Dr. Jingxin Liu

School of AI and Advanced Computing



Xi'an Jiaotong-Liverpool University

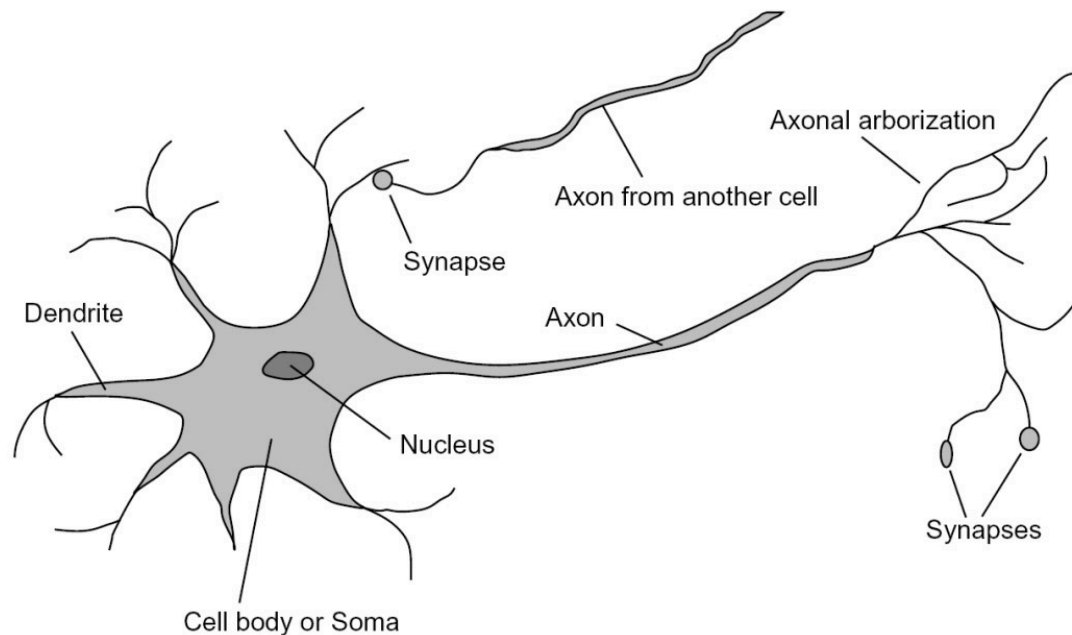
西交利物浦大學

03.03.2022

# Table of Contents

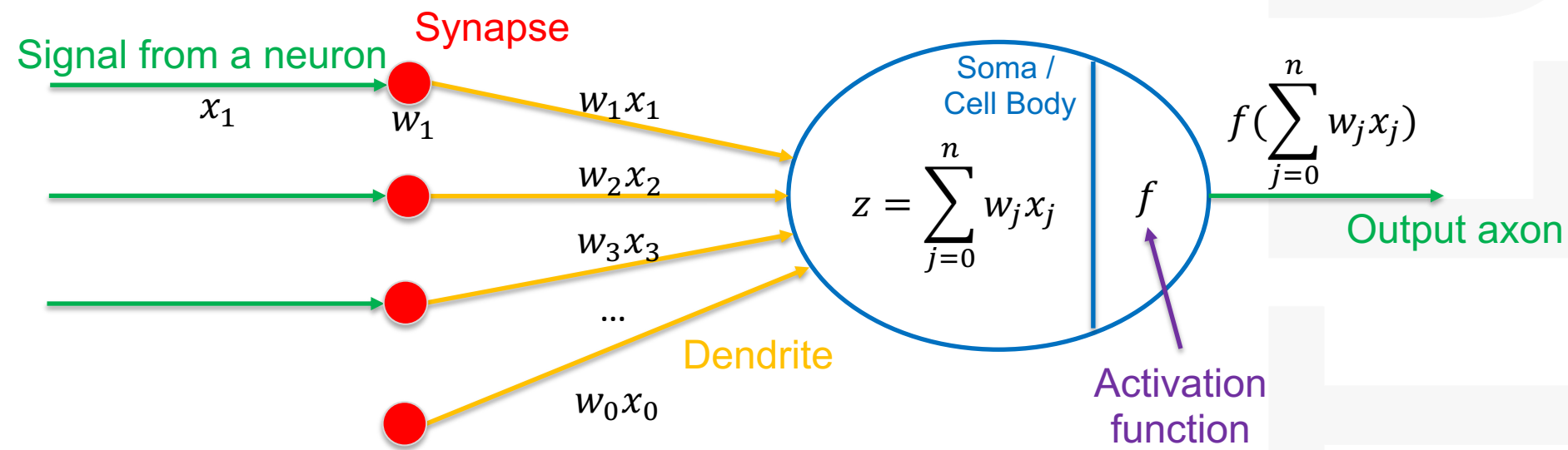
- I. Perceptron
- II. Limitations of Perceptron
- III. Multi-layer Perceptron
- IV. Multi-class Classification

# Perceptron – Biological Neuron



- The **dendrite** receives **electrical signals** from the axons of other neurons;
- At the **synapses** between the dendrite and axons, electrical signals are **modulated in various amounts**;
- An actual neuron fires an output signal through **axon** only when the total strength of the input signals exceed a certain threshold.

# Perceptron – Artificial Neuron

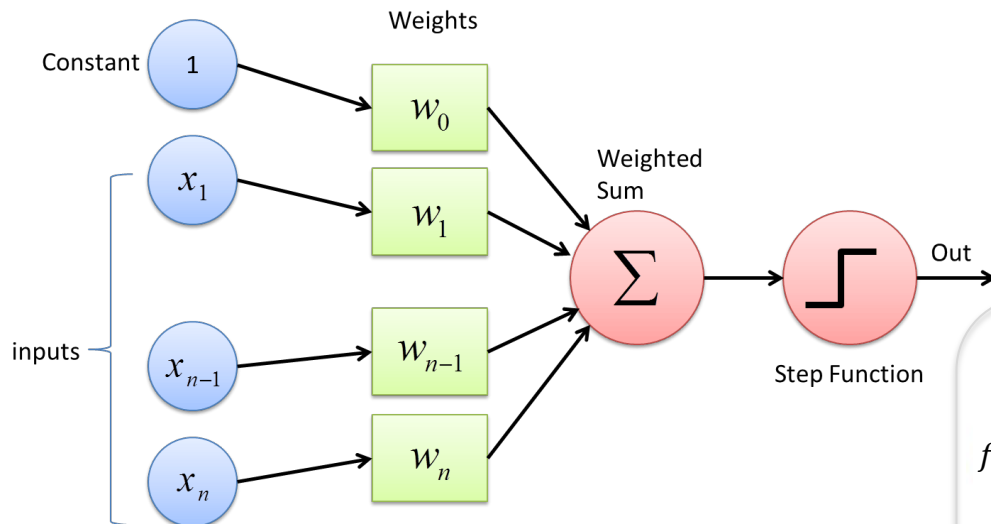


Biological Neuron	Artificial Neuron
Cell Body (Soma)	Node
Dendrites	Input
Synapse	Weights or interconnections
Axon	Output

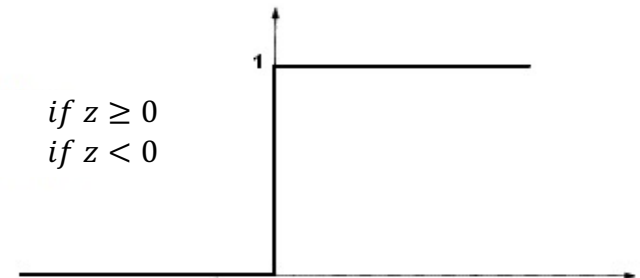
# Perceptron

The perceptron is a mathematical model of a biological neuron.

A Perceptron accepts inputs, moderates them with certain weight values, then applies the activation function (**Step function**) to output the final result.



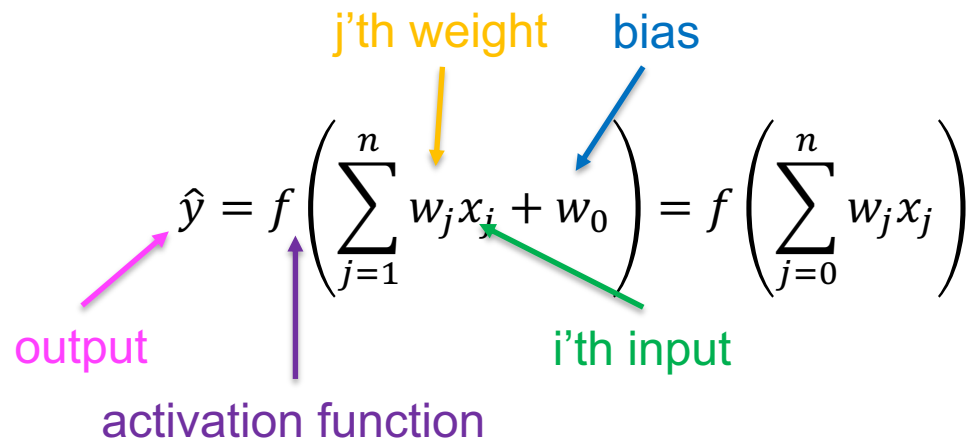
$$f(z) = \begin{cases} 1 & \text{if } z \geq 0 \\ 0 & \text{if } z < 0 \end{cases}$$



Step Function /  
Heaviside Step Function

# Perceptron

Mathematically,



The diagram shows the perceptron equation with several annotations: a pink arrow points to the output  $\hat{y}$  with the label "output"; a purple arrow points to the activation function  $f$  with the label "activation function"; an orange arrow points to the weight  $w_j$  with the label "j'th weight"; a blue arrow points to the bias  $w_0$  with the label "bias"; and a green arrow points to the input  $x_i$  with the label "i'th input".

$$\hat{y} = f\left(\sum_{j=1}^n w_j x_j + w_0\right) = f\left(\sum_{j=0}^n w_j x_j\right)$$

where,

$$f(z) = \begin{cases} 1 & \text{if } z \geq 0 \\ 0 & \text{if } z < 0 \end{cases}$$

The perceptron is an algorithm for *supervised learning* of **binary linear classifiers**.

# Perceptron – Learning Rule

The idea:

For  $x^i$  in all training examples:

- If  $y = 1$  and  $f(x^i) = 1$  or  $t$ : label
- If  $y = 0$  and  $f(x^i) = 0$

no need to change anything.

- If  $y = 1$  and  $f(x^i) = 0$  or need to make  $f(x^i)$  larger
  - If  $y = 0$  and  $f(x^i) = 1$  need to make  $f(x^i)$  smaller
- learning rate

we need update  $w$ , based on

$$w \leftarrow w + \Delta w, \text{ where } \Delta w = \alpha (y^i - \hat{y}^i) x^i$$

$$\begin{cases} 1 & \text{for positive example} \\ -1 & \text{for negative example} \end{cases}$$

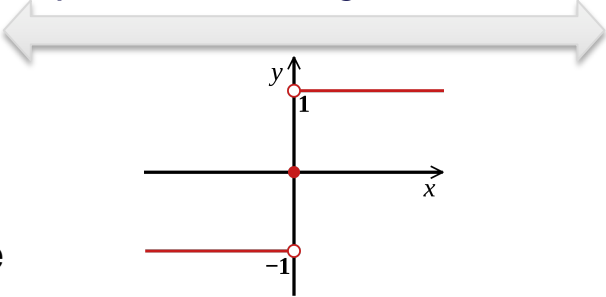
# Perceptron – Learning Rule

$$\mathbf{w} \leftarrow \mathbf{w} + \Delta \mathbf{w}$$

$$\Delta \mathbf{w} = \alpha (y^i - \hat{y}^i) x^i$$

$\begin{cases} 1 & \text{for positive example} \\ -1 & \text{for negative example} \end{cases}$

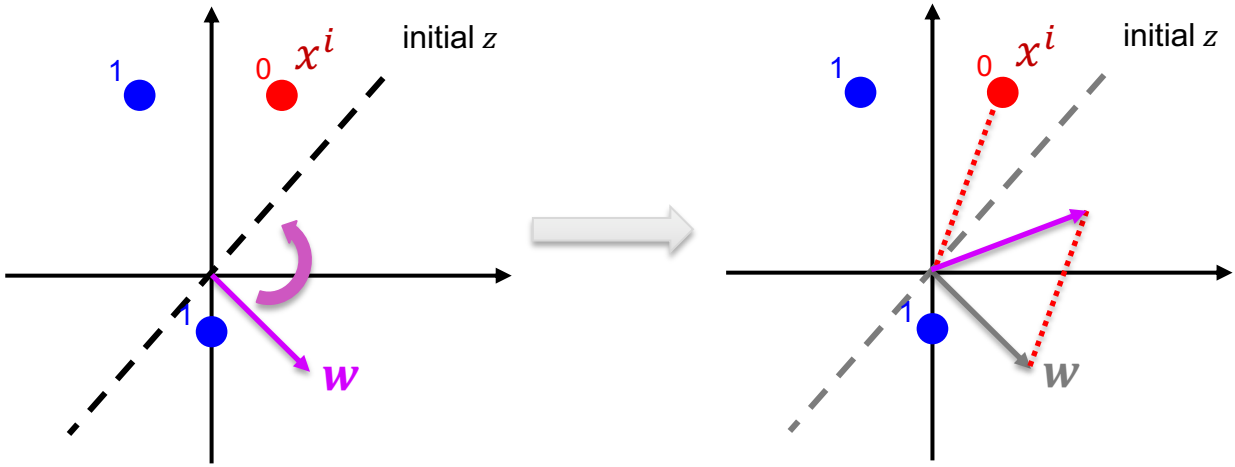
step function  $\leftrightarrow$  signum function



$$\mathbf{w} \leftarrow \mathbf{w} + \alpha \cdot y^i x^i$$

$\begin{cases} 1 & \text{for positive example} \\ -1 & \text{for negative example} \end{cases}$

Why  $x^i$  ?





# Perceptron – Learning Rule

Consequently,

Initialize the weights,  $\mathbf{w}$ , randomly

Repeat:

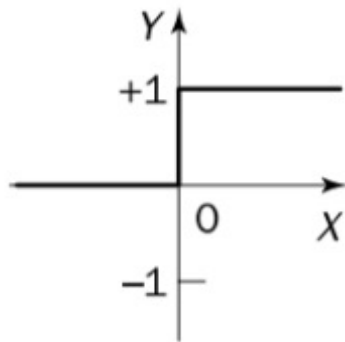
For each training example  $(x^i, y^i)$

$$\mathbf{w} \leftarrow \mathbf{w} + \alpha(y^i - \hat{y}^i)x^i$$

Stop if the weights were not updated in this epoch.

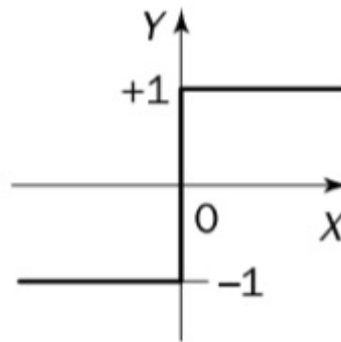
# Perceptron – Activation Function

Step function



$$Y^{step} = \begin{cases} 1, & \text{if } X \geq 0 \\ 0, & \text{if } X < 0 \end{cases}$$

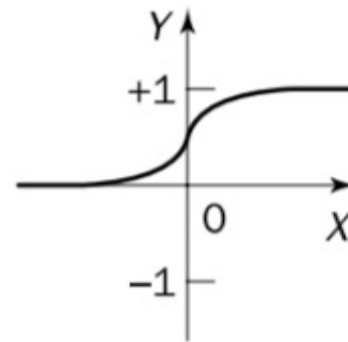
signum function  
Sign function



$$Y^{sign} = \begin{cases} +1, & \text{if } X \geq 0 \\ -1, & \text{if } X < 0 \end{cases}$$

$\text{Sgn}(\cdot)$

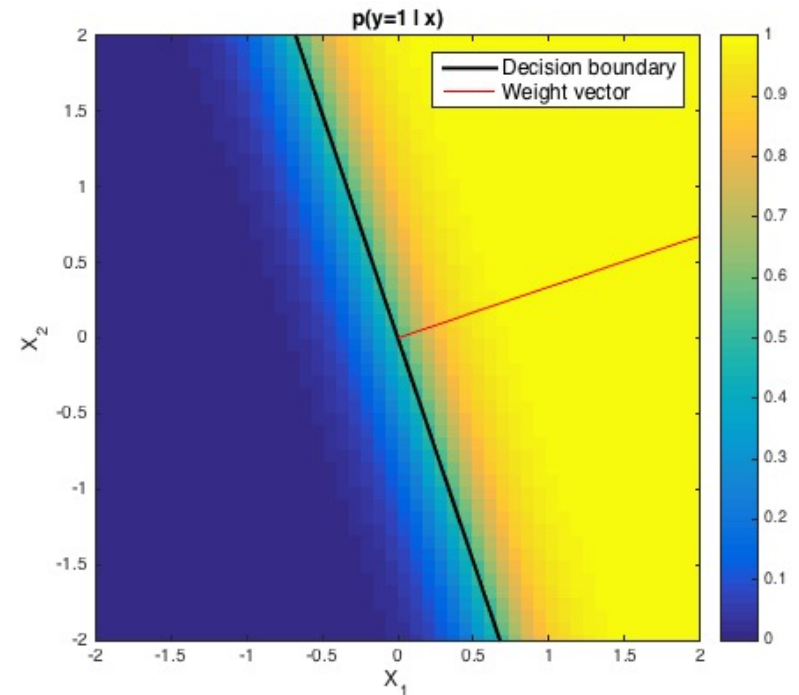
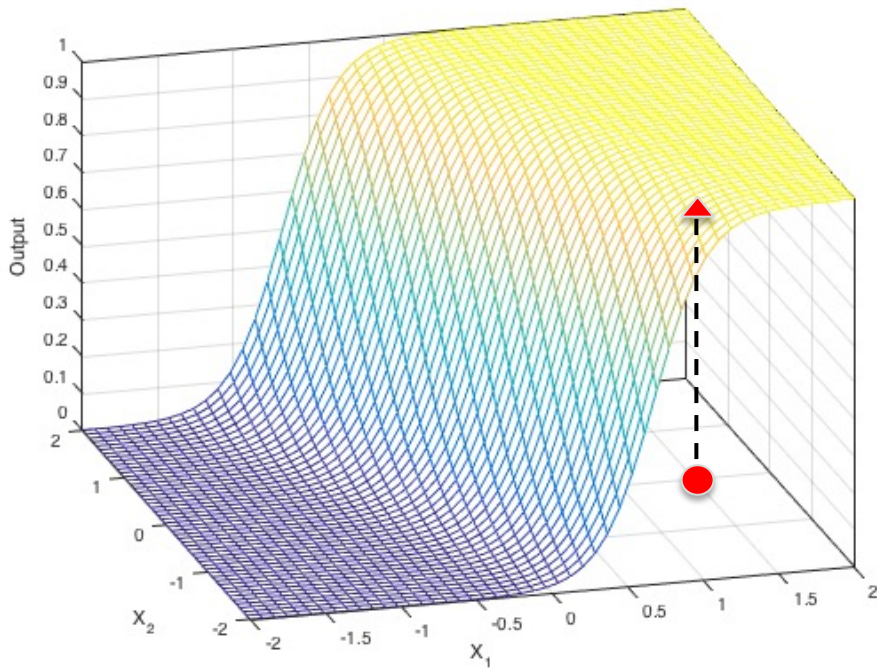
Sigmoid function



$$Y^{sigmoid} = \frac{1}{1 + e^{-X}}$$

A single perceptron with a sigmoid(logistic) activation function is same as a logistic regression model. So we can use Gradient Descent again!

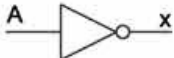






# Perceptron – sigmoid in 3D space



top view of the sigmoid function

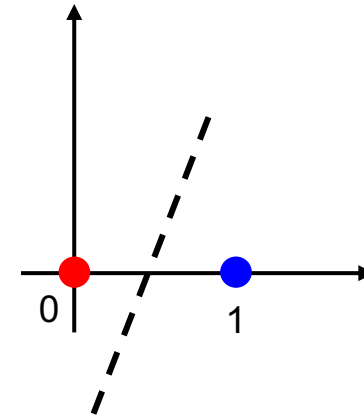
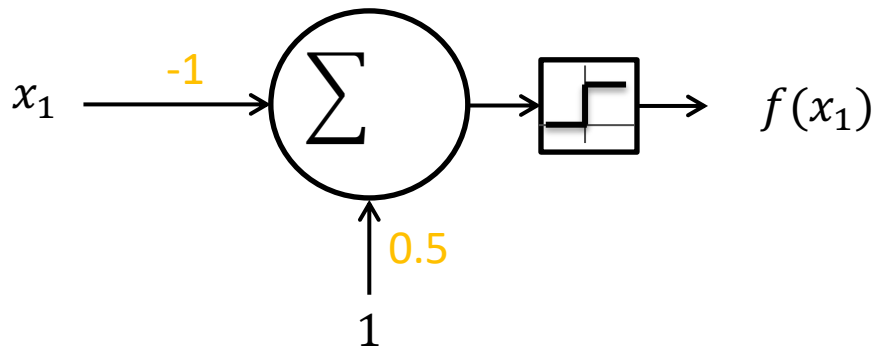
# Perceptron – Logic Gates

The perceptron naturally implements simple logic gates.

Name	NOT	AND	NAND	OR	NOR	XOR	XNOR																																																																																																
Alg. Expr.	$\overline{A}$	$AB$	$\overline{AB}$	$A + B$	$\overline{A + B}$	$A \oplus B$	$\overline{A \oplus B}$																																																																																																
Symbol																																																																																																							
Truth Table	<table><tr><th>A</th><th>X</th></tr><tr><td>0</td><td>1</td></tr><tr><td>1</td><td>0</td></tr></table>	A	X	0	1	1	0	<table><tr><th>B</th><th>A</th><th>X</th></tr><tr><td>0</td><td>0</td><td>0</td></tr><tr><td>0</td><td>1</td><td>0</td></tr><tr><td>1</td><td>0</td><td>0</td></tr><tr><td>1</td><td>1</td><td>1</td></tr></table>	B	A	X	0	0	0	0	1	0	1	0	0	1	1	1	<table><tr><th>B</th><th>A</th><th>X</th></tr><tr><td>0</td><td>0</td><td>1</td></tr><tr><td>0</td><td>1</td><td>1</td></tr><tr><td>1</td><td>0</td><td>1</td></tr><tr><td>1</td><td>1</td><td>0</td></tr></table>	B	A	X	0	0	1	0	1	1	1	0	1	1	1	0	<table><tr><th>B</th><th>A</th><th>X</th></tr><tr><td>0</td><td>0</td><td>0</td></tr><tr><td>0</td><td>1</td><td>1</td></tr><tr><td>1</td><td>0</td><td>1</td></tr><tr><td>1</td><td>1</td><td>1</td></tr></table>	B	A	X	0	0	0	0	1	1	1	0	1	1	1	1	<table><tr><th>B</th><th>A</th><th>X</th></tr><tr><td>0</td><td>0</td><td>1</td></tr><tr><td>0</td><td>1</td><td>0</td></tr><tr><td>1</td><td>0</td><td>0</td></tr><tr><td>1</td><td>1</td><td>0</td></tr></table>	B	A	X	0	0	1	0	1	0	1	0	0	1	1	0	<table><tr><th>B</th><th>A</th><th>X</th></tr><tr><td>0</td><td>0</td><td>0</td></tr><tr><td>0</td><td>1</td><td>1</td></tr><tr><td>1</td><td>0</td><td>1</td></tr><tr><td>1</td><td>1</td><td>0</td></tr></table>	B	A	X	0	0	0	0	1	1	1	0	1	1	1	0	<table><tr><th>B</th><th>A</th><th>X</th></tr><tr><td>0</td><td>0</td><td>1</td></tr><tr><td>0</td><td>1</td><td>0</td></tr><tr><td>1</td><td>0</td><td>0</td></tr><tr><td>1</td><td>1</td><td>1</td></tr></table>	B	A	X	0	0	1	0	1	0	1	0	0	1	1	1
A	X																																																																																																						
0	1																																																																																																						
1	0																																																																																																						
B	A	X																																																																																																					
0	0	0																																																																																																					
0	1	0																																																																																																					
1	0	0																																																																																																					
1	1	1																																																																																																					
B	A	X																																																																																																					
0	0	1																																																																																																					
0	1	1																																																																																																					
1	0	1																																																																																																					
1	1	0																																																																																																					
B	A	X																																																																																																					
0	0	0																																																																																																					
0	1	1																																																																																																					
1	0	1																																																																																																					
1	1	1																																																																																																					
B	A	X																																																																																																					
0	0	1																																																																																																					
0	1	0																																																																																																					
1	0	0																																																																																																					
1	1	0																																																																																																					
B	A	X																																																																																																					
0	0	0																																																																																																					
0	1	1																																																																																																					
1	0	1																																																																																																					
1	1	0																																																																																																					
B	A	X																																																																																																					
0	0	1																																																																																																					
0	1	0																																																																																																					
1	0	0																																																																																																					
1	1	1																																																																																																					

# Perceptron – NOT

$x_1$	Output
0	1
1	0

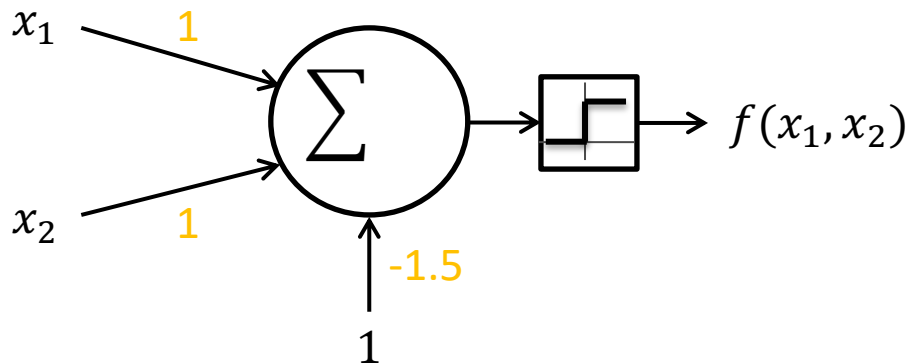
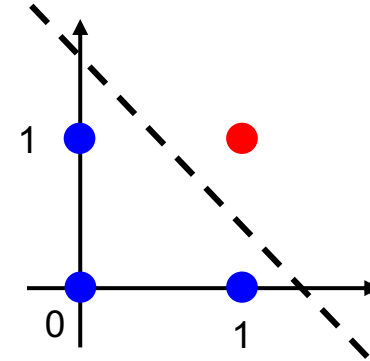


$$\text{output} = \begin{cases} 1 & \text{if } 0.5 - x_1 \geq 0 \\ 0 & \text{otherwise} \end{cases}$$

$$w = [0.5, -1]$$

# Perceptron – AND

$x_1$	$x_2$	Output
0	0	0
0	1	0
1	0	0
1	1	1

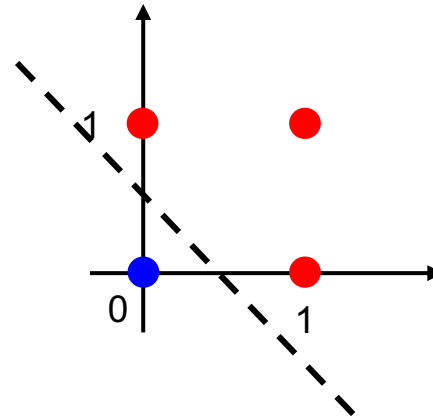
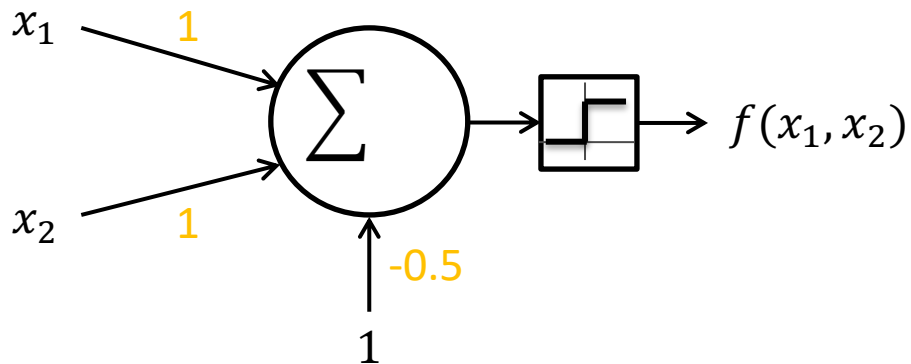


$$output = \begin{cases} 1 & \text{if } -1.5 + x_1 + x_2 \geq 0 \\ 0 & \text{otherwise} \end{cases}$$

$$w = [-1.5, 1, 1]$$

# Perceptron – OR

$x_1$	$x_2$	Output
0	0	0
0	1	1
1	0	1
1	1	1

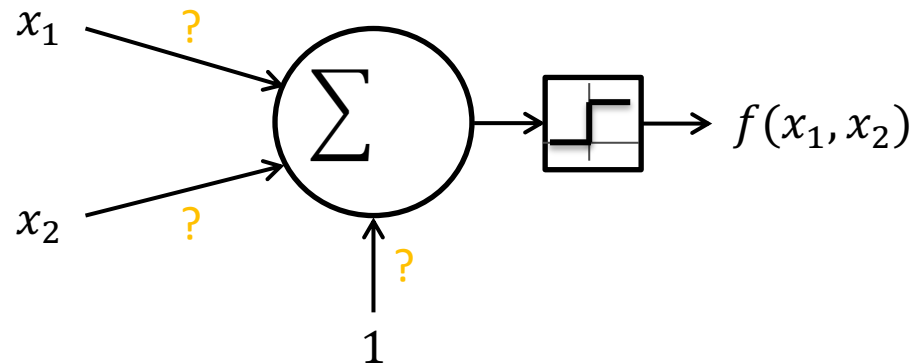
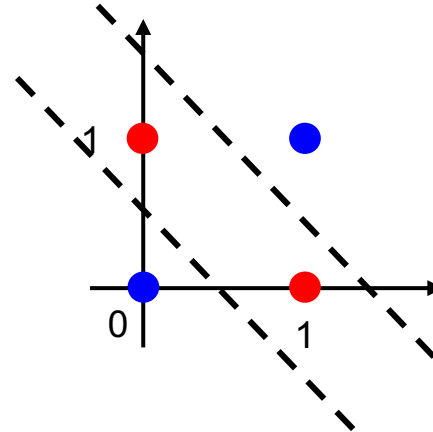


$$\text{output} = \begin{cases} 1 & \text{if } -0.5 + x_1 + x_2 \geq 0 \\ 0 & \text{otherwise} \end{cases}$$

$$w = [-0.5, 1, 1]$$

# Perceptron – XOR

$x_1$	$x_2$	Output
0	0	0
0	1	1
1	0	1
1	1	0

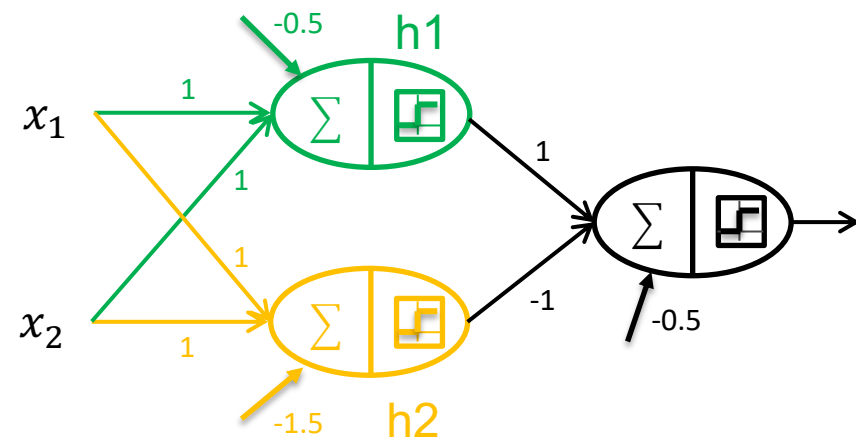
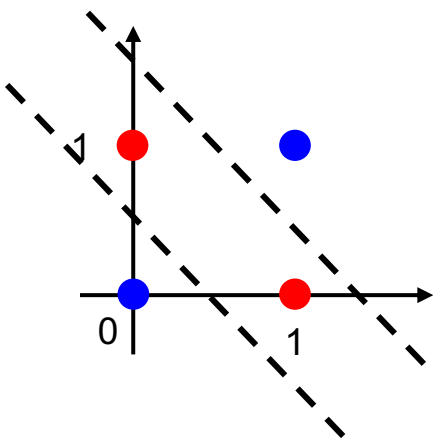


The XOR operator is not linearly separable and cannot be achieved by a single perceptron. ---- Limitation of Perceptron



# Multi-layer Perceptron(MLP) – implementing XOR by MLP

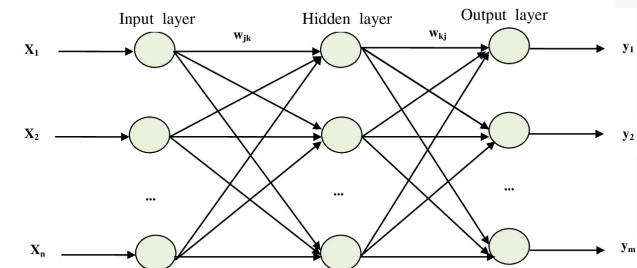
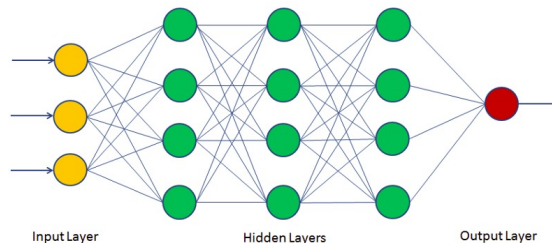
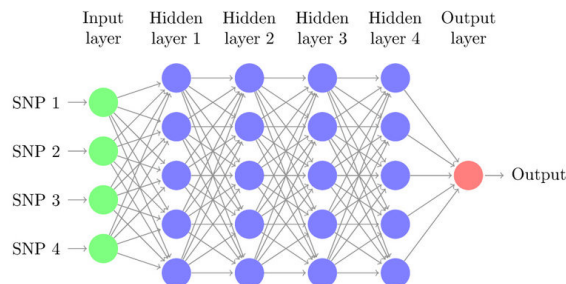
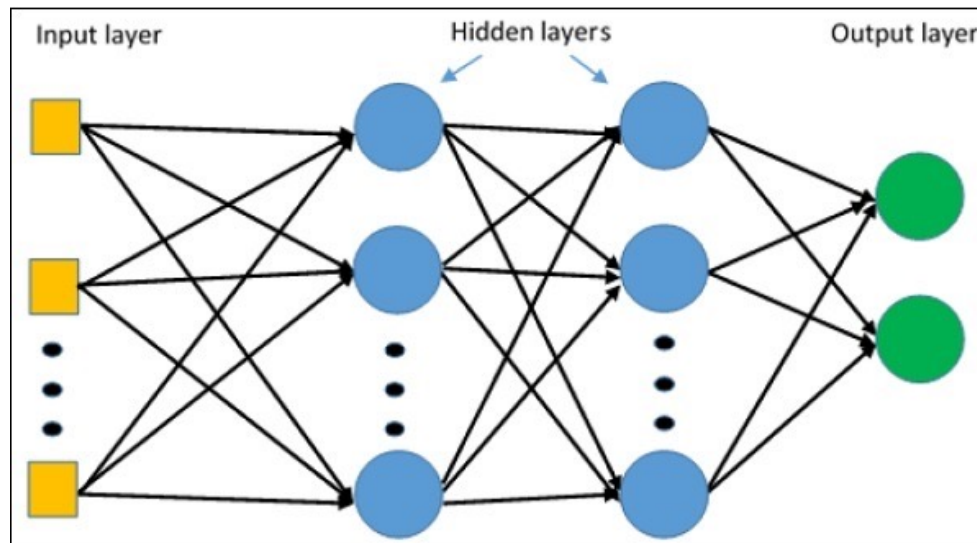
$x_1$	$x_2$	Output
0	0	0
0	1	1
1	0	1
1	1	0



$x_1$	$x_2$	$h_1$ $\text{sign}(x_1 + x_2 - 0.5)$	$h_2$ $\text{sign}(x_1 + x_2 - 1.5)$	Final output $\text{sign}(hu_1 - hu_2 - 0.5)$
0	0	-1	-1	-1
0	1	+1	-1	+1
1	0	+1	-1	+1
1	1	+1	+1	-1

# Multi-layer Perceptron(MLP)

An MLP consists of **at least three** layers of nodes: an **input layer**, a **hidden layer** and an **output layer**. Except for the input nodes, each node is a neuron that uses a nonlinear activation function.



# Multi-class Network – Review of Binary Classification

$$h(x) = \text{sigmoid}(\theta_0 + \theta_1 x_1 + \theta_2 x_2 + \cdots + \theta_n x_n)$$

Sigmoid activation function



$$\mathcal{L} = -y \cdot \log(h(x)) - (1 - y) \log(1 - h(x))$$

Cross-entropy loss function



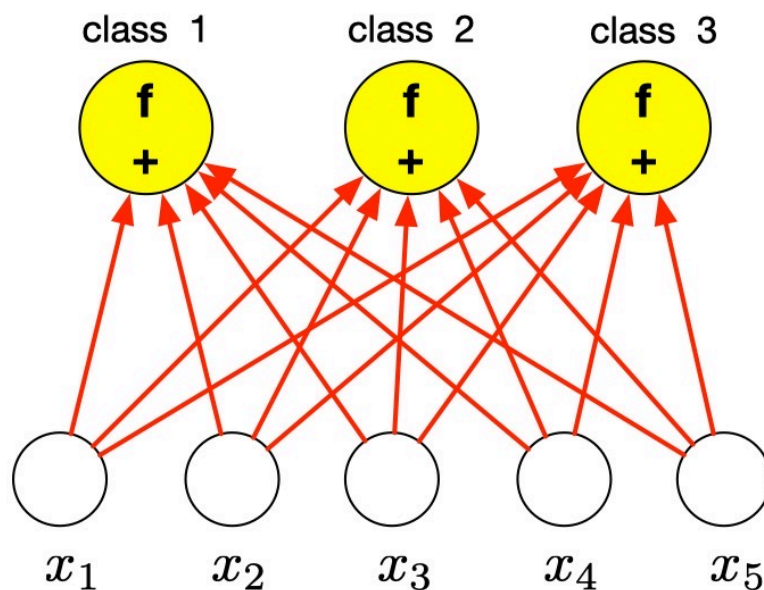
$$\min J(\theta) = \frac{1}{m} \sum_{i=1}^m \mathcal{L} \quad \text{Using Gradient Descent}$$

# Multi-class Network

We can transform the multi-class classification to several binary classification.

If we have  $K$  classes, set target of the correct class is **1**, all other targets are **0**

It is possible to have a multi-class net with sigmoids



Using multiple sigmoids for multiple classes means that the outputs of the network are not constrained to sum to one

# Multi-class Network

We can do better...

To interpret the outputs of the net as classification probabilities, require

$$\sum_k \underline{P(C_k|x)} = 1$$

index of class      output of each neuron

Solution – use an output activation function with a sum-to-one constraint: **Softmax**

# Multi-class Network – Notations

## Notations:

$K$ : number of classes

$C_k$ :  $k$ 'th class

$y^i$ : the target label for the  $i$ 'th training example.

It is often more convenient to represent the target label as **one-hot vector** or **one-of- $K$  encoding**

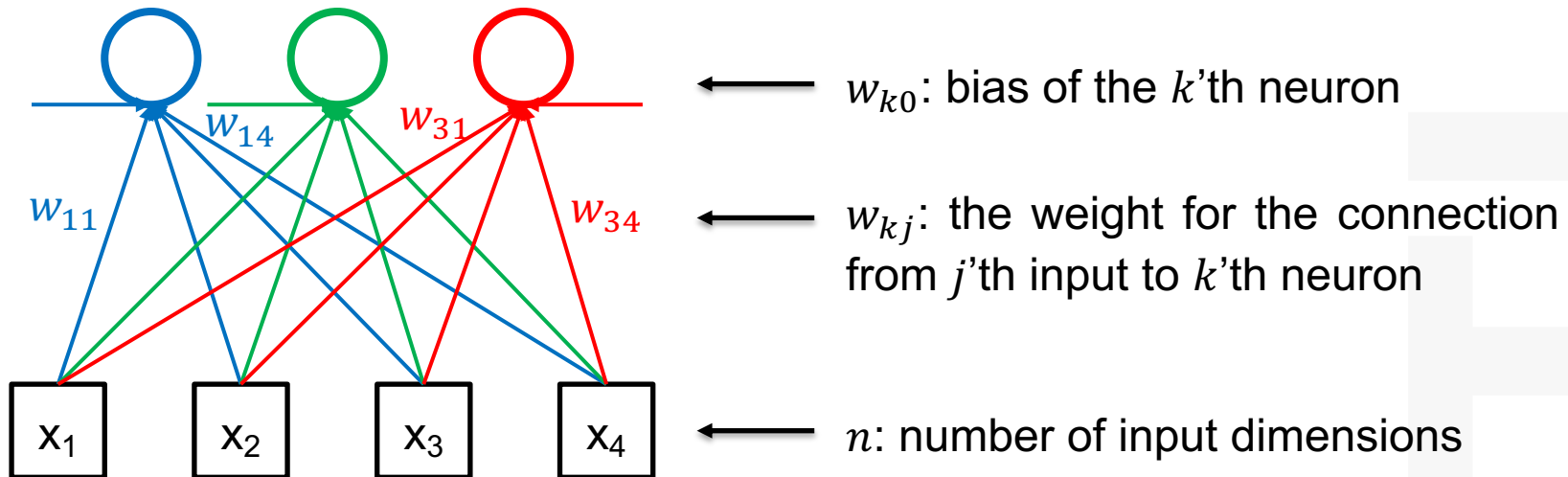
$$y^i = [0, \dots, 0, 1, 0, \dots, 0]$$

$K$  dimensions

If  $y^i$  is belong to  $C_k$  class, the '1' should be at the  $k$ 'th dim.

# Multi-class Network – Notations

Notations:



The weighted sum for the  $k$ 'th neuron: 
$$z_k = \sum_{j=0}^n w_{kj} x_j$$

# Multi-class Network – Softmax

## Softmax function:

a mathematical function that converts a vector of numbers into a vector of probabilities, where the probabilities of each value are proportional to the relative scale of each value in the vector.

$$\text{softmax}(z_1, \dots, z_K)_k = \frac{e^{z_k}}{\sum_p^K e^{z_p}}$$
$$\sigma(\mathbf{z})_k = \frac{\exp(z_k)}{\sum_p^K \exp(z_p)}$$

↑  
vector

## Properties:

- Outputs are positive and sum to 1 ( so they can be interpreted as probabilities )
- **Exercise:** how does the case of  $K = 2$  relate to the sigmoid (logistic) function?



# Multi-class Network – Softmax

