

MATH 425A HW9, OCT. 28, 6PM

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1. CHAPTER 4

Chapter 4; §6.1: Exercise 6.1.

Let  $\mathcal{A}$  be a collection of convex subsets of a real vector space  $V$ . Show that  $B := \bigcap_{A \in \mathcal{A}} A$  is convex.

*Proof.* Clearly,  $\bigcap_{A \in \mathcal{A}} A$  is still a subset of  $V$  as for all  $A \in \mathcal{A}$  we have  $A$  is a subset of  $V$ . Now let  $a, b \in \bigcap_{A \in \mathcal{A}} A$  and  $t \in \mathbb{R}$  such that  $0 < t < 1$ . Then we have that  $(1-t)a + tb \in A$  for all  $A \in \mathcal{A}$  by construction of  $\mathcal{A}$ . Thus we have that  $(1-t)a + tb \in \bigcap_{A \in \mathcal{A}} A$ .  $\square$

Chapter 4; §6.2: Exercise 6.2.

Let  $(X, d)$  be a metric space and let  $A$  and  $B$  be disjoint subsets of  $X$ . Prove that if  $A$  and  $B$  are both open in  $X$ , then  $A$  and  $B$  are separated.

*Proof.* Suppose that  $A$  and  $B$  are both open in  $X$ . WLOG, suppose that  $\text{cl}_X(A) \cap B \neq \emptyset$ . Then we have some  $\ell \in \text{cl}_X(A) \cap B$ ; thus we have  $\ell \in B$  and for any open neighborhood of  $\ell \in U$  then  $U \cap A \neq \emptyset$ . But as  $B$  is itself an open neighborhood of  $\ell$  as  $\ell$  is in the intersection, then  $B \cap A \neq \emptyset$ ; this contradicts our assumption that  $A$  and  $B$  are disjoint. Therefore we have that  $\text{cl}_X(A) \cap B = \emptyset$ . To show that  $\text{cl}_X(B) \cap A = \emptyset$  follows nearly the exact same argument. Hence we have that  $\text{cl}_X(A) \cap B = \emptyset$  and  $\text{cl}_X(B) \cap A = \emptyset$ , i.e.  $A$  and  $B$  are separated.  $\square$

Chapter 4; §6.2: Exercise 6.3.

Let  $E$  be a connected subset of a metric space  $(X, d)$ . Show that  $\bar{E}$  is connected.

*Proof.* Suppose we can write  $\bar{E} = A \cup B$  where  $A \cap \bar{B} = \emptyset = \bar{A} \cap B$ . We can write  $E = (A \cap E) \cup (B \cap E)$ , and we still have that  $(A \cap E)$  and  $(B \cap E)$  are separated. Thus as  $E$  is connected, either  $A \cap E = \emptyset$  or  $B \cap E = \emptyset$ . WLOG, suppose  $A \cap E = \emptyset$ . Then  $E = B \cap E$ , which implies that  $E \subseteq B$  and so  $\bar{E} \subseteq \bar{B}$ . So as  $A \cap \bar{B} = \emptyset$ , then  $A \cap \bar{E} = \emptyset$ . Thus if we let  $\ell \in \bar{E}$ , then  $\ell \in B$  (as if  $\ell \in A$  then we have a contradiction), and hence  $\bar{E} = B$ , which means that  $\bar{E}$  is connected.  $\square$

## Chapter 4; §6.2: Exercise 6.4.

Let  $(X, d)$  be a metric space, and let  $\mathcal{C}$  be a collection of connected subsets of  $X$ . Assume  $A = \bigcap_{C \in \mathcal{C}} C$  is nonempty. Show that  $B = \bigcup_{C \in \mathcal{C}} C$  is connected.

*Proof.* By contradiction, suppose that  $B$  is not connected. That is, suppose we can write  $B = E \cup F$  where  $\bar{E} \cap F = \bar{F} \cap E = \emptyset$ . Then for all  $C_k$  in  $\mathcal{C}$ , we have  $C_k \subseteq E \cup F$ . By Theorem 6.4, we have that  $C_k \subseteq E$  or  $C_k \subseteq F$ . WLOG, let  $C_k \subseteq E$ . Then  $C_k = (C_k \cap E) \cup (C_k \cap F)$ , meaning that  $C_k \cap F = \emptyset$  and  $C_k = C_k \cap E$ . As we assumed  $B = E \cup F$  with both nonempty, then we have  $C_k \subseteq E$  and  $C_l \subseteq F$  where  $k \neq l$ . But this additionally means that  $C_k \cap C_l = \emptyset$  by Theorem 6.4, which is a contradiction. Thus  $B$  is connected.  $\square$

## Chapter 4; §6.2: Exercise 6.5.

Let  $X = \mathbb{R}^2$ . Give an example of a connected subset  $E$  of  $X$ , such that  $\text{Int}_X(E)$  is not connected. Prove both that your set  $E$  is connected and that its interior is not. (Hint: Consider the union of two convex sets joined at a point. You may assume without proof the fact that convexity implies connectedness in  $\mathbb{R}^2$ .)

*Proof.* Consider  $\mathcal{M}_1 = \{(x, y) \in \mathbb{R}^2 : x \geq 0, y \geq 0\}$  and  $\mathcal{M}_2 = \{(x, y) \in \mathbb{R}^2 : x \leq 0, y \leq 0\}$ . We glue these two  $\mathcal{M} = \mathcal{M}_1 \cup \mathcal{M}_2 \subseteq \mathbb{R}^2$ . Firstly, let  $(a, b), (x, y) \in \mathcal{M}_1$  where  $\ell_1 = (a, b)$  and  $\ell_2 = (x, y)$ , and let  $t \in \mathbb{R}$  such that  $0 < t < 1$ . Then  $(1-t)\ell_1 + t\ell_2$  will clearly be always be bigger than or equal to 0 as  $t-1 > 0$  and  $\ell_1, \ell_2 \geq 0$ . So  $\mathcal{M}_1$  is convex. For  $\mathcal{M}_2$ , let  $\alpha_1 = (a, b)$  and  $\alpha_2 = (x, y)$  be in  $\mathcal{M}_2$  with  $t \in \mathbb{R}$  such that  $0 < t < 1$ . Then  $(1-t)\alpha_1 + t\alpha_2$  is always less than or equal to zero clearly. So, by Exercise 6.4,  $\mathcal{M} = \mathcal{M}_1 \cup \mathcal{M}_2$  is connected since convexity implies connected in  $\mathbb{R}^2$ . We claim that  $\text{Int}_{\mathbb{R}^2}(\mathcal{M}) = \text{Int}_{\mathbb{R}^2}(\mathcal{M}_1) \cup \text{Int}_{\mathbb{R}^2}(\mathcal{M}_2)$ . Let  $x \in \text{Int}_{\mathbb{R}^2}(\mathcal{M})$ . Then  $x \in \mathcal{M}$  and there exists an open ball  $B_{\mathbb{R}^2}(x, \epsilon) \subseteq \mathcal{M} = \mathcal{M}_1 \cup \mathcal{M}_2$ . We know that, as  $\mathcal{M}_1$  and  $\mathcal{M}_2$  are tangent to one another, if  $B_{\mathbb{R}^2}(x, \epsilon) \subseteq \mathcal{M}_1 \cap \mathcal{M}_2 = \{(0, 0)\}$ , then  $B_{\mathbb{R}^2}(x, \epsilon) = \{(0, 0)\}$ . So  $B_{\mathbb{R}^2}(x, \epsilon) \subseteq \mathcal{M}_1$  (and also  $B_{\mathbb{R}^2}(x, \epsilon) \subseteq \mathcal{M}_2$ ). Thus we have the forward inclusion since (if we had the only other case, the trivial case)  $B_{\mathbb{R}^2}(x, \epsilon) \subseteq \mathcal{M}_1 \cup \mathcal{M}_2$  where  $B_{\mathbb{R}^2}(x, \epsilon) \subseteq \mathcal{M}_1$  (or  $B_{\mathbb{R}^2}(x, \epsilon) \subseteq \mathcal{M}_2$ ), then  $x \in \text{Int}_{\mathbb{R}^2}(\mathcal{M}_1)$ . Now for the backwards direction, let  $\ell \in \text{Int}_{\mathbb{R}^2}(\mathcal{M}_1) \cup \text{Int}_{\mathbb{R}^2}(\mathcal{M}_2)$ . WLOG, let  $\ell \in \text{Int}_{\mathbb{R}^2}(\mathcal{M}_1)$ . Then we have  $\ell \in \mathcal{M}_1$  such that there is an open  $\epsilon$ -neighborhood  $B_{\mathbb{R}^2}(\ell, \epsilon) \subseteq \mathcal{M}_1$ , but as  $\mathcal{M}_1 \subseteq \mathcal{M}_1 \cup \mathcal{M}_2 = \mathcal{M}$ , then we have that  $\ell \in \mathcal{M}$  and  $B_{\mathbb{R}^2}(\ell, \epsilon) \subseteq \mathcal{M}$ . Thus  $\ell \in \text{Int}_{\mathbb{R}^2}(\mathcal{M})$ . Therefore we have the equality of sets. Lastly,  $\overline{\text{Int}_{\mathbb{R}^2}(\mathcal{M}_1)} \cap \text{Int}_{\mathbb{R}^2}(\mathcal{M}_2) = \mathcal{M}_1 \cap \text{Int}_{\mathbb{R}^2}(\mathcal{M}_2) = \emptyset$ , and similarly,  $\overline{\text{Int}_{\mathbb{R}^2}(\mathcal{M}_2)} \cap \text{Int}_{\mathbb{R}^2}(\mathcal{M}_1) = \mathcal{M}_2 \cap \text{Int}_{\mathbb{R}^2}(\mathcal{M}_1) = \emptyset$ . Thus we have written  $\text{Int}_{\mathbb{R}^2}(\mathcal{M})$  as the union of two disjoint, nonempty, separated sets, and so  $\text{Int}_{\mathbb{R}^2}(\mathcal{M})$  is not connected.  $\square$

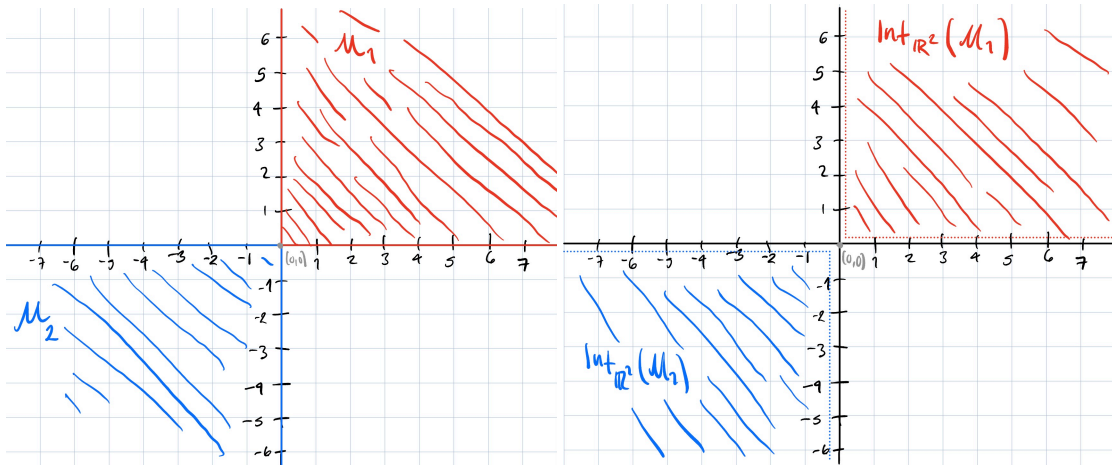


FIGURE 1. The image to the left depicts  $\mathcal{M}_1$  and  $\mathcal{M}_2$  for Exercise 6.5, and image to the left depicts the interiors of the sets.

## 2. CHAPTER 5

### Chapter 5; §1.1: Exercise 1.1.

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces, and let  $E$  be a subset of  $X$ . Let  $f: E \rightarrow Y$  be a function, and let  $p$  be a limit point of  $E$  in  $X$ . Prove that  $f(x) \rightarrow q$  as  $x \rightarrow p$  if and only if for every  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $x \in E$  and  $0 < d_X(x, p) < \delta$  imply together that  $d_Y(f(x), q) < \epsilon$ .

*Proof.*  $(\Rightarrow)$  Suppose that  $\lim_{x \rightarrow p} f(x) = q$ . Consider the open neighborhood  $V = B_Y(q, \epsilon)$ , where  $\epsilon > 0$ . Then we have some open neighborhood  $p \in B_X(p, \delta) = U$  of  $X$ . So take  $x \in B_X(p, \delta) \cap E \setminus \{p\}$ . Then  $x \in E$  and  $x \neq p$  with  $d_X(x, p) < \delta$  (and as  $x \neq p$ , then we have  $0 < d_X(x, p) < \delta$ ). Moreover we have that  $f(x) \in V = B_Y(q, \epsilon)$ , and so  $d_Y(f(x), q) < \epsilon$ . Thus the forward direction is established.  $(\Leftarrow)$  Suppose that for any  $\epsilon > 0$  we have some  $\delta > 0$  such that  $x \in E$  and  $0 < d_X(x, p) < \delta$  implies that  $d_Y(f(x), q) < \epsilon$ . Note that as  $0 < d_X(x, p)$  for all such  $x \in E$  then  $x \neq p$ . WLOG, consider the open neighborhood  $B_Y(q, \epsilon)$  with  $\epsilon > 0$  as assumed. Then by hypothesis we have an induced open neighborhood  $B_X(p, \delta)$  where  $0 < d_X(x, p) < \delta$  for all  $x \in E$ . As  $x \neq p$ , and  $p \in \text{Lim}_X(E)$ , then  $B_X(p, \delta) \cap E \setminus \{p\} \neq \emptyset$ . Lastly, by assumption, we have that  $d_Y(f(x), q) < \epsilon$ , which means that  $f(x) \in B_Y(q, \epsilon)$ . Hence the backwards assumption is established and we are done.  $\square$

### Chapter 5; §2.1: Exercise 2.1.

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces; let  $f: X \rightarrow Y$  be a function. Prove that  $f$  is continuous at  $p \in X$  if and only if  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $x \in B_X(p, \delta)$  implies  $f(x) \in B_Y(f(p), \epsilon)$ .

*Proof.*  $(\Rightarrow)$  Suppose that  $f$  is continuous at  $p \in X$ . Then for the neighborhood  $B_Y(f(p), \epsilon)$ , where  $\epsilon > 0$ , we have that there exists a neighborhood  $B_X(p, \delta)$  such that  $x \in B_X(p, \delta)$ , i.e.  $x \in X$  such that  $d_X(p, x) < \delta$ , gives us that  $f(x) \in B_Y(f(p), \epsilon)$ . Thus the forward direction follows.  $(\Leftarrow)$  Let  $\epsilon > 0$ . Consider the neighborhood  $f(p) \in V = B_Y(f(p), \epsilon) \subseteq Y$ . Then we have some  $\delta > 0$  such that for  $x$  being in the neighborhood  $U = B_X(p, \delta)$ , we have  $f(x) \in V$ . Thus, by definition, we have that  $f$  is continuous at the point  $p \in X$ .  $\square$

## Chapter 5; §2.1: Exercise 2.2.

Assume  $f: \mathbb{R} \rightarrow \mathbb{R}$  is a function satisfying  $\lim_{h \rightarrow 0} [f(x+h) - f(x-h)] = 0$ , for all  $x \in \mathbb{R}$ . Does it follow that  $f$  must be continuous? If so, give a proof; if not, give a counterexample.

*Proof.* We construct a function that satisfies this property: consider the function  $\varphi: \mathbb{R} \rightarrow \mathbb{R}$ , where

$$\varphi(x) = \begin{cases} 0 & \text{if } x > 0 \text{ or } x < 0 \\ 1 & \text{if } x = 0 \end{cases}$$

Firstly, we can check that  $\varphi$  satisfies a simple property: We claim that  $\varphi(x) = \varphi(-x)$  for  $x \in \mathbb{R}$ . WLOG, if  $x > 0$ , then  $\varphi(x) = 0$ , but multiplying the inequality by  $-1$  gives us  $-x < 0$  so  $\varphi(-x) = 0$ ; thus  $\varphi(x) = \varphi(-x)$  for  $x \in \mathbb{R} \setminus \{0\}$ . If  $x = 0 \in \mathbb{R}$ , then  $\varphi(x) = \varphi(0) = \varphi(-0) = \varphi(-x) = 0$ . Now fix  $x = 0$ , then  $\lim_{h \rightarrow 0} (\varphi(x+h) - \varphi(x-h)) = \lim_{h \rightarrow 0} (\varphi(h) - \varphi(-h)) = \lim_{h \rightarrow 0} (\varphi(h) - \varphi(h)) = \lim_{h \rightarrow 0} (0 - 0) = 0$ . However, if we suppose that  $\varphi$  is continuous at  $x = 0$ , then  $\lim_{h \rightarrow 0} \varphi(h) = \varphi(0)$ , but  $\lim_{h \rightarrow 0} \varphi(h) = 0$  and  $\varphi(0) = 1$ , which is contradictory. Thus  $\varphi$  cannot be continuous.  $\square$

## Chapter 5; §2.1: Exercise 2.3.

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces and  $f: X \rightarrow Y$  a function.

- Show that  $f$  is continuous if and only if  $f^{-1}(C)$  is closed in  $X$  whenever  $C$  is closed in  $Y$ .
- Show that  $f: X \rightarrow Y$  is continuous if and only if  $f(\overline{A}) \subseteq \overline{f(A)}$  for every subset  $A$  of  $X$ .
- Consider the (continuous) function  $g: \mathbb{R} \rightarrow \mathbb{R}$  given by  $g(x) = \frac{1}{1+x^2}$ . Give an example of a subset  $A$  of  $\mathbb{R}$  such that  $g(\overline{A}) \neq \overline{g(A)}$ ,

*Proof.* (a)  $(\Rightarrow)$  Suppose  $f$  is continuous, and let  $C$  be a closed subset in  $Y$ . Then we have that  $Y \setminus C$  is open in  $Y$ , so  $f^{-1}(Y \setminus C)$  is open in  $X$ . Now, by Exercise 3.3 in Chapter 1 §3.2 we have that  $f^{-1}(Y \setminus C) = f^{-1}(Y) \setminus f^{-1}(C)$ , which is thus open in  $X$ . Moreover, clearly, we have that  $f^{-1}(Y) = \{x \in X: f(x) \in Y\} = X$ , and so  $f^{-1}(Y \setminus C) = X \setminus f^{-1}(C)$  is open in  $X$ . And  $f^{-1}(C)$  is closed in  $X$  if and only if  $X \setminus f^{-1}(C)$  is open in  $X$ . Thus we're done.

$(\Leftarrow)$  Suppose that whenever  $C$  is closed in  $Y$ , then  $f^{-1}(C)$  is closed in  $X$ . But  $U$  is an open set of  $Y$  if and only if  $Y \setminus U$  is closed in  $Y$ . Furthermore,  $f^{-1}(U)$  is open in  $X$  if and only if  $X \setminus f^{-1}(U)$  is closed in  $X$ . Clearly we have the statement the proposition as  $Y \setminus U$  is closed in  $Y$  and  $X \setminus f^{-1}(U)$  is closed in  $X$  by assumption.

(b)  $(\Rightarrow)$  Suppose  $f$  is continuous, and let  $A \subseteq X$ . Let  $f(a) \in f(\overline{A})$ . Then we have that  $f(a) \in f(A)$ , and so as  $f$  is continuous then for any, wlog, open neighborhood  $V$  of  $f(a)$  we have some open neighborhood  $U$  of  $a \in A$  such that  $x \in U$  implies  $f(x) \in V$ . As  $a \in \overline{A}$ , then for any open neighborhood  $U$  of  $a$ , we have  $U \cap A \neq \emptyset$ ; let  $\ell$  be in this intersection. So  $\ell \in U$  and  $\ell \in A$ , which means that  $f(\ell) \in f(A)$  and  $f(\ell) \in V$ , i.e.  $f(A) \cap V \neq \emptyset$ . This proves that  $f(a) \in \overline{f(A)}$ .  $(\Leftarrow)$  Suppose that for any subset  $A \subseteq X$ , we have  $f(\overline{A}) \subseteq \overline{f(A)}$ . Let  $S$  be a closed set of  $Y$ . Then  $f^{-1}(S) \subseteq X$ , and so by assumption we have  $f(\overline{f^{-1}(S)}) \subseteq \overline{f(f^{-1}(S))} \subseteq \overline{S}$ . Moreover, as  $S$  is closed, then  $S = \overline{S}$  and  $f(\overline{f^{-1}(S)}) \subseteq S$ . Now as a preimage preserves inclusions implies  $\overline{f^{-1}(S)} \subseteq f^{-1}(S)$ . So as  $\overline{f^{-1}(S)} = f^{-1}(S) \cup (f^{-1}(S))'$ , we have  $f^{-1}(S) = \overline{f^{-1}(S)}$ . Thus  $f^{-1}(S)$  is closed in  $X$ . Hence  $f$  is continuous by part (a) above.

(c) Consider the set  $A = [1, \infty)$ . Then  $\overline{A} = [1, \infty) = A$ . So  $g(\overline{A}) = \left(0, \frac{1}{2}\right] = g(A)$ , while  $\overline{g(A)} = \overline{\left(0, \frac{1}{2}\right)} = \left[0, \frac{1}{2}\right]$ . Hence  $g(\overline{A}) \neq \overline{g(A)}$ .  $\square$

## Chapter 5; §2.1: Exercise 2.4.

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces, and let  $f$  and  $g$  be continuous functions from  $X$  to  $Y$ . Assume  $E$  is a dense subset of  $X$ .

- (a) Prove that  $f(E)$  is dense in  $f(X)$ . (Hint: Use Exercise 1.12 in Chapter 4 and Exercise 2.3 above.)
- (b) Prove that if  $f(x) = g(x)$  for all  $x \in E$ , then  $f(x) = g(x)$  for all  $x \in X$ .

*Proof.* (a) As  $E \subseteq X$  then  $f(E) \subseteq f(X) \subseteq Y$ , and so  $f(E)$  is dense in  $f(X)$  if and only if  $f(X) \subseteq \overline{f(E)}$  (Exercise 1.12.). As  $f$  is continuous then we have that  $f(\overline{E}) \subseteq \overline{f(E)}$  (Exercise 2.3 (b)), but as  $\overline{E}$  is dense in  $X$ , then  $\overline{E} = X$ , so  $f(X) \subseteq \overline{f(E)}$ . Therefore we have that  $f(E)$  is dense in  $f(X)$ .

(b) Let  $\ell \in \overline{E}$ . Then there is a sequence  $\{l_n\}_{n=1}^{\infty}$  of  $E$  such that  $l_n \rightarrow \ell$  as  $n \rightarrow \infty$ . As  $f$  is continuous, then  $\lim_{n \rightarrow \infty} f(l_n) = f(\ell)$  and  $\lim_{n \rightarrow \infty} g(l_n) = g(\ell)$ . And as  $l_n \in E$  for all  $n \in \mathbb{N}$ , then we have  $f(l_n) = g(l_n)$  by assumption. But as  $E$  is dense in  $X$ , then we in fact have that for all  $q \in X = \overline{E}$  there is a sequence  $(q_n)$  in  $E$  where  $q_n \rightarrow q$  and so  $f(q) = g(q)$ , as the work showed before.  $\square$