

NOVAK PROTOCOL SERIES

# Standard Protocol-3 (SP-3): Safety Layer Standard

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Safety Gate + PL-X + PS-X Specifications

Version 1.0 (Dec 2025)



# The Safety Backbone

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SP-3 defines the full deterministic safety system for NOVAK. It handles the harsh realities of the physical world and the deceptive nature of human intent.

🔧 **PL-X:** Handles physics (voltage, heat, jitter).

👤 **PS-X:** Handles humans (fraud, bias, malice).

🚧 **Safety Gate:** The master enforcer.





# The Safety Gate Model

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The deterministic gatekeeper running immediately prior to execution.



## Determinism

No branching paths.



## Consistency

Matches HVET.



## Isolation

No side channels.



## Non-Override

Even Admins cannot  
bypass.



# PL-X: Physical Integrity

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Ensures physical reality matches digital expectation.  
Detects anomalies caused by physics/hardware before they corrupt the chain.

- ⚡ **Voltage:** Spikes & Instability.
- 🕒 **Timing:** Jitter & Clock Skew.
- 🔥 **Thermal:** Sudden Runaway.
- 💾 **Memory:** Bit flips & ECC errors.

```
// PL-X Check Logic
IF env.clock_drift > threshold:
    BLOCK("Timing Anomaly")
IF env.ecc_errors > 0:
    BLOCK("Memory Corruption")
```



# PS-X: Human Integrity

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Models human deception, fraud, and bias. Designed for government and financial systems where the "adversary" might be the user or administrator.

 **Malicious Actor:** Alters data/rules.

 **Fraud:** Benefit manipulation.

 **Rogue Regulator:** Bypassing policy.

 **Coercion:** Emotional manipulation.



Scans for keywords: "Override", "Force", "Bypass"



# Defined Adversaries

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SP-3 explicitly models protections against five distinct classes:



**Physical**

Hardware



**Human**

Users



**Regulatory**

Policy



**Robotic**

Motion



**AI**

Model Bias

# Enforcement: The Iron Law

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## Absolute Block

If ANY anomaly is detected (Physical, Human, or Cryptographic), execution stops immediately.

**NOVAK never "warns".  
It stops.**

## Mandates

- ⊘ No execution without validated HVET.
- ⊘ No authority can override Safety Gate.
- ⊘ Fraud patterns trigger auto-block.
- ⊘ Physical instability triggers auto-block.



# Master Safety Algorithm

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```
function SafetyGate(input, env, hvet):  
  
    // 1. Physical Check  
    plx = PLX_Check(env)  
    if plx not empty: BLOCK("PL-X Anomaly")  
  
    // 2. Human/Psych Check  
    psx = PSX_Check(input)  
    if psx not empty: BLOCK("PS-X Anomaly")  
  
    // 3. Crypto Verification  
    if !VerifyHvet(hvet): BLOCK("Integrity Mismatch")  
  
    // 4. Success  
    ALLOW_EXECUTION()
```



# Failure Categories (F1-F10)

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**F1**  
Hardware

**F2**  
Intent

**F3**  
Determinism

**F4**  
Identity

**F5**  
Automation

**F6**  
Time

**F7**  
Tamper

**F8**  
Partial Truth

**F9**  
Ruleset

**F10**  
Fraud



Regulated environments require **CL-5**.

Level	Description	Scope
CL-1	Basic Safety	Standard gate checks.
CL-2	PL-X Only	Hardware integrity only.
CL-3	PS-X Only	Human integrity only.
CL-4	Combined	PL-X + PS-X enabled.
CL-5	Full Compliance	SP-3 Integration +



# Standard Summary

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**Safety Pillars**  
(Gate, PL-X, PS-X)

## Total Protection

SP-3 ensures that even if a CPU lies, a user cheats, or an AI hallucinates, NOVAK will detect the anomaly and block the output before harm can occur.

**Status:** Effective Dec 2025



# Questions?

NOVAK Protocol Standards Series

Category: PBAS-03 (Safety and Integrity Layers)