

SP-9 — NOVAK Federal Multi-Agency Deployment Standard

(Full authoritative edition)

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NOVAK Protocol — Standards Publication 9

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1. Purpose

SP-9 defines the mandatory requirements, integration zones, safety boundaries, and deployment models for the adoption of the NOVAK Protocol across multiple U.S. federal agencies, including:

- **VA — Department of Veterans Affairs**
- **EOP — Executive Office of the President**
- **OMB — Office of Management and Budget**
- **CMS — Centers for Medicare & Medicaid Services**
- **SSA — Social Security Administration**
- **IRS — Internal Revenue Service**
- **DoD — Department of Defense**
- **CISA — Cybersecurity and Infrastructure Security Agency**

This standard establishes NOVAK as a **federal execution-integrity layer** operating independently of agency-specific systems.

2. Scope

SP-9 applies to:

- any federal system making rule-based decisions
 - any automated eligibility or adjudication engine
 - any benefits, payments, compliance, or regulatory workflow
 - any AI/ML model producing actionable outputs
 - any robotic or autonomous system
 - any process requiring auditability, determinism, and transparency
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3. Core Requirement

All systems covered by SP-9 MUST implement:

HVET — Hash-Verified Execution Trace

EIR — Execution Identity Receipt

RGAC — Recursive Global Audit Chain

Safety Gate — Deterministic Proof-Before-Action Layer

PL-X — Physical Drift Integrity

PS-X — Psycho-Social Integrity

No action may execute without:

4. Federal Integration Zones

4.1 VA (Veterans Affairs)

NOVAK applies to:

- Claims eligibility
- Rating decisions
- Automated medical rules
- Prior authorization
- Appeals
- Payments
- Evidence intake
- C&P scheduling
- Survivor benefits

Example:

Before the VA approves a disability rating, NOVAK:

1. Verifies policy version
2. Verifies input data
3. Locks rule interpretation
4. Generates HVET

5. Creates EIR
 6. Appends to RGAC
 7. Executes rating
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4.2 EOP (Executive Office of the President)

Applicable to:

- Executive Orders
- Regulatory directives
- Interagency policy flows
- Automated compliance checks
- Data validation pipelines

Example:

Before an automated system translates an Executive Order into agency-level policy tasks, NOVAK:

- Binds the EO text
- Locks interpretation
- Prevents internal edits
- Creates policy-execution receipts

This eliminates silent policy drift inside agencies.

4.3 OMB (Office of Management and Budget)

OMB's mandate:
government-wide policy.

NOVAK applies to:

- A-123 compliance
- Improper payments
- Budget execution
- Federal spending rules
- Procurement workflows

Example:

Before \$12M procurement approval is executed:

- Rules bound to HVET
- Approver identity bound to EIR
- All line items bound to RGAC chain
- Safety Gate blocks any unverified alterations

4.4 CMS (Medicare/Medicaid)

Use cases:

- Eligibility
- Claims adjudication
- Prior authorization
- Provider fraud detection

- Payment integrity
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4.5 SSA (Social Security Administration)

Use cases:

- Disability decisions
 - Retirement awards
 - Earnings matching
 - Appeals integrity
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4.6 IRS / Treasury

Use cases:

- Refund rules
 - Identity verification
 - Anti-fraud scoring
 - Automated enforcement decisions
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4.7 DoD (Defense Department)

Use cases:

- Logistics automation
- Targeting rules (human-in-the-loop)

- Medical integrity
 - Personnel workflows
 - Autonomous system constraints
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5. NOVAK Federal Stewardship Authority

Recommendation:

NIST as the governing authority

Because NIST already maintains:

- FIPS
- SP-800 series
- Federal crypto standards
- Zero Trust standards

NIST should publish:

FIPS-NOVAK-1 — Execution Integrity Standard
NIST SP 1000-Series — NOVAK Implementation Guides

OMB then issues:

OMB M-26-XX — Federal NOVAK Requirement for Automated Systems

6. Security Requirements

All agencies **MUST** adopt:

- deterministic rule enforcement
 - HVET binding of rule/data/output
 - EIR receipts for every execution
 - RGAC append-only lineage
 - PL-X hardware integrity checks
 - PS-X socio-cognitive tamper detection
 - Safety Gate enforcement
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7. Deployment Models

SP-9 defines:

- Minimal deployment
- Recommended
- Enterprise federal deployment

(You asked for all three — these will be fully defined in the Blueprint.)

8. Compliance

NOVAK becomes required for:

- Benefit decisions
- Payments
- Medical authorizations

- AI/ML outputs
 - High-risk decisions
 - Investigations
 - Cyber-critical workflows
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9. Interagency Chain of Trust

All agencies contribute to a unified:

Federal RGAC Chain

This allows:

- cross-agency audit
- cross-agency fraud detection
- perfect lineage of decision rules
- zero silent edits
- zero rule drift

This is equivalent to:

A federal execution-integrity ledger.

Not blockchain — deterministic execution receipts.

10. Effective Date

Recommended publication date:

December 1, 2025

(as you defined)