

NOVAK PROTOCOL SERIES

# Standard Protocol-2 (SP-2): Cryptographic Standard

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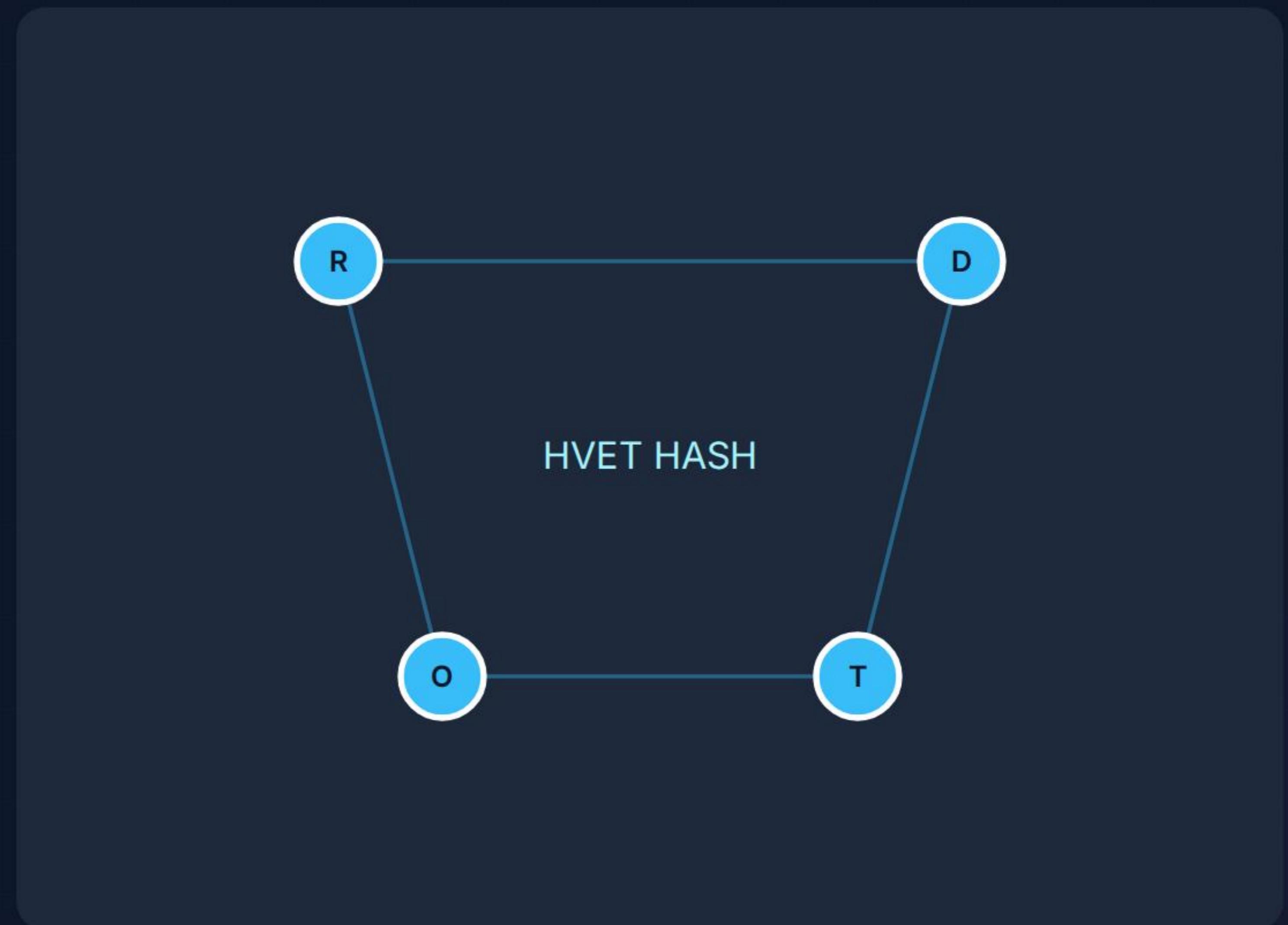
Hash-Verified Execution Traces (HVET) &  
Recursive Global Audit Chain (RGAC)

Version 1.0 (Dec 2025)

# The Mathematical Backbone

SP-2 defines the core cryptographic truth conditions for the NOVAK Protocol. It is the mathematical foundation that ensures every action in a PBAS (Proof-Before-Action System) is:

- ✓ **Deterministic:** Reproducible outputs.
- ⌚ **Identity-Bound:** Tied to a specific actor.
- ⌚ **Tamper-Evident:** Impossible to alter history.



# Core Components

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## HVET

### Hash-Verified Execution Trace

The atomic unit of proof. A cryptographic binding of Rules, Data, and Output.



## EIR

### Execution Identity Receipt

The authoritative pre-execution proof. Binds the HVET to a specific identity and time.



## RGAC

### Recursive Global Audit Chain

An append-only, local hash chain that orders execution events sequentially.

# Concept 1: Canonical Serialization

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To ensure **deterministic hashing**, all data must be serialized using the NOVAK-CANONICAL-1 format before hashing. Even a single whitespace difference changes the hash.

- **UTF-8 Only:** Universal encoding standard.
- ↓ **Lexicographical Sort:** All fields sorted by key.
- “ **String Numbers:** No floating-point errors.
- ☒ **No Whitespace:** Zero normalization allowed.

# Concept 2: HVET Structure

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## The Ingredients

- HR** Hash of Ruleset
- HD** Hash of Input Data
- HO** Hash of Output
- T** Timestamp (ISO8601)

## The Formula

The final HVET is a SHA-256 hash of the concatenated components.

$$\text{HVET} = \text{SHA256}($$
  
$$\text{HR} \quad ||$$
  
$$\text{HD} \quad ||$$
  
$$\text{HO} \quad ||$$
  
$$\text{Timestamp}$$
  
$$)$$

# How HVET Works (Simple Analogy)

Think of creating an HVET like baking a cake where the receipt must prove *exactly* what ingredients were used.

## STEP 1: CANONICAL HASHING



### The Ingredients

We weigh the flour and sugar exactly. In the computer, we standardize the Rules, Data, and Output. If you change a single grain (or number), the weight (Hash) completely changes.

## STEP 2: CONCATENATION



### The Mix

We pour the ingredients into the bowl in a strict order, adding the exact time we started. We join all these digital pieces together into one long sequence.

## STEP 3: SHA-256 HASHING



### The Final Seal

We bake and stamp the cake. This creates the HVET—a unique digital fingerprint. If anyone tampered with the recipe in the past, this final fingerprint wouldn't match.

# Concept 3: Execution Identity Receipt (EIR)

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## The "Signed Check"

An HVET proves *what* happened. An EIR proves *who* did it and *when*.

It acts as a digital container that wraps the HVET with:

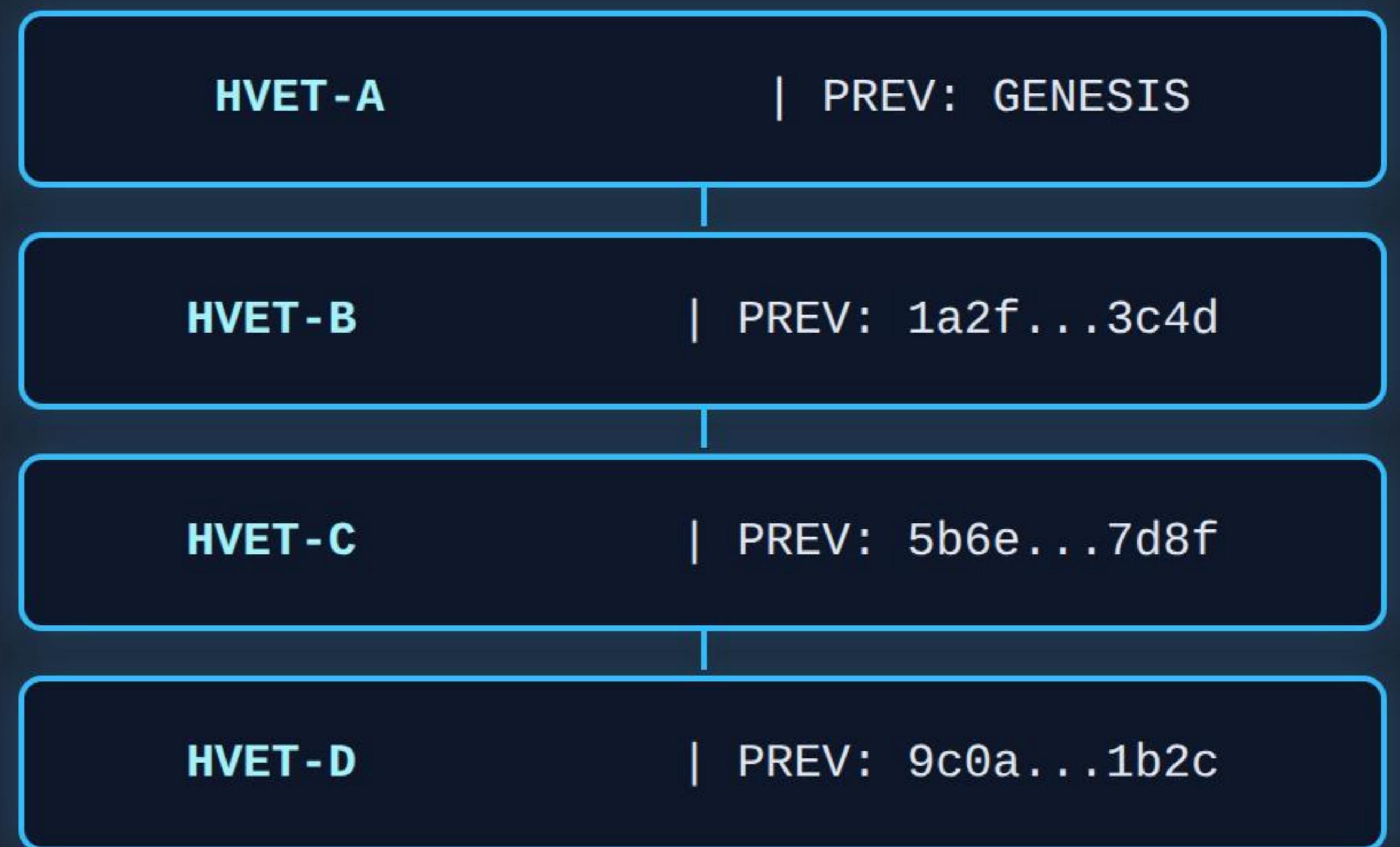
- Unique UUID (eir\_id)
- Executor Identity (Public Key)
- Digital Signature (ECDSA/Ed25519)
- Rule Versioning

# Concept 4: RGAC

## Recursive Global Audit Chain

A tamper-evident, append-only hash chain. While it looks like a blockchain, it is fundamentally different:

- ✗ No Miners
- ✗ No Consensus Mechanism
- ✓ Local & Deterministic
- ✓ Ultra-fast Audit Speed



Each new entry is cryptographically linked to the previous one, creating an unbroken chain of custody.

## Step 1

Fetch Previous Hash  
(Or "GENESIS")

## Step 2

New EIR Arrives  
(Verified Proof)

## Step 3

Calculate Link  
 $\text{SHA256}(\text{Prev} \parallel \text{New})$

## Step 4

Append Entry  
Push to Chain

# Security Guarantees

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SP-2 protects against specific failure modes by relying on cryptographic collision resistance and digital signatures.

- 🛡️ **Input Modification:** Detected by HD mismatch.
- ⌚ **History Rewrites:** Breaks the RGAC chain.
- 👤 **Identity Spoofing:** Fails Signature verification.
- ☒ **Replay Attacks:** Blocked by unique UUIDs.



NOVAK-compliant systems must support at least **CL-3**.

| Level       | Definition            | Feature Set                         |
|-------------|-----------------------|-------------------------------------|
| CL-1        | Basic HVET Generation | Hash logic only. No identity.       |
| CL-2        | Full EIR Binding      | Identity + Timestamps added.        |
| <b>CL-3</b> | Full RGAC Chain       | Historical audit chain (Mandatory). |
| CL-4        | Signature Support     | Cryptographic signatures enabled.   |

# Protocol Summary

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**Core Primitives**  
(HVET, EIR, RGAC)

## A Deterministic Future

SP-2 provides the mathematical certainty required for high-stakes automated decision making. It moves audit from a "post-event" activity to a "pre-action" requirement.

**Status:** Effective Dec 2025

# Questions?

NOVAK Protocol Standards Series

Category: PBAS-02 (Proof-Before-Action Systems)