

NOVAK PROTOCOL SERIES

# **Standard Protocol-1 (SP-1): Execution Integrity Standard**

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Foundation for Proof-Before-Action Systems (PBAS)

Version 1.0 (Dec 2025)

# The Paradigm Shift

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**The Old Way**

"Execute-then-Audit"

Action happens first. Errors are found later (or never).  
Logs can be deleted.



**NOVAK SP-1**

"Proof-Before-Action"

Action is BLOCKED unless a cryptographic proof of  
correctness exists first.

# Purpose & Scope

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SP-1 establishes the requirement that **no automated system may execute an action unless mathematical proof of correctness is provided first.**

It acts as the root standard for the entire NOVAK ecosystem.

## Applies To:

-  AI & Robotic Control Systems
-  Financial Transaction Engines
-  Government Benefit Systems
-  Healthcare Automation

# The 5 Pillars of Integrity

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A system has Execution Integrity (EI) if and only if **ALL FIVE** of these conditions are true:



## 1. Input

Known &  
Attested



## 2. Rule

Fixed &  
Versioned



## 3. Output

Deterministic



## 4. Identity

Bound to Actor



## 5. Time

Timestamped

# Core Requirements (R1-R14)

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## R-1: Proof-Before-Action

The single most important rule: **No action may occur until a valid EIR (Execution Identity Receipt) exists.**

## R-3: Immutability

No component (rule, input, or system state) may change during the evaluation process.

## Other Key Mandates

R-2: Cryptographic Binding (IBF)

R-4: Deterministic Output (Pure)

R-7: Zero Consensus Requirement

R-8: No Blockchains Required

R-12: Rejection on Failure

# Correctness Checklist

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Under SP-1, a system is only considered "Correct" if it passes this strict validation logic before every single action.

- ✓ Components are present & unmodified.
- ✓ The IBF Hash matches the Receipt (EIR).
- ✓ The Audit Chain (RGAC) extension is valid.
- ✓ No Anomaly Detected (PL-X / PS-X).
- ✓ **Safety Gate Approves Execution.**

# The Safety Gate

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The **Safety Gate** is the enforcement mechanism defined in SP-3, but mandated by SP-1.

IF (Integrity == False) OR  
IF (PL-X Anomaly == True) OR  
IF (PS-X Manipulation == True)

THEN **BLOCK EXECUTION**

NOVAK-compliant systems must target **CL-5** for full certification.

Level	Definition	Requirement
CL-1	Basic Binding	Inputs/Outputs linked.
CL-2	HVET Core	Full Hash-Verified Trace (SP-2).
CL-3	EIR Compliance	Identity Receipts generated.
CL-4	RGAC Chain	Full Audit Chain history.
		SP-1 + SP-2 +

# Security Guarantees

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## Pre-Execution

Tamper detection happens *before* the damage is done, not after.



## Anti-Corruption

Prevents silent memory corruption or hidden state changes.



## Anti-Fraud

Defends against malicious automation and benefit fraud.

# Standard Summary

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**Mandatory  
Requirements**

## The Foundation

SP-1 is the root of the NOVAK Protocol. It changes the fundamental question of automation from "Did it work?" to "Can you prove it should have worked?"

**Status:** Effective Dec 2025

# Questions?

NOVAK Protocol Standards Series

Category: PBAS-01 (Proof-Before-Action Systems)