

# PART 5 — SYSTEM MODEL & EXECUTION FLOW

The NOVAK Protocol defines a **deterministic, identity-bound, cryptographically-enforced execution lifecycle**.

Every action, whether performed by:

- a human
- an AI model
- a robot
- a regulatory agency
- a financial institution
- a government system
- an autonomous device

...must follow this exact flow.

There are no exceptions, no bypasses, and no unverified paths.

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## I. THE NOVAK EXECUTION LADDER (Full Lifecycle)

The entire system flow is built around the **NOVAK Execution Ladder**:

**Request → Rule Purity Check → Data Attestation → Identity Binding → Safety Gate Validation → EIR Generation → HVET Construction → RGAC Commit → Execution → Post-State Verification**

Each phase enforces a subset of the **NOVAK Laws L0–L15**, as well as **PL-X** (physical integrity) and **PS-X** (psycho-social/fraud integrity).

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## II. SYSTEM MODEL — HIGH LEVEL

NOVAK operates as a **layered deterministic integrity engine**:

1. **User/System Request Layer**
2. **Rule Layer (R)** — Deterministic rule evaluation
3. **Data Layer (D)** — Attested input domain
4. **Identity Layer (I)** — Actor + device + jurisdiction binding
5. **Safety Gate Layer (SG)** — Pre-execution enforcement
6. **Proof Layer**
  - EIR (Execution Identity Receipt, formerly NIPS)
  - HVET (Hash-Verified Execution Trace)
7. **Audit Layer**
  - RGAC (Recursive Global Audit Chain, formerly REVELATION)
8. **Execution Layer**
9. **Post-State Layer**

Each layer must fully complete its proof obligations before the next layer may begin.  
**NOVAK forbids speculative execution.**

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## III. DETAILED EXECUTION FLOW

Below is the precise, step-by-step, law-enforced NOVAK flow.

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# STEP 1 — REQUEST INITIATION

Triggered by:

- human user
- AI model
- robotic subsystem
- government algorithm
- device
- external system

Request object:

**Req = { actor, device-state, rule-ID, data-payload, jurisdiction, intent-profile }**

**PS-X enforcement:**

- intent-profile generation
- anti-fraud seed signals
- cognitive-bias checks
- multi-identity deception detection

No processing begins until intent is resolved.

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# STEP 2 — RULE PURITY VALIDATION (R)

**NOVAK Law Dependencies:**

- **L1 — Deterministic Purity**

- **L13 — Regulatory Determinism**

Rule **R** must be:

- deterministic
- side-effect free
- pure function
- canonical-hash matching

Rule Hash Generation:

$$\mathbf{HR = SHA3-512(R)}$$

If the rule does not match its canonical hash, Safety Gate halts the request permanently.

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## **STEP 3 — DATA ATTESTATION (D)**

**NOVAK Laws Enforced:**

- **L2 — Attestation Integrity**
- **L3 — Input Non-Malleability**

This stage performs:

- schema-locking
- immutability checks
- cryptographic hashing
- pre-state verification
- jurisdictional compliance

Data hash:

**HD = SHA-256(D)**

If any part of D is mutable or unsealed, the execution is stopped.

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## STEP 4 — IDENTITY BINDING (I)

*(formerly part of NIPS → now EIR)*

**NOVAK Laws Enforced:**

- **L6 — Execution Identity Law**
- **L11 — Public Verifiability**
- **L14 — Machine Non-Deviation**

Identity binding consists of:

1. **user identity attestation**
2. **device attestation via TPM/PUF**
3. **jurisdiction encoding**
4. **intent-profile sealing (PS-X)**
5. **environment validation (PL-X)**

Identity Hash:

**HI = SHA-256( user-ID // device-hash // jurisdiction-hash // intent-profile )**

All identity fields must align with canonical identity metadata.

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# STEP 5 — SAFETY GATE VALIDATION (SG)

*(formerly HARMONEE → Deterministic Safety Layer)*

SG ensures **no action** continues until:

- R is pure
- D is non-malleable
- I is verified
- T (timestamp) is monotonic
- O (predicted output) is deterministically derived
- All PL-X signals match expected hardware profiles
- All PS-X fraud surfaces are cleared

SG enforces:

**L1–L8 and L13–L14**, plus the Addenda.

If SG fails at any micro-stage, NO execution can occur.

Nothing reaches EIR or HVET until SG passes.

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# STEP 6 — EIR GENERATION (Execution Identity Receipt)

*(formerly NIPS — full lineage required)*

EIR binds **identity, rule, data, output, timestamp, physical layer, and psycho-social layer** into one immutable object.

EIR Object:

$$\text{EIR} = \text{H}(\text{HI} \parallel \text{HR} \parallel \text{HD} \parallel \text{HO} \parallel \text{T} \parallel \text{PLX} \parallel \text{PSX})$$

EIR enforces:

- L5–L6
- L11
- L14

EIR is **publicly verifiable** and becomes part of the global audit chain.

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## STEP 7 — HVET CONSTRUCTION (Hash-Verified Execution Trace)

HVET is the cryptographic fingerprint of the entire execution event.

$$\text{HVET} = \text{H}(\text{HR} \parallel \text{HD} \parallel \text{HI} \parallel \text{HO} \parallel \text{T} \parallel \text{nonce} \parallel \text{PLX} \parallel \text{PSX})$$

HVET enforces:

- L0 — irreversibility
- L5 — pre-execution hashing
- L7 — recursive verifiability
- L15 — auditability

At this point, the system has produced a complete, irreversible description of the event **before the event occurs**.

This is NOVAK's core innovation.

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# STEP 8 — RGAC COMMIT (Recursive Global Audit Chain)

(formerly *REVELATION* → now *RGAC*)

RGAC<sub>i</sub> stores the new event:

$$\mathbf{RGAC}_i = \mathbf{H}(\mathbf{RGAC}_{i-1} \parallel \mathbf{HVET}_i \parallel \mathbf{EIR}_i \parallel \mathbf{T}_i \parallel \mathbf{PLX}_i \parallel \mathbf{PSX}_i)$$

RGAC enforces:

- L7–L15
- PL-X (hardware integrity in audit chain)
- PS-X (behavioral fraud signatures)

If any entry anywhere is modified:

**all future entries collapse and verification fails globally.**

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# STEP 9 — EXECUTION (A)

Execution is finally permitted **only after all cryptographic proofs succeed.**

This step enforces NOVAK's central axiom:

**Proof-before-action — not proof-after-action.**

Execution is deterministic, non-probabilistic, and rule-pure.

- No non-deterministic branching
- No random noise
- No stochastic inference
- No hidden state



- No machine deviation allowed (L14)

Execution produces the final output O.

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## STEP 10 — POST-STATE VERIFICATION

After execution:

1. **System verifies  $O == HO$**
2. **Checks hardware state drift (PL-X)**
3. **Checks behavior intent alignment (PS-X)**
4. **Confirms RGAC□ is valid**
5. **Confirms no replays or substitutions occurred**

This step ensures:

- L4 (Output Non-Malleability)
- L14 (Machine Non-Deviation)
- L15 (Universal Auditability)

Only after post-state verification is the result exposed to the outside world.

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## IV. WHY THIS MODEL IS AUTHORITATIVE

NOVAK's execution flow removes:

- ambiguity

- hidden paths
- nondeterminism
- tampering
- malleability
- replays
- silent alteration
- unauthorized identity substitution
- timestamp fraud
- AI/robot deviation
- data corruption
- hardware anomalies
- user deception
- regulatory inconsistency

NOVAK is mathematically compelled to enforce:

**determinism + identity + proof + auditability + physical integrity + human-factor integrity.**

Nothing else in computing or government does this.