

---

# **Discrete Mathematics and Its Applications**

**Kenneth H. Rosen**

**Chapter 9**

**Trees**

# Tree

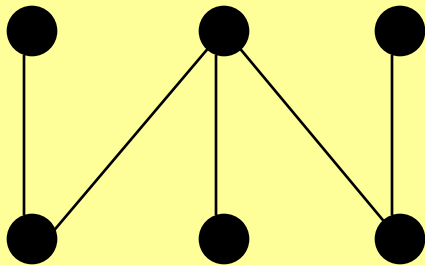
---

**Definition 1.** A tree is a connected undirected graph with no simple circuits.

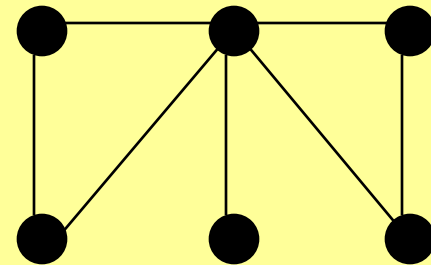
**Theorem 1.** An undirected graph is a tree if and only if there is a unique simple path between any two of its vertices.

# Which graphs are trees?

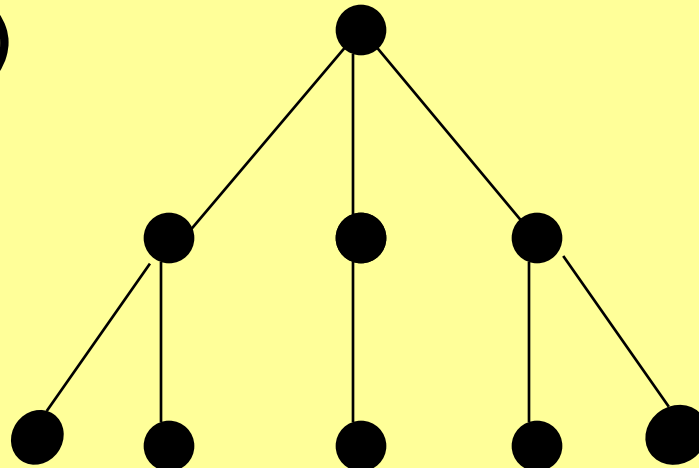
a)



b)

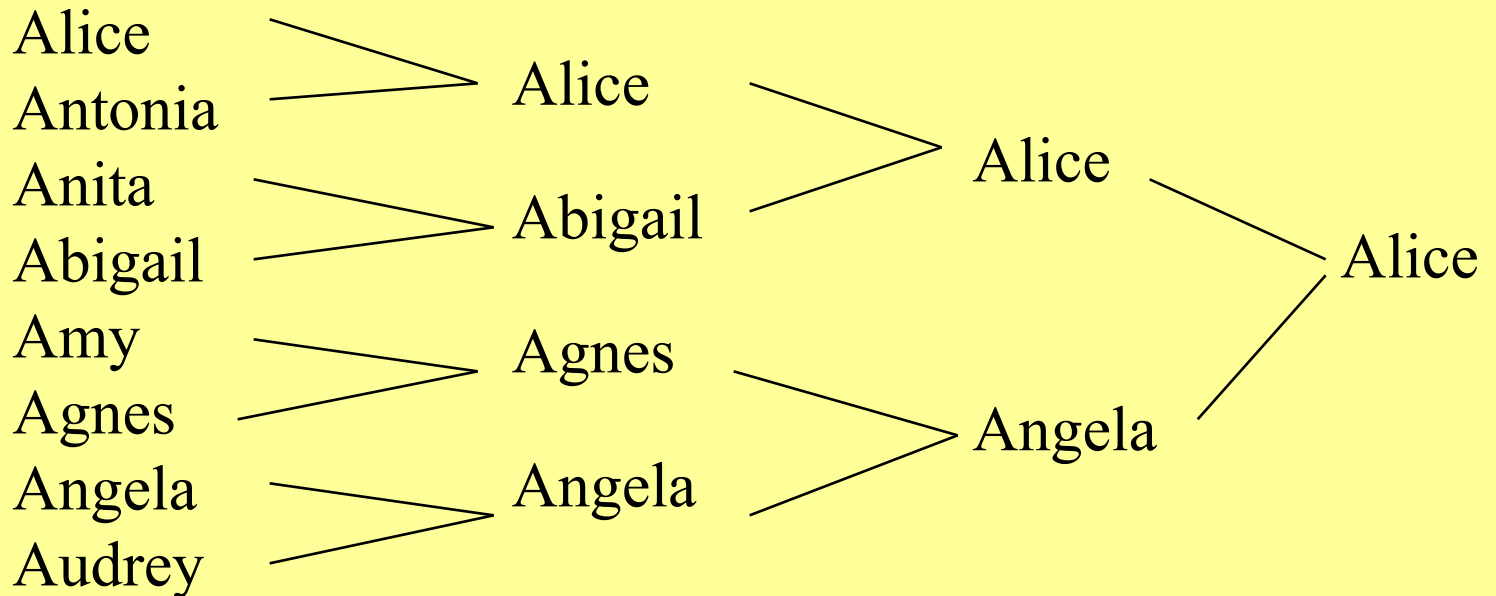


c)



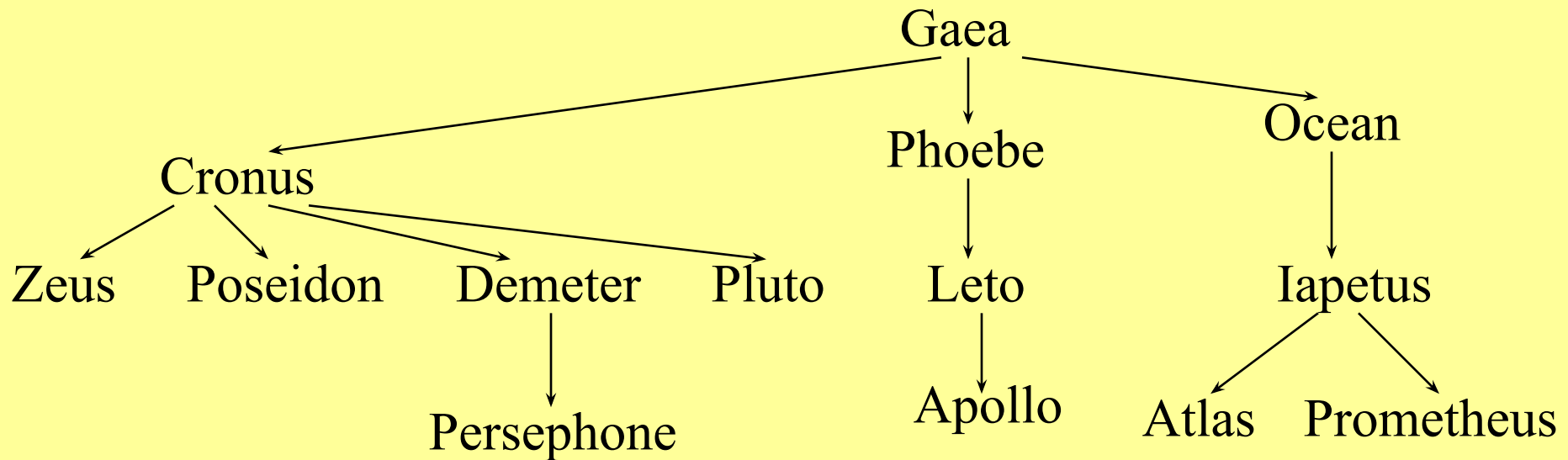
# Tournament Trees

A common form of tree used in everyday life is the tournament tree, used to describe the outcome of a series of games, such as a tennis tournament.



# A Family Tree

Much of the tree terminology derives from family trees.

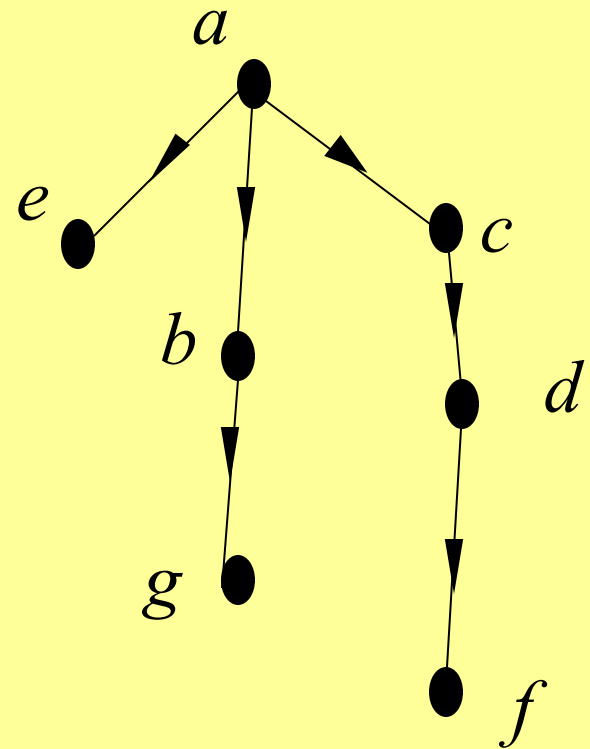
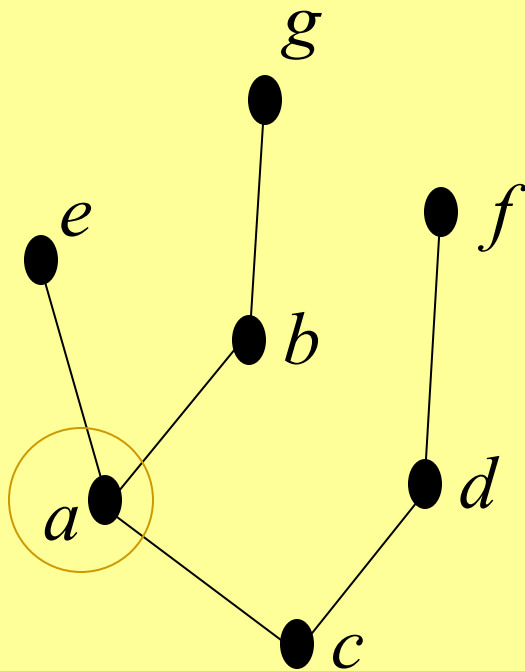


# Rooted Trees

---

Once a vertex of a tree has been designated as the *root* of the tree, it is possible to assign direction to each of the edges.

# Rooted Trees



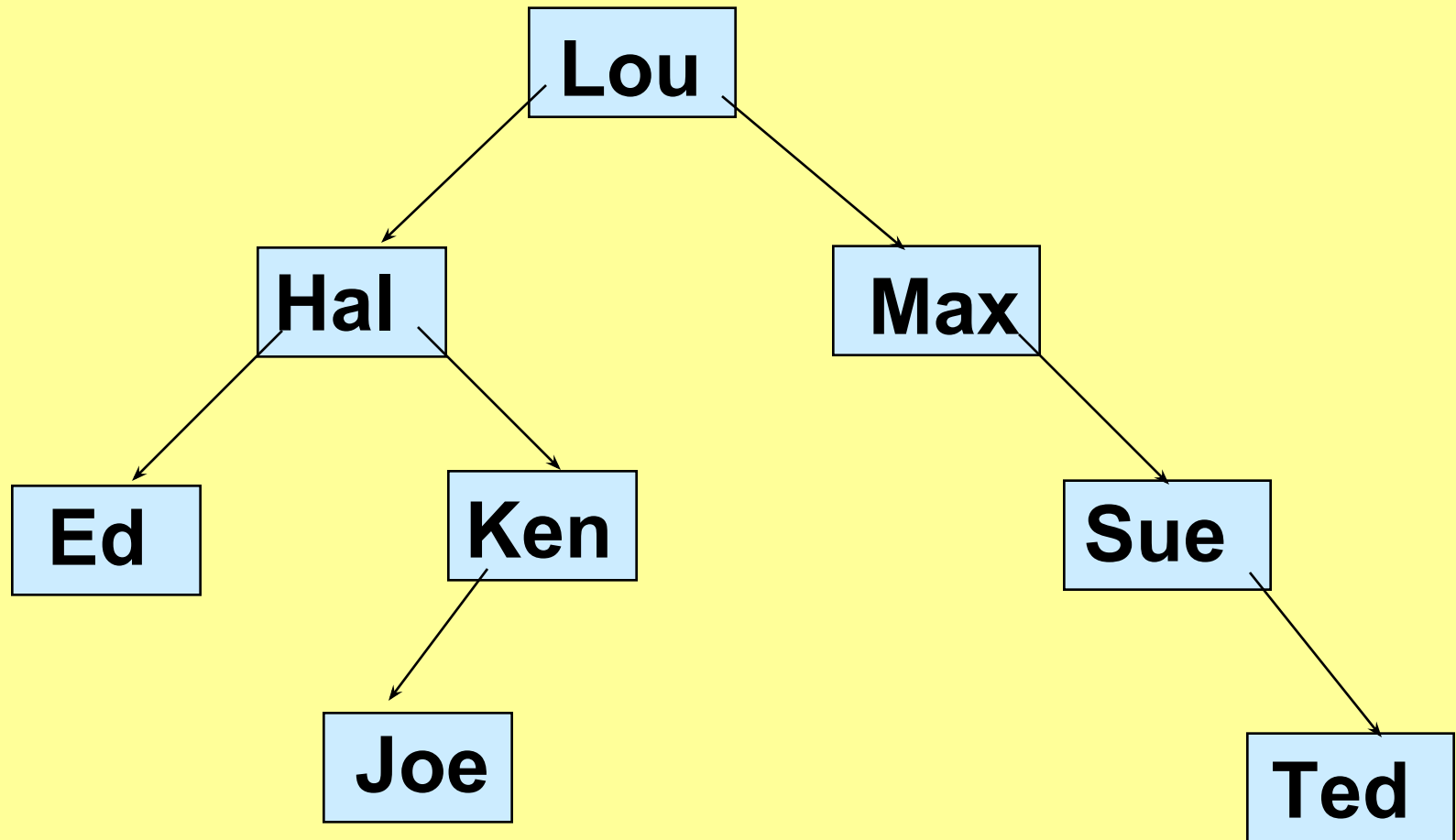
# Parent

---

- The **parent of a non-root vertex  $v$**  is the unique vertex  $u$  with a directed edge from  $u$  to  $v$ .



# What is the parent of Ed?

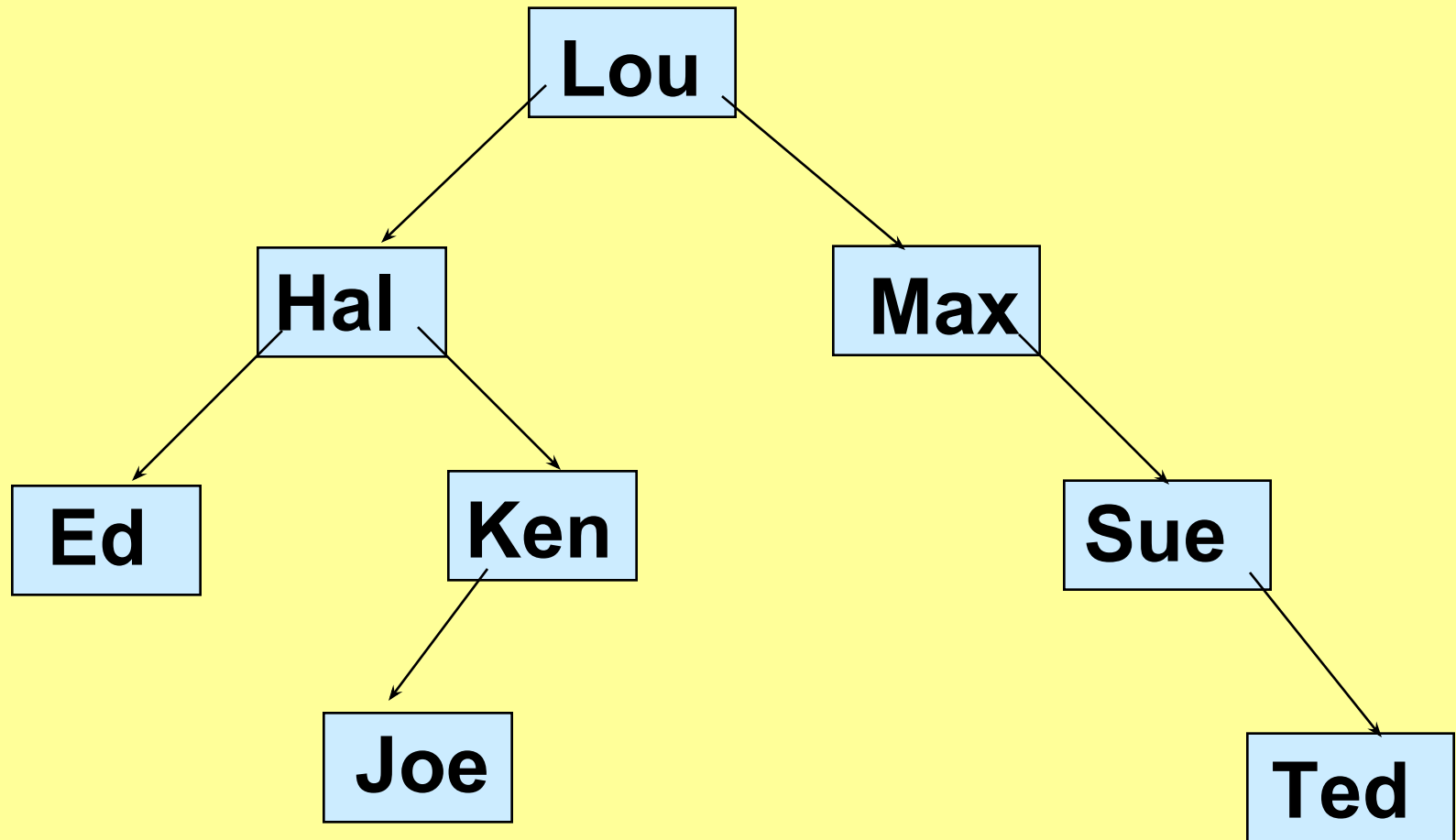


# Leaf

---

- A **vertex** is called a leaf if it has no children.

# How many leaves?

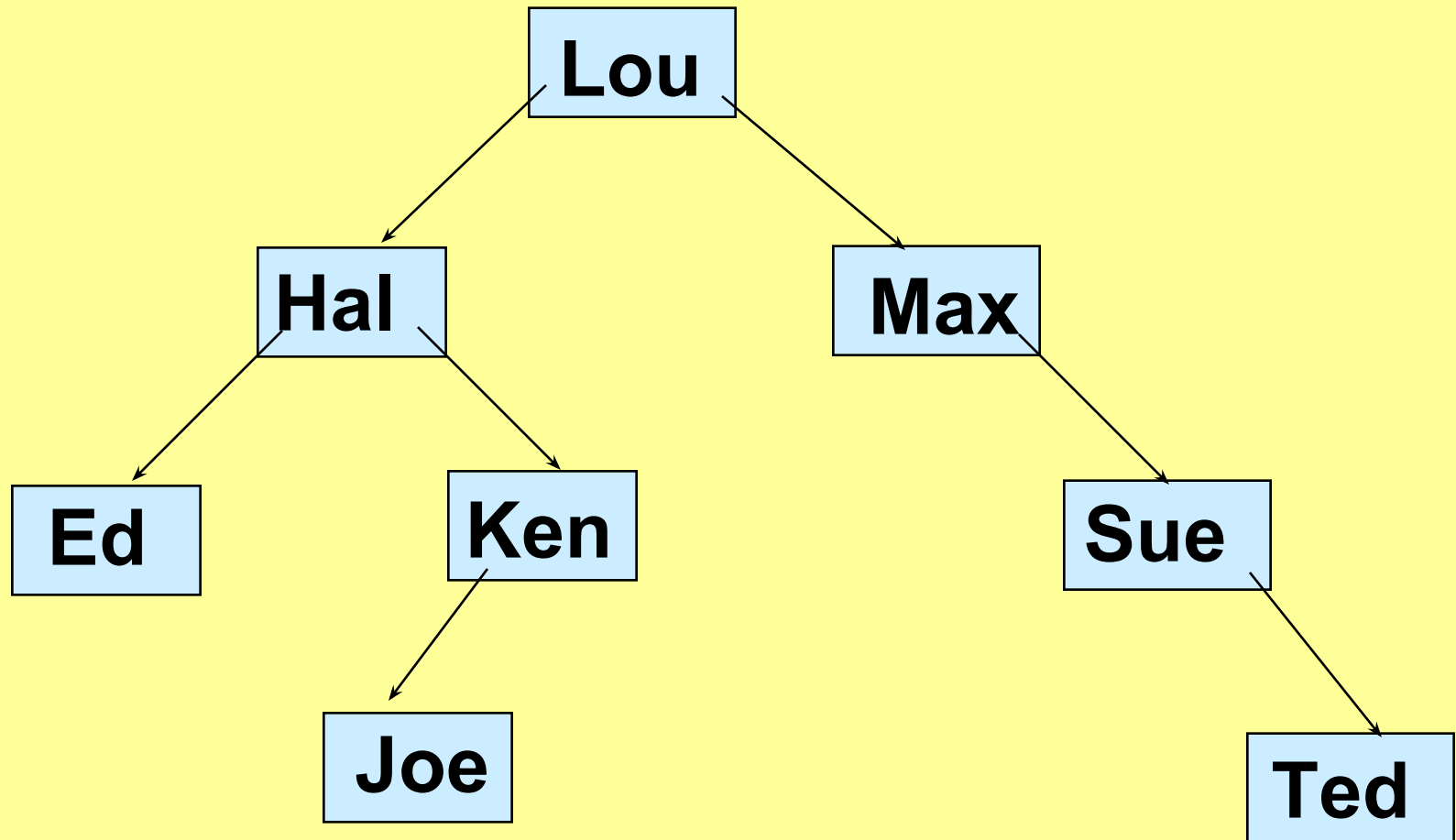


# Ancestors

---

- The **ancestors of a non-root vertex** are all the vertices in the path from root to this vertex.

# How many ancestors of Ken?

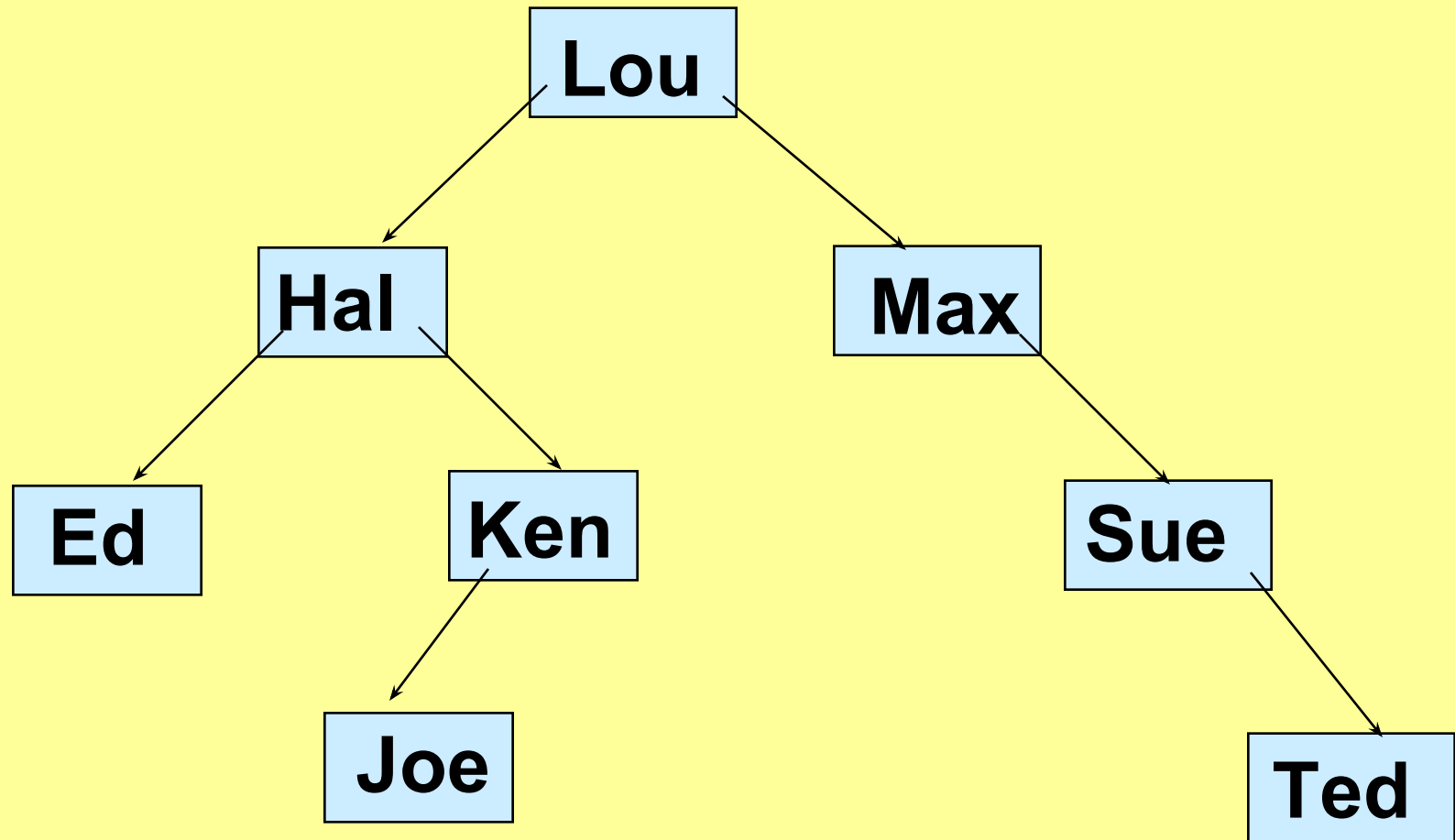


# Descendants

---

- The **descendants of vertex  $v$**  are all the vertices that have  $v$  as an ancestor.

# How many descendants of Hal?



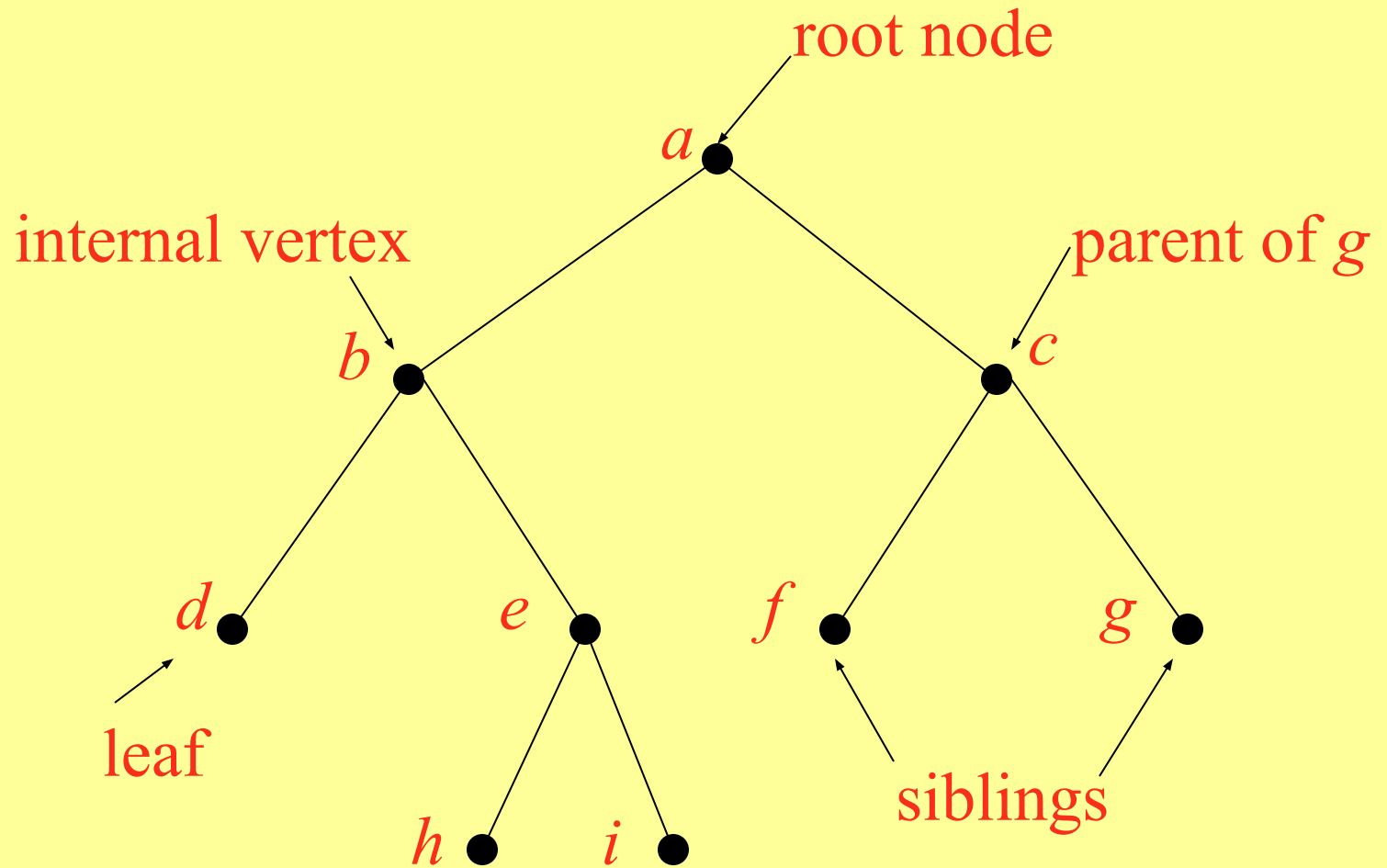
# Internal Vertex

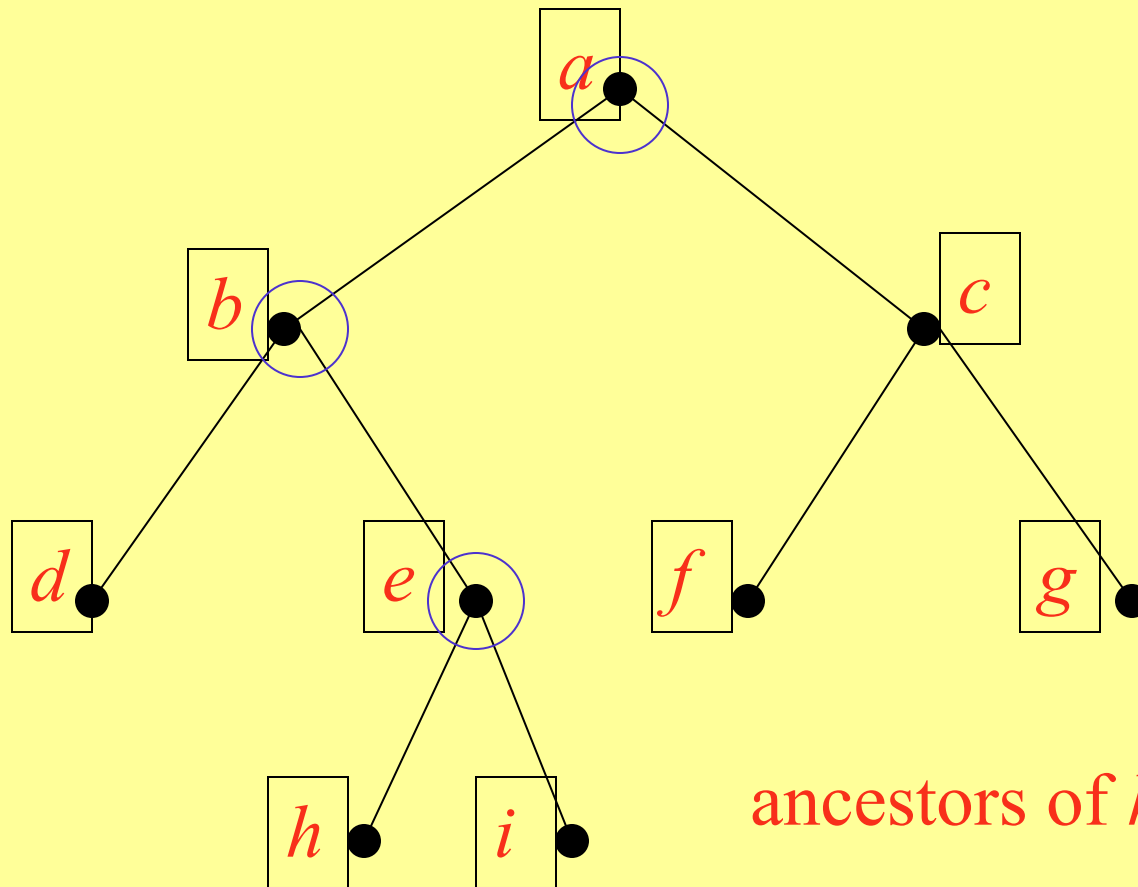
---

A vertex that has children is called an **internal vertex**.

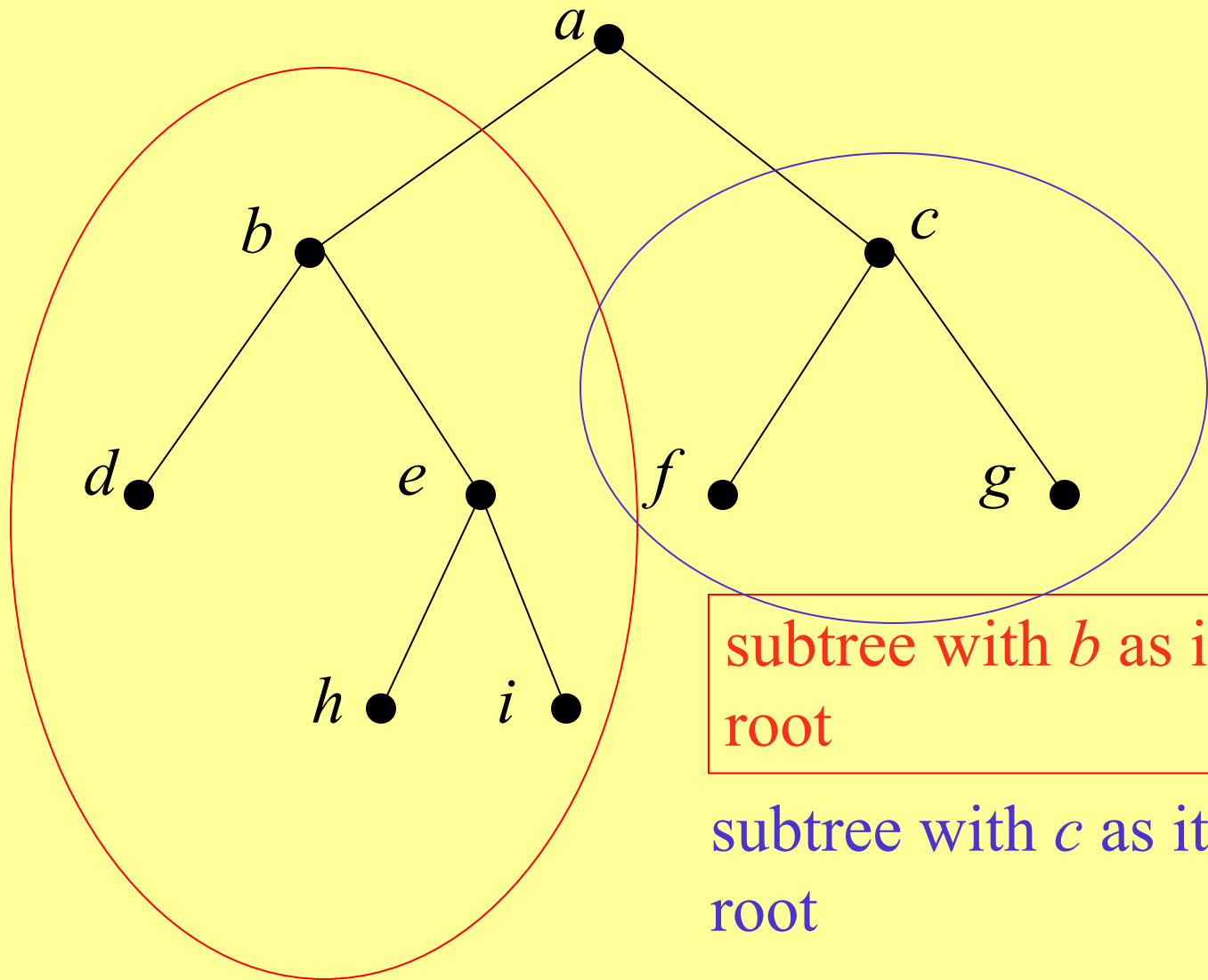
The **subtree at vertex  $v$**  is the subgraph of the tree consisting of vertex  $v$  and its descendants and all edges incident to those descendants.







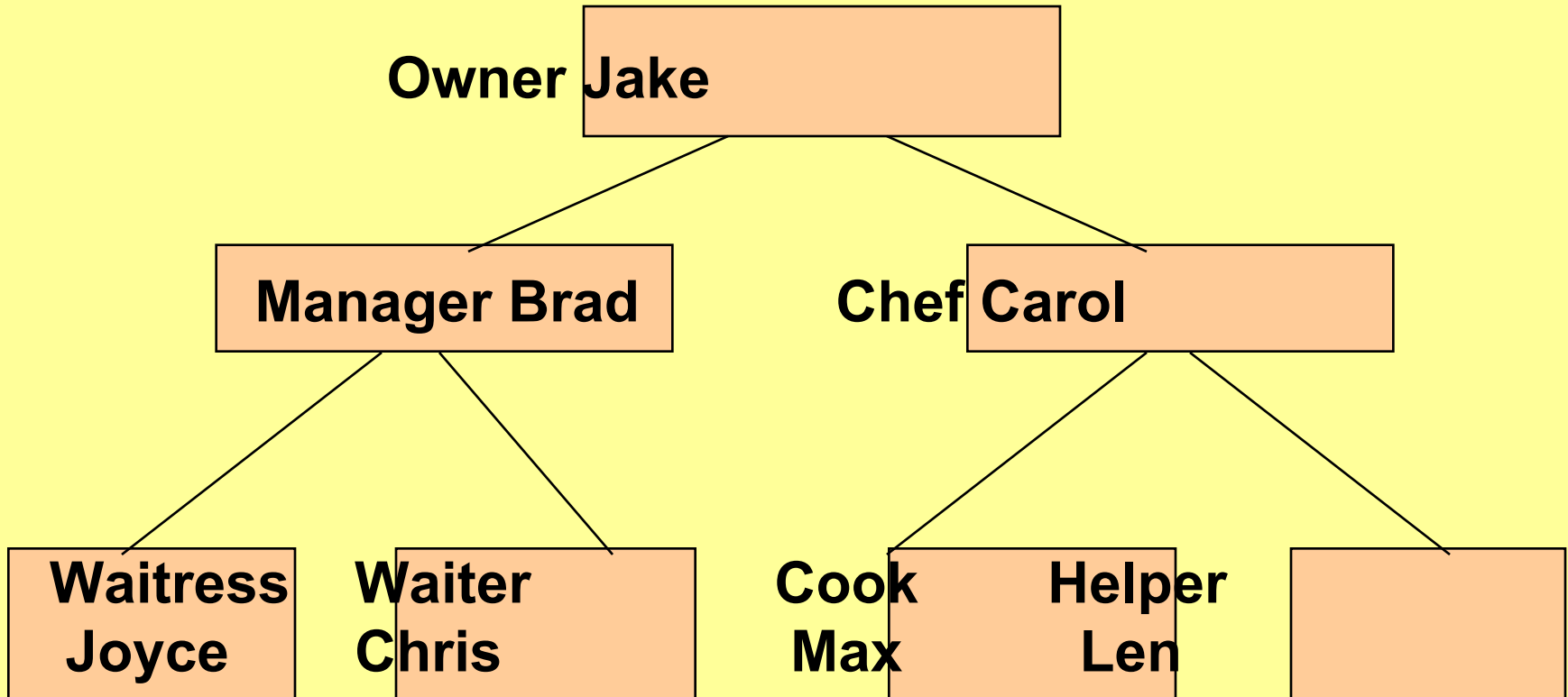
ancestors of  $h$  and  $i$



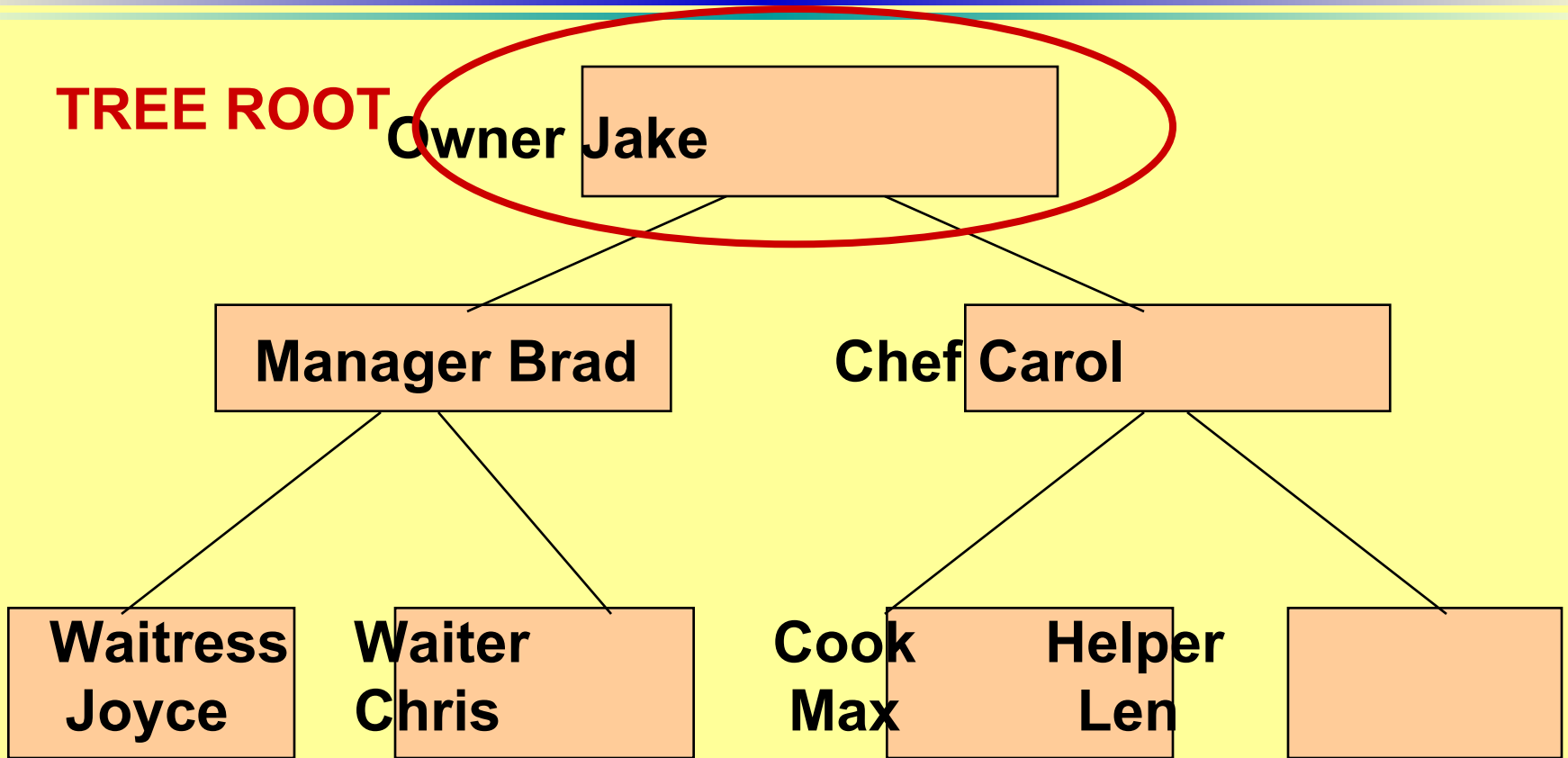
subtree with  $b$  as its  
root

subtree with  $c$  as its  
root

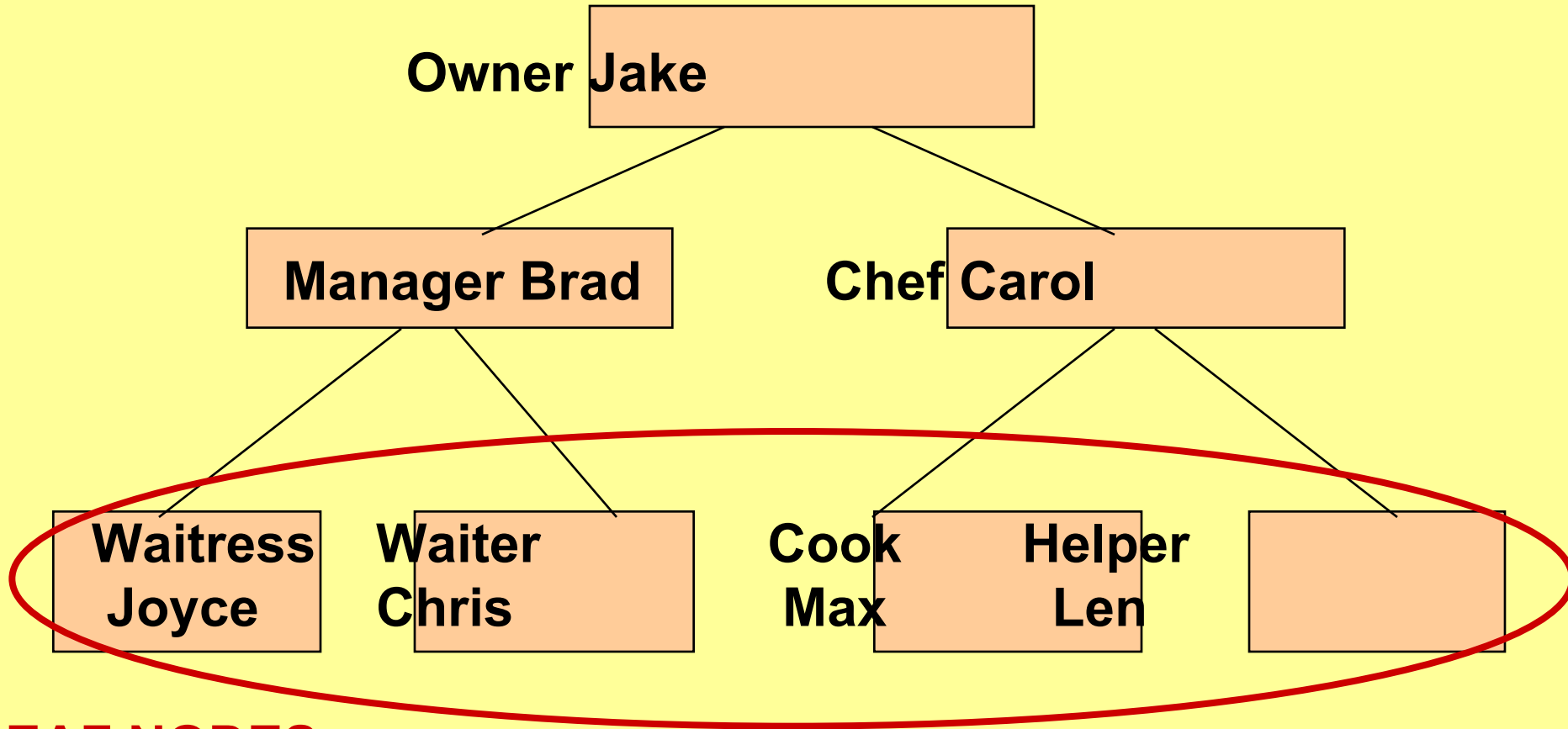
# Jake's Pizza Shop Tree



# A Tree Has a Root



# Leaf nodes have no children

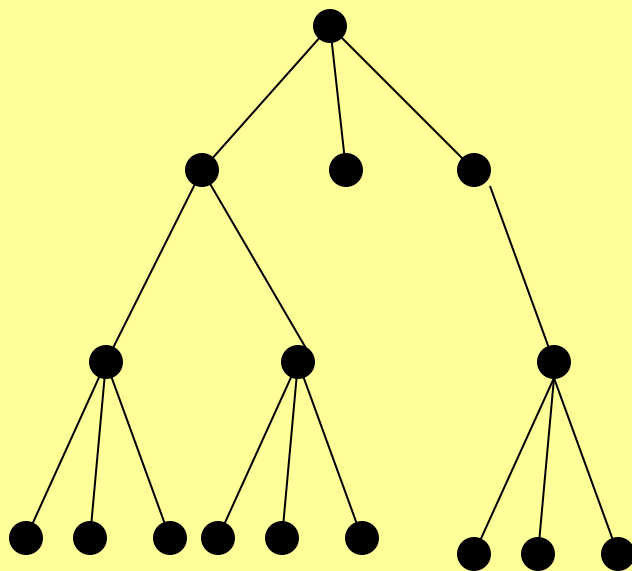
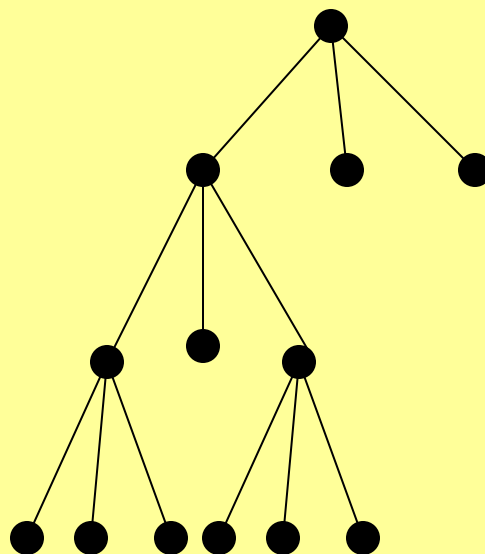
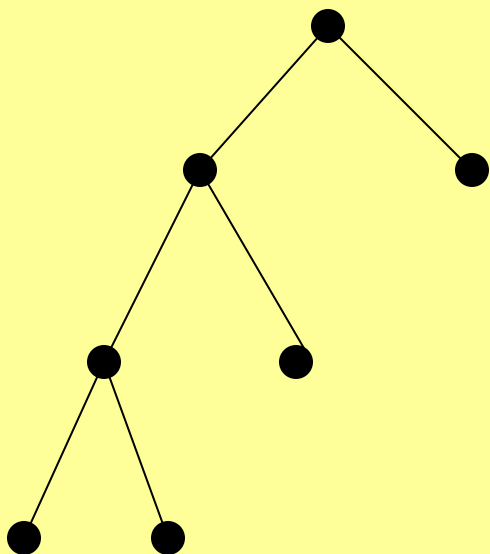


**LEAF NODES**

# ***m-ary trees***

---

A rooted tree is called an *m-ary tree* if every internal vertex has no more than  $m$  children. The tree is called a *full m-ary tree* if every internal vertex has exactly  $m$  children. An *m-ary tree* with  $m=2$  is called a *binary tree*.





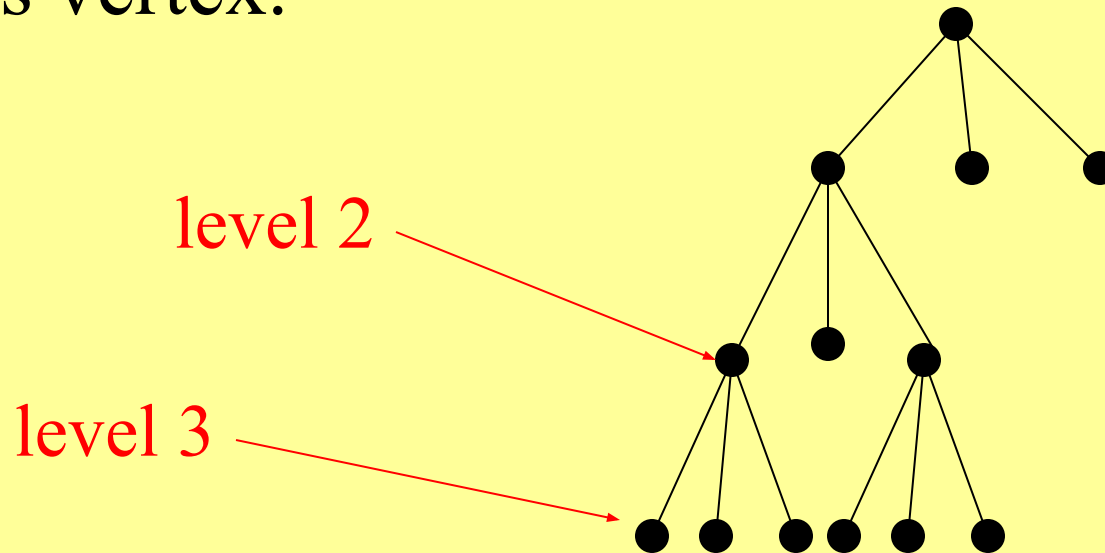
# Level of tree

---

- The level of a vertex in a rooted tree is the length of the unique path from the root to this vertex.
- The level of the root is defined to be zero.
- The **height** of the rooted tree is the maximum of the levels of vertices.

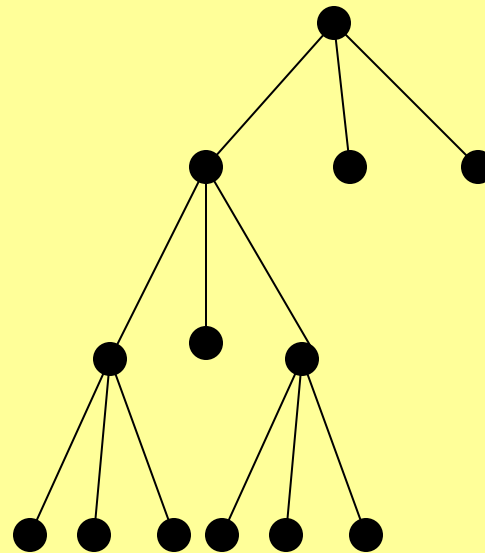
# Properties of Trees

The level of a vertex  $v$  in a rooted tree is the length of the unique path from the root to this vertex.



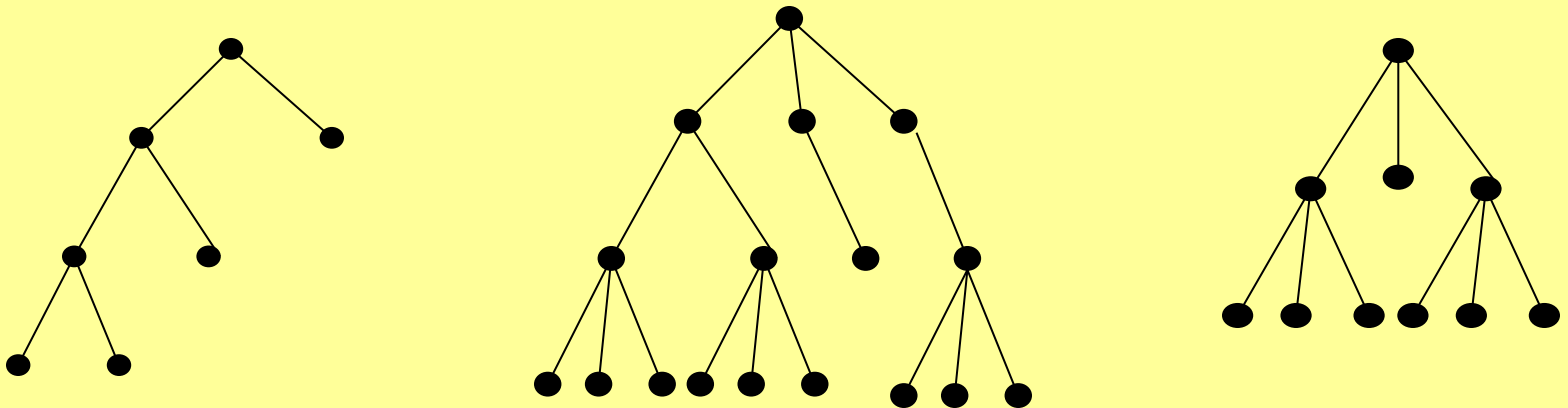
# Properties of Trees

The *height* of a rooted tree is the maximum of the levels of vertices.

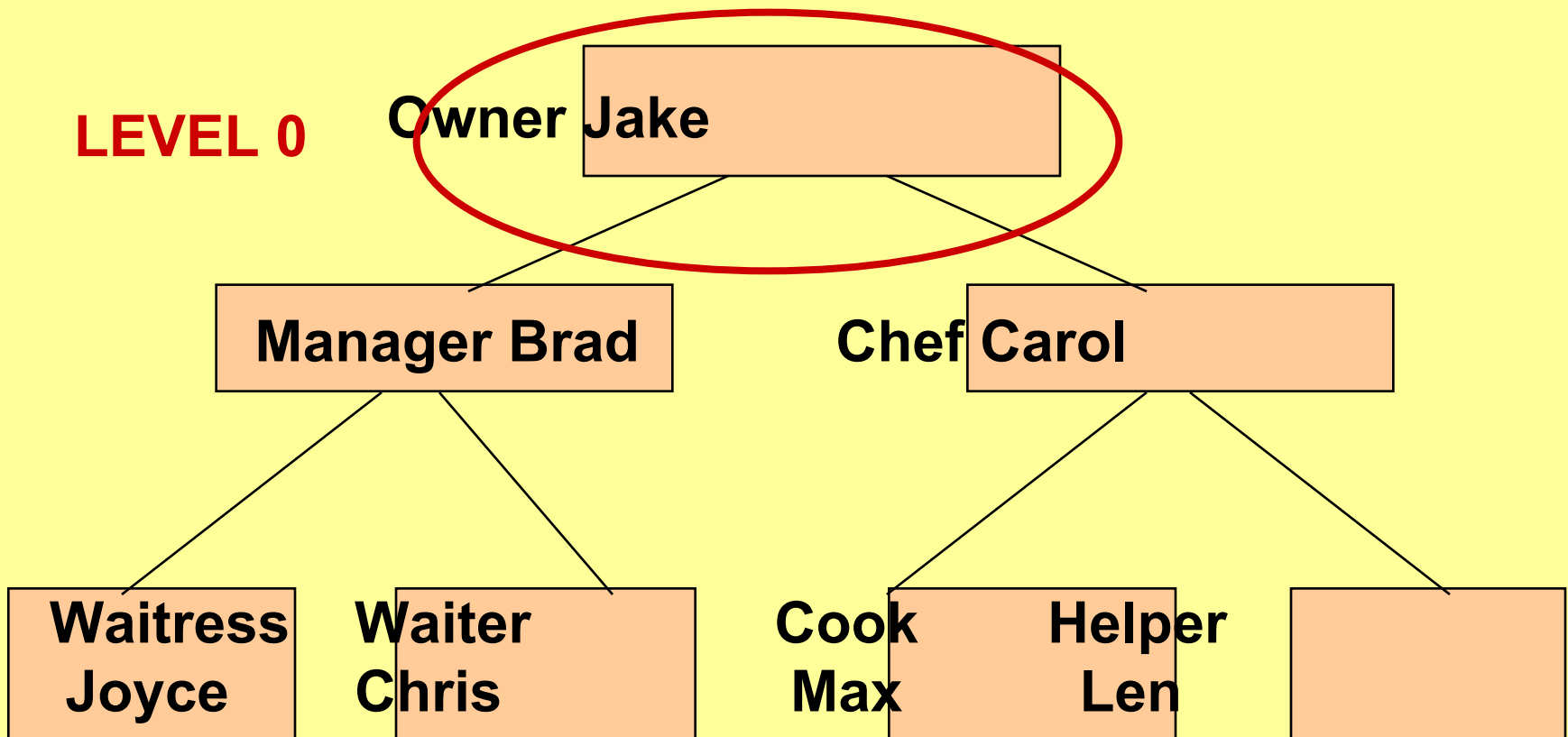


# Properties of Trees

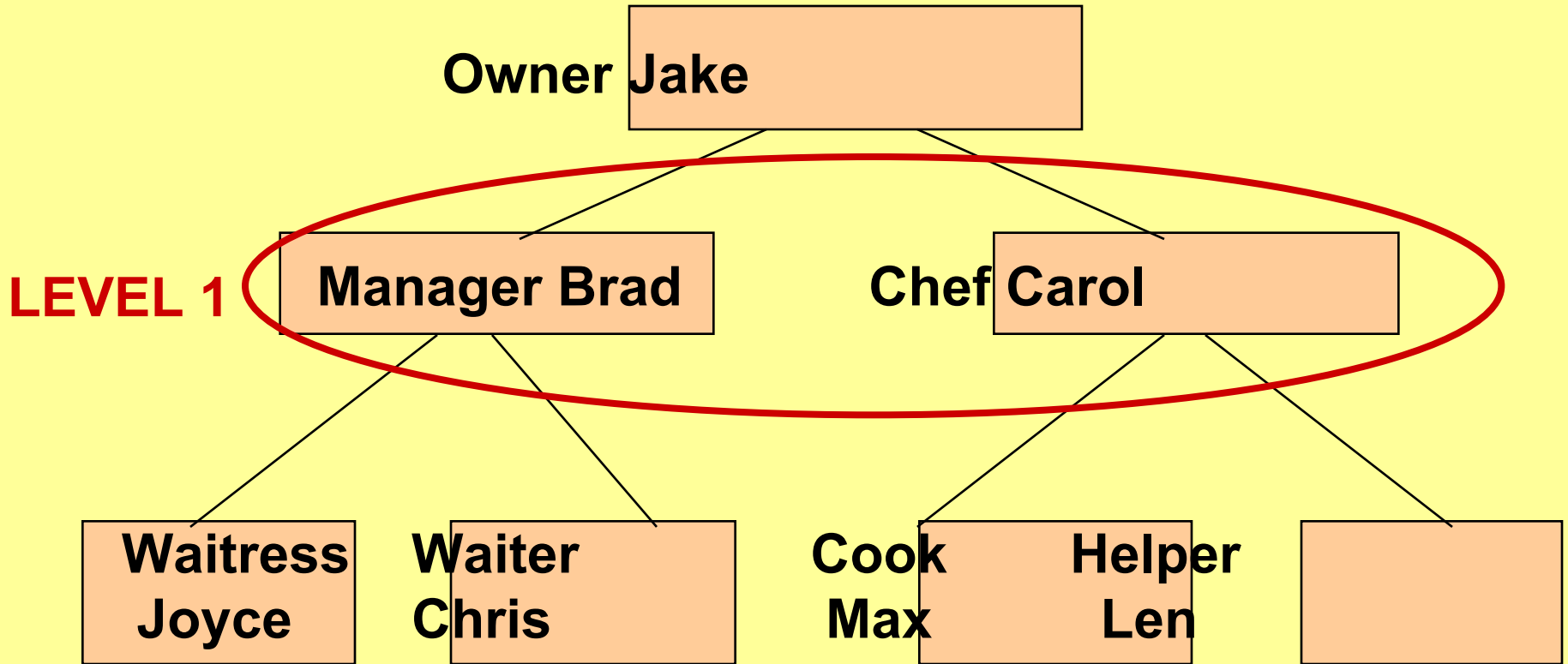
A rooted  $m$ -ary tree of height  $h$  is called *balanced* if all leaves are at levels  $h$  or  $h-1$ .



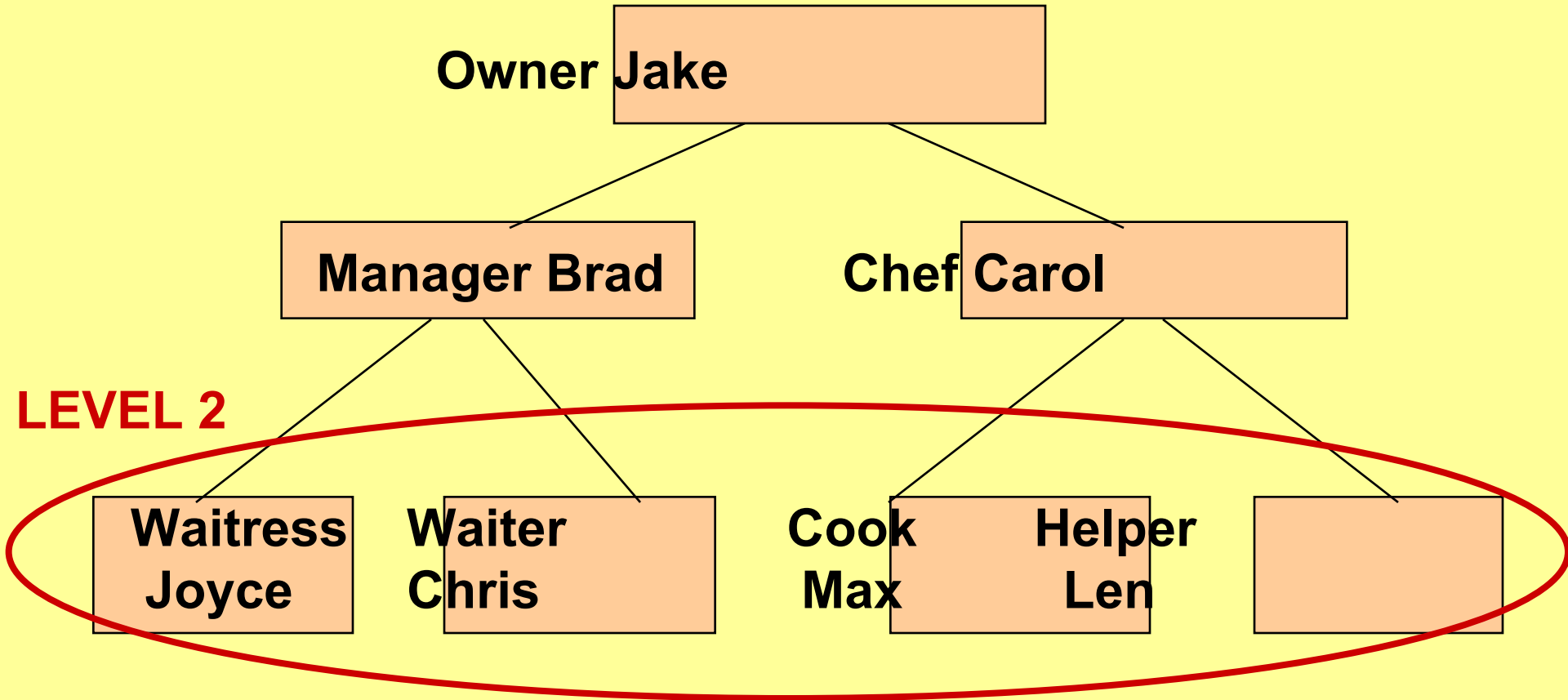
# A Tree Has Levels



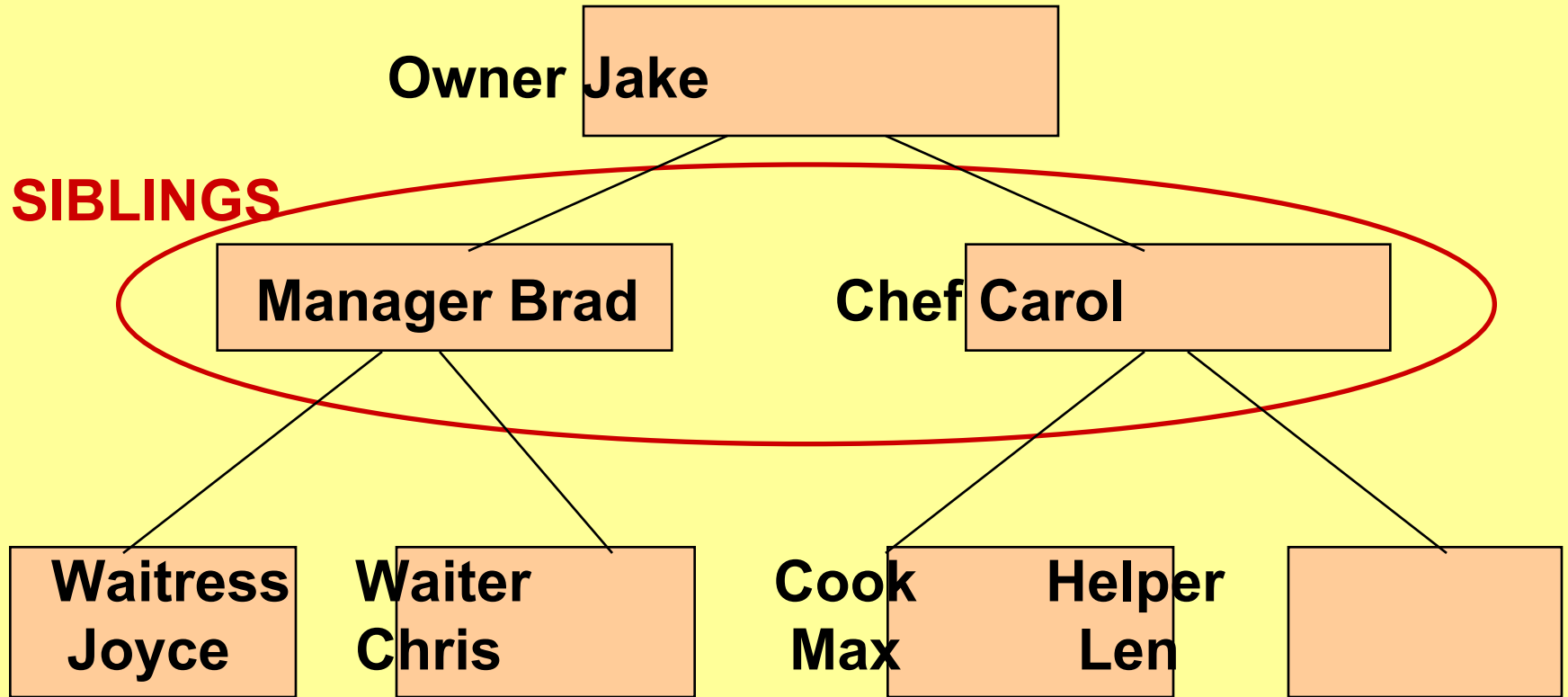
# Level One



# Level Two

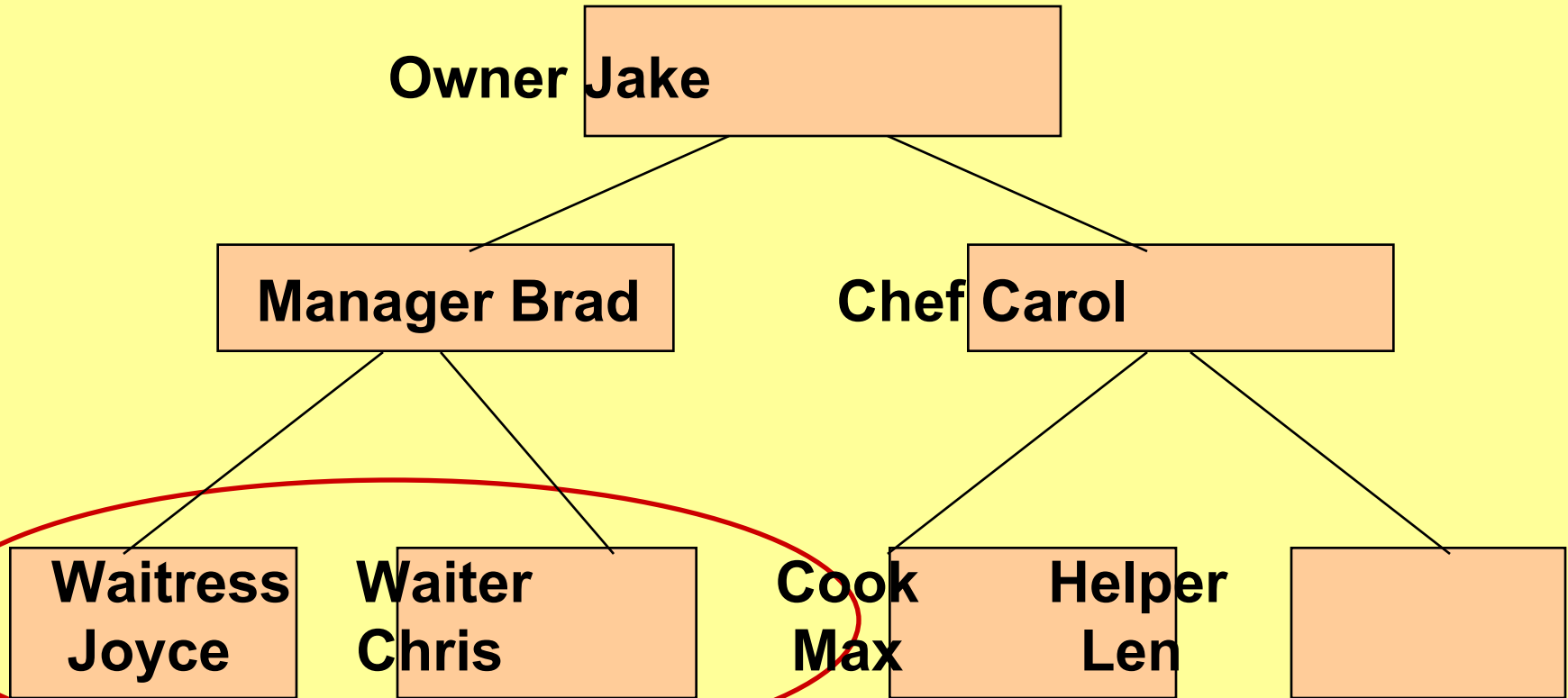


# Sibling nodes have same parent



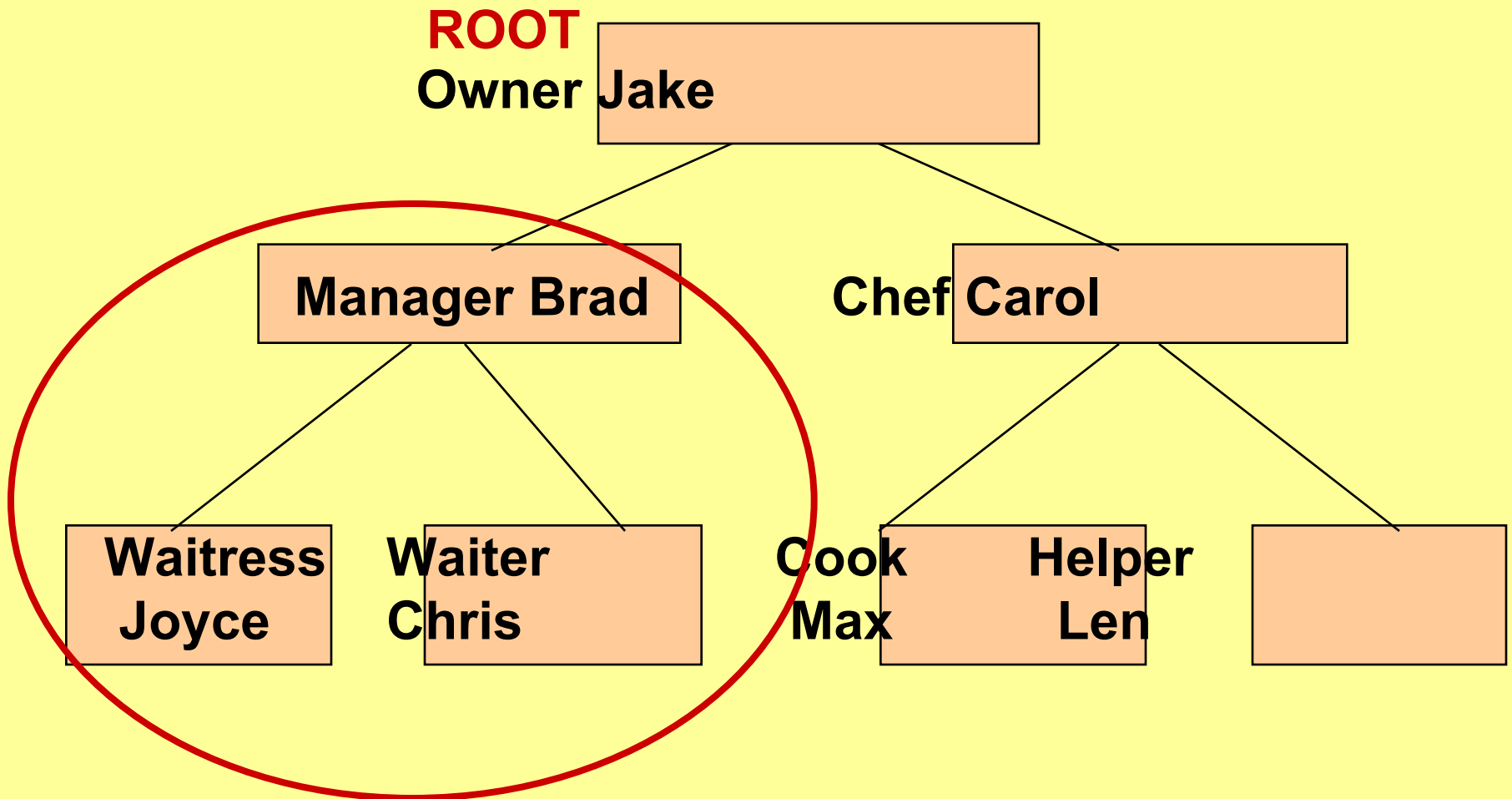


# Sibling nodes have same parent



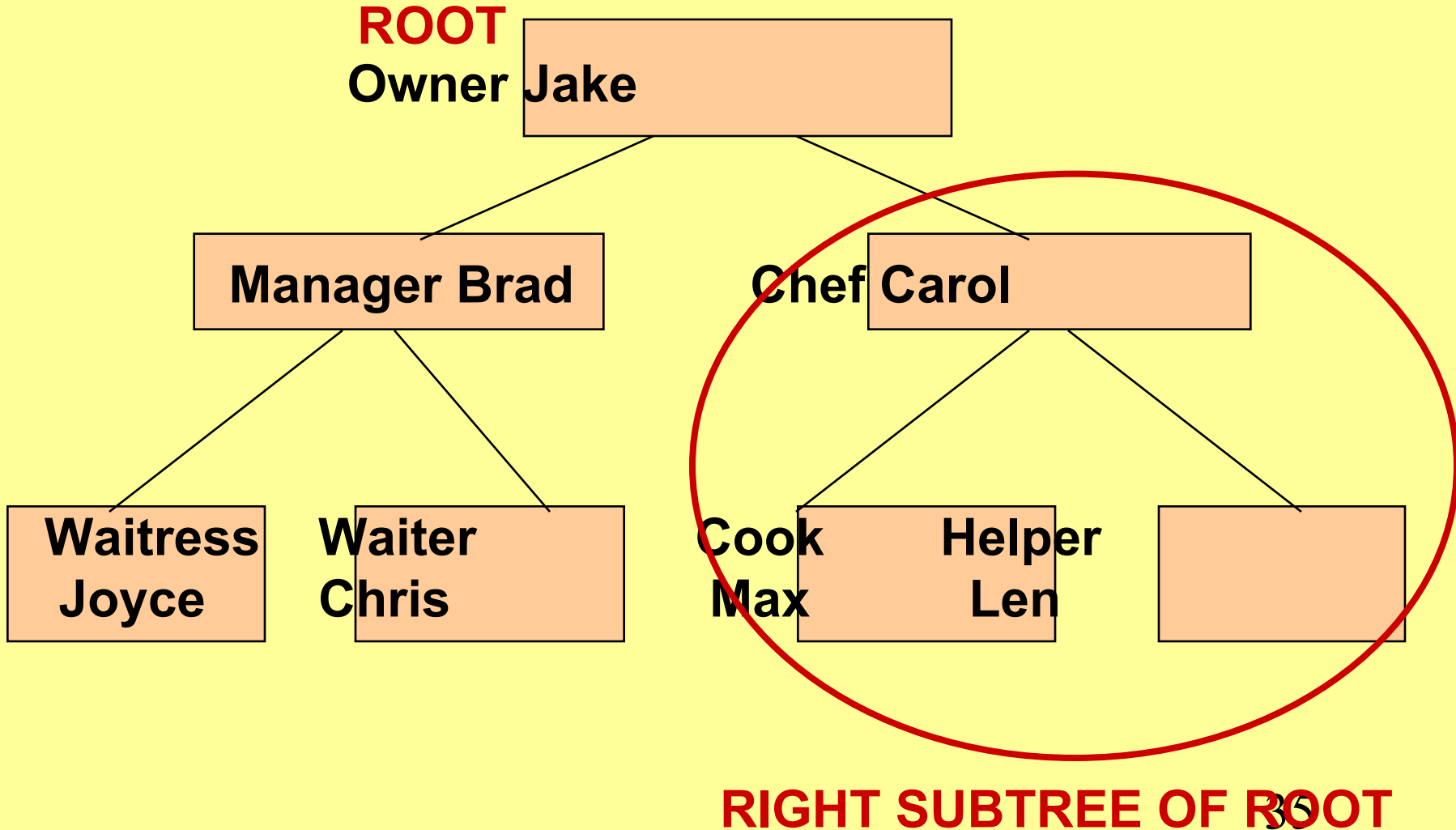
**SIBLINGS**

# A Subtree



**LEFT SUBTREE OF ROOT**

# Another Subtree



# Binary Tree

---

**Definition 2' .** A rooted tree is called a **binary tree** if every internal vertex has no more than 2 children.

The tree is called a **full** binary tree if every internal vertex has exactly 2 children.

# Ordered Rooted Tree

---

An ordered rooted tree is a rooted tree where the children of each internal vertex are ordered. Ordered trees are drawn so that the children of each internal vertex are shown in order from left to right.

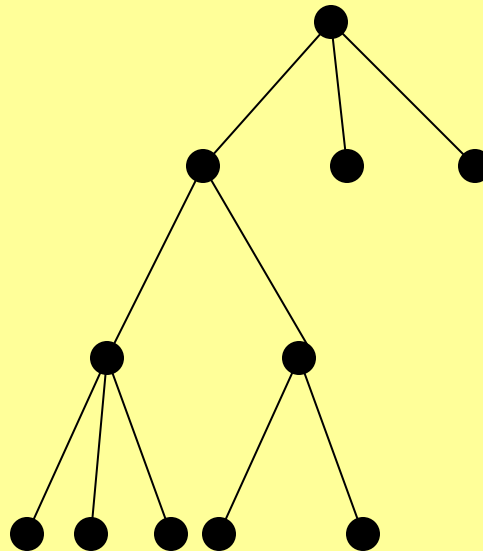
# Tree Properties

---

**Theorem 2.** A tree with  $N$  vertices has  $N-1$  edges.

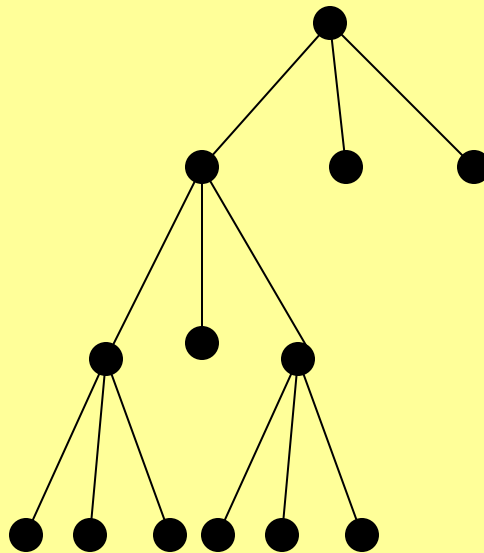
# Properties of Trees

A tree with  $n$  vertices has  $n-1$  edges.



# Properties of Trees

A full  $m$ -ary tree with  $i$  internal vertices contains  $n = mi+1$  vertices.





# Properties of Trees

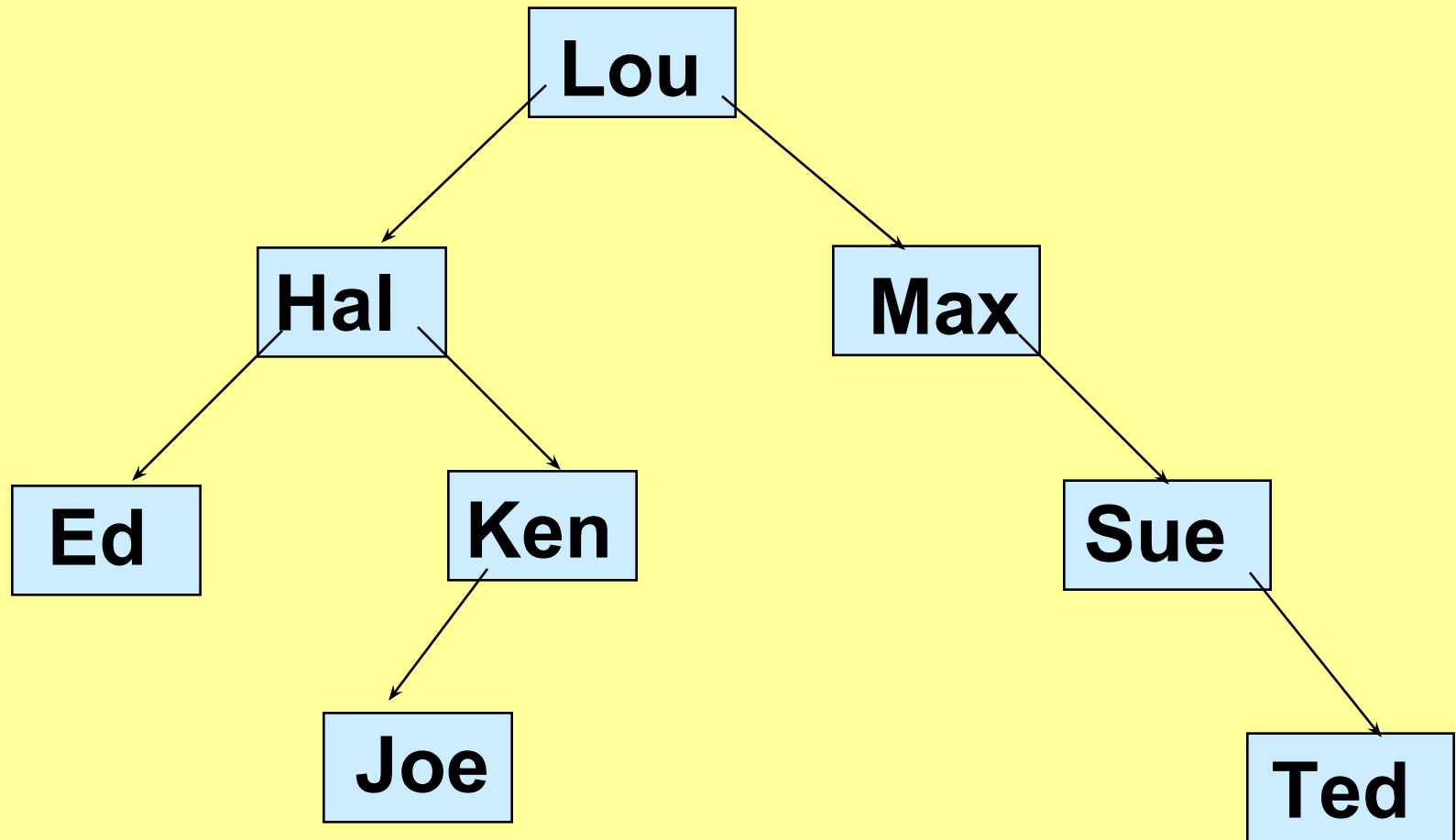
A full  $m$ -ary tree with

(i)  $n$  vertices has  $i = (n-1)/m$  internal vertices and  $l = [(m-1)n+1]/m$  leaves.

(ii)  $i$  internal vertices has  $n = mi + 1$  vertices and  $l = (m-1)i + 1$  leaves.

(iii)  $l$  leaves has  $n = (ml - 1)/(m-1)$  vertices and  $i = (l-1)/(m-1)$  internal vertices.

# An Ordered Binary Tree

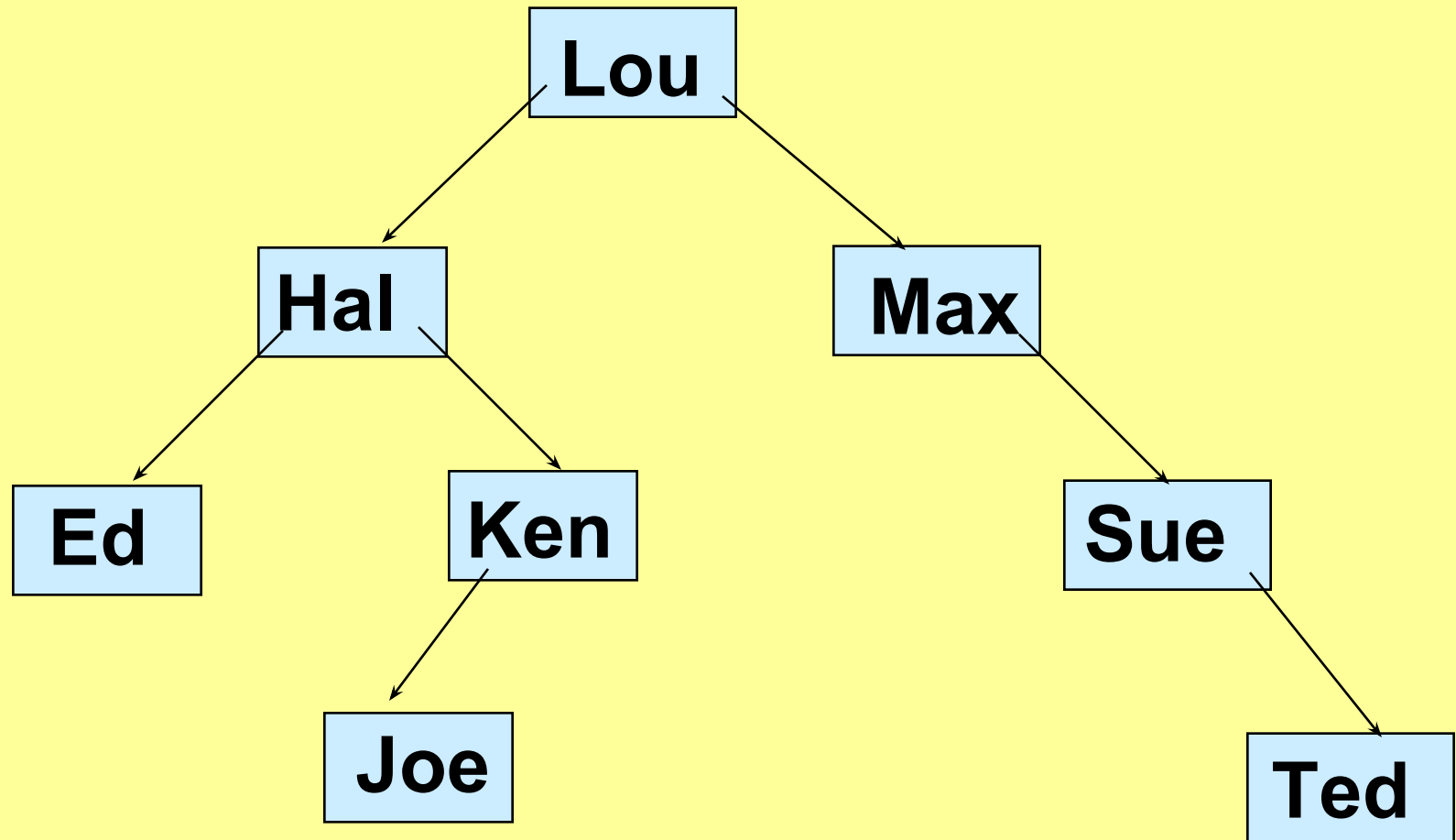


# Balanced

---

- A rooted binary tree of height  $H$  is called **balanced** if all its leaves are at levels  $H$  or  $H-1$ .

# Is this binary tree balanced?



# Traversal Algorithms

---

- A traversal algorithm is a procedure for **systematically visiting every vertex** of an ordered binary tree.
- Tree traversals are defined recursively.
- Three traversals are named:  
    preorder,  
    inorder,  
    postorder.

# PREORDER Traversal Algorithm

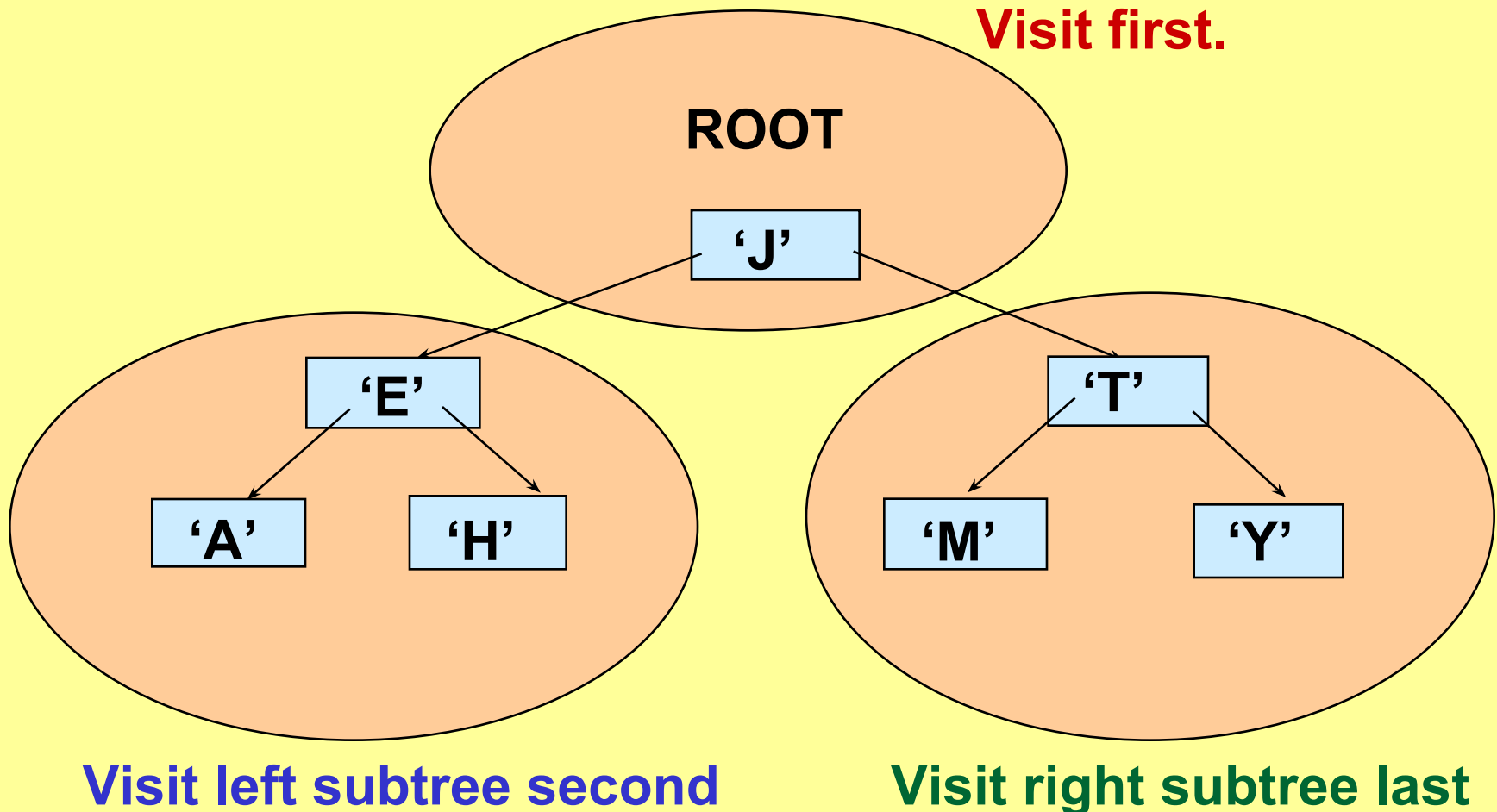
---

Let  $T$  be an ordered binary tree with root  $r$ .

If  $T$  has only  $r$ , then  $r$  is the preorder traversal.

Otherwise, suppose  $T_1$ ,  $T_2$  are the left and right subtrees at  $r$ . **The preorder traversal begins by visiting  $r$ .** Then traverses  $T_1$  in preorder, then traverses  $T_2$  in preorder.

# Preorder Traversal: J E A H T M Y



# INORDER Traversal Algorithm

---

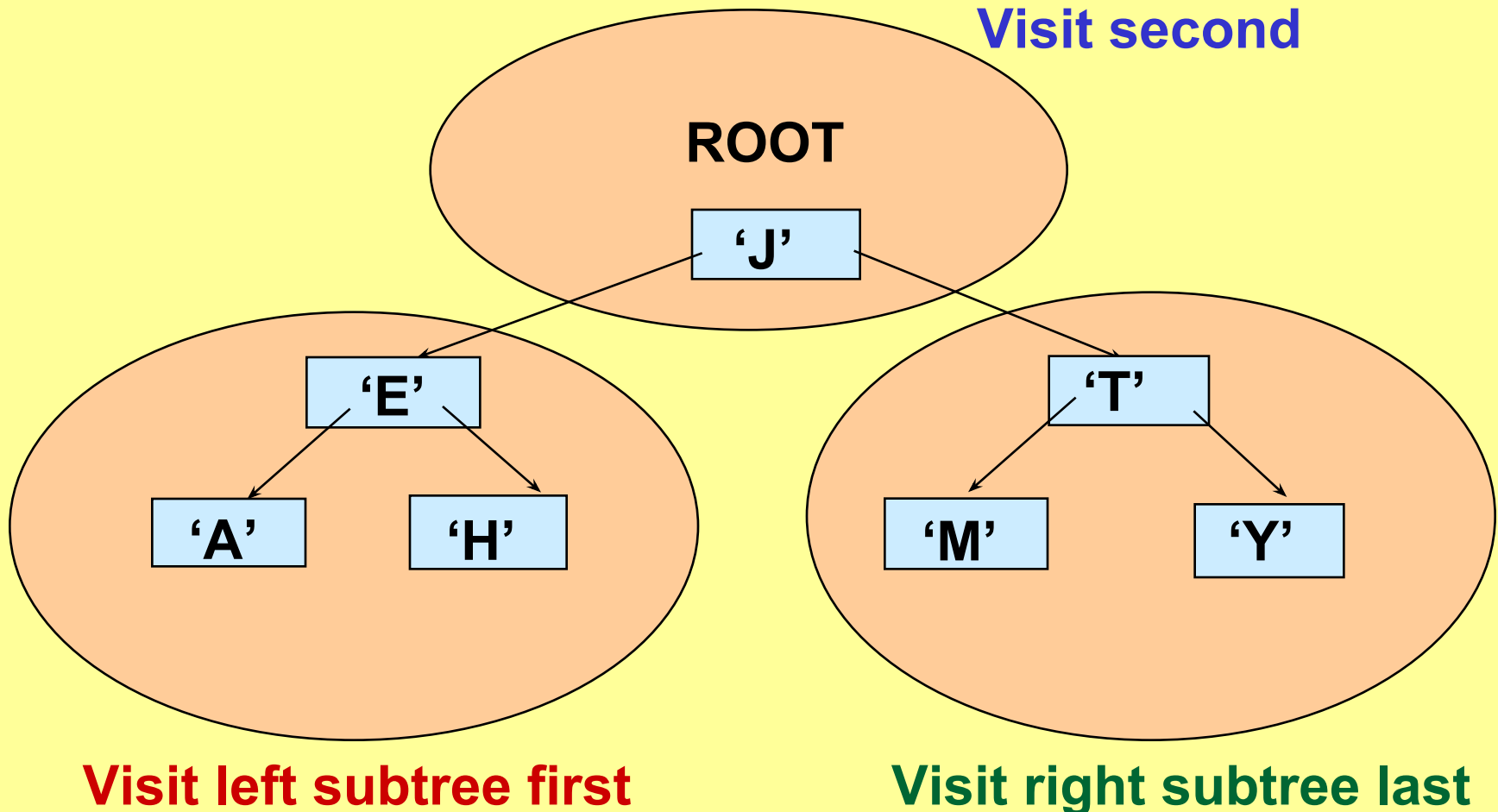
Let  $T$  be an ordered binary tree with root  $r$ .

If  $T$  has only  $r$ , then  $r$  is the inorder traversal.

Otherwise, suppose  $T_1$ ,  $T_2$  are the left and right subtrees at  $r$ . **The inorder traversal begins by traversing  $T_1$  in inorder.** Then visits  $r$ , then traverses  $T_2$  in inorder.



# Inorder Traversal: A E H J M T Y



# POSTORDER Traversal Algorithm

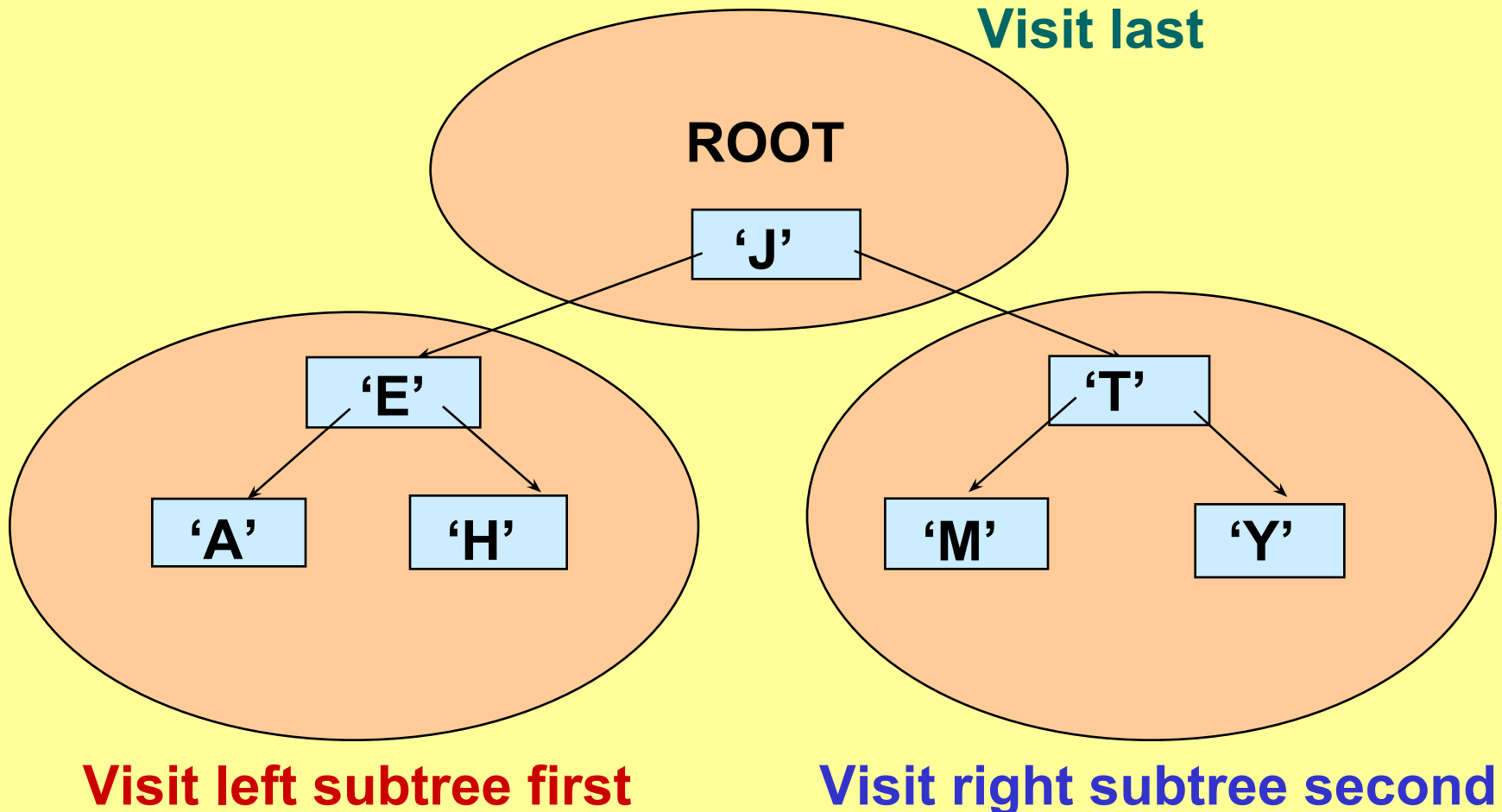
---

Let  $T$  be an ordered binary tree with root  $r$ .

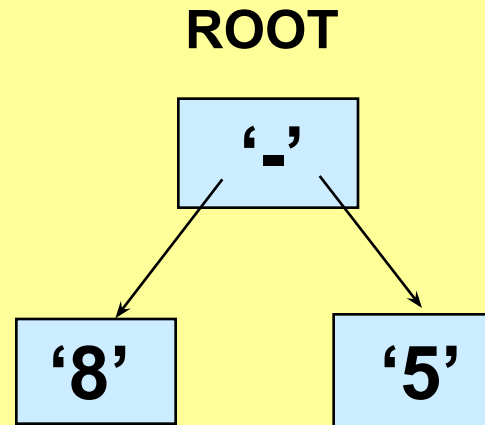
If  $T$  has only  $r$ , then  $r$  is the postorder traversal.

Otherwise, suppose  $T_1$ ,  $T_2$  are the left and right subtrees at  $r$ . **The postorder traversal begins by traversing  $T_1$  in postorder.** Then traverses  $T_2$  in postorder, then ends by visiting  $r$ .

# Postorder Traversal: A H E M Y T J



# A Binary Expression Tree



**INORDER TRAVERSAL:**    8 - 5    has value 3

**PREORDER TRAVERSAL:**    - 8 5

**POSTORDER TRAVERSAL:**    8 5 -

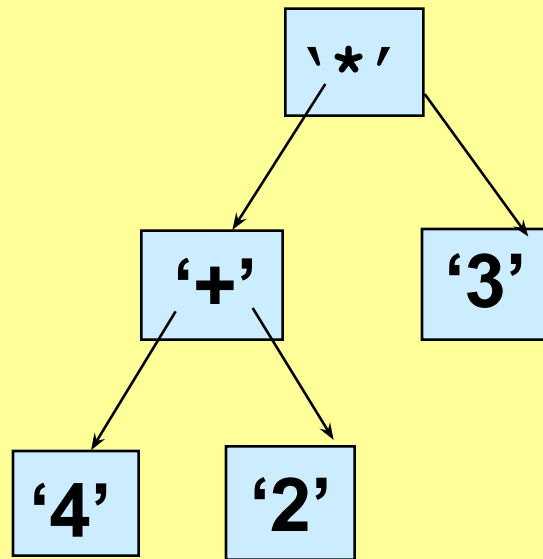
# A Binary Expression Tree is . . .

---

A special kind of binary tree in which:

1. Each **leaf node** contains a single operand,
2. Each **nonleaf node** contains a single binary operator, and
3. The left and right subtrees of an operator node represent **subexpressions** that must be evaluated **before** applying the operator at the root of the subtree.

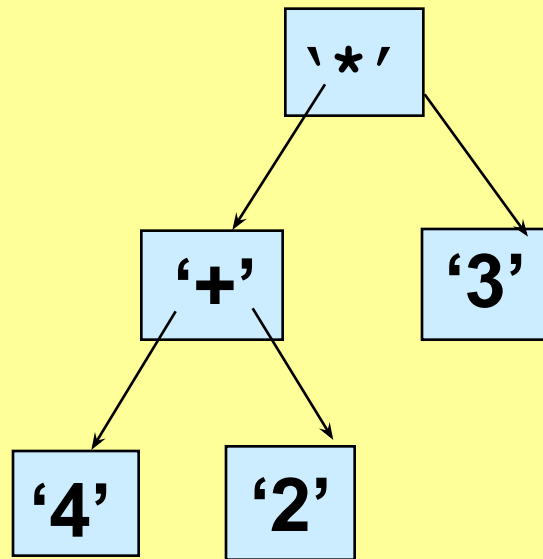
# A Binary Expression Tree



**What value does it have?**

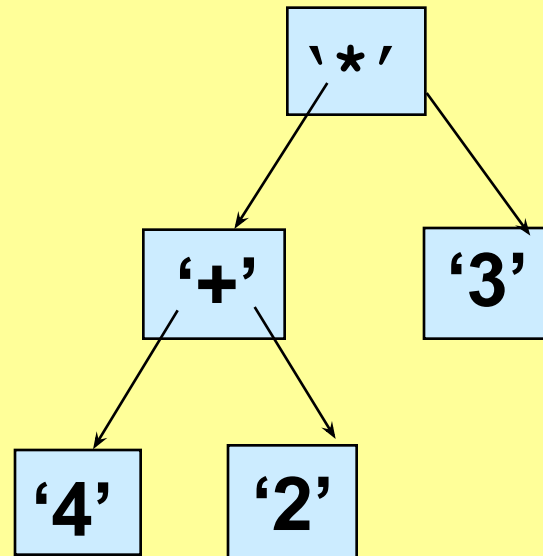
$$(4 + 2) * 3 = 18$$

# A Binary Expression Tree



**What infix, prefix, postfix expressions does it represent?**

# A Binary Expression Tree



**Infix:**         $((4 + 2) * 3)$

**Prefix:**      $* + 4 2 3$

*right*

*evaluate from*

**Postfix:**     $4 2 + 3 *$

*evaluate from left*



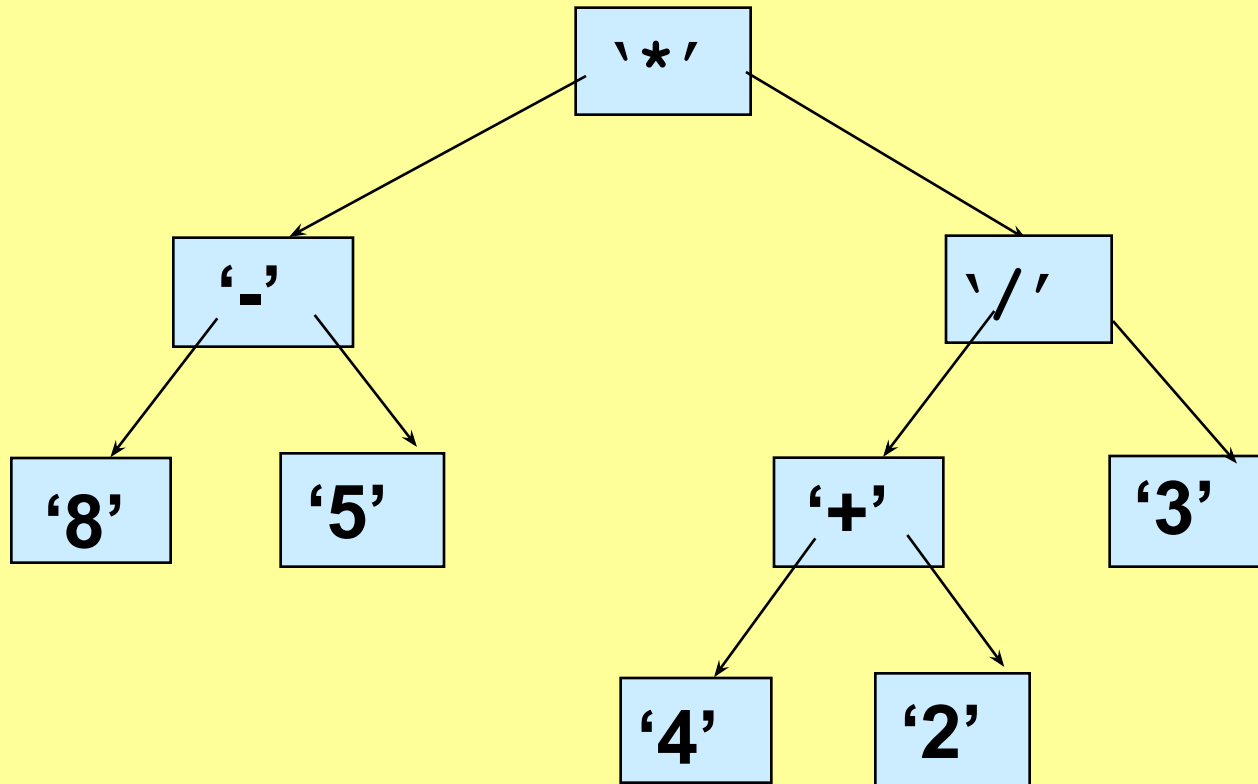
# Levels Indicate Precedence

---

**When a binary expression tree is used to represent an expression, the levels of the nodes in the tree indicate their relative precedence of evaluation.**

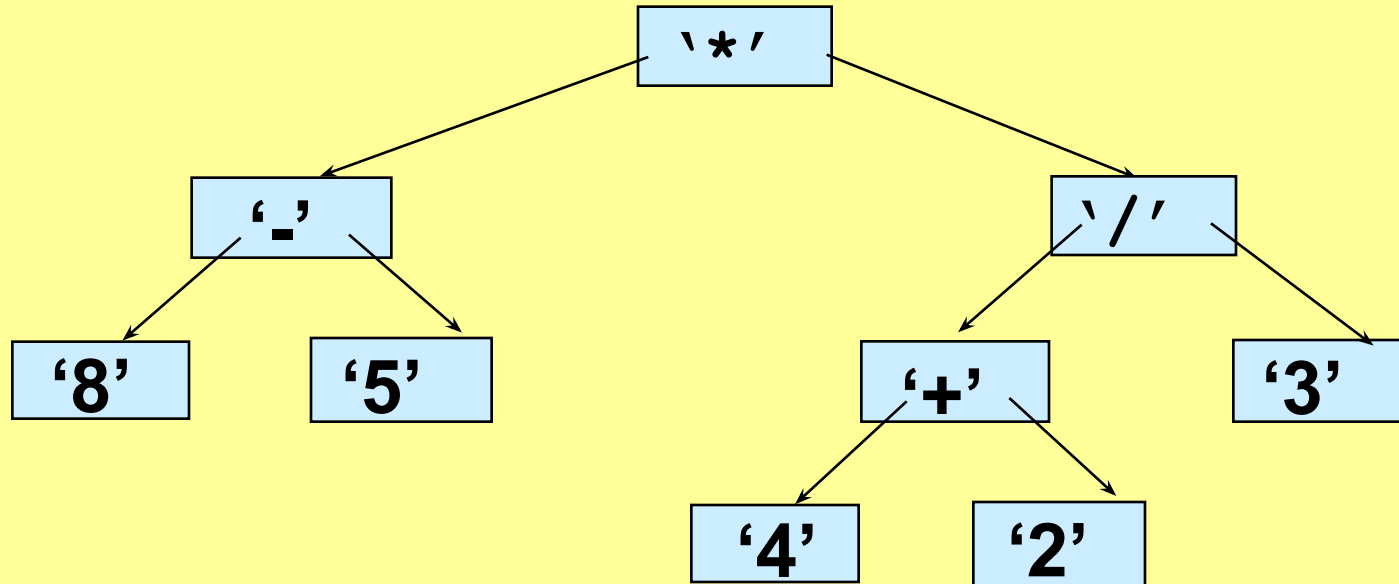
**Operations at higher levels of the tree are evaluated later than those below them. The operation at the root is always the last operation performed.**

# Evaluate this binary expression tree



What infix, prefix, postfix expressions does it represent?

# A binary expression tree

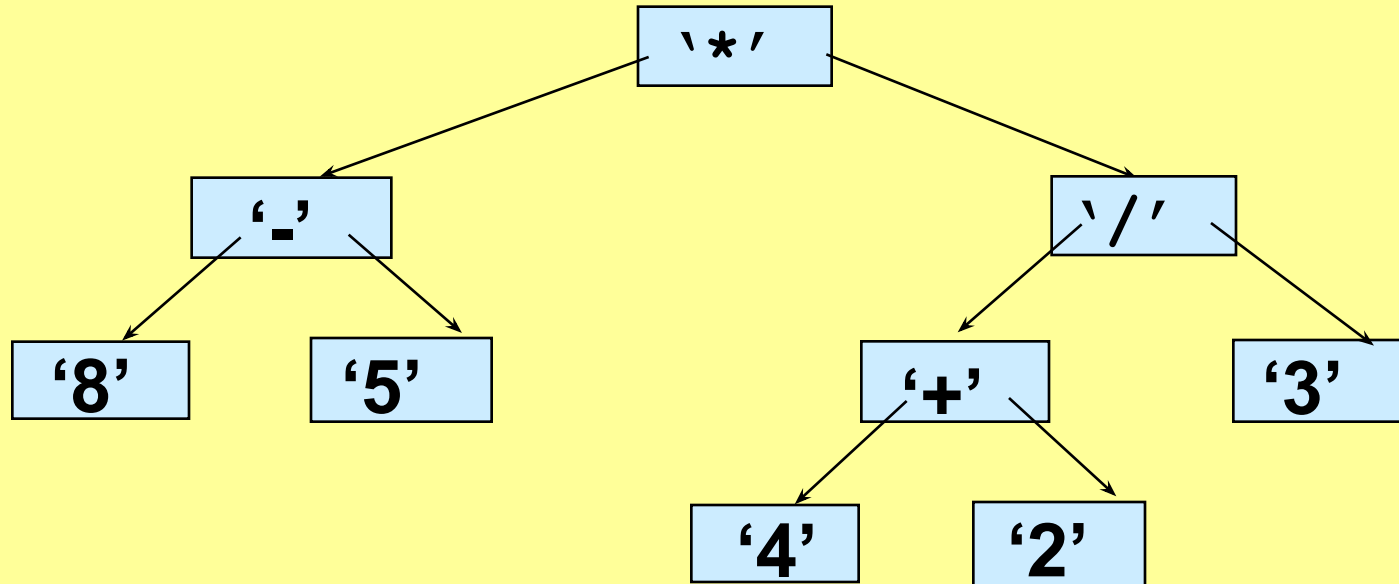


Infix:  $((8 - 5) * ((4 + 2) / 3))$

Prefix:  $* - 8 5 / + 4 2 3$

Postfix:  $8 5 - 4 2 + 3 / *$  *has operators in order used*

# A binary expression tree

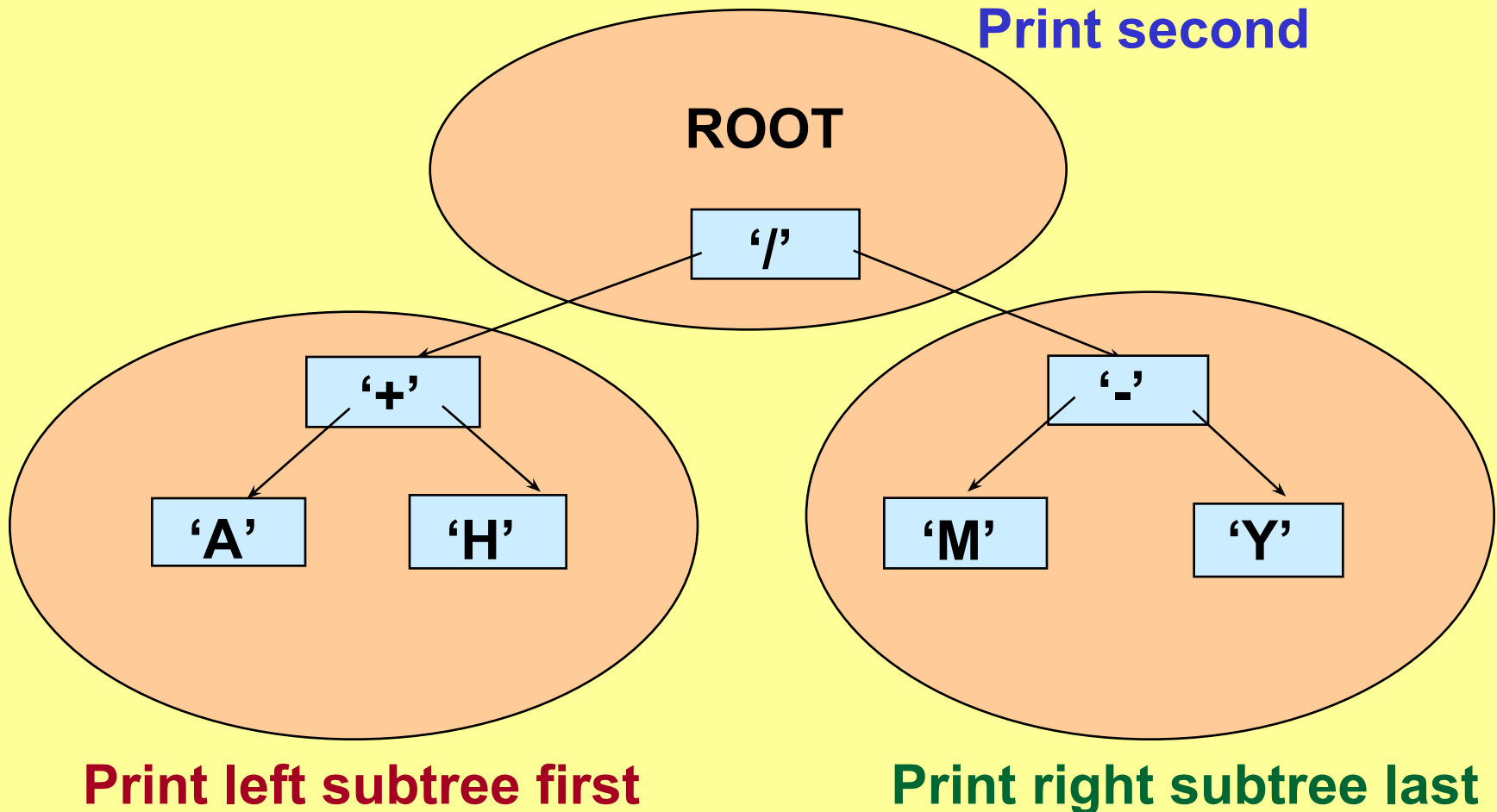


Infix:  $((8 - 5) * ((4 + 2) / 3))$

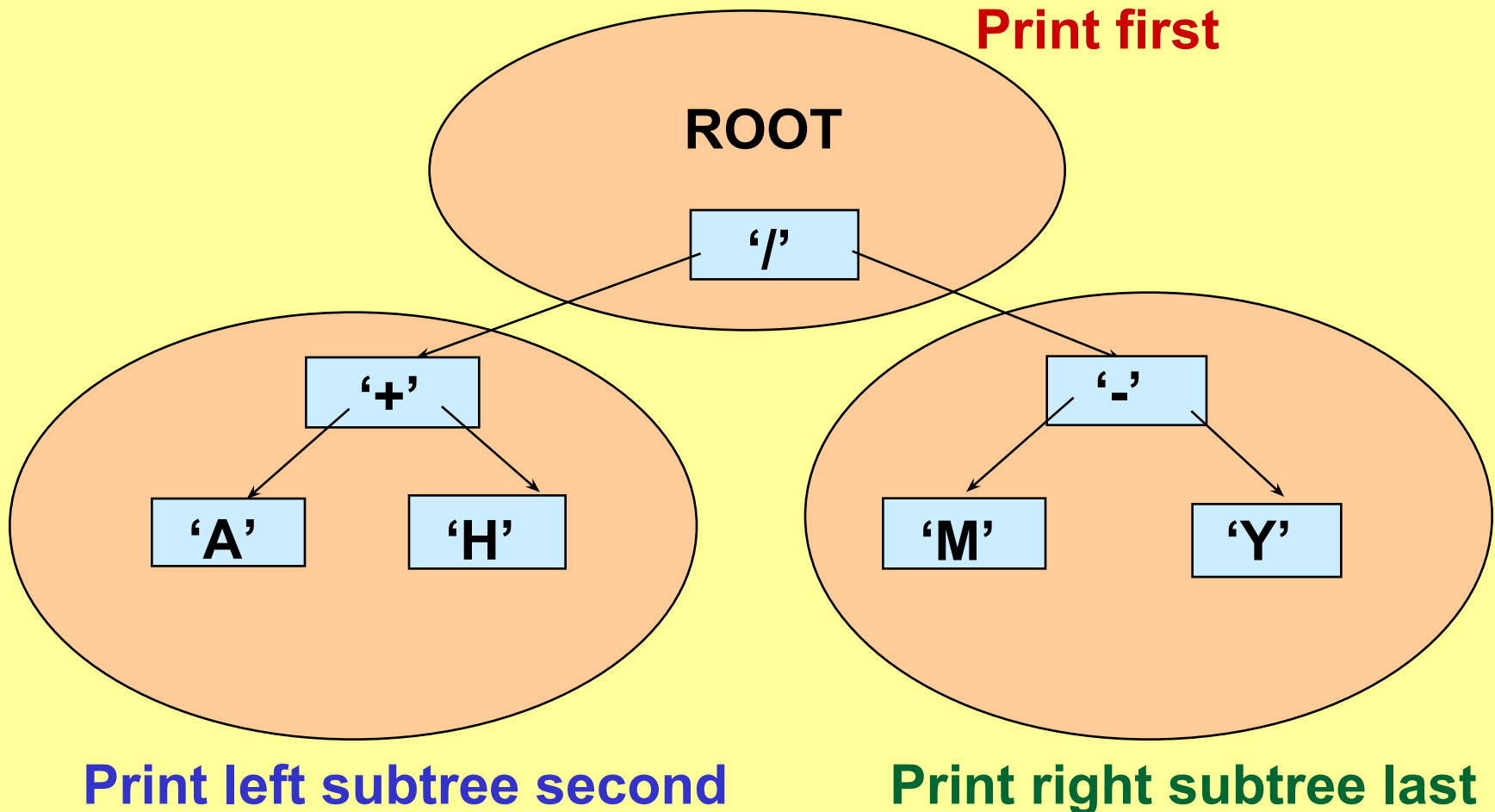
Prefix:  $* - 8 5 / + 4 2 3$  *evaluate from right*

Postfix:  $8 5 - 4 2 + 3 / *$  *evaluate from left* 60

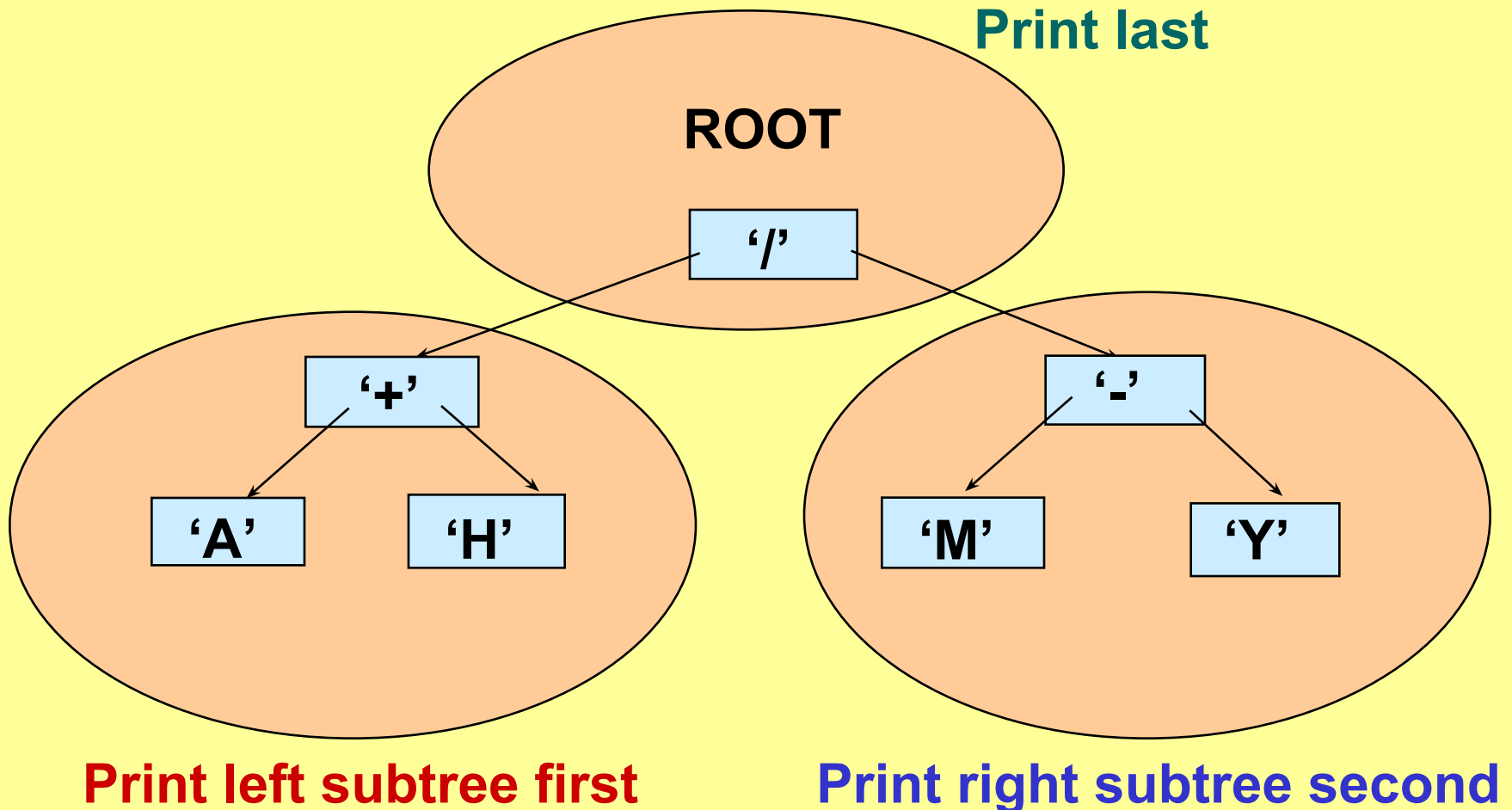
# Inorder Traversal: $(A + H) / (M - Y)$



# Preorder Traversal: / + A H - M Y



# Postorder Traversal: A H + M Y - /



# ACKNOWLEDGMENT:

---



This project was supported in part by the National Science Foundation under grant DUE-ATE 9950056.