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**Das ist ein etwas längerer Titel
dieses leeren Diplomarbeitssgerüsts**

Nadja Peters

Master's Thesis

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Master's Thesis

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Abbreviations

API	Application Programming Interface
BKL	Big Kernel Lock
CFS	Completely Fair Scheduler
CPU	Central Processing Unit
eCOS	Embedded Configurable Operating System
EDF	Earliest Deadline First
EMIO	Extended Multiplexed I/O
FIFO	First-In-First-Out
FPGA	Field Programmable Gate Array
FSBL	First Stage Boot Loader
GIC	Global Interrupt Controller
GPIO	General Purpose I/O
IRQ	Interrupt Service Request
ISE	Integrated Software Environment
ISR	Interrupt Service Routine
I/O	Input/Output
JTag	Joint Test Action Group
LED	Light Emitting Diode
LIFO	Last In First Out
MAC	Macintosh
MIO	Multiplexed I/O
MMU	Memory Management Unit
MPU	Memory Protection Unit
MTD	Memory Technology Device

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OS	Operating System
POSIX	Portable Operating System Interface
PID	Process Identifier
PL	Programmable Logic
PS	Processing System
QoS	Quality of Service
QSPI	Quad SPI
RAM	Random Access Memory
RCS	Real-Time Computer Systems
ROM	Read Only Memory
RR	Round Robin
RTAI	Real-Time Application Interface
RTOS	Real-Time Operating System
SD	Secure Digital
SDK	Software Development Kit
SMP	Symmetric Multiprocessor
SPI	Serial Peripheral Interface
SRTF	Shortest Remaining Time First
SSBL	Second Stage Boot Loader
UART	Universal Asynchronous Receiver Transmitter
UBI	Unsorted Block Images
UBIFS	UBI File System
USB	Universal Serial Bus

Abstract

Die Kurzfassung . . .

1 Introduction

Nowadays, electrical systems have grown so complex that they are usually managed by OSes. An operating system is a software program which provides access to the underlying hardware. Its purpose is to manage hardware resources - for example Central Processing Unit (CPU) time, memory or Input/Output (I/O) access - as well as system and user processes efficiently. Depending on the application there are different kinds of OSes. In *General Purpose OSes* like Windows, Macintosh (MAC) OS or Linux, the main goal is to maintain fairness between different users or processes. Consequently, every user or process should get an equal time slice of the available CPU time or other shared resources like Random Access Memory (RAM). OSes are also used in embedded systems. In contrast to General Purpose OSes, operation systems for embedded devices often have to run under special conditions, e.g. limited memory size or low power consumption.

1.1 Real-Time Systems

A special kind of embedded systems are Real-Time Operating Systems (RTOSes) which are designed to meet specific deadlines. The main property of an RTOS is determinism (and not necessarily high-speed performance). Real-time systems are divided into two main classes, depending of the consequence caused by missing a deadline:

- Hard
- Soft

In hard real-time systems, missing a deadline causes system failure and is not tolerable. An example is the engine control system of a car which can be damaged or cause an accident because of delayed signals. Another example is the release of an airbag in a car. It has to be triggered immediately when a crash happens, any delay could cause the loss of lives. More applications can be found in medical systems or industry processing control. In soft real-time systems, deadlines can be missed but decrease the Quality of Service (QoS). These kind of systems are usually used for application with a continuous data flow like multimedia streaming applications or on-line reservation systems. Missing of one data packet in these application may cause noise in a video conference but no lives would be harmed.

The class of a system always depends on the application. To meet the given requirements, the underlying hardware and - often - an OS have to be chosen appropriately. In embedded systems, Linux is widely used because it is free of charge, has a large community and

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therefore a high level of support. Moreover, it is comfortable to use as it embeds features like a command terminal, flexible module integration and a large number of available hardware drivers. Yet, resulting from its complexity, the downside of Linux is still the lack of real-time capability. Although extensions like *Real-Time Application Interface (RTAI)* [15], *Xenomai* [23], the *PREEMPT_RT* patch and many commercial Linux distribution exist, reliability of the system is hard to proof. To achieve hard real-time performance, usually special OSes are used. Those can be based on a real-time kernel design or light-weight OSes like for example *μCOS* [11] or *FreeRTOS* [17]. They have very small memory footprint, fast boot time and no background services (deamons) which could unexpectedly disturb the execution of real-time tasks. Therefore the execution time of tasks or the interrupt latency are highly predictable. The jitter (variance of latencies) is very low compared to a system like Linux. The disadvantage of these systems is that every change in the underlying hardware requires a reconfiguration of the OS. Furthermore, a high-level communication with the system is not provided and has to be implemented if needed. Consequently, an OS which can provide deterministic timing as well as the convenience of a more advanced system is desirable.

To make the right choice regarding an OS, it is necessary to know how exactly the given systems differ in performance. Obviously, a Linux-based system will have higher latencies and jitter. Still, recently especially the Linux *PREEMPT_RT* patch (further: *LinuxRT*) was improved a lot in the matter of predictability and is easy to apply to an existing Linux distribution. Whenever possible, it would be chosen over a light-weight system by a developer. To make this possible, some guidelines in the actual performance of the OSes are desirable. How does LinuxRT perform compared to a light-weight OS? When can LinuxRT be used and when is it inevitable to use a light-weight OS? To answer these questions, LinuxRT has to be compared to a suitable light-weight OS. In the past decade, FreeRTOS has grown to be a popular RTOS solution. It is supported on many platforms, is freely available and already used in different market sectors, for example toys, aircraft navigation or engine control. Therefore, it is a good candidate to be used as reference. The next point to consider is how OSes can be compared to each other.

1.2 Benchmarking of RTOS

There are quite many criteria which can be applied to benchmark OSes. For RTOS, obviously, it is most important to consider features typically used in real-time applications. Those are mainly task synchronization features, e.g. semaphores, message queues or signals. Moreover, interrupt latency is crucial because interrupts usually wake up important tasks in RTOS. Another typical application is the periodic invocation of tasks. As these tasks have to be scheduled as precisely as possible, the jitter is an interesting metric. More key features are preemption time of tasks and deadlock breaking time. These two metrics indicate the capability of the system to interrupt a low priority task by a task with higher priority. Boot time can also be important in real-time systems as it is not acceptable to wait 15 seconds for the engine to run after starting the car. Further, some systems

support memory access supervision by a Memory Management Unit (MMU). As RTOS are usually used on embedded devices, the memory footprint is also of large interest.

One challenge is to choose the right criteria from the ones mentioned above. Another one is to measure values which are as accurate and comparable as possible. Therefore, a way of time measurement has to be found, which can be applied on both systems with the least possible interference on the system.

1.3 Related work

Performance evaluation has already been done on different platforms and with different OSES. The first attempt to developing a real-time benchmark was by Kar and Porter in 1989 by introducing the *Rhealstone Benchmark* [7] [16]. This benchmark is based on six parameters (for details refer to 2.8.1) the resulting value is calculated from. The Rhealstone Performance Number is a weighted mean of all parameters. They implemented the benchmark under iRMX.

Another and more recent comparison of operating systems was performed for a set of OS suitable for small microcontrollers in 2009 [20]. In this paper, several RTOS are introduced, but only four of them are chosen to be investigated in detail. The choice is based on available support, documentation, scheduling type and more. For the RTOSes, algorithms on how to compare task switching, message passing, semaphore passing and memory allocation are presented. In [4], memory footprint and context switching is compared between μ COS and XilKernel¹⁾ on the softcore processor Microblaze. Three different OSES - Xenomai, LinuxRT and eCos [2] - are compared in [10]. The performance metrics are similar to the Rhealstone benchmark. Depending on the application, eCos and LinuxRT perform better than Xenomai. The authors of [9] and [22] evaluate the real-time performance of LinuxRT compared to standard Linux. In both works, a sample program is run solely, with CPU and I/O load. Whereas in [9] the focus is mainly on the effect of real-time priorities, in [22] different period lengths and multi-processor effects are also investigated. The results show a significant reduction in jitter when using LinuxRT.

[Related work on OS jitter]

1.4 Contribution

In this work, a guideline on the choice of an operation system for specific use cases is presented. Therefore, a set of benchmarks is defined based on the Rhealstone benchmark proposed by Kar and Porter [7] [16]. These benchmark metrics are extended by the boot time and task periodicity jitter which are crucial for many applications. A detailed instruction on how to implement the benchmark is provided. Further, a model is introduced

¹⁾ XilKernel [24] is an RTOS developed by Xilinx.

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on how to calculate OS latency from the obtained benchmark metrics. A special focus is put on the operation systems LinuxRT and FreeRTOS. FreeRTOS is the most important light-weight RTOS nowadays. As there is a large interest in using more comfortable OS like Linux, the goal is to clearly define the limits of LinuxRT. Moreover, the sources of OS jitter shall be detected and reduced as far as possible. The optimum result is a LinuxRT configuration with comparable benchmark results as FreeRTOS.

The remainder of the work is organized as follows. In chapter 2 a detailed background on the topic is provided. Chapter 3 describes the performed measurements in detail. Further, in Chapter 4 the results of the measurements are presented. In the last Chapter 5 the contribution of this work is summarized.

2 Background on Operating Systems

OSes manage the hardware resources - CPU time, I/O and memory access - for different tasks and process. Dependent on its level of functionalities, OSes have different levels of complexity. While a system like FreeRTOS has a limited number of features, Linux offers a variety of services which run in the background.

This chapter provides an deeper insight into the background of the topic. It gives details on RTOSes in general, on scheduling in FreeRTOS and LinuxRT, and the RT patch. Moreover, the causes of OS jitter are described, especially the timer interrupt. Finally, the main design features of real-time programs are discussed and the benchmarking metrics for the two OS are introduced.

2.1 The Scheduler

Every task or process created by the kernel or a user, is managed by the operation system - more precisely the scheduler. It manages the tasks and decides which task is allowed to operate next. The decision is based on the priority and the current state of the task.

2.1.1 Task States

Dependent on events or resource availability, tasks can enter different states. In the following, the state flow used in FreeRTOS [17] is described. The state flow in Linux is related, but extended by other states which are not of interest for further consideration.

[Task state picture]

Ready When a task is schedulable, it is in the *Ready* state A process enters this state when it is first created, has been unblocked by an Interrupt Service Routine (ISR) or another task or when resources it was waiting for become available.

Running Tasks in this state get access to the CPU, they are currently being executed. This state can only be entered from tasks in the Ready state.

Blocked Tasks enter the *Blocked* state when they are waiting on a queue, a semaphore or another event. Tasks can switch from this state to the Ready state when the according event occurs, e.g. another tasks releases the semaphore.

Suspended A task can be suspended by itself or by another task. This task cannot wake up on an event but has to be explicitly unsuspended to reenter the Ready state.

2.1.2 Timer Tick

The timer tick invokes the scheduler. Its frequency can be programmed in FreeRTOS as well as in Linux. The tick is triggered by a timer interrupt a definite number of times per second - the standard on both systems is 100 times. On one hand, if the tick period is too low, tasks may finish running a long time before the next tick occurs. Therefore, processor time might be wasted. On the other hand, the OS overhead in the system grows if the tick period is too high. There are no instructions on how to determine the optimum tick period, this is dependent on the specific applications running on that system. Programmers can influence the scheduling by calling the *yield* function which invokes the scheduler. Moreover, the timer ISR is usually used to update the internal system time. When no high resolution timer is available, the granularity of the system time depends on the tick. As the timer tick is implemented in an ISR, it causes latency in the system by interrupting the currently executing code. Some OSes provide a configuration called *Tickless kernel*. It disables the timer interrupt at all when there is no task runnable because rescheduling is not necessary.

[Picture for timer tick]

2.1.3 Scheduling Policies

The scheduler decides which task will run next (is allowed to enter the Ready state). The decision is based on the scheduling policy of the OS. There are mainly two different types of scheduling: *Preemptive* and *cooperative* scheduling. In cooperative scheduling, tasks cannot be interrupted, they have to release the processor voluntarily. Examples for cooperative scheduling are the *First-In-First-Out (FIFO)* or the *Last In First Out (LIFO)* algorithms. Round Robin (RR) or priority based scheduling (Earliest Deadline First (EDF) and Shortest Remaining Time First (SRTF)) are examples of preemptive scheduling. The scheduling policy is crucial for RTOSes because it determines the handling of real-time tasks. Obviously, real-time tasks usually have the highest priority and should not be preempted by tasks with lower priority. For details on scheduling in Linux and FreeRTOS refer to the corresponding sections (2.6.1) and 2.5.1.

2.1.4 Idle Task

The *Idle Task* is scheduled when no other tasks are present in the Ready queue because the processor is not allowed to run out of work. It usually has the lowest possible priority. This task is often used to put the CPU in a low-power mode, e.g. scaling down frequency or executing the *halt* instruction. Moreover, it can be used to perform background processes or as indicator for capacity utilization.

2.2 Intertask Communication and Synchronization

In OSes usually not only one but many different tasks are active. These tasks need ways to be synchronized with each other. Moreover, race conditions on critical resources, e.g. concurrent memory access, need to be prohibited.

2.2.1 Events

In many cases, tasks need to wait until another task completes execution. Instead of polling on a resource (actively waiting and therefore wasting CPU resources), tasks usually wait for an event to happen. Therefore, a task blocks or sleeps on a specific signal. When another task completes execution, it triggers the signal and wakes the task waiting on it. Such a signal can also be triggered by an ISR. This kind of synchronization can also be realized using semaphores (see 2.2.3).

2.2.2 Barriers

Sometimes, multiple tasks have to be synchronized at one specific point in the execution flow. Therefore, all tasks have to wait until the others have finished. An example is the separation of matrix operations across multiple threads in a multicore system. Another example is an application which depends on different data sets collected by multiple threads. Before the tasks can continue their work, all data has to be available. Such synchronization points are called barriers.

2.2.3 Memory access

Concurrent memory access of multiple tasks can have undesired results as illustrated in the following example:

Person A and person B want to withdraw money from the same bank account (both 500 Euros) from a bank account via ATM at the same time.

[Picture of concurrent memory access]

2 Background on Operating Systems

Task A starts processing the request of person A. It reads the currently saved value X from memory and subtracts 500 Euros. At this point, it may run out of time, so task B is scheduled. Task B also reads the currently stored value X from memory (which has not yet been updated) and subtracts 500 Euros. Like A before, task B is interrupted at this point and A is scheduled. Task A writes back the new value X and finishes execution. Then, B also writes back its value X . Obviously, the newly written value of $X - 500$ Euros is wrong, it is supposed to be $X - 1000$ Euros.

To prevent such inconsistencies, the memory has to be locked by the accessing task until the correct value has been written back. So called *mutexes* can be used for this purpose. When a mutex (short for mutual exclusion) is taken by a task, every other task which tries to access this mutex will be blocked. By releasing the mutex, the waiting tasks are unblocked. Mutexes are a special form of semaphores. Semaphores allow a definite number of tasks to access a particular resource, for a mutex it is limited to one.

2.2.4 Priority Inheritance

A problem which may occur while synchronizing memory access for tasks with different priorities is unbounded priority inversion. This means starving a high priority task by locking specific resources for an indefinite amount of time. An example is the following situation [19]: Task A to C have different priorities, where A has the lowest and C has the highest. Task A takes mutex M and then is preempted by task B. Task C attempts to access M as well but blocks as it is already taken. Now C is indirectly blocked by B an undefined amount of time, maybe forever.

This situation can be resolved by priority inheritance. With priority inheritance, task A gets a priority boost when C tries to access the semaphore. Therefore, task B is preempted by A and A can leave the critical section. As soon as A releases mutex M, the priority level is set back to normal. Now C is woken up and can finish its work before B is scheduled again.

2.2.5 Message Passing

One way to exchange information between different tasks is passing messages between each other. Usually, one task blocks on a message queue until another task puts a message in this queue. This event wakes up the blocking task, so it can retrieve the message. The mechanism is a mix of signaling event and synchronizing shared memory access. It is available in Linux as well as in FreeRTOS.

2.3 Interrupt Handling

Interrupts signals provide an important method for the processor to communicate with peripheral devices. The devices range from mice and keyboards to Ethernet or hard drive controllers. The interrupt signal from a hardware device is connected to the interrupt controller of the processor. This controller signals the processor that an Interrupt Service Request (IRQ) has arrived. Now, as the name suggests, the currently executing process is interrupted, the context saved and the corresponding ISR loaded to be executed. Because they disturb the execution of other processes, ISRs should be kept as short as possible. Typically, only urgent operations are performed in an ISR. For less critical work, e.g. copying data into the buffer of a network device, an extra task is started which can be scheduled later. The minimal version of an ISR should at least contain the acknowledge of the interrupt so the underlying hardware can continue its work. The time between an interrupt being triggered and the first instruction of the corresponding ISR is called interrupt latency.

Interrupts can occur any time and are serviced immediately. Consequently, any code currently running will be interrupted. Some sections in the kernel code have to be run atomically to prevent critical errors in the systems. Such sections are called critical sections. Interrupts are usually disabled on entering a critical section. Therefore, servicing the interrupt is postponed what causes a higher interrupt latency. Interrupts can be prioritized what enables interrupt nesting. This causes high priority interrupts to disturb the execution of lower prioritized interrupts.

2.4 Hardware Delays and OS jitter

Different delays caused by the underlying hardware or the OS are crucial in real-time applications. In this work, the term *delay* refers to unexpected events which extend the execution time of a real-time task. In contrast, *latency* is the time a real-time task or a piece of code actually needs to execute. There are mainly four kind of delays and one kind of latency:

1. Delays from the Operation System
2. Delays from interrupts
3. Delays from cache misses
4. Latencies from task execution and synchronization

Moreover, the boot time can be crucial in special real-time application. [note on uniprocessor systems]

2.4.1 Delays from the Operation System

The obvious kind of delay which is inevitable when using an OS is the delay caused by the OS itself. The most significant (and predictable) delay is caused by the timer tick. More delays can be produced by background daemons and other system services.

2.4.2 Delays from Interrupts

The second kind of delays (for details refer to 2.3) can only be prevented by introducing critical sections. However, this may cause higher interrupt latencies and is counterproductive in applications which rely on a fast interrupt response time. Critical sections are mainly found inside of the kernel. As interrupts are mainly caused by I/O devices, the delays from interrupts will increase when the system heavily communicates with a large number of peripherals.

2.4.3 Delays from Cache Misses

The last cause of delays is caching. Caches are fast buffers which allow faster data access to data currently cached. They are often built up hierarchical (level 1, level 2, ...) and divided into data and instruction caches. A typical set up are separate level 1 data and instruction caches for each CPU and a shared level 2 cache for both data and instructions for all **CPUS!** (**CPUS!**). On one hand, it caches increase the performance of an application significantly by reducing slower memory accesses to the RAM. On the other hand, the cache is used by every process on the system. This can cause data currently needed by a real-time application not to be present in the cache, a cache miss occurs. The reload of the data to the cache causes a delay.

2.4.4 Latencies from Task Execution and Synchronization

The last aspect is more correctly defined as latency, not as delay. It specifies the time which is caused by using several synchronization methods provided by the OS. These latencies are implementation specific. This time is predictable:

The obvious one is the task switching time when a context switch occurs. This is not avoidable and happens either when the timer tick occurs (refer to 2.1.2), a task blocks, finishes or voluntarily releases the CPU. Another latency related to scheduling is the preemption time. This is the time which it takes the OS to interrupt a low priority task and schedule a high priority task. The rescheduling can be caused by giving a semaphore, sending a message or waking up the task from an ISR.

Besides, task synchronization causes latencies in a OS. Examples are semaphores, mutexes, message passing or signals. When a mutex is released, other tasks may be unblocked. This means, that whenever this action happens, the OS has to check whether

tasks can be moved from the Blocked state to the Ready state. If a task with higher priority has been woken up, the current task is preempted and a context switch takes place. Dependent on the application and the right synchronization mechanism, the overall system latency can be reduced.

The delays described in this section are CPU bound delays compared to delays caused by I/O interrupts.

2.4.5 Boot Time

The boot time can be a relevant factor for the choice of a specific OS if the system needs to start up very fast. When a car is started, the driver does not want to wait 15 seconds until the ignition starts running. Obviously, the boot time depends on the memory print of the operation system and on the medium from which the OS is loaded. Another important factor is the initialization of hardware structures, e.g Field Programmable Gate Array (FPGA) designs. Moreover, a file system may be needed for the OS kernel to work properly which must be loaded besides the actual kernel. A boot loader is necessary to start an application or an OS. For simple programs or light-weight OS like FreeRTOS a First Stage Boot Loader (FSBL) is usually sufficient. This can as well optionally load an FPGA design. For more complex systems like Linux [5], the FSBL is used to load a more sophisticated Second Stage Boot Loader (SSBL). Then the SSBL loads the kernel and optionally the initial RAM disk image and a hardware initialization file into memory (device tree). After those steps, the kernel can be decompressed and finally boot. While booting, it initializes the file system with the previously loaded image as well as peripheral devices and finally starts the user space application.

Ways to optimize this process will be discussed later (refer to section 3.2).

2.5 FreeRTOS

This section described the FreeRTOS in more detail. The focus is on the scheduling algorithm, interrupt handling and synchronization features.

2.5.1 Scheduling in FreeRTOS

Scheduling in FreeRTOS [17] can be either cooperative or preemptive and is purely priority based. The maximum priority can be defined by the user. The memory footprint grows with increasing priority number, so it is recommended to choose only as many priority levels as needed. The task with the highest priority which is in the Ready state will be scheduled. Tasks can have the same priority if desired. Priorities can be changed in runtime.

All tasks are managed in doubly linked lists dependent on their state. The Ready state is implemented as a list array indexed by the priority level. When the scheduler is invoked, it first updated the Ready lists and then schedules the next task. In case there are multiple tasks in one list, the RR algorithm is used to pick the one.

[Picture Ready Queue]

2.5.2 Interrupts in FreeRTOS

FreeRTOS itself contains only one interrupt: The timer tick (s. 2.1.2). Other devices and interrupts may be installed on demand, but they are not handled by the OS. It is only in charge of task managing and inter-task communication. For the application development, it means that an interrupt handler has to be written and registered in the Global Interrupt Controller (GIC). As all interrupt handling is up to the programmer, it is easy to keep track of the number of interrupts in the system.

2.5.3 Synchronization Features in FreeRTOS

In this section a short overview of the FreeRTOS Application Programming Interface (API) is given. Most API functions are implemented for the usage in tasks as well as in ISRs. ISR functions have the suffix *FromISR*.

Tasks and Co-routines

Every FreeRTOS application is structured as a set of tasks and/or co-routines. Each task is provided with its own stack where the current processor context is saved on a task switch. Tasks are fully prioritized, support full preemption and are not restricted in usage. Co-routines, on the other hand, share a stack within the same application. This reduces their amount of RAM needed but requires special considerations and restrictions in implementation (for more details refer to [18]).

Semaphores

FreeRTOS supports three kind of semaphores:

- Binary Semaphores
- Mutexes
- Counting Semaphores

All semaphores support priority inheritance. The implementation is based on message queues (see ??). Mutexes and counting semaphores correspond to the memory access

synchronization tools mentioned above (see 2.2.3). These semaphores need to be given back once taken. Binary semaphores are used as signals for event synchronization. In contrary to counting semaphores and mutexes, binary semaphores are taken by the task which is waiting for an event and given by the signaling task.

2.5.4 Booting in FreeRTOS

The booting process of FreeRTOS consists of booting the Read Only Memory (ROM) file, loading and running the FSBL, optionally loading the bitstream and finally loading and running the application (compare to figure ??). There is barely room for optimization in the first two steps of the booting process. However, the last two steps are dependent on the size of the bitstream and the size of the application respectively.

2.6 LinuxRT

This section described the Linux OS in more detail. The focus is on the scheduling algorithm, interrupt handling and synchronization features. Moreover, the differences between the standard Linux Kernel and the RT patch.

2.6.1 Scheduling in Linux

Scheduling in Linux [8] [6] is more complex than in FreeRTOS. Three scheduling classes are used to determine which task will be selected: *sched_rt*, *sched_fair* and *sched_idletask*. *Sched_rt* implements the scheduling of real-time tasks and has the highest priority. General purpose tasks are using the *sched_fair* class and the remaining class is used by the idle task.

sched_rt

Linux real-time tasks are always scheduled prior to any other task. The default priority levels range from 0 to 99 where 99 is the highest possible value. The maximum priority can be configured by the user. The run queue is implemented comparable to the one of FreeRTOS. In Linux, there are two different scheduling policies for real-time tasks: RR (preemptive) and FIFO (cooperative).

sched_fair

For the sake of completeness, the fair scheduling algorithm is briefly described in this section. Linux was originally developed as a General Purpose OS, so its scheduling algorithm is optimized to treat all tasks as fair as possible. The current scheduler is called

Completely Fair Scheduler (CFS) and was introduced in Linux kernel 2.6.23. It is implemented as a Red-Black tree, which is self-balancing (no path is more than twice as long as any other). An element of the tree can be accessed in $O(\log n)$, where n is the total number of nodes in the tree.

The prioritization of the CFS is based on the time the tasks have already been executed. The lesser the time compared to the other task, the higher the chance to get CPU access. The most-left node is scheduled on a context switch. Further, the priority of an element can be influenced using the *nice* command. It puts a weight on the according node which will change the priority relative to the other nice values.

2.6.2 Interrupts in Linux

The interrupts in Linux are integrated into the operation system. Each hardware device needs a driver to communicate with the OS. This driver provides *open()*, *read()* or *write()* functions to access the device. It has to be registered before the device can be used. Drivers can be started at boot time or dynamically be loaded as modules during runtime. The interrupt handler is part of the driver and has to be registered in the kernel by calling the function *irq_request()* and deregistered by calling *irq_free()*. When an interrupt occurs (compare figure 2.1), the *do_IRQ()* kernel function occurs which takes care of all ISRs. Interrupt handler cannot be implemented by a user application. Application run in user mode have to use read, write or I/O control functions to access the specific device.

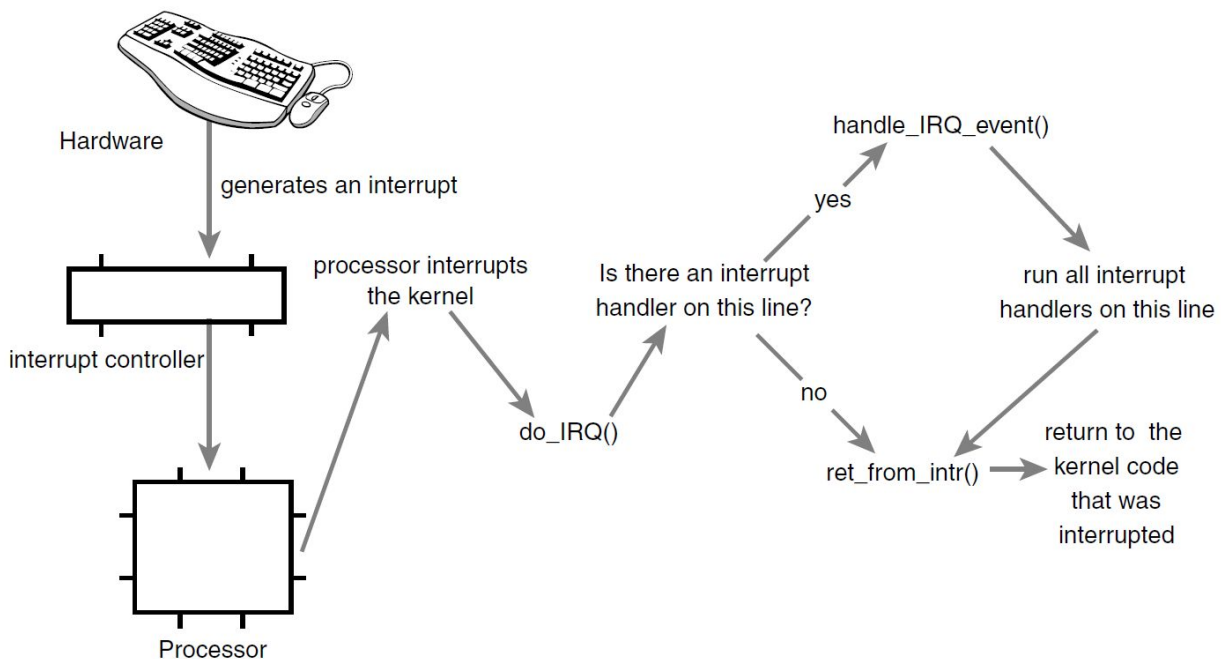


Figure 2.1: Path of an interrupt from hardware through the kernel [8]

2.6.3 Synchronization Features in Linux

Linux provides multiple APIs with a variety of task synchronization features. Portable Operating System Interface (POSIX) compliant features are most common because of their compatibility across many platforms.

Processes and Pthreads

A program which runs in Linux is called a process. Each process has an own process ID and maintains its own stack. The usual way to create multi-tasking systems in Linux is by using so called *pthread*s. pthreads are light-weight tasks which have their own stack but share the parent's virtual memory and environment. They can be prioritized and scheduled individually.

Semaphores

In Linux, there are similar kind of semaphores compared to FreeRTOS. The POSIX API provides access to counting semaphores which are referred to simply as semaphores. Further, mutexes can be used on their own and in combination with condition variables. Condition variables provide a way to notify a specific thread when a special event has occurred. On initialization, attributes can be passed to semaphores and mutexes. The attribute determines for example whether a mutex supports priority inheritance or not.

Barriers

Barriers are a very convenient and powerful way of synchronizing threads. The API provides conventional barriers as described above (see 2.2.2) and the *join* function. The difference between the two is that the barrier synchronizes multiple running threads but the join function allows one thread to wait for the termination of other threads. Only one thread can call the join function for another thread, the result of multiple calls is undefined.

2.6.4 Booting in Linux

Obviously, the Linux booting process is more complex than the FreeRTOS one (see figure 3.2). Linux uses not only an FSBL but also a SSBL. Moreover, Linux needs a file system to run properly and a device tree. The device tree has to be loaded during booting as well and provides information on hardware initialization. If an FPGA design is included in the system, this has to be loaded as well.

All these factors have an impact on the boot time and there are different configuration alternatives for example to initialize the FPGA or to load the file systems (see ?? for more details).

2.6.5 RT patch vs. standard kernel

The Linux RT patch aims to make the Linux kernel more preemptive and therefore, significant changes in the kernel structure were made. The most important ones are removing large critical sections, reducing interrupt latencies and implementing priority inheritance (refer to 2.3 for more details).

Spin Locks

As discussed before, large critical sections reduce the responsibility of a system. On single CPU systems, it is enough to disable interrupts in a critical section, but in multicore systems, concurrent access from multiple CPUs must be prevented as well. Therefore, so called spin locks were implemented. When a spin lock is acquired by one task, another task which tries to take the same spin lock starts spinning in a busy loop. The purpose of this is to protect very short critical sections where a context switch takes more time than waiting for the other task to finish. Yet, spin locks were also used to protect large sections in the kernel and caused big delays. To solve this problem, spin locks were replaced by mutexes when possible which allow the preemption of critical sections.

Threaded Interrupts

One other big factor to reduce latencies was the introduction of threaded interrupts in the RT patch. Originally, interrupt service requests were handled completely in interrupt context. This means that high priority tasks had to wait for low priority interrupts to complete, e.g. disk I/O. A solution to this is moving the work from the interrupt context to an interruptible thread. Therefore, when an interrupt occurs, a working thread is started (or resumed) in the ISR. As default, those threads have a real-time priority (refer to 2.6.1) of 50 in the current implementation. This mechanism allows priority based regulation of the ISR execution because priorities of real-time tasks can be changed dynamically. Moreover, the delay caused by interrupts decreases significantly for high-priority real-time tasks. In case an IRQ has to be serviced immediately, there is still the possibility to set the `IRQ_NODELAY` flag on initialization. As a result, the ISR will not be threaded but proceeded in the original way.

2.7 Mathematical Quantification of Operation Systems

There are many different parameters to quantify RTOSes. Some important ones besides real-time capability are freedom of charge, memory footprint, available drivers, licensing, API richness and support [20]. Based on these categories, FreeRTOS and LinuxRT were decided to be the most promising candidates to use in future projects. To determine which one of the two is suitable which kind of real-time applications, a set of tests has to be defined and performed. Consequently, the parameters which have an influence on the execution time have to be determined and analyzed. In the following, the boot time and the real-time task or application run time are described mathematically.

2.7.1 Boot Time

The boot time t_{boot} can be calculated straight forward from the already discussed parts of the boot flow (refer to 2.4.5):

- Time to boot ROM
- Time to load the FSBL t_{fsbl}
- Time to program the FPGA t_{fpga}
- Time to load the OS t_{osload}
- Time to load the SSBL t_{ssbl}
- Time to load device tree t_{tree}
- Time to load the file system $t_{filesys}$
- Time to boot the OS t_{boot}

As already discussed, the complexity of the boot process is dependent on the OS. For Linux, the boot time consists of all steps listed above whereas FreeRTOS only needs the first three steps.

2.7.2 Applications and Real-Time Tasks

The execution time of a task is referred to as latency. It depends mainly on the executed application code, the underlying hardware and the latency caused by calls to synchronizing mechanisms of the OS. For real-time tasks, latency should be as deterministic as possible. Unexpected delays, mainly from interrupts, cause latency to vary. This variation is called jitter and, logically, should be as low as possible.

Based the introduced delays in section 2.4, the execution time of a specific real-time task or application¹⁾ can be described by the following factors:

¹⁾ In the following, the term application also refers to one run of a critical real-time task.

2 Background on Operating Systems

1. Deterministic part $t_{det} = t_{app} + t_{os} + t_{isr}$:

- a) Execution time for application code t_{app}
- b) Execution time for OS related features where n_{os} is the number of OS related features and x_{os}^i is the number of times this feature was used in the application
$$t_{os} = \sum_{i=1}^{n_{os}} x_{os}^i * t_{os}^i$$
- c) Execution time for ISRs belonging to the application n_{isr} is the number of ISRs executed during the application and x_{isr}^i is the number of times this interrupt occurs during the application
$$t_{isr} = \sum_{i=1}^{n_{isr}} x_{isr}^i * t_{isr}^i$$

2. Not deterministic part, jitter $t_{jitter} = t_{tick} + t_{int} + t_{cache} + t_{daem}$:

- a) Delay caused by timer tick t_{tick}
- b) Delay caused by other interrupts where n_{int} is the number of interrupts available in the system $t_{int} = \sum_{i=1}^{n_{int}} t_{int}^i$
- c) Delay caused by cache misses where n_{cache} is the number of cache misses $t_{cache} = \sum_{i=1}^{n_{cache}} t_{cache}^i$
- d) Delay caused by OS daemon processes where n_{daem} is the number of daemon processes and x_{daem}^i is the number of times this daemon is run during application execution
$$t_{daem} = \sum_{i=1}^{n_{daem}} x_{daem}^i * t_{daem}^i$$

t_{jitter} is also dependent on the probability of the single delays. As only the worst case execution time is of interest for further consideration, the formula stated above can be used for the calculation of t_{jitter} without modification.

2.8 Benchmark Preparation

To compare the two OSES and determine whether it is suitable for a specific application, the parameter described in the previous section have to be determined. Only features available in both OSES will be considered. The goal is to determine worst case times for all of the single parameters. The needed benchmarks for the deterministic and non-deterministic part will be briefly discussed in the following:

2.8.1 Rhealstone Benchmark for deterministic Application Latency

The application code is not available at the time where the times are measured, so t_{app} has to be estimated. As the two OS are running on the same hardware platform, t_{app} is equal for both OSES.

For the latencies related to the OS and the interrupt latency the Rhexstone benchmark can be utilized. As already mentioned, it was introduced by Kar and Porter in 1989 [16] and was further modified and published by Kar in 1990 [7]. The purpose of the Rhexstone benchmark was to find a metric for real-time systems which is comparable to the Dhrystone [21] and Whetstone [1] benchmarks. This benchmark is a weighted mean of the following six parameters:

- Interrupt latency
- Task switching time
- Preemption time
- Semaphore shuffling time
- Deadlock breaking time
- Message passing latency

Details on the single parameters in detail are given in the next chapter (see 3). In contrast to Kar's procedure, the absolute values are used

2.8.2 Jitter

The jitter t_{jitter} should be as low as possible. This requires careful consideration while configuring the underlying OS and designing real-time applications. Therefore, all causes of delays are briefly examined whether they can possibly be eliminated.

Timer Tick The timer tick occurs at a predefined frequency and cannot be eliminated without radical changes to the kernel. One benefit is that this delay is deterministic and can be included into the application design.

Interrupts Interrupts usually occur infrequently and hence cause undefined delays. In FreeRTOS, the only interrupt available in the system is the timer tick. Whenever other interrupts are added to the system, they are not considered jitter. In LinuxRT, the number of interrupts depends on the drivers loaded. Yet, there is still the possibility to remove unnecessary drivers and control the inputs of the system to avoid as much jitter as possible.

Cache Misses Cache misses can partly be controlled by careful application design. The less memory an applications uses, the less is the probability of a cache miss. Moreover, Linux provides a driver to lock specific memory pages in RAM. This function was specifically designed to be used to support deterministic behavior in real-time applications. Nevertheless, the programmer is in charge of using this function correctly.

2 *Background on Operating Systems*

Daemons Daemons are background processes which may not only cause jitter but also slow down the boot process. They do not exist in FreeRTOS. Unnecessary daemons in Linux can be detected and removed from the system.

3 Measurements

This chapter describes the measurements performed for benchmarking the OSes FreeRTOS and LinuxRT. First, the conditions under which the experiments have taken place are described. This includes hardware platform, time measuring technique and setup of the operation systems under test. After that every measurement is described in detail beginning with the boot time.

3.1 Test Environment and tools

This section contains a description of the test environment - the hardware platform, the development tools and the configuration of the used OSes.

3.1.1 Hardware Platform

The underlying hardware platform is a Xilinx ZC702 Evaluation Board [26] with an Zynq-7000 XC7Z020 [25]. The XC7Z020 chip integrates a Processing System (PS) and a Programmable Logic (PL) on a single die. Two ARM Cortex-A9 MPCore application processors running at 666 MHz are located in the PS. Both cores inherit a 32 KB instruction and a 32 KB data level 1 cache. Moreover, they share a 512 KB level 2 cache and 256 K SRAM. The PL is an Artix-7 from the Xilinx's 7 series FPGA technology and can be used to implement custom hardware designs. Further, the evaluation board provides 128 Mb of Quad SPI (QSPI) flash memory.

The PS uses so called Multiplexed I/O (MIO) pins to connect to peripheral devices. Those pins can be configured to connect either General Purpose I/Os (GPIOs), Ethernet, Serial Peripheral Interface (SPI) and others. The PL and the PS can be connected using the Extended Multiplexed I/O (EMIO) pins. Moreover, the EMIO pins can be used to extend the number of connected peripherals.

When a tool for the Zynq platform is developed, most likely both PS and PL will be included. Therefore, an interrupt generator was implemented in the FPGA design to utilize the PL. It is connected as GPIO device.

The Xilinx Design Suite version 14.4 was used to create the test environment and the tests. The FPGA part was designed and synthesized in Xilinx Integrated Software Environment (ISE). The software was developed using Xilinx Software Development Kit (SDK).

3.1.2 OS Configuration

The operation systems under test are FreeRTOS and LinuxRT. Both need to be configured such that the implemented interrupt generator can be utilized.

FreeRTOS

As already mentioned, interrupt management does not effect the kernel in FreeRTOS. Therefore, the OS itself does not need to be configured. Still, the hardware needs to be initialized appropriately on system startup (refer to 2.5.2) and an ISR needs to be implemented. Moreover, FreeRTOS needs an FSBL which can be created by the SDK. The FSBL and the FreeRTOS application must be combined to a boot image. The FreeRTOS version in this project based on version 7.0.2 and is a special port for Xilinx SDK 14.4.

LinuxRT

Xilinx provides their own distributions of embedded Linux which can be compiled for many different platforms. The starting point in this work is the Xilinx Linux version 3.6 with the corresponding RT patch.

Overview Linux Development process Setting up LinuxRT is composed of many steps compared to FreeRTOS:

1. Configuring and building U-Boot (SSBL)
2. Configuring and building Linux-Image (wrapped with U-Boot header):
 - a) Build driver for the new hardware device
 - b) Configure kernel
 - c) Apply RT Patch
3. Creating a device tree blob¹⁾
4. Building a FSBL
5. Creating a boot image from the files produced in the previous steps
6. Configuring and building a file system

[Picture of Linux Design Flow]

¹⁾ A device tree is a data structure which describes the underlying hardware. A device tree is passed to the OS at boot time, so it can initialize the hardware dynamically.[3]

Configuring Linux The goal of the configuration is to create a minimal version of Linux. This means to eliminate kernel tools (e.g. tracing tools) and unnecessary drivers from the system. Driver initialization has a negative impact on the boot time. Moreover, drivers can cause a larger memory print of the system and delays during runtime. As the detailed process of driver elimination is not of interest at this point, only the most important steps will be pointed out:

The OS under test is based on a real system which will be used by Siemens in later projects. Therefore, only the drivers needed in this system will be kept in the kernel: The most important ones are SPI, Ethernet and Universal Asynchronous Receiver Transmitter (UART) driver. All other drivers for Universal Serial Bus (USB) devices, blue ray or CD player and more were removed. Further, there are options which allow kernel tracing (e.g. ftrace) which were disabled when running the experiments. The final configuration reduces the kernel size from 2,8[?] MB to 1,9 MB.

3.1.3 Time measurement

The time measurement should be as accurate and fast as possible. High measuring overheads could falsify the results, so software timers should be avoided. One elegant way is to access the CPU cycle counter register of the ARM Cortex-A9 processor using inline-assembly code [Reference to the ARM Cortex A9 Architecture Manual]. This code is compiled into four assembly instructions when copying data into an array. The advantages of this method is not only low overhead but also the OS independence of the assembly code. Hence this method can be used for both FreeRTOS and LinuxRT. However, the CPU has to keep running at the same frequency during the whole process otherwise the results will be falsified.

3.1.4 Application Design

This section provides a short overview over the general application design and execution. To prevent interruption by other tasks, the real-time tasks must have the highest priority in the system. Usually, 100000 measurements are collected per operation system per test. If this is not valid in special cases, it will be mentioned in the benchmark description.

FreeRTOS

Generally, there are no other tasks in FreeRTOS than the ones created for the test. Consequently, the real-time tasks have the highest priority in the system anyway.

LinuxRT

In Linux, multi-tasking applications are realized using pthreads. The development of an application should be kept as simple as possible, therefore all applications will be implemented in user space. All real-time thread in Linux get the highest possible priority using the preemptive RR **RT!** (**RT!**) scheduling class. As the parent process and its child threads are scheduled individually, the parent has to have a higher priority than the children while creating the child threads. If not, it will be interrupted by the first thread with higher priority and hence cannot invoke the other ones. When all threads have been started, the priority of the parent is simply decreased below the one of the lowest real-time thread.

3.2 Boot time

The boot time is the time from powering on the hardware until the first (user) task is run. On the ZC702 Evaluation platform, this time can be measured by utilizing user Light Emitting Diodes (LEDs) on the board. The default state of those LEDs is on, so by turning them off in the first executed task, the exact power up time of the system can be measured. As already mentioned (see 2.4.5), the boot time depends on the complexity of the operation system and the hardware. Furthermore, the evaluation board provides three different ways of booting an OS:

1. Via Joint Test Action Group (JTag)
2. From Secure Digital (SD) card
3. From QSPI flash

The boot from QSPI flash is the fastest, hence it will be used in the following experiments. The following flow graph illustrates very well the differences between the boot process in FreeRTOS and LinuxRT. Whereas there is no time optimization needed for FreeRTOS because the complete boot takes only 1,1 seconds, there are multiple optimization possibilities for LinuxRT.

[Picture Comparison Boot Flow Linux and FreeRTOS]

Booting in Linux The booting process in Linux is shown in figure ?? . There are three bottlenecks in this process:

- Loading the Image from QSPI to RAM
- Loading the file system from QSPI NOR flash to RAM
- Configuring the FPGA

The default Linux configuration provided by Xilinx does not include an FPGA bitstream and is run from SD card. This takes about 15 seconds. The transfer of the Linux image and the file system to RAM takes about 12 seconds. Besides, by adding a bitstream, this time increases by another 15 seconds.

The very first step to decrease this time has already been done by compressing the Linux kernel. The next step is to move the bitstream inside of the RAM image. In Linux, the PL can be configured from the OS by simply writing the bitstream to the file corresponding to the FPGA. This decreases the FPGA configuration to a range of milliseconds. Finally, the last step is to change the default file system to a file system that does not need to be loaded by the SSBL. A good option is to create a *UBI File System (UBIFS)* flash file system [13]. UBIFS is based on Unsorted Block Images (UBI) which itself works on Memory Technology Device (MTD) devices [12]. MTD is an abstraction layer for raw flash devices (no block devices!) in Linux. On top of that, the volume management system UBI takes care of mapping logical erase blocks to physical ones. On one hand, UBIFS has the advantage of fast mounting speed which is not dependent on the flash size. On the other hand, UBI initialization depends on the flash size, so the partition size should be kept as low as possible. In the current configuration the initialization of the flash image takes about 3 seconds. Nonetheless, an experimental new UBI feature called *fastmap* is available from Linux kernel 3.7, which allows the attachment of a UBI device in almost constant time [14]. One more advantage is, that UBIFS allows to store changes in the file system. This is not possible when using a ram disk image.

UBIFS needs to be enabled in the kernel. The initialization is completely removed from the boot loader, it is done on kernel start-up.

3.3 Interrupt Latency

Interrupt latency is defined as the time which passes from triggering an interrupt until the first instruction of the interrupt service routine. For this experiment, the assumption is made that devices in real application are often connected as GPIO. Therefore, the described interrupt generator will be utilized. It has a 5ms period of which the positive pulse is 5 us and the remaining time is negative. The interrupt latency measured here does not correspond to the time the CPU is actually handling the interrupt (see figure [...]). The time in this experiment will be measured using an oscilloscope, not the CPU clock cycle counter. The detailed execution flow is described in the following:

1. Start of measurement: Interrupt is generated by FPGA.
2. Interrupt is handled by GIC.
3. CPU executes ISR (depending on implementation)
4. Stop of measurement: LED is turned on by the ISR

3 Measurements

The only difference between the two operation systems is step number three. To calculate the exact interrupt latency, the time it takes to turn on the LED has to be subtracted. Consequently, this requires a further experiment:

The LED will be toggled in a for-loop. As the hardware needs some time to proceed the request, another for-loop is executed inside. The time for the for-loop can be measured individually and be subtracted from the execution time.

[Picture interrupt flow]

3.3.1 Interrupt Measurement FreeRTOS

As described before (see 2.5.2), executing the ISR is absolutely independent from the OS. There are only two interrupts which need to be considered here: The timer interrupt (highest priority) and the GPIO interrupt. Consequently, the execution time is expected to be constant except in the cases where the GPIO interrupt is preempted by the timer tick.

3.3.2 Interrupt Measurement LinuxRT

There are more interrupts involved (e.g. Ethernet and UART) in the LinuxRT system than in FreeRTOS. Dependent on the application, there is the possibility to implement the ISR as a threaded interrupt (default in RT) or to execute the handler in interrupt context (see 2.6.5). Both alternatives will be implemented. It is expected that the non-threaded handling is more predictive.

3.4 Task Switching Time

The task switching time is the time it takes for a task to start after an other task has finished or yielded the processor. It is important to point out, that the task switching time is not preemption time because the processor is given away voluntarily. Both OSes implement a yield function which allows the calling task to invoke the scheduler. It can be used in the experiment.

Two tasks of the same priority are set up, their composition is the same. Both perform a for-loop with the number of executions. The first instruction in the loop is the recording of the start time. Then a yield is performed what causes a context switch. The first instruction of the newly scheduled task is the recording of the end time. Obviously, the first start time is recorded twice because the two tasks are equal. Hence, the start time of the last test run is not valid. When the for-loop is executed 50000 times in each task, 100000 context switches are performed in total. An advantage of this method is that all data is collected without any overhead which has to be subtracted afterwards.

[Picture Execution Flow]

3.5 Preemption Time

The preemption time is the time which it takes to interrupt a task and schedule another task of higher priority. This can happen when a task with a high priority is woken up by an other task or ISR triggering a signal or releasing a mutex. In this experiment, there is one low priority task A and a high priority task B. B starts first and blocks on a signal event. A is running in a permanent loop and reading the current CPU cycle counter register. At some point in time, an IRQ occurs and the task is interrupted. From the ISR, the signal is triggered to wake up B, consequently, a context switch happens. When B is activated, it measures the ending time and yields. This reactivates A and the test starts over. [diagram]

3.6 Semaphore Shuffle Time

The semaphore shuffle time defined by Kar is used to measure the overhead caused by using semaphores to ensure mutual exclusion. More precisely, it is the time between a task B being blocked on a semaphore which is taken by task A and the unblock when the semaphore is released. Based on the tests, mutexes are used to implement mutual exclusion. Besides, it is possible, that a task protects a critical section by mutexes, but is not interrupted by another task. This case has to be considered as well. Further, signaling is a widely used feature to synchronize events, therefore it has to be included in the benchmark as well. Consequently, the semaphore shuffling results in three different tests.

Semaphore Shuffling Time by Kar In the first benchmark, two task are passing a mutex from one to the other. Task A starts by taking the the mutex and yields. Then the time measurement is started, task B tries to take the same mutex and is blocked. Now A is rescheduled, releases the semaphore and yields again. Task B is unblocked, the time is stopped and B releases the semaphore. By yielding the processor, task A is scheduled and the test starts over.

[diagram]

Semaphore in a single Task This benchmark is very easy to implement. A task requires a semaphore and releases it immediately after. The time it takes to perform these executions is measured. Then the test starts over.

[diagram]

Event Signaling This benchmark measures the time it takes a task to wake up on a signal event. Task A blocks on a signal. After that, task B is scheduled, triggers the signal and yields the processor. The trigger causes task A to wake up. The starting point of the measurement is before task B triggers the signal and the ending point after the awakening of A. This sequence is repeated.

[diagram]

3.7 Message Passing Time

The message passing time is the time which it takes a task to receive a message sent by another task. Two tasks with equal priorities can be used for the test. The first task tries to read from a task queue, it is blocked until a message arrives. Then the second task is scheduled, sends a message via the message queue and yields immediately. [what kind of message?] Consequently, the first task is unblocked and retrieves the message sent. Now that the queue is empty, the test run can be repeated. The starting time is measured before a message is sent via the queue by the second task, the ending time after the first task receives the message. [Picture with execution flow]

3.8 Deadlock Breaking Time

The deadlock breaking time is the time which it takes for the OS to resolve priority inversion caused by low priority tasks holding a resource needed by a high-priority task. The experiment is set up similar to the given example for priority inheritance (for details refer to 2.2.4) and repeated 100000 times: Task A with the lowest priority starts executing. A takes a mutex and starts a second task B with higher priority than itself. B preempts the first task and starts task C with the highest priority. C tries to take the same mutex as the first task and is blocked. Consequently, the priority of the A is raised, it can finish execution (in the test scenario there is no work to be done), release the mutex and unblock C. The starting time is measured before C takes the mutex, the ending time after C returns from the Blocked state. To repeat the test, the original state of the tasks has to be restored. Therefore, C cancels task B, so it will not preempt A and suspends itself. Now A will be scheduled and the test can run again.

[Picture with execution flow]

4 Results

5 Conclusion and Outlook

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