Artificial Intelligence Nanodegree

Voice User Interfaces

Project: Speech Recognition with Neural Networks

In this notebook, some template code has already been provided for you, and you will need to implement additional functionality to successfully complete this project. You will not need to modify the included code beyond what is requested. Sections that begin with '(IMPLEMENTATION)' in the header indicate that the following blocks of code will require additional functionality which you must provide. Please be sure to read the instructions carefully!

Note: Once you have completed all of the code implementations, you need to finalize your work by exporting the Jupyter Notebook as an HTML document. Before exporting the notebook to html, all of the code cells need to have been run so that reviewers can see the final implementation and output. You can then export the notebook by using the menu above and navigating to \n", "File -> Download as -> HTML (.html). Include the finished document along with this notebook as your submission.

In addition to implementing code, there will be questions that you must answer which relate to the project and your implementation. Each section where you will answer a question is preceded by a 'Question X' header. Carefully read each question and provide thorough answers in the following text boxes that begin with 'Answer:'. Your project submission will be evaluated based on your answers to each of the questions and the implementation you provide.

Note: Code and Markdown cells can be executed using the **Shift + Enter** keyboard shortcut. Markdown cells can be edited by double-clicking the cell to enter edit mode.

The rubric contains *optional* "Stand Out Suggestions" for enhancing the project beyond the minimum requirements. If you decide to pursue the "Stand Out Suggestions", you should include the code in this Jupyter notebook.

Introduction

In this notebook, you will build a deep neural network that functions as part of an end-to-end automatic speech recognition (ASR) pipeline! Your completed pipeline will accept raw audio as input and return a predicted transcription of the spoken language. The full pipeline is summarized in the figure below.

- STEP 1 is a pre-processing step that converts raw audio to one of two feature representations that are commonly used for ASR.
- STEP 2 is an acoustic model which accepts audio features as input and returns a probability distribution over all potential transcriptions. After learning about the basic types of neural networks that are often used for acoustic modeling, you will engage in your own investigations, to design your own acoustic model!
- **STEP 3** in the pipeline takes the output from the acoustic model and returns a predicted transcription.

Feel free to use the links below to navigate the notebook:

- · The Data
- STEP 1: Acoustic Features for Speech Recognition
- STEP 2: Deep Neural Networks for Acoustic Modeling
 - Model 0: RNN
 - Model 1: RNN + TimeDistributed Dense
 - Model 2: CNN + RNN + TimeDistributed Dense
 - Model 3: Deeper RNN + TimeDistributed Dense
 - Model 4: Bidirectional RNN + TimeDistributed Dense
 - Models 5+
 - Compare the Models
 - Final Model
- STEP 3: Obtain Predictions

The Data

We begin by investigating the dataset that will be used to train and evaluate your pipeline. <u>LibriSpeech (http://www.danielpovey.com/files/2015_icassp_librispeech.pdf)</u> is a large corpus of English-read speech, designed for training and evaluating models for ASR. The dataset contains 1000 hours of speech derived from audiobooks. We will work with a small subset in this project, since larger-scale data would take a long while to train. However, after completing this project, if you are interested in exploring further, you are encouraged to work with more of the data that is provided <u>online (http://www.openslr.org/12/)</u>.

In the code cells below, you will use the vis_train_features module to visualize a training example. The supplied argument index=0 tells the module to extract the first example in the training set. (You are welcome to change index=0 to point to a different training example, if you like, but please **DO NOT** amend any other code in the cell.) The returned variables are:

- vis text transcribed text (label) for the training example.
- vis raw audio raw audio waveform for the training example.
- vis mfcc feature mel-frequency cepstral coefficients (MFCCs) for the training example.
- vis spectrogram feature spectrogram for the training example.
- vis audio path the file path to the training example.

```
In [1]: from data_generator import vis_train_features

# extract label and audio features for a single training example
vis_text, vis_raw_audio, vis_mfcc_feature, vis_spectrogram_feature, vi
s_audio_path = vis_train_features()
```

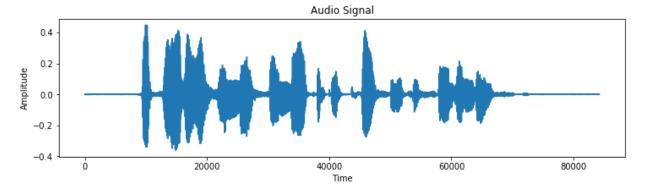
There are 2023 total training examples.

The following code cell visualizes the audio waveform for your chosen example, along with the corresponding transcript. You also have the option to play the audio in the notebook!

```
In [2]: from IPython.display import Markdown, display
    from data_generator import vis_train_features, plot_raw_audio
    from IPython.display import Audio
    %matplotlib inline

# plot audio signal

plot_raw_audio(vis_raw_audio)
    # print length of audio signal
    display(Markdown('**Shape of Audio Signal** : ' + str(vis_raw_audio.sh ape)))
    # print transcript corresponding to audio clip
    display(Markdown('**Transcript** : ' + str(vis_text)))
    # play the audio file
    Audio(vis_audio_path)
```



Shape of Audio Signal: (84231,)

Transcript: her father is a most remarkable person to say the least

Out[2]: 0:00 -0:03

STEP 1: Acoustic Features for Speech Recognition

For this project, you won't use the raw audio waveform as input to your model. Instead, we provide code that first performs a pre-processing step to convert the raw audio to a feature representation that has historically proven successful for ASR models. Your acoustic model will accept the feature representation as input.

In this project, you will explore two possible feature representations. *After completing the project*, if you'd like to read more about deep learning architectures that can accept raw audio input, you are encouraged to explore this <u>research paper</u>

(https://pdfs.semanticscholar.org/a566/cd4a8623d661a4931814d9dffc72ecbf63c4.pdf).

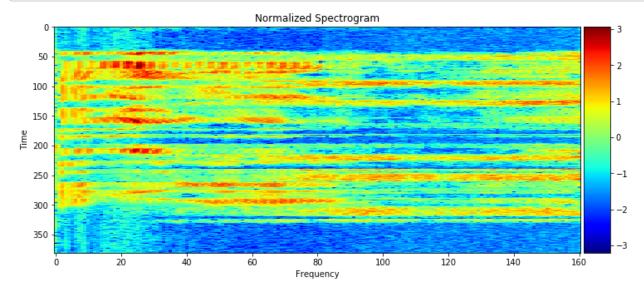
Spectrograms

The first option for an audio feature representation is the <u>spectrogram (https://www.youtube.com/watch? v= FatxGN3vAM)</u>. In order to complete this project, you will **not** need to dig deeply into the details of how a spectrogram is calculated; but, if you are curious, the code for calculating the spectrogram was borrowed from <u>this repository (https://github.com/baidu-research/ba-dls-deepspeech)</u>. The implementation appears in the utils.py file in your repository.

The code that we give you returns the spectrogram as a 2D tensor, where the first (*vertical*) dimension indexes time, and the second (*horizontal*) dimension indexes frequency. To speed the convergence of your algorithm, we have also normalized the spectrogram. (You can see this quickly in the visualization below by noting that the mean value hovers around zero, and most entries in the tensor assume values close to zero.)

```
In [3]: from data_generator import plot_spectrogram_feature

# plot normalized spectrogram
plot_spectrogram_feature(vis_spectrogram_feature)
# print shape of spectrogram
display(Markdown('**Shape of Spectrogram**: ' + str(vis_spectrogram_feature.shape)))
```



Shape of Spectrogram: (381, 161)

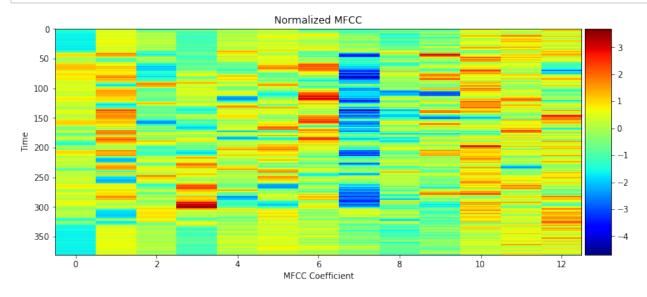
Mel-Frequency Cepstral Coefficients (MFCCs)

The second option for an audio feature representation is MFCCs (MFCCs are calculated, but if you would like more information, you are welcome to peruse the documentation (https://github.com/jameslyons/python_speech_features (<a href="https://github.com

The main idea behind MFCC features is the same as spectrogram features: at each time window, the MFCC feature yields a feature vector that characterizes the sound within the window. Note that the MFCC feature is much lower-dimensional than the spectrogram feature, which could help an acoustic model to avoid overfitting to the training dataset.

In [4]: from data_generator import plot_mfcc_feature

plot normalized MFCC
plot_mfcc_feature(vis_mfcc_feature)
print shape of MFCC
display(Markdown('**Shape of MFCC**: ' + str(vis_mfcc_feature.shape))
)



Shape of MFCC: (381, 13)

When you construct your pipeline, you will be able to choose to use either spectrogram or MFCC features. If you would like to see different implementations that make use of MFCCs and/or spectrograms, please check out the links below:

- This repository (https://github.com/baidu-research/ba-dls-deepspeech) uses spectrograms.
- This repository (https://github.com/mozilla/DeepSpeech) uses MFCCs.
- This repository (https://github.com/buriburisuri/speech-to-text-wavenet) also uses MFCCs.
- This <u>repository (https://github.com/pannous/tensorflow-speech-recognition/blob/master/speech_data.py)</u> experiments with raw audio, spectrograms, and MFCCs as features.

STEP 2: Deep Neural Networks for Acoustic Modeling

In this section, you will experiment with various neural network architectures for acoustic modeling.

You will begin by training five relatively simple architectures. **Model 0** is provided for you. You will write code to implement **Models 1**, **2**, **3**, and **4**. If you would like to experiment further, you are welcome to create and train more models under the **Models 5+** heading.

All models will be specified in the sample_models.py file. After importing the sample_models module, you will train your architectures in the notebook.

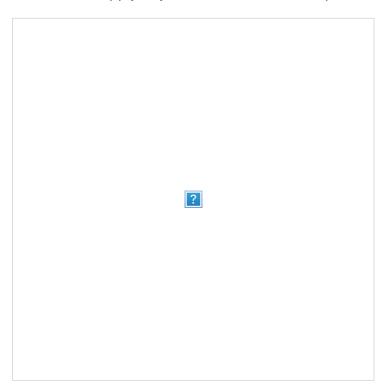
After experimenting with the five simple architectures, you will have the opportunity to compare their performance. Based on your findings, you will construct a deeper architecture that is designed to outperform all of the shallow models.

For your convenience, we have designed the notebook so that each model can be specified and trained on separate occasions. That is, say you decide to take a break from the notebook after training **Model 1**. Then, you need not re-execute all prior code cells in the notebook before training **Model 2**. You need only re-execute the code cell below, that is marked with **RUN THIS CODE CELL IF YOU ARE RESUMING THE NOTEBOOK AFTER A BREAK**, before transitioning to the code cells corresponding to **Model 2**.

```
# RUN THIS CODE CELL IF YOU ARE RESUMING THE NOTEBOOK AFTER A BREAK #
      # allocate 50% of GPU memory (if you like, feel free to change this)
      from keras.backend.tensorflow backend import set session
      import tensorflow as tf
      config = tf.ConfigProto()
      config.gpu options.per process gpu memory fraction = 0.5
      set session(tf.Session(config=config))
      # watch for any changes in the sample models module, and reload it aut
      omatically
      %load ext autoreload
      %autoreload 2
      # import NN architectures for speech recognition
      from sample models import *
      # import function for training acoustic model
      from train utils import train model
```

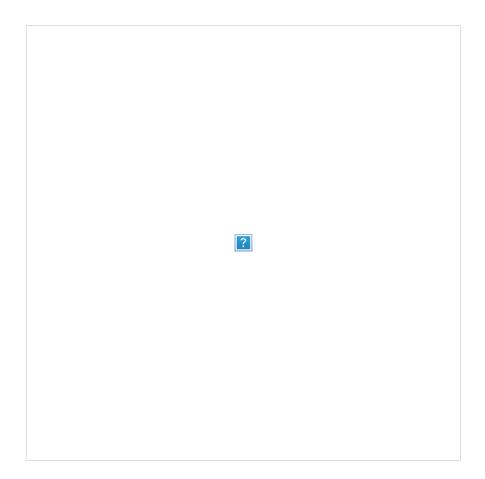
Using TensorFlow backend.

Given their effectiveness in modeling sequential data, the first acoustic model you will use is an RNN. As shown in the figure below, the RNN we supply to you will take the time sequence of audio features as input.



At each time step, the speaker pronounces one of 28 possible characters, including each of the 26 letters in the English alphabet, along with a space character (" "), and an apostrophe (').

The output of the RNN at each time step is a vector of probabilities with 29 entries, where the i-th entry encodes the probability that the i-th character is spoken in the time sequence. (The extra 29th character is an empty "character" used to pad training examples within batches containing uneven lengths.) If you would like to peek under the hood at how characters are mapped to indices in the probability vector, look at the char_map.py file in the repository. The figure below shows an equivalent, rolled depiction of the RNN that shows the output layer in greater detail.



The model has already been specified for you in Keras. To import it, you need only run the code cell below.

In [6]: model_0 = simple_rnn_model(input_dim=13) # change to 13 if you would 1
 ike to use MFCC features, otherwise 161

Layer (type)	Output Shape	Param #
the_input (InputLayer)	(None, None, 13)	0
rnn (GRU)	(None, None, 29)	3741
softmax (Activation)	(None, None, 29)	0
Total params: 3,741		

Total params: 3,741
Trainable params: 3,741
Non-trainable params: 0

None

As explored in the lesson, you will train the acoustic model with the <u>CTC loss</u> (http://www.cs.toronto.edu/~graves/icml_2006.pdf) criterion. Custom loss functions take a bit of hacking in Keras, and so we have implemented the CTC loss function for you, so that you can focus on trying out as many deep learning architectures as possible:). If you'd like to peek at the implementation details, look at the add ctc loss function within the train utils.py file in the repository.

To train your architecture, you will use the train_model function within the train_utils module; it has already been imported in one of the above code cells. The train_model function takes three **required** arguments:

- input to softmax a Keras model instance.
- pickle path the name of the pickle file where the loss history will be saved.
- save model path the name of the HDF5 file where the model will be saved.

If we have already supplied values for input_to_softmax, pickle_path, and save_model_path, please **DO NOT** modify these values.

There are several **optional** arguments that allow you to have more control over the training process. You are welcome to, but not required to, supply your own values for these arguments.

- minibatch_size the size of the minibatches that are generated while training the model (default: 20).
- spectrogram Boolean value dictating whether spectrogram (True) or MFCC (False) features are used for training (default: True).
- mfcc dim the size of the feature dimension to use when generating MFCC features (default: 13).
- optimizer the Keras optimizer used to train the model (default: SGD(1r=0.02, decay=1e-6, momentum=0.9, nesterov=True, clipnorm=5)).
- epochs the number of epochs to use to train the model (default: 20). If you choose to modify this parameter, make sure that it is at least 20.
- verbose controls the verbosity of the training output in the model.fit_generator method (default: 1).
- sort_by_duration Boolean value dictating whether the training and validation sets are sorted by (increasing) duration before the start of the first epoch (default: False).

The train_model function defaults to using spectrogram features; if you choose to use these features, note that the acoustic model in simple_rnn_model should have input_dim=161. Otherwise, if you choose to use MFCC features, the acoustic model should have input_dim=13.

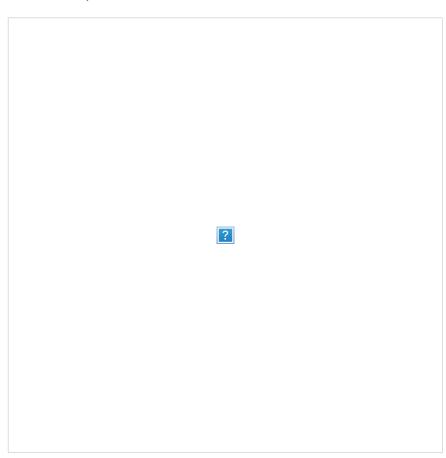
We have chosen to use GRU units in the supplied RNN. If you would like to experiment with LSTM or SimpleRNN cells, feel free to do so here. If you change the GRU units to SimpleRNN cells in simple_rnn_model, you may notice that the loss quickly becomes undefined (nan) - you are strongly encouraged to check this for yourself! This is due to the exploding gradients problem (http://www.wildml.com/2015/10/recurrent-neural-networks-tutorial-part-3-backpropagation-through-time-and-vanishing-gradients/). We have already implemented gradient clipping (https://arxiv.org/pdf/1211.5063.pdf) in your optimizer to help you avoid this issue.

IMPORTANT NOTE: If you notice that your gradient has exploded in any of the models below, feel free to explore more with gradient clipping (the clipnorm argument in your optimizer) or swap out any SimpleRNN cells for LSTM or GRU cells. You can also try restarting the kernel to restart the training process.

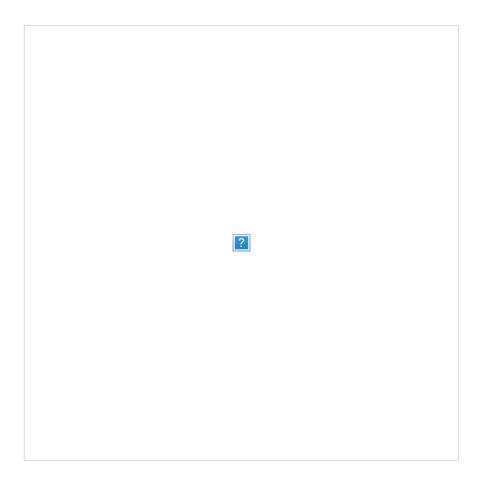
```
Epoch 1/20
7738 - val loss: 756.6786
Epoch 2/20
3077 - val loss: 753.1026
Epoch 3/20
8439 - val loss: 752.2217
Epoch 4/20
6084 - val loss: 759.6686
Epoch 5/20
2337 - val loss: 758.8566
Epoch 6/20
6040 - val loss: 754.1638
Epoch 7/20
1442 - val loss: 759.8646
Epoch 8/20
2358 - val loss: 761.1457
Epoch 9/20
0243 - val loss: 756.0585
Epoch 10/20
3046 - val loss: 758.9146
Epoch 11/20
0603 - val loss: 757.4561
Epoch 12/20
6907 - val loss: 756.6310
Epoch 13/20
3921 - val loss: 758.1296
Epoch 14/20
5428 - val loss: 755.7209
Epoch 15/20
0354 - val loss: 754.8579
Epoch 16/20
```

(IMPLEMENTATION) Model 1: RNN + TimeDistributed Dense

Read about the <u>TimeDistributed (https://keras.io/layers/wrappers/)</u> wrapper and the <u>BatchNormalization (https://keras.io/layers/normalization/)</u> layer in the Keras documentation. For your next architecture, you will add <u>batch normalization (https://arxiv.org/pdf/1510.01378.pdf)</u> to the recurrent layer to reduce training times. The <u>TimeDistributed</u> layer will be used to find more complex patterns in the dataset. The unrolled snapshot of the architecture is depicted below.



The next figure shows an equivalent, rolled depiction of the RNN that shows the (TimeDistrbuted) dense and output layers in greater detail.



Use your research to complete the rnn_model function within the sample_models.py file. The function should specify an architecture that satisfies the following requirements:

- The first layer of the neural network should be an RNN (SimpleRNN, LSTM, or GRU) that takes the time sequence of audio features as input. We have added GRU units for you, but feel free to change GRU to SimpleRNN or LSTM, if you like!
- Whereas the architecture in simple_rnn_model treated the RNN output as the final layer of the
 model, you will use the output of your RNN as a hidden layer. Use TimeDistributed to apply a
 Dense layer to each of the time steps in the RNN output. Ensure that each Dense layer has
 output_dim units.

Use the code cell below to load your model into the model_1 variable. Use a value for input_dim that matches your chosen audio features, and feel free to change the values for units and activation to tweak the behavior of your recurrent layer.

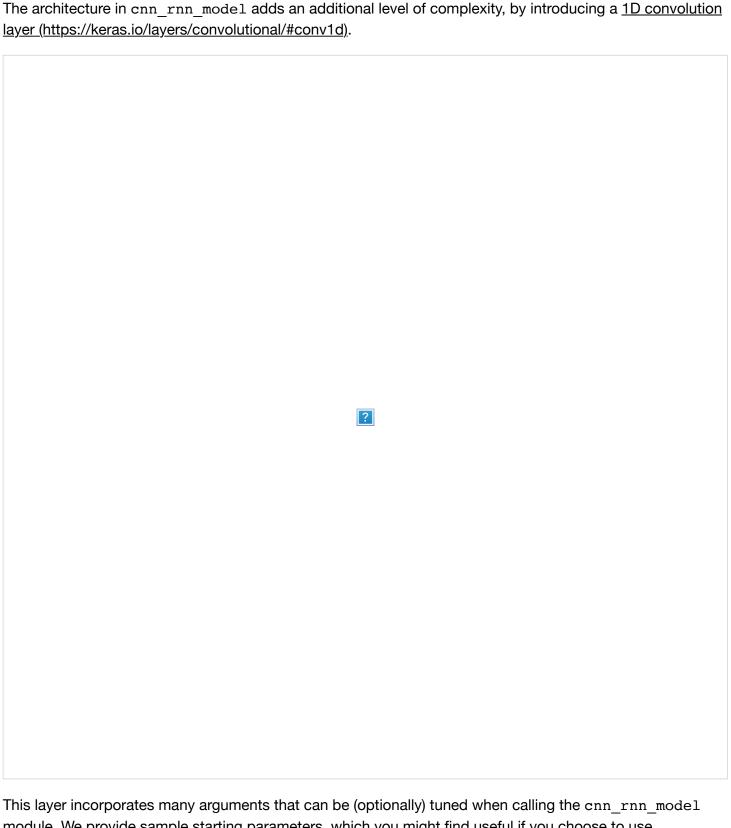
Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	13)	0
rnn (GRU)	(None,	None,	200)	128400
batch_normalization_1 (Batch	(None,	None,	200)	800
time_distributed_1 (TimeDist	(None,	None,	29)	5829
softmax (Activation)	(None,	None,	29)	0
Total params: 135,029 Trainable params: 134,629 Non-trainable params: 400				

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved (https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model) in the HDF5 file model_1.h5. The loss history is saved (https://wiki.python.org/moin/UsingPickle) in model_1.pickle. You are welcome to tweak any of the optional parameters while calling the train model function, but this is not required.

```
In [7]: train model(input to softmax=model 1,
          pickle path='model 1.pickle',
          save model path='model 1.h5',
          spectrogram=False) # change to False if you would like to
    use MFCC features
    Epoch 1/20
    5864 - val loss: 228.9549
    Epoch 2/20
    2834 - val loss: 213.7306
    Epoch 3/20
    8042 - val loss: 204.3813
    Epoch 4/20
    0086 - val loss: 188.7432
    Epoch 5/20
```

```
4808 - val loss: 173.6189
Epoch 6/20
8490 - val loss: 160.7199
Epoch 7/20
6959 - val loss: 153.7383
Epoch 8/20
3737 - val loss: 147.5350
Epoch 9/20
4791 - val loss: 144.9805
Epoch 10/20
1057 - val loss: 139.9948
Epoch 11/20
7902 - val loss: 138.6287
Epoch 12/20
3992 - val loss: 139.5652
Epoch 13/20
7113 - val loss: 136.5069
Epoch 14/20
6629 - val loss: 134.7047
Epoch 15/20
5452 - val loss: 131.7925
Epoch 16/20
3919 - val loss: 133.0718
Epoch 17/20
4842 - val loss: 136.7920
Epoch 18/20
2350 - val loss: 131.7407
Epoch 19/20
4609 - val loss: 132.0875
Epoch 20/20
3263 - val loss: 130.6053
```

(IMPLEMENTATION) Model 2: CNN + RNN + TimeDistributed Dense



module. We provide sample starting parameters, which you might find useful if you choose to use spectrogram audio features.

If you instead want to use MFCC features, these arguments will have to be tuned. Note that the current architecture only supports values of 'same' or 'valid' for the conv border mode argument.

When tuning the parameters, be careful not to choose settings that make the convolutional layer overly small. If the temporal length of the CNN layer is shorter than the length of the transcribed text label, your code will throw an error.

Before running the code cell below, you must modify the cnn_rnn_model function in sample_models.py. Please add batch normalization to the recurrent layer, and provide the same TimeDistributed layer as before.

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	13)	0
convld (ConvlD)	(None,	None,	200)	28800
bn_conv_1d (BatchNormalizati	(None,	None,	200)	800
rnn (SimpleRNN)	(None,	None,	200)	80200
batch_normalization_2 (Batch	(None,	None,	200)	800
time_distributed_2 (TimeDist	(None,	None,	29)	5829
softmax (Activation)	(None,	None,	29)	0

Total params: 116,429
Trainable params: 115,629
Non-trainable params: 800

None

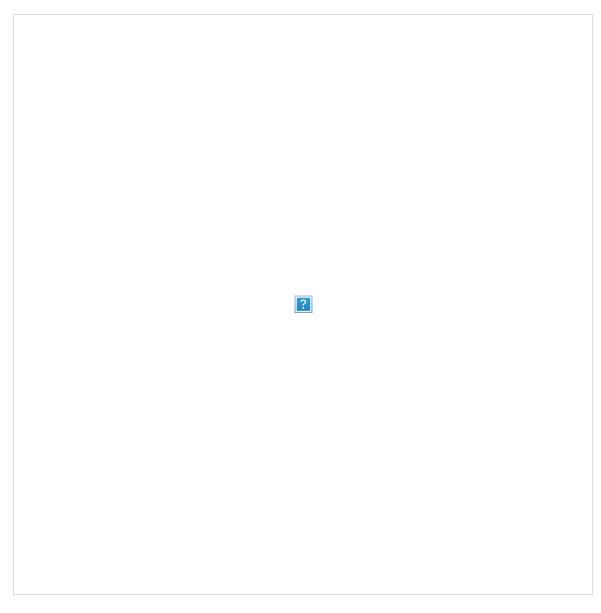
/opt/conda/lib/python3.6/site-packages/keras/layers/recurrent.py:100
4: UserWarning: The `implementation` argument in `SimpleRNN` has bee
n deprecated. Please remove it from your layer call.
 warnings.warn('The `implementation` argument '

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved (https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model) in the HDF5 file model_2.h5. The loss history is saved (https://wiki.python.org/moin/UsingPickle) in model_2.pickle. You are welcome to tweak any of the optional parameters while calling the train model function, but this is not required.

```
Epoch 1/20
9334 - val loss: 203.3130
Epoch 2/20
9127 - val loss: 171.5165
Epoch 3/20
7532 - val loss: 151.0703
Epoch 4/20
8524 - val loss: 147.3402
Epoch 5/20
2622 - val loss: 138.3947
Epoch 6/20
6329 - val loss: 138.5197
Epoch 7/20
0926 - val_loss: 136.6730
Epoch 8/20
101/101 [============== ] - 301s 3s/step - loss: 120.
3222 - val loss: 131.6259
Epoch 9/20
8157 - val loss: 133.9919
Epoch 10/20
2222 - val loss: 132.7398
Epoch 11/20
7701 - val loss: 130.5447
Epoch 12/20
3637 - val loss: 130.9868
Epoch 13/20
4162 - val loss: 130.2533
Epoch 14/20
9381 - val loss: 132.1536
Epoch 15/20
7256 - val loss: 130.9695
Epoch 16/20
```

(IMPLEMENTATION) Model 3: Deeper RNN + TimeDistributed Dense

Review the code in rnn_mode1, which makes use of a single recurrent layer. Now, specify an architecture in deep_rnn_mode1 that utilizes a variable number recur_layers of recurrent layers. The figure below shows the architecture that should be returned if recur_layers=2. In the figure, the output sequence of the first recurrent layer is used as input for the next recurrent layer.



Feel free to change the supplied values of units to whatever you think performs best. You can change the value of recur_layers, as long as your final value is greater than 1. (As a quick check that you have implemented the additional functionality in deep_rnn_model correctly, make sure that the architecture that you specify here is identical to rnn_model if recur_layers=1.)

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	13)	0
lstm_1 (LSTM)	(None,	None,	200)	171200
batch_normalization_1 (Batch	(None,	None,	200)	800
lstm_2 (LSTM)	(None,	None,	200)	320800
batch_normalization_2 (Batch	(None,	None,	200)	800
time_distributed_1 (TimeDist	(None,	None,	29)	5829
softmax (Activation)	(None,	None,	29)	0
Total params: 499,429 Trainable params: 498,629 Non-trainable params: 800	 -			
None				

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved (https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model) in the HDF5 file model_3.h5. The loss history is saved (https://wiki.python.org/moin/UsingPickle) in model_3.pickle. You are welcome to tweak any of the optional parameters while calling the train model function, but this is not required.

train model(input to softmax=model 3,

In [8]:

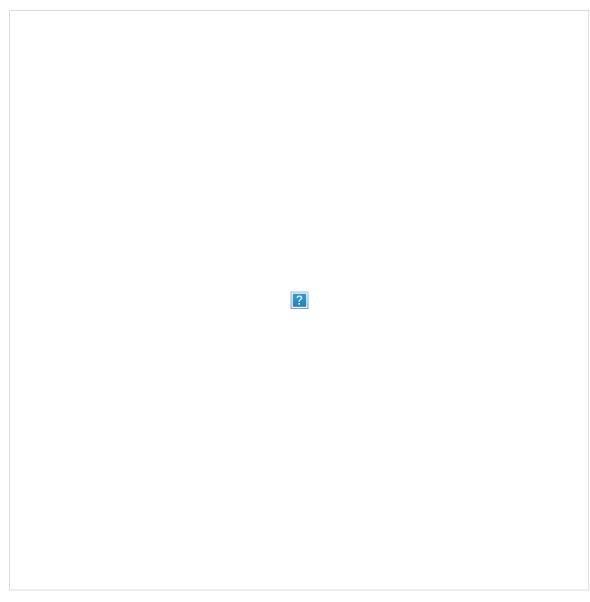
```
4778 - val loss: 220.5848
Epoch 5/20
9024 - val loss: 220.0530
Epoch 6/20
1068 - val loss: 211.6871
Epoch 7/20
7445 - val loss: 211.4021
Epoch 8/20
8091 - val loss: 209.3851
Epoch 9/20
5481 - val loss: 203.4252
Epoch 10/20
8051 - val loss: 203.4375
Epoch 11/20
4746 - val loss: 202.8055
Epoch 12/20
2454 - val loss: 205.4968
Epoch 13/20
0237 - val loss: 205.6666
Epoch 14/20
3313 - val loss: 208.2998
Epoch 15/20
6614 - val loss: 202.9964
Epoch 16/20
0527 - val loss: 205.1905
Epoch 17/20
8668 - val loss: 203.5983
Epoch 18/20
8521 - val loss: 199.9050
Epoch 19/20
5613 - val loss: 216.3182
Epoch 20/20
```

7533 - val loss: 205.8332

(IMPLEMENTATION) Model 4: Bidirectional RNN + TimeDistributed Dense

Read about the <u>Bidirectional (https://keras.io/layers/wrappers/)</u> wrapper in the Keras documentation. For your next architecture, you will specify an architecture that uses a single bidirectional RNN layer, before a (TimeDistributed) dense layer. The added value of a bidirectional RNN is described well in <u>this paper (http://www.cs.toronto.edu/~hinton/absps/DRNN_speech.pdf)</u>.

One shortcoming of conventional RNNs is that they are only able to make use of previous context. In speech recognition, where whole utterances are transcribed at once, there is no reason not to exploit future context as well. Bidirectional RNNs (BRNNs) do this by processing the data in both directions with two separate hidden layers which are then fed forwards to the same output layer.



Before running the code cell below, you must complete the bidirectional_rnn_model function in sample_models.py. Feel free to use SimpleRNN, LSTM, or GRU units. When specifying the Bidirectional wrapper use merge mode='concat'.

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	13)	0
bidirectional_1 (Bidirection	(None,	None,	400)	256800
time_distributed_1 (TimeDist	(None,	None,	29)	11629
softmax (Activation)	(None,	None,	29)	0
Total params: 268,429 Trainable params: 268,429 Non-trainable params: 0				
 None				

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved (https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model) in the HDF5 file model_4.h5. The loss history is saved (https://wiki.python.org/moin/UsingPickle) in model_4.pickle. You are welcome to tweak any of the optional parameters while calling the train_model function, but this is not required.

```
train model(input to softmax=model 4,
In [7]:
          pickle path='model 4.pickle',
          save model path='model 4.h5',
          spectrogram=False) # change to False if you would like to
    use MFCC features
    Epoch 1/20
    3424 - val loss: 228.6528
    Epoch 2/20
    3261 - val loss: 199.3798
    Epoch 3/20
    5601 - val loss: 188.2407
    Epoch 4/20
    9250 - val loss: 177.4040
    Epoch 5/20
    7377 - val loss: 167.8395
    Epoch 6/20
    2226 - val loss: 156.8984
```

```
Epoch 7/20
7073 - val loss: 150.1483
Epoch 8/20
2970 - val loss: 143.0757
Epoch 9/20
6296 - val loss: 143.3127
Epoch 10/20
2169 - val loss: 136.9851
Epoch 11/20
2420 - val loss: 135.2069
Epoch 12/20
8780 - val loss: 132.2997
Epoch 13/20
2052 - val loss: 131.8366
Epoch 14/20
4509 - val loss: 129.0648
Epoch 15/20
4083 - val loss: 127.3201
Epoch 16/20
3783 - val loss: 126.0399
Epoch 17/20
4399 - val loss: 126.5143
Epoch 18/20
6633 - val loss: 126.9361
Epoch 19/20
0917 - val loss: 126.9972
Epoch 20/20
134 - val loss: 127.5758
```

(OPTIONAL IMPLEMENTATION) Models 5+

If you would like to try out more architectures than the ones above, please use the code cell below. Please continue to follow the same convention for saving the models; for the i-th sample model, please save the loss at $model_i.pickle$ and saving the trained model at $model_i.pickle$.

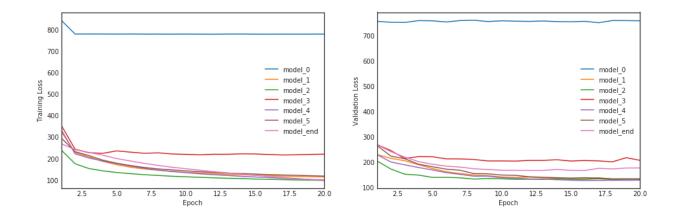
Layer (type)	Output	_		Param #
the_input (InputLayer)	(None,			0
lstm_1 (LSTM)	(None,	None,	200)	171200
batch_normalization_2 (Batch	(None,	None,	200)	800
time_distributed_2 (TimeDist	(None,	None,	29)	5829
softmax (Activation)	(None,		•	0
Total params: 177,829 Trainable params: 177,429 Non-trainable params: 400				
None Epoch 1/20 101/101 [===================================	=====	===] .	- 655s	6s/step - loss: 295.
101/101 [===================================	=====	====] -	- 656s	6s/step - loss: 231.
101/101 [===================================	=====	====] .	- 657s	7s/step - loss: 213.
101/101 [===================================	=====	====] .	- 654s	6s/step - loss: 191.
101/101 [===================================	=====	====] .	- 654s	6s/step - loss: 177.
101/101 [===================================	=====	====] .	- 657s	7s/step - loss: 166.
101/101 [===================================	======	====] -	- 654s	6s/step - loss: 157.
Epoch 8/20 101/101 [===================================	=====	====] -	- 654s	6s/step - loss: 151.

```
Epoch 9/20
2553 - val loss: 152.4142
Epoch 10/20
8850 - val loss: 147.7338
Epoch 11/20
8681 - val loss: 146.5116
Epoch 12/20
4149 - val loss: 140.7149
Epoch 13/20
3531 - val loss: 138.8734
Epoch 14/20
3119 - val loss: 137.1019
Epoch 15/20
9322 - val loss: 135.7626
Epoch 16/20
4475 - val loss: 137.1899
Epoch 17/20
4750 - val loss: 135.2799
Epoch 18/20
4160 - val loss: 132.4657
Epoch 19/20
1266 - val loss: 132.6831
Epoch 20/20
4715 - val loss: 132.8148
```

Compare the Models

Execute the code cell below to evaluate the performance of the drafted deep learning models. The training and validation loss are plotted for each model.

```
from glob import glob
In [1]:
        import numpy as np
        import _pickle as pickle
        import seaborn as sns
        import matplotlib.pyplot as plt
        %matplotlib inline
        sns.set style(style='white')
        # obtain the paths for the saved model history
        all_pickles = sorted(glob("results/*.pickle"))
        # extract the name of each model
        model names = [item[8:-7] for item in all pickles]
        # extract the loss history for each model
        valid loss = [pickle.load( open( i, "rb" ) )['val_loss'] for i in all_
        pickles |
        train loss = [pickle.load( open( i, "rb" ) )['loss'] for i in all pick
        les
        # save the number of epochs used to train each model
        num epochs = [len(valid loss[i]) for i in range(len(valid loss))]
        fig = plt.figure(figsize=(16,5))
        # plot the training loss vs. epoch for each model
        ax1 = fig.add subplot(121)
        for i in range(len(all pickles)):
            ax1.plot(np.linspace(1, num epochs[i], num epochs[i]),
                    train loss[i], label=model names[i])
        # clean up the plot
        ax1.legend()
        ax1.set xlim([1, max(num epochs)])
        plt.xlabel('Epoch')
        plt.ylabel('Training Loss')
        # plot the validation loss vs. epoch for each model
        ax2 = fig.add subplot(122)
        for i in range(len(all pickles)):
            ax2.plot(np.linspace(1, num epochs[i], num epochs[i]),
                    valid loss[i], label=model names[i])
        # clean up the plot
        ax2.legend()
        ax2.set xlim([1, max(num epochs)])
        plt.xlabel('Epoch')
        plt.ylabel('Validation Loss')
        plt.show()
```



Question 1: Use the plot above to analyze the performance of each of the attempted architectures. Which performs best? Provide an explanation regarding why you think some models perform better than others.

Answer: MFCCs features (shorter training time) are used for all these models. Bidirderectional+RNN and CNN+RNN models performed the best in terms of both training and validation loss. It is better in compare with signle directional RNN since it provides more information as input and the output layer will use information information of past and future together. CNN+RNN is slightly better and faster than Bidirderectional+RNN model. CNN is a powerful feature detector which operates on a static spatial signal input. DeepRNN model has more parameters than others. Itried to use dropout to avoid parameter overflow and reduce the potential of ovefitting. DeepRNN is the worst better all the models, it might need more iterations, but eventually much higher number of parameters tahn input can cause overflow. Relu activation function has been used in models to prevent gradient descent. DeepRNN has multiple GRU levels which adds up more parameters to be tuned and enables more complex sequences to be captured, but at the same time high number of parameters makes training hypothesis more indicative and prone to overfitting. I added high dropout to control it. On the other hand, high number of parameters in DeepRNN adds up epoch time.

To compare simple RNN model0 with the rest of models, the batch normalization and the time distributed layers effectively improve the loss.

I bet better to try a suitable language model for the final model to make the captured speech sequence more suitable for the context. As course videos mentioned, the tried ML models do not have any idea of the vocabulary/language context and will transform the generated speech text into something meaningful.

(IMPLEMENTATION) Final Model

Now that you've tried out many sample models, use what you've learned to draft your own architecture! While your final acoustic model should not be identical to any of the architectures explored above, you are welcome to merely combine the explored layers above into a deeper architecture. It is **NOT** necessary to include new layer types that were not explored in the notebook.

However, if you would like some ideas for even more layer types, check out these ideas for some additional, optional extensions to your model:

If you notice your model is overfitting to the training dataset, consider adding dropout! To add

- dropout to <u>recurrent layers (https://faroit.github.io/keras-docs/1.0.2/layers/recurrent/)</u>, pay special attention to the <u>dropout_W</u> and <u>dropout_U</u> arguments. This <u>paper</u> (http://arxiv.org/abs/1512.05287) may also provide some interesting theoretical background.
- If you choose to include a convolutional layer in your model, you may get better results by working with **dilated convolutions**. If you choose to use dilated convolutions, make sure that you are able to accurately calculate the length of the acoustic model's output in the model.output_length lambda function. You can read more about dilated convolutions in Google's <u>WaveNet paper</u> (https://arxiv.org/abs/1609.03499). For an example of a speech-to-text system that makes use of dilated convolutions, check out this GitHub <u>repository</u> (https://github.com/buriburisuri/speech-to-text-wavenet). You can work with dilated convolutions in Keras (https://keras.io/layers/convolutional/) by paying special attention to the padding argument when you specify a convolutional layer.
- If your model makes use of convolutional layers, why not also experiment with adding **max pooling**? Check out this paper (https://arxiv.org/pdf/1701.02720.pdf) for example architecture that makes use of max pooling in an acoustic model.
- So far, you have experimented with a single bidirectional RNN layer. Consider stacking the bidirectional layers, to produce a <u>deep bidirectional RNN</u> (https://www.cs.toronto.edu/~graves/asru_2013.pdf)!

All models that you specify in this repository should have output_length defined as an attribute. This attribute is a lambda function that maps the (temporal) length of the input acoustic features to the (temporal) length of the output softmax layer. This function is used in the computation of CTC loss; to see this, look at the add_ctc_loss function in train_utils.py. To see where the output_length attribute is defined for the models in the code, take a look at the sample_models.py file. You will notice this line of code within most models:

```
model.output length = lambda x: x
```

The acoustic model that incorporates a convolutional layer (cnn_rnn_model) has a line that is a bit different:

In the case of models that use purely recurrent layers, the lambda function is the identity function, as the recurrent layers do not modify the (temporal) length of their input tensors. However, convolutional layers are more complicated and require a specialized function (cnn_output_length in sample_models.py) to determine the temporal length of their output.

You will have to add the output_length attribute to your final model before running the code cell below. Feel free to use the cnn_output_length function, if it suits your model.

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	161)	0
conv1d (Conv1D)	(None,	None,	200)	354400
max_pooling1d_6 (MaxPooling1	(None,	None,	200)	0
batch_normalization_13 (Batc	(None,	None,	200)	800
bidirectional_6 (Bidirection	(None,	None,	400)	641600
batch_normalization_14 (Batc	(None,	None,	400)	1600
time_distributed_6 (TimeDist	(None,	None,	29)	11629
softmax (Activation)	(None,	None,	29)	0
Total params: 1,010,029 Trainable params: 1,008,829 Non-trainable params: 1,200	=			

None

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved (https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model) in the HDF5 file model_end.h5. The loss history is saved (https://wiki.python.org/moin/UsingPickle) in model_end.pickle. You are welcome to tweak any of the optional parameters while calling the train model function, but this is not required.

```
5049 - val loss: 240.3884
Epoch 3/20
8163 - val loss: 218.8672
Epoch 4/20
6863 - val loss: 200.8132
Epoch 5/20
2142 - val loss: 190.4388
Epoch 6/20
8496 - val loss: 183.0437
Epoch 7/20
7977 - val loss: 179.1765
Epoch 8/20
101/101 [============== ] - 194s 2s/step - loss: 167.
1646 - val loss: 172.6453
Epoch 9/20
7894 - val loss: 169.4001
Epoch 10/20
8215 - val loss: 166.6044
Epoch 11/20
101/101 [============== ] - 196s 2s/step - loss: 144.
9730 - val loss: 166.0107
Epoch 12/20
2756 - val loss: 165.9915
Epoch 13/20
5264 - val loss: 165.8516
Epoch 14/20
6459 - val loss: 169.8320
Epoch 15/20
2108 - val loss: 166.0661
Epoch 16/20
0700 - val loss: 165.7873
Epoch 17/20
9354 - val loss: 174.2050
Epoch 18/20
2719 - val loss: 171.7623
Epoch 19/20
5592 - val loss: 175.4567
```

Epoch 20/20

Question 2: Describe your final model architecture and your reasoning at each step.

Answer: I used one CNN layer as it made good results in the previous model. Max pooling was recomended in the instructions and was incorporated to CNN layer. I added LSTM model as model5 and observed good results in compare with RNN. The reason is mostly related to its memorizing process. I used bidirectional LSTM (BDLSTM) instead of LSTM due to processing the data in both directions with two separate hidden layers, so it has practically two LSTMs. After both CNN and BDLSTM, batch normalization is accomplished. CNN+LSTM can potentially help with eliminating the issue of long term dependences which RNN cannot. In the last layer, we have single TimeDistibuted Dense layer. I used spectrogram feature representations for this final model since of better compatibility with Convolutional NN and max pooling. Overall, results of training loss is better in compare with every single previous models. However, the evaluation loss is higher than previousely investigated models except for DRNN and simpleRNN. The reason can be related to overfitting which is due to high number of parameters in compare with training data, or stucking into local optima and gradient vanishing. Also we have huge number of parameters in compare with previous simpler models which might need more than 20 epochs. The GPU time was not enough to investigate paper's 10 level deep bidirectional LSTM model. But I tried to use bidirectional LSTM and max pooling layer together with the mindset of getting better loss value. For previous models, I used MFCCs features (shorter training time), but for the final model I used spectrogram.

STEP 3: Obtain Predictions

We have written a function for you to decode the predictions of your acoustic model. To use the function, please execute the code cell below.

```
In [14]: import numpy as np
         from data_generator import AudioGenerator
         from keras import backend as K
         from utils import int sequence to text
         from IPython.display import Audio
         def get predictions(index, partition, input to softmax, model path):
             """ Print a model's decoded predictions
                 index (int): The example you would like to visualize
                 partition (str): One of 'train' or 'validation'
                 input to softmax (Model): The acoustic model
                 model path (str): Path to saved acoustic model's weights
             # load the train and test data
             data gen = AudioGenerator()
             data gen.load train data()
             data gen.load validation data()
             # obtain the true transcription and the audio features
             if partition == 'validation':
                 transcr = data gen.valid texts[index]
                 audio path = data gen.valid audio paths[index]
                 data point = data gen.normalize(data gen.featurize(audio path)
             elif partition == 'train':
                 transcr = data gen.train texts[index]
                 audio path = data gen.train audio paths[index]
                 data point = data gen.normalize(data gen.featurize(audio path)
         )
             else:
                 raise Exception('Invalid partition! Must be "train" or "valid
         ation"')
             # obtain and decode the acoustic model's predictions
             input to softmax.load weights(model path)
             prediction = input to softmax.predict(np.expand dims(data point, a
         xis=0))
             output length = [input to softmax.output length(data point.shape[0
         ])]
             pred ints = (K.eval(K.ctc decode(
                         prediction, output_length)[0][0])+1).flatten().tolist(
         )
             # play the audio file, and display the true and predicted transcri
         ptions
             print('-'*80)
             Audio(audio path)
             print('True transcription:\n' + '\n' + transcr)
             print('-'*80)
             print('Predicted transcription:\n' + '\n' + ''.join(int sequence t
         o text(pred ints)))
             print('-'*80)
```

Use the code cell below to obtain the transcription predicted by your final model for the first example in the training dataset.

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	======== 161)	0
convld (ConvlD)	(None,	None,	200)	354400
<pre>max_pooling1d_4 (MaxPooling1</pre>	(None,	None,	200)	0
batch_normalization_9 (Batch	(None,	None,	200)	800
bidirectional_4 (Bidirection	(None,	None,	400)	641600
batch_normalization_10 (Batc	(None,	None,	400)	1600
time_distributed_4 (TimeDist	(None,	None,	29)	11629
softmax (Activation)	•	None,	29)	0
Total params: 1,010,029 Trainable params: 1,008,829 Non-trainable params: 1,200				
None				
True transcription:		_ _		_
her father is a most remarka	ble per	son to	say the leas	t
Predicted transcription:				
hr fathrris am mos rmr prsnd	to say	tie la		

Use the next code cell to visualize the model's prediction for the first example in the validation dataset.

_ , ,	Output	_		Param #
the_input (InputLayer)	(None,		 161)	0
convld (ConvlD)	(None,	None,	200)	354400
max_pooling1d_5 (MaxPooling1	(None,	None,	200)	0
batch_normalization_11 (Batc	(None,	None,	200)	800
bidirectional_5 (Bidirection	(None,	None,	400)	641600
batch_normalization_12 (Batc	(None,	None,	400)	1600
time_distributed_5 (TimeDist	(None,	None,	29)	11629
softmax (Activation)	(None,	-	•	0
Total params: 1,010,029 Trainable params: 1,008,829 Non-trainable params: 1,200				
None				
True transcription:				
the bogus legislature numbere	d thir	ty six	members	
Predicted transcription:				
the bo s irdslurd nvir there	s oeer:	5		

One standard way to improve the results of the decoder is to incorporate a language model. We won't pursue this in the notebook, but you are welcome to do so as an *optional extension*.

If you are interested in creating models that provide improved transcriptions, you are encouraged to download <u>more data (http://www.openslr.org/12/)</u> and train bigger, deeper models. But beware - the model will likely take a long while to train. For instance, training this <u>state-of-the-art</u> (https://arxiv.org/pdf/1512.02595v1.pdf) model would take 3-6 weeks on a single GPU!