

An image-computable spatio-chromatic receptive
field model of the midget retinal ganglion mosaic
across the retina

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Abstract

Image-computable models of primate retinal ganglion cell (RGC) mosaics that are synthesized and constrained jointly by optical, anatomical and physiological properties, and which operate on images defined by their spatial-spectral radiance, do not currently exist. Here, we deploy a novel computational framework which synthesizes mosaics of linear spatio-chromatic receptive fields (RFs) of ON midget RGCs (mRGCs) by integrating published anatomical, physiological, and optical quality measurements, all varying with eccentricity. We use the synthesized mRGC mosaics to simulate both *in vivo* and *in vitro* physiological experiments and demonstrate the model's consistency with published data. The model enables computation of how visual performance is shaped by the representation of visual information provided by the linear spatiochromatic processing stage of midget RGCs. The developed computational framework carefully accounts for the effect of physiological optics on mRGC responses, enables comparison of *in vivo* and *in vitro* data, and allows exploration of how different assumptions about RF organization, such as selectivity for the type of cones pooled by the RF center mechanism, affect physiological responses and psychophysical performance. The open-source and freely available implementation provides a platform for understanding how the linear spatiochromatic receptive field representation of the mRGCs shapes visual performance, as well as a foundation for future work that incorporates response nonlinearities, temporal filtering, and extends to additional RGC mosaics.

Keywords: retinal ganglion cells, receptive field, model

047 **1 Introduction**

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049 An important aim in computational visual neuroscience is to create accurate computer
050 simulations of how neurons in the visual pathways encode and respond to visual scenes.
051 These simulations, often called digital twins, are a quantitative description of the
052 visual system. They enable links between the neural representation and perception
053 and provide a tool for evaluating the effects of blinding disease and its treatment.

054 Over the last ten years we have built an open-source software platform, ISETBio
055 (Image Systems Engineering Tools for Biology) [1], which serves as a digital twin for
056 the initial stages of the human visual system. Previously, we described how ISETBio
057 models (a) the formation of the retinal image, (b) the excitation of the cone pho-
058 toreceptors, (c) phototransduction, and (d) fixational eye movements [2–4]. We and
059 others have employed ISETBio to model human vision, including sensitivity to spa-
060 tial contrast [2, 3], the impact of chromatic aberration on acuity [5], the encoding of
061 information from natural images captured by cones [6], the effects of optics and cone
062 density across the visual field on performance [7], and the influence of initial visual sig-
063 nals on tasks like judging surface properties and lighting [8, 9]. We also used ISETBio
064 to help interpret experimental measurements of retinal ganglion cells [10].

065 Here, we describe an extension of ISETBio which models the mosaic of a class of
066 retinal ganglion cells (RGCs), the midget RGC (mRGC) mosaic. RGCs are the only
067 pathway for information transmission from the retina to the brain, and their properties
068 surely impact visual performance on many tasks. The spike trains transmitted via the
069 axons of one million RGCs that form the human optic nerve, represent the signals
070 from roughly 6.5 million cones and 110 million rods [11, 12]. Of these RGCs, mRGCs
071 are a particularly important subtype, comprising 80% of the perifoveal RGCs and
072 45% of the peripheral RGCs. In the very central fovea, it has been estimated that the
073 mRGCs are 95% of the RGC population [13].

074 The role of the mRGCs in limiting spatial and color vision is still debated [14].
075 Simulation of performance using image computable models of the mRGC mosaic offers
076 a powerful tool for understanding the visual information encoded by these cells, espe-
077 cially because they are very hard to measure and isolate experimentally. We have four
078 primary goals for this human retina model.

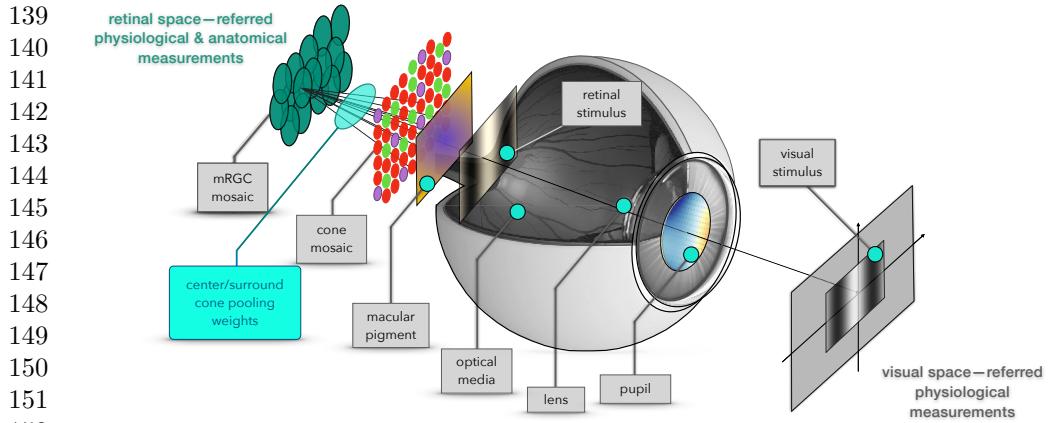
079 First, the model must distinguish the contributions of the eye’s optics and pho-
080 toreceptors from the subsequent post-receptoral retinal circuitry. This separation is
081 crucial for incorporating key physiological measurements, some of which are made *in*
082 *vitro* without the eye’s optics. Failing to isolate the optical effects would prevent us
083 from using this vital collection of data.

084 Second, the model must capture responses across a large portion of central
085 retina. This is important because we and others are interested in how the retinal
086 representation shapes performance not just in the fovea but also for peripheral viewing.

087 Third, the model must integrate diverse data types, including optical, anatomical,
088 and physiological measurements. A comprehensive formulation is necessary because
089 retinal ganglion cell (RGC) responses are shaped by all three of these factors.

090 Fourth, we aim for an extensible framework. The current implementation uses a
091 linear spatiochromatic receptive field, which serves as a good initial approximation.
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The framework is designed to incorporate future extensions—such as response non-linearities, temporal dynamics, and additional RGC classes—to improve the model’s accuracy over time. The following points describe how our implementation achieves these goals.	093
1. <i>Separating representations.</i> Our mRGC model operates on the cone mosaic signals. This design isolates the post-receptoral circuitry (cone-to-mRGC), which is the pathway measured in <i>in vitro</i> experiments where the eye’s optics are removed [15, 16]. This separation is also valuable for interpreting experiments that use adaptive optics to eliminate optical blur [10]. While the components are separable, our implementation integrates the optics, cone sampling, and mRGC circuitry into a complete, image-computable pipeline. This full pathway allows us to simulate the transformation of a visual stimulus into an mRGC response, matching the conditions of <i>in vivo</i> measurements [17–19] and enabling predictions of human performance under natural viewing conditions.	094
2. <i>Representation across the visual field.</i> Visual performance varies across the visual field, and a key contribution of our model is that it allows computation of the mRGC representation continuously across the retina from the fovea out to 30°, along any meridian. Achieving this goal required implementation of novel algorithms for synthesizing mRGC RF mosaics.	095
3. <i>Multiple data types.</i> By explicitly representing different biological stages, our model enables algorithms that combine anatomical, physiological, and optical data. Incorporation of multiple types of measurements from the literature is critical because at present no one type of data sufficiently constrains mRGC properties across the visual field.	096
4. <i>Extensible.</i> The current implementation is a linear spatial pooling model, a useful approximation for stimuli with modest contrast. The software’s modular design provides a foundation for future extensions. We can incorporate known nonlinear properties that shape mRGC responses, including phototransduction effects [20]; spatial and static nonlinearities, which often differ between ON and OFF pathways [21–24]; temporal dynamics [25]; and response noise [26]. Furthermore, the mRGC model is a suitable base for developing models of other types of RGCs, such as parasol and bistratified cells [27].	097
1.1 Model overview	098
Fig. 1 provides a model overview. Computation begins with the image spatial-spectral radiance, such as produced by a calibrated monitor. A model of the human optics (including chromatic aberrations) and spectral filtering by the lens is used to compute the retinal irradiance. Retinal irradiance is spectrally filtered by the macular pigment and then spatially and spectrally sampled by the cone photoreceptor mosaic. The parameters of the optics, macular pigment and cone mosaic all vary across the visual field, according to measurements in the literature [2].	099
The mRGC mosaic extension is composed of spatial receptive fields (RFs) whose center and surround responses are weighted sums of signals from the cone mosaic.	100
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154 **Fig. 1 Model overview.** The extant ISETBio model computes the mosaic of cone excitations.
155 The model mRGCs are obtained by connecting their RF center and surround subregions to the cone
156 mosaic. The connectivity matrix is constrained by anatomy and optimized through forward simulation
157 of physiological measurements, so that the synthetic mRGCs are consistent with optical, anatomical
158 and physiological data across the visual field.

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160 The wiring between the input cone mosaic and the mRGC mosaic is initially deter-
161 mined based on anatomical constraints, such as cone and mRGC densities, and is
162 subsequently refined using optimization algorithms that align the model's spatial RF
163 properties with physiological measurements.

164 A key challenge is the scarcity of *in vitro* physiological data across the visual field
165 which could be used to directly determine the wiring between the two mosaics. To
166 address this, our framework primarily leverages more widely available *in vivo* data to
167 derive the wiring, while validating the synthesized model against *in vitro* data where
168 it exists. The resulting model is simultaneously consistent with cone light encoding,
169 anatomical properties (including those of mRGCs and H1 horizontal cells), and both
170 *in vitro* and *in vivo* physiological data. This makes the model versatile for simulating
171 visual stimulation under *in vivo*, *in vitro*, and adaptive optics paradigms.

172 1.1.1 Relationship to previous computational models of RGCs

173 We are not the first to construct computational models of mammalian RGCs [28–
174 31]. Our work complements these earlier efforts, in the sense that we extend RGC
175 modeling in ways not captured by these models. More specifically, to our knowledge,
176 no previous image-computable model of RGCs has attempted to realistically capture
177 the effects of the front end encoding in the visual system, particularly the eccentricity
178 and wavelength-varying nature of physiological optics, and the eccentricity-varying
179 spatio-chromatic properties of the cone mosaic. Instead previous models of RGCs have
180 either not incorporated the optics [29, 30], or employed simplified optical models [31].
181 Similarly, previous RGC models have either not incorporated spatio-spectral filtering
182 by the tri-chromatic cone mosaic [29], or employed more simplified models of the
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cone mosaic [31]. Finally, previous models operate on stimuli specified by achromatic light intensity rather than spectral radiance [29, 31]. As such, previous models do not capture color and in particular the rich spatio-chromatic interactions between stimuli and physiological optics and how their combined effects shape RGC responses. Indeed, we have recently shown that the spatio-chromatic interactions between stimuli and physiological optics can have profound effects of the response properties of midget ganglion cells [32].	185 186 187 188 189 190 191
On the other hand, previous computational models of RGCs have focused on important components of the RGC circuit that our linear spatio-chromatic model does not address. These include processing by retinal interneurons [29–31, 33], temporal dynamics [29–31, 33], contrast grain control [28, 29], and spike generation [28–30]. These are directions that could be profitably incorporated into our modeling work, as outlined in section 4.2.2.	192 193 194 195 196 197 198
1.1.2 Paper organization	199
The remainder of this paper is organized as follows.	200 201
• In section 2 we describe the model’s construction stages, including, how the mRGC receptive field lattice is generated from anatomical data (section 2.1), how cones get connected to the mRGC RF centers using anatomical and physiological constraints (section 2.2), and how cone connections to mRGC RF surrounds are derived by optimizing against <i>in vivo</i> data (section 2.3).	202 203 204 205 206
• In section 3 we present, validate, and discuss first applications of the model. Specifically, we illustrate examples of synthesized mRGC mosaics (section 3.1), confirm that the model mRGC spatial RFs are consistent with <i>in vivo</i> (section 3.2), and <i>in vitro</i> data (section 3.3), demonstrate the significant impact of physiological optics (section 3.4), and how simpler Difference-of-Gaussians models can fail to capture the true surround pooling (section 3.5), and finally we illustrate how the model can be used to estimate the contribution of the mRGC mosaic to spatiochromatic contrast sensitivity across the visual field (section 3.6).	207 208 209 210 211 212 213 214
• In section 4, we summarize our work, discuss ongoing applications of the model in its current stage, and discuss the model’s present limitations and planned expansions.	215 216 217 218 219
2 Methods	220 221 222 223 224 225 226 227 228 229 230
The synthesis of mRGC RF mosaics occurs in three stages. In the first stage, we generate spatial lattices representing the RF centers of cells in the mRGC mosaic and the position of cones in the cone mosaic that provides the input to the mRGC mosaic. In the second stage, we connect the input cone mosaic to the RF centers of the mRGC mosaic. In the third stage, we connect the input cone mosaic to the RF surrounds of the mRGC mosaic.	220 221 222 223 224 225 226 227 228 229 230

231 **2.1 Generating the spatial position lattice of mRGC RF
232 centers (Stage 1)**

233 We begin by generating a lattice that represents the (x, y) positions of mRGC RF
234 centers. This process comprises three sub-stages, components of which are illustrated
235 in Fig. 2.

- 236 • **Stage 1A:** We estimate the mRGC RF center densities along the four principal
237 meridians (0° , 90° , 180° , and 270°). These estimates are based on human data
238 [34, 35]. We take the ON mRGC density to be half of the total mRGC density, ignor-
239 ing the possible density differences between ON and OFF mRGCs. The meridian
240 functions are depicted in Fig. 2A.
241 • **Stage 1B:** We generate a continuous, two-dimensional map representing the mRGC
242 RF density map, depicted in Fig. 2B. This map is created by linearly interpolating
243 the meridian estimates, and it serves as a target for the lattice synthesis algorithm
244 in the next stage.
245 • **Stage 1C:** We synthesize a sampling lattice that represents the (x, y) positions
246 of the mRGC RF centers. The lattice is created using the iterative algorithm that
247 we introduced in earlier work [2] for generating cone mosaics, replacing the two-
248 dimensional cone density map with the target mRGC RF density map. A typical
249 lattice of mRGC RF positions is obtained after about 1,300 iterations and has a
250 density that varies smoothly over space, matching the target density, as illustrated
251 in Figs. 2C & 2G. Example patches of mRGC RF center mosaics synthesized at
252 eccentricities of 0° and 20° along the temporal horizontal meridian, are depicted in
253 Figs. 2D & 2E, respectively.

254 The same procedure is used to generate the lattice that represents the (x, y) positions
255 of cones, using the meridian densities of cone photoreceptors in human retina [36] as
256 targets. The density of cones in the synthesized cone lattice also varies smoothly over
257 space and matches closely the target density, as illustrated in Figs. 2F & 2H.

258 **2.2 Connecting cones to mRGC RF centers (Stage 2)**

259 The connections between cones and mRGC centers are constrained by (1) anatomical
260 data across the retina, specifically, the ratio of densities of mRGC RF centers to cones
261 [34], and (2) *in-vitro* physiological data from peripheral retina, that (a) indicate that,
262 unlike OFF-center mRGCs, which draw indiscriminately from all three cone types
263 [15, 37, 38], ON-center mRGCs draw only from L- and M-cones, and (b) quantify
264 the degree of RF center overlap between neighboring mRGCs [39]. The connectivity
265 between the cone mosaic and the RF centers of the ON mRGC mosaic is established
266 in 3 sub-stages, summarized here.

- 267 • **Stage 2A:** In the first substage, each L- and M-cone in the input cone mosaic
268 gets connected to a single mRGC RF center; an mRGC RF center can receive input
269 from more than one cone. At this substage, each connected cone has unit connection
270 weight. S-cones are not connected because they do not contribute to ON-center
271 mRGCs. This initial cone-to-RF center connectivity often results in inhomogeneities
272 in the composition of neighboring mRGCs RF centers, which are dealt with in the

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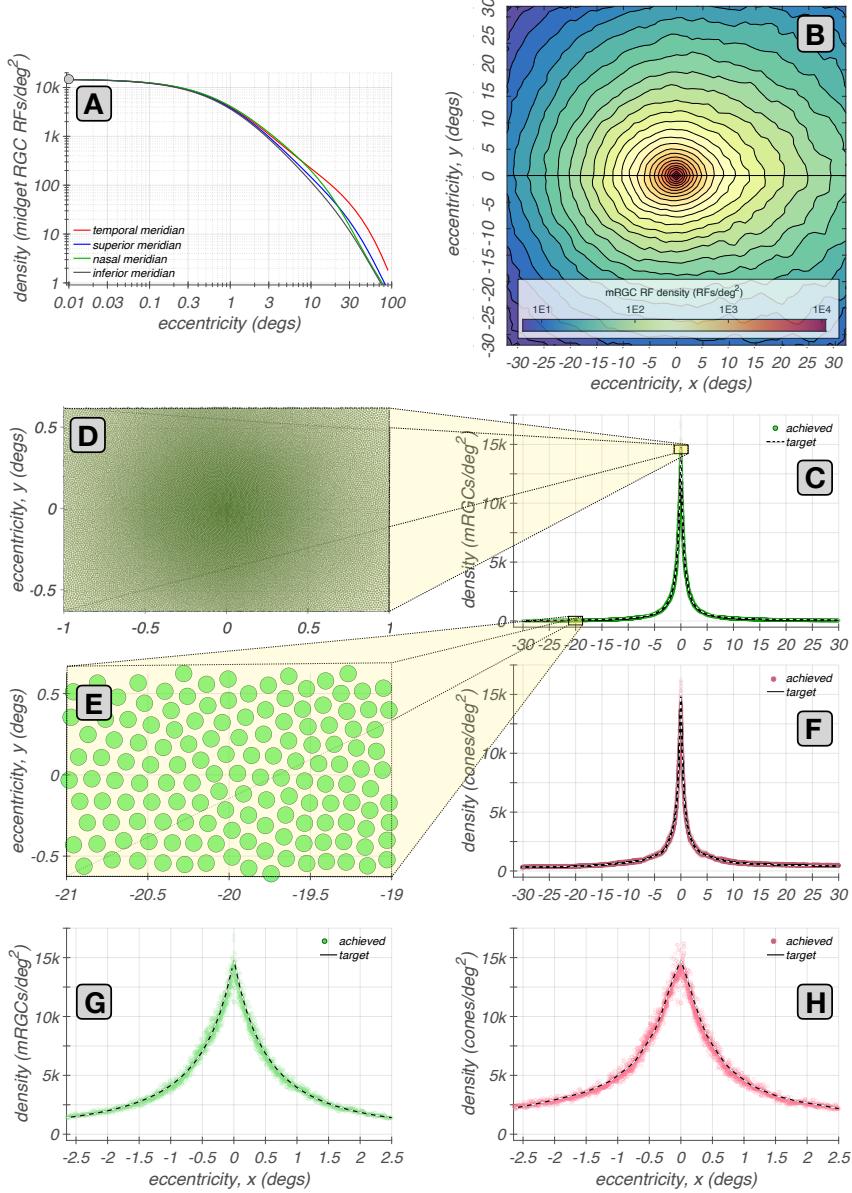


Fig. 2 Eccentricity-varying mRGC RF position lattices. **A:** Meridian density functions of mRGC RFs [34]. **B:** Two-dimensional mRGC RF density map obtained by interpolating the four meridian density functions. **C:** Achieved and target densities of mRGC RF centers along the horizontal meridian (green disks and white dashed line, respectively). **D & E:** Examples of $2^\circ \times 1^\circ$ mosaics of mRGC RF centers at eccentricities of 0° and 20° along the temporal meridian, respectively. **F:** Achieved and target densities of cones along the horizontal meridian (maroon disks and white dashed line, respectively). **G & H:** Achieved and target mRGC and cone densities within the central 5° .

323 next stage. Algorithmic details regarding this substage are provided in Supplemental
324 Section A.1.

- 325 • **Stage 2B:** This substage refines the center connections to establish a balance
326 between the spectral purity and spatial compactness of the mRGC RF centers,
327 which is quantified by a single parameter, ϕ . For the body of this work, all mRGC
328 mosaics are generated by maximizing spatial compactness, but the option to max-
329 imize spectral purity allows testing of different scenarios where mRGC RF centers
330 may be biased to some extent towards cone type selective pooling [15, 16]. At this
331 substage, connected cones retain their unit connection weights. Algorithmic details
332 regarding this substage are provided in Supplemental Section A.2.
- 333 • **Stage 2C:** Finally, the mutual exclusivity constraint enforced in substages 2A and
334 2B is lifted, and single cones are permitted to connect to multiple nearby mRGC RF
335 centers. The extent of divergence varies with retinal eccentricity, being minimal in
336 the fovea and increasing towards the periphery to match experimental observations
337 [39]. This is done by varying the exponent of a supra-Gaussian distribution that
338 describes the spatial weighting profile of cone connections to the RF centers which
339 at this substage become non-binary. Algorithmic details regarding this substage are
340 provided in Supplemental Section A.3.

341 We illustrate Stage 2 by examining key properties of synthesized mRGC RF center
342 mosaics at each of the three substages.
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344 2.2.1 Mosaics with convergent-only cone connections (stage 2A) 345

346 Example mosaics of RF centers synthesized at four eccentricities along the temporal
347 horizontal meridian at the end of this substage are depicted in Fig. 3, where each
348 green ellipse represents the spatial extent of the RF center of a single mRGC. At this
349 stage, the pooling weight of each cone is set to unit.

350 For the foveal mosaic depicted in Fig. 3A, RF centers connect to just a single cone.
351 Note how RF center sizes increase as we move towards parafoveal regions to the left
352 and right sides of Fig. 3A. This is due to the continuously increasing, with eccentricity,
353 cone aperture in the input cone mosaic. The empty regions in this foveal mRGC RF
354 center mosaic correspond to the location of S-cones which are not pooled by the model.

355 In the parafoveal mosaic depicted in Fig. 3B, RF centers mostly receive inputs
356 from two cones, whereas in the more peripheral mosaics depicted in Figs 3C & 3D,
357 RF centers connect to multiple cones. Note that the number of cones connecting to
358 RF centers does not correspond precisely to RF center size, because cone aperture
359 and inter-cone spacing both increase with eccentricity. At all eccentricities, however,
360 mRGC RF center mosaics tile the retinal space with no spatial overlap or voids, except
361 at the sparse positions where S-cones are located.

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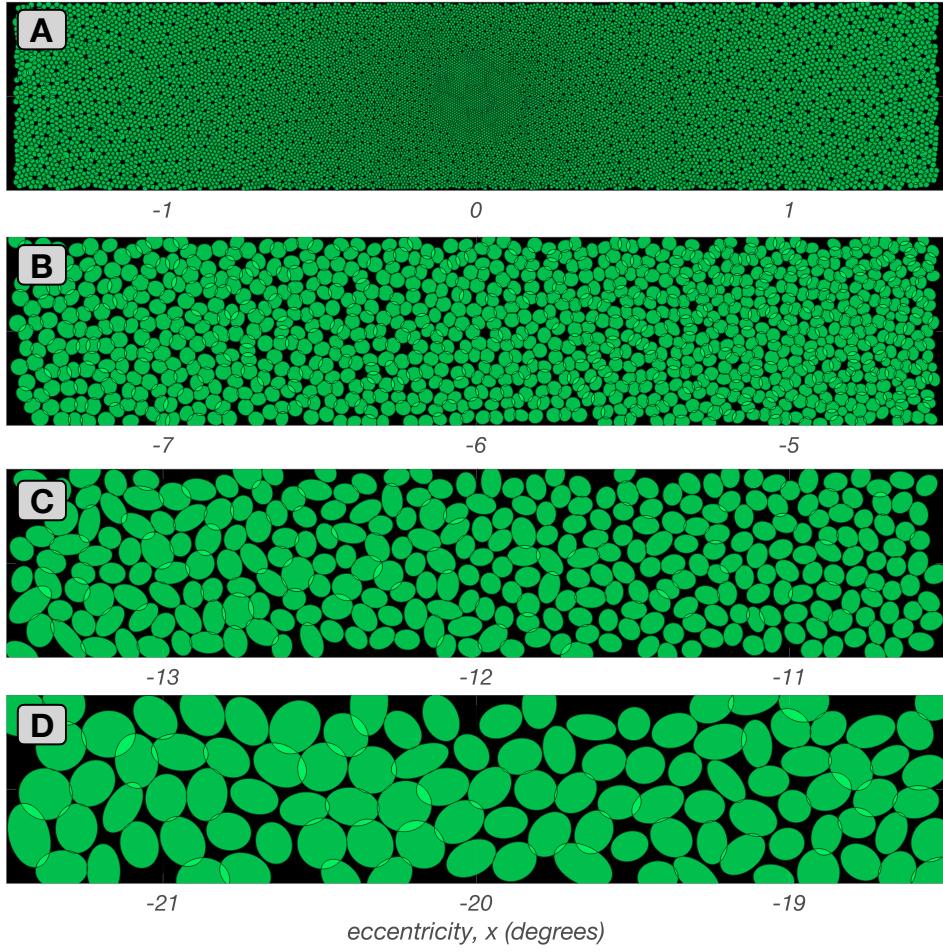


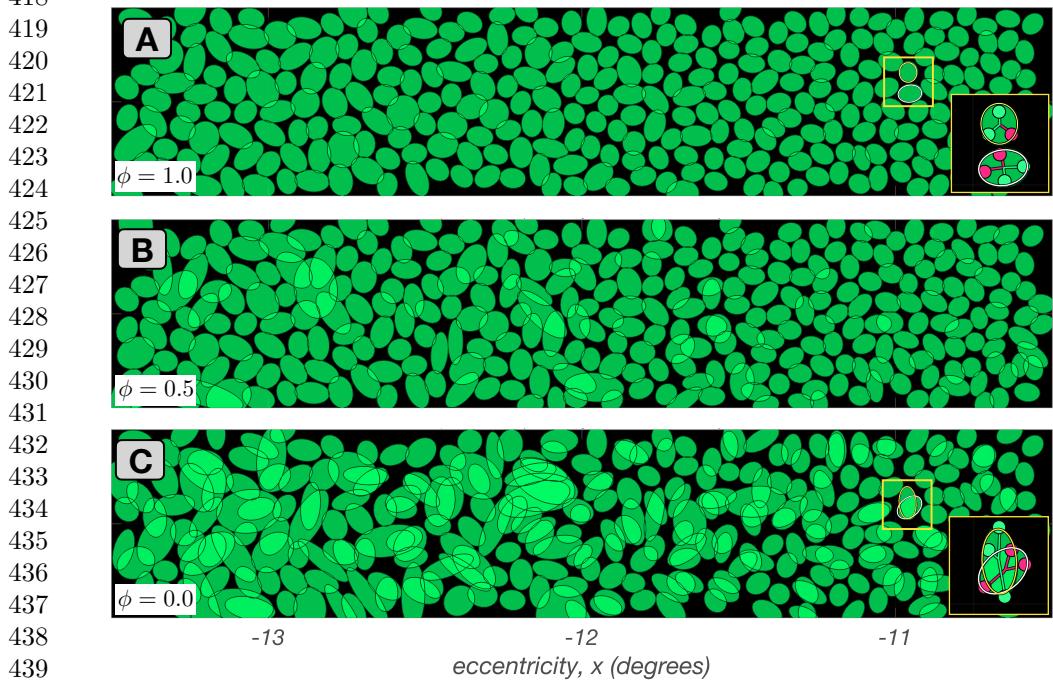
Fig. 3 Stage 2A mRGC RF mosaics. Each panel shows a $3.0^\circ \times 0.5^\circ$ mosaic of synthesized mRGC RF centers at a different visual field location from fovea to periphery. The green ellipses depict a spatial region that encompasses all cones pooled by single RF centers. **A:** Foveal mosaic, in which RF centers receive signals from a single L- or M-cone. **B:** Mosaic centered at 6.0° along the temporal horizontal meridian, in which RF centers receive signals from 2–3 L/M-cones. **C:** Mosaic centered at 12.0° along the temporal horizontal meridian, in which RF centers receive signals from 3–4 L/M-cones. **D:** Mosaic centered at 20.0° along the temporal horizontal meridian, in which RF centers receive signals from 6–9 cones.

2.2.2 Mosaics synthesized under different spatial compactness/spectral purity tradeoffs (stage 2B)

This substage allows for different optimizations of cone pooling within the mRGC RF centers, which is controlled by the spatial compactness/spectral purity tradeoff parameter, ϕ . At this stage, the pooling weight of each cone is still set to unit, independent of the value of ϕ .

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415 Fig. 4 depicts examples of mRGC RF center mosaics all synthesized at a single
 416 eccentricity (12° along the temporal meridian), but under different values of ϕ . The
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441 **Fig. 4 Mosaics of mRGC RF centers at the end of stage 2B.** Depicted here are $3.0^\circ \times 0.5^\circ$
 442 mRGC mosaics, each centered at 12° along the temporal horizontal meridian, but synthesized under
 443 different values of tradeoff between spatial compactness and spectral purity, ϕ . **A:** $\phi = 1.0$ (maximal
 444 spatial compactness). **B:** $\phi = 0.5$. **C:** $\phi = 0$ (maximal spectral purity). Insets in A and C depict
 445 pooling of cones within the RF centers of the two mRGC RF centers contained within the yellow
 446 square. The inset in C illustrates how RF center overlap and spatial disorder is introduced as the
 447 algorithm avoids cones of different types that are close to the RF center in order to maximize the
 448 spectral purity of RF centers.

449 mosaic synthesized under $\phi = 1$, where spatial compactness is maximal and spectral
 450 purity constraint is not enforced, is depicted in Fig. 4A. Note that the RF centers
 451 tile the visual field relatively uniformly with no overlap. Figures 4B and 4C depict
 452 mosaics synthesized as ϕ decreases to 0.5 and 0.0, respectively, which increasingly
 453 enforces center connections to cones of the same type. Note that this occurs at the
 454 cost of reduced spatial compactness, as is evident by the increased spatial disorder
 455 and overlap in the RF centers.

456 By varying ϕ we can examine the effect that cone-selective pooling may have on
 457 mRGC RF spatial structure, as well as on the spatio-chromatic processing in the
 458 mRGC pathway. Current electrophysiological evidence favors little selective cone pool-
 459 ing, i.e., a ϕ value of ≈ 1 , in RF centers of peripheral mRGCs [15, 16, 40]. However,
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the degree of cone type selectivity in more central locations is not known with as much certainty. For example, there is anatomical evidence that ON-center mRGCs in the fovea contact multiple ON-cone bipolars, as opposed to OFF-center mRGCs, which contact single OFF-cone bipolars [41], and also electrophysiological evidence that the RF centers of parafoveal mRGCs appear to be pooling from more than one cones [42]. In general, the question of whether foveal mRGCs that pool from more than one cone in the RF centers are doing so selectively remains unanswered. Our modeling approach allows exploration of the benefits and tradeoffs of cone-selective pooling at any retinal eccentricity, although we do not pursue such exploration in this paper.

2.2.3 Mosaics with divergent cone connections (stage 2C)

In the final substage of establishing the wiring between mRGC RF centers and the input cone mosaic, the mutual exclusivity constraint is lifted and single cones are permitted to connect to multiple nearby mRGC RF centers. This divergence of cone connections is enabled by replacing the binary distribution of cone pooling weights in the mRGC RF centers with a supra Gaussian distribution, as illustrated in Fig. 5.

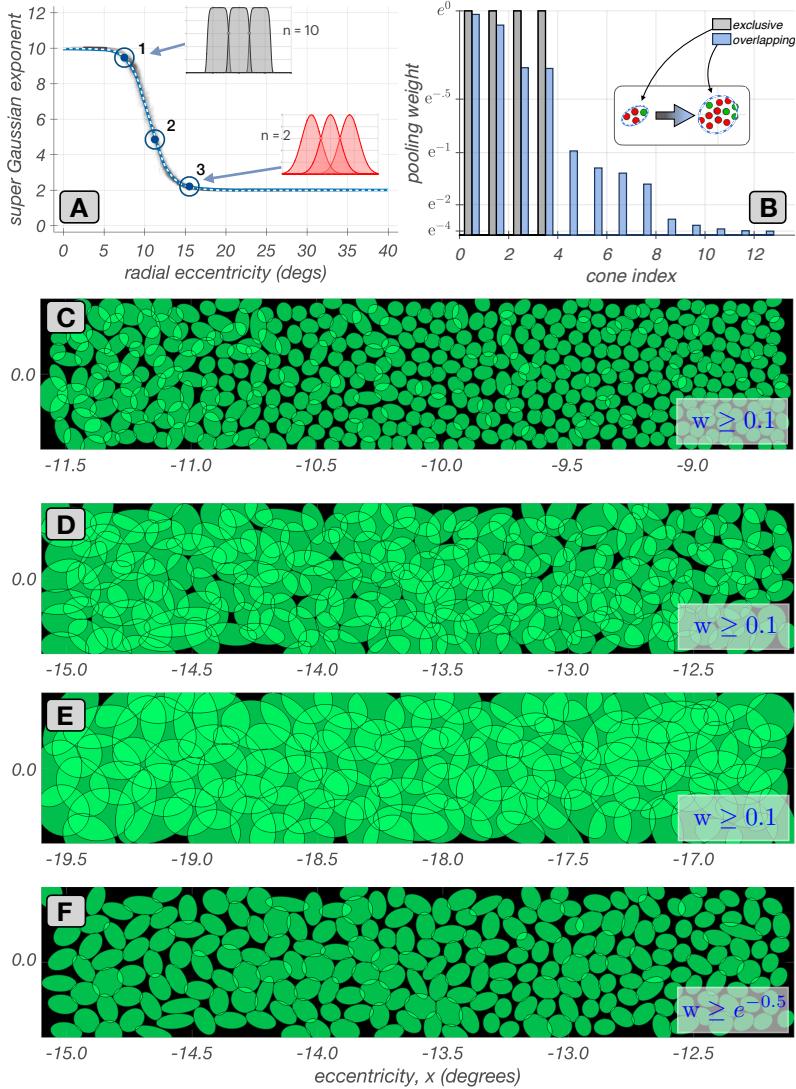
Fig. 5A depicts how a progressively increasing overlap in neighboring mRGC RF centers with eccentricity is accomplished by varying the exponent of the supra-Gaussian distribution. In central retina, the exponent is kept at 10, which results in a flat top distribution of weights with minimal overlap between neighboring RF centers (gray histograms in the inset of Fig. 5A). As eccentricity increases beyond 7°, the exponent decreases, reaching a value of 2 at around 15°, which results in Gaussian distributions of weights and a significant overlap between neighboring RF centers (red histograms in the inset of Fig. 5A).

To our knowledge, there is no physiological data on the variation with eccentricity of the divergence of cone connections to nearby mRGC RF centers. We implemented the eccentricity varying exponent as a way to smoothly bridge the gap between the fovea, where midget RF centers receive a single cone input [41, 42], and data available for the periphery where the degree of midget RF overlap has been characterized [39].

The transformation of cone pooling weights from binary and mutually exclusive to graduated and shared is depicted in Fig. 5B for an mRGC located at an eccentricity of 12°, with gray and blue histograms depicting the spatial distributions of cone pooling weights before and after, respectively, substage 2C.

Figs. 5C–5E depict mosaics with divergent connections synthesized at three eccentricities. In these mosaic depictions, each green ellipse represents the spatial extent that encompasses all cones that are pooled by the RF center of a single mRGC with weights ≥ 0.1 . For the mosaic centered at 10° (Fig. 5C), divergence of cone connections has just begun. The overlap in RF centers due to the divergence of connections increases as we move in eccentricity from 9° on the right side to 11°, on the left side. For the mosaic centered at around 13° (Fig. 5D), cone divergence and RF center overlap is higher and again increases with increasing eccentricity. For the mosaic centered at around 18° (Fig. 5E), divergence of cone connections has assymptoted, and we have a constant RF center overlap.

Finally, Fig. 5F provides a visualization comparable to the visualization commonly reported by *in vitro* RF mapping studies [39]. It depicts the same mosaic as Fig. 5D,



542 **Fig. 5 Mosaics of mRGC RF centers with divergent cone connections (stage 2C).**
543 **A:** Variation with eccentricity of the exponent of the supra-Gaussian distribution of cone pooling
544 weights in mRGC RF centers. The exponent is set to 10 in the central retina, resulting in flat top
545 weight distributions with zero overlap (gray histograms). As eccentricity is increased, the exponent
546 is gradually decreased, achieving a value of 2.0, at around 15° (red histograms). **B:** Transformation
547 of cone pooling weights, from binary, in mutually exclusive connections, (gray histogram) to non-
548 binary in shared cone connections, (blue histogram) due to the supra-Gaussian distribution for an
549 example mRGC. Insets depict the spatial arrangement of cones that are connected with binary and
550 non-binary weights. **C, D & E:** Mosaics at 10°, 13°, and 18°, respectively, along the temporal
551 horizontal meridian with divergent cone connections. The RF center ellipses encompass the ensemble
552 of cones with pooling weights ≥ 0.1 . **F:** Same mosaic as **C**, but with ellipses showing cones with
553 pooling weights $\geq e^{-0.5}$.

but with ellipses encompassing cones that are pooled with weights $\geq e^{-1/2} \approx 0.67$.
This depiction choice makes the overlap less visually salient. 553
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2.3 Connecting cones to mRGC RF surrounds (Stage 3) 556

Overview 557

In the last stage of mRGC mosaic synthesis, we derive the cone pooling weights for the mRGC RF surrounds. Since there are no clear anatomical data on surround sizes, these weights are determined using *in vivo* characterizations of macaque mRGC visual space-referred spatial transfer functions, vSTF(ω), i.e., the variation in response amplitude of mRGC cells as a function of stimulus spatial frequency, ω . We use the measurements of Croner & Kaplan [17], who characterized vSTF(ω) for populations of mRGCs across a wide range of eccentricities. 558
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We incorporate these data into the model using numerical optimization. More specifically, we determine the cone-to-mRGC RF surround connections such that a forward simulation of the *in vivo* physiological experiments of Croner & Kaplan through the model best reproduces the experimental data. This approach allows us to use data collected through physiological optics, which blur the stimulus in an eccentricity and wavelength dependent manner, to determine the wiring of cones to mRGC RF surrounds across eccentricities. 566
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Importantly, the optimization is achieved while adhering to the connectivity between the cone mosaic and mRGC RF centers established in stage 2. Simultaneously, the parametric form of the surrounds is constrained based on Packer & Dacey's characterizations of the spatial RF of macaque H1 horizontal cells [43], which are the main components of the linear spatial mRGC RF surrounds [44]. The use of optimization around forward simulation of an experiment to integrate data from multiple non-commensurate sources is an important innovation of our RGC modeling approach. Stage 3 proceeds in three sub-stages. 573
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- **Stage 3A:** We begin by computing the visual space-referred cone mosaic responses to stimuli used to measure vSTFs in macaque mRGCs. This is done by presenting achromatic gratings of different spatial frequencies which are delivered to the retina through human physiological optics [45]. We use human optics as a proxy of how macaque optics would have blurred the stimuli employed by the *in vivo* characterizations of Croner & Kaplan [17], which were collected with stimuli viewed through the animal's natural optics. 582
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- **Stage 3B:** We derive surround cone pooling functions for a subset of target synthetic mRGCs, which span the extent of the synthesized mRGC mosaic. This optimization is done so that the ensuing target cells (a) have vSTF characteristics that are well approximated by a Difference of Gaussians (DoG) model, (b) the parameters of the DoG model reasonably match the DoG model parameters reported by Croner & Kaplan at corresponding eccentricities, and (c) have surround cone pooling weights that maintain macaque H1-like spatial properties as characterized by Packer & Dacey. 589
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- **Stage 3C:** We compute surround cone pooling weights for all cells in the synthesized mRGC mosaic by evaluating the derived surround cone pooling functions at 597
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599 the vicinity of each mRGC's input cone mosaic and subsequently interpolating the
600 weights computed by the different pooling functions. A small amount of jitter in
601 the ratio of the surround to center weights is added to simulate the variance in
602 integrated surround to center ratios seen in the macaque data.

603

604 **2.3.1 Computation of visual space-referred cone mosaic responses**
605 **to stimuli used to measure vSTFs in macaque mRGCs**
606 **(Stage 3A)**

607

608 We employ the ISETBio machinery to compute the excitation of the input cone mosaic
609 to achromatic gratings of different spatial frequencies delivered to the retina via phys-
610 iological optics. This process captures several crucial spatio-chromatic effects in the
611 transformation of scene radiance into cone responses: spatial and chromatic filtering
612 by physiological optics, spectral filtering by the eye's inert pigments, and sampling by
613 the interdigitated trichromatic cone mosaic. To mimic the phototransduction process,
614 cone excitation responses are converted to cone modulation responses.

615 In these computations, we employ human physiological optics matched to the
616 eccentricity of each synthesized mRGC, but we adjust the defocus term of the modeled
617 optics so as to maximize the Strehl ratio. The Strehl ratio is defined as the ratio of peak
618 sensitivity of the optical point spread function (PSF) at the wavelength of focus, here
619 550 nm, to the peak sensitivity of a diffraction-limited PSF. This is done as a proxy to
620 the experimental paradigm of Croner & Kaplan, in which corrective lenses were used
621 to maximize cell responses at high spatial frequencies (personal communication with
622 the late Ehud Kaplan).

623

624 **2.3.2 Deriving surround cone pooling functions for a subset of**
625 **target synthetic mRGCs (Stage 3B)**

626 Croner & Kaplan reported summaries of the spatial RF characteristics across pop-
627 ulations of mRGCs by measuring their vSTF and then fitting a DoG model to the
628 measured vSTF. The DoG model defined in spatial frequency, ω , domain is given by:

630
$$\text{DoG}(\omega) = K_c \cdot R_c^2 \cdot \exp[-\pi \cdot R_c \cdot \omega]^2 - K_s \cdot R_s^2 \cdot \exp[-\pi \cdot R_s \cdot \omega]^2 \quad (1)$$

631

632 where K_c and K_s are the peak sensitivities of the RF center and RF surround mecha-
633 nisms, and R_c and R_s are the corresponding characteristic radii. The vSTF of a typical
634 macaque mRGC is depicted in Fig. 6A with cyan disks. The solid heavy line depicts
635 the fitted DoG model, with the center and surround components depicted by the thin
636 solid and dashed lines, respectively.

637

638 The shape of the vSTF is determined by two key measures, the ratio of surround
639 to center characteristic radii, R_s/R_c , and the ratio of surround to center integrated
640 sensitivities, $K_s/K_c \times (R_s/R_c)^2$. The distributions of these two ratios as a function of
641 eccentricity in the population of mRGCs recorded by Croner & Kaplan are depicted
642 by the gray squares in Figs 6B1 & 6B2. The mean variation in these two ratios, shown
643 as dashed lines, are the target values used to derive the surround cone pooling weights
644 in the synthetic mRGCs.

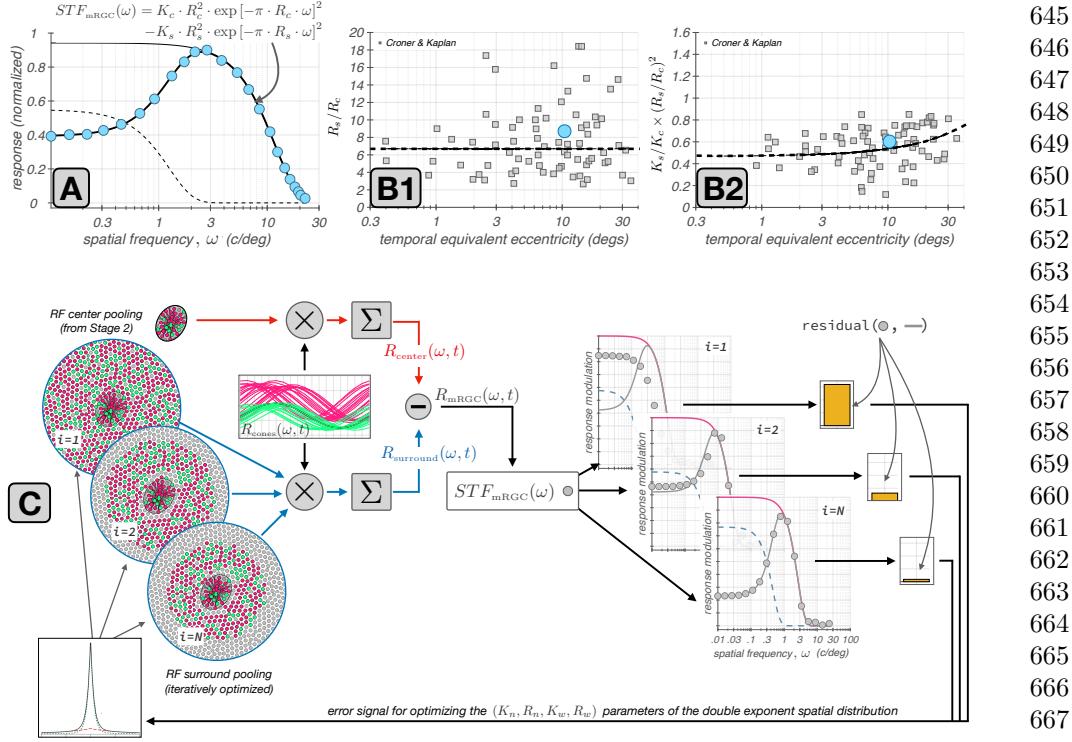


Fig. 6 Derivation of cone weights to mRGC surrounds by forward simulation of the Croner & Kaplan vSTF measurements. **A:** Typical macaque mRGC vSTF (cyan disks) fitted with a Difference of Gaussians model (thick black line). The model's center and surround components are depicted by the thin black and the dashed line, respectively. **B1 & B2:** Ratios of surround to center characteristic radii, R_s/R_c , and ratios of surround to center integrated sensitivities, $K_s/K_c \times R_s^2/R_c^2$ as a function of eccentricity in the population of mRGCs recorded by Croner & Kaplan [17]. The dashed lines represent the trends in these two ratios as a function of eccentricity. The cyan disks depict the ratios for the example vSTF depicted in A. **C:** Depiction of the iterative estimation of surround cone pooling weights in synthetic mRGCs by forward simulation of the Croner & Kaplan vSTF measurements. See description in text for more details.

The optimization process of deriving the mRGC RF surround cone pooling functions is illustrated schematically in Fig. 6C. The vSTF of the target synthetic mRGC is computed by forward simulation of the experiment of Croner & Kaplan. The time course of responses of L- and M-cones in the input cone mosaic to a drifting grating stimulus of spatial frequency ω , $R_{\text{cones}}(\omega, t)$, (computed in Stage 3A) are depicted by the red and green traces in the rectangular panel of Fig. 6C. A spatially weighted sum of these cone responses using the RF center cone pooling weights (computed in Stage 2), is used to compute the response of the RF center, $R_{\text{center}}(\omega, t)$. This operation, which is depicted by the red computation arm in Fig. 6C, is fixed throughout the optimization of the surround.

In the computation of the spatial distribution of surround cone pooling weights, we impose a parametric form that is described by the sum of a narrow and a wide exponential spatial component, based on characterizations of the spatial RF properties

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691 of H1 horizontal cells by Packer & Dacey [43]. Specifically,

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$$693 \quad W_s(r) = K_{\text{wide}} \times \exp[-r/R_{\text{wide}}] + K_{\text{narrow}} \times \exp[-r/R_{\text{narrow}}] \quad (2)$$

694

695 where r is the radial distance from the RF center, K_{wide} and K_{narrow} are the peak sen-
696 sitivities of the wide and the narrow components, respectively, and R_{wide} and R_{narrow}
697 are the corresponding characteristic radii.

698 Beginning with a random initial value for the parameters of the double exponential
699 distribution, we compute an initial estimate of the surround cone weights by eval-
700 uating $W_s(r)$ at the vicinity of the input cone mosaic that surrounds the RF center.
701 These weights are depicted in the top-left circular panel of Fig. 6C (labeled as $i = 1$,
702 with i denoting iteration). Using these initial weights we compute a weighted sum of
703 the surround cone responses to derive the initial estimate of the surround response,
704 $R_{\text{surround}}(\omega, t)$. This operation is depicted by the blue computation arm in Fig. 6C.

705 The composite response of the synthesized mRGC is obtained by instantaneously
706 subtracting the surround response from the center response:

707

$$708 \quad R_{\text{mRGC}}(\omega, t) = R_{\text{center}}(\omega, t) - R_{\text{surround}}(\omega, t) \quad (3)$$

709

710 The amplitude modulation of $R_{\text{mRGC}}(\omega, t)$ is taken as the value of the synthesized
711 cell's visual space-referred STF, $\text{vSTF}_{\text{mRGC}}(\omega)$. Repeating over a range of spatial
712 frequencies, we obtain the initial estimate of the full $\text{vSTF}_{\text{mRGC}}$, which is depicted by
713 the gray disks in the top-right rectangular panel of Fig. 6C, labeled as $i = 1$.

714 Following the experimental procedure of Croner & Kaplan, we fit the computed
715 $\text{vSTF}_{\text{mRGC}}(\omega)$ with a DoG model. The DoG fit is depicted by the solid gray line in
716 the top-right rectangular panel of Fig. 6C. Note that in this procedure we constrain
717 the DoG model fit so that its shape parameters, R_s/R_c , and $K_s/K_c \times R_s^2/R_c^2$, both
718 lie within a narrow range of the mean values of R_s/R_c , and $K_s/K_c \times R_s^2/R_c^2$ ratios
719 reported for macaque mRGCs at corresponding eccentricities [17]. Due to this con-
720 strain, in the first iteration the residual between the computed $\text{vSTF}_{\text{mRGC}}$ and the
721 DoG model fit to it, is large.

722 This residual $\|\text{vSTF}_{\text{mRGC}} - \text{DoG}\|$, depicted by the yellow bar in the right-most
723 panel of Fig. 6C, serves as an error signal. The optimization algorithm minimizes
724 this error signal by adjusting the parameters of $W_s(r)$, which controls the surround
725 weights. This adjustment is also constrained, so that the parameters of $W_s(r)$ remain
726 within a range of the values reported in macaque H1 horizontal cells [43].

727 When the $\|\text{vSTF}_{\text{mRGC}} - \text{DoG}\|$ reaches a minimum value, at iteration $i = N$
728 in Fig. 6C, we obtain the optimized surround cone pooling function for the target
729 synthetic mRGC. Additional details about this surround optimization method are
730 provided in Supplemental Section B.1.

731

732 2.4 Deriving surround cone pooling weights for each cell in 733 the mosaic(stage 3C)

734

735 The optimization of the surround cone pooling functions is a computationally expensive
736 process. It is therefore conducted on a sparse spatial grid (with N_{xy} nodes), which

encompasses the spatial extent of the synthesized mRGC mosaic. At each node of the spatial grid, we determine the range of cone numerosities in the RF centers of nearby synthetic mRGCs, and we derive optimized surround cone pooling functions for each of the encountered RF center cone numerosities (N_c), and we do this twice, once for L-cone dominated RF centers, and once for M-cone dominated RF centers.	737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782
Once these $N_{xy} \times N_c \times 2$ surround cone pooling functions are derived, we compute surround cone pooling weights for all synthetic mRGCs. For each synthetic mRGC we determine the 3 nearest spatial grid nodes, and extract the optimized surround cone pooling functions that were derived at this node for the cone numerosity that matches that of the examined mRGC, for both L- and M-center cone dominance variants. Then we evaluate the six optimized surround pooling functions at the input cone mosaic in the vicinity of the examined mRGC, deriving six sets of surround cone pooling weights. The surround cone pooling weights are determined by interpolating the 6 sets of weights spatially, weighted inversely proportionally by the distance between the location of the examined mRGC and the location of the optimized model, and spectrally, weighted based on the relative L-/M-cone weight ratio in the RF center of the examined mRGC.	742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782
2.4.1 Adjusting the surround pooling variance	755
The final step in the generation of the mRGC RF surrounds is to apply a noisy scalar multiplier to all surround pooling weights of individual mRGCs. The value of this scalar is chosen so that the variance in the ratio of surround to center integrated sensitivities, $K_s/K_c \times (R_s/R_c)^2$, of the synthetic mRGCs matches the variance observed in the population of macaque mRGCs recorded by Croner & Kaplan at the corresponding eccentricity. The manipulation in $K_s/K_c \times (R_s/R_c)^2$ variance does not require re-computing the surround pooling functions. This is unlike manipulating the variance in the R_s/R_c ratio, which requires re-computing the surround pooling functions.	756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782
2.5 Computing mRGC responses from cone mosaic responses	765
A fully synthesized mRGC mosaic consists of two connectivity matrices: $P_{\text{center}}(i, k)$, determined in synthesis stage 2, and $P_{\text{surround}}(i, k)$, determined in synthesis stage 3, which capture the weights by which the RF center and surround mechanisms, respectively, of the k^{th} - cell in the mRGC mosaic pools signals from the i^{th} cone in the input cone mosaic.	767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782
Since the current version of the mRGC model does not contain a temporal component, the response of the k^{th} mRGC to some stimulus at time instant, t , $R_{\text{stim}}(k, t)$, is computed instantaneously by weighting the response of the input cone mosaic to that	772 773 774 775 776 777 778 779 780 781 782

783 stimulus at time t , $C_{\text{stim}}(:, t)$, as follows:

$$784 \\ 785 \quad R_{\text{stim}}(k, t) = \frac{1}{\sum_{i=1}^n P_{\text{center}}(i, k)} \times \dots \\ 786 \\ 787 \\ 788 \\ 789 \quad \left(\sum_{i=1}^n P_{\text{center}}(i, k) \cdot C_{\text{stim}}(i, t) - \sum_{j=1}^m P_{\text{surround}}(j, k) \cdot C_{\text{stim}}(j, t) \right) \quad (4) \\ 790 \\ 791$$

792 To mimic adaptation to the background stimulus, the mRGC mosaic typically oper-
793 ates on cone contrast responses, instead of cone excitation responses, so the $C_{\text{stim}}(i, t)$
794 term in the above equation is computed as follows:

$$795 \\ 796 \quad C_{\text{stim}}(i, t) = \frac{E_{\text{stim}}(i, t) - E_{\text{bkgnd}}(i)}{E_{\text{bkgnd}}(i)} \quad (5) \\ 797 \\ 798$$

799 where $E_{\text{stim}}(i, t)$ is the excitation response of the i^{th} cone to the examined stimulus at
800 time t , and $E_{\text{bkgnd}}(i)$ is that cone's excitation response to a uniform field, zero con-
801 trast stimulus, whose mean chromaticity and luminance match those of the examined
802 stimulus.

803

804 2.6 Equating eccentricity across human and macaque retina

805

806 We have built our model to describe human retina, but some of the fundamental
807 physiological data available to constrain the model [17], and to validate the model
808 [15, 17, 46], exists only for macaque monkey. To integrate and/or contrast data between
809 human and macaque, we need to equate retinal eccentricity across the two species. We
810 compared how measurements of cone density in the two species [36, 47] align when
811 plotted in terms of millimeters of retina versus plotted in terms of visual angle. We
812 observed better although not perfect alignment in the plots in terms of millimeters
813 of retina, and thus chose to align monkey to human data by equating millimeters of
814 retina. More specifically, to determine the equivalent macaque angular eccentricity
815 of a synthetic human RGC we first convert the angular eccentricity of the human
816 RGC into its linear eccentricity (in retinal millimeters) using the formula derived by
817 Watson [34] based on the wide-angle schematic eye model of Drasdo & Fowler [48]. We
818 then assume that macaque and human linear eccentricities are identical, and finally,
819 convert the macaque linear eccentricity into its corresponding angular eccentricity (in
820 degrees of visual angle), assuming a retinal magnification factor of $221 \mu\text{m}/\text{deg}$ for
821 the macaque eye [49].

822

823 3 Results

824

825 A key feature of our model is its dual representation of mRGC receptive field (RF)
826 properties, which separates neural circuitry from optical effects. The first representa-
827 tion, in *retinal space*, models the direct pooling of cone signals by the RF center and
828 surround. This describes the cell's intrinsic spatio-chromatic filtering and is directly

comparable to anatomical data and physiological measurements that bypass the eye's optics (e.g., <i>in vitro</i> or adaptive optics experiments [10, 50]). In contrast, the second representation, in <i>visual space</i> , models the end-to-end processing of a stimulus as it passes through the eye's optics to the mRGC mosaic. This representation is applicable to conventional <i>in vivo</i> physiology and psychophysical assessments of visual function.	829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874
The ability to go back and forth between cone and visual space is critical to understanding how retinal cone pooling interacts with physiological optics to generate the processing characteristics of cells in visual space, which is what ultimately determines natural visual performance. This ability is also critical in interpreting results from <i>in vivo</i> physiology in terms of the underlying retinal wiring [32], as well as to relating results obtained under adaptive optics viewing conditions to results obtained under natural viewing conditions [10].	834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874
In this section we illustrate and contrast spatial RF characteristics of synthetic mRGCs in the two representations and validate the properties of synthetic mRGCs against those of macaque mRGCs as characterized by <i>in vivo</i> and <i>in vitro</i> physiological studies.	841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874
3.1 Spatial characteristics of synthesized mRGC receptive fields	846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874
Spatial characteristics of cells in an mRGC mosaic synthesized at 4.5° along the temporal horizontal meridian are depicted in Fig. 7. The employed mosaic is depicted in Fig. 7A. The numbered positions in Fig. 7A identify the locations of three selected cells whose spatial RF characteristics are explored in detail next.	849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874
The cone pooling maps of these exemplar mRGCs are depicted in Figs 7B1–B3. Here, pink and cyan disks depict L– and M–cones, respectively, that are pooled by the RF center with a weight ≥ 0.1 , or by the RF surround with a pooling weight ≥ 0.005 , and gray disks depict cones that are either not pooled at all or pooled with a weight less than the threshold for labeling. The solid and dashed lines depict the spatial pooling extents of the RF center and surround mechanisms, respectively.	853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874
The cell depicted in Fig. 7B1 is located at an eccentricity of 3° . Its RF center pools from a single L–cone and its RF surround pools from a total of 16 L– and M–cones. The cell depicted in Fig. 7B2, also located at 3° , pools from two L–cones in its RF center, and its RF surround is larger, pooling from 44 L– and M–cones. The cell depicted in Fig. 7B3 is located at 6° . Its RF center, which pools from 2 L–cones and 1 M–cone, and its RF surround are both larger than those of the first 2 cells. The cone pooling maps depicted in Figs 7B1–B3 illustrate the spatial connectivity between the input cone mosaic and the center and surround subregions of mRGC RFs, but do not depict the strength of these connections. In this sense, these maps depict the type of information that is available from detailed anatomical studies.	859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874
Figs 7C1–C3 add to this view by providing information about the strength of the cone inputs for the three exemplar cells. Here, the maroon and slate histograms depict the cells' spatially integrated (along the y-axis) cone pooling weights for the RF center and the RF surround mechanisms, respectively. Note that in the cell depicted in	869 870 871 872 873 874

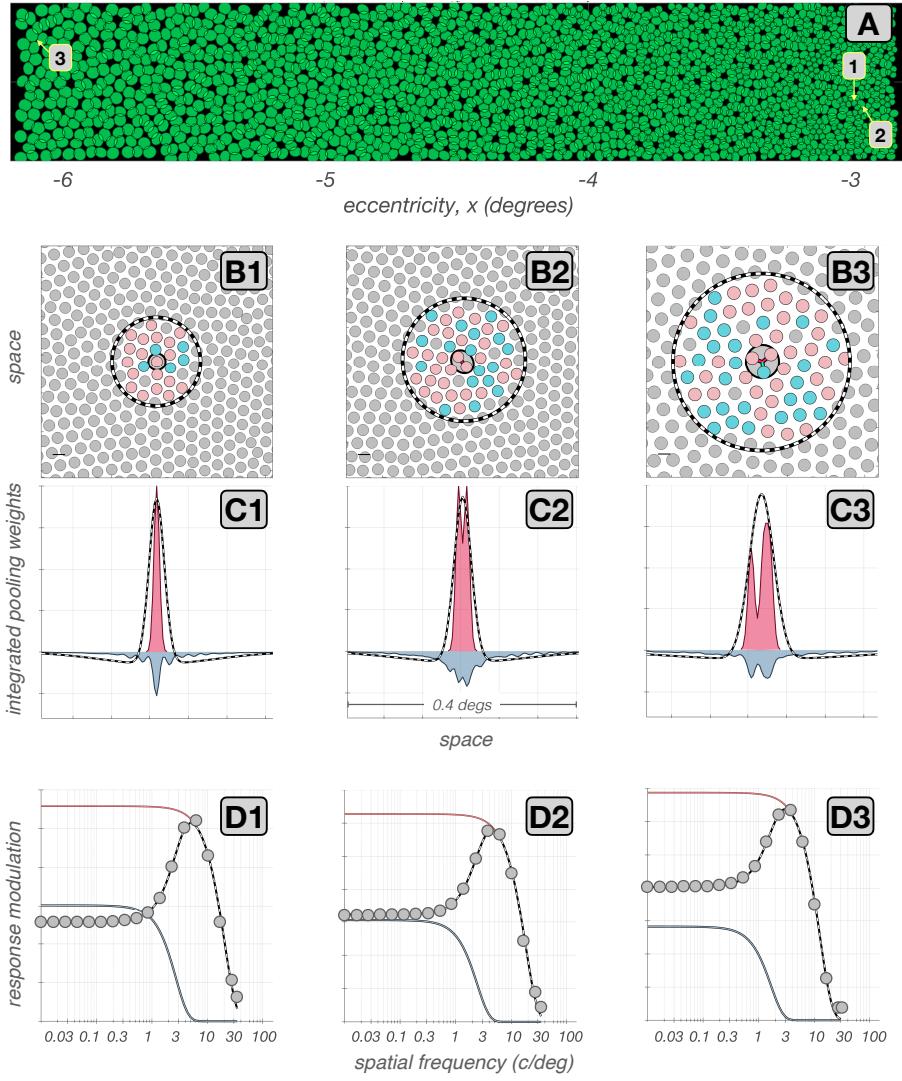


Fig. 7 Spatial RF characteristics of synthetic mRGCs **A:** Mosaic of RF centers of an mRGC mosaic synthesized at 4.5° along the temporal horizontal meridian. **B1–B3:** Cone pooling maps for 3 exemplar cells whose positions within the mRGC mosaic are labeled in A. Pink and cyan disks depict L- and M-cones, respectively, with RF center pooling weights ≥ 0.1 , or with RF surround weights ≥ 0.005 . Gray disks represent either S-cones, which are not pooled in our model, or L-/M-cones with pooling weights lower than the labeling thresholds. The solid and dashed black lines depict the extents of the RF center and surround pooling regions. **C1–C3:** Y-axis integrated cone pooling weight profiles within the RF center (maroon) and RF surround (slate). The dashed lines depict the visual space-referred line weighting functions as derived by fitting Difference of Gaussians (DoG) models to each cell's vSTF. **D1–D3:** The vSTFs of the exemplar mRGCs, computed under physiological optics, are depicted by the gray disks. The gray lines depict the DoG model fits to these vSTFs, and the maroon and slate lines depict the models' center and surround components, respectively.

Fig. 7C1, the double exponential spatial profile of the surround cone pooling mechanism, with a sharp peak around the RF center and more shallow weights in peripheral regions, is prominent. In the two other cells shown, this feature is less prominent.	921 922 923
This observation, where cells with larger RF centers have less peaked surround weights than cells with smaller RF centers is seen commonly in our synthetic mRGCs. The variation in surround pooling characteristics with RF center size results from constraints in the model, which maintain vSTF shape parameters that are consistent <i>in vivo</i> measurements [17] while at the same time remaining consistent with the surround parametric form indicated by measurements of H1 receptive fields [43].	924 925 926 927 928 929
Visual space-referred spatial transfer functions are commonly measured in <i>in vivo</i> physiological assessments to estimate spatial RF properties of mRGCs [17, 18]. The vSTFs of the three examined synthetic mRGCs are depicted by the gray disks in Figs 7D1–7D3. The corresponding DoG model fits are depicted by the solid gray lines, and the spatial RF profiles corresponding to these DoG model fits are depicted by the dashed lines in Figs 7C1–C3. Contrasting these inferred spatial RF profiles with the actual cone pooling profiles, it becomes evident that one cannot use characterizations obtained under physiological optics viewing conditions to directly infer the characteristics of spatial pooling of cone signals in the retina. We discuss this issue further in later sections.	930 931 932 933 934 935 936 937 938 939 940
3.2 Validation against <i>in vivo</i> physiology across the visual field	941 942
To validate our model, we synthesized mRGC mosaics across a wide region of the retina, and computed vSTFs of individual mRGCs by probing them with drifting achromatic gratings of different spatial frequencies delivered to the retina under physiological optics appropriate for the eccentricity of the examined cells, simulating the experimental paradigm of Croner & Kaplan [17].	943 944 945 946 947 948 949 950 951
To compare synthetic and macaque mRGCs we fitted the synthetic cell vSTFs with the DoG model employed by Croner & Kaplan and compared the ratios of surround to center characteristic radii, R_s/R_c , and ratios of surround to center integrated sensitivities, $K_s/K_c \times R_s^2/R_c^2$, to those reported by Croner & Kaplan.	952 953 954 955 956 957 958 959 960 961 962 963 964 965 966
The results of this analysis are depicted in Fig. 8, in which the left and right panels depict data from mRGC mosaics synthesized under the physiological optics of two different human observers. Figs. 8A1 and 8A2 compare macaque vs. synthetic mRGCs in terms of the distribution of their R_s/R_c ratios. Gray squares depict the macaque mRGC data and the blue density plots depict the 5%–95% percentile range of the R_s/R_c ratios in a population of 66,128 synthetic mRGCs. The three yellow disks in Fig. 8A1 correspond to the three exemplar cells illustrated in Fig. 7. Note that the R_s/R_c ratios in synthetic mRGCs follow the macaque data across eccentricity for both human subjects. The synthetic data do not, however, capture the full variance seen in the macaque data, as is evident by the marginal histograms (Fig. 8A3). To capture the full variance seen in the macaque data, we could consider synthesizing multiple surround pooling functions, each with different target values of R_s/R_c , and then randomly selecting for each synthesized mRGC from the multiple sets.	952 953 954 955 956 957 958 959 960 961 962 963 964 965 966
On the other hand, the integrated sensitivity ratios, $K_s/K_c \times R_s^2/R_c^2$, of the synthetic mRGC population, depicted in Figs 8B1–B3, capture both the trend with	952 953 954 955 956 957 958 959 960 961 962 963 964 965 966

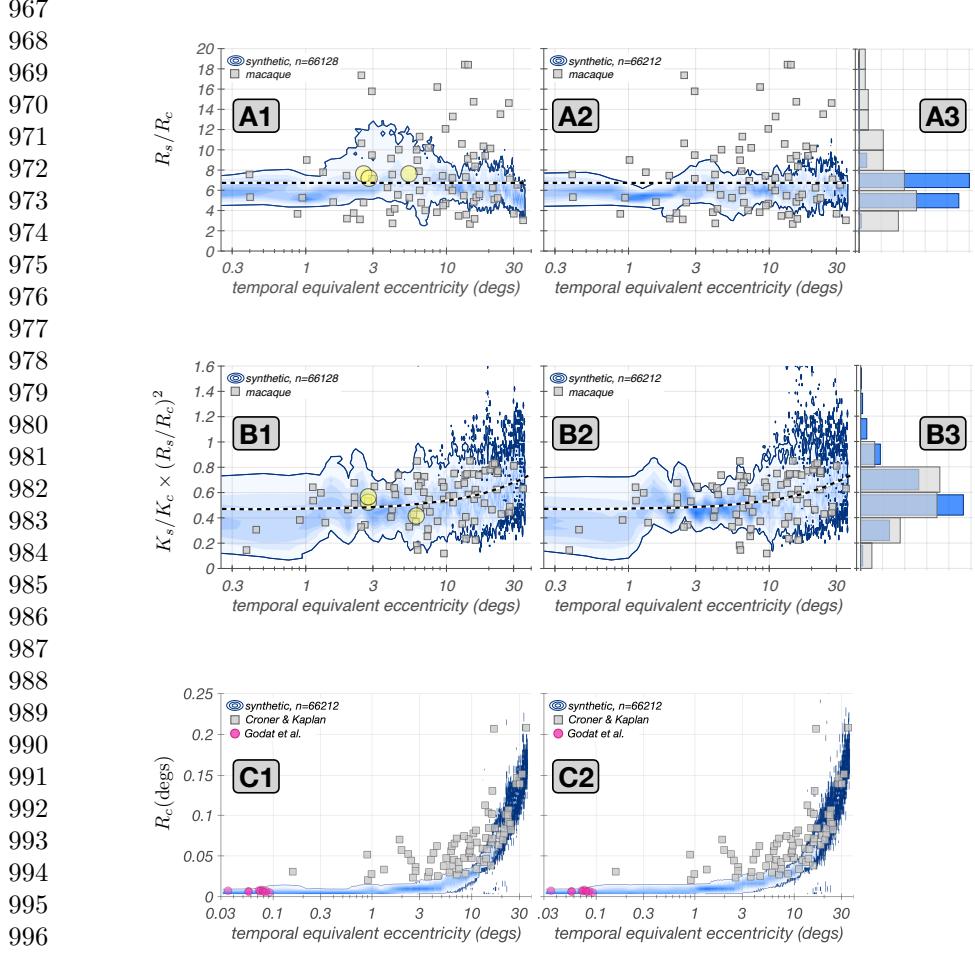


Fig. 8 Validation against *in vivo* measurements. In all panels, gray squares depict data from the population of macaque mRGCs recorded by Croner & Kaplan [17]. Blue contours depict the probability density function of the examined parameter in a population of 66,128 synthetic mRGCs with color saturation encoding probability level. Solid blue lines represent the 5% – 95% percentile range of examined parameter. Left and right panels are for mosaics synthesized under physiological optics of two different human subjects. **A1–A2:** Correspondence in ratio of surround-to-center characteristic radii, R_s/R_c , across eccentricity. The dashed line represents the target value that is in effect during the optimization of the synthetic mRGC surrounds, which is the mean value of R_s/R_c across the population of all mRGCs recorded by Croner & Kaplan. **A3:** Marginal histograms of R_s/R_c for macaque (gray) and synthetic mRGCs (blue). **B1–B2:** Correspondence in ratio of surround-to-center integrated sensitivities, $K_s/K_c \times (R_s/R_c)^2$, across eccentricity. The dashed line represents the target values in effect during the optimization of the synthetic mRGC surrounds, which is the trend observed with eccentricity in the population of the macaque mRGCs recorded by Croner & Kaplan. **B3:** Marginal histograms of $K_s/K_c \times (R_s/R_c)^2$ for macaque (gray) and synthetic mRGCs (blue). **C1–C2:** Correspondence in RF center characteristic radius, R_c , across eccentricity. The fuschia disks represent the R_c of foveolar mRGCs recorded by Godat *et al.* [10], back-projected in visual space using the monkey's own physiological optics.

eccentricity and the variance of the macaque data. The variance match was achieved by enforcing a target variance in the $K_s/K_c \times R_s^2/R_c^2$ ratio of the synthetic cells as described earlier.

Note that, although we did use the mean variation with eccentricity of macaque R_s/R_c and $K_s/K_c \times R_s^2/R_c^2$ ratios during construction of the model, the model was derived using additional constraints: those imposed by the densities of cones and mRGC RFs, by the spatial characteristics of H1 horizontal cells, and by the influence of human optics. These validations, therefore, check both that we have not over constrained our model in a manner that makes it inconsistent with the macaque data, and that our method of interpolating surround pooling weights from models derived at a set of discrete retinal locations works well.

We next examined the correspondence between synthetic and macaque mRGCs in terms of their visual space-referred RF center sizes, R_c . Recall that in synthesizing mRGC mosaics, the RF centers are constructed independently of the Croner & Kaplan physiological data, using only anatomical data and estimates of RF center overlap obtained from *in vitro* physiology in the periphery [39]. Figs. 8C1–C2 compare the distributions of R_c between the macaque and synthetic mRGCs. Note that R_c in the synthetic mRGCs follows the trend seen in macaque mRGCs with eccentricity, with good agreement at eccentricities above 10° for both subjects. In more central locations, however, the synthetic mRGC RF center sizes are 2–3 times smaller than those in the macaque. We believe that the discrepancy at central locations is not a deficiency of our model, but rather results from several factors.

First, the cone mosaic in our model has a peak density of 288,000 cones/mm² which is near the high end of densities reported in humans [36], whereas the average macaque peak cone density is around 200,000 cones/mm² [47, 51]. The higher cone density in humans implies smaller cone apertures, which in turn would bias our synthetic mRGCs towards somewhat smaller RF centers.

Second, in acute macaque experiments, the achieved optical refraction is not necessarily perfect, so there could be residual blur due to errors in refraction, as well as due to corneal edema from the contact lens used in typical multi-day acute experiments. This would increase the size of the RF centers in the physiological data relative to those in our model in central retina. Moreover, residual eye movements can occur in acute experiments, despite the ocular muscle paralysis (personal observations by N.P. Cottaris). Such residual movements would artificially enlarge estimates of RF center size for central retina mRGCs. Finally, in the macaque mRGC vSTF characterizations of Croner & Kaplan, stimulus orientation was not optimized to match any orientation bias in the RF of macaque mRGCs (Lisa Croner, personal communication), whereas in the simulated experiments, stimulus orientation was matched to the cell's visual-space referred orientation bias, which results in the smallest possible estimate of RF center size.

In additional analyses (not shown), we computed vSTFs using random grating orientations as well as a fixed orientation (as was done by Croner & Kaplan) for eccentricities between 1° and 8° along the temporal meridian. We found small effects of grating orientation on the estimates of R_c in the direction of bringing the estimated

1059 R_c into closer agreement with the values reported by Croner & Kaplan. None-the-
1060 less, the enlarged estimates still fall short of the reported values, so we think the first
1061 two factors mentioned above are likely to be important.

1062 Further support for our assertion that the discrepancy in R_c between synthetic
1063 and macaque mRGCs at central locations is not a deficiency of our model, is provided
1064 by *in vivo* data from foveal macaque mRGC vSTFs obtained under adaptive optics
1065 viewing conditions [10]. The center sizes of these cells, blurred by the optics measured
1066 for the monkey subjects studied, are depicted by the purple disks in Figs. 8C1 & 8C2.
1067 Note that these align well with the R_c values of our synthetic mRGCs.

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1069 3.3 Validation against *in vitro* physiology in the periphery

1070

1071 We also compared spatial RF properties of synthetic mRGCs against macaque data
1072 from *in vitro* mRGC recordings. Since the *in vitro* data are not subject to optical blur,
1073 they may be compared directly to the retinal-space characteristics of our model. Data
1074 of this sort are currently only available in the peripheral retina.

1075 The first study considered is that of Gogliettino *et al.* [46], in which the spa-
1076 tial RFs of mosaics of macaque mRGCs were mapped using white noise stimulation.
1077 To simulate their experiments, we probed synthetic mRGCs with white noise modu-
1078 lated achromatic checkerboard stimuli delivered to the retina under diffraction limited
1079 optics. To compute the spatial RFs of synthetic mRGCs, we cross-correlated the syn-
1080 thetic mRGC responses with the white noise stimulus sequence. Results of this analysis
1081 are depicted in Fig. 9.

1082 The spatial RFs of cells in synthetic mRGC mosaics at three eccentricities, 3.5
1083 mm, 6.75 mm and 8.5 mm, are illustrated by the black ellipses in the three top panels
1084 of Fig. 9A. The superimposed green filled ellipses depict spatial RFs from macaque
1085 mRGC mosaics located at 3.5 mm and 8.5 mm. Note that at both eccentricities, there
1086 is good correspondence in RF center size and coverage between the synthetic and the
1087 macaque mRGC mosaics.

1088 To quantify the retinal space-referred RF center sizes in synthetic mRGCs, we
1089 computed the diameter of their RF centers as $2 \times \sqrt{\sigma_{\text{minor}} \times \sigma_{\text{major}}}$, where σ_{minor} and
1090 σ_{major} are the standard deviations of the fitted Gaussian ellipsoid along its minor and
1091 major axes. The results of this analysis across eccentricity are depicted by the black
1092 dots in Fig. 9C, along with the RF center diameters of mosaics of macaque mRGCs
1093 located at 3.5 mm and 8.5 mm, which are depicted by the green squares.

1094 Note that the correspondence between synthetic and macaque data is excellent
1095 at 3.5 mm, whereas at 8.5mm, the RF diameters of the synthetic mRGCs are, on
1096 average, 30–40% larger than the RF diameters of macaque mRGCs. The deviation in
1097 RF center size at the far periphery may occur because human and macaque retinas
1098 differ somewhat in the periphery. For example, in the human retina, cone density does
1099 not change much for eccentricities $> 5\text{mm}$, whereas in the macaque retina it continues
1100 to drop as eccentricity increases [52]. The RF size deviation we observe could be the
1101 result of a higher mRGC density in the peripheral macaque retina, relative to the
1102 human retina.

1103 The second *in vitro* study we validated our synthetic mRGCs against, is that of
1104 Field *et al.* [15], which examined the spatial layout of single cone inputs to the RF

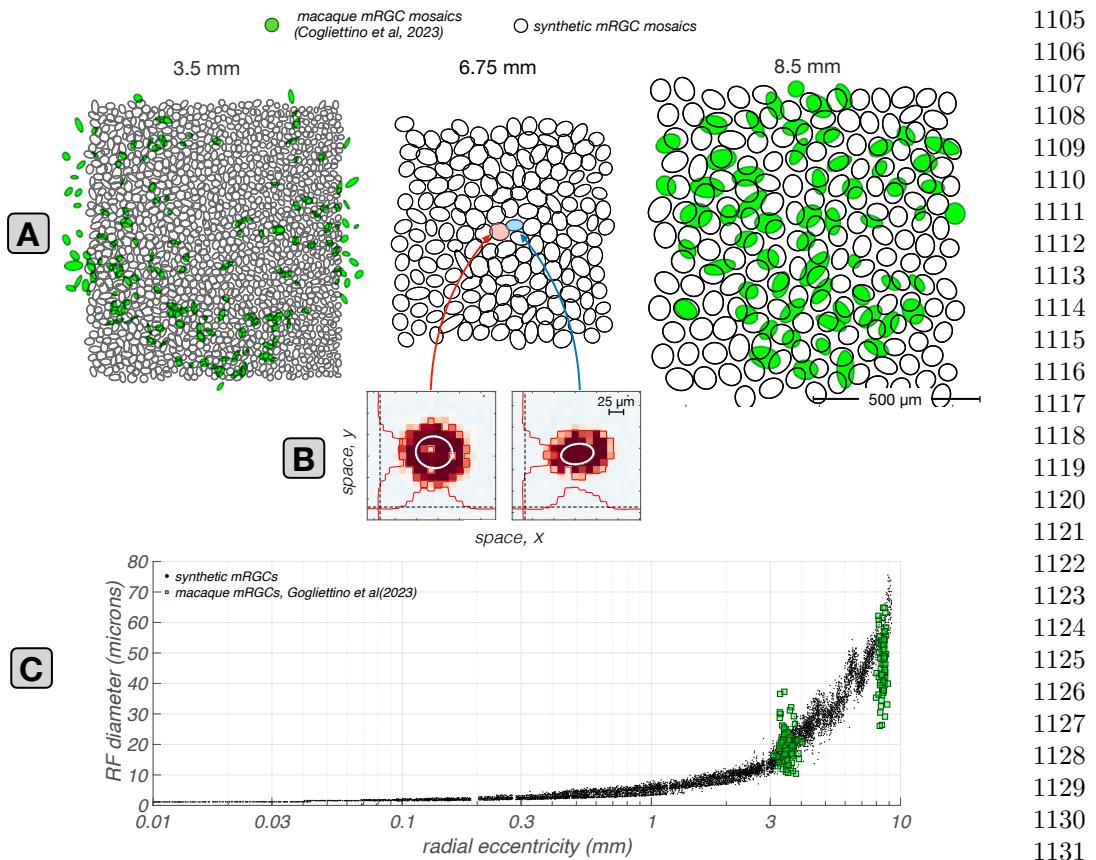
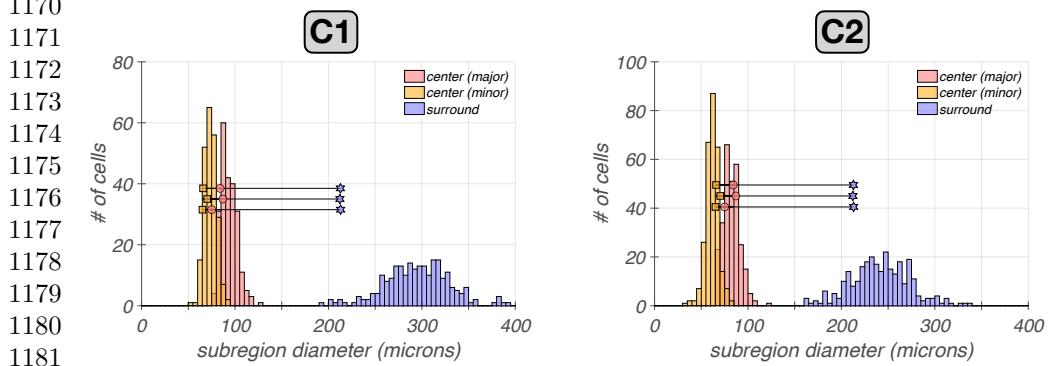
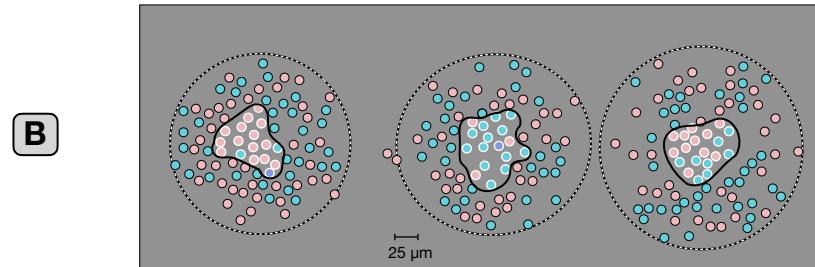
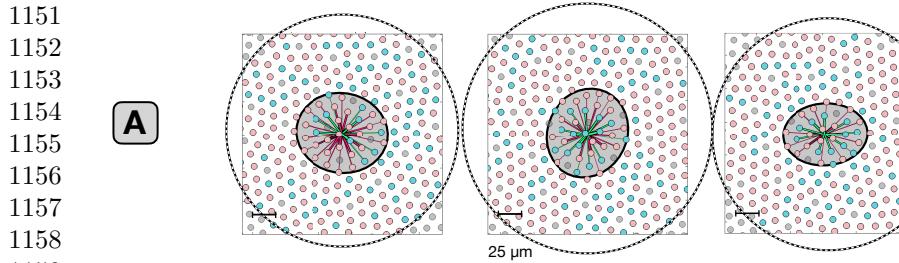


Fig. 9 Retinal space-referred RF center sizes: synthetic vs. macaque mRGCs recorded *in vitro*. **A:** Mosaics of synthetic mRGCs synthesized at three eccentricities, 3.5, 6.75, and 8.5 mm along the temporal meridian. The black contours depict Gaussian ellipsoid fits to the increment-excitatory regions of the computed RF maps, drawn at the e^{-1} normalized sensitivity level. Only the increment-excitatory region of the RF map is fitted. Green contours depict RF maps from two macaque mRGCs mosaics from the *in vitro* recordings of Gogliettino *et al.* [46]. **B:** Example spatial RF maps of two synthetic mRGCs located at 6.75 mm, computed via white noise stimulation delivered to the retina under diffraction limited optics. Regions excitatory to light increments, i.e. the RF centers, and to light decrements, i.e. the RF surrounds, are indicated by red and blue colors, respectively. The scattered zero excitation spots within the light-increment RF centers correspond to the location of S-cones. White lines depict iso-contour plots of Gaussian ellipsoids fitted to the light increment-excitatory RF center region, drawn at the e^{-1} normalized sensitivity level. **C:** Comparison of synthetic against macaque mRGC RF center sizes across eccentricity. Black dots depict the RF diameters of synthetic mRGCs, computed from the Gaussian ellipsoid fits as $2 \times \sqrt{\sigma_{\text{minor}} \times \sigma_{\text{major}}}$, and green squares depict the RF diameters of macaque mRGCs at the two eccentricities where the *in vitro* measurements are available.

centers and surrounds in peripheral macaque mRGCs. Results of this comparison are depicted in Fig. 10. The cone pooling maps of three synthetic mRGCs at a temporal eccentricity of 6.75 mm are depicted in Fig. 10A. The spatial distribution of cone pooling weights in three macaque mRGCs at the same eccentricity from the study of



1183 **Fig. 10 Cone pooling maps in RF centers and surrounds: synthetic vs. macaque mRGCs**
1184 **recorded *in vitro*.** **A:** Center and surround cone pooling weight maps for three synthetic mRGCs
1185 at an eccentricity of 6.75 mm along the temporal raphe. Solid and dashed contours include cones
1186 pooled by the RF center and the RF surround, respectively, with pooling weights $> 0.005 \times$ the peak
1187 center weight. **B:** Center and surround cone pooling weights for three macaque mRGCs recorded in
1188 *vitro* at an eccentricity of 6.75 mm along the temporal raphe. White and black disks indicate cones
1189 pooled by the RF center and the RF surround respectively, with same threshold pooling weights as
1190 in A. The macaque mRGCs are from the *in vitro* recordings of Field *et al.* [15]. **C1 &C2:** Compari-
1191 son of minor and major diameters of the center pooling mechanism (yellow squares and pink circles)
1192 and of the surround pooling mechanism (purple stars) in the 3 macaque mRGC cells against corre-
1193 sponding distributions (yellow, pink and magenta histograms) in populations of synthetic mRGCs at
1194 eccentricities of 6.75 mm (C1) and 6.0 mm (C2).

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1194 Field *et al.* [15], adapted from their Fig. 4, are shown in Fig. 10B. For both synthetic
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and macaque mRGCs, the visualized surround cones have pooling weights $> 0.005 \times$ the peak center cone weight (Greg Field, personal communication). 1197
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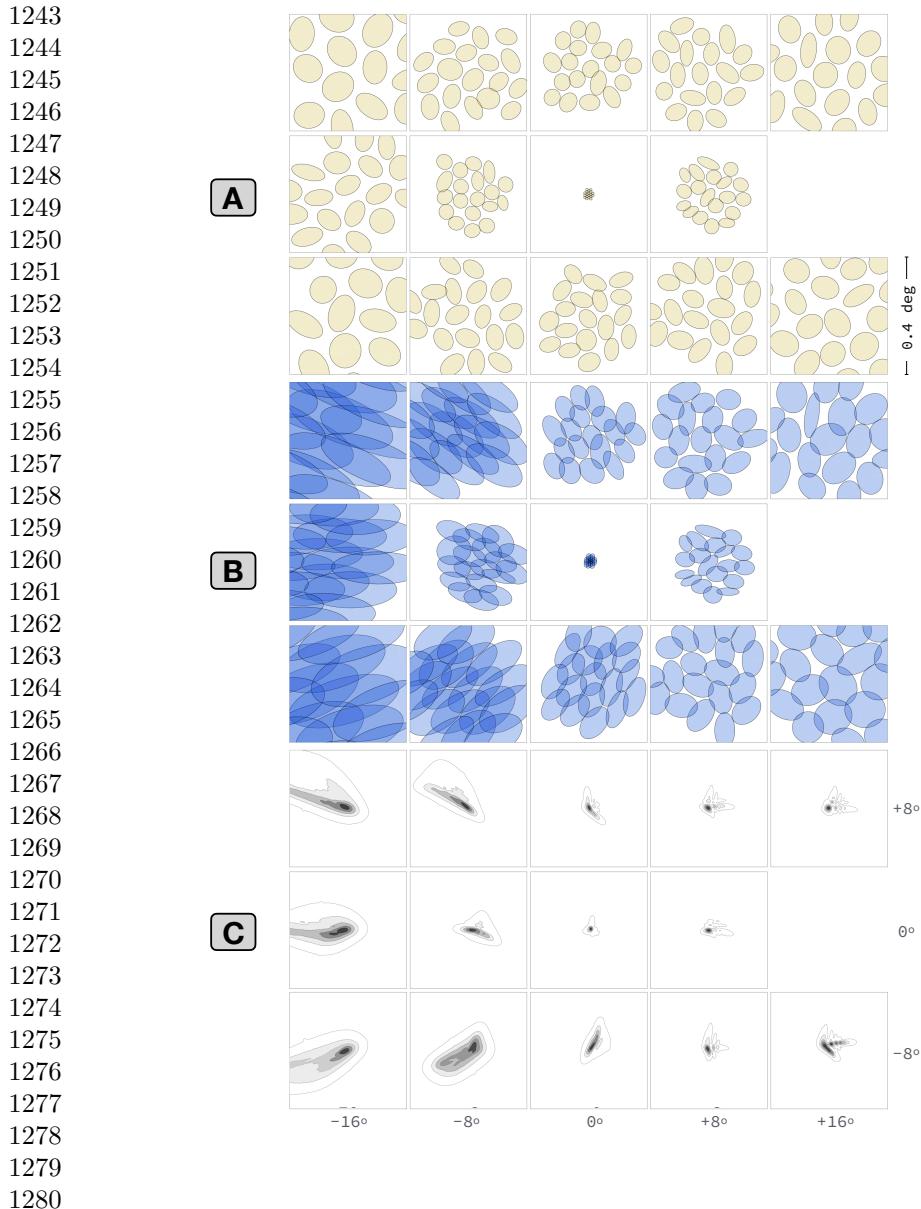
Note the general agreement between synthetic and macaque mRGCs in the extent of both their RF centers and surrounds, although again, synthetic mRGCs appear to have slightly larger RFs than their macaque counterparts. Also notable is that the density of cones in the synthetic mRGC cone pooling maps is higher than that seen in the macaque mRGCs. This occurs because our model is based on human cone mosaics, and human cone density is higher than macaque cone density at temporal eccentricities above 5 mm [52], which is where these comparisons are made. 1199
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To contrast the relationship in center and surround cone pooling regions between synthetic and macaque mRGCs more quantitatively, we compared the diameters of cone pooling regions of the three depicted macaque mRGCs against those of populations of synthetic mRGCs at two eccentricities: the 6.75 mm location at which the *in vitro* measurements were made, and a slightly less eccentric value of 6.0 mm. Results of this analysis are depicted in Fig. 10C1 and 10C2. The minor and major diameters of the center pooling mechanism and the diameter of the surround pooling mechanism for the 3 macaque mRGCs are depicted by the yellow squares, pink circles and magenta stars, respectively. The corresponding distributions in populations of synthetic mRGCs are depicted by the yellow, pink and magenta histograms, respectively. Note that at the 6.75 mm synthetic cell location (Fig. 10C1), the cone pooling regions of the synthetic mRGCs are larger than those of the measured macaque mRGCs. There is some uncertainty about how to best relate macaque and human retinal locations (see Methods), however, and at the slightly less peripheral eccentricity of 6.0 mm (Fig. 10C2) better agreement exists between model and macaque mRGCs. Measurements of human cone density at 6.0 mm of retina [36] are also better matched to measurements of monkey cone density at 6.75 mm [47] than are human measurements at 6.75 mm. 1206
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These observations highlight an inherent issue in building our mRGC model, namely that we had to employ a mixture of human and macaque data sources: human data regarding the density of cones and the density of mRGC RFs across visual space, human data regarding the characteristics of physiological optics across the retina, and macaque data regarding the spatial characteristics of mRGC RFs and of H1 horizontal cells, with our validations done against macaque data. This is not ideal, as there are some differences between human and macaque retinas [52]. But, it is unavoidable given the lack of complete data in either species. The modeling framework that we devised however, which incorporates data from different sources, can be easily modified as new data become available. 1224
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3.4 Visual *vs.* retinal space– referred RFs: the impact of physiological optics 1235 1236 1237

In this section we characterize how physiological optics interacts with the retinal cone pooling within the RFs of mRGCs to shape their visual space–referred RF properties. Fig. 11 illustrates examples of this interaction at five horizontal eccentricities, $x = [-16^\circ, -8^\circ, 0^\circ, +8^\circ, +16^\circ]$, and 3 vertical eccentricities, $y = [-8^\circ, 0^\circ, +8^\circ]$. The yellow ellipses in each panel of the 3×5 grid of Fig. 11A depict Gaussian ellipsoids fitted to 1238
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1281 **Fig. 11 Retinal vs. visual space-referred mRGC RF maps across the retina.** Illustration
 1282 of the effect of physiological optics on visual space-referred spatial RF maps of synthetic mRGCs
 1283 across eccentricity. **A:** Retinal space-referred spatial RF maps at different (x,y) eccentricities. Within
 1284 each panel, yellow contours depict Gaussian ellipsoid fits to RF maps of up to 19 cells from a single
 1285 **B:** Visual space-referred spatial RF maps of the same cells, computed under physiological optics
 1286 of one human subject at corresponding eccentricities. **C:** Point spread functions of the employed
 1287 physiological optics at corresponding eccentricities.
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the retinal space-referred RF maps of synthetic mRGCs at the examined eccentricities. 1289
The small and non-systematic orientation biases in the retinal space-referred RF maps 1290
emerge due to the pooling of multiple cones by the RF center mechanism and are 1291
reminiscent of RGC mosaics mapped *in vitro* [39]. 1292

The blue ellipses in Fig. 11B depict Gaussian ellipses fitted to the visual space-referred 1293
RF maps of the same cells. Note that there are striking and systematic 1294
orientation biases in these visual space-referred RF maps, which emerge due to the 1295
characteristics of physiological optics, whose PSFs are depicted in Fig. 11C. Clearly, 1296
the shape of the PSFs, especially at peripheral locations is a major determinant of the 1297
visual space-referred RFs in mRGCs. 1298

Overall, this analysis demonstrates that there can be substantial differences 1299
between *in vivo* and *in vitro* estimates of the spatial RFs of mRGCs, and, once again 1300
highlights the notion that inferences regarding retinal wiring from *in vivo* measurements 1301
must be evaluated in the context of the effect of the physiological optics. Indeed, 1302
in recent on-going work, [32], we have shown the importance of such analyses in assessing 1303
inferences regarding cone wiring to the surround subregions of mRGCs based on 1304
in vivo measurements of their spatio-chromatic RFs. 1305

3.5 Validity of the Difference of Gaussians model applied to *in vitro* responses of mRGCs in retrieving their spatial pooling characteristics 1306

In our synthetic mRGCs, the spatial characteristics of cone pooling within the RF 1311
center and the RF surround *component* mechanisms are known by design. This allows 1312
us to test how well one can predict these characteristics from DoG model fits to *in* 1313
vitro measurements of mRGC STFs, where the RF center and surround mechanisms 1314
are driven simultaneously in the absence of optics [16]. 1315

Results of this analysis are illustrated in Fig. 12. The cone pooling maps of four 1316
exemplar mRGCs are depicted in the left column. The cells in the top two rows both 1317
have RF centers with a single cone input, whereas the cell in the third row has a 1318
2-cone RF center, and the cell in the fourth row has a 3-cone RF center. 1319

The pink and maroon histograms depicted in the middle column of Fig. 12, are the 1320
y-axis integrated cone pooling weights within these cells' RF center and surround 1321
subregions, respectively. The superimposed dashed lines depict the center and surround 1322
line weighting profiles, as estimated by the DoG model fit to the cells' retinal space- 1323
referred STFs, which are depicted by the gray disks in the right column of Fig. 12. Note 1324
that although the DoG model fits to the computed retinal space-referred STFs (solid 1325
lines in right column) are good for all cells, the inferred spatial RF profiles, (dashed 1326
lines in the middle column), do not capture accurately the cone pooling regions of 1327
the RF surrounds (slate histograms in the middle column). The discrepancy between 1328
actual and inferred surround pooling is most obvious in the two top cells which have 1329
single-cone RF centers, and becomes less pronounced as RF center size increases. The 1330
discrepancy involves both the spatial extent and the peak sensitivity of the inferred 1331
surround pooling, which is estimated by the DoG model to be more diffuse with a 1332
weaker peak sensitivity than the cell's actual surround cone pooling. 1333

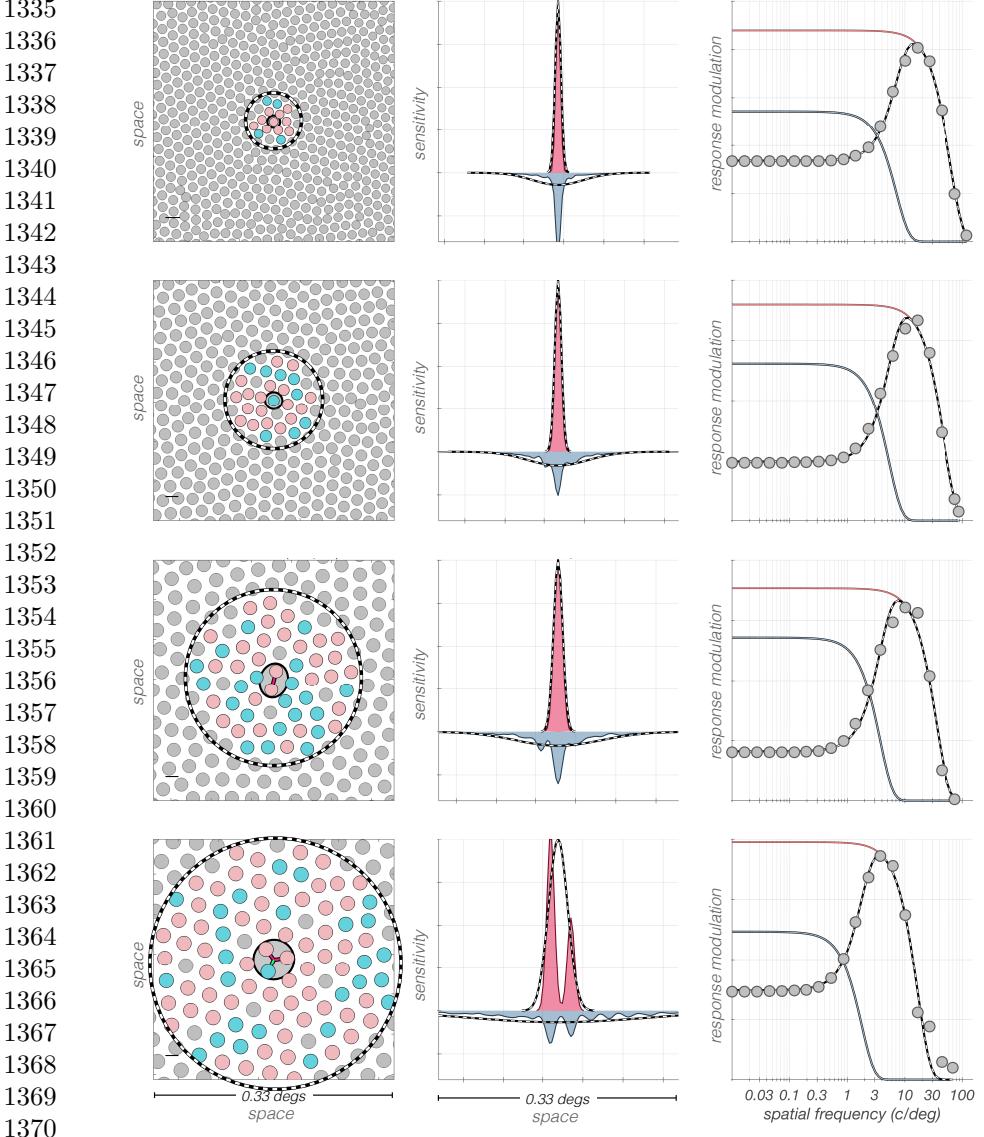


Fig. 12 Spatial characteristics of mRGC surround cone pooling inferred from DoG model fits to retinal space-referred vSTFs are not accurate. The correspondence between actual and inferred surround cone pooling is examined for exemplar synthetic mRGCs at four eccentricities. **Left panels:** Cone input maps of exemplar synthetic mRGCs. **Middle panels:** Line weighting functions of cone inputs pooled by the RF center and the RF surround mechanisms are depicted by pink and slate histograms, respectively. Dashed lines represent the line weighting functions inferred from DoG model fits to the model cells' retinal space-referred STFs. **Right panels:** Retinal space-referred STFs, depicted by gray disks, are computed for stimuli delivered to the retina via diffraction limited optics. The DoG model fits to the computed STFs are depicted by the dashed black lines, with the corresponding center and surround components depicted by the pink and slate lines, respectively.

It is perhaps not surprising that the DoG model does not do a good job of fitting the model cell surrounds, given that they were constructed as double exponentials to match the spatial properties of H1 horizontal cells. The key point, however, is that the DoG model fits to the observable composite STFs are quite good. These observations suggest that caution should be exercised when inferring mRGC RF surround properties from DoG model fits to *in vitro* STF measurements.

3.6 Applications

We [2, 3, 6], and others [53–55] have reported on how the representation of visual information at the level of the cone mosaic shapes visual performance, in our case by exploiting the ISETBio image computable model of cone excitations. The transformation from cone excitations to RGC responses further shapes the information available for perceptual decisions, and we can interrogate our linear spatio-chromatic RF model of the ON-center mRGC mosaic to understand how the information available from this neuron class differs from that at the cone mosaic.

In this section, we present two example computations of this nature. Our goal is to illustrate how our model may be exploited in this way, and not to present a full analysis in either case. Even these initial calculations, however, provide interesting insight.

3.6.1 Achromatic and chromatic spatial contrast sensitivity

We used a computational observer approach to compute spatial contrast sensitivity functions (CSFs) for achromatic and L – M cone opponent stimuli, based both on the representation at the cone mosaic and on the representation at the mRGC mosaic. To do so, we computed responses to drifting gratings of varying spatial frequency, ω .

For the achromatic gratings, the L-, M- and S-cone contrast component gratings were in phase, $C_L(\omega, x, y) = C_M(\omega, x, y) = C_S(\omega, x, y)$. For the L – M gratings, the L- and M-cone contrast components were in antiphase, $C_L(\omega, x, y) = -C_M(\omega, x, y)$, and $C_S(\omega, x, y) = 0$. For all stimuli, the mean (x, y) chromaticity was $(0.30, 0.32)$ and the mean luminance was 100 cd/m^2 . Stimuli were simulated as presented on a typical CRT monitor, but with 20-bit channel DACs, to avoid intrusion of quantization effects.

For each eccentricity we studied, we oriented the gratings so that they were aligned with the axis of elongation of the optical point spread function at that eccentricity. Stimulus size was specified so that it extended over the area spanned by the input cone mosaic of the employed mRGC mosaic. The size of the mRGC mosaics was varied between eccentricities so as to achieve nearly equal numbers of mRGCs for mosaics between which we wished to compare performance.

Cone fundamentals vary with eccentricity because of variation in macular pigment density and photopigment axial density, and this variation is captured by ISET-Bio. Therefore, in these computations, stimuli were designed using cone fundamentals specific to the eccentricity of the employed mRGC mosaic.

At present, our mRGC model does not include spike generation or response noise. Therefore, in the computations described here we modeled response variability by adding zero mean Gaussian noise to the noise-free responses of the synthetic mRGCs.

1427 This approximation allows us to examine relative sensitivity across stimuli and eccentricity, but the overall level of predicted sensitivity is arbitrary. Given the choice of Gaussian noise, we used a template matching computational observer decision rule, 1429 with templates provided by the noise-free mRGC responses to the stimuli being discriminated. For comparing computational observer performance at the mRGCs with 1430 that at the cones, we also adopted the Gaussian noise approximation for the cone 1431 excitations, and used the template matching decision rule.

1432 To estimate contrast sensitivity, we varied, for each tested spatial frequency, ω , 1433 the contrast of the test stimulus and identified threshold contrast, $C_{\text{threshold}}(\omega)$, as 1434 that for which the probability of correctly identifying the test versus a zero contrast 1435 stimulus was 80.6%. Contrast sensitivity was defined as $\text{CSF}(\omega) = 1/C_{\text{threshold}}(\omega)$.

1436 Estimates of so computed contrast sensitivities at three eccentricities are depicted 1437 in Fig. 13. The contrast sensitivities for stimuli viewed through typical human optics 1438 are shown in the left panels of Fig. 13, with disks and triangles depicting sensitivity 1439 at the mRGC mosaic and at its input cone mosaic, respectively. For comparison, the 1440 right panels of Fig. 13 depict corresponding calculations for stimulus viewed under 1441 diffraction-limited optics with no chromatic aberration, as might be measured using 1442 adaptive optics. The comparison between left and right panels helps understand which 1443 effects in the computed CSFs have their origin in the optics or sampling by the cone 1444 mosaic, and which should be attributed to retinal processing through to the mRGCs.

1445 At the fovea, the CSFs at the cone excitation level (triangles in Fig. 13A1), are 1446 low pass for both achromatic and L – M stimuli. This is expected because there is no 1447 spatial antagonism at the level of the photopigment excitations, and because we do 1448 not incorporate spatio-temporal coupling that arises because of interactions between 1449 fixational eye movements and post-receptor temporal filtering [56, 57].

1450 On the other hand, the achromatic CSF at the mRGC mosaic exhibits a mild low- 1451 spatial frequency attenuation, which is due to the spatial antagonism between the RF 1452 centers and surrounds. Note that the low frequency attenuation appears weaker than 1453 what is observed under diffraction limited optics (Fig. 13A2). This occurs because 1454 physiological optical blur carves sensitivity at the high frequency regime, thereby 1455 reducing the apparent effect of the mRGC surrounds on the CSF. We observed a sim- 1456 ilar effect in foveal macaque mRGCs whose responses were measured under adaptive 1457 optics conditions [10].

1458 The L – M opponent CSF of the mRGC mosaic lacks the low-frequency attenuation 1459 seen for achromatic modulations because in foveal mRGCs, L – M cone opponent 1460 stimuli do not induce substantial spatial antagonism between their single cone RF 1461 centers and their surrounds. These observations, which are consistent with what is 1462 known regarding the L – M chromatic contrast sensitivity of the mRGC pathway 1463 [16, 58], demonstrate that L – M sensitivity exceeds achromatic sensitivity at low 1464 spatial frequencies, consistent with the literature [59].

1465 At high spatial frequencies there is little difference between computational observer 1466 sensitivity to achromatic and L – M modulations. This is not true of human observers, 1467 where sensitivity drops more rapidly as a function of spatial frequency for red-green 1468 isoluminant gratings than for achromatic gratings either with [58] or without typical 1469 optical blur [60]. Although our L – M opponent CSFs are not precisely equivalent to 1470

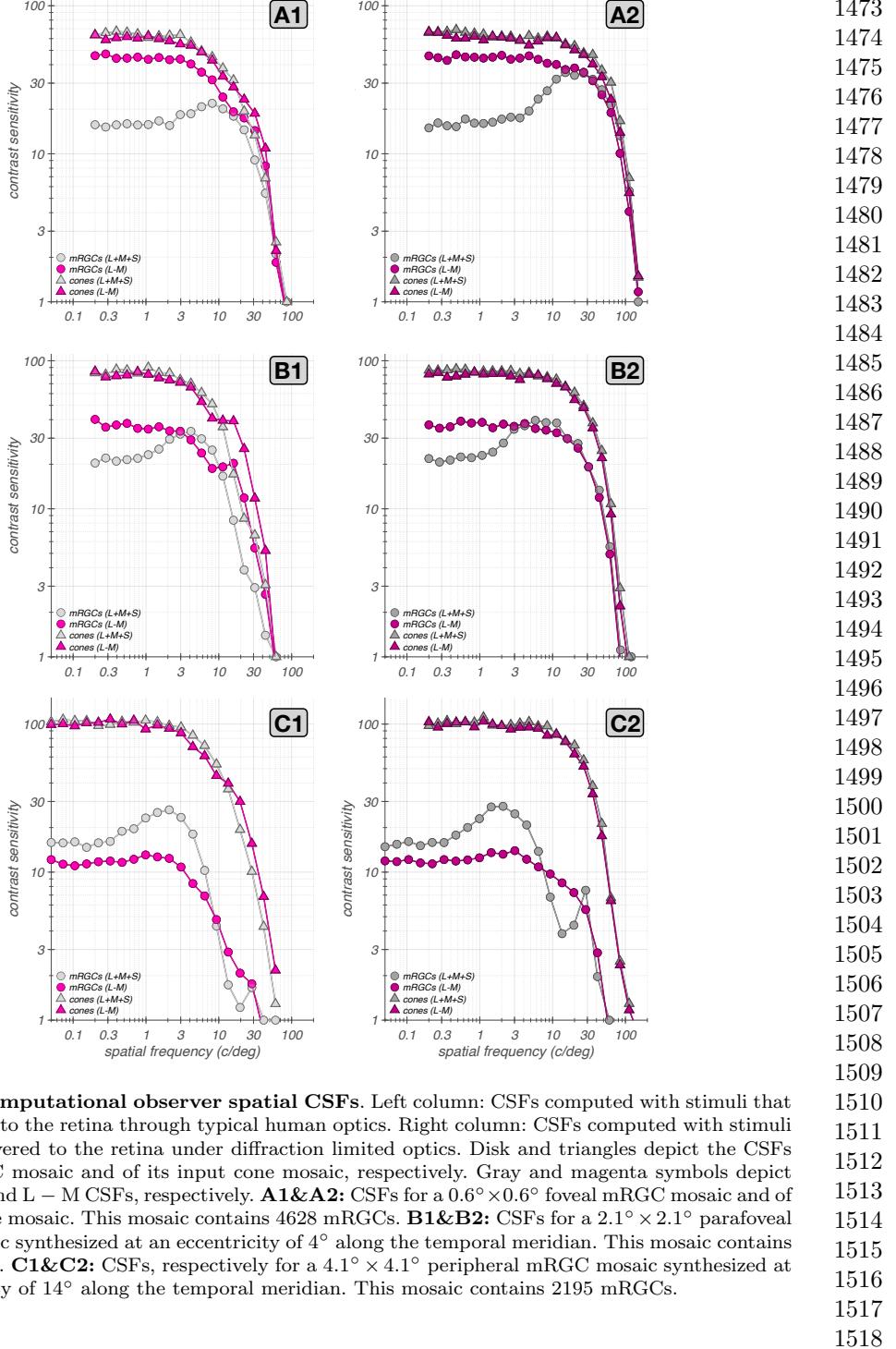


Fig. 13 Computational observer spatial CSFs. Left column: CSFs computed with stimuli that are delivered to the retina through typical human optics. Right column: CSFs computed with stimuli that are delivered to the retina under diffraction limited optics. Disk and triangles depict the CSFs of the mRGC mosaic and of its input cone mosaic, respectively. Gray and magenta symbols depict achromatic and L – M CSFs, respectively. **A1&A2:** CSFs for a $0.6^\circ \times 0.6^\circ$ foveal mRGC mosaic and of its input cone mosaic. This mosaic contains 4628 mRGCs. **B1&B2:** CSFs for a $2.1^\circ \times 2.1^\circ$ parafoveal mRGC mosaic synthesized at an eccentricity of 4° along the temporal meridian. This mosaic contains 4633 mRGCs. **C1&C2:** CSFs, respectively for a $4.1^\circ \times 4.1^\circ$ peripheral mRGC mosaic synthesized at an eccentricity of 14° along the temporal meridian. This mosaic contains 2195 mRGCs.

1519 the red-green isoluminant CSFs measured in many human experiments, this is not the
1520 primary source of the difference between computational and human observers. Rather,
1521 it is known that compared to ideal observers, humans lose foveal information available
1522 from the cones more rapidly as a function of spatial frequency for red-green than than
1523 for achromatic gratings [55].

1524 Our example calculation here suggests that this information loss should not be
1525 attributed to the linear receptive fields of the mRGCs. We believe this is because
1526 optical blur dominates computational observer performance at high spatial frequencies
1527 and the single cone RF centers of foveal mRGCs transmit information about each type
1528 of stimulus equally well; the surrounds have little effect at high spatial frequencies.
1529 Also, we do note that in the present calculations the specific resolution limit, i.e.,
1530 the spatial frequency at which sensitivity drops to 1, depends on the variance of the
1531 added Gaussian noise and is thus somewhat arbitrary. We have chosen a noise level
1532 that is low relative to human observers so that our computations show the behavior
1533 in the high-spatial frequency regime more fully than would psychophysics conducted
1534 through natural optics.

1535 As we move to more peripheral locations, additional features of the CSF emerge.
1536 Figs. 13B1 and 13C1 depict results of computations at 4°. Note that under physio-
1537 logical optics viewing (Fig. 13B1) there is a spatial frequency regime in which L – M
1538 sensitivity exceeds the corresponding achromatic sensitivity, with the L – M CSF hav-
1539 ing a notched shape. We have reported this observation in conference abstract form
1540 [61]. It occurs because of the wavelength dependent defocus that is introduced by lon-
1541 gitudinal chromatic aberration (LCA), which can change the spatial phase of the L–
1542 and M-cone stimulus components in the retinal image. Consistent with this interpre-
1543 tation, the notch is present in the CSFs both at the cones and at the mRGCs on the
1544 left, but not under diffraction-limited optics (Fig. 13B2), where LCA is zero. Simi-
1545 lar effects have been observed for S-cone CSFs [62]. We have presented in abstract
1546 form experimental results that suggest that these effects occur in measurements of the
1547 human L – M spatial CSF [63].

1548 Comparison of the cone-based CSFs in Fig. 13A1 with those in Fig. 13B1 and
1549 Fig. 13C1 also reveals the effect of stronger optical blur with eccentricity, which
1550 increases the rolloff of the CSFs with spatial frequency. Similar comparison of the
1551 mRGC-based CSFs shows additional rolloff introduced by the increasing size of mRGC
1552 RF centers with eccentricity.

1553 Additional observations are notable at 14° (Figs. 13C1 and 13C2). First, a notch
1554 arises in the achromatic CSF at high spatial frequencies for the mRGC CSF that is
1555 not apparent in the cone CSF. This seems unlikely to be an optical effect, because it
1556 is more salient in Fig. 13C2 where optical effects are not present. To explore the origin
1557 of this effect, we computed CSFs at different orientations (not shown), which show
1558 that this notch is orientation dependent and has to do with the precise alignment of
1559 individual cones with the receptive field of an mRGC. We do not explore it further
1560 here.

1561 Our computational observer is with respect to an RGC noise level that may make
1562 it more sensitive than the human observer. If so, the notches shown in Fig. 13 might
1563 not be revealed with psychophysics. In further simulations (data not shown) conducted
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with twice the noise variance, we observed that, in addition to an overall reduction in sensitivity, the high frequency notches disappeared below the sensitivity floor. The effects shown in Fig. 13, if they exist, are most likely to be revealed under conditions that maximize psychophysical sensitivity (i.e. bright adapting background, stimuli that fill the spatial and temporal integration area and duration, adaptive optics viewing). 1565
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Finally, note that the L – M advantage over the achromatic CSF is reversed at 14° of eccentricity. This is because at such high eccentricities, the L – M signal is reduced by the increased mixing of L– and M-cone signals within the larger mRGC RF centers and surrounds. Careful comparison of this effect with computational observer predictions for various choices of the model’s spatial homogeneity/spectral purity tradeoff parameter, ϕ , is an interesting future direction. 1570
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3.6.2 Chromatic contrast sensitivity of synthetic mRGC mosaics: dependence on eccentricity

As a second example application, we examined chromatic sensitivity for uniform fields modulated in different directions in the L/M-cone contrast plane. We used the same computational observer approach described above, and evaluated threshold for stimuli whose contrast was modulated in time. The cone contrasts of stimuli at different chromatic directions, θ , on the LM plane were: $C_L(\theta) = \rho \cdot \cos(\theta)$; $C_M(\theta) = \rho \cdot \sin(\theta)$; $C_S(\theta) = 0$. For each θ , we varied ρ to find its threshold value for discriminating that modulation direction from a zero contrast stimulus with a probability of 0.806. To summarize the computed thresholds across the different chromatic directions, we fit ellipses to the locus of threshold contrast points. 1580
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Fig. 14 depicts computational observer thresholds for synthetic mRGC mosaics and for their input cone mosaics at different eccentricities. Note that how computational observer sensitivity changes with eccentricity depends on how stimulus size is covaried with eccentricity, as does human sensitivity (e.g. [64]). Comparison of the magnitude of sensitivity for cone- and mRGC-based computational observers depends on how the noise levels are chosen. For these example calculations, we focus on the shape rather than magnitude of the elliptical threshold contours. Therefore, each contour shown in Fig. 14 is normalized so that the threshold along the M cone direction is equal to one. 1580
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A few observations are notable. First, the normalized contours for the cone mosaic-based observer are similar across eccentricities and align with the L– and M-cone contrast axes. They are more elongated in the M-cone direction because our mosaics have more L cones than M cones. The alignment with the axes is expected [65], and the similarity of the normalized shapes occurs because this shape depends primarily on the relative numbers of L and M cones. 1580
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Second, in contrast to the cone mosaic-based thresholds, the mRGC mosaic-based threshold contours change markedly with eccentricity. For the foveal mRGC mosaic, the threshold ellipse is highly elongated along 45° in the L/M-cone contrast plane, indicating that the highest discrimination thresholds occur when $C_L = C_M$ and lowest thresholds occur when $C_L = -C_M$. This difference in comparison to the cone-based computations is a consequence of the chromatic opponency of foveal mRGC RFs, which have single cone centers, and thus opponency between their centers and the surrounds as the surrounds draw on mixed cone-types [66, 67]. This opponency leads to 1580
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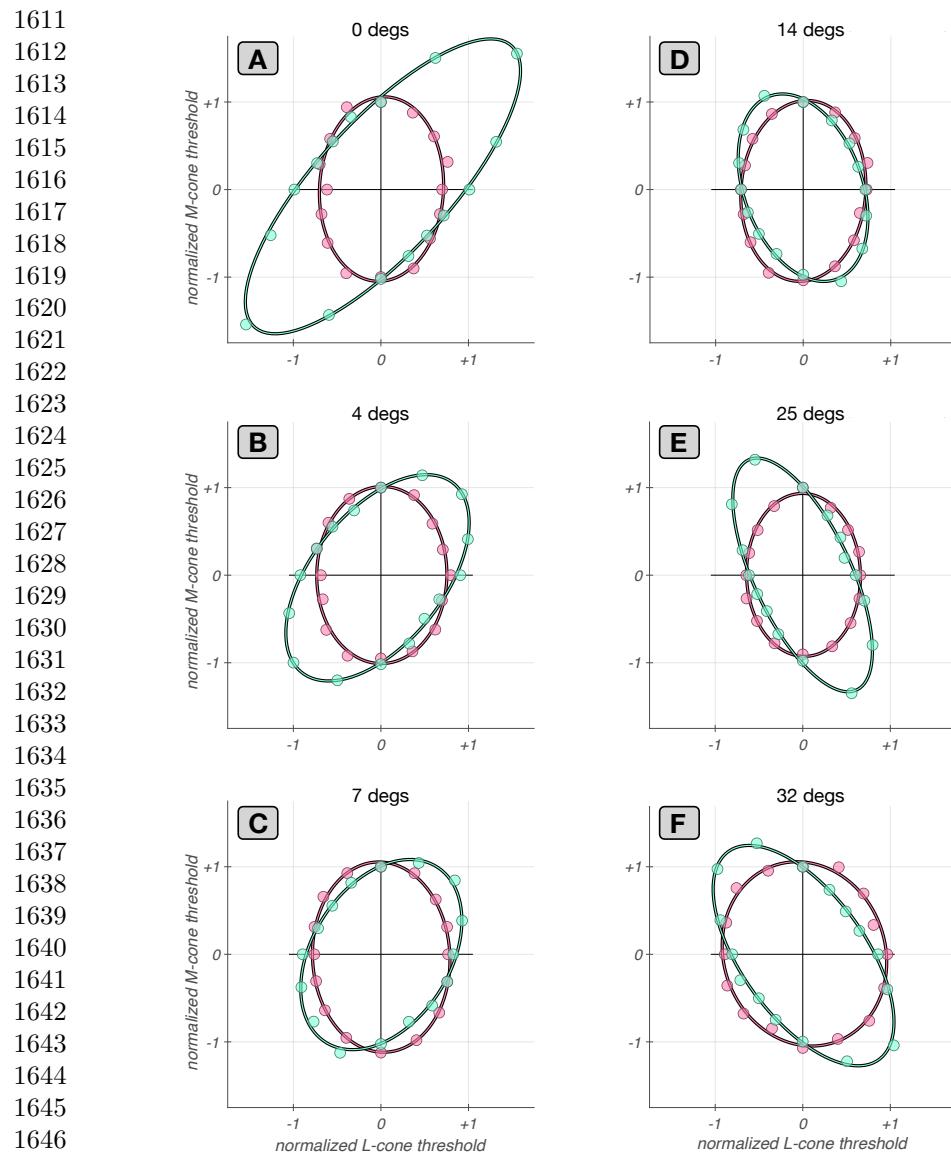


Fig. 14 Chromatic contrast sensitivity of synthetic mRGC mosaics: dependence on eccentricity. Discrimination thresholds along the L/M-cone contrast plane of mRGC mosaics (green disks) and of their input cone mosaics (pink disks), computed for uniform field stimuli (0 c/deg). **A:** Data from a $0.6^\circ \times 0.6^\circ$ foveal mRGC mosaic. **B:** Data from a $2.1^\circ \times 2.1^\circ$ parafoveal mRGC mosaic synthesized at an eccentricity of 4° along the temporal meridian. **C:** Data from a $3.2^\circ \times 3.2^\circ$ parafoveal mRGC mosaic synthesized at an eccentricity of 7° along the temporal meridian. **D:** Data from a $4.1^\circ \times 4.1^\circ$ peripheral mRGC mosaic synthesized at an eccentricity of 14° along the temporal meridian. **E:** Data from a $6^\circ \times 6^\circ$ peripheral mRGC mosaic synthesized at an eccentricity of 25° along the temporal meridian. **F:** Data from a $9^\circ \times 9^\circ$ peripheral mRGC mosaic synthesized at an eccentricity of 32° along the temporal meridian.

cancellation of non-opponent L– and M–cone signals for low spatial frequency stimuli
and thus the observed contour elongation along 45° [65, 68].

Third, as eccentricity increases, the contours first become less elongated and then
elongation starts increasing again but along the 135° rather than the 45° axis. This
is because the cone non-selective wiring model we implemented leads to progressively
less opponency with increasing RF center size [16, 66, 67].

Although the qualitative features that emerge from this example calculation are
understood in the literature, the example illustrates that our model enables this type
of calculation to be made quantitatively in a way that takes chromatic aberration,
stimulus size and spatial frequency and retinal position into account. Of particular
interest to us will be exploring how this type of threshold contour varies with the the
tradeoff between spatial homogeneity and spectral purity of mRGC RF centers (the
center wiring parameter ϕ of our model).

4 Discussion

We developed an image computable model of the linear spatio-chromatic RF mosaic
of mRGCs across the retina. The model extends our image-computable cone mosaic
model [2, 3] by adding a layer of mRGCs which pool signals directly from the cone
mosaic. The connectivity between cones and mRGCs is derived using a simulation
framework that integrates anatomical, physiological and optical quality data, all of
which vary across eccentricity.

By explicitly modeling the optics and photoreceptors, rather than directly expressing
the RFs in terms of the stimulus, we are able to link our model with both *in-vitro*
and *in-vivo* data, and to make predictions over a range of experimental conditions
that are otherwise difficult to compare. These include psychophysical and physiolog-
ical measurements made through physiological optics (natural viewing conditions),
interferometric and adaptive optics techniques that bypass or correct for optical
aberrations, and *in-vitro* physiology, where the natural optics are not present.

To build the model we had to overcome the challenge that current data about
mRGC properties are incomplete and, where they exist, may come from different
species, different measurement modalities, and from different eccentricities. For exam-
ple, there are *in-vivo* measurements of mRGC linear receptive fields across the retina
[17], but physiological optics blur the stimuli so that they do not constrain mRGC
input at the cone-by-cone resolution we seek. On the other hand, although there is
single cone-resolution connectivity data from *in-vitro* physiology [15], these data are
currently limited to large eccentricities ($\geq 25^\circ$). Thus, we developed a modeling frame-
work that allows integration of data from multiple sources. This framework is an
important contribution in its own right; we expect it will be useful to us and others,
for incorporating new data that become available and for modeling other RGC classes.

We showed that the model captures visual space-referred spatial RF properties
of macaque mRGCs recorded *in-vivo* across eccentricities, as well as retinal space-
referred spatial RF properties of macaque mRGCs recorded *in-vitro*. We also showed
that physiological optics plays a major role in shaping the visual space-referred spa-
tial RF properties, so that inferences regarding retinal circuitry made from *in-vivo*

1703 measurements need to be evaluated in the context of the optics. Further, we showed
1704 that even under *in-vitro* conditions, where the optics are eliminated, the traditional
1705 approach of fitting a Difference of Gaussian model to spatial responses can lead to
1706 incorrect assessments of the properties of cone pooling in the mRGC surrounds.
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1708 4.1 Applications

1709 We employed an early version of the current model to interpret measurements of
1710 foveal macaque mRGCs measured *in-vivo* using adaptive optics [10]. Specifically, the
1711 model allowed us to relate the adaptive optics measurements to *in-vivo* measurements
1712 conducted under physiological optics. For this purpose, the ability to move back and
1713 forth between retinal and visual space-referred representations was critical.
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1715 We are currently employing the model to assess inferences regarding the wiring of
1716 cone inputs to mRGC RF surrounds based on spatial RF measurements conducted *in-*
1717 *vivo* [19]. Specifically, we are analyzing the substantial effect that chromatic aberration
1718 plays in shaping mRGC responses to cone isolating stimuli, and how these effects can
1719 help reconcile tension between results from *in-vivo* physiology on the one hand and
1720 results from anatomy and *in-vitro* physiology on the other [32].
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In parallel on-going work, we deploy the model to understand how the spatio-
1722 chromatic properties of the ON-center mRGC mosaic influence the information
1723 available for human spatio-chromatic vision, by applying computational observer anal-
1724 yses to the mRGC representation we compute [61, 63]. Although additional model
1725 components will influence this representation, for threshold tasks where the stimulus
1726 perturbations are small, we expect the linear approximation to hold sufficiently well
1727 that the results will be informative.
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In this work, we presented examples of this type of computation, to illustrate how
1729 the representation at the mRGCs differs from that at the cone mosaic and how this
1730 varies with eccentricity.
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1732 4.2 Limitations and Future Directions

1733 We conclude with discussing the various limitations of the model in its present state
1734 and our plans for augmenting the model to increase its realism.
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1736 4.2.1 Human versus macaque

1737 When available, we used human data to guide model development, in order to maxi-
1738 mize the usefulness of the model in predicting human performance. Even if this had
1739 not been our goal, we would have had to bring in human data to characterize the
1740 physiological optics across the visual field, as such data are not currently available in
1741 macaque. At the same time, not all the required data are available for human: although
1742 measurements of cone and mRGC density and physiological optics across the retina
1743 are available, physiological characterizations come from the macaque.
1744

1745 The need to mix data across the two closely related species produces tension in
1746 cases where the parameters for the two species differ. An example is the different
1747 cone densities in the far periphery [52], which intrudes on the interpretation of the
1748 comparison between our model and *in-vitro* physiology in that retinal region. As more
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data become available in both species, and as species differences come more fully into focus [69], our approach should allow more fully differentiated models to be developed targeted at each. 1749
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4.2.2 Noise, nonlinearities and temporal dynamics 1752

Although the current model captures fundamental aspects of the visual representation at the level of the mosaic of ON mRGCs, there are known characteristics of mRGCs that it does not account for. These include static and spatial nonlinearities, temporal filtering, spike generation, and physiologically constrained response noise. The modeling framework we developed is extensible however, so that these components may be included through future work. 1753
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Response variability models are available for macaque mRGCs, as descriptions of spike generation mechanisms [26, 28, 70]. In addition, we can incorporate nonlinearities, such as (a) light adaptation effects introduced through the phototransduction cascade [71], (b) compressive and expansive static nonlinearities in the output of mRGCs [23, 28], and (c) spatial nonlinearities introduced by rectifying sub-units within the RFs of mRGCs [21, 22]. Explicit inclusion of photocurrent-based responses in the input to the mRGCs introduces a temporal component to the response model [71]. In addition, a second temporal filter may be added, such that when combined with the photocurrent filter will yield the bandpass filter characteristics observed in macaque mRGCs [25]. 1761
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Our current model does not represent explicitly the properties of the retinal circuitry (horizontal, bipolar, and amacrine cells) that produces the mRGC response properties, as we have opted instead to work towards a functional model that describes those properties. A complementary mRGC modeling approach that does consider some of these cell types explicitly has recently been published [31], and there are other modeling efforts that have examined the influence of the various retinal interneurons on RGC response properties [29, 33]. We note however, that some of the processing performed by these other retinal cell types is incorporated implicitly in the current cone-to-mRGC model, such as the parametric form of the surrounds inherited from H1 cells. 1771
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The framework we developed is designed so that it would be possible to interpose explicit models of intermediate retinal cell types. Representing the action of different cell types explicitly may in the longer run be an effective way to account for response nonlinearities in the mRGCs, or in other classes of retinal ganglion cells. Moreover, using our framework to model other cell classes may be of interest to those seeking to interpret responses of those classes *per se*, or in the retinal mechanisms that produce RGC response properties. 1781
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4.2.3 OFF mRGC mosaic 1790

Because we model the linear RF, the distinction between ON and OFF mRGCs is subtle. However, our model should be thought of as a model of only the ON mRGCs because the synthetic cells only pool signals from L- and M-cones. This is believed true for ON mRGCs, but recent evidence suggests that OFF mRGCs draw upon all 1791
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1795 three types of cones in their RF centers [15, 37, 38]. Incorporating S-cone input into
1796 an OFF mRGC model is straightforward.

1797 Another question that arises when considering a model of OFF mRGC mosaic is
1798 how to split the density of mRGCs in two populations at different eccentricities. In the
1799 current model, the ON mRGC density was assumed to be half of all mRGCs across
1800 all eccentricities. This seems reasonable for central retina where mRGC centers draw
1801 primarily on a single cone and where anatomical evidence suggests that each cone
1802 provides input to the center of one ON and one OFF midget bipolar cell. However,
1803 there is evidence that the RFs of peripheral ON midget (and parasol) RGCs are larger
1804 than their OFF counterparts in both human and macaque retinas [40]. This implies
1805 that the density of ON RGC cells might be lower in the periphery than that of OFF
1806 cells, given that ON and OFF mRGCs have similar RF overlap [39]. One idea is to
1807 treat the asymmetry between ON and OFF mRGC RF densities in an eccentricity-
1808 dependent manner, similar to the way we encoded a variable-with-eccentricity RF
1809 center overlap.

1810 Finally, when adding an OFF mRGC mosaic one should allow for the possibility of
1811 coordination between the ON and the OFF submosaics, to account for recent obser-
1812 vations regarding systematic shifts in the spatial layouts of ON and OFF mRGCs
1813 [72].

1814

1815 Using the software

1816 The developed software for synthesizing ON mRGCm mosaics across the retina and
1817 for computing with them is part of ISETbio and is freely available at
1818 <https://github.com/isetbio/isetbio>. An introduction to using the mRGCmosaic
1819 software is available at:
1820 [https://github.com/isetbio/isetbio/wiki/Retinal-ganglion-cell-\(RGC\)-mosaics](https://github.com/isetbio/isetbio/wiki/Retinal-ganglion-cell-(RGC)-mosaics),
1821 and a number of MATLAB tutorials specific to the mRGCmosaic can be found at
1822 <https://github.com/isetbio/isetbio/tree/main/tutorials/mrgc>.

1823 These tutorials demonstrate (a) how to use mosaics of ON mRGCs that have been
1824 synthesized at a number of eccentricities, and (b) how to build and validate mRGC
1825 mosaics at any desired eccentricity, using a number of design choices. A summary of
1826 current available tutorials is shown in Table 1.
1827

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1832

1833 Declarations

1834

1835 Funding

1836

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1839

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Table 1 List of tutorials for computing with mRGC mosaics and de novo synthesis of mRGC mosaics.	
Tutorial name	Scope
<i>Computing with mRGC mosaics</i>	
<code>t_mRGCMosaicVisualizeWithOptics.m</code>	Visualizes a previously synthesized mRGC mosaic and the optics that were used for its synthesis
<code>t_mRGCMosaicInspect.m</code>	Visualizes an mRGCMosaic and cone pooling maps of individual cells
<code>t_mRGCMosaicBasicComputation.m</code>	Perform a basic computation with an mRGC mosaic
<i>Synthesizing mRGC mosaics</i>	
<code>t_mRGCMosaicSynthesizeAtStage1.m</code>	Denovo synthesis of the spatial position lattices of cones and mRGC RF centers (stage 1)
<code>t_mRGCMosaicSynthesizeAtStage2.m</code>	Denovo synthesis of an mRGC mosaic at different sub-stages of cone-to-mRGC RF center connectivity (stage 2)
<code>t_mRGCMosaicSynthesizeAtStage3.m</code>	Denovo synthesis of an mRGC mosaic at different sub-stages of cone-to-mRGC RF surround connectivity (stage 3)
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1887 **Code availability**

1888 The code used to generate the data, and various tutorials on how to use the software

1889 are available at:

1890 <https://github.com/isetbio/isetbio/tree/main>

1891

1892 An introduction to using the software is available at:

1893 [https://github.com/isetbio/isetbio/wiki/Retinal-ganglion-cell-\(RGC\)-mosaics](https://github.com/isetbio/isetbio/wiki/Retinal-ganglion-cell-(RGC)-mosaics)

1894

1895

1896 **Author contribution**

1897 NPC: conceptualization, mosaic synthesis & optimization algorithms, data curation,
1899 model validation, visualization, coding, writing of original draft

1900 DHB: conceptualization, coding, reviewing and editing of manuscript

1901 BW: conceptualization, coding, reviewing and editing of manuscript

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Appendix A Deriving cone weights to the mRGC RF centers

A.1 Local topology-based convergent connections (stage 2A)

During the first sub-stage of cone to mRGC RF center connectivity, cones are connected to single mRGC RF centers based on the local topology of their respective lattices. Starting with the cell whose RF center is at most central location of the mRGC lattice, we connect $n_{pool}(\epsilon)$ number of L– and M–cones to it, where:

$$n_{pool}(\epsilon) = \lfloor \frac{D_{\text{cones}}(\epsilon)}{D_{\text{mRGCRF}}(\epsilon)} \rfloor \quad (\text{A1})$$

with $D_{\text{cones}}(\epsilon)$ and $D_{\text{mRGCRF}}(\epsilon)$ being the local spatial densities of the cone mosaic and of the mRGC RF centers, respectively, at the eccentricity, ϵ , of the target mRGC. We draw from the nearest cones that have not yet been connected and whose distance to the mRGC RF center does not exceed a fraction of the local mRGC RF center spacing. This fraction is a parameter of the model and for the work presented here was set to 0.6.

Continuing with these assignments of cones to mRGC RF centers, we move outward to more peripheral locations in the mRGC mosaic, connecting cones to each mRGC RF center. Any L– and M–cones that remain unconnected at the end of this sub-stage are then connected to their nearest mRGC RF center, so that all cones are connected to one mRGC RF center.

This sub-stage can result in local inhomogeneities in both the number of cones and the type of cones pooled within neighboring mRGC RF centers. These inhomogeneities are smoothed out as part of the next sub-stage.

A.2 Optimizing cone connections to mRGC RF centers (stage 2B)

In the second sub-stage of the cone to mRGC RF center connectivity, convergent connections from multiple cones to single mRGC RF centers are optimized according to a desired balance between spatial homogeneity and spectral purity. This is achieved by reassigning cones between nearby mRGC RF centers, which itself occurs in two steps.

In the first step, we allow cone reassessments to a target mRGC from neighboring mRGCS that have a higher input cone numerosity in their RF centers. In the second step, we allow cone swaps between a target mRGC and its neighbors, independently of their input cone numerosities.

The heuristics followed in the first step are as follows. We begin by targeting mRGCS with a single input cone and continue to target mRGCS with progressively higher input cone numerosity. Within each set of targeted input cone numerosity, mRGCS are sorted based on ascending retinal eccentricity. For each targeted mRGC we determine up to 6 neighboring mRGCS which have input numerosity that exceeds that of the target mRGC by at least 2 cones.

1979 Cone reassessments from the candidate donor mRGCs to the target mRGC are
 1980 executed in multiple passes. Starting with the neighboring mRGC of the highest input
 1981 numerosity, we determine the best transfer of a single cone. If there are no eligible
 1982 donor nearby mRGCs, we move to the next targeted mRGC. If there is a single candi-
 1983 date, we accept it and execute the cone transfer. If there are more than one candidates,
 1984 for each candidate donor mRGC we compute a cost function, C , for reassigning each of
 1985 its cones to the target mRGC, and pick the transfer that minimizes C across all cones
 1986 and all candidate donor mRGCs. The cost function is described in more detail below.

1987 Once the optimal cone transfers for each mRGC of the targeted input cone
 1988 numerosity are executed, we move to the next pass, examining possible transfers from
 1989 neighboring mRGCs of lower input cone numerosity than before, but still higher than
 1990 the input cone numerosity of the targeted mRGCs. Once all passes are executed, this
 1991 process is repeated, now targeting mRGCs with increasing input cone numerosity,
 1992 until all input cone numerosities have been targeted.

1993 In the second step, we only allow for cone swaps between an mRGC RF center and
 1994 one of its neighbors. For each mRGC of the targeted input cone numerosity, we deter-
 1995 mine its 6 closest neighbors, but now without regard to their input cone numerosity.
 1996 For each of these neighboring mRGCs, we evaluate the cost function, C , for all pos-
 1997 sible combinations of cones from the target mRGC and cones from the neighboring
 1998 mRGC and pick the combination that minimizes C . The selected cone swap is exe-
 1999 cuted only if the post-swap value of C is lower than its pre-swap value. Multiple passes
 2000 through the entire mRGC mosaic, are executed, with each pass targeting mRGCs with
 2001 progressively higher input cone numerosity.

2002 The cost function, C , employed to determine the optimal transfer/swap is based on
 2003 the position and types of the cones pooled by the target mRGC, t , and the examined
 2004 neighboring mRGC, t_i . For each examined pair of mRGCs, (t, t_i) , $C^{(t, t_i)}$ is defined as:

$$2005 \quad C^{(t, t_i)} = \phi \cdot C_{\chi}^{(t, t_i)} + (1 - \phi) \cdot C_{\lambda}^{(t, t_i)} \quad (\text{A2})$$

2006

2007 where $C_{\chi}^{(t, t_i)}$ quantifies the degree of spatial incompactness, $C_{\lambda}^{(t, t_i)}$ quantifies the degree

2008 of spectral impurity. The ϕ parameter controls the desired trade-off between spatial
 2009 incompactness and spectral impurity of the RF centers. When $\phi = 1$, cone reassig-
 2010 nements/swaps are selected so as to minimize the spatial incompactness score, when
 2011 $\phi = 0$, cone reassessments are chosen so as to minimize the spectral impurity score,
 2012 and for intermediate values of ϕ , cone reassessments are chosen so as to minimize a
 2013 ratio of the two scores.

2014

2015 The spatial incompactness score, $C_{\chi}^{(t, t_i)}$, in Eq. A2 is defined as:
 2016

$$2017 \quad C_{\chi}^{(t, t_i)} = C_{\chi_N}^{(t, t_i)} + C_{\chi_o}^{(t, t_i)} \quad (\text{A3})$$

2018

2019 The $C_{\chi_N}^{(t, t_i)}$ term quantifies the differential input cone numerosity between the
 2020 examined pair of mRGCs, and is defined as:

$$2021 \quad C_{\chi_N}^{(t, t_i)} = |(N_L^t + N_M^t) - (N_L^{t_i} + N_M^{t_i})| \quad (\text{A4})$$

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with N_L^t and N_M^t are the numbers of L– and M–cones pooled by the RF center of mRGC t , respectively. The $C_{\chi_o}^{(t,t_i)}$ term is a measure of the spatial overlap of the two sets of cones pooled by the two mRGCs, and is defined as the inverse of the distance between the centroids, (P^t, P^{t_i}) , of the sets of pooled cones normalized by the sum of their respective spatial standard deviations, (σ^t, σ^{t_i}) :

$$C_{\chi_o}^{(t,t_i)} = 1 / \left(\frac{\|P^t - P^{t_i}\|}{\sigma^t + \sigma^{t_i}} \right) \quad (\text{A5})$$

A low value of $C_{\chi_o}^{(t,t_i)}$ indicates low overlap between the sets of cones pooled by the examined pair of mRGCs and conversely, a high value indicates a large overlap.

The spectral impurity score, C_λ^{t,t_i} , in Eq. A2, is defined as the sum of spectral impurities of the RF centers of the pair of analyzed mRGCs:

$$C_\lambda^{t,t_i} = C_\lambda^t + C_\lambda^{t_i} \quad (\text{A6})$$

with C_λ^t , quantifying the degree of non-specificity, with regard to the type of cone, in the pooling within the RF center of an mRGC, defined as:

$$C_\lambda^t = \frac{\min([N_L^t, N_M^t])}{N_L^t + N_M^t} \quad (\text{A7})$$

Values of C_λ^t near zero indicate a low amount of mixture of L– and M–cones, and therefore a RF with a high degree of spectral purity, and conversely, values of C_λ^t , near 0.5, indicate an equal mixture of L– and M–cones, and therefore a RF center with a low degree of spectral purity.

A.3 Divergent cone connections to multiple mRGC RF centers (stage 2C)

In the final sub-stage of establishing the RF center connectivity, the exclusivity of connections is relaxed, and cone connections are allowed to diverge to more than one mRGC RF center. This divergence is guided by *in-vitro* measurements of mRGC RF center overlap in the macaque [39].

According to these observations, neighboring mRGC RF centers abut at approximately one standard deviation of their Gaussian RF profile. One caveat of using these *in-vitro* measurements to establish cone divergence in the model, is that these measurements are only available in the far periphery (30–40 degrees), with no data available for more central locations. Anatomical studies suggest, however, that, in the central retina, there must be little to no divergence of cone signals to mRGCs RF centers, so we chose to implement an eccentricity-varying divergence in our model.

To achieve this, we begin by fitting an ellipsoid to the spatial pooling map of cones that are exclusively connected to the RF center of an mRGC, and extract the rotation, α , and the major/minor axes, σ_x, σ_y of the fitted ellipsoid. Next, a supra-Gaussian

2071 ellipsoid function, $G(x, y, n)$, defined as:

2072

$$2073 \quad G(x, y, n) = \exp \left[-0.5 \times \left(\sqrt{(y'^2 + y'^2)} \right)^n \right] \quad (A8)$$

2075

2076 with:

$$2077 \quad [x' \ y'] = [x \ y] \cdot \begin{bmatrix} \cos(\alpha) & -\sin(\alpha) \\ \sin(\alpha) & \cos(\alpha) \end{bmatrix} \cdot \begin{bmatrix} 1/\sigma_x & 0 \\ 0 & 1/\sigma_y \end{bmatrix} \quad (A9)$$

2079 is computed by scaling the values of σ_x, σ_y by a common factor, so that the value of
2080 $G(x, y, n)$, evaluated at the most remote exclusively-connected cone(s) is $k \times e^{-1/2}$.

2081 The value of k is determined empirically so that RF maps of nearby mRGCs computed
2082 under diffraction-limited optics abut when their sensitivities drop to $e^{-1/2}$ (per [39]).

2083 By varying the exponent of the supra-Gaussian, n , we model varying degrees of
2084 cone divergence. When $n = 10$, we obtain a flat-top Gaussian with very sharp fall-offs,
2085 modeling minimal cone divergence. When $n = 2$, we get a standard Gaussian modeling
2086 cone divergence that is consistent with the *in-vitro* measurements of RF center overlap
2087 at peripheral locations.

2088 By allowing n to vary with eccentricity using a sigmoidal function we obtain a
2089 gradual transition in cone divergence with eccentricity. The slope and mid-point of the
2090 sigmoidal variation of n are currently chosen arbitrarily, with the only restrictions that
2091 above 15° , n is stable at 2.0, and below 7° , n is stable at 10.0. The weights of divergent
2092 cone-mRGC RF center connections are computed by evaluating the supra-Gaussian
2093 ellipsoid at the positions of all cones in the vicinity of the examined mRGC.

2094

2095 **Appendix B Deriving cone weights to the mRGC 2096 RF surrounds**

2098 **B.1 Choosing physiology-based constraints for deriving 2099 surround cone weights in stage 3B**

2101 The optimization of the parameters of the surround cone pooling functions at each
2102 iteration is driven by the residual between the visual STF that is computed based on
2103 the surround pooling weights at the previous iteration and the Difference of Gaussians
2104 model fit to it, $\text{DoG}(\omega)$, which is given by:

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$$2106 \quad \text{DoG}(\omega) = K_c \cdot R_c^2 \cdot \exp[-\pi \cdot R_c \cdot \omega]^2 - K_s \cdot R_s^2 \cdot \exp[-\pi \cdot R_s \cdot \omega]^2 \quad (B10)$$

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2108 This aspect of the optimization captures the observation that the DoG model provides
2109 a reasonable fit to the *in-vivo* measured STFs of macaque mRGCs. To ensure adher-
2110 ence to the *in-vivo* data of Croner & Kaplan, the DoG model fit is constrained so
2111 that the ratio of surround to center radii, R_s/R_c , and the ratio of surround to center
2112 integrated sensitivities, $K_s/K_c \times (R_s/R_c)^2$, both remain within a specified tolerance
2113 range from the corresponding macaque data.

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Specifically, for the model's R_s/R_c ratio, we enforce 2117
2118

$$\frac{R_s^m}{R_c^m} \times (1 - \tau) \leq \frac{R_s}{R_c} \leq (1 + \tau) \times \frac{R_s^m}{R_c^m} \quad (\text{B11})$$

where R_c^m and R_s^m are the mean values of center and surround radii across the Croner & Kaplan population of macaque mRGCs at the eccentricity of the synthesized mRGC. The model's $K_s/K_c \times (R_s/R_c)^2$ ratio is constrained in the same way.

The residual between the visual STF and the Difference of Gaussians model fit to it, drives the optimization of the surround pooling function. This function is a double exponent (following the H1 horizontal cell spatial RF in the macaque [43]):

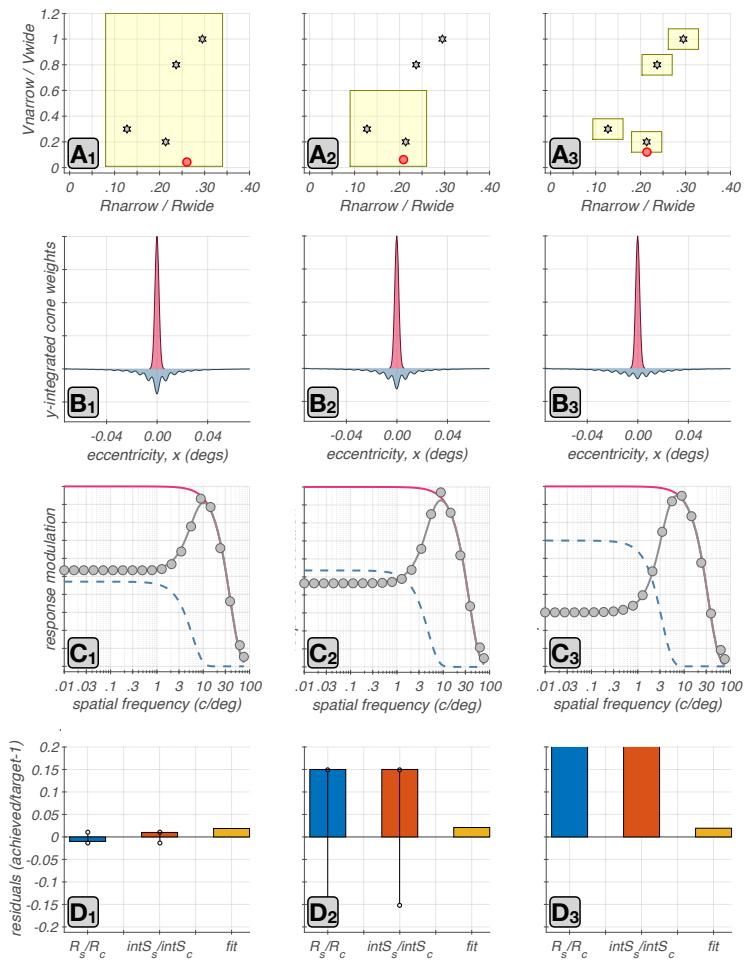
$$W_s(r) = K_{\text{wide}} \times \exp[-r/R_{\text{wide}}] + K_{\text{narrow}} \times \exp[-r/R_{\text{narrow}}] \quad (\text{B12})$$

To ensure that the surround pooling function remains consistent with parameter values observed in macaque H1 cell [43], the optimization of $W_s(r)$ is also constrained so that ratio of radii, $R_{\text{narrow}}/R_{\text{wide}}$, and the ratio of volumes, $V_{\text{narrow}}/V_{\text{wide}} = K_{\text{narrow}}/K_{\text{wide}} \times (R_{\text{narrow}}/R_{\text{wide}})^2$, of the two exponentials both remain within a specified tolerance range of the macaque data.

In the present work, the tolerance range for $R_{\text{narrow}}/R_{\text{wide}}$ was set to $[0.07, 0.35]$ for all mosaics, whereas the tolerance range for $V_{\text{narrow}}/V_{\text{wide}}$ was set to $[0.01, 0.6]$ for mosaics at eccentricities $\leq 15^\circ$, to $[0.3, 0.9]$ for eccentricities in $15^\circ \dots 25^\circ$, and to $[0.6, 1.3]$, for eccentricities $\geq 25^\circ$.

The joint manipulation of the tolerance values applied to the parameters of the DoG model fit to the vSTF, and to the parameters of the double exponential surround pooling model, $W_s(r)$, allows for different options for deriving spatial pooling functions in synthetic mRGC surrounds.

One option is to set very strict tolerances on the parameters of DoG model fit while allowing for a large tolerance in the parameters of $W_s(r)$. Results of this choice are depicted in the left-most column of Figure B1. A second option would be to allow medium tolerance levels in both the DoG model fit and the $W_s(r)$. Results of this choice are depicted in the middle column of Figure B1. A third option would be to enforce strict tolerances in $W_s(r)$, for example matching parameters of individual H1 horizontal cells, while allowing for a loose tolerance in the DoG model fit. Results of this choice are depicted in the right column of Figure B1. In the present work, we chose the second option.



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2194 Fig. B1 **Effect of constraints on surround cone pooling.** Results from three options for constraining the surround optimization. Left column: tight tolerance in the parameters of the DoG model fit to the vSTF and loose tolerance in the parameters of the double exponential surround pooling model, $W_s(r)$. Middle column: medium tolerance in both sets of parameters. Right column: loose tolerance in the DoG parameters and tight tolerance in the $W_s(r)$ parameters. **A1-A3:** The yellow rectangles indicate the tolerance range in the joint space of the two surround cone pooling related parameters, $V_{\text{narrow}}/V_{\text{wide}}$ and $R_{\text{narrow}}/R_{\text{wide}}$. Stars depict the corresponding parameter values in four macaque H1 horizontal cells from the study of Packer & Dacey. The red disk depicts the achieved parameter values under each strategy for an example foveal synthetic mRGC. **B1-B3:** Line weighting functions of the retinal space referred center and surround cone pooling weights under the three examined strategies. **C1-C3:** The vSTF computed under the three strategies (gray disks) and corresponding DOG model fits (gray lines). The red and blue lines depict the center and surround components of the fitted DOG model. **D1-D3:** Blue and orange bars depict the residuals for the ratios of visual space-referred R_s/R_c and $K_s/K_c \times (R_s/R_c)^2$ ratios. Black circles connected by a black line depict the enforced tolerance range in these ratios. The enforced tolerance value in D3 was $\tau = 0.5$, and is not visualized. The orange bars depict the $\|v\text{STF}(\omega) - \text{DOG}(\omega)\|$ residual.

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