

# Collapse at the Center

## World War, Depression, and the Rebalancing of Global Power

1914–1970s

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“I was told that I was fighting a war that would end all wars, but that wasn’t the case.” Spoken a few years before his death, these were the thoughts of Alfred Anderson, a World War I veteran who died in Scotland in November 2003, at the age of 109. He was apparently the last survivor of the famous Christmas truce of 1914, when British and German soldiers, enemies on the battlefield of that war, briefly mingled, exchanged gifts, and played football in the no-man’s land that lay between their entrenchments in Belgium. He had been especially dismayed when in 2003 his own unit, the famous Black Watch regiment, was ordered into Iraq along with other British forces.<sup>1</sup> Despite his disappointment at the many conflicts that followed World War I, Anderson’s own lifetime had witnessed the fulfillment of the promise of the Christmas truce. By the time he died, the major European nations had put aside their centuries-long hostilities, and war between Britain and Germany, which had erupted twice in the twentieth century, seemed unthinkable.

THE “GREAT WAR,” WHICH CAME TO BE CALLED THE FIRST WORLD WAR OR WORLD WAR I (1914–1918), effectively launched the twentieth century, considered as a new phase of world history. That bitter conflict—essentially a European civil war with a global reach—provoked the Russian Revolution and the beginnings of world communism. It was followed by the economic meltdown of the Great Depression, by the rise of Nazi Germany and the horror of the Holocaust, and by an even bloodier and more destructive World

**The United States and World War II:** The Second World War and its aftermath marked the decisive emergence of the United States as a global superpower. In this official 1943 poster, U.S. soldiers march forward to “fight for liberty” against fascism while casting a sideways glance for inspiration at the ragged colonial militiamen of their Revolutionary War. (Library of Congress, LC-USZC4-2119)

War II, a struggle that encompassed much of the world. During those three decades, Western Europe—for more than a century the dominant and dominating center of the modern “world system”—largely self-destructed, in a process with profound and long-term implications far beyond Europe itself. By 1945, an outside observer might well have thought that Western civilization, which for several centuries was in the ascendancy on the global stage, had damaged itself beyond repair. Certainly the subsequent emergence of the United States and the Soviet Union as rival superpowers marked a very different balance of global power.

In the second half of the century, however, European civilization proved resilient. Western Europe recovered remarkably from the devastation of war, rebuilt its industrial economy, and set aside its war-prone nationalist passions in a loose European

Union. But as Europe revived after 1945, it lost both its overseas colonial possessions and its position as the political, economic, and military core of Western civilization. That role now passed across the Atlantic to the United States, marking a major change in the historical development of the West. The offspring now overshadowed its parent.

#### SEEKING THE MAIN POINT

**In what ways were the world wars and the Great Depression motors of global change in the history of the twentieth century?**

## The First World War: European Civilization in Crisis, 1914–1918

Since 1500, Europe had assumed an increasingly prominent position on the global stage, driven by its growing military capacity and the marvels of its Scientific and Industrial Revolutions. By 1900, Europeans, or people with a European ancestry, largely controlled the world’s other peoples through their formal empires, their informal influence, or the weight of their numbers (see Map 20.1). That unique situation provided the foundation for Europeans’ pride, self-confidence, and sense of superiority. Few could have imagined that this “proud tower” of European dominance would lie shattered less than a half century later. The starting point in that unraveling was the First World War.

### *An Accident Waiting to Happen*

Europe’s modern transformation and its global ascendancy were certainly not accompanied by a growing unity or stability among its own peoples—quite the opposite. The most obvious division was among its competing states, a long-standing feature of European political life. Those historical rivalries further sharpened as both Italy and Germany joined their fragmented territories into two major new powers around 1870. The arrival on the international scene of a powerful and rapidly industrializing Germany, seeking its “place in the sun,” was a disruptive new element in European political life, especially for the more established powers, such as Britain, France, and Russia. Since the defeat of Napoleon in 1815, a fragile and fluctuating balance of power had generally maintained the peace among Europe’s major countries. By the

## A Map of Time

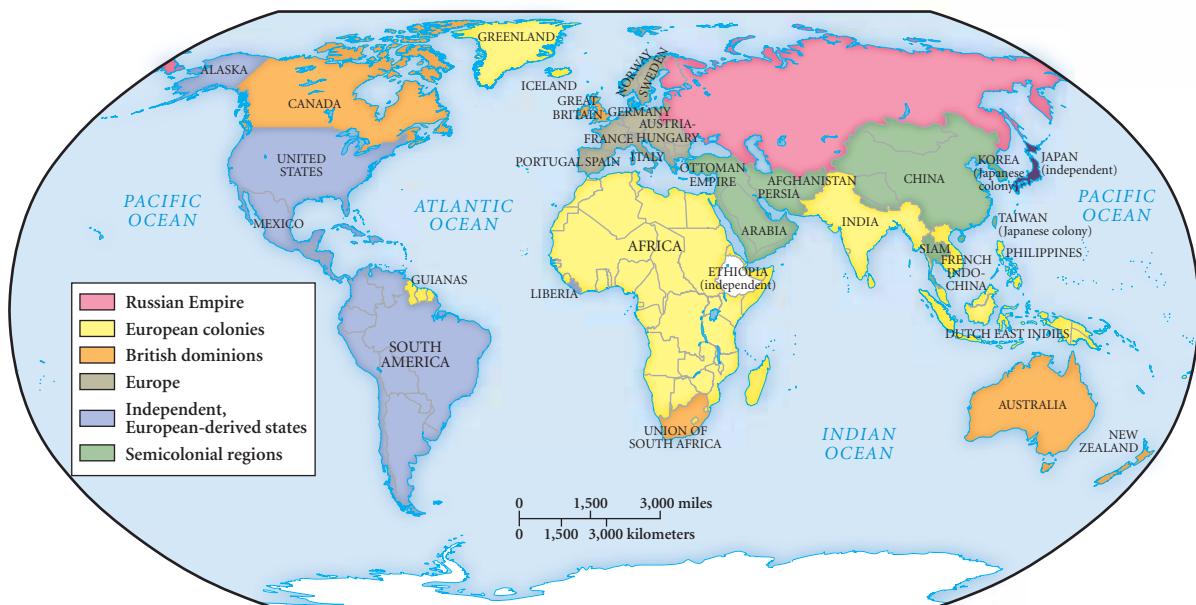
1914–1918	World War I
1917	Bolshevik Revolution in Russia; United States enters World War I
1919	Treaty of Versailles ending World War I; founding of Nazi Party
1920	Treaty of Sevres, dissolving the Ottoman Empire
1922	Mussolini comes to power in Italy
1929	Beginning of Great Depression
1933	Hitler assumes power in Germany
1937–1938	Japan invades China, beginning World War II in Asia; Rape of Nanjing
1939	Germany invades Poland, beginning World War II in Europe
1939–1945	World War II; the Holocaust
1941	Japanese attack on Pearl Harbor
1945	Atomic bombing of Hiroshima and Nagasaki; United Nations created
1945–1952	U.S. occupation of Japan
1947–1991	Cold war
1948–1952	Marshall Plan for Europe
1957	European Economic Community established
1994	European Union established
2002	Introduction of the euro

early twentieth century, that balance of power was expressed in two rival alliances, the Triple Alliance of Germany, Italy, and the Austro-Hungarian Empire and the Triple Entente of Russia, France, and Britain. Those commitments, undertaken in the interests of national security, transformed a relatively minor incident in the Balkans into a conflagration that consumed almost all of Europe.

That incident occurred on June 28, 1914, when a Serbian nationalist assassinated the heir to the Austro-Hungarian throne, Archduke Franz Ferdinand. To the rulers of Austria-Hungary, the surging nationalism of Serbian Slavs was a mortal threat to the cohesion of their fragile multinational empire, which included other Slavic peoples as well. Thus, they determined to crush it. But behind Austria-Hungary lay its far more powerful ally, Germany; and behind tiny Serbia lay Russia, with its self-proclaimed mission of protecting other Slavic peoples. Allied to Russia were the French and the British. Thus a system of alliances intended to keep the peace created obligations that drew the Great Powers of Europe into a general war by early August 1914 (see Map 20.2, p. 986).

### ■ Explanation

What aspects of Europe's nineteenth-century history contributed to the First World War?



### Map 20.1

#### The World in 1914

A map of the world in 1914 shows an unprecedented situation in which one people—Europeans or those of European descent—exercised enormous control and influence over virtually the entire planet.

The outbreak of that war was something of an accident, in that none of the major states planned or predicted the archduke's assassination or deliberately sought a prolonged conflict, but the system of rigid alliances made Europe vulnerable to that kind of accident. Moreover, behind those alliances lay other factors that contributed to the eruption of war and shaped its character. One of them was a mounting popular nationalism (see pp. 882–84). Slavic nationalism and Austro-Hungarian opposition to it certainly lay at the heart of the war's beginning. More importantly, the rulers of the major countries of Europe saw the world as an arena of conflict and competition among rival nation-states. The Great Powers of Europe competed intensely for colonies, spheres of influence, and superiority in armaments. Schools, mass media, and military service had convinced millions of ordinary Europeans that their national identities were profoundly and personally meaningful. The public pressure of these competing nationalisms allowed statesmen little room for compromise and ensured widespread popular support, at least initially, for the decision to go to war. Many men rushed to recruiting offices, fearing that the war might end before they could enlist. Celebratory parades sent them off to the front. British women were encouraged to present a white feather, a symbol of cowardice, to men not in uniform, thus affirming a warrior understanding of masculinity. For conservative governments, the prospect of war was a welcome occasion for national unity in the face of the mounting class- and gender-based conflicts in European societies.

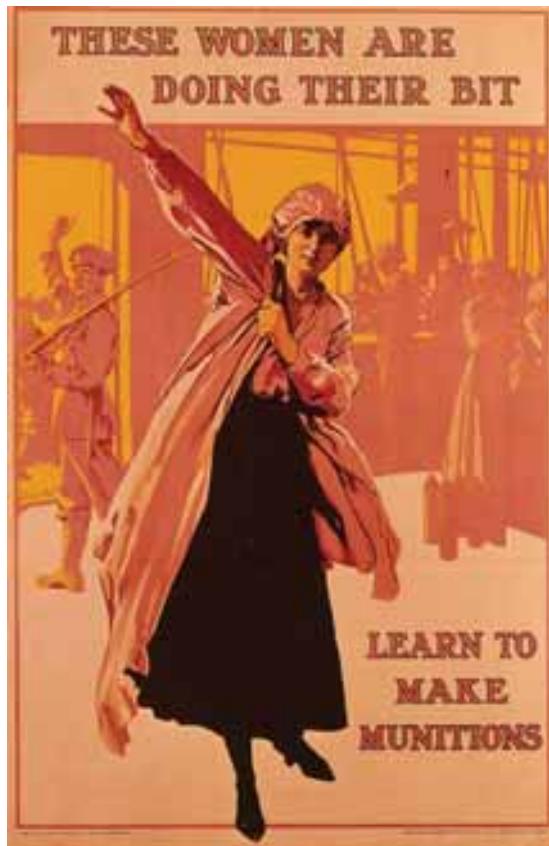
Also contributing to the war was an industrialized militarism. Europe's armed rivalries had long ensured that military men enjoyed great social prestige, and most heads of state wore uniforms in public. All of the Great Powers had substantial standing armies and, except for Britain, relied on conscription (compulsory military ser-

vice) to staff them. One expression of the quickening rivalry among these states was a mounting arms race in naval warships, particularly between Germany and Britain. Furthermore, each of the major states had developed elaborate “war plans” that spelled out in great detail the movement of men and materials that should occur immediately upon the outbreak of war. Such plans created a hair-trigger mentality since each country had an incentive to strike first so that its particular strategy could be implemented on schedule and without interruption or surprise. The rapid industrialization of warfare had generated an array of novel weapons, including submarines, tanks, airplanes, poison gas, machine guns, and barbed wire. This new military technology contributed to the staggering casualties of the war, including some 10 million deaths, the vast majority male; perhaps twice that number were wounded, crippled, or disfigured. For countless women, as a result, there would be no husbands or children.

Europe’s imperial reach around the world likewise shaped the scope and conduct of the war. It funneled colonial troops and laborers by the hundreds of thousands into the war effort, with men from Africa, India, China, Southeast Asia, Australia, New Zealand, Canada, and South Africa taking part in the conflict (see Visual Source 20.3, p. 1029). Battles raged in Africa and the South Pacific as British and French forces sought to seize German colonies abroad. Japan, allied with Britain, took various German possessions in China and the Pacific and made heavy demands on China itself. The Ottoman Empire, which entered the conflict on the side of Germany, became the site of intense military actions and witnessed an Arab revolt against Ottoman control. Finally, the United States, after initially seeking to avoid involvement in European quarrels, joined the war in 1917 when German submarines threatened American shipping. Some 2 million Americans took part in the first U.S. military action on European soil and helped turn the tide in favor of the British and French. Thus the war, though centered in Europe, had global dimensions and certainly merited its title as a “world war.”

## *Legacies of the Great War*

The Great War shattered almost every expectation. Most Europeans believed in the late summer of 1914 that “the boys will be home by Christmas,” but instead the war ground relentlessly on for more than four years before ending in a German defeat



### **Women and the Great War**

World War I temporarily brought a halt to the women’s suffrage movement as well as to women’s activities on behalf of international peace. Most women on both sides actively supported their countries’ war efforts, as suggested by this British wartime poster, inviting women to work in the munitions industry. (The Art Archive at Art Resource, NY)



**Map 20.2** Europe on the Eve of World War I

Despite many elements of common culture, Europe in 1914 was a powder keg, with its major states armed to the teeth and divided into two rival alliances. In the early stages of the war, Italy changed sides to join the French, British, and Russians.

### ■ Change

In what ways did World War I mark new departures in the history of the twentieth century?

in November 1918. (See Visual Sources: Propaganda and Critique in World War I, pp. 1025–33, for various representations of the war.) At the beginning, most military experts expected a war of movement and attack, but it soon bogged down on the western front into a war of attrition, in which trench warfare resulted in enormous casualties while gaining or losing only a few yards of muddy, blood-soaked ground. Extended battles lasting months—such as those at Verdun and the Somme in France—



**Map 20.3** Europe and the Middle East after World War I

The Great War brought into existence a number of new states that were carved out of the old German, Austro-Hungarian, Russian, and Ottoman empires. Turkey and the new states in Europe were independent, but those in the Middle East—Syria, Palestine, Iraq, and Transjordan—were administered by Britain or France as mandates of the League of Nations.

generated casualties of a million or more each, as the destructive potential of industrialized warfare made itself tragically felt. Moreover, everywhere it became a “total war,” requiring the mobilization of each country’s entire population. Thus the authority of governments expanded greatly. The German state, for example, assumed such control over the economy that its policies became known as “war socialism.” Vast propaganda campaigns sought to arouse citizens by depicting a cruel and inhuman

enemy who killed innocent children and violated women. Labor unions agreed to suspend strikes and accept sacrifices for the common good, while women, replacing the men who had left the factories for the battlefield, temporarily abandoned the struggle for the vote.

No less surprising were the longer-term outcomes of the war. In the European cockpit of that conflict, unprecedented casualties, particularly among elite and well-educated groups, and physical destruction, especially in France, led to a widespread disillusionment among intellectuals with their own civilization (see Visual Source 20.5, p. 1032). The war seemed to mock the Enlightenment values of progress, tolerance, and rationality. Who could believe any longer that the West was superior or that its vaunted science and technology were unquestionably good things? In the most famous novel to emerge from the war, the German veteran Erich Remarque's *All Quiet on the Western Front*, one soldier expressed what many no doubt felt: "It must all be lies and of no account when the culture of a thousand years could not prevent this stream of blood being poured out."

The aftermath of war also brought substantial social and cultural changes to ordinary Europeans and Americans. Integrating millions of returning veterans into civilian life was no easy task, for they had experienced horrors almost beyond imagination. Governments sought to accommodate them, in Britain for example, with housing programs called "homes for heroes" emphasizing traditional family values. Women were urged to leave factory work and return to their homes where they would not compete for "men's jobs." French authorities proclaimed Mother's Day as a new holiday designed to encourage childbearing and thus replace the millions lost in the war.

Nonetheless, the war had loosened the hold of tradition in various ways. Enormous casualties promoted social mobility, allowing the less exalted to move into positions previously dominated by the upper classes. As the war ended, suffrage movements revived and women received the right to vote in a number of countries—Britain, the United States, Germany, the Soviet Union, Hungary, and Poland—in part perhaps because of the sacrifices they had made during the conflict. Young middle-class women, sometimes known as "flappers," began to flout convention by appearing at nightclubs, smoking, dancing, drinking hard liquor, cutting their hair short, wearing revealing clothing, and generally expressing a more open sexuality. A new consumerism encouraged those who could to acquire cars, washing machines, vacuum cleaners, electric irons, gas ovens, and other newly available products. Radio and the movies now became vehicles of popular culture, transmitting American jazz to Europe and turning Hollywood stars into international celebrities.

The war also transformed international political life. From the collapse of the German, Russian, and Austro-Hungarian empires emerged a new map of Central Europe with an independent Poland, Czechoslovakia, Yugoslavia, and other nations (see Map 20.3, p. 987). Such new states were based on the principle of "national self-determination," a concept championed by U.S. president Woodrow Wilson, but each of them also contained dissatisfied ethnic minorities, who claimed the same principle. In Russia, the strains of war triggered a vast revolutionary upheaval that brought

the radical Bolsheviks to power in 1917 and took Russia out of the war. Thus was launched world communism, which was to play such a prominent role in the history of the twentieth century (see Chapter 21).

The Treaty of Versailles, which formally concluded the war in 1919, proved in retrospect to have established conditions that contributed to a second world war only twenty years later. In that treaty, Germany lost its colonial empire and 15 percent of its European territory, was required to pay heavy reparations to the winners, had its military forces severely restricted, and had to accept sole responsibility for the outbreak of the war. All of this created immense resentment in Germany. One of the country's many demobilized and disillusioned soldiers declared in 1922: "It cannot be that two million Germans should have fallen in vain. . . . No, we do not pardon, we demand—vengeance."<sup>2</sup> His name was Adolf Hitler, and within two decades he had begun to exact that vengeance.

The Great War generated profound changes in the world beyond Europe as well. During the conflict, Ottoman authorities, suspecting that some of their Armenian subjects were collaborating with the Russian enemy, massacred or deported an estimated 1 million Armenians. Although the term "genocide" had not yet been invented, some historians have applied it to those atrocities, arguing that they established a precedent on which the Nazis later built. The war also brought a final end to a declining Ottoman Empire, creating the modern map of the Middle East, with the new states of Turkey, Syria, Iraq, Jordan, and Palestine. Thus Arabs emerged from Turkish rule, but many of them were governed for a time by the British or French, as "mandates" of the League of Nations (see Map 20.3, p. 987). Conflicting British promises to both Arabs and Jews regarding Palestine set the stage for an enduring struggle over that ancient and holy land. Although Latin American countries remained bystanders in the war, many of them benefited from the growing demand for their primary products such as Chilean nitrates, used in explosives. But the sharp drop in nitrate exports after the war ended brought to Chile mass unemployment, urban riots, bloody strikes, and some appeal for the newly established Chilean Communist Party.

In the world of European colonies, the war echoed loudly. Millions of Asian and African men had watched Europeans butcher one another without mercy, had gained new military skills and political awareness, and returned home with less respect for their rulers and with expectations for better treatment as a reward for their service. To gain Indian support for the war, the British had publicly promised to put that colony on the road to self-government, an announcement that set the stage for the independence struggle that followed. In East Asia, Japan emerged strengthened from the war, with European support for its claim to take over German territory and privileges in China. That news enraged Chinese nationalists and among a few sparked an interest in Soviet-style communism, for only the new communist rulers of Russia seemed willing to end the imperialist penetration of China.

Finally, the First World War brought the United States to center stage as a global power. Its manpower had contributed much to the defeat of Germany, and its financial resources turned the United States from a debtor nation into Europe's creditor.

When the American president Woodrow Wilson arrived in Paris for the peace conference in 1919, he was greeted with an almost religious enthusiasm. His famous Fourteen Points seemed to herald a new kind of international life, one based on moral principles rather than secret deals and imperialist machinations. Particularly appealing to many was his idea for the League of Nations, a new international peacekeeping organization committed to the principle of “collective security” and intended to avoid any repetition of the horrors that had just ended. Wilson’s idealistic vision largely failed, however. Germany was treated more harshly than he had wished. And in his own country, the U.S. Senate refused to join the League, on which Wilson had pinned his hopes for a lasting peace. Its opponents feared that Americans would be forced to bow to “the will of other nations.” That refusal seriously weakened the League of Nations as a vehicle for a new international order.

## Capitalism Unraveling: The Great Depression

### ■ Connection

In what ways was the Great Depression a global phenomenon?

Far and away the most influential change of the postwar decades lay in the Great Depression. If World War I represented the political collapse of Europe, this catastrophic downturn suggested that Western capitalism was likewise failing. During the nineteenth century, that economic system had spurred the most substantial economic growth in world history and had raised the living standards of millions, but to many people it was a troubling system. Its very success generated an individualistic materialism that seemed to conflict with older values of community and spiritual life. To socialists and many others, its immense social inequalities were unacceptable. Furthermore, its evident instability—with cycles of boom and bust, expansion and recession—generated profound anxiety and threatened the livelihood of both industrial workers and those who had gained a modest toehold in the middle class.

Never had the flaws of capitalism been so evident or so devastating as during the decade that followed the outbreak of the Great Depression in 1929. All across the Euro-American heartland of the capitalist world, this vaunted economic system seemed to unravel. For the rich, it meant contracting stock prices that wiped out paper fortunes almost overnight. On the day that the American stock market initially crashed (October 24, 1929), eleven Wall Street financiers committed suicide, some by jumping out of skyscrapers. Banks closed, and many people lost their life savings. Investment dried up, world trade dropped by 62 percent within a few years, and businesses contracted when they were unable to sell their products. For ordinary people, the worst feature of the Great Depression was the loss of work. Unemployment soared everywhere, and in both Germany and the United States it reached 30 percent or more by 1932 (see the Snapshot on p. 993). Vacant factories, soup kitchens, bread lines, shantytowns, and beggars came to symbolize the human reality of this economic disaster.

Explaining its onset, its spread from America to Europe and beyond, and its continuation for a decade has been a complicated task for historians. Part of the story lies in the United States’ booming economy during the 1920s. In a country physically



untouched by the Great War, wartime demand had greatly stimulated agricultural and industrial capacity. By the end of the 1920s, its farms and factories were producing more goods than could be sold because a highly unequal distribution of income meant that many people could not afford to buy the products that American factories were churning out. Nor were major European countries able to purchase those goods. Germany and Austria had to make huge reparation payments and were able to do so only with extensive U.S. loans. Britain and France, which were much indebted to the United States, depended on those reparations to repay their loans. Furthermore, Europeans generally had recovered enough to begin producing some of their own goods, and their expanding production further reduced the demand for American products. Meanwhile, a speculative stock market frenzy had driven up stock prices to an unsustainable level. When that bubble burst in late 1929, this intricately connected and fragile economic network across the Atlantic collapsed like a house of cards.

Much as Europe's worldwide empires had globalized the Great War, so too its economic linkages globalized the Great Depression. Countries or colonies tied to exporting one or two products were especially hard-hit. Colonial Southeast Asia, the world's major rubber-producing region, saw the demand for its primary export

#### Contrasts of the Great Depression

This 1937 *Life* magazine image by famed photographer Margaret Bourke-White shows black victims of a flood in Louisville, Kentucky, standing in a breadline during the Depression while behind them rises a billboard of a happy and prosperous white family. (Margaret Bourke-White/Time & Life Pictures/Getty Images)

drop dramatically as automobile sales in Europe and the United States were cut in half. In Britain's West African colony of the Gold Coast (present-day Ghana), farmers who had staked their economic lives on producing cocoa for the world market were badly hurt by the collapse of commodity prices. Latin American countries, whose economies were based on the export of agricultural products and raw materials, were also vulnerable to major fluctuations in the world market. The region as a whole saw the value of its exports cut by half during the Great Depression. In an effort to maintain the price of coffee, Brazil destroyed enough of its crop to have supplied the world for a year. Such conditions led to widespread unemployment and social tensions.

Those tensions of the Depression era often found political expression in Latin America in the form of a military takeover of the state. Such governments sought to steer their countries away from an earlier dependence on exports toward a policy of generating their own industries. Known as import substitution industrialization, such policies hoped to achieve greater economic independence by manufacturing for the domestic market goods that had previously been imported. These efforts were accompanied by more authoritarian and intrusive governments that played a greater role in the economy by enacting tariffs, setting up state-run industries, and favoring local businesses. They often adopted a highly nationalist and populist posture as they sought to extricate themselves from economic domination by the United States and Europe and to respond to the growing urban classes of workers and entrepreneurs.

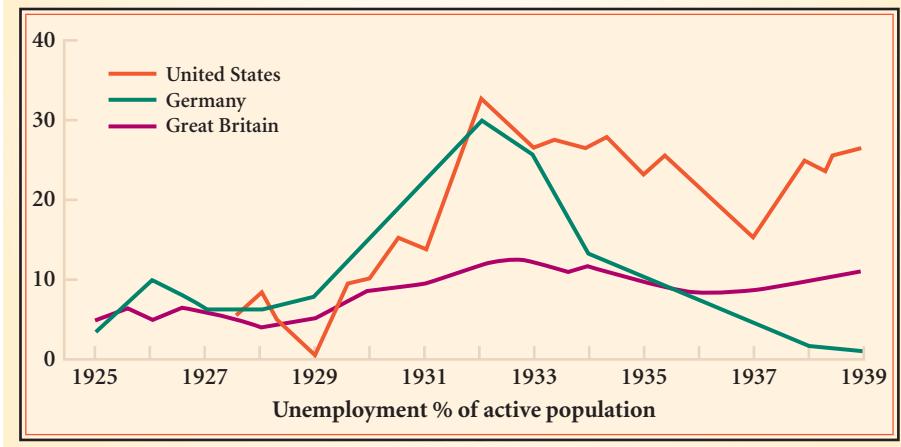
In Brazil, for example, the Depression discredited the established export elites such as coffee growers and led to the dictatorship of Getulio Vargas (1930–1945). Supported by the military, his government took steps to modernize the urban industrial sector of the economy including a state enterprise to manufacture trucks and airplane engines and regulations that gave the state considerable power over both unions and employers. But little was done to alleviate rural poverty. In Mexico, the Depression opened the way to a revival of the principles of the Mexican Revolution under the leadership of Lazaro Cardenas (1934–1940). He pushed land reform, favored Mexican workers against foreign interests, and nationalized an oil industry dominated by American capital.

These were but two cases of the many political and economic changes stimulated in Latin America by the Great Depression. Many of those changes—the growing role of the army in politics; authoritarian, populist, and interventionist governments; import substitution industrialization; and the assumption that governments should improve economic life—persisted in the decades that followed.

The Great Depression also sharply challenged the governments of industrialized capitalist countries, which generally had believed that the economy would regulate itself through the market. The market's apparent failure to self-correct led many people to look twice at the Soviet Union, the communist state that grew out of the Russian Revolution and encompassed much of the territory of the old Russian Empire (see pp. 635–39). There, the dispossession of the propertied classes and a state-controlled economy had generated an impressive economic growth with almost no

### Snapshot Comparing the Impact of the Depression<sup>3</sup>

As industrial production dropped during the Depression, unemployment soared. Yet the larger Western capitalist countries differed considerably in the duration and extent of this unemployment. Note especially the differences between Germany and the United States. How might you account for this difference?



unemployment in the 1930s, even as the capitalist world was reeling. No Western country opted for the dictatorial and draconian socialism of the Soviet Union, but in Britain, France, and Scandinavia, the Depression energized a “democratic socialism” that sought greater regulation of the economy and a more equal distribution of wealth through peaceful means and electoral politics.

The United States’ response to the Great Depression came in the form of President Franklin Roosevelt’s New Deal (1933–1942), an experimental combination of reforms seeking to restart economic growth and to prevent similar calamities in the future. These measures reflected the thinking of John Maynard Keynes, a prominent British economist who argued that government actions and spending programs could moderate the recessions and depressions to which capitalist economies were prone. Although this represented a departure from standard economic thinking, none of it was really “socialist,” even if some of the New Deal’s opponents labeled it as such.

Nonetheless, Roosevelt’s efforts permanently altered the relationship among government, the private economy, and individual citizens. Through immediate programs of public spending (for dams, highways, bridges, and parks), the New Deal sought to prime the pump of the economy and thus reduce unemployment. The New Deal’s longer-term reforms, such as the Social Security system, the minimum wage, and various relief and welfare programs, attempted to create a modest economic safety net to sustain the poor, the unemployed, and the elderly. By supporting labor unions, the New Deal strengthened workers in their struggles with business owners or managers. Subsidies for farmers gave rise to a permanent agribusiness that encouraged

continued production even as prices fell. Finally, a mounting number of government agencies marked a new degree of federal regulation and supervision of the economy.

Ultimately, none of the New Deal's programs worked very well to end the Great Depression. Not until the massive government spending required by World War II kicked in did that economic disaster abate in the United States. The most successful efforts to cope with the Depression came from unlikely places—Nazi Germany and an increasingly militaristic Japan.

## Democracy Denied: Comparing Italy, Germany, and Japan

Despite the victory of the democratic powers in World War I—Britain, France, and the United States—their democratic political ideals and their cultural values celebrating individual freedom came under sharp attack in the aftermath of that bloody conflict. One challenge derived from communism, which was initiated in the Russian Revolution of 1917 and expressed most fully in the cold war during the second half of the twentieth century (see Chapter 21). In the 1920s and 1930s, however, the more immediate challenge to the victors in the Great War came from highly authoritarian, intensely nationalistic, territorially aggressive, and ferociously anti-communist regimes, particularly those that took shape in Italy, Germany, and Japan. (See Documents: Ideologies of the Axis Powers, pp. 1018–24, for the ideas underlying these regimes.) Such common features of these three countries drew them together by 1936–1937 in a political alliance directed against the Soviet Union and international communism. In 1940, they solidified their relationship in a formal military alliance, creating the so-called Axis powers. Within this alliance, Germany and Japan clearly stand out, though in quite different ways, in terms of their impact on the larger patterns of world history, for it was their efforts to “establish and maintain a new order of things,” as the Axis Pact put it, that generated the Second World War both in East Asia and in Europe.

### *The Fascist Alternative in Europe*

#### ■ Change

In what ways did fascism challenge the ideas and practices of European liberalism and democracy?

Between 1919 and 1945, a new political ideology, known as fascism, found expression across much of Europe. At the level of ideas, fascism was intensely nationalistic, seeking to revitalize and purify the nation and to mobilize its people for some grand task. Its spokesmen praised violence against enemies as a renewing force in society, celebrated action rather than reflection, and placed their faith in a charismatic leader. Fascists also bitterly condemned individualism, liberalism, feminism, parliamentary democracy, and communism, all of which, they argued, divided and weakened the nation. In their determination to overthrow existing regimes, they were revolutionary; in their embrace of traditional values and their opposition to much of modern life, however, they were conservative or reactionary.

Such ideas appealed to aggrieved people all across the social spectrum. In the devastation that followed the First World War, the numbers of such people grew substantially. In the aftermath of the Russian Revolution of 1917, some among the middle and upper classes felt the rise of socialism and communism as a dire threat; small-scale merchants, artisans, and farmers feared the loss of their independence to either big business or socialist revolution; demobilized soldiers had few prospects and nursed many resentments; and intellectuals were appalled by the materialism and artificiality of modern life. Such people had lost faith in the capacity of liberal democracy and capitalism to create a good society and to protect their interests. Some among them proved a receptive audience for the message of fascism.

Small fascist movements appeared in many Western European countries, including France, Great Britain, and the Netherlands, but they had little political impact. More substantial movements took shape in Austria, Hungary, and Romania. In Spain, the rise of a fascist movement led to a bitter civil war (1936–1939) and a dictatorial regime that lasted into the 1970s. Conservative and authoritarian regimes or social movements in Latin America sometimes adopted the trappings of fascism—one-man rule, state-controlled parties, youth organizations or militias, a rhetoric of national renewal, anti-Semitic measures, though without implementing its more revolutionary features. But in Italy and Germany, such movements achieved prolonged power in major states, with devastating consequences for Europe and the world.

The fascist alternative took shape first in Italy. That nation had become a unified state only in 1870 and had not yet developed a modern and thoroughly democratic culture. In the early twentieth century, conservative landlords still dominated much of the countryside. Northern Italy, however, had begun to industrialize in the late nineteenth century, generating the characteristic tension between an industrial working class and a substantial middle class. The First World War gave rise to resentful veterans, many of them unemployed, and to patriots who believed that Italy had not gained the territory it deserved from the Treaty of Versailles. During the serious economic downturn after World War I, trade unions, peasant movements, and various communist and socialist parties threatened the established social order with a wave of strikes and land seizures.

Into this setting stepped a charismatic orator and a former journalist with a socialist background, Benito Mussolini (1883–1945). With the help of a private army of disillusioned veterans and jobless men known as the Black Shirts, Mussolini swept to power in 1922, promising an alternative to both communism and ineffective democratic rule. Considerable violence accompanied Mussolini's rise to power as bands of Black Shirts destroyed the offices of socialist newspapers and attacked striking workers. Fearful of communism, big business threw its support to Mussolini, who promised order in the streets, an end to bickering party-based politics, and the maintenance of the traditional social order. That Mussolini's government allegedly made the trains run on time became evidence that these promises might be fulfilled. The symbol of this movement was the *fasces*, a bundle of birch rods bound together around an axe, which represented power and strength in unity and derived from ancient Rome. Thus fascism was born.



#### The Faces of European Fascism

Benito Mussolini (left) and Adolf Hitler came to symbolize fascism in Europe in the several decades between the two world wars. In this photograph from September 1937, they are reviewing German troops in Munich during Mussolini's visit to Germany, a trip that deepened the growing relationship between their two countries. (Luce/Keystone/Getty Images)

ory, in which workers, employers, and various professional groups were organized into “corporations” that were supposed to settle their disagreements and determine economic policy under the supervision of the state.

Culturally, fascists invoked various aspects of traditional Italian life. Though personally an atheist, Mussolini embraced the Catholic culture of Italy in a series of agreements with the Church, known as the Lateran Accords of 1929, which made the Vatican a sovereign state and Catholicism Italy's national religion. In fascist propaganda, women were portrayed in highly traditional domestic terms, particularly as mothers creating new citizens for the fascist state, with no hint of equality or liberation. Nationalists were delighted when Italy invaded Ethiopia in 1935, avenging the embarrassing defeat that Italians suffered at the hands of Ethiopians in 1896. In the eyes of Mussolini and fascist believers, all of this was the beginning of a “new Roman Empire” that would revitalize Italian society and give it a global mission.

### Hitler and the Nazis

Far more important in the long run was the German expression of European fascism, which took shape as the Nazi Party under the leadership of Adolf Hitler (1889–1945). In many respects, it was similar to its Italian counterpart. Both espoused an extreme

In Mussolini's thinking, fascism was resolutely anticommunist, “the complete opposite . . . of Marxist socialism” and equally antidemocratic. “Fascism combats the whole complex system of democratic ideology, and repudiates it,” he wrote. At the core of Mussolini's fascism was his conception of the state. “Fascism conceives of the State as an absolute, in comparison with which all individuals and groups are relative, only to be conceived of in their relation to the State.” To Mussolini, the State was a conscious entity with “a will and a personality,” which represents the “spirit of the nation.” Its expansion in war and empire building was “an essential manifestation of vitality.”<sup>4</sup>

Mussolini promised his mass following major social reforms, though in practice he concentrated on consolidating the power of the central state. His government suspended democracy and imprisoned, deported, or sometimes executed opponents. Italy's fascist regime also disbanded independent labor unions and peasant groups as well as all opposing political parties. In economic life, a “corporate state” took shape, at least in the-

nationalism, openly advocated the use of violence as a political tool, generated a single-party dictatorship, were led by charismatic figures, despised parliamentary democracy, hated communism, and viewed war as a positive and ennobling experience.<sup>5</sup> The circumstances that gave rise to the Nazi movement were likewise broadly similar to those of Italian fascism, although the Nazis did not achieve national power until 1933.

The end of World War I witnessed the collapse of the German imperial government, itself less than a half century old. It was left to the democratic politicians of a new government—known as the Weimar Republic—to negotiate a peace settlement with the victorious allies. Traditional elites, who had withdrawn from public life in disgrace, never explicitly took responsibility for Germany's defeat; instead they attacked the democratic politicians who had the unenviable task of signing the Treaty of Versailles and enforcing it. In this setting, some began to argue that German military forces had not really lost the war but that civilian socialists, communists, and Jews had betrayed the nation, "stabbing it in the back."

As in postwar Italy, liberal or democratic political leaders during the 1920s faced considerable hostility. Paramilitary groups of veterans known as the Freikorps assassinated hundreds of supporters of the Weimar regime. Gradually, some among the middle classes as well as conservative landowners joined in opposition to the Weimar regime, both groups threatened by the ruinous inflation of 1923 and then the Great Depression. The German economy largely ground to a halt in the early 1930s amid massive unemployment among workers and the middle class alike. Everyone demanded decisive action from the state. Many industrial workers looked to socialists and communists for solutions; others turned to fascism. Large numbers of middle-class people deserted moderate political parties in favor of conservative and radical right-wing movements.

This was the context in which Adolf Hitler's National Socialist, or Nazi, Party gained growing public support. Founded shortly after the end of World War I, that Party under Hitler's leadership proclaimed a message of intense German nationalism cast in terms of racial superiority, bitter hatred for Jews as an alien presence, passionate opposition to communism, a determination to rescue Germany from the humiliating requirements of the Treaty of Versailles, and a willingness to decisively tackle the country's economic problems. Throughout the 1920s, the Nazis were a minor presence in German politics, gaining only 2.6 percent of the vote in the national elections of 1928. Just four years later, however, in the wake of the Depression's terrible impact and the Weimar government's inability to respond effectively, the Nazis attracted 37 percent of the vote. In 1933, Hitler was legally installed as the chancellor of the German government. Thus did the Weimar Republic, a democratic regime that never gained broad support, give way to the Third Reich.

Once in power, Hitler moved quickly to consolidate Nazi control of Germany. All other political parties were outlawed; independent labor unions were ended; thousands of opponents were arrested; and the press and radio came under state control. Far more thoroughly than Mussolini in Italy, Hitler and the Nazis established their control over German society.<sup>6</sup>

## ■ Comparison

What was distinctive about the German expression of fascism? What was the basis of popular support for the Nazis?

By the late 1930s, Hitler apparently had the support of a considerable majority of the population, in large measure because his policies successfully brought Germany out of the Depression. The government invested heavily in projects such as super-highways, bridges, canals, and public buildings and, after 1935, in rebuilding and rearming the country's diminished military forces. These policies drove down the number of unemployed Germans from 6.2 million in 1932 to fewer than 500,000 in 1937. Two years later Germany had a labor shortage. Erna Kranz, a teenager in the 1930s, later remembered the early years of Nazi rule as “a glimmer of hope . . . not just for the unemployed but for everybody because we all knew that we were downtrodden. . . . It was a good time . . . there was order and discipline.”<sup>7</sup> Millions agreed with her.

Other factors as well contributed to Nazi popularity. Like Italian fascists, Hitler too appealed to rural and traditional values that many Germans feared losing as their country modernized. In Hitler's thinking and in Nazi propaganda, Jews became the symbol of the urban, capitalist, and foreign influences that were undermining traditional German culture. Thus the Nazis reflected and reinforced a broader and long-established current of anti-Semitism that had deep roots in much of Europe. In his book *Mein Kampf* (*My Struggle*), Hitler outlined his case against the Jews and his call for the racial purification of Germany in vitriolic terms. (See Document 20.1, pp. 1018–21, for a statement of Hitler's thinking.)

Far more than elsewhere, this insistence on a racial revolution was a central feature of the Nazi program and differed from the racial attitudes in Italy, where Jews were a tiny minority of the population and deeply assimilated into Italian culture. Early on, Mussolini had ridiculed Nazi racism, but as Germany and Italy drew closer together, Italy too began a program of overt anti-Semitism, though nothing approaching the extremes that characterized Nazi Germany.

Upon coming to power, Hitler implemented policies that increasingly restricted Jewish life. Soon Jews were excluded from universities, professional organizations, and civil employment. In 1935, the Nuremberg Laws ended German citizenship for Jews and forbade marriage or sexual relations between Jews and Germans. On the night of November 9, 1938, known as Kristallnacht, persecution gave way to terror, when Nazis smashed and looted Jewish shops. Such actions made clear the Nazis' determination to rid Germany of its Jewish population, thus putting into effect the most radical element of Hitler's program. Still, it was not yet apparent that this “racial revolution” would mean the

### Nazi Hatred of the Jews

This picture served as the cover of a highly anti-Semitic book of photographs entitled *The Eternal Jew*, published by the Nazis in 1937. It effectively summed up many of the themes of the Nazi case against the Jews, showing them as ugly and subhuman, as the instigators of communism (the hammer and sickle on a map of Russia), as greedy capitalists (coins in one hand), and as seeking to dominate the world (the whip). (akg-images)



mass killing of Europe's Jews. That horrendous development emerged only in the context of World War II.

Beyond race, gender too figured prominently in Nazi thought and policies. Deeply antifeminist and resentful of the liberating changes that modern life had brought to European women, Nazis wanted to limit women largely to the home, removing them from the paid workforce. To Hitler, the state was the natural domain of men, while the home was the realm of women. "Woman in the workplace is an oppressed and tormented being," declared a Nazi publication.<sup>8</sup> Concerned about declining birth-rates, Italy and Germany alike promoted a cult of motherhood, glorifying and rewarding women who produced children for the state. Accordingly, fascist regimes in both countries generally opposed abortion, contraception, family planning, and sex education, all of which were associated with feminist thinking.

Yet such an outlook did not necessarily coincide with conservative or puritanical sexual attitudes. In Germany, a state-sponsored system of brothels was initiated in the mid-1930s, for it was assumed that virile men would be promiscuous and that soldiers required a sexual outlet, if they were to contribute to the nation's military strength. Because of this "need," Nazi policy exempted condoms from the ban on birth control. Heinrich Himmler, a leading Nazi official, also openly encouraged illegitimate births among Aryans to augment the nation's numbers. Thus, Nazi rhetoric about the chaste Aryan family was trumped—or supplemented—by a concern to use sexuality to advance the cause of the nation.

Also sustaining Nazi rule were massive torch-light ceremonies celebrating the superiority of the German race and its folk culture. In these settings, Hitler was the mystical leader, the Führer, a mesmerizing orator who would lead Germany to national greatness and individual Germans to personal fulfillment.

If World War I and the Great Depression brought about the political and economic collapse of Europe, the Nazi phenomenon represented a moral collapse within the West, deriving from a highly selective incorporation of earlier strands of European culture. On the one hand, the Nazis actively rejected some of the values—rationalism, tolerance, democracy, human equality—that for many people had defined the core of Western civilization since the Enlightenment. On the other hand, they claimed the legacy of modern science, particularly in their concern to classify and rank various human groups. Thus they drew heavily on the "scientific racism" of the late nineteenth century and its expression in phrenology, which linked the size and shape of the skull to human behavior and personality (see pp. 891–92). Moreover, in their effort to purify German society, the Nazis reflected the Enlightenment confidence in the perfectibility of humankind and in the social engineering necessary to achieve it.

## *Japanese Authoritarianism*

In various ways, the modern history of Japan paralleled that of Italy and Germany. All three were newcomers to great power status, with Japan joining the club of industrializing and empire-building states only in the late nineteenth century as its sole

## ■ Comparison

How did Japan's experience during the 1920s and 1930s resemble that of Germany, and how did it differ?

Asian member (see pp. 950–53). Like Italy and Germany, Japan had a rather limited experience with democratic politics, for its elected parliament was constrained by a very small electorate (only 1.5 million men in 1917) and by the exalted position of a semidivine emperor and his small coterie of elite advisers. During the 1930s, Japan too moved toward authoritarian government and a denial of democracy at home, even as it launched an aggressive program of territorial expansion in East Asia.

Despite these broad similarities, Japan's history in the first half of the twentieth century was clearly distinctive. In sharp contrast to Italy and Germany, Japan's participation in World War I was minimal, and its economy grew considerably as other industrialized countries were consumed by the European conflict. At the peace conference ending that war, Japan was seated as an equal participant, allied with the winning side of democratic countries such as Britain, France, and the United States.

During the 1920s, Japan seemed to be moving toward more democratic politics and Western cultural values. Universal male suffrage was achieved in 1925; cabinets led by leaders of the major parties, rather than bureaucrats or imperial favorites, governed the country; and a two-party system began to emerge. Supporters of these developments generally embraced the dignity of the individual, free expression of ideas, and greater gender equality. Education expanded; an urban consumer society developed; middle-class women entered new professions; young women known as *moga* (modern girls) sported short hair and short skirts, while dancing with *mobo* (modern boys) at jazz clubs and cabarets. To such people, the Japanese were becoming world citizens and their country was becoming “a province of the world” as they participated increasingly in a cosmopolitan and international culture.

In this environment, the accumulated tensions of Japan's modernizing and industrializing processes found expression. “Rice riots” in 1918 brought more than a million people into the streets of urban Japan to protest the rising price of that essential staple. Union membership tripled in the 1920s as some factory workers began to think in terms of entitlements and workers' rights rather than the benevolence of their employers. In rural areas, tenant unions multiplied, and disputes with landowners increased amid demands for a reduction in rents. A mounting women's movement advocated a variety of feminist issues, including suffrage and the end of legalized prostitution. “All the sleeping women are now awake and moving,” declared Yosano Akiko, a well-known poet, feminist, and social critic. Within the political arena, a number of “proletarian parties”—the Labor-Farmer Party, the Socialist People's Party, and a small Japan Communist Party—promised in various ways to “bring about the political, economic and social emancipation of the proletarian class.”<sup>9</sup>

For many people in established elite circles—bureaucrats, landowners, industrialists, military officials—all of this was both appalling and alarming, suggesting echoes of the Russian Revolution of 1917. A number of political activists were arrested, and a few were killed. A Peace Preservation Law, enacted in 1925, promised long prison sentences, or even the death penalty, to anyone who organized against the existing imperial system of government or private property.

As in Germany, however, it was the impact of the Great Depression that paved the way for harsher and more authoritarian action. That worldwide economic cata-

trophe hit Japan hard. Shrinking world demand for silk impoverished millions of rural dwellers who raised silk-worms. Japan's exports fell by half between 1929 and 1931, leaving a million or more urban workers unemployed. Many young workers returned to their rural villages only to find food scarce, families forced to sell their daughters to urban brothels, and neighbors unable to offer the customary money for the funerals of their friends. In these desperate circumstances, many began to doubt the ability of parliamentary democracy and capitalism to address Japan's "national emergency." Politicians and business leaders alike were widely regarded as privileged, self-centered, and heedless of the larger interests of the nation.

Such conditions energized a growing movement in Japanese political life known as Radical Nationalism or the Revolutionary Right. Expressed in dozens of small groups, it was especially appealing to younger army officers. The movement's many separate organizations shared an extreme nationalism, hostility to parliamentary democracy, a commitment to elite leadership focused around an exalted emperor, and dedication to foreign expansion. The manifesto of one of those organizations, the Cherry Blossom Society, expressed these sentiments clearly in 1930:

As we observe recent social trends, top leaders engage in immoral conduct, political parties are corrupt, capitalists and aristocrats have no understanding of the masses, farming villages are devastated, unemployment and depression are serious. . . . The rulers neglect the long term interests of the nation, strive to win only the pleasure of foreign powers and possess no enthusiasm for external expansion. . . . The people are with us in craving the appearance of a vigorous and clean government that is truly based upon the masses, and is genuinely centered around the Emperor.<sup>10</sup>

Members of such organizations managed to assassinate a number of public officials and prominent individuals, in the hope of provoking a return to direct rule by the emperor, and in 1936 a group of junior officers attempted a military takeover of the government, which was quickly suppressed. In sharp contrast to developments in Italy and Germany, however, no right-wing party gained wide popular support, nor was any such party able to seize power in Japan. Although individuals and small groups sometimes espoused ideas similar to those of European fascists, no major fascist party emerged. Nor did Japan produce any charismatic leader on the order of Mussolini or Hitler. People arrested for political offenses were neither criminalized nor exterminated, as in Germany, but instead were subjected to a process of "resocialization" that brought the vast majority of them to renounce their "errors" and return to the "Japanese way." Japan's established institutions of government were sufficiently strong,



**Japan's Modern Girl**

This photo from the 1920s shows a young Japanese woman curling her hair in the bobbed style popular among "flappers" in the West. Its easy-to-care-for style suggested modernity and liberation from ancient tradition. (DUITS, Tokyo, Japan)

and traditional notions of the nation as a family headed by the emperor were sufficiently intact, to prevent the development of a widespread fascist movement able to take control of the country.<sup>11</sup>

In the 1930s, though, Japanese public life clearly changed in ways that reflected the growth of right-wing nationalist thinking. Parties and the parliament continued to operate, and elections were held, but major cabinet positions now went to prominent bureaucratic or military figures rather than to party leaders. The military in particular came to exercise a more dominant role in Japanese political life, although military men had to negotiate with business and bureaucratic elites as well as party leaders. Censorship limited the possibilities of free expression, and a single news agency was granted the right to distribute all national and most international news to the country's newspapers and radio stations. An Industrial Patriotic Federation replaced independent trade unions with factory-based "discussion councils" to resolve local disputes between workers and managers.

Established authorities also adopted many of the ideological themes of the Radical Right. In 1937, the Ministry of Education issued a new textbook, *Cardinal Principles of the National Entity of Japan*, for use in all Japanese schools (see Document 20.2, pp. 1021–23). That document proclaimed the Japanese to be "intrinsically quite different from the so-called citizens of Occidental [Western] countries." Those nations were "conglomerations of separate individuals" with "no deep foundation between ruler and citizen to unite them." In Japan, by contrast, an emperor of divine origin related to his subjects as a father to his children. It was a natural, not a contractual, relationship, expressed most fully in the "sacrifice of the life of a subject for the Emperor." In addition to studying this text, students were now required to engage in more physical training, in which Japanese martial arts replaced baseball in the physical education curriculum.

The erosion of democracy and the rise of the military in Japanese political life reflected long-standing Japanese respect for the military values of its ancient samurai warrior class as well as the relatively independent position of the military in Japan's Meiji constitution. The state's success in quickly bringing the country out of the Depression likewise fostered popular support. As in Nazi Germany, state-financed credit, large-scale spending on armaments, and public works projects enabled Japan to emerge from the Depression more rapidly and more fully than major Western countries. "By the end of 1937," noted one Japanese laborer, "everybody in the country was working."<sup>12</sup> By the mid-1930s, the government increasingly assumed a supervisory or managerial role in economic affairs that included subsidies to strategic industries; profit ceilings on major corporations; caps on wages, prices, and rents; and a measure of central planning. Private property, however, was retained, and the huge industrial enterprises called *zaibatsu* continued to dominate the economic landscape.

Although Japan during the 1930s shared some common features with fascist Italy and Nazi Germany, it remained, at least internally, a less repressive and more pluralistic society than either of those European states. Japanese intellectuals and writers had to contend with government censorship, but they retained some influence in the country. Generals and admirals exercised great political authority as the role of an

elected parliament declined, but they did not govern alone. Political prisoners were few and were not subjected to execution or deportation as in European fascist states. Japanese conceptions of their racial purity and uniqueness were directed largely against foreigners rather than an internal minority. Nevertheless, like Germany and Italy, Japan developed extensive imperial ambitions. Those projects of conquest and empire building collided with the interests of established world powers such as the United States and Britain, launching a second, and even more terrible, global war.

## A Second World War

World War II, even more than the Great War, was a genuinely global conflict with independent origins in both Asia and Europe. Their common feature lay in dissatisfied states in both continents that sought to fundamentally alter the international arrangements that had emerged from World War I. Many Japanese, like their counterparts in Italy and Germany, felt stymied by Britain and the United States as they sought empires that they regarded as essential for their national greatness and economic well-being.

### *The Road to War in Asia*

World War II began in Asia before it occurred in Europe. In the late 1920s and the 1930s, Japanese imperial ambitions mounted as the military became more powerful in Japan's political life and as an earlier cultural cosmopolitanism gave way to more nationalist sentiments. An initial problem was the rise of Chinese nationalism, which seemed to threaten Japan's sphere of influence in Manchuria, acquired after the Russo-Japanese War of 1904–1905. Acting independently of civilian authorities in Tokyo, units of the Japanese military seized control of Manchuria in 1931 and established a puppet state called Manchukuo. This action infuriated Western powers, prompting Japan to withdraw from the League of Nations, to break politically with its Western allies, and in 1936 to align more closely with Germany and Italy. By that time, relations with an increasingly nationalist China had deteriorated further, leading to a full-scale attack on heartland China in 1937 and escalating a bitter conflict that would last another eight years. World War II in Asia had begun (see Map 20.4).

As Japan's war against China unfolded, the view of the world held by Japanese authorities and many ordinary people hardened. Increasingly, they felt isolated, surrounded, and threatened. A series of international agreements in the early 1920s that had granted Japan a less robust naval force than Britain or the United States as well as anti-Japanese immigration policies in the United States convinced some Japanese that racism prevented the West from acknowledging Japan as an equal power. Furthermore, Japan was quite dependent on foreign and especially American sources of strategic goods. By the late 1930s, some 73 percent of Japan's scrap iron, 60 percent of its imported machine tools, 80 percent of its oil, and about half of its copper came from the United States, which was becoming increasingly hostile to Japanese ambitions in Asia. Moreover, Western imperialist powers—the British, French, and Dutch—controlled resource-rich colonies in Southeast Asia. Finally, the Soviet Union, proclaiming an

### ■ Comparison

In what ways were the origins of World War II in Asia and in Europe similar to each other? How were they different?



**Map 20.4** World War II in Asia and the Pacific

Japanese aggression temporarily dislodged the British, French, Dutch, and Americans from their colonial possessions in Asia, while inflicting vast devastation on China. Much of the American counterattack involved “island hopping” across the Pacific until the dropping of the atomic bombs on Hiroshima and Nagasaki finally prompted the Japanese surrender in August 1945.

alien communist ideology, loomed large in northern Asia. To growing numbers of Japanese, their national survival was at stake.

Thus in 1940–1941, Japan extended its military operations to the French, British, Dutch, and American colonies of Indochina, Malaya, Burma, Indonesia, and the Philippines in an effort to acquire those resources that would free it from dependence

on the West. In carving out this Pacific empire, the Japanese presented themselves as liberators and modernizers, creating an “Asia for Asians” and freeing their continent from European dominance. Experience soon showed that Japan’s concern was far more for Asia’s resources than for its liberation and that Japanese rule exceeded in brutality even that of the Europeans.

A decisive step in the development of World War II in Asia lay in the Japanese attack on the United States at Pearl Harbor in Hawaii in December 1941. Japanese authorities undertook that attack with reluctance and only after negotiations to end American hostility to Japan’s empire-building enterprise proved fruitless and an American oil embargo was imposed on Japan in July 1941. American opinion in the 1930s increasingly saw Japan as aggressive, oppressive, and a threat to U.S. economic interests in Asia. In the face of this hostility, Japan’s leaders felt that the alternatives for their country boiled down to either an acceptance of American terms, which they feared would reduce Japan to a second- or third-rank power, or a war with an uncertain outcome. Given those choices, the decision for war was made more with foreboding than with enthusiasm. A leading Japanese admiral made the case for war in this way in late 1941: “The government has decided that if there were no war the fate of the nation is sealed. Even if there is a war, the country may be ruined. Nevertheless a nation that does not fight in this plight has lost its spirit and is doomed.”<sup>13</sup>

As a consequence of the attack on Pearl Harbor, the United States entered the war in the Pacific, beginning a long and bloody struggle that ended only with the use of atomic bombs against Hiroshima and Nagasaki in 1945. The Pearl Harbor action also joined the Asian theater of the war and the ongoing conflict in Europe into

### Hiroshima

The dropping of atomic bombs on Hiroshima (August 6, 1945) and a few days later on Nagasaki marked the end of World War II in the Pacific and the opening of a nuclear arms race that has cast an enormous shadow on the world ever since. In this photograph from an utterly devastated Hiroshima, a group of survivors waits for help in the southern part of the city a few hours after the bomb was dropped. (AP Photo)



a single global struggle that pitted Germany, Italy, and Japan (the Axis powers) against the United States, Britain, and the Soviet Union (the Allies).

## The Road to War in Europe

If Japan was the dissatisfied power in Asia, Nazi Germany clearly occupied that role in Europe. As a consequence of their defeat in World War I and the harsh terms of the Treaty of Versailles, many Germans harbored deep resentments about their country's position in the international arena. Taking advantage of those resentments, the Nazis pledged to rectify the treaty's perceived injustices. Thus, to most historians, the origins of World War II in Europe lie squarely in German aggression, although with many twists and turns and encouraged by the initial unwillingness of Britain, France, or the Soviet Union to confront that aggression forcefully. If World War I was accidental and unintended, World War II was deliberate and planned—perhaps even desired—by the German leadership and by Hitler in particular.

War was central to the Nazi agenda in several ways. Nazism was born out of World War I, the hated treaty that ended it, and the disillusioned ex-soldiers who emerged from it. Furthermore, the celebration of war as a means of ennobling humanity and enabling the rise of superior peoples was at the core of Nazi ideology. “Whoever would live must fight,” Hitler declared. “Only in force lies the right of possession.” He consistently stressed the importance for Germany of gaining *lebensraum* (living space) in the east, in the lands of Slavic Poland and Russia. Inevitably, this required war (see Document 20.1, pp. 1018–21).

Slowly at first and then more aggressively, Hitler prepared the country for war as he also pursued territorial expansion. A major rearmament program began in 1935. The next year, German forces entered the Rhineland, which the Treaty of Versailles had ordered demilitarized. In 1938, Germany annexed Austria and the German-speaking parts of Czechoslovakia. At a famous conference in Munich in that year, the British and the French gave these actions their reluctant blessing, hoping that this “appeasement” of Hitler could satisfy his demands and avoid all-out war. But it did not. On September 1, 1939, Germany unleashed a devastating attack on Poland, triggering the Second World War in Europe, as Britain and France declared war on Germany. Quickly defeating France, the Germans launched a destructive air war against Britain and in 1941 turned their war machine loose on the Soviet Union. By then, most of Europe was under Nazi control (see Map 20.5).

Although Germany was central to both world wars, the second one was quite different from the first. It was not welcomed with the kind of mass enthusiasm across Europe that had accompanied the opening of World War I in 1914. The bitter experience of the Great War suggested to most people that only suffering lay ahead. The conduct of the two wars likewise differed. The first war had quickly bogged down in trench warfare that emphasized defense, whereas in the second war the German tactic of *blitzkrieg* (lightning war) coordinated the rapid movement of infantry, tanks, and airpower over very large areas.



**Map 20.5** World War II in Europe and Africa

For a brief moment during World War II, Nazi Germany came close to bringing all of Europe and North Africa under its rule. Then in late 1942 the allies began a series of counterattacks that led to German surrender in May 1945.

Such military tactics were initially successful and allowed German forces, aided by their Italian allies, to sweep over Europe, the western Soviet Union, and North Africa. The tide began to turn in 1942 when the Soviet Union absorbed the German onslaught and then began to counterattack, slowly and painfully moving westward toward the German heartland. The United States, with its enormous material and human resources, fully joined the struggle against Germany in 1942. Three more years of bitter fighting ensued before the German defeat in May 1945.

## The Outcomes of Global Conflict

### ■ Comparison

How did World War II differ from World War I?

The Second World War was the most destructive conflict in world history, with total deaths estimated at around 60 million, some six times that of World War I. More than half of those casualties were civilians. Partly responsible for this horrendous toll were the new technologies of warfare—heavy bombers, jet fighters, missiles, and atomic weapons. Equally significant, though, was the almost complete blurring of the traditional line between civilian and military targets, as entire cities and whole populations came to be defined as the enemy.

Nowhere was that blurring more complete than in the Soviet Union, which accounted for more than 40 percent of the total deaths in the war—probably around 25 million, with an equal number made homeless and thousands of towns, villages, and industrial enterprises destroyed. German actions fulfilled Hitler's instructions to his leading generals: “The war against Russia will be such that it cannot be conducted in a knightly fashion; the struggle is one of ideologies and racial differences and will have to be conducted with unprecedented, unmerciful, and unrelenting harshness. . . . German soldiers guilty of breaking international law . . . will be excused.”<sup>14</sup>

In China as well, perhaps 15 million deaths and uncounted refugees grew out of prolonged Chinese resistance and the shattering Japanese response, including the killing of every person and every animal in many villages. Within a few months, during the infamous Rape of Nanjing in 1937–1938, some 200,000 to 300,000 Chinese civilians were killed and often mutilated, and countless women were sexually assaulted. Indiscriminate German bombing of British cities and the Allied firebombing of Japanese and German cities likewise reflected the new morality of total war, as did the dropping of atomic bombs on Hiroshima and Nagasaki, which in a single instant vaporized tens of thousands of people. This was total war with a scale, intensity, and indiscriminate brutality that exceeded even the horrors of World War I.

A further dimension of total war lay in governments' efforts to mobilize their economies, their people, and their propaganda machines even more extensively than before. Colonial resources were harnessed once again. The British in particular made extensive use of colonial troops and laborers from India and Africa. Japan compelled several hundred thousand women from Korea, China, and elsewhere to serve the sexual needs of Japanese troops as so-called comfort women, who often accommodated twenty to thirty men a day.

As in World War I, though on a much larger scale, the needs of the war drew huge numbers of women into both industry and the military. In the United States, “Rosie the Riveter” represented those women who now took on heavy industrial jobs, which previously had been reserved for men. In the Soviet Union, women constituted more than half of the industrial workforce by 1945 and almost completely dominated agricultural production. Soviet women also participated actively in combat with some 100,000 of them winning military honors. A much smaller percentage of German and Japanese women were mobilized for factory work, but a Greater Japan Women’s Society enrolled some 19 million members, who did volunteer work

and promised to lay aside their gold jewelry and abandon extravagant weddings. As always, war heightened the prestige of masculinity, and given the immense sacrifices that men had made, few women were inclined to directly challenge the practices of patriarchy immediately following the war.

But, while women had largely escaped death and injury in the First World War, neither women nor children were able to do so amid the indiscriminate slaughter of World War II. Urban bombing, blockades, mass murder, starvation, concentration camps—all of this affected men, women, and children alike. But women were almost exclusively the victims of the widespread rape that accompanied World War II—Japanese soldiers against Chinese women, Soviet troops against German women, among others. A prominent American observer commenting on the pervasive victimization of European women in 1945 commented: “Europe is now a place where woman has lost her perennial fight for decency because the indecent alone live.”<sup>15</sup>

Among the most haunting outcomes of the war was the Holocaust. The outbreak of that war closed off certain possibilities, such as forced emigration, for implementing the Nazi dream of ridding Germany of its Jewish population. It also brought millions of additional Jews in Poland and the Soviet Union under German control and triggered among Hitler’s enthusiastic subordinates various schemes for a “final solution” to the Jewish question. From this emerged the death camps that included Auschwitz, Dachau, and Bergen-Belsen. Altogether, some 6 million Jews perished in a technologically sophisticated form of mass murder that set a new standard for human depravity. Millions more whom the Nazis deemed inferior, undesirable, or dangerous—Russians, Poles, and other Slavs; Gypsies, or the Roma; mentally or physically handicapped people; homosexuals; communists; and Jehovah’s Witnesses—likewise perished in Germany’s efforts at racial purification. (For the personal story of a Holocaust victim, see the Portrait of Etty Hillesum, pp. 1010–11.)

Although the Holocaust was concentrated in Germany, its significance in twentieth-century world history has been huge. It has haunted postwar Germany in particular and the Western world in general. How could such a thing have occurred in a Europe bearing the legacy of both Christianity and the Enlightenment? More specifically, it sent many of Europe’s remaining Jews fleeing to Israel and gave urgency to the establishment of a modern Jewish nation in the ancient Jewish homeland. That action outraged many Arabs, some of whom were displaced by the arrival of the Jews, and has fostered an enduring conflict in the Middle East. Furthermore, the Holocaust defined a new category of crimes against humanity—genocide, the attempted elimination of entire peoples. Universal condemnation of the Holocaust, however, did not end the practice, as cases of mass slaughter in Cambodia, Rwanda, Bosnia, and the Sudan have demonstrated.

On an even larger scale than World War I, this second global conflict rearranged the architecture of world politics. As the war ended, Europe was impoverished, its industrial infrastructure shattered, many of its great cities in ruins, and millions of its people homeless or displaced. Within a few years, this much-weakened Europe was effectively divided, with its western half operating under an American security

## PORTRAIT

### Etty Hillesum, Witness to the Holocaust

Not often can historians penetrate the inner worlds of the people they study. But in the letters and diary of Etty Hillesum, a young Dutch Jewish woman caught up in the Nazi occupation of her country during the early 1940s, we can catch a glimpse of her expanding interior life even as her external circumstances contracted sharply amid the unfolding of the Holocaust.<sup>16</sup>

Born in 1914, Etty was the daughter of a Dutch academic father and a Russian-born mother. She attended university, tutored students in the Russian language, and hoped to become a writer. The Nazi invasion of the Netherlands in May 1940 found Etty living in Amsterdam with five other people. There she experienced and described Nazi rule and its mounting impact on Jews. “More arrests, more terror, concentration camps; the arbitrary dragging off of fathers, sisters, brothers,” she wrote in June 1941. Soon Amsterdam’s Jews were required to wear identifying yellow stars and were forbidden from walking on certain streets, riding the tram, visiting particular shops,



*Etty Hillesum. (Collection Jewish Historical Museum, Amsterdam)*

or eating in cafes. Signs reading “no admittance to Jews” sprang up across the city.

Etty initially reacted to these events with hatred and depression. She felt “beside myself with anger, cursing and swearing at the Germans,” and was even upset with a German woman living in her house who had no connections whatever with the Nazis. “They are out to destroy us completely,” she wrote on July 3, 1942. “Today I am filled with despair.” Over time, however, her perspective changed. “I really see no other solution than to turn inward and to root out all the rottenness there.” One day, with the “sound of fire, shooting, bombs” raging outside,

Etty listened to a Bach recording and wrote: “I know and share the many sorrows a human being can experience, but I do not cling to them; they pass through me, like life itself, as a broad eternal stream . . . and life continues. . . . If you have given sorrow the space that its gentle origins demand, then you may say that life is beautiful and so rich . . . that it makes you want to believe in God.”

umbrella and the eastern half subject to Soviet control. It was clear that Europe’s dominance in world affairs was finished.

Over the next two decades, Europe’s greatly diminished role in the world registered internationally as its Asian and African colonies achieved independence. Not only had the war weakened both the will and the ability of European powers to hold onto their colonies, but it had also emboldened nationalist and anticolonial movements everywhere (see Chapter 22). Japanese victories in Southeast Asia had certainly damaged European prestige, for British, Dutch, and American military forces fell to Japanese conquerors, sometimes in a matter of weeks. Japanese authorities staged long and brutal marches of Western prisoners of war, partly to drive home to local people that the era of Western domination was over. Furthermore, tens of thousands of Africans had fought for the British or the French, had seen white people die, had enjoyed the company of white women, and had returned home with very different

Meanwhile Etty had fallen deeply in love with her fifty-five-year-old German Jewish therapist, Julius Spier. She worried that she was becoming overly dependent on a man, particularly since she was already sleeping with another man in whose house she lived. Yet, Spier had become her spiritual companion and mentor as well as her lover. When he died in September 1942, she was devastated but determined to go on. “You were the mediator between God and me,” she wrote of Spier, “and now you have gone and my path leads straight to God.”

Eventually, Etty found herself in Westerbork, a transit camp for Dutch Jews awaiting transport to the east and almost certain death. Friends had offered to hide her, but Etty insisted on voluntarily entering the camp, where she operated as an unofficial social worker and sought to become the “thinking heart of the barracks.” In the camp, panic erupted regularly as new waves of Jews were transported east. Babies screamed as they were awakened to board the trains. “The misery here is really indescribable,” she wrote in mid-1943. “People live in those big barracks like so many rats in a sewer.”

Even there, however, Etty’s emerging inner life found expression. “Late at night . . .,” she wrote, “I often walk with a spring in my step along the barbed wire. And then time and again, it soars straight from my heart . . . the feeling that life is glorious and magnificent and that one day

we shall be building a whole new world. Against every new outrage and every fresh horror, we shall put up one more piece of love and goodness, drawing strength from within ourselves. We may suffer, but we must not succumb.”

Etty’s transport came on September 7, 1943, when she, her parents, and a brother boarded a train for Auschwitz. Her parents were gassed immediately upon arrival, and Etty followed on November 30. Her last known writing was a postcard tossed from the train as it departed Westerbork. It read in part: “I am sitting on my rucksack in the middle of full freight car. Father, mother, and Mischa [her brother] are a few cars behind. . . . We left the camp singing.”

Etty Hillesum’s public life, like that of most people, left little outward mark on the world she briefly inhabited, except for the small circle of her friends and family. But the record of her inner life, miraculously preserved, remains among the greatest spiritual testaments to emerge from the horrors of the Nazi era, a tribute to the possibilities of human transformation, even amid the most horrendous of circumstances.

**Questions:** In what ways did Etty experience the Nazi phenomenon? How might you assess Etty’s interior response to the Nazis? Was it a “triumph of the human spirit” or an evasion of the responsibility to resist evil more directly?

ideas about white superiority and the permanence of colonial rule. Colonial subjects everywhere were very much aware that U.S. President Franklin Roosevelt and British Prime Minister Winston Churchill had solemnly declared in 1941 that “we respect the right of all peoples to choose the form of government under which they will live.” Many asked whether those principles should not apply to people in the colonial world as well as to Europeans.

A further outcome of World War II lay in the consolidation and extension of the communist world. The Soviet victory over the Nazis, though bought at an unimaginable cost in blood and treasure, gave immense credibility to that communist regime and to its leader, Joseph Stalin. In the decades that followed, Soviet authorities nurtured a virtual cult of the war: memorials were everywhere; wedding parties made pilgrimages to them, and brides left their bouquets behind; May 9, Victory Day, saw elaborately orchestrated celebrations; veterans were honored and granted modest

privileges. Furthermore, communist parties, largely dominated by the Soviet Union and supported by its armed forces, took power all across Eastern Europe, pushing the communist frontier deep into the European heartland. Even more important was a communist takeover in China in 1949. The Second World War allowed the Chinese Communist Party to gain support and credibility by leading the struggle against Japan. By 1950, the communist world seemed to many in the West very much on the offensive (see Chapter 21).

The horrors of two world wars within a single generation prompted a renewed interest in international efforts to maintain the peace in a world of competing and sovereign states. The chief outcome was the United Nations (UN), established in 1945 as a successor to the moribund League of Nations. As a political body dependent on agreement among its most powerful members, the UN proved more effective as a forum for international opinion than as a means of resolving the major conflicts of the postwar world, particularly the Soviet/American hostility during the cold war decades. Further evidence for a growing internationalism lay in the creation in late 1945 of the World Bank and International Monetary Fund, whose purpose was to regulate the global economy, prevent another depression, and stimulate economic growth, especially in the poorer nations.

### SUMMING UP SO FAR

Is it more useful to view the two world wars as separate and distinct conflicts or as a single briefly interrupted phenomenon?

What these initiatives shared was the dominant presence of the United States. Unlike the aftermath of World War I, when an isolationist United States substantially withdrew from world affairs, the half century following the end of World War II witnessed the emergence of the United States as a global superpower. This was one of the major outcomes of the Second World War and a chief reason for the remarkable recovery of a badly damaged and discredited Western civilization.

## The Recovery of Europe

### ■ Change

How was Europe able to recover from the devastation of war?

The tragedies that afflicted Europe in the first half of the twentieth century—fratricidal war, economic collapse, the Holocaust—were wholly self-inflicted, and yet despite the sorry and desperate state of heartland Europe in 1945, that civilization had not permanently collapsed. In the twentieth century's second half, Europeans rebuilt their industrial economies and revived their democratic political systems, while the United States, a European offshoot, assumed a dominant and often dominating role both within Western civilization and in the world at large.

Three factors help to explain this astonishing recovery. One is the apparent resiliency of an industrial society, once it has been established. The knowledge, skills, and habits of mind that enabled industrial societies to operate effectively remained intact, even if the physical infrastructure had been substantially destroyed. Thus even the most terribly damaged countries—Germany, the Soviet Union, and Japan—had largely recovered, both economically and demographically, within a quarter of a century. A second factor lay in the ability of the major Western European countries to integrate their recovering economies. After centuries of military conflict climaxed by

the horrors of the two world wars, the major Western European powers were at last willing to put aside some of their prickly nationalism in return for enduring peace and common prosperity.

Equally important, Europe had long ago spawned an overseas extension of its own civilization in what became the United States. In the twentieth century, that country served as a reservoir of military manpower, economic resources, and political leadership for the West as a whole. By 1945, the center of gravity within Western civilization had shifted decisively, relocated now across the Atlantic. With Europe diminished, divided, and on the defensive against the communist threat, leadership of the Western world passed, almost by default, to the United States. It was the only major country physically untouched by the war. Its economy had demonstrated enormous productivity during that struggle and by 1945 was generating fully 50 percent of total world production. Its overall military strength was unmatched, and it was briefly in sole possession of the atomic bomb, the most powerful weapon ever constructed. Thus the United States became the new heartland of the West as well as a global superpower. In 1941, the publisher Henry Luce had proclaimed the twentieth century as “the American century.” As the Second World War ended, that prediction seemed to be coming true.

An early indication of the United States’ intention to exercise global leadership took shape in its efforts to rebuild and reshape shattered European economies. Known as the Marshall Plan, that effort funneled into Europe some \$12 billion, at the time a very large amount, together with numerous advisers and technicians. It was motivated by some combination of genuine humanitarian concern, a desire to prevent a new depression by creating overseas customers for American industrial goods, and an interest in undermining the growing appeal of European communist parties. This economic recovery plan was successful beyond anyone’s expectations. Between 1948 and the early 1970s, Western European economies grew rapidly, generating a widespread prosperity and improving living standards. At the same time, Western Europe became both a major customer for American goods and a major competitor in global markets.

The Marshall Plan also required its European recipients to cooperate with one another. After decades of conflict and destruction almost beyond description, many Europeans were eager to do so. That process began in 1951 when Italy, France, West Germany, Belgium, the Netherlands, and Luxembourg created the European Coal and Steel Community to jointly manage the production of these critical items. In 1957, these six countries deepened their level of cooperation by establishing the European Economic Community (EEC), more widely known as the Common Market, whose members reduced their tariffs and developed common trade policies. Over the next half century, the EEC expanded its membership to include almost all of Europe, including many former communist states. In 1994, the EEC was renamed the European Union, and in 2002 twelve of its members, later increased to seventeen, adopted a common currency, the euro (see Map 20.6). All of this sustained Europe’s remarkable economic recovery and expressed a larger European identity, although it



**Map 20.6** The Growth of European Integration

Gradually during the second half of the twentieth century, Europeans put aside their bitter rivalries and entered into various forms of economic cooperation with one another, although these efforts fell short of complete political union. This map illustrates the growth of what is now called the European Union (EU). Notice the eastward expansion of the EU following the collapse of communism in Eastern Europe and the Soviet Union.

certainly did not erase deeply rooted national loyalties or lead, as some had hoped, to a political union, a United States of Europe. Nor did it generate persistent economic stability as the European financial crisis beginning in 2010 called into question the viability of the euro zone and perhaps the European Union.

Beyond economic assistance, the American commitment to Europe soon came to include political and military security against the distant possibility of renewed German aggression and the more immediate communist threat from the Soviet Union. Without that security, economic recovery was unlikely to continue. Thus was born

the military and political alliance known as the North Atlantic Treaty Organization (NATO) in 1949. It committed the United States and its nuclear arsenal to the defense of Europe against the Soviet Union, and it firmly anchored West Germany within the Western alliance. Thus, as Western Europe revived economically, it did so under the umbrella of U.S. political and military leadership, which Europeans generally welcomed. It was perhaps an imperial relationship, but to historian John Gaddis, it was “an empire by invitation” rather than by imposition.<sup>17</sup>

A parallel process in Japan, which was under American occupation between 1945 and 1952, likewise revived that country’s devastated but already industrialized economy. In the two decades following the occupation, Japan’s economy grew at the remarkable rate of 10 percent a year, and the nation became an economic giant on the world stage. This “economic miracle” received a substantial boost from some \$2 billion in American aid during the occupation and even more from U.S. military purchases in Japan during the Korean War (1950–1953). Furthermore, the democratic constitution imposed on Japan by American occupation authorities required that “land, sea, and air forces, as well as other war potential, will never be maintained.” This meant that Japan, even more so than Europe, depended on the United States for its military security. Because it spent only about 1 percent of its gross national product on defense, more was available for productive investment.

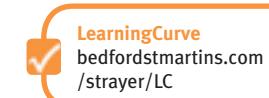
The world had changed dramatically during the first seventy years of the twentieth century. That century began with a Europe of rival nations as clearly the dominant imperial center of a global network. But European civilization substantially self-destructed in the first half of the century, though it revived during the second half in a changed form—without its Afro-Asian colonies and with new leadership in the United States. Accompanying this process and intersecting with it was another major theme of twentieth-century world history—the rise and fall of world communism, which is the focus of the next chapter.



## Reflections: War and Remembrance: Learning from History

When asked about the value of studying history, most students respond with some version of the Spanish-born philosopher George Santayana’s famous dictum: “Those who cannot remember the past are condemned to repeat it.” At one level, this notion of learning from the “lessons of history” has much to recommend it, for there is, after all, little else except the past on which we can base our actions in the present. And yet historians in general are notably cautious about drawing particular lessons from the past and applying them to present circumstances.

For one thing, the historical record, like the Bible or any other sacred text, is sufficiently rich and complex to allow many people to draw quite different lessons from it. The world wars of the twentieth century represent a case in point, as writer Adam Gopnik has pointed out:



The First World War teaches that territorial compromise is better than full-scale war, that an “honor-bound” allegiance of the great powers to small nations is a recipe for mass killing, and that it is crazy to let the blind mechanism of armies and alliances trump common sense. The Second teaches that searching for an accommodation with tyranny by selling out small nations only encourages the tyrant, that refusing to fight now leads to a worse fight later on....The First teaches us never to rush into a fight, the Second never to back down from a bully.<sup>18</sup>

Did the lessons of the First World War lead Americans to ignore the rise of fascism until the country was directly threatened by Japanese attack? Did the lessons of World War II contribute to unnecessary wars in Vietnam and more recently in Iraq? There are no easy answers to such questions, for the lessons of history are many, varied, and changing.

Behind any such lesson is the common assumption that history repeats itself. This too is a notion to which historians bring considerable skepticism. They are generally more impressed with the complexity and particularity of major events such as wars rather than with their common features. Here is a further basis for caution in easily drawing lessons from the past.

But the wars of the past century perhaps share one broad similarity: all of them led to unexpected consequences. Few people expected the duration and carnage of World War I. The Holocaust was literally unimaginable when Hitler took power in 1933 or even at the outbreak of the Second World War in 1939. Who would have expected an American defeat at the hands of the Vietnamese? And the invasion of Iraq in 2003 generated a long list of surprises for the United States, including the absence of weapons of mass destruction and a prolonged insurgency. History repeats itself most certainly only in its unexpectedness.

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## Second Thoughts

### LearningCurve

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### What's the Significance?

- |                                     |                                      |
|-------------------------------------|--------------------------------------|
| World War I, 982–88                 | Revolutionary Right (Japan), 1001–03 |
| Treaty of Versailles, 989           | World War II in Asia, 1003–06        |
| Woodrow Wilson/Fourteen Points, 990 | World War II in Europe, 1006–07      |
| Great Depression, 990–94            | total war, 1008                      |
| New Deal, 993–94                    | Holocaust, 1009                      |
| fascism, 994–99                     | Etty Hillesum, 1010–11               |
| Mussolini, 995–96                   | European Economic Community, 1013    |
| Nazi Germany, 996–99                | Marshall Plan, 1013–14               |
| Hitler, 996–98                      | NATO, 1014–15                        |

## Big Picture Questions

1. What explains the disasters that befell Europe in the first half of the twentieth century?
2. To what extent did the two world wars settle the issues that caused them? What legacies to the future did they leave?
3. In what ways did Europe's internal conflicts between 1914 and 1945 have global implications?
4. **Looking Back:** In what ways were the major phenomena of the first half of the twentieth century—world wars, the Great Depression, fascism, the Holocaust, the emergence of the United States as a global power—rooted in earlier times?

## Next Steps: For Further Study

Michael Burleigh, *The Third Reich: A New History* (2001). A fresh and thorough look at the Nazi era in Germany's history.

John Keegan, *The Second World War* (2005). A comprehensive account by a well-known scholar.

Bernd Martin, *Japan and Germany in the Modern World* (1995). A comparative study of these two countries' modern history and the relationship between them.

Mark Mazower, *Dark Continent* (2000). A history of Europe in the twentieth century that views the era as a struggle among liberal democracy, fascism, and communism.

Michael S. Neiburg, *Fighting the Great War: A Global History* (2006). An exploration of the origins and conduct of World War I.

Dietmar Rothermund, *The Global Impact of the Great Depression, 1929–1939* (1996). An examination of the origins of the Depression in America and Europe and its impact in Asia, Africa, and Latin America.

First World War.com, <http://www.firstworldwar.com>. A Web site rich with articles, documents, photos, diaries, and more that illustrate the history of World War I.

"The Holocaust," <http://www.jewishvirtuallibrary.org/jsource/holo.html>. A wealth of essays, maps, photographs, and timelines that explores the Holocaust and the context in which it arose.

For Web sites and additional documents related to this chapter, see **Make History at** [bedfordstmartins.com/strayer](http://bedfordstmartins.com/strayer).

# Documents

## Considering the Evidence: Ideologies of the Axis Powers



Even more than the Great War of 1914–1918, the Second World War was a conflict of ideas and ideologies as well as a struggle of nations and armies. The ideas of the losing side in that war, repellent as they were to their enemies, had for a time attracted considerable support. Described variously as fascist, authoritarian, right-wing, or radically nationalist, the ideologies of the Axis powers differed in tone and emphasis. But they shared a repudiation of mainstream Western liberalism and democracy, as well as an intense hatred of Marxist communism. The documents that follow provide a taste of this thinking as it took shape in Germany and Japan.

### Document 20.1

#### Hitler on Nazism

Adolph Hitler published his political views well before he came to power. Born in Austria, Hitler absorbed a radical form of German nationalism, which he retained as a profoundly disillusioned veteran of World War I. In 1919, he joined a very small extremist group called the German Workers Party, where he rose quickly to a dominant role based on his powerful oratorical abilities. Inspired by Mussolini's recent victory in Italy, Hitler launched in 1923 an unsuccessful armed uprising in Munich for which he was arrested and imprisoned. During his brief stay in prison (less than a year), he wrote *Mein Kampf* (*My Struggle*), part autobiography and part an exposition of his political and social philosophy. Armed with these ideas, Hitler assumed the leadership of Germany in 1933 (see pp. 996–99).

- What larger patterns in European thinking do Hitler's ideas reflect and what elements of European thought does he reject? Consider in particular his use of social Darwinism, then an idea with wide popularity in Europe.
- How does Hitler distinguish between Aryans and Jews? How does he understand the role of race in human affairs?

- What kind of political system does Hitler advocate?
- What goals for Germany—both domestic and foreign—did Hitler set forth in *Mein Kampf*?
- What aspects of Hitler's thinking might have had wide appeal in Germany during the 1930s?

ADOLPH HITLER  
***Mein Kampf (My Struggle)***  
 1925–1926

### Nation and Race

There are some truths which are so obvious that for this very reason they are not seen or at least not recognized by ordinary people.... Every animal mates only with a member of the same species.... Any crossing of two beings not at exactly the same level produces a medium between the level of the two parents.... Such mating is contrary to the will of Nature for a higher breeding of all life.... The stronger must dominate and not blend with the weaker, thus sacrificing his own greatness. Only the born weakling can view this as cruel ..., for if this law did not prevail, any conceivable higher development of organic living beings would be unthinkable.

In the struggle for daily bread all those who are weak and sickly or less determined succumb, while the struggle of the males for the female grants the right or opportunity to propagate only to the healthiest.... No more than Nature desires the mating of weaker with stronger individuals, even less does she desire the blending of a higher with a lower race, since, if she did, her whole work of higher breeding, over perhaps hundreds of thousands of years, might be ruined with one blow.... All great cultures of the past perished only because the originally creative race died out from blood poisoning.

Those who want to live, let them fight, and those who do not want to fight in this world of eternal struggle do not deserve to live....

All the human culture, all the results of art, science, and technology that we see before us today, are almost exclusively the creative product of the Aryan.... [H]e alone was the founder of all higher humanity, therefore representing the prototype of all that we understand by the word "man." He is the Prometheus of mankind from whose bright forehead the divine spark of genius has sprung at all times....

All who are not of good race in this world are chaff.

The mightiest counterpart to the Aryan is represented by the Jew.... Since the Jew ... was never in possession of a culture of his own, the foundations of his intellectual work were always provided by others. His intellect at all times developed through the cultural world surrounding him....

He lacks completely the most essential requirement for a cultured people, the idealistic attitude. In the Jewish people the will to self-sacrifice does not go beyond the individual's naked instinct of self-preservation. Their apparently great sense of solidarity is based on the very primitive herd instinct that is seen in many other living creatures in this world.... [T]he Jew is led by nothing but the naked egoism of the individual.

With satanic joy in his face, the black-haired Jewish youth lurks in wait for the unsuspecting girl whom he defiles with his blood, thus stealing her from her people. With every means he tries to destroy the racial foundations of the people he has set out to subjugate.... And so he tries systematically to lower the racial level by a continuous poisoning

of individuals. And in politics he begins to replace the idea of democracy by the dictatorship of the proletariat. In the organized mass of Marxism he has found the weapon which lets him . . . subjugate and govern the peoples with a dictatorial and brutal fist.

In economics he undermines the states until the social enterprises which have become unprofitable are taken from the state and subjected to his financial control.

In the political field he refuses the state the means for its self-preservation, destroys the foundations of all national self-maintenance and defense, destroys faith in the leadership, scoffs at its history and past, and drags everything that is truly great into the gutter.

Culturally he contaminates art, literature, the theater, makes a mockery of natural feeling, overthrows all concepts of beauty and sublimity, of the noble and the good, and instead drags men down into the sphere of his own base nature. Religion is ridiculed, ethics and morality represented as outmoded, until the last props of a nation in its struggle for existence in this world have fallen.

If we pass all the causes of the German collapse [defeat in World War I] in review, the ultimate and most decisive remains the failure to recognize the racial problem and especially the Jewish menace. . . . The lost purity of the blood alone destroys inner happiness forever, plunges man into the abyss for all time, and the consequences can never more be eliminated from body and spirit. . . . All really significant symptoms of decay of the pre-War period can in the last analysis be reduced to racial causes.

### The State

The State is only a means to an end. . . . Above all, it must preserve the existence of the race. . . . We, as Aryans, can consider the State only as the living organism of a people, an organism which does not merely maintain the existence of a people, but functions in such a way as to lead its people to a position of supreme liberty by the progressive development of the intellectual and cultural faculties.

We National Socialists know that in holding these views we take up a revolutionary stand in the world of today and that we are branded as revolutionaries. . . .

As a State the German Reich shall include all Germans. Its task is not only to gather in and foster the most valuable sections of our people but to lead them slowly and surely to a dominant position in the world. . . . It will be the task of the People's State to make the race the centre of the life of the community. It must make sure that the purity of the racial strain will be preserved. . . . Those who are physically and mentally unhealthy and unfit must not perpetuate their own suffering in the bodies of their children. . . .

One thing is certain: our world is facing a great revolution. The only question is whether the outcome will be propitious for the Aryan portion of mankind or whether the everlasting Jew will profit by it. By educating the young generation along the right lines, the People's State will have to see to it that a generation of mankind is formed which will be adequate to this supreme combat that will decide the destinies of the world. . . .

[T]he People's State must mercilessly expunge . . . the parliamentarian principle, according to which decisive power through the majority vote is invested in the multitude. Personal responsibility must be substituted in its stead. . . . The best constitution and the best form of government is that which makes it quite natural for the best brains to reach a position of dominant importance and influence in the community. . . . Genius of an extraordinary stamp is not to be judged by normal standards whereby we judge other men.

There are no decisions made by the majority vote, but only by responsible persons. And the word “council” is once more restored to its original meaning. Every man in a position of responsibility will have councilors at his side, but the decision is made by that individual person alone. . . .

[T]he principle of parliamentarian democracy, whereby decisions are enacted through the majority vote, has not always ruled the world. On the contrary, we find it prevalent only during short periods

of history, and those have always been periods of decline in nations and States....

### **Eastern Orientation or Eastern Policy**

[W]e National Socialists must hold unflinchingly to our aim in foreign policy, namely, to secure for the German people the land and soil to which they are entitled on this earth.... If we speak of soil in Europe today, we can primarily have in mind only Russia and her vassal border states....

The National Socialist movement must strive to eliminate the disproportion between our population and our area—viewing this latter as a source of food as well as a basis for power politics—between our historical past and the hopelessness of our present impotence. And in this it must remain aware that

we, as guardians of the highest humanity on this earth, are bound by the highest obligation, and the more it strives to bring the German people to racial awareness . . . , the more it will be able to meet this obligation....

State boundaries are made by man and changed by man.... And in this case, right lies in this strength alone.... Just as our ancestors did not receive the soil on which we live today as a gift from Heaven, but had to fight for it at the risk of their lives, in the future no folkish grace will win soil for us . . . but only the might of a victorious sword....

Never forget that the most sacred right on this earth is a man's right to have earth to till with his own hands, and the most sacred sacrifice the blood that a man sheds for this earth.

### **Document 20.2**

## **The Japanese Way**

In the Japanese language the word *kokutai* is an evocative term that refers to the national essence or the fundamental character of the Japanese nation and people. Drawing both on long-established understandings and on recently developed nationalist ideas, the Ministry of Education in 1937 published a small volume, widely distributed in schools and homes throughout the country, entitled the *Kokutai No Hongi* (*Cardinal Principles of the National Entity of Japan*). That text, excerpted in Document 20.2, defined the uniqueness of Japan and articulated the philosophical foundation of its authoritarian regime. (See pp. 999–1002 for the background to this document.) When the Americans occupied a defeated and devastated Japan in 1945, they forbade the further distribution of the book.

- According to *Cardinal Principles*, what was *kokutai*? How did the document define the national essence of Japan? How did its authors compare Japan to the West?
- What was the ideal role of the individual in Japanese society?
- To whom might these ideas have been attractive? Why?
- How might this document have been used to justify Japan's military and territorial expansion?
- Why do you think the American occupation authorities banned the document?

## Cardinal Principles of the National Entity of Japan

1937

The various ideological and social evils of present-day Japan are the result of ignoring the fundamental and running after the trivial, of lack of judgment, and a failure to digest things thoroughly; and this is due to the fact that since the days of *Meiji* so many aspects of European and American culture, systems, and learning, have been imported, and that, too rapidly. As a matter of fact, the foreign ideologies imported into our country are in the main ideologies of the [European] Enlightenment that have come down from the eighteenth century, or extensions of them. The views of the world and of life that form the basis of these ideologies . . . lay the highest value on, and assert the liberty and equality of, individuals. . . .

We have already witnessed the boundless Imperial virtues. Wherever this Imperial virtue of compassion radiates, the Way for the subjects naturally becomes clear. The Way of the subjects exists where the entire nation serves the Emperor united in mind. . . . That is, we by nature serve the Emperor and walk the Way of the Empire. . . .

We subjects are intrinsically quite different from the so-called citizens of the Occidental countries. . . .

When citizens who are conglomerations of separate individuals independent of each other give support to a ruler, . . . there exists no deep foundation between ruler and citizen to unite them. However, the relationship between the Emperor and his subjects arises from the same fountainhead, and has prospered ever since the founding of the nation as one in essence. . . .

Our country is established with the Emperor. . . . For this reason, to serve the Emperor and to receive the Emperor's great august Will as one's own is the rationale of making our historical "life" live in the present. . . .

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Source: J. O. Gauntlett, trans., and R. K. Hall, ed., *Kokutai No Hongi: Cardinal Principles of the National Entity of Japan* (Cambridge, MA: Harvard University Press, 1949), 52, 79, 80, 81, 82, 83, 87, 89–90, 93, 94, 144–145, 178, 181–182.

Loyalty means to reverence the Emperor as [our] pivot and to follow him implicitly. . . . Hence, offering our lives for the sake of the Emperor does not mean so-called self-sacrifice, but the casting aside of our little selves to live under his august grace and the enhancing of the genuine life of the people of a State. . . . An individual is an existence belonging to the State and her history, which forms the basis of his origin, and is fundamentally one body with it. . . .

We must sweep aside the corruption of the spirit and the clouding of knowledge that arises from setting up one's "self" and from being taken up with one's "self" and return to a pure and clear state of mind that belongs intrinsically to us as subjects, and thereby fathom the great principle loyalty. . . .

Indeed, loyalty is our fundamental Way as subject, and is the basis of our national morality. Through loyalty are we become Japanese subjects; in loyalty do we obtain life and herein do we find the source of all morality. . . .

In our country filial piety is a Way of the highest importance. Filial piety originates with one's family as its basis, and in its larger sense has the nation for its foundation. . . .

Our country is a great family nation, and the Imperial Household is the head family of the subjects and the nucleus of national life. The subjects revere the Imperial Household, which is the head family, with the tender esteem they have for their ancestors; and the Emperor loves his subjects as his very own. . . .

When we trace the marks of the facts of the founding of our country and the progress of our history, what we always find there is the spirit of harmony. . . . The spirit of harmony is built upon the concord of all things. When people determinedly count themselves as masters and assert their egos, there is nothing but contradictions and the setting of one against the other; and harmony is not begotten. . . . That is, a society of individualism is one of the clashes between [masses of]

people . . . and all history may be looked upon as one of class wars. . . .

And this, this harmony is clearly seen in our nation's martial spirit. Our nation is one that holds bushido<sup>o</sup> in high regard, and there are shrines deifying warlike spirits. . . . Bushido may be cited as showing an outstanding characteristic of our national morality. . . . That is to say, though a sense of obligation binds master and servant, this has developed in a spirit of self-effacement and meeting death with a perfect calmness. In this, it was not that death was made light of so much as that man tempered himself to death and in a true sense regarded it with esteem. In effect, man tried to fulfill true life by the way of death. . . .

To put it in a nutshell, while the strong points of Occidental learning and concepts lie in their analytical and intellectual qualities, the characteristics of Oriental learning and concepts lie in their intuitive and aesthetic qualities. These are natural tendencies that arise through racial and historical differences; and when we compare them with our national spir-

its, concepts, or mode of living, we cannot help recognizing further great and fundamental differences. Our nation has in the past imported, assimilated, and sublimated Chinese and Indian ideologies, and has therewith supported the Imperial Way, making possible the establishment of an original culture based on her national polity. . . .

Since the *Meiji* restoration our nation has adapted the good elements of the advanced education seen among European and American nations, and has exerted efforts to set up an educational system and materials for teaching. The nation has also assimilated on a wide scale the scholarship of the West, not only in the fields of natural science, but of the mental sciences, and has thus striven to see progress made in our scholastic pursuits and to make education more popular. . . .

However, at the same time, through the infiltration of individualistic concepts, both scholastic pursuits and education have tended to be taken up with a world in which the intellect alone mattered. . . .

In order to correct these tendencies, the only course open to us is to clarify the true nature of our national polity, which is at the very source of our education, and to strive to clear up individualistic and abstract ideas.

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<sup>o</sup>bushido: the way of the warrior.

## Using the Evidence: Ideologies of the Axis Powers

1. **Making comparisons:** What aspects of the Japanese document might Hitler have viewed with sympathy, and what parts of it might he have found distasteful or offensive?
2. **Criticizing the West:** In what ways did Hitler and the authors of *Cardinal Principles* find fault with mainstream Western societies and their political and social values?
3. **Considering ideas and circumstances:** From what sources did the ideas expressed in these documents arise? What kinds of people would have found them attractive and why?
4. **Considering ideas and action:** To what extent did the ideas articulated in these documents find expression in particular actions or policies of political authorities?

5. **Noticing continuity and change:** To what extent were the ideas in these documents new and revolutionary? In what respects did they draw on long-standing traditions in their societies? In what ways did they embrace modern life and what aspects of it did they reject? Have these ideas been completely discredited or do they retain some resonance in contemporary political discourse?
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# Visual Sources

## Considering the Evidence: Propaganda and Critique in World War I



**A**s an overwhelmingly intense human experience, war has long caught the attention of artists. World War I was no exception. Among the most pervasive uses of art and artists during the Great War was the prolific creation, under government auspices, of posters designed to generate public support for the struggle. But independent artists, those not beholden to state authorities and often highly critical of the war, portrayed a very different face of those hostilities and their aftermath. The visual sources that follow illustrate both of these perspectives on the First World War.

Among the chief uses of wartime propaganda posters was to portray the enemy in the most despicable terms. German posters, for example, often depicted the country's enemies as animals or misbehaving children, suggesting that they were something less than fully human. They usually showed Russians as alcoholics. Visual Source 20.1 is a French poster from around 1915. It pictures Germany as Thor, an ancient pagan Germanic god of thunder, who had been turned into a demonic figure as Christianity took hold in Europe. The caption at the top of the image reads: "The god Thor—the most barbaric of the barbarian divinities of old Germany."

- What does the poster convey by presenting Germany as Thor?
- Note the Prussian imperial eagle standing on a bomb. What impression of German goals does that convey?
- How do you understand the religious imagery of this French print? Notice Thor preparing to destroy a church with his hammer as well as the broken cross between his feet at the bottom.
- To whom do you think such images were directed and for what purpose?

The "total" character of World War I ensured that women would be mobilized for the struggle in many ways (see pp. 984–88). American women, for example, were strongly encouraged to save food, especially wheat, to support the war effort. Posters also gave the great struggle a feminine face, as illustrated in Visual Source 20.2. A 1917 U.S. poster, it sought to encourage people



Visual Source 20.1 Defining the Enemy (The Art Archive at Art Resource, NY)



**Visual Source 20.2** Women and the War (Library of Congress, LC-USZCA-9462)

to buy Liberty Bonds, which raised money for the war effort and demonstrated the buyer's patriotism.

- How would you describe the posture of the woman in this poster? What image of a woman does it seek to convey?

- What message does the backdrop of the poster communicate? Notice the church and city in flames.
- In appealing for sacrifice or public support in time of war, why might a feminine image be more effective than a masculine image?
- Compare this poster with the British one shown on page 985 in this chapter. What different message about the role of women does this image convey? To what kind of audience did each of these posters appeal?

A distinctive feature of World War I was the extensive use of troops drawn from the colonies of the contending powers. Many thousands of African and Asian men took part in that struggle, both in their homelands and in Europe. The French, for example, were initially reluctant to employ colonial troops, fearing to arm black men and perhaps uncertain of their loyalty. But the desperate need for manpower finally overcame these reservations, and France recruited large numbers of men from its North and West African colonies as well as from Southeast Asia. Some 71,000 French colonial soldiers died in the war. Visual Source 20.3 shows a French wartime poster with a caption that reads “Day of the African Army and Colonial Troops.”

- What image of African soldiers does the poster suggest? How might this image be at variance with that of earlier European stereotypes of their African subjects?
- What is conveyed by the juxtaposition of an African soldier and his French counterpart fighting together?
- Why might the French have set aside a special day to honor colonial troops?
- How might the experience of fighting in Europe have affected the outlook of a West African soldier?

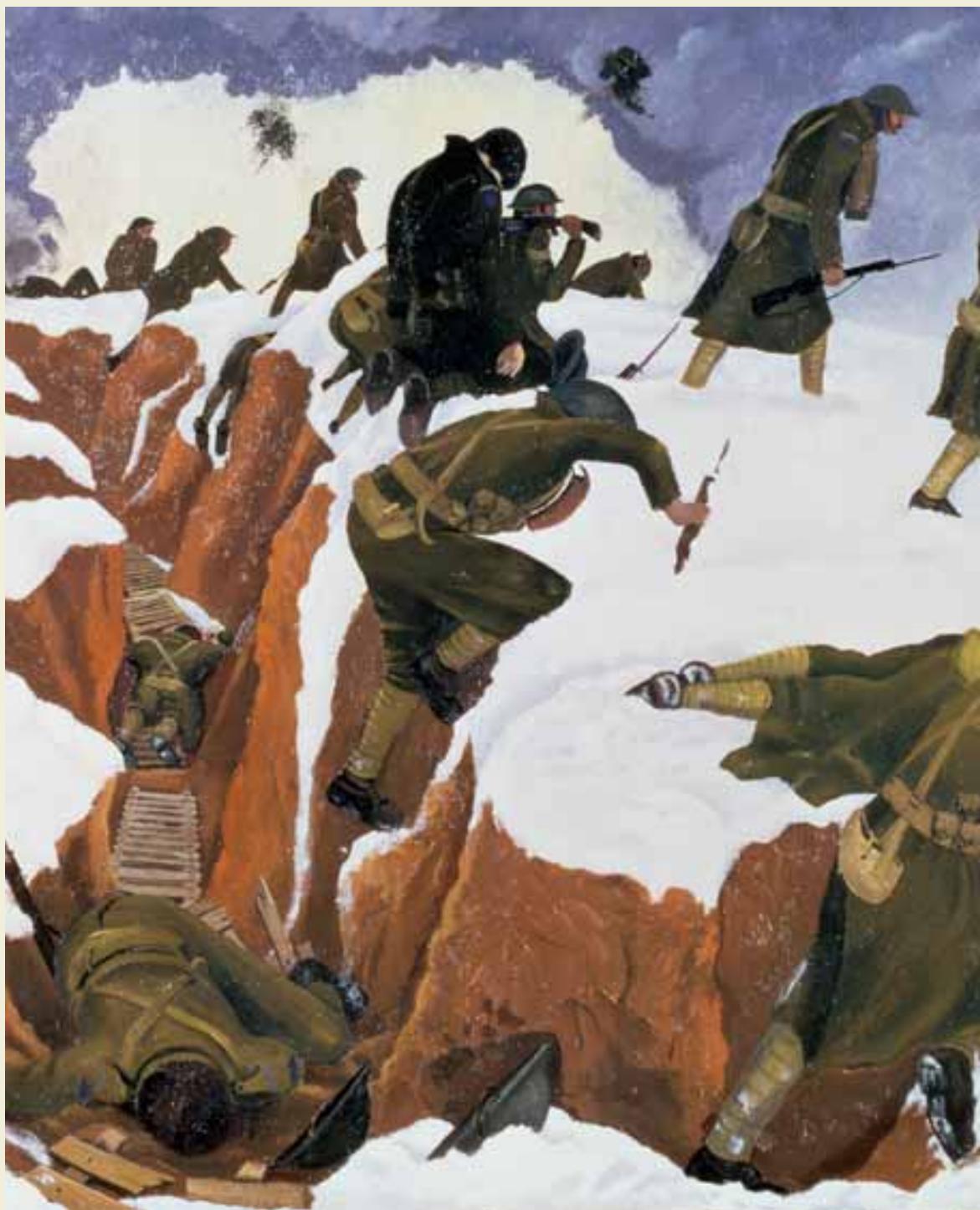
The destructiveness of the Great War was almost beyond the imagination of contemporary Europeans. Among its most notable and horrific features was the long period of trench warfare, in which lines of entrenched men, often not far apart, periodically went “over the top,” only to gain a few yards of bloody ground before being thrown back with enormous causalities. Visual Source 20.4 shows a particular instance of this process by the British painter John Nash (1893–1977), who was an official war artist.

Nash was also part of an eighty-man British unit that was sent over the top in late 1917 and one of only twelve survivors of that attack. Three months later he painted this haunting picture from his memory of that experience.

- What posture toward the war does this image convey? How do you think Nash’s military superiors reacted to the painting?
- How does the painting portray the attitude of the soldiers?



Visual Source 20.3 War and the Colonies (Private collection/Barbara Singer/The Bridgeman Art Library)



Visual Source 20.4 The Battlefield (Imperial War Museum, London/The Bridgeman Art Library)

- What does war do to human beings? What answer to this question does this image suggest?
- How might you imagine the response of those who created the earlier images to John Nash and this portrayal of trench warfare?

Among the many outcomes of the Great War was the presence in every European country of disillusioned, maimed, and disfigured veterans, many of them literally “men without faces.” For some intellectuals and artists, they represented the fundamentally flawed civilization that had given rise to such carnage. Often neglected or overlooked, such men were reminders of a terrible past that others wanted to forget. The German artist Otto Dix (1891–1969), who served in his country’s military forces throughout the war and was seriously wounded, portrayed this situation in a 1920 painting called *Prague Street*, shown here as Visual Source 20.5. In 1924, he joined with other artists to mount an exhibition entitled “No More War.” His antiwar activism later earned Dix the enmity of the Hitler regime, which fired him from his academic position and destroyed some of his paintings. Artistically, Dix worked in a style known as the new objectivity, which focused heavily on the horrendous outcomes of the war. It deliberately included subject matter that was upsetting and even ugly, and it made little attempt to create a unified image, preferring to present disconnected “particles of experience.”

- How does the painting describe the situation of the veterans?
- On the left, the arm of a wealthy man drops a coin into the outstretched hand of a maimed veteran, while on the right, a well-dressed woman in a pink dress and high heels walks by with her dog. What do these features add to the portrayal of the plight of the veterans?
- Notice the leaflet on the skateboard of the legless cripple at the bottom. It reads “*Juden raus*” (Jews out). What does this suggest about the political views of these veterans? Keep in mind that Hitler, although not maimed, was a disillusioned veteran of World War I, as were many of his early followers.
- What do the images in the store windows suggest?
- What commentary does this painting make on German society after the country’s defeat in World War I? How does it foreshadow what was to come?



**Visual Source 20.5** The Aftermath of War (Kunstmuseum Stuttgart © 2014 Artists Rights Society (ARS), New York/  
VC Bild-Kunst, Bonn)

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## Using the Evidence: Propaganda and Critique in World War I

1. **Describing the war:** Based on these visual sources, how would you define the novel or distinctive features of World War I compared to earlier European conflicts?
  2. **Considering war and progress:** How do you think Otto Dix and John Nash might have responded to the ideas of Condorcet contained in Document 15.2, pages 756–57?
  3. **Images as propaganda and criticism:** This selection of visual sources contains a mix of those that express essentially government-sponsored messages and those that convey the outlook of individual artists. What ideas about the war did governments seek to inculcate in their citizens? How do the paintings of John Nash and Otto Dix respond to those ideas?
  4. **Seeking further evidence:** What other kinds of visual sources would be useful in constructing a visual history of World War I?
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БУДЕТ ЖИТЬ!

Б. МАЯКОВСКИЙ

