

Chapter 1

BASIS LIE THEORY FOR $\mathrm{SL}_n(\mathbb{R})$ & $\mathrm{GL}_n(\mathbb{R})$

In this chapter, we review the basic theory of roots and weights for Lie groups. We will first recall the general theory and then compute explicitly the examples for $\mathrm{SL}_n(\mathbb{R})$ & $\mathrm{GL}_n(\mathbb{R})$.

1.1 Structure theory

Throughout this section, the ground field is $k = \mathbb{R}$.

1.1.1 Lie algebras

A Lie algebra \mathfrak{g} is a vector space over k such that the Lie bracket

$$\begin{aligned} [\cdot, \cdot]: \mathfrak{g} \times \mathfrak{g} &\rightarrow \mathfrak{g} \\ (x, y) &\mapsto [x, y] \end{aligned}$$

satisfies the following axioms

1. $[x, y]$ is bilinear.
2. $[x, x] = 0$ for all $x \in \mathfrak{g}$.
3. $[x, [y, z]] + [z, [x, y]] + [y, [z, x]] = 0$

The last property is called *Jacobi's identity*.

Example 1.1.1. *The first example is the set of all matrices of size $n \times n$, denoted by $\mathfrak{gl}_n(\mathbb{R})$. We define the Lie bracket by*

$$[A, B] := AB - BA,$$

for arbitrary matrices $A, B \in \mathfrak{gl}_n(\mathbb{R})$. It is clearly that $[A, B]$ is bilinear and $[A, A] = 0$ for all matrices A . We need to verify the Jacobi's identity. Note that

$$\begin{aligned} [A, [B, C]] &= A(BC - CB) - (BC - CB)A \\ &= ABC - ACB - BCA + CBA \end{aligned}$$

It follows that

$$\begin{aligned}
 & [A, [B, C]] + [C, [A, B]] + [B, [C, A]] \\
 &= ABC - ACB - BCA + CBA \\
 &+ CAB - CBA - ABC + BAC \\
 &+ BCA - BAC - CAB + ABC \\
 &= 0
 \end{aligned}$$

Therefore this is a Lie algebra.

Example 1.1.2. A less trivial example is the subspace $\mathfrak{sl}_n(\mathbb{R})$ of $\mathfrak{gl}_n(\mathbb{R})$, defined by

$$\mathfrak{sl}_n(\mathbb{R}) = \{A \in \mathfrak{gl}_n(\mathbb{R}) : \text{tr}(A) = 0\}$$

The Lie bracket over $\mathfrak{sl}_n(\mathbb{R})$ is just the restriction of the Lie bracket over $\mathfrak{gl}_n(\mathbb{R})$. Indeed, for any $A, B \in \mathfrak{sl}_n(\mathbb{R})$ we have

$$\text{tr}[A, B] = \text{tr}(AB - BA) = \text{tr}(AB) - \text{tr}(BA) = 0$$

Hence the restriction of the Lie bracket over $\mathfrak{gl}_n(\mathbb{R})$ is a Lie bracket over $\mathfrak{sl}_n(\mathbb{R})$. The 3 axioms for the Lie bracket is automatically satisfied.

Definition 1.1.3. An ideal $I \subset \mathfrak{g}$ is a subspace of \mathfrak{g} such that $[I, \mathfrak{g}] \subset I$.

Definition 1.1.4. A Lie algebra \mathfrak{g} is called **simple** if it has no nontrivial ideals.

From the computation in 1.1.2, it is immediate that $\mathfrak{sl}_n(\mathbb{R})$ is an ideal of $\mathfrak{gl}_n(\mathbb{R})$. This implies that $\mathfrak{gl}_n(\mathbb{R})$ is not a simple Lie algebra. However, the Lie algebra $\mathfrak{sl}_n(\mathbb{R})$ is simple.

1.1.2 Cartan subalgebras

First we need the notion of Cartan subalgebras

Definition 1.1.5. For any Lie algebra \mathfrak{g} , a subalgebra \mathfrak{h} of \mathfrak{g} is said to be a Cartan algebra if it is

- \mathfrak{h} is a nilpotent subalgebra.
- It is self normalizing. In particular, we have $\mathfrak{h} = \{x \in \mathfrak{g} : [x, \mathfrak{g}] \subset \mathfrak{h}\}$.

Example 1.1.6. For the simple Lie algebra $\mathfrak{sl}_n(\mathbb{R})$, one choice of Cartan subalgebra \mathfrak{h} is the set

$$\mathfrak{h} = \left\{ H = \begin{bmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_n \end{bmatrix}, a_1 + a_2 + \cdots + a_n = 0 \right\}$$

Proof. Indeed, it is obvious that $[\mathfrak{h}, \mathfrak{h}] = 0$, thus the Lie algebra \mathfrak{h} is nilpotent. To show the self-normalizing property, we pick an any element $\sum_{i,j} a_{ij} E_{ij}$ such that

$$\left[\sum_{i,j} a_{ij} E_{ij}, \mathfrak{h} \right] \subset \mathfrak{h}$$

Here E_{ij} denotes the matrix that has 1 at the ij entry and 0 otherwise. Clearly the matrix $E_{pp} - E_{qq} \in \mathfrak{h}$ for $p \neq q$. We then have

$$\left[\sum_{i,j} a_{ij} E_{ij}, E_{pp} - E_{qq} \right] \in \mathfrak{h}$$

or equivalently

$$\sum_i a_{ip} E_{ip} - \sum_i a_{iq} E_{iq} - \sum_j a_{pj} E_{pj} - \sum_j a_{qj} E_{qj} \in \mathfrak{h}$$

The coefficients of E_{pq} in the above sum is $-2a_{pq}$. By our choice of \mathfrak{h} it must be the case that $a_{pq} = 0$. Thus, only the coefficients of E_{pq} for $p = q$ survive. Hence $\sum_{i,j} a_{ij} E_{ij} \in \mathfrak{h}$ and \mathfrak{h} is then a Cartan subalgebra of $\mathfrak{sl}_n(\mathbb{R})$. \square

1.1.3 Root space decomposition

With respect to a choice of Cartan subalgebra \mathfrak{h} , we have a root space decomposition. In particular, there is a finite set $\Phi \subset \mathfrak{h}^*$ of linear forms on \mathfrak{h} , whose elements are called **roots**, such that

$$\mathfrak{g} = \mathfrak{h} \oplus \left(\bigoplus_{\alpha \in \Phi} \mathfrak{g}_\alpha \right),$$

where $\mathfrak{g}_\alpha = \{x \in \mathfrak{g} : [h, x] = \alpha(h)x, \forall h \in \mathfrak{h}\}$ for any $\alpha \in \Phi$.

1.1.4 A specific example: root space decomposition for $\mathfrak{sl}_n(\mathbb{R})$

For the simple Lie algebra $\mathfrak{sl}_n(\mathbb{R})$, one choice of Cartan subalgebra \mathfrak{h} is the set

$$\mathfrak{h} = \left\{ H = \begin{bmatrix} a_1 & 0 & \dots & 0 \\ 0 & a_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & a_n \end{bmatrix}, a_1 + a_2 + \dots + a_n = 0 \right\}$$

With respect to this Cartan subalgebra, we can define the linear function

$$L_i : \mathfrak{h} \rightarrow \mathbb{R}, \quad H \mapsto a_i$$

Then the roots are given by $\alpha_{ij} := L_i - L_j$ for distinct i, j . We have the root space decomposition for $\mathfrak{sl}_n(\mathbb{R})$ as follows

$$\mathfrak{g} = \mathfrak{h} \oplus \left(\bigoplus \mathfrak{g}_{\alpha_{ij}} \right) = \mathfrak{h} \oplus \left(\bigoplus \mathbb{R} E_{ij} \right)$$

For the sake of brevity, we will denote $\alpha_{i,i+1}$ by α_i - these are called **simple roots**.

1.1.5 Killing form

to be added.

1.1.6 Co-roots

In previous section, we showed that the Killing form gives rise to an inner product over the dual space $\mathfrak{h}^* = \text{Hom}(\mathfrak{h}, \mathbb{R})$. For each root $\alpha \in \mathfrak{h}^*$, we define the corresponding co-root α^\vee to be the linear functional over \mathfrak{h}^* such that

$$\langle \beta, \alpha^\vee \rangle := \frac{2(\beta, \alpha)}{(\alpha, \alpha)}$$

On the other hand, since α^\vee is the dual space of \mathfrak{h}^* , it can be regarded as an element h_α of \mathfrak{h} . So we have

$$\beta(h_\alpha) = \langle \beta, \alpha^\vee \rangle := \frac{2(\beta, \alpha)}{(\alpha, \alpha)}$$

Example 1.1.7. We compute explicitly the fundamental coroot α_i^\vee of the fundamental root α_i for the Lie algebra $\mathfrak{sl}_n(\mathbb{R})$. In previous section, we defined the fundamental roots to be $\alpha_{ij} = L_i - L_j$. It can be verified directly that $\alpha_{ij}^\vee = E_i - E_j$ as

$$\langle \alpha_{ij}, \alpha_{ij}^\vee \rangle = (L_i - L_j)(E_i - E_j) = 2$$

Similarly, we can also define the λ_i such that

$$\langle \lambda_i, \alpha_j^\vee \rangle = \delta_{ij} = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}$$

Explicitly, it can be checked that $\lambda_i = L_1 + L_2 + \dots + L_i$.

1.1.7 Roots at group level

We want to understand how the roots behave at group level. The analog for the Cartan subalgebra is the maximal torus

$$T = \left\{ t = \begin{bmatrix} a_1 & 0 & \dots & 0 \\ 0 & a_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & a_n \end{bmatrix} : \prod_{i=1}^n a_i = 1 \right\},$$

Then T acts on \mathfrak{g} by conjugation. Explicitly, we can check that

$$\text{Ad}(t)(E_{ij}) = t_i t_j^{-1} E_{ij}$$

Therefore, at the group level, the character $\alpha_{ij}(\text{diag}(t_1, \dots, t_n)) = t_i t_j^{-1}$ is a root whenever $i \neq j$. We define the set of **simple roots** as

$$\Delta = \{\alpha_i \mid i = 1, \dots, n\}$$

where

$$\alpha_i: T \mapsto \mathbb{R}, t \mapsto \frac{t_i}{t_{i+1}}.$$

We can decompose the set of roots into disjoint subsets, namely

$$\Phi = \{\alpha_{ij}, i \neq j\} = \Phi_+ \amalg \Phi_-$$

where the positive set of roots Φ_+ comprises α_{ij} for $i < j$ and the remaining roots are in the negative set of roots Φ_- . We have the following lemma

Lemma 1.1.8. Each $\alpha \in \Phi$ can be written uniquely as a linear combination

$$\alpha = m_1 \alpha_1 + \dots + m_d \alpha_d$$

with all $m_i \in \mathbb{Z}_{\geq 0}$ or $m_i \in \mathbb{Z}_{\leq 0}$. If $\alpha \in \Phi_+$ then all $m_i \geq 0$, otherwise $m_i \leq 0$ for all i .

1.1.8 Weyl group

We only define the Weyl group explicitly for the group $SL_n(\mathbb{R})$ or $GL_n(\mathbb{R})$. It is a fact that the Weyl groups for these two Lie groups are the same and equal to $W = S_n$ - the permutation group of n letters. We recall some basis observation about this group

1. Every $\sigma \in W$ can be written (non-uniquely) as a product of $w_{i_1} \cdots w_{i_k}$ for some integer k . Such a sequence is said to have length k . If k is the minimum, over all such writings, it is called the length of σ and written $\ell(\sigma)$. Any expression of length $\ell(\sigma)$ for σ is called a reduced expression.
2. The group S_n is generated by S subject to the following two types of relations:
 - (Reflection) $w_i^2 = 1$ for $i \in I$.
 - (Braid relations) $w_i w_{i+1} w_i = w_{i+1} w_i w_{i+1}$ for $i = 1, \dots, n-2$ and $w_i w_j = w_j w_i$ for $|j - i| \geq 2$.

Note that W acts on $\text{Hom}(T, \mathbb{R}^*)$ in the natural way: $w \cdot \varphi(h) = \varphi(w^{-1}h)$. More explicitly, σ sends $\alpha := \alpha_{ij}$ to $\alpha_{\sigma(i), \sigma(j)}$. Hence we find that

$$w_i \alpha_j = \begin{cases} -\alpha_i & \text{if } i = j \\ \alpha_j & \text{if } |j - i| > 1 \\ \alpha_i + \alpha_j & \text{if } |j - i| = 1 \end{cases}$$

 define
in
terms
of Lie
algebra

1.1.9 Weights

Another class of linear forms that we are interested in are the **fundamental weights**. For each $i \in I$, we define

$$\lambda_i: T \rightarrow \mathbb{R}^*, \quad \lambda_i(t) = a_1 \dots a_i$$

We have the following

Lemma 1.1.9. *We can write*

$$\lambda_i := r_1 \alpha_1 + r_2 \alpha_2 + \dots + r_d \alpha_d$$

where r_i 's are rational number such that $r_i \geq 0$.

This coefficients r_i 's is determined by inverting the Cartan matrix of $\mathfrak{sl}_n(\mathbb{R})$. Hence we postpone a proof of this until reviewing the notion of Cartan matrices.

Example 1.1.10. *When $n = 3$, we have the following relations*

$$\lambda_1 = \frac{2}{3} \alpha_1 + \frac{1}{3} \alpha_2, \quad \lambda_2 = \frac{1}{3} \alpha_1 + \frac{2}{3} \alpha_2$$

Definition 1.1.11. *A weight λ is called **dominant** if it satisfies $\langle \lambda, \alpha^\vee \rangle \in \mathbb{Z}_{\geq 0}$ for all α .*

Clearly by lemma 1.1.8, the weight λ is dominant if and only if $\langle \lambda, \alpha_i^\vee \rangle$ for all fundamental root α_i . It is also clear that the set of dominant weight is given by

$$\Lambda^+ := \{c_1 \lambda_1 + \dots + c_d \lambda_d \mid c_i \in \mathbb{Z}_{\geq 0}\}$$

The set of dominant weights is denoted Λ^+ . A weight $\lambda = \sum n_i \lambda_i$ is called strongly dominant if $n_i > 0$ for all i . One important example is the minimal strongly dominant weight given by

$$\rho = \sum \lambda_i$$

This is called **Weyl vector** and is characterized in several ways:

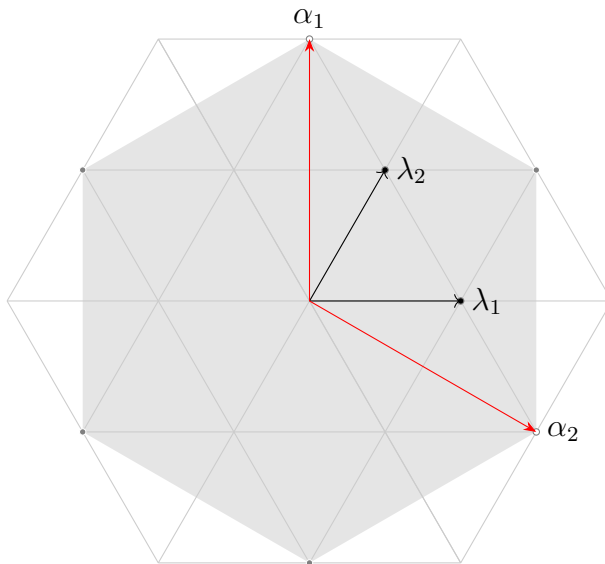


Figure 1.1: Roots and weights for the Lie group $SL_3(\mathbb{R})$

1. $\langle \rho, \alpha_i^\vee \rangle = 1$ for all i .

2.

$$\rho = \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha$$

To prove the last equation we use the action of the Weyl group W . Let $\mu = \frac{1}{2} \sum \alpha$. Apply the simple reflection s_i given by

$$s_i(x) = x - \langle x, \alpha_i^\vee \rangle \alpha_i$$

We know that s_i sends α_i to $-\alpha_i$ and permutes the other positive roots. So:

$$s_i(\mu) = \mu - \langle \mu, \alpha_i^\vee \rangle \alpha_i$$

Therefore, $(\mu, \alpha_i) = \mu(h_i) = 1$ for all i . So, $\mu = \rho$.

We of course also have an action of W on the weights. For example, one can verify that

$$s_i(\lambda_i) = \lambda_i - \alpha_i \quad \text{and} \quad s_j(\lambda_i) = \lambda_i \text{ for } i \neq j.$$

We have the following generalized action of Weyl group of ρ :

$$w\rho = \rho - \sum_{\alpha \in \Delta_{w^{-1}}} \alpha,$$

where [check this explicitly](#)

$$\Delta_\sigma := \{\alpha \in \Phi_+ \mid \sigma(\alpha) \in \Phi_-\}$$

Unlike Lemma 1.1.9, if we try to express the fundamental weights in terms of the fundamental roots, we don't always get positive coefficients. However, it is true that all the coefficients must be integer. In particular, we have

$$\alpha_j = \sum_{n_j} n_j \lambda_j, \quad n_j \in \mathbb{Z}.$$

To put it another way, the root lattice $\mathbb{Z}\Delta$ is contained inside the weight lattice.

1.1.10 Cartan matrix

We fix a set of simple roots $\Delta = \{\alpha_1, \dots, \alpha_d\}$ is defined to be the matrix

$$A = \left[\langle \alpha_i, \alpha_j^\vee \rangle \right]$$

If we let $a_{ij} = \langle \alpha_i, \alpha_j^\vee \rangle$ then the Cartan matrix has the following simple properties:

Lemma 1.1.12.

- For any i , we have $a_{ii} = 2$.
- For any $i \neq j$, a_{ij} is a non-positive integer, i.e. $a_{ij} \in \mathbb{Z}_{\leq 0}$.

We give an explicit example for $\mathfrak{sl}_n(\mathbb{R})$, which has the root system A_n . The corresponding Cartan matrix is

$$A_n = \begin{bmatrix} 2 & -1 & 0 & 0 & \dots & 0 \\ -1 & 2 & -1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & -1 & 2 & -1 \\ 0 & 0 & \dots & 0 & -1 & 2 \end{bmatrix}$$

The Cartan matrix provides a linear combination presentation of the fundamental roots α_j 's in terms of fundamental weights λ_i , as stated in the following lemma

Lemma 1.1.13. Fix a number n and consider the set of fundamental roots α_j as well as the set of weights λ_i of $SL_n(\mathbb{R})$, we have the following relations

$$\alpha_i = \begin{cases} 2\lambda_1 - \lambda_2, & \text{if } i = 1 \\ -\lambda_{i-1} + 2\lambda_i - \lambda_{i+1}, & \text{if } 1 < i < n \\ -\lambda_{n-1} + 2\lambda_n, & \text{if } i = n \end{cases}$$

Proof. Note that we have

$$\langle \alpha_i, \alpha_j^\vee \rangle = \begin{cases} 2, & \text{if } i = j \\ -1, & \text{if } |i - j| = 1 \\ 0, & \text{otherwise} \end{cases}$$

So if we let $\alpha_j = \sum_{i=1}^n a_{ij} \lambda_i$ and compute $\langle \alpha_i, \alpha_j^\vee \rangle$, the result follows immediately. \square

We will also need the inverse of the Cartan matrices of type A_n . The following formulae for the inverse matrices of $\mathfrak{sl}_n(\mathbb{R})$ can be found in [?]

Theorem 1.1.14. The inverse of the Cartan matrices

$$A_n^{-1} = \begin{bmatrix} 2 & -1 & 0 & 0 & \dots & 0 \\ -1 & 2 & -1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & -1 & 2 & -1 \\ 0 & 0 & \dots & 0 & -1 & 2 \end{bmatrix},$$

is the matrix $(A_n)^{-1}$ with the entries given by the following formula

$$(A_n)_{ij}^{-1} = \min\{i, j\} - \frac{ij}{n+1}$$

As a consequence, we can see that the entries for the inverse matrix $(A_n)^{-1}$ is positive. Indeed, assume that $i \geq j$, we have

$$(A_n)_{ij}^{-1} = \frac{j(n+1-i)}{n+1} > 0$$

In particular, we just proved lemma 1.1.9.

1.2 Parabolic subgroups

We shall provide two equivalent viewpoints on parabolic subgroups. They will play different roles in defining different notions of semi-stability in the next chapter.

1.2.1 Parabolic subgroups I: An explicit description

For our purposes, it is enough to define the standard parabolic subgroups. There exists a bijection between each parabolic subgroup of $SL_n(\mathbb{R})$ and each partition of n . We can therefore define the parabolic subgroup explicitly as follows:

Definition 1.2.1. *The standard parabolic subgroup associated to the partition $n = n_1 + n_2 + \cdots + n_r$ is denoted P_{n_1, \dots, n_r} and is defined to be the group of all matrices of the form*

$$\begin{bmatrix} M_{n_1} & 0 & \cdots & 0 \\ 0 & M_{n_2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & M_{n_k} \end{bmatrix},$$

where $M_{n_i} \in GL(n_i, \mathbb{R})$ for $1 \leq i \leq r$. The integer $r - 1$ is called the rank of the parabolic subgroup P_{n_1, \dots, n_r} . We denote the collection of such subgroups **ParSt**.

Definition 1.2.2. *The maximal standard parabolic subgroups in $GL_n(k)$ corresponds to the stabilizer of the flag of type $\rho_i = (i, n - i)$, where $i = 1, \dots, n - 1$ of n . We will further denote $Q_i = P_{\rho_i}$ and **MaxParSt** the collection of such maximal parabolic subgroups.*

Example 1.2.3. *Below we list all the standard parabolic subgroup in $GL_3(\mathbb{R})$ and $GL_4(\mathbb{R})$.*

- For $GL_3(\mathbb{R})$, there are three standard parabolic subgroups corresponding to three partitions of 3, namely

$$3 = 1 + 1 + 1, \quad 3 = 1 + 2, \quad 3 = 2 + 1$$

For a partition (r_1, \dots, r_{s+1}) , we denote $P_{(r_1, \dots, r_{s+1})}$ the corresponding parabolic subgroups. Thus, we have

$$P_{1,1,1} = \left\{ \begin{bmatrix} * & * & * \\ 0 & * & * \\ 0 & 0 & * \end{bmatrix} \right\}, \quad P_{1,2} = \left\{ \begin{bmatrix} * & * & * \\ 0 & * & * \\ 0 & * & * \end{bmatrix} \right\}$$

$$P_{2,1} = \left\{ \begin{bmatrix} * & * & * \\ * & * & * \\ 0 & 0 & * \end{bmatrix} \right\}$$

Clearly **MaxParSt** = $\{P_{2,1}, P_{1,2}\}$.

- For $GL_4(\mathbb{R})$, there are seven standard parabolic subgroups for seven partitions

$$4 = 1 + 1 + 1 + 1, \quad 4 = 1 + 1 + 2, \quad 4 = 1 + 2 + 1$$

$$4 = 2 + 1 + 1, \quad 4 = 1 + 3, \quad 4 = 2 + 1, \quad 4 = 3 + 1$$

Explicitly, we have the following subgroups

$$\begin{aligned}
 P_{1,1,1,1} &= \left\{ \begin{bmatrix} * & * & * & * \\ 0 & * & * & * \\ 0 & 0 & * & * \\ 0 & 0 & 0 & * \end{bmatrix} \right\}, & P_{1,1,2} &= \left\{ \begin{bmatrix} * & * & * & * \\ 0 & * & * & * \\ 0 & 0 & * & * \\ 0 & 0 & * & * \end{bmatrix} \right\} \\
 P_{1,2,1} &= \left\{ \begin{bmatrix} * & * & * & * \\ 0 & * & * & * \\ 0 & * & * & * \\ 0 & 0 & 0 & * \end{bmatrix} \right\}, & P_{2,1,1} &= \left\{ \begin{bmatrix} * & * & * & * \\ * & * & * & * \\ 0 & 0 & * & * \\ 0 & 0 & 0 & * \end{bmatrix} \right\} \\
 P_{1,2,1} &= \left\{ \begin{bmatrix} * & * & * & * \\ 0 & * & * & * \\ 0 & * & * & * \\ 0 & 0 & 0 & * \end{bmatrix} \right\}, & P_{2,1,1} &= \left\{ \begin{bmatrix} * & * & * & * \\ * & * & * & * \\ 0 & 0 & * & * \\ 0 & 0 & 0 & * \end{bmatrix} \right\} \\
 P_{1,3} &= \left\{ \begin{bmatrix} * & * & * & * \\ 0 & * & * & * \\ 0 & * & * & * \\ 0 & * & * & * \end{bmatrix} \right\}, & P_{3,1} &= \left\{ \begin{bmatrix} * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ 0 & 0 & 0 & * \end{bmatrix} \right\} \\
 P_{2,2} &= \left\{ \begin{bmatrix} * & * & * & * \\ * & * & * & * \\ 0 & 0 & * & * \\ 0 & 0 & * & * \end{bmatrix} \right\}
 \end{aligned}$$

Clearly $\mathbf{MaxParSt} = \{P_{1,3}, P_{3,1}, P_{2,2}\}$.

1.2.2 Parabolic subgroups II: Using BN-pairs

We first introduce the BN-pairs

Definition 1.2.4 (BN-pairs). A **BN-pairs** is a 4-tuple (G, B, N, R) where G is a group generated by subgroups B and N . The subgroup $H = B \cap N$, R is a finite set of involutions which generate the Weyl group $W = N/H$. Moreover, the following theorem holds

- If $r \in R$ and $w \in W$, then $rBw \subset BwB \cup BrwB$.
- If $r \in R$, $rBr \neq B$.

For our purpose, it is enough to concentrate on the following example

Example 1.2.5. Let $G = GL_n(\mathbb{R})$, then the sets B, N, R are given explicitly as follows

- $B =$ upper triangular matrices
- $N =$ monomial matrices, namely, matrices that have exactly one non-zero entry in each row and column
- From the above, it is clearly that $H = B \cap N$ is the diagonal group, and this group is normal in N .
- It can be shown that $W = N/H \cong S_n$, thus $R = \{(i, i-1)\}$ - the set of transpositions.

Let $J \subset R$, we define W_J to be the subgroup of W generated by the involutions $r \in R$. We call it **standard parabolic subgroup** of W . Set $P_J = BW_JB$ as in the notation of BN-pairs. We have the following theorem

Theorem 1.2.6.

- P_J is a subgroup of G . In particular, we have

$$G = BWB,$$

which is called **Bruhat decomposition** of G .

- If $P_I = P_J$ then we have $I = J$.
- All subgroups of G containing B arises in this way. *Add proofs?*

The above theorem leads to the following definition of parabolic subgroups

Definition 1.2.7 (Parabolic subgroups). *Using the same notation in the previous theorem, we call the subgroups P_J with $J \subset R$ standard parabolic subgroups of group G .*

We would like to explicitly describe the parabolic subgroup for $SL_n(\mathbb{R})$.

Example 1.2.8. *As introduced in previous section, the set $\Phi = \{\alpha_{ij}\}$ forms a root system for $SL_n(\mathbb{R})$. The Borel subgroup is just $B \cap SL_n(\mathbb{R})$, the group of upper triangular matrices with determinant 1. We also consider the set N of monomial matrices with determinant 1. Then it is easy to check that N/H is the set of all permutation matrices. That is, N/H is generated by the matrices of the form *see Cambridge**

$$r_i := \begin{bmatrix} I_{i-1} & & & \\ & 0 & 1 & \\ & 1 & 0 & \\ & & & I_{n-i-1} \end{bmatrix}$$

Via the identification $s_i \mapsto (i, i+1)$, we identify the Weyl group $W = N/H \cong S_n$. So $R = \{(i, i+1) | i = 1, 2, \dots, n\}$. We consider the set $I = R \setminus \{r_i\}$ for some $i < n$. The associated parabolic subgroup of W is

$$W_I = \langle r_1, r_2, \dots, r_{i-1}, r_{i+1}, \dots, r_{n-1} \rangle \cong S_i \times S_{n-i}$$

The corresponding parabolic subgroup is

$$P_{r_i} := P_I = \left\{ \begin{bmatrix} A & * \\ & B \end{bmatrix} \in SL_n(\mathbb{R}) : A \in GL_i, B \in GL_{n-i} \right\}$$

1.2.3 Langlands decomposition

We fix a partition of n as

$$n = n_1 + n_2 + \dots + n_k$$

and consider the parabolic subgroup of this type, i.e. the subgroup

$$P_{n_1, \dots, n_k} = \left\{ \begin{bmatrix} \mathbf{m}_1 & * & \dots & * \\ 0 & \mathbf{m}_2 & \dots & * \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \mathbf{m}_k \end{bmatrix} \right\}$$

where \mathbf{m}_i is invertible of size $n_i \times n_i$.

This group can be factored as

$$P_{n_1, \dots, n_k} = M_{n_1, \dots, n_k} \ltimes N_{n_1, \dots, n_k}$$

where

$$N_{n_1, \dots, n_k} = \left\{ \begin{bmatrix} I_1 & * & \dots & * \\ 0 & I_2 & \dots & * \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & I_k \end{bmatrix} \right\} \quad (I_k \text{ is the } n_k \times n_k \text{ identity matrix})$$

and

$$M_{n_1, \dots, n_k} = \left\{ \begin{bmatrix} M_1 & 0 & \dots & 0 \\ 0 & M_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & M_k \end{bmatrix} \right\}$$

The subgroup M_{n_1, \dots, n_k} is called **Levi component**. We can further factor this subgroup as

$$M_{n_1, \dots, n_k} = M'_{n_1, \dots, n_k} \cdot A_{n_1, \dots, n_k}$$

with A_{n_1, \dots, n_k} plays the role of the connected center of M_{n_1, \dots, n_k} :

$$A_{n_1, \dots, n_k} = \left\{ \begin{bmatrix} t_1 I_1 & 0 & \dots & 0 \\ 0 & t_2 I_k & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & t_k I_k \end{bmatrix} : t_i > 0 \right\}$$

and

$$M'_{n_1, \dots, n_k} = \left\{ \begin{bmatrix} \mathbf{m}'_1 & 0 & \dots & 0 \\ 0 & \mathbf{m}'_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \mathbf{m}'_k \end{bmatrix} \right\},$$

where $\det(\mathbf{m}'_i) = \pm 1$.

Definition 1.2.9. For a given parabolic subgroup P , the factorization

$$P = M_P \times A_P \times N_P$$

as above is called **Langlands decomposition**.

1.2.4 Iwasawa decomposition and P-horospherical decomposition

We introduce two important ways to decompose a matrix in $GL_n(\mathbb{R})$ into simple parts. This will be frequently used in the remaining part of this thesis.

Proposition 1.2.10. Let

$$K = O_n(\mathbb{R}), \quad A = \left\{ \begin{bmatrix} a_1 & 0 & \dots & 0 \\ 0 & a_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & a_n \end{bmatrix} : a_i > 0 \right\}, \quad U = \left\{ \begin{bmatrix} 1 & * & \dots & * \\ 0 & 1 & \dots & * \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{bmatrix} \right\}$$

Then the natural product map

$$p: K \times A \times U \rightarrow GL_n(\mathbb{R}), \quad p(k, a, n) = kan$$

is an isomorphism.

Thus, the following decomposition makes sense

Definition 1.2.11. The decomposition of $g = kan$ for $k \in K, a \in A$ and $n \in N$ is called **Iwasawa decomposition**.

Using the Langlands decomposition as well as the Iwasawa decomposition $G = ANK = BK$, we observe the decomposition

$$G = PK = M_P A_P N_P K \cong M_P \times A_P \times N_P K$$

As a consequence, we get

$$X_G = G/K = X_{M_P} \times A_P \times N_P$$

for $X_{M_P} := M_P/(K \cap M_P)$.

This is **P -horospherical** decomposition of the lattice space X_G . In this way, we can identify $x \in X_G$ with the triple $(m_P(x), a_P(x), n_P(x))$.

Furthermore, if $Q \supset P$ is also a parabolic subgroup, it gives rise to a parabolic subgroup $*P := P \cap M_Q$ of M_Q . The space X_{M_Q} itself has the decomposition

$$X_{M_Q} = X_{*P} \times A(Q)_{*P} \times N(Q)_{*P}$$

where

$$X_{*P} = X_P, \quad A(Q)_{*P} A_Q = A_P, \quad N(Q)_{*P} N_Q = N_P.$$

This is called **relative P -horospherical** decomposition with respect to Q .

1.2.5 Parabolic sets and parabolic subalgebras

Definition 1.2.12. Given a root system Δ . A **parabolic subset** Δ_P is a subset of Δ such that it satisfies the following conditions:

1. For any $\alpha \in \Delta$, at most one of the two elements $\alpha, -\alpha$ is contained in Δ_P .
2. It is closed, in the sense that, for any two root $\alpha, \beta \in \Delta_P$ such that $\alpha + \beta$ is a root, then $\alpha + \beta \in \Delta_P$.

The parabolic set parametrizes the parabolic subalgebra with the root system Δ , as given in the following theorem

Theorem 1.2.13. Given a semisimple Lie algebra \mathfrak{g} with the root system Δ . There exists a correspondence between parabolic subset of Δ_P of Δ and subalgebras of \mathfrak{g} containing the Borel subalgebra \mathfrak{b} . The correspondence is given by

$$\Delta_P \longleftrightarrow \mathfrak{p} := \mathfrak{b} \oplus \bigoplus_{\alpha \in \Delta \setminus \Delta_P} g_\alpha$$

Proof. We refer to [?] for a proof of this fact. □

Example 1.2.14. We consider the case $\mathfrak{g} = \mathfrak{sl}_3(\mathbb{R})$. Let's denote $\Pi = \{\alpha, \beta\}$ a base for the root system of \mathfrak{g} . It is clear that the set of positive root is $\Delta_+ = \{\alpha, \beta, \gamma\}$. There are 4 parabolic sets, corresponding to 4 parabolic subalgebra given as follows

$$\begin{aligned} \Delta_P &= \Delta_+ \longleftrightarrow \mathfrak{p} = \mathfrak{b} \\ \Delta_P &= \Delta \cup \{-\alpha\} \longleftrightarrow \mathfrak{p} = \mathfrak{b} \oplus \mathfrak{g}_{-\alpha} \\ \Delta_P &= \Delta \cup \{-\beta\} \longleftrightarrow \mathfrak{p} = \mathfrak{b} \oplus \mathfrak{g}_{-\beta} \\ \Delta_P &= \Delta \longleftrightarrow \mathfrak{p} = \mathfrak{g} \end{aligned}$$

1.2.6 On the function H_P

Recall that for the Lie group $SL_n(\mathbb{R})$, we attach to it a root system $\Phi = \{\alpha_{i,j}\}$ with

$$\Delta = \{\alpha_i \mid i \in I\}, \quad I = \{1, 2, \dots, n-1\}$$

as the set of fundamental roots. It is a fact that the Weyl group W satisfies

$$W = \langle r_{\alpha_i} : i \in I \rangle$$

For the sake of brevity, we denote $r_{\alpha_i} := r_i$. Then for each subset $J \subset I$, we define the following subset of the Cartan subalgebra \mathfrak{h} of $\mathfrak{sl}_n(\mathbb{R})$

$$\begin{aligned} \mathfrak{h}(J) &:= \text{span}\{\alpha_i^\vee : i \in J\} \\ \mathfrak{h}_J &:= \{H \in \mathfrak{h} : \langle \alpha_i, H \rangle = 0 \text{ for } i \in J\} \end{aligned}$$

By the definition of weights, i.e. $\langle \lambda_i, \alpha_j^\vee \rangle = \delta_{ij}$, it is immediate that the subspace \mathfrak{h}_J of \mathfrak{h} contains the subspace

$$\text{span}\{\lambda_i^\vee : i \notin J\}$$

It is also clear that the dimension of $\mathfrak{h}(J)$ is $|J|$ as the set $\{\alpha_i^\vee : i \in J\}$ is a linearly independent set. Assume that

$$\sum_{i \notin J} c_i \lambda_i^\vee = 0$$

Pairing both sides with α_i , we deduce that $c_i = 0$ for all $i \notin J$. In particular, the set $\{\lambda_i^\vee : i \notin J\}$ contains linearly independent vectors and thus spans a subspace of \mathfrak{h}_J of dimension $|I| - |J|$. Therefore

$$\mathfrak{h}_J = \text{span}\{\lambda_i^\vee : i \notin J\}$$

To put it another way, we have a direct sum decomposition

$$\mathfrak{h} = \mathfrak{h}(J) \oplus \mathfrak{h}_J$$

We also write $\lambda_{j,J}^\vee$ for the basis of $\mathfrak{h}(J)$ containing the fundamental weight, namely $\langle \alpha_k, \lambda_{j,J}^\vee \rangle = \delta_{kj}$ for $j, k \in J$. We have the following easy lemma

Lemma 1.2.15. *Let $H \in \mathfrak{g}$ be arbitrary. For $J \subsetneq I$ we have the orthogonal decomposition*

$$H = H(J) + H_J,$$

where $H(J) \in \mathfrak{h}(J)$ and $H_J \in \mathfrak{h}_J$. If $H = \sum_{i=1}^n p_i \lambda_i^\vee$ then

$$H(J) = \sum_{i \in J} p_i \lambda_{i,J}^\vee$$

Proof. Let $H(J) = \sum_{i \in J} c_i \lambda_{i,J}^\vee$, then for $i \in J$

$$p_j = \langle \alpha_i, H \rangle = \langle \alpha_i, H_J + H(J) \rangle = \sum_{i \in J} \langle \alpha_i, c_i \lambda_{i,J}^\vee \rangle = c_i$$

Hence $H(J) = \sum_{i \in J} p_i \lambda_{i,J}^\vee$. □

We know from previous section that for each standard parabolic subgroup of G , it must be of the form P_J for some subset $J \subset \{1, \dots, n-1\}$. Therefore, we can define $\mathfrak{h}(P) := \mathfrak{h}(J)$ and $\mathfrak{h}_P := \mathfrak{h}_J$. we now define the function H_P as follows:

Definition 1.2.16. Assume that for $x \in X$, we have a P -horospherical decomposition

$$x = (m_P(x), a_P(x), n_P(x))$$

Then we define

$$\begin{aligned} H_P: X &\rightarrow \mathfrak{h}_P \\ x &\mapsto H_P(x) = \log(a_P(x)), \end{aligned}$$

where \log means we take the logarithm of the diagonal entries of $a_P(x)$.

This definition is well-defined since $\log(a_P(x))$ is an element of \mathfrak{h}_P by definition. We prove the following lemma

Lemma 1.2.17. Let B the Borel standard subgroup of G , i.e. the minimal standard parabolic subgroup and P is any parabolic subgroup of G . Then we have

$$H_B(x) = H_P(x) + H_{*B}(pr_{M_P}(x))$$

where $pr_{M_P}(x)$ stands for the natural projection of $x \in X$ on M_P

Proof. This follows immediately from the observation that

$$A_B = A_P A(P)_{*B}$$

and the definition 1.2.16 of the function H_P for parabolic subgroup P . □