## CS6375 Project 2: Tree Classifiers

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### Introduction

This document details the experimental results when training tree-based classification algorithms on two datasets: CNF and MNIST. For each sub-dataset, I trained Decision Tree, Bagging, Random Forest, and Gradient Boosting on these datasets and swept through a comprehensive set of hyperparameters. The best set of hyperparameters along with the corresponding performance is reported for each dataset and algorithm. Finally, there will be some discussion on the performances.

### The CNF Dataset

The CNF dataset is a collection of 15 sub-datasets. Each dataset is parametrized by C, the number of features (i.e., the number of clauses in the underlying CNF), and D, the number of examples.

### **Experiments**

**DecisionTreeClassifier** 

Parameter grid

```
{
    'criterion': ['gini', 'entropy'],
    'splitter': ['best', 'random'],
    'max_depth': [None, 4, 16, 64],
    'min_samples_split': [2, 8, 0.01, 0.1],
    'max_features': ['sqrt', None],
}
```

In total, 128 parameter sets were tried for each dataset. Results for each dataset is in Appendix A.

### **BaggingClassifier**

Parameter grid

In total, 216 parameter sets were tried. Results are in Appendix B.

### RandomForestClassifier

```
param_grid = {
    'criterion': ['gini'],
    'n_estimators': [10, 50, 100],
    'max_depth': [None, 4],
    'min_samples_split': [2, 0.01],
    'max_features': ['sqrt', None],
    'bootstrap': [True, False],
    'oob_score': [True, False],
```

```
}
```

There were 96 parameter sets in total. Results are in Appendix C.

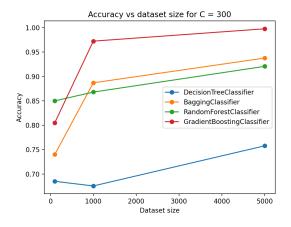
### GradientBoostingClassifier

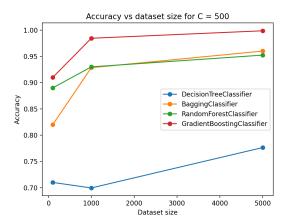
```
param_grid = {
    'n_estimators': [10, 50, 100],
    'learning_rate': [0.1, 0.01],
    'criterion': ['friedman_mse', 'squared_error'],
    'max_depth': [3, None],
    'min_samples_split': [2, 0.01],
    'max_features': ['sqrt', None],
}
```

96 parameter sets in total. Results are in Appendix D.

### Results and Discussion

Below are the results of the four algorithms across settings. Each subplot is for a value of C (number of features). In each subplot, the x-axis is about the dataset size, while the y axis are for accuracy. For detailed results, please see Appendix A-D.





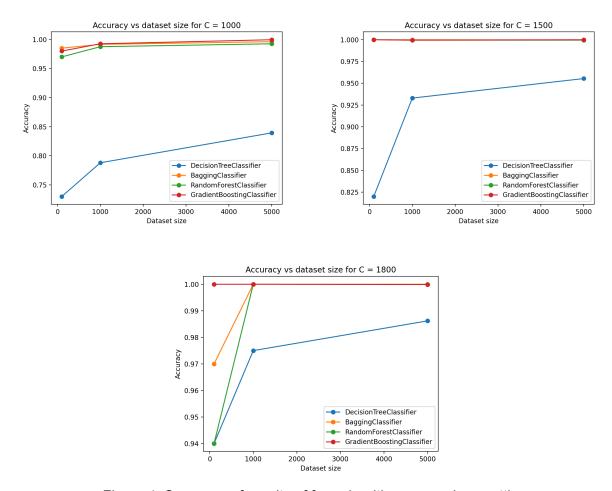


Figure 1: Summary of results of four algorithms on various settings

I now discuss the results by answering the professor's questions.

# Question 1: Which classifier (among the four) yields the best overall generalization accuracy/F1 score? Based on your ML knowledge, why do you think the "classifier" achieved the highest overall accuracy/F1 score?

*Gradient Boosting* has the best overall generalization. Even though this algorithm has not been covered in class, I learned that it combines techniques from boosting (adjusting the weights of the examples for better classification accuracy) with gradient descent. Therefore, it is expected to be more robust.

## Question 2: What is the impact of increasing the amount of training data on the accuracy/F1 scores of each of the four classifiers?

For all four algorithms, more data means higher accuracy. Interesting, when giving only 100 examples, Random Forest out performs Bagging (at C being 300 and 500) and Gradient

Boosting (C = 300). This shows that Bagging and Gradient Boosting can only perform well with a lot of data, while Random Forest seems to be more data efficient.

## Question 3: What is the impact of increasing the number of features on the accuracy/F1 scores of each of the four classifiers?

With more features, it is apparent that the performance of all algorithms improve across the board, regardless of the training set size.

### The MNIST dataset

For hyperparameter tuning, I split the original training set into 50K:10K for training and evaluation. The hyperparameter grid is kept the same as the CNF experiments.

Results are as follows.

algorithm	асс	best_params
DecisionTreeClassifier	0.8802	{"random_state": 42, "criterion": "gini", "splitter": "best", "max_depth": 16, "min_samples_split": 8, "max_features": null}
BaggingClassifier	0.9674	{'random_state': 42, 'n_jobs': 24, 'estimator': DecisionTreeClassifier(random_state=42), 'n_estimators': 100, 'max_samples': 1.0, 'max_features': 0.1, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
RandomForestClassifier	0.9739	{'random_state': 42, 'n_jobs': 24, 'criterion': 'gini', 'n_estimators': 100, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': False, 'oob_score': False}
GradientBoostingClassifier	0.9184	{'random_state': 42, 'n_estimators': 50, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': 'sqrt'}

Here, surprisingly, Random Forest performs the best. Following the CNF discussion, it seems like we are in the data-scarce setting for MNIST. Therefore, Random Forest tends to perform better.

## Conclusion

The tree-based classifiers are highly effective in classification tasks, even the complex ones such as image recognition. Among the variants, Gradient Boosting consistently performs the best.

## Appendix A: Random Forest on CNF

dataset	асс	f1	best_params
C=300,	0.68	0.71	{'criterion': 'gini', 'splitter': 'best', 'max_depth': 4, 'min_samples_split': 2, 'max_features': None}
D=100	5	23	
C=300,	0.67	0.66	{'criterion': 'gini', 'splitter': 'best', 'max_depth': None, 'min_samples_split': 0.1, 'max_features': None}
D=1000	55	9	
C=300,	0.75	0.77	{'criterion': 'gini', 'splitter': 'best', 'max_depth': None, 'min_samples_split': 0.01, 'max_features': None}
D=5000	78	79	
C=500, D=100	0.71	0.69 79	{'criterion': 'entropy', 'splitter': 'best', 'max_depth': None, 'min_samples_split': 0.1, 'max_features': None}
C=500,	0.69	0.69	{'criterion': 'gini', 'splitter': 'best', 'max_depth': None, 'min_samples_split': 0.1, 'max_features': None}
D=1000	95	78	
C=500,	0.77	0.77	{'criterion': 'entropy', 'splitter': 'random', 'max_depth': None, 'min_samples_split': 2, 'max_features': None}
D=5000	64	78	
C=1000, D=100	0.73	0.74 77	{'criterion': 'gini', 'splitter': 'random', 'max_depth': 4, 'min_samples_split': 2, 'max_features': None}
C=1000,	0.78	0.80	{'criterion': 'entropy', 'splitter': 'best', 'max_depth': None, 'min_samples_split': 2, 'max_features': None}
D=1000	8	04	
C=1000,	0.83	0.84	{'criterion': 'gini', 'splitter': 'random', 'max_depth': None, 'min_samples_split': 0.01, 'max_features': None}
D=5000	94	52	
C=1500, D=100	0.82	0.81 25	{'criterion': 'gini', 'splitter': 'best', 'max_depth': None, 'min_samples_split': 8, 'max_features': 'sqrt'}
C=1500,	0.93	0.93	{'criterion': 'entropy', 'splitter': 'best', 'max_depth': None, 'min_samples_split': 0.01, 'max_features': None}
D=1000	3	22	
C=1500,	0.95	0.95	{'criterion': 'entropy', 'splitter': 'best', 'max_depth': None, 'min_samples_split': 8, 'max_features': None}
D=5000	54	55	
C=1800, D=100	0.94	0.94 17	{'criterion': 'gini', 'n_estimators': 10, 'max_depth': 4, 'min_samples_split': 2, 'max_features': None, 'bootstrap': True, 'oob_score': True}

C=1800, D=1000	1.0	1.0	{'criterion': 'gini', 'n_estimators': 50, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}
C=1800,	0.99	0.99	{'criterion': 'gini', 'n_estimators': 50, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': False, 'oob_score': False}
D=5000	99	99	

# Appendix B: Bagging on CNF

dataset	асс	f1	best_params
C=300, D=100	0.74	0.7476	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 0.5, 'max_features': 0.1, 'bootstrap': False, 'oob_score': False, 'warm_start': True}
C=300, D=1000	0.887	0.8898	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 1.0, 'max_features': 1.0, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=300, D=5000	0.9378	0.9384	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 1.0, 'max_features': 0.5, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=500, D=100	0.82	0.8182	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None,

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			'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 0.5, 'max_features': 0.1, 'bootstrap': False, 'oob_score': False, 'warm_start': True}
C=500, D=1000	0.9285	0.9292	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 0.5, 'max_features': 0.5, 'bootstrap': False, 'oob_score': False, 'warm_start': True}
C=500, D=5000	0.9601	0.9602	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 1.0, 'max_features': 0.5, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=1000 , D=100	0.985	0.9851	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 0.5, 'max_features': 0.1, 'bootstrap': False, 'oob_score': False, 'warm_start': True}
C=1000 , D=1000	0.9915	0.9915	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 1.0, 'max_features': 0.1, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=1000 , D=5000	0.9961	0.9961	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100,

			'max_samples': 1.0, 'max_features': 0.1, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=1500 , D=100	1.0	1.0	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 100, 'max_samples': 0.1, 'max_features': 0.5, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=1500 , D=1000	1.0	1.0	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 50, 'max_samples': 0.5, 'max_features': 0.1, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=1500 , D=5000	0.9997	0.9997	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'monotonic_cst': None, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 50, 'max_samples': 1.0, 'max_features': 0.1, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=1800 , D=100	0.97	0.97	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 10, 'max_samples': 1.0, 'max_features': 1.0, 'bootstrap': True, 'oob_score': True, 'warm_start': False}
C=1800 , D=1000	1.0	1.0	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None, 'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 50, 'max_samples': 0.1, 'max_features': 0.1, 'bootstrap': True, 'oob_score': True, 'warm_start': False}

C=1800	1.0	1.0	{'estimator': {'params': {'ccp_alpha': 0.0, 'class_weight': None, 'criterion': 'gini', 'max_depth': None, 'max_features': None,
D=5000			'max_leaf_nodes': None, 'min_impurity_decrease': 0.0, 'min_samples_leaf': 1, 'min_samples_split': 2, 'min_weight_fraction_leaf': 0.0, 'random_state': 42, 'splitter': 'best'}}, 'n_estimators': 50, 'max_samples': 0.5, 'max_features': 0.1, 'bootstrap': True, 'oob_score': True, 'warm_start': False}

## Appendix C: Random Forest on CNF

dataset	асс	f1	best_params
C=300, D=100	0.85	0.85	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': 4, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}
C=300, D=1000	0.868	0.8712	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': None, 'min_samples_split': 0.01, 'max_features': None, 'bootstrap': True, 'oob_score': True}
C=300, D=5000	0.9208	0.9251	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': None, 'min_samples_split': 2, 'max_features': None, 'bootstrap': True, 'oob_score': True}
C=500, D=100	0.89	0.8866	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': 4, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': False, 'oob_score': False}
C=500, D=1000	0.93	0.9297	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': None, 'min_samples_split': 0.01, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}
C=500, D=5000	0.9524	0.9524	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': False, 'oob_score': False}
C=1000, D=100	0.97	0.97	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': 4, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': False, 'oob_score': False}
C=1000, D=1000	0.9875	0.9875	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}

C=1000, D=5000	0.9925	0.9925	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}
C=1500, D=100	1.0	1.0	{'criterion': 'gini', 'n_estimators': 50, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}
C=1500, D=1000	0.9995	0.9995	{'criterion': 'gini', 'n_estimators': 50, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}
C=1500, D=5000	0.9995	0.9995	{'criterion': 'gini', 'n_estimators': 100, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}
C=1800, D=100	0.94	0.9417	{'criterion': 'gini', 'n_estimators': 10, 'max_depth': 4, 'min_samples_split': 2, 'max_features': None, 'bootstrap': True, 'oob_score': True}
C=1800, D=1000	1.0	1.0	{'criterion': 'gini', 'n_estimators': 50, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': True, 'oob_score': True}
C=1800, D=5000	0.9999	0.9999	{'criterion': 'gini', 'n_estimators': 50, 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt', 'bootstrap': False, 'oob_score': False}

## Appendix D: Gradient Boosting on CNF

dataset	асс	f1	best_params
C=300, D=100	0.805	0.8079	{'n_estimators': 100, 'learning_rate': 0.01, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': 'sqrt'}
C=300, D=1000	0.9725	0.9732	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': None}
C=300, D=5000	0.9978	0.9978	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': None, 'min_samples_split': 0.01, 'max_features': None}
C=500, D=100	0.91	0.9062	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': 'sqrt'}

C=500, D=1000	0.9845	0.9846	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': None}
C=500, D=5000	0.9987	0.9987	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': None, 'min_samples_split': 0.01, 'max_features': None}
C=1000, D=100	0.98	0.9804	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'squared_error', 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt'}
C=1000, D=1000	0.9925	0.9925	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': None, 'min_samples_split': 0.01, 'max_features': 'sqrt'}
C=1000, D=5000	0.9995	0.9995	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': None, 'min_samples_split': 0.01, 'max_features': None}
C=1500, D=100	1.0	1.0	{'n_estimators': 50, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': 'sqrt'}
C=1500, D=1000	0.9995	0.9995	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': 'sqrt'}
C=1500, D=5000	1.0	1.0	{'n_estimators': 100, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': None, 'min_samples_split': 0.01, 'max_features': 'sqrt'}
C=1800, D=100	1.0	1.0	{'n_estimators': 50, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': 'sqrt'}
C=1800, D=1000	1.0	1.0	{'n_estimators': 50, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': 3, 'min_samples_split': 2, 'max_features': 'sqrt'}
C=1800, D=5000	0.9999	0.9999	{'n_estimators': 50, 'learning_rate': 0.1, 'criterion': 'friedman_mse', 'max_depth': None, 'min_samples_split': 2, 'max_features': 'sqrt'}