# Polymorphism

#### Cons-Lists

A fundamental data structure in many functional languages is the immutable linked list.

It is constructed from two building blocks:

Nil the empty list

 $\ensuremath{\mathsf{Cons}}\xspace$  a cell containing an element and the remainder of the list.

### Examples for Cons-Lists

```
List(1, 2, 3)
```

```
List(List(true, false), List(3))
```

#### Cons-Lists in Scala

Here's an outline of a class hierarchy that represents lists of integers in this fashion:

```
package week4

trait IntList ...
class Cons(val head: Int, val tail: IntList) extends IntList ...
class Nil extends IntList ...
```

#### A list is either

- ▶ an empty list new Nil, or
- ► a list new Cons(x, xs) consisting of a head element x and a tail list xs.

#### Value Parameters

```
Note the abbreviation (val head: Int, val tail: IntList) in the
definition of Cons.
This defines at the same time parameters and fields of a class.
It is equivalent to:
  class Cons(_head: Int, _tail: IntList) extends IntList {
    val head = head
    val tail = _tail
where head and tail are otherwise unused names.
```

### Type Parameters

It seems too narrow to define only lists with Int elements.

We'd need another class hierarchy for Double lists, and so on, one for each possible element type.

We can generalize the definition using a type parameter:

```
package week4

trait List[T]

class Cons[T](val head: T, val tail: List[T]) extends List[T]

class Nil[T] extends List[T]
```

Type parameters are written in square brackets, e.g. [T].

### Complete Definition of List

```
trait List[T] {
  def isEmpty: Boolean
 def head: T
 def tail: List[T]
class Cons[T](val head: T, val tail: List[T]) extends List[T] {
 def isEmpty = false
class Nil[T] extends List[T] {
  def isEmptv = true
  def head = throw new NoSuchElementException("Nil.head")
 def tail = throw new NoSuchElementException("Nil.tail")
```

#### Generic Functions

Like classes, functions can have type parameters.

For instance, here is a function that creates a list consisting of a single element.

```
def singleton[T](elem: T) = new Cons[T](elem, new Nil[T])
```

We can then write:

```
singleton[Int](1)
singleton[Boolean](true)
```

### Type Inference

In fact, the Scala compiler can usually deduce the correct type parameters from the value arguments of a function call.

So, in most cases, type parameters can be left out. You could also write:

```
singleton(1)
singleton(true)
```

### Types and Evaluation

Type parameters do not affect evaluation in Scala.

We can assume that all type parameters and type arguments are removed before evaluating the program.

This is also called type erasure.

Languages that use type erasure include Java, Scala, Haskell, ML, OCaml.

Some other languages keep the type parameters around at run time, these include C++, C#, F#.

### Polymorphism

Polymorphism means that a function type comes "in many forms".

In programming it means that

- the function can be applied to arguments of many types, or
- the type can have instances of many types.

We have seen two principal forms of polymorphism:

- ▶ subtyping: instances of a subclass can be passed to a base class
- generics: instances of a function or class are created by type parameterization.

#### Exercise

Write a function nth that takes an integer n and a list and selects the n'th element of the list.

Elements are numbered from 0.

If index is outside the range from 0 up the the length of the list minus one, a IndexOutOfBoundsException should be thrown.

# Objects Everywhere

### Pure Object Orientation

A pure object-oriented language is one in which every value is an object.

If the language is based on classes, this means that the type of each value is a class.

Is Scala a pure object-oriented language?

At first glance, there seem to be some exceptions: primitive types, functions.

But, let's look closer:

#### Standard Classes

Conceptually, types such as Int or Boolean do not receive special treatment in Scala. They are like the other classes, defined in the package scala.

For reasons of efficiency, the Scala compiler represents the values of type scala.Int by 32-bit integers, and the values of type scala.Boolean by Java's Booleans, etc.

#### Pure Booleans

The Boolean type maps to the JVM's primitive type boolean.

But one could define it as a class from first principles:

```
package idealized.scala
abstract class Boolean {
 def ifThenElse[T](t: => T, e: => T): T
 def && (x: => Boolean): Boolean = ifThenElse(x, false)
 def || (x: => Boolean): Boolean = ifThenElse(true, x)
 def unary !: Boolean = ifThenElse(false, true)
 def == (x: Boolean): Boolean = ifThenElse(x, x.unary_!)
 def != (x: Boolean): Boolean
                                = ifThenElse(x.unarv_!, x)
  . . .
```

#### **Boolean Constants**

Here are constants true and false that go with Boolean in the idealized.scala:

```
package idealized.scala

object true extends Boolean {
   def ifThenElse[T](t: => T, e: => T) = t
}

object false extends Boolean {
   def ifThenElse[T](t: => T, e: => T) = e
}
```

#### Exercise

Provide an implementation of the comparison operator < in class idealized.scala.Boolean.

Assume for this that false < true.

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Assume for this that false < true.

#### The class Int

Here is a partial specification of the class scala.Int.

```
class Int {
def + (that: Double): Double
def + (that: Float): Float
def + (that: Long): Long
def & (that: Long): Long
```

### The class Int (2)

```
def == (that: Double): Boolean
def == (that: Float): Boolean
def == (that: Long): Boolean  // same for !=, <, >, <=, >=
...
}
```

Can it be represented as a class from first principles (i.e. not using primitive ints?

#### Exercise

Provide an implementation of the abstract class Nat that represents non-negative integers.

```
abstract class Nat {
  def isZero: Boolean
  def predecessor: Nat
  def successor: Nat
  def + (that: Nat): Nat
  def - (that: Nat): Nat
}
```

### Exercise (2)

Do not use standard numerical classes in this implementation.

Rather, implement a sub-object and a sub-class:

```
object Zero extends Nat
class Succ(n: Nat) extends Nat
```

One for the number zero, the other for strictly positive numbers.

(this one is a bit more involved than previous quizzes).

### Functions as Objects

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We have seen that Scala's numeric types and the Boolean type can be implemented like normal classes.

But what about functions?

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But what about functions?

In fact function values are treated as objects in Scala.

The function type A => B is just an abbreviation for the class scala.Function1[A, B], which is defined as follows.

```
package scala
trait Function1[A, B] {
  def apply(x: A): B
}
```

So functions are objects with apply methods.

There are also traits Function2, Function3, ... for functions which take more parameters (currently up to 22).

### Expansion of Function Values

An anonymous function such as

```
(x: Int) \Rightarrow x * x
```

is expanded to:

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An anonymous function such as

```
(x: Int) => x * x
is expanded to:
    { class AnonFun extends Function1[Int, Int] {
        def apply(x: Int) = x * x
     }
     new AnonFun
}
```

### Expansion of Function Values

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```
(x: Int) \Rightarrow x * x
is expanded to:
   { class AnonFun extends Function1[Int, Int] {
       def apply(x: Int) = x * x
     new AnonFun
or, shorter, using anonymous class syntax:
```

new Function1[Int, Int] {
 def apply(x: Int) = x \* x
}

## Expansion of Function Calls

f.apply(a, b)

A function call, such as f(a, b), where f is a value of some class type, is expanded to

```
So the OO-translation of
  val f = (x: Int) \Rightarrow x * x
  f(7)
would be
  val f = new Function1[Int, Int] {
    def apply(x: Int) = x * x
  f.applv(7)
```

### Functions and Methods

Note that a method such as

```
def f(x: Int): Boolean = ...
```

is not itself a function value.

But if f is used in a place where a Function type is expected, it is converted automatically to the function value

```
(x: Int) => f(x)

or, expanded:

new Function1[Int, Boolean] {
    def apply(x: Int) = f(x)
}
```

#### Exercise

In package week4, define an

```
object List {
   ...
}
```

with 3 functions in it so that users can create lists of lengths 0-2 using  $\mbox{syntax}$ 

```
List() // the empty list
List(1) // the list with single element 1
List(2, 3) // the list with elements 2 and 3.
```

Subtyping and Generics

### Polymorphism

Two principal forms of polymorphism:

- subtyping
- generics

In this session we will look at their interactions.

Two main areas:

- bounds
- variance

### Type Bounds

Consider the method assertAllPos which

- ▶ takes an IntSet
- ▶ returns the IntSet itself if all its elements are positive
- throws an exception otherwise

What would be the best type you can give to assertAllPos? Maybe:

### Type Bounds

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- returns the IntSet itself if all its elements are positive
- throws an exception otherwise

What would be the best type you can give to assertAllPos? Maybe:

```
def assertAllPos(s: IntSet): IntSet
```

In most situations this is fine, but can one be more precise?

# Type Bounds

One might want to express that assertAllPos takes Empty sets to Empty sets and NonEmpty sets to NonEmpty sets.

A way to express this is:

```
def assertAllPos[S <: IntSet](r: S): S = ...</pre>
```

Here, "<: IntSet" is an *upper bound* of the type parameter S:

It means that S can be instantiated only to types that conform to IntSet.

Generally, the notation

- ▶ S <: T means: S is a subtype of T, and
- ▶ S >: T means: S is a supertype of T, or T is a subtype of S.

#### Lower Bounds

You can also use a lower bound for a type variable.

#### **Example**

```
[S >: NonEmpty]
```

introduces a type parameter S that can range only over *supertypes* of NonEmpty.

So S could be one of NonEmpty, IntSet, AnyRef, or Any.

We will see later on in this session where lower bounds are useful.

#### Mixed Bounds

Finally, it is also possible to mix a lower bound with an upper bound.

For instance,

```
[S >: NonEmpty <: IntSet]</pre>
```

would restrict S any type on the interval between NonEmpty and IntSet.

#### Covariance

There's another interaction between subtyping and type parameters we need to consider. Given:

```
is
List[NonEmpty] <: List[IntSet] ?</pre>
```

NonEmpty <: IntSet

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```
is
   List[NonEmpty] <: List[IntSet] ?</pre>
```

NonEmpty <: IntSet

Intuitively, this makes sense: A list of non-empty sets is a special case of a list of arbitrary sets.

We call types for which this relationship holds *covariant* because their subtyping relationship varies with the type parameter.

Does covariance make sense for all types, not just for List?

## Arrays

For perspective, let's look at arrays in Java (and C#).

Reminder:

- ► An array of T elements is written T[] in Java.
- ► In Scala we use parameterized type syntax Array[T] to refer to the same type.

Arrays in Java are covariant, so one would have:

```
NonEmpty[] <: IntSet[]</pre>
```

# Array Typing Problem

But covariant array typing causes problems.

To see why, consider the Java code below.

```
NonEmpty[] a = new NonEmpty[]{new NonEmpty(1, Empty, Empty)}
IntSet[] b = a
b[0] = Empty
NonEmpty s = a[0]
```

It looks like we assigned in the last line an  ${\tt Empty}$  set to a variable of type  ${\tt NonEmpty}!$ 

What went wrong?

## The Liskov Substitution Principle

The following principle, stated by Barbara Liskov, tells us when a type can be a subtype of another.

If A <: B, then everything one can to do with a value of type B one should also be able to do with a value of type A.

[The actual definition Liskov used is a bit more formal. It says:

Let q(x) be a property provable about objects x of type B. Then q(y) should be provable for objects y of type A where A <: B.

]

The problematic array example would be written as follows in Scala:

```
val a: Array[NonEmpty] = Array(new NonEmpty(1, Empty, Empty))
val b: Array[IntSet] = a
b(0) = Empty
val s: NonEmpty = a(0)
```

When you try out this example, what do you observe?

```
A type error in line 1
A type error in line 2
A type error in line 3
A type error in line 4
A program that compiles and throws an exception at run-time
A program that compiles and runs without exception
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# Variance

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#### Variance

You have seen the the previous session that some types should be covariant whereas others should not.

Roughly speaking, a type that accepts mutations of its elements should not be covariant.

But immutable types can be covariant, if some conditions on methods are met.

### Definition of Variance

#### Definition of Variance

Say C[T] is a parameterized type and A, B are types such that A <: B.

In general, there are  $\it{three}$  possible relationships between C[A] and C[B]:

Scala lets you declare the variance of a type by annotating the type parameter:

Say you have two function types:

```
type A = IntSet => NonEmpty
type B = NonEmpty => IntSet
```

According to the Liskov Substitution Principle, which of the following should be true?

```
O A <: B
```

O B <: A

O A and B are unrelated.

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```

According to the Liskov Substitution Principle, which of the following should be true?

```
O A <: B
```

O B <: A

O A and B are unrelated.

# Typing Rules for Functions

Generally, we have the following rule for subtyping between function types:

If A2 <: A1 and B1 <: B2, then

A1 => B1 <: A2 => B2

### Function Trait Declaration

So functions are *contravariant* in their argument type(s) and *covariant* in their result type.

This leads to the following revised definition of the Function1 trait:

```
package scala
trait Function1[-T, +U] {
  def apply(x: T): U
}
```

### Variance Checks

We have seen in the array example that the combination of covariance with certain operations is unsound.

In this case the problematic operation was the update operation on an array.

If we turn Array into a class, and update into a method, it would look like this:

```
class Array[+T] {
  def update(x: T) ...
}
```

The problematic combination is

- the covariant type parameter T
- which appears in parameter position of the method update.

# Variance Checks (2)

The Scala compiler will check that there are no problematic combinations when compiling a class with variance annotations.

### Roughly,

- covariant type parameters can only appear in method results.
- contravariant type parameters can only appear in method parameters.
- ▶ *invariant* type parameters can appear anywhere.

The precise rules are a bit more involved, fortunately the Scala compiler performs them for us.

# Variance-Checking the Function Trait

Let's have a look again at Function1:

```
trait Function1[-T, +U] {
  def apply(x: T): U
}
```

Here,

- T is contravariant and appears only as a method parameter type
- ▶ U is covariant and appears only as a method result type

So the method is checks out OK.

#### Variance and Lists

Let's get back to the previous implementation of lists.

One shortcoming was that Nil had to be a class, whereas we would prefer it to be an object (after all, there is only one empty list).

Can we change that?

Yes, because we can make List covariant.

#### Variance and Lists

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One shortcoming was that Nil had to be a class, whereas we would prefer it to be an object (after all, there is only one empty list).

Can we change that?

Yes, because we can make List covariant.

Here are the essential modifications:

```
trait List[+T] { ... }
object Empty extends List[Nothing] { ... }
```

# Making Classes Covariant

Sometimes, we have to put in a bit of work to make a class covariant.

Consider adding a prepend method to List which prepends a given element, yielding a new list.

A first implementation of prepend could look like this:

```
trait List[+T] {
  def prepend(elem: T): List[T] = new Cons(elem, this)
}
```

But that does not work!

```
Why does the following code not type-check?
  trait List[+T] {
    def prepend(elem: T): List[T] = new Cons(elem. this)
Possible answers:
           prepend turns List into a mutable class.
0
           prepend fails variance checking.
0
           prepend's right-hand side contains a type error.
0
```

```
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0
           prepend fails variance checking.
0
           prepend's right-hand side contains a type error.
0
```

# Prepend Violates LSP

Indeed, the compiler is right to throw out List with prepend, because it violates the Liskov Substitution Principle:

Here's something one can do with a list xs of type List[IntSet]:

```
xs.prepend(Empty)
```

But the same operation on a list ys of type List[NonEmpty] would lead to a type error:

```
ys.prepend(Empty)

^ type mismatch

required: NonEmpty

found: Empty
```

So, List[NonEmpty] cannot be a subtype of List[IntSet].

#### Lower Bounds

But prepend is a natural method to have on immutable lists!

Question: How can we make it variance-correct?

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Question: How can we make it variance-correct?

We can use a lower bound:

```
def prepend [U >: T] (elem: U): List[U] = new Cons(elem, this)
```

This passes variance checks, because:

- covariant type parameters may appear in lower bounds of method type parameters
- contravariant type parameters may appear in upper bounds of method

Implement prepend as shown in trait List.

```
def prepend [U >: T] (elem: U): List[U] = new Cons(elem, this)
```

What is the result type of this function:

```
def f(xs: List[NonEmpty], x: Empty) = xs prepend x ?
```

#### Possible answers:

```
0 does not type check
0 List[NonEmpty]
0 List[Empty]
0 List[IntSet]
0 List[Any]
```

Implement prepend as shown in trait List.

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def prepend [U >: T] (elem: U): List[U] = new Cons(elem, this)
```

What is the result type of this function:

```
def f(xs: List[NonEmpty], x: Empty) = xs prepend x ?
```

#### Possible answers:

```
0 does not type check
0 List[NonEmpty]
0 List[Empty]
0 List[IntSet]
0 List[Any]
```

# Decomposition

## Decomposition

Suppose you want to write a small interpreter for arithmetic expressions.

To keep it simple, let's restrict ourselves to numbers and additions.

Expressions can be represented as a class hierarchy, with a base trait Expr and two subclasses, Number and Sum.

To treat an expression, it's necessary to know the expression's shape and its components.

This brings us to the following implementation.

## **Expressions**

```
trait Expr {
  def isNumber: Boolean
  def isSum: Boolean
 def numValue: Int
 def leftOp: Expr
 def rightOp: Expr
class Number(n: Int) extends Expr {
  def isNumber: Boolean = true
  def isSum: Boolean = false
  def numValue: Int = n
  def leftOp: Expr = throw new Error("Number.leftOp")
 def rightOp: Expr = throw new Error("Number.rightOp")
```

# Expressions (2)

```
class Sum(e1: Expr, e2: Expr) extends Expr {
    def isNumber: Boolean = false
    def isSum: Boolean = true
    def numValue: Int = throw new Error("Sum.numValue")
    def leftOp: Expr = e1
    def rightOp: Expr = e2
}
```

# **Evaluation of Expressions**

You can now write an evaluation function as follows.

```
def eval(e: Expr): Int = {
  if (e.isNumber) e.numValue
  else if (e.isSum) eval(e.leftOp) + eval(e.rightOp)
  else throw new Error("Unknown expression " + e)
}
```

*Problem*: Writing all these classification and accessor functions quickly becomes tedious!

## Adding New Forms of Expressions

So, what happens if you want to add new expression forms, say

```
class Prod(e1: Expr, e2: Expr) extends Expr  // e1 * e2
class Var(x: String) extends Expr  // Variable 'x'
```

You need to add methods for classification and access to all classes defined above.

## Question

To integrate Prod and Var into the hierarchy, how many new method definitions do you need?

(including method definitions in Prod and Var themselves, but not counting methods that were already given on the slides)

#### Possible Answers

0	9
0	10
0	19
0	25
0	35
0	40

## Question

To integrate Prod and Var into the hierarchy, how many new method definitions do you need?

(including method definitions in Prod and Var themselves, but not counting methods that were already given on the slides)

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0	10
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# Non-Solution: Type Tests and Type Casts

A "hacky" solution could use type tests and type casts.

Scala let's you do these using methods defined in class Any:

These correspond to Java's type tests and casts

```
Scala Java

x.isInstanceOf[T] x instanceof T
x.asInstanceOf[T] (T) x
```

But their use in Scala is discouraged, because there are better alternatives.

## Eval with Type Tests and Type Casts

Here's a formulation of the eval method using type tests and casts:

```
def eval(e: Expr): Int =
  if (e.isInstanceOf[Number])
    e.asInstanceOf[Number].numValue
  else if (e.isInstanceOf[Sum])
    eval(e.asInstanceOf[Sum].leftOp) +
    eval(e.asInstanceOf[Sum].rightOp)
  else throw new Error("Unknown expression " + e)
```

Assessment of this solution:

## Eval with Type Tests and Type Casts

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    eval(e.asInstanceOf[Sum].rightOp)
  else throw new Error("Unknown expression " + e)
```

#### Assessment of this solution:

- + no need for classification methods, access methods only for classes where the value is defined.
- low-level and potentially unsafe.

# Solution 1: Object-Oriented Decomposition

For example, suppose that all you want to do is evaluate expressions.

You could then define:

trait Expr {

```
def eval: Int
}
class Number(n: Int) extends Expr {
  def eval: Int = n
}
class Sum(e1: Expr, e2: Expr) extends Expr {
  def eval: Int = e1.eval + e2.eval
}
```

But what happens if you'd like to display expressions now?

You have to define new methods in all the subclasses.

# Limitations of OO Decomposition

And what if you want to simplify the expressions, say using the rule:

$$a * b + a * c -> a * (b + c)$$

**Problem**: This is a non-local simplification. It cannot be encapsulated in the method of a single object.

You are back to square one; you need test and access methods for all the different subclasses.

Pattern Matching

# Reminder: Decomposition

The task we are trying to solve is find a general and convenient way to access objects in a extensible class hierarchy.

#### Attempts seen previously:

- Classification and access methods: quadratic explosion
- ► Type tests and casts: unsafe, low-level
- ▶ Object-oriented decomposition: does not always work, need to touch all classes to add a new method.

# Solution 2: Functional Decomposition with Pattern Matching

Observation: the sole purpose of test and accessor functions is to *reverse* the construction process:

- ▶ Which subclass was used?
- ▶ What were the arguments of the constructor?

This situation is so common that many functional languages, Scala included, automate it.

### Case Classes

A case class definition is similar to a normal class definition, except that it is preceded by the modifier case. For example:

```
trait Expr
case class Number(n: Int) extends Expr
case class Sum(e1: Expr, e2: Expr) extends Expr
```

Like before, this defines a trait Expr, and two concrete subclasses Number and Sum.

# Case Classes (2)

It also implicitly defines companion objects with apply methods.

```
object Number {
  def apply(n: Int) = new Number(n)
}
object Sum {
  def apply(e1: Expr, e2: Expr) = new Sum(e1, e2)
}
```

so you can write Number(1) instead of new Number(1).

However, these classes are now empty. So how can we access the members?

# Pattern Matching

Pattern matching is a generalization of switch from C/Java to class hierarchies.

It's expressed in Scala using the keyword match.

## **Example**

```
def eval(e: Expr): Int = e match {
  case Number(n) => n
  case Sum(e1, e2) => eval(e1) + eval(e2)
}
```

# Match Syntax

#### Rules:

- ▶ match is followed by a sequence of *cases*, pat => expr.
- ▶ Each case associates an *expression* expr with a *pattern* pat.
- ► A MatchError exception is thrown if no pattern matches the value of the selector.

## Forms of Patterns

#### Patterns are constructed from:

- constructors, e.g. Number, Sum,
- ▶ variables, e.g. n, e1, e2,
- wildcard patterns \_,
- constants, e.g. 1, true.

Variables always begin with a lowercase letter.

The same variable name can only appear once in a pattern. So, Sum(x, x) is not a legal pattern.

Names of constants begin with a capital letter, with the exception of the reserved words null, true, false.

# **Evaluating Match Expressions**

An expression of the form

e match { case 
$$p_1 => e_1 \dots case p_n => e_n$$
 }

matches the value of the selector e with the patterns  $p_1,...,p_n$  in the order in which they are written.

The whole match expression is rewritten to the right-hand side of the first case where the pattern matches the selector *e*.

References to pattern variables are replaced by the corresponding parts in the selector.

## What Do Patterns Match?

- A constructor pattern  $C(p_1, ..., p_n)$  matches all the values of type C (or a subtype) that have been constructed with arguments matching the patterns  $p_1, ..., p_n$ .
- ► A variable pattern x matches any value, and *binds* the name of the variable to this value.
- ► A constant pattern c matches values that are equal to c (in the sense of ==)

## Example

## **Example**

```
eval(Sum(Number(1), Number(2)))
Sum(Number(1), Number(2)) match {
 case Number(n) => n
  case Sum(e1, e2) \Rightarrow eval(e1) + eval(e2)
eval(Number(1)) + eval(Number(2))
```

# Example (2)

```
Number(1) match {
  case Number(n) => n
  case Sum(e1, e2) \Rightarrow eval(e1) + eval(e2)
} + eval(Number(2))
1 + eval(Number(2))
```

# Pattern Matching and Methods

Of course, it's also possible to define the evaluation function as a method of the base trait.

#### **Example**

```
trait Expr {
  def eval: Int = this match {
    case Number(n) => n
    case Sum(e1, e2) => e1.eval + e2.eval
  }
}
```

#### Exercise

Write a function show that uses pattern matching to return the representation of a given expressions as a string.

```
def show(e: Expr): String = ???
```

# Exercise (Optional, Harder)

Add case classes Var for variables x and Prod for products x \* y as discussed previously.

Change your show function so that it also deals with products.

Pay attention you get operator precedence right but to use as few parentheses as possible.

## **Example**

```
Sum(Prod(2, Var("x")), Var("y"))
should print as "2 * x + y". But
Prod(Sum(2, Var("x")), Var("y"))
should print as "(2 + x) * y".
```