

NICHOLAS RYDER

(704) 618-9709 • Nicholas.Ryder@wellsfargoadvisors.com

EDUCATION

North Carolina State University, Raleigh, NC

Bachelor of Science in Agricultural Business Management, June 2012

PROFESSIONAL LICENSES

FINRA Series 7/9/10/66

EXPERIENCE

Wells Fargo Advisors, LLC, Charlotte, NC Regional Supervisor September 2019 to July 2020

Wells Fargo Advisors, LLC, Charlotte, NC Client Service Consultant 3 August 2018 to September 2019

- Reviewed trade correction/order error forms submitted by Service Fulfillment and conducted weekly audits of error accounts to ensure trade corrections were accurately documented
- Conducted research for the Complaint Resolution Group to respond to client allegations of misconduct and contacted clients to gather details on complaints identified through social media
- Resolved trade research and trade error cases submitted by company representatives on behalf of brokerage clients

Wells Fargo Advisors, LLC, Charlotte, NC Client Service Consultant 2 / Review and Release August 2017 to August 2018

- Reviewed online orders for equities, options, and mutual funds to ensure compliance with account specific trading restrictions

Wells Fargo Advisors, LLC, Charlotte, NC Solutions Advisor April 2016 to August 2017

- Updated investor's profiles and provided recommendations that aligned client's portfolios with their financial goals
- Solicited discretionary advisory programs and retail mutual funds

Wells Fargo Advisors, LLC, Charlotte, NC Trading/Service Representative 1/II May 2014 to April 2016

- Placed unsolicited orders for equities, options, mutual funds, and various fixed income products in full service and self-directed client's accounts

AXA Advisors, LLC, Raleigh, NC Financial Consultant - Retirement Benefits Group February 2013 to January 2014

- Conducted group presentations on retirement planning at public school staff meetings
- Met with prospective clients that indicated an interest in tax-sheltered annuities or life insurance