# AutoGAN-based Dimension Reduction for Privacy Preservation

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More elabored vely, in the proposed framework theface images are locally collect, which are monlinearly compressed to achieve DR, and then sent to an authentication center

Abstract-Along with the explosion of information and the existence of smart cities, exploiting big data and protecting individual sensitive information for whom data belongs to are emerging objectives. Several methods have been introduced to protect individuals privacy while aiming to maximize the utility of the data which respect to a target in the proposed scenario. In this paper, we introduce a theoretical tool to quantify dimension reduction -based privacy and a private dimension reduction framework for privacy preservation that can work well with machine learning algorithms while protecting privacy. In the experiments, we implement our method on different popular face image datasets. We show that our method meets the requirement of the dual-target.

Index Terms-Generative Adversarial Nets, Auto-encoder, neural-network, privacy, machine learning, dimension reduction, 在 Machine learning as a service (MLaas) 較有內容

### I. INTRODUCTION

Artificial intelligence, data mining and machine learning (ML) are common terms used recently. Despite distinct points, those techniques all aim at utilizing known data to build up on-live access models for applications such as prediction or estimation There system that are various types of user's data being collected in a smartcollects delaya city such as patients records, salary information, biological hobile devices characteristics, Internet access history, personal images, etc. yaises prhase Those types of data could be widely used in daily recommendation systems, business data analysis or a disease prediction system. However, collecting and using data might raise privacy issues for individuals who contribute their sensitive data. For instance, in an on-line access control system, there are a number of users who would like to access multiple recourses in a data system secured by a face authentication system. The utility of face features can be effectively used in machine learning tasks for authenticative purpose. However, leaking might lead to a severe security problem such as exposing individual's behaviors.

> Several methods have been developing to protect data. However, those methods usually come from security perspective. Thus, applying them directly to machine learning might experience several challenges such as high computational cost and time consumption. In order to tackle those problems, we developed a dimension reduction (DR) system which not only be a processing machine learning tests but also guarantee a certain level of privacy. I is enlike the life in thods in data mining which only founders. the utility of the data/ our another considers both data's utility and privacy.

The method can be used in several practical applications. For Should were example, the proposed framework in section VI can be applied

utility and privacy definitions unclear.

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Mention about GAP, and how that is similar/different from our work.

directly to the online access control system mentioned above. Our framework provides a face image private transformation and also a classifier model which can be used to grant permission for authenticated users. The user's face images are locally collected and transformed to DR form, then sent to an authentication center. The authentication server authenticates tasks for users who achieve positive output from the classifier.

With this setup, We can accept a semi-honest authentication server which performs earlier authenticating processes while curious about specific user's behaviors. A strong adversary The DR mediantowho obtains the image training dataset and the transformation B designed in a model Can hardly to determine a specific user's behaviors by way that even reconstructing the original face images will face challenges. In addition, the method provides a light-weight face feature form which can lower storage and communicational cost.

In order to analytically support privacy guarantees, we first introduce a theoretical tool  $\epsilon-DR$  for distance-based privacy comment on evaluation. Secondly, we propose a non-linear dimension re-diff to DP duction framework for privacy preservation based on state efthe art deep learning methods Generative Adversarial Nets and Auto-encoder Nets [1] that satisfy the  $\epsilon - DR$  privacy. Finally, we perform several experiments on a benchmark One experiment dataset for practically proving our method. The experiments illustrate that our method can achieve a high accuracy up to 100% with very low number of reduced dimension and can preserve the privacy. organized

The remainder of the paper is constructed as follows. Section II reviews the state-of-the-art PPML methods. Section III reviews a background knowledge of deep learning methods be specific that are utilized in our work. Section IV describes the problem. Section V introduces a definition of  $\epsilon - DR$  privacy. Section VI introduces our framework as mentioned above. Section VII presents the experiments and a discussion about issues might be occurred. Finally, the conclusion and future work are mentioned in section VIII. Contribution bullets

## II. LITERATURE REVIEW

We categorize current PPML methods into two main approaches as follows: Cryptographic approach: This approach usually applies to the scenarios state that the data owners do not see their plain text sensitive data with a third-party to perform

particular machine learning tasks. The most common tool used

in this approach is fully homomorphic encryption that supports

multiplication and addition operations over encrypted data, which enable the ability to perform a more complex function.

Mention with K definition

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In Hesamifard's work [4], the fully homomorphic encryption is applied to deep neural networks, where the nonlinear activation function is approximated by polynomials. However, polynomials is difficult to (unclear to me)

However, the high cost of the multiplicative homomorphic operation, it is applicable of machine learning tasks Instead, additive homomorphic encryption schemes are widely used in PPML. Thus, the limitation narrows the ability to apply on particular ML techniques or scenarios. Such methods in [2], [3] are applicable to simple machine learning algorithms such as decision tree and naive bayes. In [4], Hesamifard aims to perform deep neural network on encrypted data by utilizing property of fully homomorphic encryption. The paper introduced a solution for applying neural network over encrypted data by giving polynomial approximation of the activation function which is usually hard to describe using fully homomorphic encryption properties. Although these encryption based techniques can protect the privacy in particular scenarios, computational cost is a significant concern A number of their popular proposed scenarios in PPM! are based # secure multi-party computing (SMC) .... particular parties and collaborate to coplete particular particula circuit cryptographic protocol carefully designed for twoparty computation in which they can jointly evaluate a function over their sensitive data without the trust of each other. idea of garbled circuit was first introduced by Yao in his party computing protocol which is a hybrid system utilizing additive homomorphic and garbled circuit is Principle Components the bysic (PCA) of a jointed data among multiple parties without learning anything from one to the other. Secret sharing techniques to been used in Chic [7] The recent this telephone will be seen a secret service of the seeker can only be recovered by seather than seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to an absolute of the seeker can only be shares to a secret seeker can only be shared to good review of secret sharing-based techniques and encryptionbased techniques for PPML and made a comparison between the two However by ides computational confunctions of the paper 150 to be elaborated in this manuscript. The showed that high communication cost also poses for

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Non-Cryptographic approach: The proof the definition used in this approach is Differential Privacy (DP) introduced in 2006 by C. Dwork [9]. Busically, techniques in this approach aim to achieve differential privacy by adding noise drawn from a certain distribution in order to guarantee incividual data confidentiality which can prevent individual inference attack. For better understanding the mathematics definition, we can look at an extreme case where the system is designed with  $\epsilon=0$  which implies the best differential privacy protection. D is a salary database of labors in a company and M is the mechanism resulting in the average salary in the company. Dis the database when there is a new labor joining the company, thus there is one different element between D and D. If the mechanism satisfies  $\epsilon=0$ , the outcome of M is always in S despite the difference between D and D. Hence, it is hard to infer any information about the salary of the individual who joined the company base on the difference between Dand D. One can design a system with a certain value of  $\epsilon$ by adding noise to the data directly. However, this method

usually leads to low accuracy results. Indeed, adding noise to output and parameters of the mechanism, are widely used. [10], [11] proposed methods to guarantee -differential privacy by adding noise to outcome of the weights  $w^* = w + \eta$ , where  $\eta$  drawn from Laplacian distribution and adding noise to the objective function of logistic regression or linear regression models. [12], [13] satisfied differential privacy by adding noise to the objective function while training a deep neural network which using stochastic gradient descent as the optimization algorithm. However, the limitation of those methods is they are designed for specific mechanisms and not working well for other algorithms.

There are also existing works proposed differential privacy dimension reduction (DPDR). Dimension reduction is an important process before going through machine learning algorithm. Hence, one can guarantee -differential privacy by perturbing dimension reduction outcome. Principal component analysis (PCA) is a popular method in dimension reduction, in which its output is a set of eigenvectors. The original data will then be represented by its projection on those vectors, which keep the largest variance of the data. One can reduce the data dimension by eliminating insignificant eigenvectors which contain less variance, and apply noise on the outcome to achieve differential privacy [14]. DPDR could be used to protect privacy in a number of scenarios and works with few machine learning algorithms; however, record-level differential privacy, are not effective with image dataset as shown in [15].

Similar to this work; there is another work that utilizes well-known DR techniques for privacy preservation. In [16], after projecting the image data into lower dimension using principal component analysis and linear discriminant analysis techniques, the author claims that they could achieve privacy base on the reconstruction error between the original data and the reconstructed data using a certain number of principal components. However, the work has not considered the case that the adversary can obtain the dataset. As this work is using linear determinant DR techniques, the adversary could utilize the training dataset to compute all principal components and obtain the reconstruction of original data. In contrast, our framework provides a non-linear DR transformation implemented by a convolutional neural network which is hard to be reconstructed. Additionally, our model has considered a strong reconstruction function during training the model which is implemented by an convolutional Auto-encoder.

## III. PRELIMINARIES

In order to lead the DR system to a better privacy preserving area, we extend the structure of Generative Adversarial Network (GAN) [17] and utilized the structure of deep Autoencoder as a reconstruction method to solve the problem. In this section, we briefly review Auto-encoder and GAN.

#### A. Auto-encoder

Auto-encoder is an artificial neural network framework which aims at learning a lower dimension representation of an unsupervised data or learning generative models of data. Autoencoder can be used in dimension reduction and denoising

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