Mathematical methods for quantum engineering Exercise on Lagrangian, Hamiltonian, classical/quantum correspondence pierre.rouchon@minesparis.psl.eu, October 17, 2023

Take a spherical punctual pendulum of mass m, Cartesian coordinates (x, y, z) and rotating around the origin (0,0,0) in the gravity field of acceleration g along the vertical ascending axis z. A possible choice of configuration variables is (x,y) with  $z=-\sqrt{\ell^2-x^2-y^2}$  since we assume here that pendulum moves on the sphere of radius  $\ell$  and remains under the equator (z<0).

- 1. Show that its potential energy reads  $-mg\sqrt{\ell^2-x^2-y^2}$
- 2. Show that  $\dot{z} = -\frac{x\dot{x} + y\dot{y}}{\sqrt{\ell^2 x^2 y^2}}$  and deduce that its kinetic energy reads

$$\frac{m}{2} \left( \dot{x}^2 + \dot{y}^2 + \frac{(x\dot{x} + y\dot{y})^2}{\ell^2 - x^2 - y^2} \right)$$

- 3. Deduce the Lagrangian.
- 4. Derive the first-order approximate dynamics around the equilibrium (x, y) = 0, compute the eigenvalues and discuss its stability (hint: use the quadratic approximation of the Lagrangian).
- 5. Take the quadratic Lagrangian of previous question, derive the corresponding quadratic Hamiltonian and give the Hamiltonian differential equation governing the dynamics.
- 6. From this quadratic Hamiltonian, derive the quantisation via the correspondence principle. Show that the average value  $\langle \psi | \hat{x} | \psi \rangle$  (resp.  $\langle \psi | \hat{y} | \psi \rangle$ ) of operator  $\hat{x}$  (resp.  $\hat{y}$ ) satisfies a second-order linear differential scalar equation.
- 7. Assume that the system is rotating around the vertical axis with rotational velocity  $\Omega$  as a Foucault pendulum on the north pole.
  - (a) Show that the kinetic energy reads now

$$\frac{m}{2} \left( \dot{x}^2 + \dot{y}^2 + \frac{(x\dot{x} + y\dot{y})^2}{\ell^2 - x^2 - y^2} + 2\Omega(y\dot{x} - x\dot{y}) + \Omega^2(x^2 + y^2) \right).$$

- (b) Derive the quadratic approximation of the Lagrangian around the equilibrium (x, y) = 0, deduce the corresponding quadratic Hamiltonian.
- (c) From this quadratic Hamiltonian, derive the quantisation via the correspondence principle. Show that the average values of  $\hat{x}$ ,  $\hat{x}$  satisfy a differential system of four scalar equations.

Mathematical methods for quantum engineering

Solution of the exercise on Lagrangian, Hamiltonian, classical/quantum correspondence pierre.rouchon@minesparis.psl.eu, October 25, 2023

- 1. Simply use the gravitational potential  $mgz = -mg\sqrt{\ell^2 x^2 y^2}$ .
- 2. Inject  $\dot{z} = -\frac{x\dot{x} + y\dot{y}}{\sqrt{\ell^2 x^2 y^2}}$  in the kinetic energy  $\frac{m}{2}(\dot{x}^2 + \dot{y}^2 + \dot{z}^2)$ .
- 3. The Lagrangian  $L(x, y, \dot{x}, \dot{y})$  of this mechanical system is the difference between the kinetic energy and the potential energy

$$L(x, y, \dot{x}, \dot{y}) = \frac{m}{2} \left( \dot{x}^2 + \dot{y}^2 + \frac{(x\dot{x} + y\dot{y})^2}{\ell^2 - x^2 - y^2} \right) + mg\sqrt{\ell^2 - x^2 - y^2}.$$

4. Around the equilibrium (x, y) = 0 the quadratic approximation of the Lagrangian reads:

$$L(x,y,\dot{x},\dot{y}) \approx \frac{m}{2} \left( \dot{x}^2 + \dot{y}^2 \right) + mg\ell - m\frac{g}{2\ell} (x^2 + y^2) \triangleq L_2(x,y,\dot{x},\dot{y})$$

since  $\frac{(x\dot{x}+y\dot{y})^2}{\ell^2-x^2-y^2}$  is of order 4 and

$$\sqrt{\ell^2 - x^2 - y^2} = \ell \sqrt{1 - (x^2 + y^2)/\ell^2} = \ell (1 - (x^2 + y^2)/(2\ell^2) + O((x^2 + y^2)^2)).$$

The Lagrange differential equations  $\frac{d}{dt}\left(\frac{\partial L_2}{\partial \dot{x}}\right) = \frac{\partial L_2}{\partial x}$  and  $\frac{d}{dt}\left(\frac{\partial L_2}{\partial \dot{y}}\right) = \frac{\partial L_2}{\partial y}$  read

$$\frac{d^2}{dt^2}x = -\frac{g}{\ell}x, \quad \frac{d^2}{dt^2}y = -\frac{g}{\ell}y.$$

These second-order differential scalar equations are identical for x and y. For x, it corresponds to two first-order differential scalar equation of state  $(x, \dot{x}) = (x, v_x)$ 

$$\frac{d}{dt}x = v_x \frac{d}{dt}\dot{v}_x = -\frac{g}{\ell}x$$

whose spectrum is  $\pm i\sqrt{g/\ell}$  on the imaginary axis. The linear approximation of the dynamics around equilibrium x=0 is stable but not exponentially stable.

5. By definition  $p_x = \frac{\partial L_2}{\partial \dot{x}}$  and  $p_y = \frac{\partial L_2}{\partial \dot{y}}$ , the conjugate variable of x and of y read

$$p_x = m\dot{x}, \quad p_y = m\dot{y}.$$

Thus the quadratic Hamiltonian  $H_2$  is derived from the quadratic Lagrangian  $L_2$  via the following formula (Legendre transform)

$$H_2 \triangleq \frac{\partial L_2}{\partial \dot{x}} \dot{x} + \frac{\partial L_2}{\partial \dot{y}} \dot{y} - L_2 = p_x \dot{x} + p_y \dot{y} - L_2$$

where  $\dot{x}$  and  $\dot{y}$  are replaced by  $p_x/m$  and  $p_y/m$ . This gives

$$H_2(x, y, p_x, p_y) = \frac{1}{2m} (p_x^2 + p_y^2) - mg\ell + m\frac{g}{2\ell} (x^2 + y^2).$$

The Hamiltonian formulation of the dynamics reads

$$\frac{d}{dt}x = \frac{\partial H_2}{\partial p_x} = p_x/m$$

$$\frac{d}{dt}y = \frac{\partial H_2}{\partial p_y} = p_y/m$$

$$\frac{d}{dt}p_x = -\frac{\partial H_2}{\partial x} = -mgx/\ell$$

$$\frac{d}{dt}p_y = -\frac{\partial H_2}{\partial y} = -mgy/\ell$$

6. To the classical Hamiltonian  $H_2$  corresponds the quantum Hamiltonian operator

$$\hat{H}_2 = \frac{1}{2m} \left( \hat{p}_x^2 + \hat{p}_y^2 \right) - mg\ell + m \frac{g}{2\ell} (\hat{x}^2 + \hat{y}^2)$$

where  $\hat{x}$ ,  $\hat{y}$ ,  $\hat{p}_x$  and  $\hat{p}_y$  are operators with the following commutations rules

$$[\hat{x}, \hat{y}] = [\hat{x}, \hat{p}_y] = [\hat{y}, \hat{p}_x] = [\hat{p}_x, \hat{p}_y] = 0, \quad [\hat{x}, \hat{p}_x] = i\hbar, \quad [\hat{y}, \hat{p}_y] = i\hbar.$$

From the Schrödinger equation governing the wave function  $|\psi\rangle$ ,  $i\hbar \frac{d}{dt}|\psi\rangle = \hat{H}_2|\psi\rangle$ , one has for any operator  $\hat{W}$ :

$$\frac{d}{dt}\langle\psi|\hat{W}|\psi\rangle = i\langle\psi|[\hat{H}_2,\hat{W}]|\psi\rangle/\hbar.$$

Since  $[\hat{x}, \hat{H}_2] = i\hbar \hat{p}_x/m$ ,  $[\hat{p}_x, H_2] = -i\hbar mg\hat{x}/\ell$ , we have

$$\frac{d}{dt}\langle\psi|\hat{x}|\psi\rangle = \langle\psi|\hat{p}_x|\psi\rangle/m, \quad \frac{d}{dt}\langle\psi|\hat{p}_x|\psi\rangle = -mg\langle\psi|\hat{x}|\psi\rangle/\ell.$$

We recover the classical first-order differential equations where x and  $p_x$  are replaced by the average values  $\langle \psi | \hat{x} | \psi \rangle$  and  $\langle \psi | \hat{p}_x | \psi \rangle$  of  $\hat{x}$  and  $\hat{p}_x$ . Average values of  $\hat{y}$  and  $\hat{p}_y$  are governed by similar differential equations.

7. (a) Since the Cartesian coordinates of the mass m in the inertial frame are

$$(\cos(\Omega t)x + \sin(\Omega t)y, -\sin(\Omega t)x + \cos(\Omega t)y, \sqrt{\ell^2 - x^2 - y^2})$$

the kinetic energy reads

$$\frac{m}{2} \left( \left( \frac{d}{dt} (\cos(\Omega t) x + \sin(\Omega t) y) \right)^2 + \left( \frac{d}{dt} (-\sin(\Omega t) x + \cos(\Omega t) y) \right)^2 + \left( \frac{d}{dt} \sqrt{\ell^2 - x^2 - y^2} \right)^2 \right).$$

Standard computations give then

$$\frac{m}{2}\left(\dot{x}^2 + \dot{y}^2 + \frac{(x\dot{x} + y\dot{y})^2}{\ell^2 - x^2 - y^2} + 2\Omega(y\dot{x} - x\dot{y}) + \Omega^2(x^2 + y^2)\right).$$

(b) The quadratic Lagrangian around the equilibrium (x, y) = 0 is

$$L_2(x, y, \dot{x}, \dot{y}) \triangleq \frac{m}{2} \left( \dot{x}^2 + \dot{y}^2 + 2\Omega(y\dot{x} - x\dot{y}) + \Omega^2(x^2 + y^2) \right) + mg\ell - m\frac{g}{2\ell}(x^2 + y^2)$$

With  $p_x = m(\dot{x} + \Omega y)$  and  $p_y = m(\dot{y} - \Omega x)$ , one has

$$H_{2} \triangleq \frac{\partial L_{2}}{\partial \dot{x}} \dot{x} + \frac{\partial L_{2}}{\partial \dot{y}} \dot{y} - L_{2} = \frac{m}{2} \left( \dot{x}^{2} + \dot{y}^{2} - \Omega^{2} (x^{2} + y^{2}) \right) - mg\ell + m \frac{g}{2\ell} (x^{2} + y^{2})$$
$$= \frac{1}{2m} \left( \dot{p}_{x}^{2} + \dot{p}_{y}^{2} \right) + \Omega(xp_{y} - yp_{x}) - mg\ell + m \frac{g}{2\ell} (x^{2} + y^{2}).$$

Thus the dynamical model is given by the following system of four scalar differential equation of first-order:

$$\frac{d}{dt}x = \frac{\partial H_2}{\partial p_x} = p_x/m - \Omega y$$

$$\frac{d}{dt}y = \frac{\partial H_2}{\partial p_y} = p_y/m + \Omega x$$

$$\frac{d}{dt}p_x = -\frac{\partial H_2}{\partial x} = -mgx/\ell - \Omega p_y$$

$$\frac{d}{dt}p_y = -\frac{\partial H_2}{\partial y} = -mgy/\ell + \Omega p_x$$

(c) To the classical Hamiltonian  $H_2$  corresponds the quantum Hamiltonian operator

$$\hat{H}_2 = \frac{1}{2m} \left( \hat{p}_x^2 + \hat{p}_y^2 \right) + \Omega(\hat{x}\hat{p}_y - \hat{y}\hat{p}_x) - mg\ell + m\frac{g}{2\ell} (\hat{x}^2 + \hat{y}^2)$$

where  $\hat{x}$ ,  $\hat{y}$ ,  $\hat{p}_x$  and  $\hat{p}_y$  are operators as in question 6. Notice that  $\hat{x}\hat{p}_y = \hat{p}_y\hat{x}$  and  $\hat{y}\hat{p}_x = \hat{p}_x\hat{y}$ , thus  $\hat{H}_2$  is Hermitian.

We recover the differential system made of four first-order scalar equations where x,  $p_x$ , y and  $p_y$  are replaced by the average values of  $\hat{x}$ ,  $\hat{p}_x$ ,  $\hat{y}$  and  $\hat{p}_y$  respectively.