## Cryptography: notes

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October 18, 2022

## **Contents**

1	Efficient implementations of elementary operations				
	1.1	Notat	ion	2	
	1.2	2 Classification of the algorithms' complexity		2	
	1.3	Basic	bit operations	3	
		1.3.1	Sum of 3 bits - 3-bit-sum	3	
		1.3.2	Summation of 2 numbers	3	
		1.3.3	Summation of $n$ numbers	3	
		1.3.4	Product of 2 numbers	3	
		1.3.5	Division of 2 numbers	4	
		1.3.6	Production of $n$ numbers	4	
	1.4	Optin	nizations of more complex operations	5	
		1.4.1	Powers & Modular Powers	5	
		1.4.2	Finding the $b$ -expansion of $n$ ( $n_b$ )	7	
		1.4.3	How to use Bezout formula to compute modular inverses	8	
		1.4.4	Computing the order of an element in a cyclic group	8	
		1.4.5	Extended Euclidean Algorithm	8	
2	<b>Elements of Number Theory</b>				
	2.1	Eleme	ents of Number Theory	9	
			The cyclic group $\mathbb{Z}_n^*$	9	
	2.2		nders of Modular Arithmetic	9	
		2.2.1	Little Fermat's Theorem	9	
		2.2.2	Euler-Fermat's Theorem	9	
		2.2.3	Bézout's identity	9	
		2.2.4	Chinese Reminder's Theorem	10	

## Chapter 1

# Efficient implementations of elementary operations

#### 1.1 Notation

- Let b be a numeric base.
- Let n be a number in N.
- Length of a number:  $l_b(n)$ , k. It's equal to log(n).
- (*a*, *b*) is the Maximum Common Divisor of *a*, *b*.
- Let  $n \in \mathbb{N}$ :  $n = (d_{k-1}, d_{k-2}, \dots, d_1, d_0)^1$ .
- $\varphi(n)$ : the number of elements a in [1, n] such that (a, n) = 1.
- $\equiv_p$  is the equivalence in base p. Ex.:  $5 \equiv_3 = 5 \mod 3 = 2$ .
- Let  $\mathbb{Z}_n[X]$  be the set of polynomials in X with coefficients in  $Z_n$ .

#### 1.2 Classification of the algorithms' complexity

In order to better identify the classes of complexity of the algorithms, the following 3 classes are defined:

- Polynomial time:  $O(log^{\alpha}(n))$  bit operations, where  $\alpha > 0$ .
- Exponential time:  $O(exp(c \cdot log(n)))$  bit operations, where c > 0.

 $<sup>^{1}</sup>d_{k-1}\neq 0$ 

• Sub-exponential time:  $O(exp(c \cdot log(n))^{\alpha})$  bit operations, where  $c > 0, \alpha \in ]0,1[$ .

•

#### 1.3 Basic bit operations

#### 1.3.1 Sum of 3 bits - 3-bit-sum

Given  $n_1$ ,  $n_2$  their sum produces  $n_1 + n_2$  and their carry. Since  $n_1$ ,  $n_2 \in [0, 1]$ , then this operation can be done in O(1).

#### 1.3.2 Summation of 2 numbers

Given  $n_1, n_2$  their sum produces  $n_1 + n_2$ . Since the sum is computed bit by bit, the 3-bit-sum is performed  $max\{lenght(n_1), length(n_2)\}$  times. Each time the carry on of the previous sum is added to the two digits. This operation has then complexity  $O(max\{lenght(n_1), length(n_2)\}) = O(max\{log(n_1), log(n_2)\})$ 

#### 1.3.3 Summation of n numbers

The summation of n numbers is simply the sum of two numbers, but performed n-1 times.

Let's assume that  $\forall i \in [1, n] : M \ge a_i$ .

The complexity of this operation is then  $O((n-1) \cdot log(M)) = O(n)$ .

#### 1.3.4 Product of 2 numbers

If we consider the classic implementation of the binary multiplication, that is just a sequence of summations.

- The number of summations to execute is equal to the length of the smallest number, O(log(n)).
- The maximum cost of a single summation is O(log(m)).
- Then,  $T(m \cdot n) = O(log(m) \cdot log(n))$ , but, if we consider the worst case<sup>2</sup>, that becomes  $O(log^2(m))$ .

<sup>&</sup>lt;sup>2</sup>two numbers that are equally large

#### 1.3.5 Division of 2 numbers

Let's consider the division of two numbers m, n. This operations consists in finding two numbers q, r such that  $m = q \cdot n + r$ .

This is achieved by performing a succession of subtractions, until the ending condition  $0 \le r < n$  is reached.

- Let's consider that the number of steps of this algorithm is O(log(q)).
- Moreover,  $q \le m$ : #steps = O(log(m)).
- It's assumed that the cost of the single subtraction is O(log(n)).
- Then,  $T(\frac{m}{n}) = O(log(n) \cdot log(m))$ .

#### **1.3.6** Production of n numbers

Let's assume that  $j \in [1, s+1]$  and  $M = max(m_j)$ .

The cost of the operation  $\prod_{j=1}^{s+1} m_j$  is then  $O(s^2 \cdot log^2(M))$ . This will now be considered our inductive hypothesis.

Proof by induction, on *s*:

- (1) Base case:  $T(m_1 \cdot m_2) = O(log(m_1) \cdot log(m_2)) = O(k_1 \cdot k_2) \le c \cdot k_M^2$ .
- (2) Base case:  $T(m_1 \cdot m_2 \cdot m_3) = T(m_1 \cdot m_2) + T((m_1 \cdot m_2) \cdot m_3)$   $\leq c \cdot k_M^2 + c \cdot k_{m_1 \cdot m_2} + k_{m_3}$  $\leq c \cdot k_M^2 + c \cdot k_{M^2} + k_M$
- Inductive step: we assume the inductive hypothesis to be true up to s. Then,  $T(\prod_{j=1}^{s+1} m_j) = T([\prod_{j=1}^s m_j] \cdot m_{s+1})$

$$\leq c \cdot \sum_{j=1}^{s} (j \cdot k_{M}^{2})$$

$$= c \cdot k_{M}^{2} \cdot \frac{s \cdot (s-1)}{2}$$

$$= O(k_{M}^{2} \cdot s^{2})$$

$$= O(s^{2} \cdot log^{2}(M))$$

#### **Applications**

- An analogous dimonstration can be used to prove that  $T(\prod_{j=1}^{s+1} m_j \mod n) = O(s \cdot log^2(M))$
- This proof can be used to show that  $T(m!) = O(m \cdot log^2(m))$ .

#### 1.4 Optimizations of more complex operations

#### 1.4.1 Powers & Modular Powers

Let's consider what follows:  $a^n = a \cdot a \cdot a \cdot \cdots \cdot a$ , where a is repeated n times.

#### **Trivial implementation**

The most trivial implementation would consists in computing the product  $\prod_{j=1}^n a$ . This would imply a cost of  $O(n^2 \cdot log^2(a))$ ).

What follows is a suggestion that could improve the cost of this operation.

#### Square & Multiply method for scalars, modular powers

Each number in  $\mathbb{Z}$  can be represented in a binary notation.

```
Let's consider n = (b_{k-1}, b_{k-2}, ..., b_0) = \sum_{i=0}^{k-1} b_i \cdot 2^i.
```

It is clear that we can spare a lot of computational resources by just calculating the powers of 2 and summing the ones that have  $b_i = 1$ . The following algorithm explains the procedure in detail. Let's compute the complexity of this algorithm:

#### Algorithm 1: The Square & Multiply Method

```
1 P \leftarrow 1;

2 M \leftarrow m;

3 A \leftarrow a \mod n;

4 while M > 0 do

5 | q \leftarrow \lfloor \frac{M}{2} \rfloor;

6 | r \leftarrow M - s \cdot q;

7 | if r = 1 then

8 | P \leftarrow P \cdot A \mod n;

9 | end

10 | A \leftarrow A^2 \mod n;

11 | M \leftarrow q;

12 end

13 return P
```

- All of the assignments  $X \leftarrow Y$  are implemented in O(log(Y)).
- The cost of 3 is  $O(log(a) \cdot log(n))$ , because it ensures that  $A \le n$ .
- Instructions 5 and 6 can be executed in O(log(m)).

- Instrucion 8 can be executed in  $O(log^2(n))$ .
- The cost of 10 is  $O(log^2(n))$ , because it ensures that  $A \le n$ .
- The loop is executed log(m) times.
- The total cost of this algorithm is then  $O(log(n) \cdot log(a) + log(m) \cdot (log^2(n) + log(m)))$

$$= O(log^2(m) + log(m) \cdot log(n)).$$

This algorithm can be easily converted for the computation of non-modular powers by applying the following changes:

- $1 A \leftarrow a \mod n \Longrightarrow A \leftarrow a;$
- $_{2}P \leftarrow P \cdot A \mod n \Longrightarrow P \leftarrow P \cdot A;$
- $A \leftarrow A^2 \mod n \Longrightarrow A \leftarrow A^2$ ;

#### **Square & Multiply method for polynomials**

Let's consider  $\Re = \frac{\mathbb{Z}_n[x]}{x^r-1}$ . The modular powers of the elements in this set can be computed by using a variation of the Square & Multiply method.

- Assume that  $f, g \in \Re$ .
- Let  $h(x) = f(x) \cdot g(x) = \sum_{j=0}^{2r-2} h_j \cdot x^j$ .
- Where  $h(j) = (\sum_{i=0}^{j} f_i \cdot g_{j-i} \mod n) \mod n$ .
- Then,  $T(h_j) = O(j \cdot log^2(n))$ .
- Then,  $T(h(x)) = O(\sum_{j=0}^{log^2(n)}) = O(r^2 \cdot log^2(n)).$

This result will be useful in the following computations. Let's now consider  $\frac{h(x)}{x^r-1}$ .

- When j > r 1,  $h_j \cdot x^j$  does not take any part in the computations.
- When j = r, then,  $\frac{h_r \cdot x^r}{x^r 1} = h_r + \frac{h_r}{x^r 1}$ Or, in other words:  $h_r \cdot x^r \equiv_{x^r - 1} h_r$ .
- In the other cases:  $h_{r+i} \cdot x^{r+i} \equiv_{x^r-1} h_{r-i} \cdot x^{r-i}$  for  $1 \le i \le r-2$ .

Then, 
$$h(x) \equiv_{(n,x^r-1)} f(x) \cdot g(x) \equiv [\sum_{i=0}^{r-2} ((h_j + h_{r+j}) \mod n) \cdot x^j] + h_{r-1} \cdot x^{r-1}.$$

Therefore,  $T(h(x) \mod (n, x^r - 1)) = O(r^2 \cdot log^2(n))$ .

Finally, we can analyze the complexity of the computation of the modular power h(x)elevated to n.

In order to optimize the use of the computational resources, we can use a variation of the Square & Multiply method (See 1); although, this time, the computation of the partial products will be conducted by using the previously explained procedure (See 3).

The cost of this method would then be  $T(\#Loops \cdot (h(x) \bmod (n, x^r - 1))) =$  $O(log(n) \cdot r^2 \cdot log(n)) = O(r^2 \cdot log^3(n)).$ 

#### Finding the *b*-expansion of $n(n_b)$

Let's consider the cost in bit operations of the conversion of a number n to a new base b.

The algorithm used will be the classical: a succession of divisions by *b*.

- Let's consider  $r_i \in \{0, 1, ..., b-1\}$ .
- Let  $n_b = (r_{k+1}, r_k, \dots, r_1, r_0)$ .
- Then:

- 
$$n = q_0 \cdot b + r_0$$
  
-  $q_0 = q_1 \cdot b + r_1$   
- ...  
-  $q_k = 0 \cdot b + q_k$ 

- Consider then that  $q_k = r_{k+1}$
- And that  $b^{k+2} > n > b^{k+1} \to (k+2) \cdot log(b) \le log(n) \le (k+1) \cdot log(b)$ .
- $\therefore k = O(\frac{log(n)}{log(b)}).$

We can now proceed with the computation of the cost of this operation: 
$$T(n_b) = T(\#Divisions \cdot q_i \bmod b) = O(\frac{log(n)}{log(b)} \cdot log(n) \cdot log(b)) = O(log^2(n))$$

#### 1.4.3 How to use Bezout formula to compute modular inverses

An efficient way of computing the modular inverse of a given number a with in the group  $\mathbb{Z}_m^*$  uses the corollary of the *Bezout identity* and the *Extended Euclidean Algorithm*.

That is, given  $a \cdot x \equiv_m 1$ , we want to compute x.

*Extended Euclidean Algorithm*, given a, m computes the gcd(a, m) and also returns the coefficients x, y for which ax + my = 1.

At this point, the modular inverse of a in  $\mathbb{Z}_m^*$  is x:

- Let's consider that  $ax + my \equiv_m 1$ ;
- Since  $my \equiv_m 0$ , then  $ax \equiv_m 1$ ;
- $\therefore x \mod n$  is the modular inverse of a in  $\mathbb{Z}_m^*$  for its definition.

The complexity of this operation is then O(log(x)log(n)), because we have to compute the remainder of the division between x and n (this does not take into account the execution of the *Extended Euclidean Algorithm*).

#### 1.4.4 Computing the order of an element in a cyclic group

The order of an element a in  $\mathbb{Z}_p^*$  (m = order(a)) is the minimum m such that  $a^m \equiv_p 1$ . This problem is computationally hard, because the most efficient way to compute order(a) is to brute force its value.

The only optimization available is that we don't have to compute the modulars powers of a from scratch each time, but we can save the results at each iteration. Therefore, at each step we can only compute the modular product  $(a^{p-1} \cdot a) \mod p$ , that has a cost  $O(log^2(p))$ . The cost of this algorithm is then  $O(order(a) \cdot log^2(p))$ , because we have to compute  $a^i \mod p$  for each attempt to find order(a).

#### 1.4.5 Extended Euclidean Algorithm

### Chapter 2

## **Elements of Number Theory**

#### 2.1 Elements of Number Theory

#### **2.1.1** The cyclic group $\mathbb{Z}_n^*$

The cyclic group  $\mathbb{Z}_n^*$  is defined as  $\{a \in \mathbb{Z}_n : (a, n) = 1\}$ .

The **generator** of  $\mathbb{Z}_n^*$  is a number in  $\mathbb{Z}_n^*$  such that  $\forall a \in \mathbb{Z}_n^* \exists i : a \equiv_m g^i$ . For this reason,  $\mathbb{Z}_n^*$  is also referred as  $\langle g \rangle$ .

An interesting property is that only for  $[1,2,4,\phi,\phi^{\alpha},2\phi^{\alpha}]$ ,  $\mathbb{Z}_{n}^{*}$  is cyclic, where  $\phi$  is a prime number and  $\phi^{\alpha}$  is a power of a prime number.

#### 2.2 Reminders of Modular Arithmetic

#### 2.2.1 Little Fermat's Theorem

- Let *p* be a prime number.
- Then,  $a^{p-1} \equiv_p 1$ .

#### 2.2.2 Euler-Fermat's Theorem

- Let  $n \in \mathbb{Z}$  be a number.
- Then,  $a^{\varphi(n)} \equiv_n 1$ .

#### 2.2.3 Bézout's identity

• Let *a* and *b* be integers with greatest common divisor *d*.

- Then there exist integers x and y such that ax + by = d.
- Moreover, the integers of the form az + bt are exactly the multiples of d.

#### 2.2.4 Chinese Reminder's Theorem

## **Useful Facts**

• The GMP library is a free library for arbitrary precision arithmetic. It implements all the basic arithmetic operations with the maximum efficency possible.

## **List of Algorithms**

1	The Square & Multiply Method	5