Linear Upper Bound on a Segment XOR Cardinality

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Bitwise XOR (exclusive or) is a fundamental operation in theoretical computer science. Example: $10 \oplus 3$ (in binary):

$$\begin{array}{ccc} 10 & = 1010_2 \\ 3 & = 0011_2 \\ \hline 10 \oplus 3 & = 1001_2 = 9 \end{array}$$

Definition

We study properties of XOR applied to sets, defined as

$$X \oplus Y = \{x \oplus y \mid x \in X, y \in Y\}.$$

Let
$$X = \{1, 2\}$$
 and $Y = \{3, 4\}$. $X \oplus Y = \{1, 2, 5, 6\}$:

$$\begin{array}{c|cccc} \oplus & 3 & 4 \\ \hline 1 & 2 & 5 \\ 2 & 1 & 6 \\ \end{array}$$

Let
$$X = \{1, 2, 3\}$$
 and $Y = \{2, 3, 4\}$. $X \oplus Y = \{0, 1, 2, 3, 5, 6, 7\}$:

| \oplus | 2 | 3 | 4 |
|----------|---|---|---|
| 1 | 3 | 2 | 5 |
| 2 | 0 | 1 | 6 |
| 3 | 1 | 0 | 7 |

Definition

Focus on XORs of segments of consecutive integers:

$$[I,r) = \{I,I+1,\ldots,r-1\}.$$

Problem

Given a positive integer k, solve $|[x, x + k) \oplus [y, y + k)| \rightarrow \max_{x,y}$ over nonnegative integers x, y.

Remark 1

Two subproblems: establish an **upper bound** and provide an efficient **construction**. Both experimental and analytical methods are fine.

Theorem

$$|[x, x + k) \oplus [y, y + k)| \le 5(k - 2)$$
 for all $k \ge 5$.

Remark 2

Our result improves on the naive quadratic upper bound:

$$|X \oplus Y| \le |X| \cdot |Y| = k^2. \tag{1}$$

Proposition

$$|[x, x + k) \oplus [y, y + k)| \le 4(k - 1)$$
 for all $k > 1$.

Remark 3

This bound is tight for infinitely many values of $k = 2^m + 2$. The construction is $x = 2^m - 1$, $y = 3 \cdot 2^m$.

Lemma

For any fixed k, the optimization problem

$$g(k; x, y) = |[x, x + k) \oplus [y, y + k)| \to \max_{x, y}$$
 (2)

has an optimal solution (x_0, y_0) with $x_0, y_0 \le 4k$.

Corollary

Hence, base cases can be established computationally.

Lemma

If
$$f(k) = \max_{x,y} g(k; x, y)$$
, then:

$$f(2k) \le 2f(k+1), \quad f(2k+1) \le 2f(k+1).$$
 (3)

Proof

Inductive proof follows from these inequalities:

$$f(2k) \le 2f(k+1) \le 5(2k-2)$$

$$f(2k+1) \le 2f(k+1) \le 5(2k-1).$$
 (4)

- We established a linear upper bound on segment XOR cardinality.
- It is stronger than naive $O(k^2)$ bound.
- Future work: refinement of constant factors.

References





