

# Classification Model on Antiretroviral Therapy Reaction and Failure Developed on the Unique Records of the Akwa Ibom HIV Database

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## Introduction

### ??? INTRODUCTION

This undertaking is part of the capstone in the Professional Certificate Program in Data Science of Harvard Online. The corresponding R Markdown and R files are in the GitHub of nsmacaspac.

## Unique Records of the Akwa Ibom HIV Database

In a previous study on patient response to antiretroviral therapy, Ekpenyong, Etebong, and Jackson (2019, 3) used a database of patients who received treatment for HIV from thirteen health centers in Akwa Ibom, Nigeria, between 2015 and 2018. Two years later, they published the processed dataset (Ekpenyong et al. 2021b, Appendix) with minor oversight in the accompanying article, which were easily reconciled through the 2019 study and were appropriately referenced throughout this project. The processed dataset is composed of an Individual Treatment Change Episodes table with a column for each antiretroviral drug administered and a concatenated Unique Records table with the drugs combined into a column for each antiretroviral therapy of three drugs administered. For the purpose of this project, we utilize only the Unique Records table.

The Unique Records table was imported with the corresponding `read_xlsx` function in the language R. The dataset is composed of 1,056 patient records, each with 15 columns: patient identification, sex, baseline CD4 count, follow-up CD4 count, baseline RNA load, follow-up RNA load, baseline weight, follow-up weight, drug combination, and patient response and drug reaction classifications 1 to 5 (fig. 1).

Unique Records										[Target Classes]				
PID	SEX	BCD4	FCD4	BRNA	FRNA	BWt(kg)	FWt(kg)	DRUGCOMB	PR	C1	C2	C3	C4	C5
1	F	148	106	3	1.3	42	43	TDF+3TC+EFV	53.56	0	0	1	0	0
2	F	145	378	2.5	1.3	57	60	AZT+3TC+NVP	55.33	0	0	0	1	0
3	M	78	131	4.1	1.7	70	75	AZT+3TC+NVP	50.00	0	1	0	0	0
4	M	295	574	4.4	1.9	64	66	AZT+3TC+NVP	50.00	0	0	1	0	0
5	F	397	792	1.9	1.3	52	55	AZT+3TC+NVP	76.00	0	0	0	0	1

Figure 1: First rows of the Unique Records table of the Akwa Ibom HIV Database.

The immunological marker CD4 count is given in cells per cubic millimeter (Ekpenyong et al. 2021a, 8). The viral RNA load is expressed in times  $10^2$  copies (Ekpenyong, Etebong, and Jackson 2019, 10). The weight ranges from 4.7 to 125 kg on account of the presence of pediatric patients (Ekpenyong, Etebong, and Jackson 2019, 2). The three-drug combinations of antiretroviral therapy are a complementary mix of nucleoside reverse transcriptase inhibitors tenofovir (TDF), lamivudine (3TC) and zidovudine (AZT), and

non-nucleoside reverse transcriptase inhibitors efavirenz (EFV) and nivarapine (NVP) given in the first 6 months of treatment (Ekpenyong et al. 2021a, 8).

Patient response and drug reaction were quantified and classified in the 2019 study using the advanced method of interval type-2 fuzzy logic system (Ekpenyong, Etebong, and Jackson 2019, 4). The drug reaction classification uses a binary system to indicate very high interaction (C1), high interaction (C2), low interaction (C3), very low interaction (C4), and no interaction (C5; Ekpenyong, Etebong, and Jackson 2019, 11). A low response rate signifies high to very high drug interactions and treatment failure, whereas a high response rate denotes low to no drug interactions (Ekpenyong, Etebong, and Jackson 2019, 10, 13).

### Tidy Dataset

The dataset was rendered into tidy format to prepare it for preprocessing. The fifteen columns were re-named consistently with their aforementioned descriptions, with vhi\_tf corresponding to very high interaction\_treatment failure and ni corresponding to no interaction. Missing values were not detected.

```
colnames(dataset) <- c("id", "sex", "bcd4", "fcd4", "brna", "frna", "bweight", "fweight", "therapy", "response", "vhi_tf", "hi_tf", "li", "vli", "ni")
head(dataset, n = 5)
```

##	id	sex	bcd4	fcd4	brna	frna	bweight	fweight	therapy	response	vhi_tf	hi_tf
## 1	1	F	148	106	3.0	1.3	42	43	TDF+3TC+EFV	53.56199	0	0
## 2	2	F	145	378	2.5	1.3	57	60	AZT+3TC+NVP	55.33422	0	0
## 3	3	M	78	131	4.1	1.7	70	75	AZT+3TC+NVP	50.00000	0	1
## 4	4	M	295	574	4.4	1.9	64	66	AZT+3TC+NVP	50.00000	0	0
## 5	5	F	397	792	1.9	1.3	52	55	AZT+3TC+NVP	76.00000	0	0

```
##    li vli ni
## 1  1  0  0
## 2  0  1  0
## 3  0  0  0
## 4  1  0  0
## 5  0  0  1
```

The brna and frna columns were multiplied by  $10^2$  to simplify the unit from times  $10^2$  copies to just copies. This aligns them with the unit used for viral RNA load in the WHO definition of HIV (World Health Organization, n.d.).

The vhi\_tf, hi\_tf, li, vli, and ni columns were verified to have only one value per row. Hence, the binary system was relabeled as vhi\_tf to ni using the case\_when function and merged under a newly defined dreaction column. This brought down the number of columns to eleven.

```
dataset1 <- dataset |>
  mutate(brna = brna*10^2) |>
  mutate(frna = frna*10^2) |> # simplifies the unit from times 10^2 copies to just copies
  mutate(dreaction = case_when(vhi_tf == 1 ~ "vhi_tf",
                                hi_tf == 1 ~ "hi_tf",
                                li == 1 ~ "li",
                                vli == 1 ~ "vli",
                                ni == 1 ~ "ni")) |> # relabels drug reactions as vhi_tf to ni and merges
  select(-vhi_tf, -hi_tf, -li, -vli, -ni)
head(dataset1, n = 5)
```

##	id	sex	bcd4	fcd4	brna	frna	bweight	fweight	therapy	response	dreaction
## 1	1	F	148	106	300	130	42	43	TDF+3TC+EFV	53.56199	li
## 2	2	F	145	378	250	130	57	60	AZT+3TC+NVP	55.33422	vli
## 3	3	M	78	131	410	170	70	75	AZT+3TC+NVP	50.00000	hi_tf
## 4	4	M	295	574	440	190	64	66	AZT+3TC+NVP	50.00000	li
## 5	5	F	397	792	190	130	52	55	AZT+3TC+NVP	76.00000	ni

## Preprocessed Dataset

The sex, therapy, and dreaction variables of the dataset were changed from character to numeric class using the factor and as.numeric functions to allow for numerical data examination. All eleven variables showed good data variability.

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The correlation coefficients across variables were calculated and visualized using the cor and corrplot functions (fig. 2; Wei and Simko 2021).

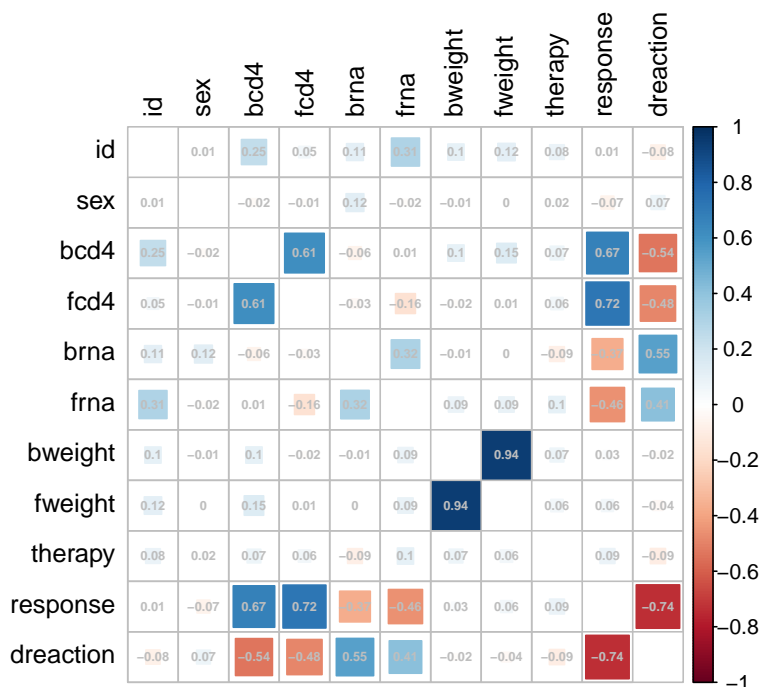


Figure 2: Matrix of the correlation coefficients between variables.

Patient response and drug reaction highly correlated with each other, having an absolute coefficient of 74% (fig. 2). This was expected as they refer to similar information. Hence, only drug reaction was retained as an outcome for the purpose of this project.

On the other hand, CD4 count was negatively correlated with drug reaction (-48% and -54%) whereas RNA load was positively correlated with it (41% and 55%), while having minimal correlation with each other (fig. 2). This meant that the bcd4, fcd4, brna and frna variables contained distinct information relevant to drug reaction and were kept as predictors.

The final dataset was streamlined to contain only these pertinent predictors and outcome for faster classification modeling. The dreaction variable was changed from character to factor class as required for outcomes in classification models.

```
dataset2 <- dataset1 |>
  select(bcd4, fcd4, brna, frna, dreaction) |> # keeps CD4 count and RNA load as predictors and drug reaction as outcome
  mutate(dreaction = factor(dreaction, c("ni", "vli", "li", "hi_tf", "vhi_tf")))
head(dataset2, n = 5)
##   bcd4 fcd4 brna frna dreaction
## 1  148  106  300  130         li
## 2  145  378  250  130        vli
```

##	3	78	131	410	170	hi_tf
##	4	295	574	440	190	li
##	5	397	792	190	130	ni

## CD4 Count and RNA Load

The predictors CD4 count and RNA load are measures of immune system health and HIV status, respectively (Ekpenyong, Etebong, and Jackson 2019, 10). They are crucial markers of drug reaction and treatment failure in settings with limited resources for the costly genotypic profiling of drug resistance (Isaakidis et al. 2010, 7; Revell et al. 2010, 605).

The interactions of these predictors with drug reaction were further visualized using ggplot2 functions (fig. 3). The plots showed a general increase in CD4 count and decrease in RNA load at follow-up. This indicated the robustness of the immune system of most patients in suppressing the HIV and positive patient response to the treatment (Ekpenyong, Etebong, and Jackson 2019, 10).

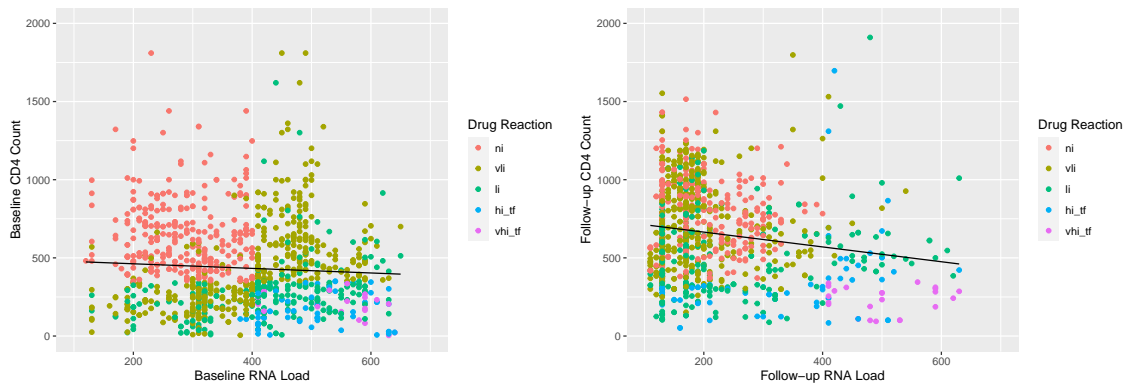


Figure 3: Scatterplots of CD4 count, RNA load, and the corresponding drug reaction at baseline and follow-up.

However, the plots also exhibited patients who retained a low CD4 count and high RNA load at follow-up (fig. 3). They were the same cases classified as with high to very high drug interaction and treatment failure.

## Classification Modeling

- why this partition 80% for training and 20% for testing. No allotment for validation as we are using the caret functions and its default sampling method of bootstrapping.

### *k*-Nearest Neighbor Model

- why this model

## *Recursive Partitioning and Regression Trees Model*

## *Rborist Model*

## *Quadratic Discriminant Analysis Model*

## Classification Model

## Conclusion

- therapy is not a predictor.

-it must be noted that, due to the limited resources in the Nigerian environment, only 5 drugs combined into 3 therapies were possible (Ekpenyong, Etebong, and Jackson 2019, 3)

- Two RF models were trained using 8000 TCEs without the use of genotypes, one with comprehensive and one with simplified treatment history information. Both models predicted virological response for 400 independent test cases with an accuracy of 82%. The models were able to identify alternative regimens (involving the same restricted range of drugs) that they predicted would have reduced viral load to below 50 copies/mL in almost half of the cases of actual treatment failure.<sup>11</sup> They identified regimens that were predicted to be more effective than those that failed in almost all cases. A secondary analysis of the input variables used for these models revealed that the baseline viral load was by far the most important variable (considerably more so than CD4 counts, for example) for the models in making these predictions. These studies suggest that with viral load monitoring in place, computational models could play an important future role in optimizing antiretroviral therapy in resource-limited settings. (Revell et al. 2010, 606)
- meaningful decisions on antiretroviral therapy administration
- it shall aid physicians on more proactive detection of acute interaction as well as early referrals of patients with failed treatments, for immediate change in treatment episode (Ekpenyong, Etebong, and Jackson 2019, 2)

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