

1 Characterizing NC^1 with Typed Semigroups

2 **Anonymous author**

3 Anonymous affiliation

4 **Anonymous author**

5 Anonymous affiliation

6 — **Abstract** —

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12 **1** Introduction

13 Much work in theoretical computer science is concerned with studying classes of formal
 14 languages, whether these are classes defined in terms of grammars and expressions, such as
 15 the class of regular or context-free languages, or whether they are *complexity classes* such as
 16 P and NP, defined by resource bounds on machine models. Indeed, the distinction between
 17 these are largely historical as most classes of interest admit different characterizations based
 18 on machine models, grammars, logical definability, or algebraic expressions. The class of
 19 regular languages can be characterized as the languages accepted by linear-time-bounded
 20 single-tape Turing machines [9] while P can be characterized without reference to resources
 21 as the languages recognized by multi-head two-way pushdown automata [6]. The advantage
 22 of the variety of characterizations is, of course, the fact that these bring with them different
 23 mathematical toolkits that can be brought to the study of the classes.

24 The class of regular languages has arguably the richest theory in this sense of diversity
 25 of characterizations. Virtually all students of computer science learn of the equivalence of
 26 deterministic and nondeterministic finite automata, regular languages and linear grammars
 27 and many also know that the regular languages are exactly those definable in monadic
 28 second-order logic with an order predicate. Perhaps the most productive approach to the
 29 study of regular languages is via their connection to finite semigroups. Every language L
 30 has a syntactic semigroup, which is finite if, and only if, L is regular. Moreover, closure
 31 properties of classes of regular languages relate to natural closure properties of classes of
 32 semigroups, via Eilenberg's Correspondence Theorem [7]. This, together with the tools of
 33 *Krohn-Rhodes theory*, gives rise to *algebraic automata theory*—which leads to the definition
 34 of natural subclasses of the class of regular languages, to effective decision procedures for
 35 automata recognizing such classes, and to separation results.

36 When it comes to studying computational complexity, we are mainly interested in classes
 37 of languages richer than just the regular languages. Thus the syntactic semigroups of the
 38 languages are not necessarily finite any longer and the extensive tools of Krohn-Rhodes theory
 39 are not available to study them. Nonetheless, some attempts have been made to extend
 40 the methods of algebraic automata theory to classes beyond the regular languages. Most
 41 significant is the work of Krebs and collaborators [3, 4, 11, 10, 5], which introduces the notion
 42 of *typed semigroups*. The idea is to allow for languages with infinite syntactic semigroups, but
 43 limit the languages they recognize by associating with them a finite collection of types. This
 44 allows for the formulation of a version of Eilenberg's Correspondence theorem associating
 45 closure properties on classes of typed semigroups with corresponding closure properties of
 46 classes of languages. In particular, this implies that most complexity classes of interest
 47 can be uniquely characterized in terms of an associated class of typed semigroups [4]. An
 48 explicit description of the class characterizing $\text{DLOGTIME-uniform TC}^0$ is given in [11, 10].
 49 This is obtained through a general method which allows us to construct typed semigroups
 50 corresponding to *unary quantifiers* defined from specific languages [10] (see also Theorem 52
 51 below).

52 In this paper, we extend this work to obtain a characterization of DLOGTIME-uniform
 53 NC^1 as the class of languages recognized by the collection of typed semigroups obtained as the
 54 closure under *ordered strong block products* of three typed semigroups: the group of integers
 55 with types for positive and negative integers; the group of natural numbers with types for
 56 the square numbers and non-square numbers; and a finite non-solvable group such as S_5 with
 57 a type for each subset of the group. Full definitions of these terms follow below. Our result
 58 is obtained by first characterizing $\text{DLOGTIME-uniform NC}^1$ in terms of logical definability

in an extension of first-order logic with only unary quantifiers. It is known that any regular language whose syntactic semigroup is a non-solvable groups is complete for NC^1 under reductions definable in first-order logic with arithmetic predicates ($\text{FO}(+, \times)$) [2]. From this, we know we can describe NC^1 as the class of languages definable in an extension of $\text{FO}(+, \times)$ with quantifiers (of arbitrary arity) associated with the regular language corresponding to the word problem for S_5 . Our main technical contribution is to show that the family of such quantifiers associated with any regular language L can be replaced with just the unary quantifiers. This also answers a question left open in [13].

In Section 2, we cover the relevant background material on semigroup theory, typed semigroups, and multiplication quantifiers. In Section 3, we establish the main technical result showing that quantifiers of higher arity over a regular language L can be defined using just unary quantifiers over the syntactic semigroup of L . Finally, in Section 4, we apply this to obtain the algebraic characterization of $\text{DLOGTIME-uniform NC}^1$.

2 Preliminaries

We assume familiarity with the basic concepts of formal language theory, automata theory, complexity theory, and finite model theory. We do not assume familiarity with algebraic automata theory or algebra in general.

In Section 2.1, we clarify the standard notations and conventions used in this report. In Section 2.2, we cover the necessary background material on semigroups and groups. In Section 2.3.2, we cover logic and multiplication quantifiers. In Section 2.4, we cover the algebraic approach to the recognition of languages via typed semigroups needed for Section 4.

2.1 Notations and Conventions

► **Definition 1.** We let $[n] = \{1, \dots, n\}$.

► **Definition 2.**

- \mathbb{Z} denotes the set of integers.
- \mathbb{N} denotes the set of natural numbers, including 0.
- \mathbb{Z}^+ denotes the set of positive integers.
- \mathbb{S} denotes the set of positive square integers.

► **Definition 3.** For a tuple t , we denote by $\pi_i(t)$ the i^{th} element of t .

► **Definition 4.** A one-hot encoding of an integer $i \in [n]$ is a length n binary string b such that $b_j = 1$ if $j = i$ and $b_j = 0$ otherwise. For example, the one-hot encoding of $3 \in [5]$ is 00100.

2.2 Semigroup and Group Theory

► **Definition 5 (Semigroups, Monoids, and Groups).** A semigroup (S, \cdot) is a set S closed under an associative binary operation $\cdot : S \times S \rightarrow S$. We call a semigroup finite if S is finite. Context permitting, we may refer to a semigroup (S, \cdot) simply by its underlying set S .

A monoid (M, \cdot) is a semigroup with an element $1 \in M$ such that for all $m \in M$, $1 \cdot m = m \cdot 1 = m$. We call 1 the identity or neutral element of M . For a semigroup S , we denote by S^1 , the monoid generated by S ; i.e., $S = S^1$ if S is a monoid or, otherwise, we introduce a new element 1 to S and define it to be the identity.

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100 A group (G, \cdot) is a monoid with the additional property that for every $g \in G$, there exists
101 an element $g^{-1} \in G$ such that $g \cdot g^{-1} = g^{-1} \cdot g = 1$. We call g^{-1} the inverse of g .

102 ► Remark 6. Observe that all groups are monoids and all monoids are semigroups.

103 ► Remark 7. If \mathbb{Z} or \mathbb{N} is referred to as a semigroup, then we assume the operation to be the
104 usual addition unless stated otherwise.

105 ► **Definition 8.** For a semigroup (S, \cdot_S) , we say that a set $G \subseteq S$ generates S if S is equal
106 to the closure of G under \cdot_S ; we denote this by $S = \langle G \rangle_{\cdot_S}$, or, simply, $\langle G \rangle$ if the operation is
107 clear from context, and call G a generating set of S . We say that S is finitely generated if
108 there exists a finite generating set of S .

109 ► Remark 9. Unless otherwise stated, we assume that all semigroups are finitely generated.

110 ► **Definition 10.** A semigroup homomorphism $h : S \rightarrow T$ is a function from a semigroup
111 (S, \cdot_S) to a semigroup (T, \cdot_T) such that for all $s_1, s_2 \in S$, $h(s_1 \cdot_S s_2) = h(s_1) \cdot_T h(s_2)$.

112 ► **Definition 11.** U_1 is the monoid over $\{0, 1\}$ with multiplication defined as usual.

113 ► **Definition 12.** For a set S , we denote by S^+ the set of non-empty strings over S and by
114 S^* the set of all strings over S . We call S^+ (S^*) the free semigroup (monoid) over S and
115 will denote string concatenation by either \circ or simply juxtaposition.

116 ► **Definition 13.** We say that a semigroup (S, \cdot) is cancellative if for $a, b, c \in S$, (1) if
117 $a \cdot b = a \cdot c$, then $b = c$ and (2) if $b \cdot a = c \cdot a$, then $b = c$.

118 ► **Proposition 14.** Every group is a cancellative semigroup.

119 ► **Definition 15 (Congruence, Quotient Semigroup, Canonical Homomorphism).** A congruence
120 on a semigroup (S, \cdot) is an equivalence relation \sim on S such that for all $a, b, c, d \in S$, if $a \sim b$
121 and $c \sim d$, then $a \cdot c \sim b \cdot d$. We denote by S/\sim the set of equivalence classes of \sim on S . We
122 denote by $[a]_{\sim}$, or simply $[a]$, the equivalence class of $a \in S$ under \sim .

123 We may then define a semigroup $(S/\sim, \star)$ where for $[a], [b] \in S/\sim$, $[a] \star [b] = [a \cdot b]$. We
124 call this semigroup the quotient semigroup of S by \sim .

125 We then define the canonical homomorphism $\eta : S \rightarrow S/\sim$ by $\eta(a) = [a]$.

126 2.3 Logics and Multiplication Quantifiers

127 In Section 2.3.1, we clarify our notations and definitions regarding logics. In Section 2.3.2,
128 we define multiplication quantifiers and provide the necessary background material on them.
129 In Section 2.3.3, we make some brief remarks regarding *definability* in logics.

130 2.3.1 Logics

131 ► Remark 16. In this report, we will only consider finite structures over relational vocabularies;
132 specifically, we assume that all structures are over initial segments of the natural numbers,
133 excluding 0. Thus, for a structure \mathfrak{A} , $|\mathfrak{A}| = [n] = \{1, \dots, n\}$ for some $n \in \mathbb{N}$.

134 ► Remark 17. We often consider logics containing numerical predicates. These are predicates
135 included in the vocabulary of the logic and are interpreted in the natural way. For example,
136 for the order predicate $<$, we say that $\mathfrak{A} \models a < b$ iff $a^{\mathfrak{A}}$ is less than $b^{\mathfrak{A}}$, where $a^{\mathfrak{A}} \in |\mathfrak{A}| = [n]$,
137 for some $n \in \mathbb{N}$, is the interpretation of variable a in \mathfrak{A} . We will also refer to the commonly
138 used numerical predicates $=$, $+$, and \times .

139 ► **Remark 18.** We let $\text{Mod}(\varphi)$ denote all models of a formula φ : $\text{Mod}(\varphi) = \{\mathfrak{A} \mid \mathfrak{A} \models \varphi\}$.

140 ► **Remark 19.** Let \mathfrak{A} be a structure. For a formula $\varphi(x_1, \dots, x_k)$ with free variables x_1, \dots, x_k ,
 141 we denote by $\varphi^{\mathfrak{A}}[a_1, \dots, a_k]$ the function which maps the tuple (a_1, \dots, a_k) to the truth
 142 value of φ in \mathfrak{A} when the free variables are interpreted as $a_1, \dots, a_k \in |\mathfrak{A}|$. For example, if
 143 $\varphi(x) := x < 3$, then $\varphi^{\mathfrak{A}}[a] = 1$ if $a^{\mathfrak{A}} < 3$ and 0 otherwise.

144 ► **Definition 20.** For a set of quantifiers \mathfrak{Q} and numerical predicates \mathfrak{N} , we denote by $(\mathfrak{Q})[\mathfrak{N}]$
 145 the logic constructed by extending quantifier-free first-order logic with the quantifiers in \mathfrak{Q}
 146 and the numerical predicates in \mathfrak{N} .

147 For a singleton set of quantifiers $\mathfrak{Q} = \{Q\}$, we will sometimes denote $(\mathfrak{Q})[\mathfrak{N}]$ as $(Q)[\mathfrak{N}]$.
 148 We use similar notation for the sets of numerical predicates.

149 We denote by FO the set of our ordinary first-order quantifiers: $\{\exists, \forall\}$.

150 ► **Definition 21.** We say that a quantifier is unary if it binds only one variable.

151 Besides the standard first-order quantifiers, we will also use the following two unary
 152 first-order quantifiers in this paper:

153 ► **Definition 22.**

- 154 ■ Maj is the unary majority quantifier such that for a structure \mathfrak{A} , $\mathfrak{A} \models \text{Maj}x\varphi(x)$ iff
 155 $|\{a \in \mathfrak{A} \mid \varphi^{\mathfrak{A}}[a] = 1\}| > |\mathfrak{A}|/2$.
- 156 ■ Sq is the unary square quantifier such that for a structure \mathfrak{A} , $\mathfrak{A} \models \text{Sq}x\varphi(x)$ iff $|\{a \in \mathfrak{A} \mid$
 157 $\varphi^{\mathfrak{A}}[a] = 1\}|$ is a positive square number.

158 ► **Example 23.**

- 159 ■ $(\text{FO})[=]$ is our usual first-order logic with equality.
- 160 ■ $(\text{Maj})[<]$ is majority logic, i.e., it contains the majority quantifier and the order predicate.
- 161 ■ $(\emptyset)[\emptyset]$ is quantifier-free first-order logic with no numerical predicates.

162 ► **Definition 24.** We say that a logical formula is a depth- k formula if its quantifier depth is
 163 at most k .

164 ► **Definition 25.** We say that a structure \mathfrak{A} is a string structure over $\Sigma = \{\sigma_1, \dots, \sigma_c\}$ if it
 165 is ordered and over a relational vocabulary $\tau = \{R_{\sigma_1}, \dots, R_{\sigma_c}\}$ where each R_{σ_i} is unary and
 166 for every $a \in |\mathfrak{A}|$, there exists exactly one σ_i such that $a \in R_{\sigma_i}^{\mathfrak{A}}$. Thus, we may interpret a
 167 string $w \in \Sigma^+$ as a string structure over Σ , and vice versa.

168 We say that a language $L \subseteq \Sigma^+$ is expressible by a logic \mathfrak{L} if there exists a \mathfrak{L} -sentence φ
 169 over a unary relational vocabulary $\tau = \{R_{\sigma_1}, \dots, R_{\sigma_c}\}$ such that for all string structures \mathfrak{A}
 170 over Σ , $\mathfrak{A} \models \varphi$ iff $\mathfrak{A} \in L$ —or more precisely, iff \mathfrak{A} encodes a string in L .

171 For a logic \mathfrak{L} , we denote by $\mathcal{L}(\mathfrak{L})$, the languages expressible by \mathfrak{L} .

172 2.3.2 Multiplication Quantifiers

173 The definition of multiplication quantifier has its origin in Barrington, Immerman, and
 174 Straubing [2, Section 5] where they were referred to as monoid quantifiers or generalized
 175 quantifiers; the authors proved that the languages in DLOGTIME-uniform NC¹ are exactly
 176 those expressible by first-order logic with quantifiers whose truth-value is determined via
 177 multiplication in a finite semigroup. We now define ‘multiplication quantifiers’:

178 ► **Definition 26 (Multiplication Quantifiers).** Let S be a semigroup, $B \subseteq S$, and $\gamma : \{0, 1\}^k \rightarrow S$
 179 a total function. We call $\Gamma_{l, \gamma}^{S, B}$ the multiplication quantifier for S , B , and γ which binds l

variables and extends over a k -tuple of formulae. If $B = \{s\}$ is a singleton, then we simply write $\Gamma_{l,\gamma}^{S,s}$.

For an ordered structure \mathfrak{A} and formulae $\varphi_1, \dots, \varphi_k$, we evaluate the formula

$$\Phi := \Gamma_{l,\gamma}^{S,B} x_1 \dots x_l \langle \varphi_1(x_1, \dots, x_l), \dots, \varphi_k(x_1, \dots, x_l) \rangle$$

as follows. Let $\gamma^{\mathfrak{A}} : |\mathfrak{A}|^l \rightarrow S$ be a function such that

$$\gamma^{\mathfrak{A}}(a_1, \dots, a_l) = \gamma(\varphi_1^{\mathfrak{A}}[a_1, \dots, a_l], \dots, \varphi_k^{\mathfrak{A}}[a_1, \dots, a_l]).$$

We call $\gamma^{\mathfrak{A}}$ the evaluator function for our formula. We say that $\mathfrak{A} \models \Phi$ iff

$$\prod_{a_1 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma^{\mathfrak{A}}(a_1, \dots, a_l) \in B$$

where the products iterate over the elements of $|\mathfrak{A}|$ based on the order of the structure.

► **Example 27.** Our normal first-order existential quantifier may be represented by $\Gamma_{1,\gamma}^{U_1,0}$ where $\gamma : \{0, 1\} \rightarrow U_1$ such that $\gamma(0) = 1$ and $\gamma(1) = 0$. Similar goes for the universal quantifier.

► **Definition 28.** For a semigroup S , we define the following sets of quantifiers:

$$\Gamma^S = \left\{ \Gamma_{l,\gamma}^{S,B} \mid B \subseteq S, \gamma : \{0, 1\}^k \rightarrow S, \text{ and } l, k \geq 1 \right\}$$

$$\Gamma_l^S = \left\{ \Gamma_{l,\gamma}^{S,B} \mid B \subseteq S \text{ and } \gamma : \{0, 1\}^k \rightarrow S \right\}$$

$$\Gamma_{l,\gamma}^S = \left\{ \Gamma_{l,\gamma}^{S,B} \mid B \subseteq S \right\}$$

Finally, let Γ^{fin} be the set of all multiplication quantifiers over finite semigroups and Γ_1^{fin} be the set of all unary multiplication quantifiers over finite semigroups.

From [2, Corollary 9.1], we know that DLOGTIME-uniform NC¹ is characterized by (FO)[+, ×] equipped with finite multiplication quantifiers:

► **Theorem 29** ([2]). DLOGTIME-uniform NC¹ = $\mathcal{L}((\text{FO} \cup \Gamma^{\text{fin}})[+, \times])$.

► **Remark 30.** In fact, simply the set of multiplication quantifiers for some finite, non-solvable monoid will suffice. The definition of “non-solvable monoid” is not needed for our proofs here but, for example, the *symmetric group of degree five*, denoted S_5 , is a non-solvable monoid. Therefore, we know that DLOGTIME-uniform NC¹ = $\mathcal{L}((\text{FO} \cup \Gamma^{S_5})[+, \times])$.

We also have a similar characterization for the regular languages:

► **Theorem 31** ([2]). REG = $\mathcal{L}((\text{FO} \cup \Gamma_1^{\text{fin}})[<])$.

Later, [13, Theorem 5.1] showed that introducing non-unary quantifiers doesn’t increase the expressive power in the case of order predicates:

► **Theorem 32.** REG = $\mathcal{L}((\text{FO} \cup \Gamma^{\text{fin}})[<])$.

2.3.3 Definability

► **Definition 33.** Say that a first-order quantifier Q binds l variables and extends over a k -tuple of formulae. We say that Q is definable in a logic \mathfrak{L} if there exists a sentence φ in \mathfrak{L} over a vocabulary $\tau = \{R_1^{(l)}, \dots, R_k^{(l)}\}$, i.e., each relation is l -ary, such that for all structures \mathfrak{A} , $\mathfrak{A} \models \varphi$ iff $\mathfrak{A} \models Qx_1 \dots x_l \langle R_1(x_1, \dots, x_l), \dots, R_k(x_1, \dots, x_l) \rangle$.

215 ► **Remark 34.** Observe that if a quantifier Q is definable in a logic \mathfrak{L} , then any use of Q in a
216 formula can be substituted with a formula from \mathfrak{L} .

217 ► **Definition 35.** *Similar to the above, we say that a k -ary numerical predicate R is definable*
218 *in a logic \mathfrak{L} if there exists a formula φ in \mathfrak{L} with free variables x_1, \dots, x_k such that for all*
219 *structures \mathfrak{A} , $\mathfrak{A} \models \varphi(x_1, \dots, x_k)$ iff $\mathfrak{A} \models Rx_1 \dots x_k$.*

220 2.4 Typed Semigroups

221 ► **Definition 36** (Boolean Algebra). *A Boolean algebra over a set S is a set $B \subseteq \wp(S)$ such*
222 *that $\emptyset, S \in B$ and B is closed under union, intersection, and complementation. If B is finite,*
223 *we call it a finite Boolean algebra.*

224 *We call \emptyset and S the trivial elements (or in some contexts, the trivial types) of B .*

225 ► **Definition 37.** *Let B_1 and B_2 be Boolean algebras over sets S and T , respectively. We*
226 *call $h : B_1 \rightarrow B_2$ a homomorphism of Boolean algebras if $h(\emptyset) = \emptyset$, $h(S) = T$, and for all*
227 *$s_1, s_2 \in B_1$, $h(s_1 \cap s_2) = h(s_1) \cap h(s_2)$, $h(s_1 \cup s_2) = h(s_1) \cup h(s_2)$, and $h(s^C) = (h(s))^C$.*

228 ► **Definition 38** (Typed Semigroup). *Let S be a semigroup, G a Boolean algebra over S , and*
229 *E a finite subset of S . We call the tuple $T = (S, G, E)$ a typed semigroup over S and the*
230 *elements of G types and the elements of E units. We call S the base semigroup of T . If S*
231 *is a monoid or group, then we may also call T a typed monoid or typed group, respectively.*

232 *If $G = \{\emptyset, A, S - A, S\}$, then we often abbreviate T as (S, A, E) , i.e., the Boolean algebra*
233 *is signified by an element, or elements, which generates it—in this case, A .*

234 ► **Definition 39.** *A typed homomorphism $h : (S, G, E) \rightarrow (T, H, F)$ of typed semigroups*
235 *is a triple (h_1, h_2, h_3) where $h_1 : S \rightarrow T$ is a semigroup homomorphism, $h_2 : G \rightarrow H$ is a*
236 *homomorphism of Boolean algebras, and $h_3 : E \rightarrow F$ is a mapping of sets such that the*
237 *following conditions hold:*

238 (i) *For all $A \in G$, $h_1(A) = h_2(A) \cap h_1(S)$.*

239 (ii) *For all $e \in E$, $h_1(e) = h_3(e)$.*

240 ► **Definition 40.** *A typed semigroup $T = (S, G, E)$ recognizes a language $L \subseteq \Sigma^+$ if there*
241 *exists a typed homomorphism from (Σ^+, L, Σ) to T . We let $\mathcal{L}(T)$ denote the set of languages*
242 *recognized by T .*

243 We then have the following definitions and facts about typed semigroups:

244 ► **Proposition 41.** *If the base monoid of a typed semigroup T is finite, then $\mathcal{L}(T) \subseteq \text{REG}$.*

245 ► **Definition 42.** *Let (S, G, E) and (T, H, F) be typed semigroups.*

246 ■ *A typed homomorphism $h = (h_1, h_2, h_3) : (S, G, E) \rightarrow (T, H, F)$ is injective (surjective,*
247 *or bijective) if h_1 , h_2 , and h_3 are.*

248 ■ *(S, G, E) is a typed subsemigroup (or, simply, “subsemigroup” when context is obvious)*
249 *of (T, H, F) , denoted $(S, G, E) \leq (T, H, F)$, if S is a subsemigroup of T and there exists*
250 *an injective typed homomorphism $h : (S, G, E) \rightarrow (T, H, F)$.*

251 ■ *(S, G, E) divides (T, H, F) , denoted $(S, G, E) \preceq (T, H, F)$, if there exists a surjective*
252 *typed homomorphism from a typed subsemigroup of (T, H, F) to (S, G, E) .*

253 ► **Proposition 43** ([4]). *Let T_1 , T_2 , and T_3 be typed semigroup.*

254 ■ *Typed homomorphisms are closed under composition.*

255 ■ *Division is transitive: if $T_1 \preceq T_2$ and $T_2 \preceq T_3$, then $T_1 \preceq T_3$.*

256 ■ *If $T_1 \preceq T_2$, then $\mathcal{L}(T_1) \subseteq \mathcal{L}(T_2)$.*

► **Definition 44.** Let L be a language. We define the syntactic congruence of L as the relation \sim_L on Σ^+ such that for all $x, y \in \Sigma^+$, $x \sim_L y$ if and only if for all $w, v \in \Sigma^+$, $wxv \in L$ iff $wyv \in L$.

► **Definition 45.** The syntactic semigroup of a language $L \subseteq \Sigma^+$ is the quotient semigroup Σ^+/\sim_L . We call the canonical homomorphism $\eta_L : \Sigma^+ \rightarrow \Sigma^+/\sim_L$ the syntactic homomorphism of L .

► **Remark 46.** Observe that η_L is surjective.

► **Definition 47.** Let $T = (S, G, E)$ be a typed semigroup. A congruence \sim over S is a typed congruence over T if for every $A \in G$ and $s_1, s_2 \in S$, if $s_1 \sim s_2$ and $s_1 \in A$, then $s_2 \in A$.

For a typed congruence \sim over T , let

$$S'/\sim = \{[x]_\sim \mid x \in S'\} \text{ where } S' \subseteq S$$

$$G/\sim = \{A/\sim \mid A \in G\}$$

$$E/\sim = \{[x]_\sim \mid x \in E\}.$$

Then, $T/\sim := (S/\sim, G/\sim, E/\sim)$ is the typed quotient semigroup of T by \sim .

Let \sim_T denote the typed congruence on T such that for $s_1, s_2 \in S$, $s_1 \sim_T s_2$ iff for all $x, y \in S$ and $A \in G$, $xs_1y \in A$ iff $xs_2y \in A$. We then refer to the quotient semigroup T/\sim_T as the minimal reduced semigroup of T .

► **Definition 48.** For a language $L \subseteq \Sigma^+$, we define the syntactic typed semigroup of L , denoted $\text{syn}(L)$, to be the typed semigroup $(\Sigma^+, L, \Sigma)/\sim_L$. Recall that \sim_L is the syntactic congruence of L , defined in Definition 44.

We also get the canonical typed homomorphism, $\eta_L : (\Sigma^+, L, \Sigma) \rightarrow \text{syn}(L)$ induced by the syntactic homomorphism of L .

► **Definition 49.** For a unary multiplication quantifier $Q = \Gamma_{1,\gamma}^{S,A}$ where $\gamma : \{0, 1\}^k \rightarrow S$, we define the typed quantifier semigroup of Q , denoted $\mathcal{S}(Q)$, to be the syntactic typed semigroup of the language $L_Q \subseteq (\{0, 1\}^k)^+$ where $w \in L_Q$ iff

$$w \models Qx(B_1(x), \dots, B_k(x))$$

where $w_{x=i} \models B_jx$ iff the j^{th} bit of w_i equals 1. Thus, $\mathcal{S}(Q) = ((\{0, 1\}^k)^+, L_Q, \{0, 1\}^k)/\sim_{L_Q}$.

► **Proposition 50 ([10]).** A typed semigroup is the syntactic semigroup of a language iff it is reduced, generated by its unites, and has four or two types. (In the case of two types, then it only recognizes the empty language or the language of all strings.)

► **Definition 51.** For a set of typed semigroups T , we denote by $\text{sbpc}_{<}(T)$ the ordered strong block product closure of T . Because the definition of this closure is quite technical but not needed to understand the proofs in this paper, we include it in Appendix A.

From [10, Theorem 4.14], we then get the following relationship between logics and algebras:¹

► **Theorem 52.** Let \mathfrak{Q} be a set of quantifiers and \mathcal{Q} its set of typed quantifier semigroups for \mathfrak{Q} . Then, $\mathcal{L}((\mathfrak{Q})[<]) = \mathcal{L}(\text{sbpc}_{<}(\mathcal{Q}))$.

¹ The theorem in [10] is actually more general as it accounts for more predicates than just order; however, for our purposes, order alone suffices.

3 Simplifying Multiplication Quantifiers

We aim to construct an algebraic characterization of DLOGTIME-uniform NC¹ by taking advantage of Theorem 52. To do so, however, we need a logic which characterizes DLOGTIME-uniform NC¹ using only unary first-order quantifiers.

Now, from Remark 30, we know of a logic containing non-unary first-order quantifiers:

$$\text{DLOGTIME-uniform NC}^1 = \mathcal{L}((\text{FO} \cup \Gamma^{S_5})[+, \times])$$

Thus, to take us a step closer to applying Theorem 52, we will prove in this section that having unary quantifiers alone suffices to express the same languages:

$$\mathcal{L}((\text{FO} \cup \Gamma^{S_5})[+, \times]) = \mathcal{L}((\text{FO} \cup \Gamma_1^{S_5})[+, \times]),$$

which answers an open question first raised in [13].

While we only need to show an equivalence of $(\text{FO} + \Gamma^{S_5})[+, \times]$ and $(\text{FO} + \Gamma_1^{S_5})[+, \times]$ at the language level—i.e., that they express the same languages—we will actually prove the stronger claim that for every finite semigroup S , all quantifiers in Γ^S are definable in $(\Gamma_1^S)[\emptyset]$. In other words, we will prove that any use of Γ^S quantifiers may be substituted by a $(\Gamma_1^S)[\emptyset]$ formulae without loss or gain in expressive power. Moreover, we will prove that we don't need an infinite number of quantifiers to express DLOGTIME-uniform NC¹. Simply a finite set of multiplication quantifiers binding one variable and extending over k -tuples (for some fixed k) will suffice.

We first prove that we can fix the size of the tuple over which the quantifier acts:

► **Lemma 53.** *For every finite semigroup S , there exists a function $\delta : \{0, 1\}^c \rightarrow S$ such that for every $s \in S$, $l \in \mathbb{N}$, and $\gamma : \{0, 1\}^k \rightarrow S$, the quantifier $\Gamma_{l,\gamma}^{S,s}$ is definable in $(\Gamma_{l,\delta}^{S,s})[\emptyset]$.*

Proof. Let \overline{x}_l denote the tuple (x_1, \dots, x_l) . Let S be an arbitrary finite semigroup.

To construct δ , we will let $|S|$ be the size of the tuples over which δ acts; thus, let $c = |S|$. Let $z \in S$ be fixed and arbitrary. Say that $S = \{s_1, \dots, s_c\}$. Let $\delta : \{0, 1\}^c \rightarrow S$ such that if $w \in \{0, 1\}^c$ is a one-hot encoding of i where $1 \leq i \leq c$, then $\delta(w) = s_i$; else, $\delta(w) = z$. For example, if $|S| = 3$, then $\delta(100) = s_1$, $\delta(010) = s_2$, $\delta(001) = s_3$, $\delta(110) = \delta(000) = z$, etc.

Now, let $s \in S$, $l \in \mathbb{N}$, and $\gamma : \{0, 1\}^k \rightarrow S$ be arbitrary. Let $\tau = \{P_1^{(l)}, \dots, P_k^{(l)}\}$ be a relational vocabulary. We will now show that $\Gamma_{l,\gamma}^{S,s}$ is definable in $(\Gamma_{l,\delta}^{S,s})[\emptyset]$.

Specifically, we will now show that for

$$\Phi_1 := \Gamma_{l,\gamma}^{S,s} \langle P_1 \overline{x}_l, \dots, P_k \overline{x}_l \rangle$$

there exists a τ -sentence

$$\Phi_2 := \Gamma_{l,\delta}^{S,s} \langle \psi_1(\overline{x}_l), \dots, \psi_c(\overline{x}_l) \rangle,$$

where each ψ_i is a boolean combination of P_1, \dots, P_k , such that $\text{Mod}(\Phi_1) = \text{Mod}(\Phi_2)$.

We now construct ψ_1, \dots, ψ_c .

Let γ^P be a map from S to sets of boolean combinations of P_1, \dots, P_k such that if $w_1 \dots w_k \in \{0, 1\}^k$ maps to s under γ , then $P'_1 \wedge \dots \wedge P'_k \in \gamma^P(s)$ where $P'_i = P_i \overline{x}_l$ if $w_i = 1$ and $P'_i = \neg P_i \overline{x}_l$ if $w_i = 0$. For example, if $S = \{s_1, s_2, s_3\}$, $k = 2$, $\gamma(00) = \gamma(10) = \gamma(01) = s_1$, and $\gamma(11) = s_3$, then $\gamma^P(s_1) = \{\neg P_1 \overline{x}_l \wedge \neg P_2 \overline{x}_l, P_1 \overline{x}_l \wedge \neg P_2 \overline{x}_l, \neg P_1 \overline{x}_l \wedge P_2 \overline{x}_l\}$, $\gamma^P(s_2) = \emptyset$, and $\gamma^P(s_3) = \{P_1 \overline{x}_l \wedge P_2 \overline{x}_l\}$. We then set

$$\psi_i := \bigvee_{\phi \in \gamma^P(s_i)} \phi.$$

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By construction since γ is a total function, observe that for every structure, there will be *exactly* one i such that ψ_i evaluates to true. We have now defined ψ_1, \dots, ψ_c and, thus, Φ_2 .

We now show that $\text{Mod}(\Phi_1) = \text{Mod}(\Phi_2)$.

Let \mathfrak{A} be an arbitrary τ -structure. Let $\gamma^{\mathfrak{A}} : |\mathfrak{A}|^l \rightarrow S$ and $\delta^{\mathfrak{A}} : |\mathfrak{A}|^l \rightarrow S$ be the evaluator functions for Φ_1 and Φ_2 , respectively. Thus, for $\bar{a}_l \in |\mathfrak{A}|^l$,

$$\begin{aligned} \gamma^{\mathfrak{A}}(\bar{a}_l) &= \gamma(\langle P_1^{\mathfrak{A}}[\bar{a}_l], \dots, P_k^{\mathfrak{A}}[\bar{a}_l] \rangle) \\ \text{and } \delta^{\mathfrak{A}}(\bar{a}_l) &= \delta(\langle \psi_1^{\mathfrak{A}}[\bar{a}_l], \dots, \psi_c^{\mathfrak{A}}[\bar{a}_l] \rangle). \end{aligned}$$

By construction of δ and ψ_i , observe that $\gamma^{\mathfrak{A}}$ and $\delta^{\mathfrak{A}}$ are in fact the same function. Let $s_i \in S = \{s_1, \dots, s_c\}$ be arbitrary:

$$\begin{aligned} \gamma^{\mathfrak{A}}(\bar{a}_l) &= s_i \\ \text{iff } \gamma(\langle P_1^{\mathfrak{A}}[\bar{a}_l], \dots, P_k^{\mathfrak{A}}[\bar{a}_l] \rangle) &= s_i && \text{by definition of } \gamma^{\mathfrak{A}} \\ \text{iff } \psi_i^{\mathfrak{A}}[\bar{a}_l] &= 1 && \text{by construction of } \psi_i \\ \text{iff } \delta(\langle \psi_1^{\mathfrak{A}}[\bar{a}_l], \dots, \psi_c^{\mathfrak{A}}[\bar{a}_l] \rangle) &= s_i && \text{by construction of } \delta \\ \text{iff } \delta^{\mathfrak{A}}(\bar{a}_l) &= s_i && \text{by definition of } \delta^{\mathfrak{A}} \end{aligned}$$

Therefore,

$$\prod_{a_1 \in |\mathfrak{A}|} \cdots \prod_{a_l \in |\mathfrak{A}|} \gamma^{\mathfrak{A}}(a_1, \dots, a_l) = \prod_{a_1 \in |\mathfrak{A}|} \cdots \prod_{a_l \in |\mathfrak{A}|} \delta^{\mathfrak{A}}(a_1, \dots, a_l)$$

so by definition of our multiplication quantifiers,

$$\mathfrak{A} \models \Phi_1 \text{ iff } \mathfrak{A} \models \Phi_2$$

and, thus, $\text{Mod}(\Phi_1) = \text{Mod}(\Phi_2)$. ◀

We now prove that having quantifiers binding only one variable is sufficient:

► **Theorem 54.** *For every finite semigroup S , there exists a function $\delta : \{0, 1\}^c \rightarrow S$ such that for every $s \in S$, $l \in \mathbb{N}$, and $\gamma : \{0, 1\}^k \rightarrow S$, the quantifier $\Gamma_{l,\gamma}^{S,s}$ is definable in $(\Gamma_{1,\delta}^S)[\emptyset]$.*

Proof. Let $S = \{s_1, \dots, s_c\}$ be an arbitrary finite semigroup and let $\delta : \{0, 1\}^c \rightarrow S$ be constructed as done in Lemma 53. Let $l \in \mathbb{N}$ and $\gamma : \{0, 1\}^k \rightarrow S$ be arbitrary and let $\tau = \{P_1^{(l)}, \dots, P_k^{(l)}\}$ be a relational vocabulary. Finally, for each $s \in S$, let

$$\Phi_1^s := \Gamma_{l,\gamma}^{S,s} \bar{x}_l \langle P_1 \bar{x}_l, \dots, P_k \bar{x}_l \rangle.$$

We want to show that for each $s \in S$, there exists a τ -sentence Φ_2^s in $(\Gamma_{1,\delta}^S)[\emptyset]$ such that $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi_2^s)$.

We proceed by induction on l . If $l = 1$, then the result follows from Lemma 53. Thus, assume that for each $s \in S$,

$$\Gamma_{l-1,\gamma}^{S,s} \text{ is definable in } (\Gamma_{1,\delta}^S)[\emptyset]. \quad (\text{I.H.})$$

We now show that for each $s \in S$, $\Gamma_{l,\gamma}^{S,s}$ is definable in $(\Gamma_{1,\delta}^S)[\emptyset]$.

Let $s \in S$ be arbitrary. We now construct a sentence Φ^s and prove that $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi^s)$; we will then use the inductive hypothesis to convert Φ^s into a sentence Φ_2^s in $(\Gamma_{1,\delta}^S)[\emptyset]$ such that $\text{Mod}(\Phi^s) = \text{Mod}(\Phi_2^s)$. Let

$$\Phi^s := \Gamma_{1,\delta}^{S,s} x_1 \langle \theta_1(x_1), \dots, \theta_c(x_1) \rangle$$

where

$$\theta_i(x_1) = \Gamma_{l-1,\gamma}^{S,s_i} x_2 \dots x_l \langle P_1 x_1 x_2 \dots x_l, \dots, P_k x_1 x_2 \dots x_l \rangle$$

Let \mathfrak{A} be an arbitrary τ -structure. Let $\gamma^{\mathfrak{A}} : |\mathfrak{A}|^l \rightarrow S$ and $\delta^{\mathfrak{A}} : |\mathfrak{A}| \rightarrow S$ be the evaluator functions for Φ_1^s and Φ^s , respectively. To show that $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi^s)$, we will show that

$$\prod_{a_1 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma^{\mathfrak{A}}(a_1, \dots, a_l) = \prod_{a \in |\mathfrak{A}|} \delta^{\mathfrak{A}}(a).$$

First, note that by construction of $\theta_1, \dots, \theta_c$, we get that

$$\text{for every } a \in |\mathfrak{A}|, \text{ if } \theta_i^{\mathfrak{A}}[a] = \theta_j^{\mathfrak{A}}[a] = 1, \text{ then } i = j \quad (\star)$$

since each θ_i will perform the same multiplication within S during evaluation but each θ_i will check if the product is equal to a different s_i . Then, for every $a \in |\mathfrak{A}|$ and $s_i \in S$,

$$\begin{aligned} \delta^{\mathfrak{A}}(a) &= s_i \\ \text{iff } \delta(\langle \theta_1^{\mathfrak{A}}[a], \dots, \theta_c^{\mathfrak{A}}[a] \rangle) &= s_i && \text{by definition of } \delta^{\mathfrak{A}} \\ \text{iff } \theta_i^{\mathfrak{A}}[a] &= 1 && \text{by construction of } \delta \text{ and } (\star) \end{aligned}$$

Then, by construction of θ_i , we get that $\delta^{\mathfrak{A}}(a) = s_i$ iff

$$\prod_{a_2 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma(\langle P_1^{\mathfrak{A}}[a, a_2, \dots, a_l], \dots, P_k^{\mathfrak{A}}[a, a_2, \dots, a_l] \rangle) = s_i$$

and, thus,

$$\delta^{\mathfrak{A}}(a) = \prod_{a_2 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma(\langle P_1^{\mathfrak{A}}[a, a_2, \dots, a_l], \dots, P_k^{\mathfrak{A}}[a, a_2, \dots, a_l] \rangle)$$

Therefore,

$$\begin{aligned} \prod_{a \in |\mathfrak{A}|} \delta^{\mathfrak{A}}(a) &= \prod_{a \in |\mathfrak{A}|} \prod_{a_2 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma(\langle P_1^{\mathfrak{A}}[a, a_2, \dots, a_l], \dots, P_k^{\mathfrak{A}}[a, a_2, \dots, a_l] \rangle) \\ &= \prod_{a_1 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma^{\mathfrak{A}}(a_1, \dots, a_l) \text{ by definition of } \gamma^{\mathfrak{A}} \end{aligned}$$

and, thus, $\mathfrak{A} \models \Phi_1^s$ iff $\mathfrak{A} \models \Phi^s$ so $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi^s)$.

By the I.H., we know that each quantifier $\Gamma_{l-1,\gamma}^{S,s_i}$ is definable in $(\Gamma_{1,\delta}^S)[\emptyset]$. Therefore, we know that for each θ_i , there exists a formula θ'_i in $(\Gamma_{1,\delta}^S)[\emptyset]$ such that $\text{Mod}(\theta_i) = \text{Mod}(\theta'_i)$. Thus, we can construct a sentence Φ_2^s by replacing each θ_i in Φ^s with θ'_i ; we immediately get that $\text{Mod}(\Phi^s) = \text{Mod}(\Phi_2^s)$. Therefore, we have constructed a sentence Φ_2^s in $(\Gamma_{1,\delta}^S)[\emptyset]$ such that $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi_2^s)$. Since $s \in S$ was arbitrary, this completes the inductive step.

All together, we get that for every $l \in \mathbb{N}$, $\gamma : \{0, 1\}^k \rightarrow S$, and $s \in S$, the quantifier $\Gamma_{l,\gamma}^{S,s}$ is definable in $(\Gamma_{1,\delta}^S)[\emptyset]$.

◀

► **Corollary 55.** *For every finite semigroup S , there exists a function $\delta : \{0, 1\}^c \rightarrow S$ such that for any set of quantifiers \mathfrak{Q} and set of numerical predicates \mathfrak{N} ,*

$$\mathcal{L}((\mathfrak{Q} \cup \Gamma^S)[\mathfrak{N}]) = \mathcal{L}((\mathfrak{Q} \cup \Gamma_{1,\delta}^S)[\mathfrak{N}])$$

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► Remark 56. Because we are considering finite semigroups, we can always take disjunctions of the multiplication quantifiers which check if the product is equal to a single element of a semigroup in order to define multiplication quantifiers which check if the product is equal to any element of a specified subset of a semigroup.

► Remark 57. Note that for a finite semigroup S , while Γ^S and Γ_1^S are infinite sets, $\Gamma_{1,\delta}^S$ is a finite set.

Therefore, this gives us a logic characterizing $\text{DLOGTIME-uniform NC}^1$ which not only uses unary quantifiers but also only has a finite number of quantifiers:

► Corollary 58. *There exists a $\delta : \{0, 1\}^k \rightarrow S_5$ such that*

$$\text{DLOGTIME-uniform NC}^1 = \mathcal{L}((\text{FO} \cup \Gamma_{1,\delta}^{S_5})[+, \times])$$

This will simplify our construction of an algebra capturing $\text{DLOGTIME-uniform NC}^1$.

Moreover, this theorem serves as an alternative proof of Theorem 32 ([13, Theorem 5.1]) which, unlike the original proof, does not rely on the use of automata:

► Corollary 59. $\text{REG} = \mathcal{L}((\text{FO} \cup \Gamma^{\text{fin}})[<]) = \mathcal{L}((\text{FO} \cup \Gamma_1^{\text{fin}})[<])$.

and, furthermore, resolves an open question from [13]:

► Corollary 60. $\mathcal{L}((\text{FO} \cup \Gamma^{\text{fin}})[+, \times]) = \mathcal{L}((\text{FO} \cup \Gamma_1^{\text{fin}})[+, \times])$

4 The Algebraic Characterization

Now that we have a first-order logic with only unary quantifiers capturing $\text{DLOGTIME-uniform NC}^1$, we are closer to applying Theorem 52 to construct an algebra for it.

We first need to prove some results concerning the typed quantifier semigroups of multiplication quantifiers:

► Theorem 61. *Let $s \in S_5$ and $\gamma : \{0, 1\}^k \rightarrow S_5$, where $\text{Im}(\gamma) = S_5$, be arbitrary. Then, the typed quantifier semigroup of $\Gamma_{1,\gamma}^{S_5,s}$ equals (S_5, s, S_5) .*

Proof. Let $s \in S_5$ and $\gamma : \{0, 1\}^k \rightarrow S_5$ be arbitrary. Let $T = (S_5, s, S_5)$. We will show that T is isomorphic to the typed quantifier semigroup of $\Gamma_{1,\gamma}^{S_5,s}$.

Let $Q = ((\{0, 1\}^k)^+, L_\Gamma, \{0, 1\}^k)$ such that for $w = w_1 \dots w_n \in (\{0, 1\}^k)^+$, $w \in L_\Gamma$ iff $w \models \Gamma_{1,\gamma}^{S_5,s} x \langle P_1 x, \dots, P_k x \rangle$ where $P_i^\mathbf{w} = \{a \in [n] \mid (w_a)_i = 1\}$. To be clear, $(w_a)_i$ denotes the i^{th} bit of $w_a \in \{0, 1\}^k$. Let $\gamma^* : (\{0, 1\}^k)^+ \rightarrow S_5$ be the homomorphism induced by γ .

By definition of typed quantifier semigroup, we now want to show that $T \cong \text{syn}(L_\Gamma)$. We know (1) that there exists a syntactic typed homomorphism $\eta = (\eta_1, \eta_2, \eta_3)$ from Q to $\text{syn}(L_\Gamma)$ and (2) that for $w \in (\{0, 1\}^k)^+$,

$$\begin{aligned} w \in L_\Gamma &\text{ iff } w \models \Gamma_{1,\gamma}^{S_5,s} x \langle P_1 x, \dots, P_k x \rangle \\ &\text{ iff } \prod_{a \in [n]} \gamma(\langle P_1^\mathbf{w}[a], \dots, P_k^\mathbf{w}[a] \rangle) = s \\ &\text{ iff } \gamma^*(w) = s. \end{aligned}$$

Say $\text{syn}(L_\Gamma) = (S_\Gamma, B_\Gamma, E_\Gamma)$. We first prove that

► Lemma 62. *For every $v_1, v_2 \in \{0, 1\}^k$, $\gamma(v_1) = \gamma(v_2)$ iff $\eta_3(v_1) = \eta_3(v_2)$.*

437 **Proof.** Assume that $\gamma(v_1) = \gamma(v_2)$. Let $x, y \in (\{0, 1\}^k)^+$ be arbitrary.

$$\begin{aligned}
 438 \quad xv_1y \in L_\Gamma & \text{ iff } \gamma^*(xv_1y) = s & \text{by (2)} \\
 439 \quad & \text{iff } \gamma^*(x)\gamma(v_1)\gamma^*(y) = s & \text{by definition} \\
 440 \quad & \text{iff } \gamma^*(x)\gamma(v_2)\gamma^*(y) = s & \text{since } \gamma(v_1) = \gamma(v_2) \\
 441 \quad & \text{iff } \gamma^*(xv_2y) = s & \text{by definition} \\
 442 \quad & \text{iff } xv_2y \in L_\Gamma & \text{by (2)}
 \end{aligned}$$

443 Thus, $v_1 \sim_{L_\Gamma} v_2$ so $\eta_3(v_1) = \eta_3(v_2)$.

444 Assume that $\eta_3(v_1) = \eta_3(v_2)$. Thus, $v_1 \sim_{L_\Gamma} v_2$ so for every $x, y \in (\{0, 1\}^k)^+$, $xv_1y \in L_\Gamma$
 445 iff $xv_2y \in L_\Gamma$. Therefore, for every $x, y \in (\{0, 1\}^k)^+$,

$$\begin{aligned}
 446 \quad \gamma^*(x)\gamma(v_1)\gamma^*(y) = s & \text{ iff } \gamma^*(xv_1y) = s & \text{by definition} \\
 447 \quad & \text{iff } xv_1y \in L_\Gamma & \text{by (2)} \\
 448 \quad & \text{iff } xv_2y \in L_\Gamma & \text{by the above} \\
 449 \quad & \text{iff } \gamma^*(xv_2y) = s & \text{by (2)} \\
 450 \quad & \text{iff } \gamma^*(x)\gamma(v_2)\gamma^*(y) = s & \text{by definition}
 \end{aligned}$$

451 Because S_5 is a group, it is cancellative (cf. Proposition 14); thus, $\gamma(v_1) = \gamma(v_2)$.

452 We have now shown that $\gamma(v_1) = \gamma(v_2)$ iff $\eta_3(v_1) = \eta_3(v_2)$. ◀

453 We now construct a typed isomorphism $f = (f_1, f_2, f_3)$ from T to $\text{syn}(L_\Gamma)$. We start
 454 with f_3 .

455 For each $t \in S_5$, let $f_3(t) = \eta_3(w)$ for some $w \in \gamma^{-1}(t)$; by Lemma 62, the specific choice
 456 of $w \in \gamma^{-1}(t)$ does not matter.

457 We now prove that f_3 is injective. Let $s_1, s_2 \in S_5$ and assume that $f_3(s_1) = f_3(s_2)$.
 458 Then, by construction of f_3 , there exists $w_1 \in \gamma^{-1}(s_1)$ and $w_2 \in \gamma^{-1}(s_2)$ such that $f_3(s_1) =$
 459 $\eta_3(w_1) = \eta_3(w_2) = f_3(s_2)$. By Lemma 62, $\gamma(w_1) = \gamma(w_2)$, so $s_1 = s_2$ since $s_i \in \gamma^{-1}(s_i)$.

460 We now prove that f_3 is surjective. Let $v \in E_\Gamma \subseteq S_\Gamma$ be arbitrary. Because η is the
 461 syntactic morphism to $\text{syn}(L_\Gamma)$, it is surjective; therefore, $\eta_3(\{0, 1\}^k) = E_\Gamma$ so there exists
 462 $w \in \{0, 1\}^k$ such that $\eta_3(w) = v$. Let $t = \gamma(w)$. By construction of f_3 and Lemma 62,
 463 $f_3(t) = \eta_3(w) = v$ so f_3 is surjective.

464 Therefore, f_3 is a bijection from S_5 to E_Γ .

465 Let f_1 be the homomorphism induced by f_3 . The proof of f_1 's bijectivity is analogous to
 466 the proof of f_3 's bijectivity. Thus, f_3 is an isomorphism from S_5 to S_Γ .

467 We now must construct and show that $f_2 : \{\emptyset, \{s\}, S_5 - \{s\}, S_5\} \rightarrow B_\Gamma$ is an isomorphism
 468 of Boolean algebras. B_Γ will only have four elements— \emptyset , $X = \eta_1(L_\Gamma)$, $S_\Gamma - X$, and
 469 S_Γ —since $(S_\Gamma, B_\Gamma, E_\Gamma)$ is a syntactic typed semigroup. Let $f_2(\emptyset) = \emptyset$, $f_2(\{s\}) = X$,
 470 $f_2(S_5 - \{s\}) = S_\Gamma - X$, and $f_2(S_5) = S_\Gamma$. f_2 is clearly bijective and preserves the Boolean
 471 algebra structure.

472 Lastly, we must prove that $f = (f_1, f_2, f_3)$ is actually a typed homomorphism by proving
 473 that $f_1(\{s\}) = f_2(\{s\}) \cap f_1(S_5)$. (The other condition on Definition 39 is trivially satisfied.)

474 We know that for an element $g \in (\{0, 1\}^k)^+$,

$$475 \quad \eta_1(g) \in \eta_1(L_\Gamma) \text{ iff } \gamma^*(g) = s \quad (\star)$$

476 We first prove that $f_1(\{s\}) \subseteq f_2(\{s\}) \cap f_1(S_5)$. Since $s \in S_5$, $f_1(\{s\}) \subseteq f_1(S_5)$. We
 477 know $f_1(\{s\}) = \{f_1(s)\}$ by definition so we must show that $f_1(s) \in f_2(\{s\}) = X = \eta_1(L_\Gamma)$.

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Since $f_1(s) = \eta_3(g) = \eta_1(g)$ for some $g \in \gamma^{-1}(s)$, $\gamma^*(g) = s$ so, by (\star) , $\eta_1(g) \in \eta_1(L_\Gamma)$ so $f_1(s) \in \eta_1(L_\Gamma)$.

We now prove that $f_2(\{s\}) \cap f_1(S_5) \subseteq f_1(\{s\})$. Let $\eta_1(g) \in f_2(\{s\}) \cap f_1(S_5)$ be arbitrary. Since $f_2(\{s\}) = \eta_1(L_\Gamma)$, by (\star) , $\gamma^*(g) = s$. Therefore, by construction of f_1 , $\eta_1(g) = f_1(s) \in f_1(\{s\}) = \{f_1(s)\}$.

All together, we get that f is a typed isomorphism from T to $\text{syn}(L_\Gamma)$ so the typed quantifier semigroup of $\Gamma_{1,\gamma}^{S_5,s}$ is isomorphic to (S_5, s, S_5) . ◀

We also know the following from the literature:

► Lemma 63.

- (i) $\text{DLOGTIME-uniform NC}^1$ can compute majority.
- (ii) The quantifiers in FO are definable in $(\text{Maj})[<]$. ([12, Theorem 3.2])
- (iii) The numerical predicate $+$ is definable in $(\text{Maj})[<]$. ([12, Theorem 4.1])
- (iv) The numerical predicate \times is definable in $(\{\text{Maj}, \text{Sq}\})[<]$ and Sq is definable in $(\text{Maj})[<, +, \times]$. (cf. [15, Theorem 2.3.f] and [11, Section 2.3])

and, all together, we get our main result:

► Theorem 64.

$\text{DLOGTIME-uniform NC}^1 = \mathcal{L}(\text{sbpc}_{<}(\{(\mathbb{Z}, \mathbb{Z}^+, \pm 1), (\mathbb{N}, \mathbb{S}, \{0, 1\}), (S_5, \wp(S_5), S_5)\}))$.

Proof. Let $\delta : \{0, 1\}^c \rightarrow S_5$ be as it was defined in Lemma 53.

$$\begin{aligned}
 \text{DLOGTIME-uniform NC}^1 &= \mathcal{L}((\text{FO} \cup \Gamma^{S_5})[+, \times]) \text{ via [2]} \\
 &= \mathcal{L}((\text{FO} \cup \Gamma_{1,\delta}^{S_5})[+, \times]) \text{ via Corollary 58} \\
 &= \mathcal{L}((\Gamma_{1,\delta}^{S_5} \cup \{\text{Maj}, \text{Sq}\})[<]) \text{ via Lemma 63} \\
 &= \mathcal{L}(\text{sbpc}_{<}(\{(\mathbb{Z}, \mathbb{Z}^+, \pm 1), (\mathbb{N}, \mathbb{S}, \{0, 1\})\} \\
 &\quad \cup \{(S_5, s, S_5) \mid s \in S_5\})) \\
 &\quad \text{via Theorems 52 and 61} \\
 &= \mathcal{L}(\text{sbpc}_{<}(\{(\mathbb{Z}, \mathbb{Z}^+, \pm 1), (\mathbb{N}, \mathbb{S}, \{0, 1\}), (S_5, \wp(S_5), S_5)\})) \\
 &\quad \text{since } \forall s \in S_5, (S_5, s, S_5) \preceq (S_5, \wp(S_5), S_5) \\
 &\quad \text{and } \mathcal{L}((S_5, \wp(S_5), S_5)) \subseteq \text{REG} \subseteq \text{ALOGTIME}
 \end{aligned}$$

504

5 Conclusion

[TODO]:

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543 **A Strong Block Product Closure**

544 **A.1 Weakly Closed Classes**

- 545 ► **Definition 65** (Direct Product of Semigroups). *The direct product of two semigroups (S, \cdot_S)*
546 *and (T, \cdot_T) is the semigroup $(S \times T, \cdot)$ where $(s_1, t_1) \cdot (s_2, t_2) = (s_1 \cdot_S s_2, t_1 \cdot_T t_2)$.*
- 547 ► **Definition 66** (Direct Product of Boolean Algebras). *We define the direct product of*
548 *Boolean algebras B_1 and B_2 , denoted $B_1 \times B_2$, to be the Boolean algebra generated by the set*
549 *$\{A_1 \times A_2 \mid A_1 \in B_1 \text{ and } A_2 \in B_2\}$.*
- 550 ► **Definition 67** (Direct Product of Typed Semigroups).
551 *The direct product $(S, G, E) \times (T, H, F)$ is the typed semigroup $(S \times T, G \times H, E \times F)$.*
- 552 ► **Definition 68** (Trivial Extension). *If there exists a surjective typed homomorphism from*
553 *(S, G, E) to (T, H, F) , then we say that (S, G, E) is a trivial extension of (T, H, F) .*
- 554 ► **Definition 69** (Weakly Closed Class). *We call a set of typed semigroups T a weakly closed*
555 *class if it is closed under*
- 556 ■ *Division: If $(S, G, E) \in T$ and $(S, G, E) \preceq (T, H, F)$, then $(T, H, F) \in T$.*
 - 557 ■ *Direct Product: If $(S, G, E), (T, H, F) \in T$, then $(S, G, E) \times (T, H, F) \in T$.*
 - 558 ■ *Trivial Extension: If (S, G, E) is a trivial extension of (T, H, F) and $(T, H, F) \in T$, then*
559 *$(S, G, E) \in T$.*
- 560 *We write $\text{wc}(T)$ to denote the smallest weakly closed set of typed semigroups containing T .*

561 A.2 The Block Product

562 The block product will be our main tool for the construction of algebraic characterizations
563 of language classes via logic.² We now build up to its definition:

564 ► **Definition 70** (Left and Right Actions). *A left action \star_l of a semigroup (N, \cdot) on a semigroup
565 $(M, +)$ is a function from $N \times M$ to M such that for $n_1, n_2 \in N$ and $m_1, m_2 \in M$,*

$$\begin{aligned} 566 \quad n \star_l (m_1 + m_2) &= n \star_l m_1 + n \star_l m_2 \\ 567 \quad (n_1 \cdot n_2) \star_l m &= n_1 \star_l (n_2 \star_l m) \end{aligned}$$

568

569 *The right action \star_r of (N, \cdot) on $(M, +)$ is defined dually. We say that left and right actions
570 of (N, \cdot) on $(M, +)$ are compatible if for all $n_1, n_2 \in N$ and $m \in M$,*

$$571 \quad (n_1 \star_l m) \star_r n_2 = n_1 \star_l (m \star_r n_2).$$

572 *When clear from context, we may simply write nm for $n \star_l m$ and mn for $m \star_r n$.*

573 ► **Definition 71** (Two-sided Semidirect Product). *For a pair of compatible left and right
574 actions, \star_l and \star_r of (N, \cdot) on $(M, +)$, the two-sided (or bilateral) semidirect product
575 of $(M, +)$ and (N, \cdot) with respect to \star_l and \star_r is the semigroup $(M \times N, \circ)$ where for
576 $(m_1, n_1), (m_2, n_2) \in M \times N$,*

$$577 \quad (m_1, n_1) \circ (m_2, n_2) = (m_1 n_2 + n_1 m_2, n_1 \cdot n_2).$$

578 ► **Definition 72** (Block Product). *The block product of (M, \cdot_M) with (N, \cdot_N) , denoted $M \square N$,
579 is the two-sided semidirect product of $(M^{N^1 \times N^1}, +)$ and (N, \cdot) with respect to the left and
580 right actions \star_l and \star_r where for $f, g \in M^{N^1 \times N^1}$ and $n, n_1, n_2 \in N^1$,*

581 ■ *$(M^{N^1 \times N^1}, +)$ is the monoid of all functions from $N^1 \times N^1$ to M under componentwise
582 product $+$:*

$$583 \quad (f + g)(n_1, n_2) = f(n_1, n_2) \cdot_M g(n_1, n_2).$$

584 ■ *The left action \star_l of (N, \cdot) on $(M^{N^1 \times N^1}, +)$ is defined by*

$$585 \quad (n \star_l f)(n_1, n_2) = f(n_1 \cdot_N n, n_2).$$

586 ■ *The right action \star_r of (N, \cdot) on $(M^{N^1 \times N^1}, +)$ is defined by*

$$587 \quad (f \star_r n)(n_1, n_2) = f(n_1, n \cdot_N n_2).$$

588 A.3 The Typed Block Product

589 ► **Definition 73** (Typed Block Product). *Let (S, G, E) and (S', G', E') be typed semigroups
590 and $C \subseteq S'$ be a finite set. Then, the typed block product with C of (S, G, E) and (S', G', E') ,
591 denoted $(S, G, E) \square_C (S', G', E')$, is the typed semigroup (T, H, F) where*

- 592 (1) $T \leq S \square S'$ such that T is generated by the elements (f, s') such that
593 (a) $s' \in E' \cup C$ and

² Historically, the “wreath product” was first used for this purpose. Since [14], however, the block product has been the preferred and easier-to-work-with tool of choice.

- 594 (b) $f \in E^{S'^1 \times S'^1}$ such that for $b_1, b_2, b_3, b_4 \in S'$, if for all $c \in C$ and all $A' \in G'$,
 595 $b_1cb_2 \in A'$ iff $b_3cb_4 \in A'$, then $f(b_1, b_2) = f(b_3, b_4)$,
 596 (2) $H = \{ \{(f, s) \mid f(1, 1) \in A\} \mid A \in G \}$ where 1 is the identity of S'^1 ,
 597 (3) and $F = \{ \{(f, s') \mid (f, s) \text{ is a generator of } T \text{ and } s' \in E' \} \}$.

598 ► **Definition 74.** Because the typed semigroup corresponding to the order predicate will be
 599 a very common, it is convenient to define an ordered typed block product, $(S, G, E) \boxtimes_C$
 600 (S', G', E') which will help simplify our algebraic representations whose numerical predicates
 601 only include order; this is defined the same as the typed block product above but with a change
 602 to condition (1)(b):

- 603 (1)(b_<) $f \in E^{S'^1 \times S'^1}$ such that for $b_1, b_2, b_3, b_4 \in S'$, if for all $c \in C$ and all $A' \in G'$,
 604 (i) $b_1cb_2 \in A'$ iff $b_3cb_4 \in A'$,
 605 (ii) $b_1c \in A'$ iff $b_3c \in A'$,
 606 (iii) and $cb_2 \in A'$ iff $cb_4 \in A'$,
 607 then $f(b_1, b_2) = f(b_3, b_4)$.

608 ► **Definition 75.** For a set of typed semigroups W , we let

609
$$W_0 = \text{wc}(W)$$

610 and for each $k \geq 1$,

611
$$\blacksquare W_k = \{S_1 \boxtimes_C S_2 \mid S_1 \in W_0, S_2 \in W_{k-1}, \text{ and finite } C \subseteq S_2\}$$

612
$$\blacksquare W_k^< = \{S_1 \boxtimes_C S_2 \mid S_1 \in W_0, S_2 \in W_{k-1}^<, \text{ and finite } C \subseteq S_2\}$$

613 We define the (ordered) strong block product closure of W , denoted $\text{sbpc}(W)$ ($\text{sbpc}_<(W)$), as

614
$$\blacksquare \text{sbpc}(W) = \bigcup_{k \in \mathbb{N}} W_k$$

615
$$\blacksquare \text{sbpc}_<(W) = \bigcup_{k \in \mathbb{N}} W_k^<.$$