# Characterizing NC<sup>1</sup> with Typed Semigroups

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# 1 Introduction

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Much work in theoretical computer science is concerned with studying classes of formal languages, whether these are classes defined in terms of grammars and expressions, such as the class of regular or context-free languages, or whether they are *complexity classes* such as P and NP, defined by resource bounds on machine models. Indeed, the distinction between these are largely historical as most classes of interest admit different characterizations based on machine models, grammars, logical definability, or algebraic expressions. The class of regular languages can be characterized as the languages accepted by linear-time-bounded single-tape Turing machines [8] while P can be characterized without reference to resources as the languages recognized by multi-head two-way pushdown automata [5]. The advantage of the variety of characterizations is, of course, the fact that these bring with them different mathematical toolkits that can be brought to the study of the classes.

The class of regular languages has arguably the richest theory in this sense of diversity of characterizations. Virtually all students of computer science learn of the equivalence of deterministic and nondeterministic finite automata, regular languages and linear grammars and many also know that the regular languages are exactly those definable in monadic second-order logic with an order predicate. Perhaps the most productive approach to the study of regular languages is via their connection to finite semigroups. Every language L has a syntactic semigroup, which is finite if, and only if, L is regular. Moreover, closure properties of classes of regular languages relate to natural closure properties of classes of semigroups, via Eilenberg's Correspondence Theorem [7]. This, together with the tools of Krohn- $Rhodes\ theory$ , gives rise to  $algebraic\ automata\ theory$ —which leads to the definition of natural subclasses of the class of regular languages, to effective decision procedures for automata\ recognizing such classes, and to separation results.

When it comes to studying computational complexity, we are mainly interested in classes of languages richer than just the regular languages. Thus the syntactic semigroups of the languages are not necessarily finite any longer and the extensive tools of Krohn-Rhodes theory are not available to study them. Nonetheless, some attempts have been made to extend the methods of algebraic automata theory to classes beyond the regular languages. Most significant is the work of Krebs and collaborators [2, 3, 10, 9, 4], which introduces the notion of typed semigroups. The idea is to allow for languages with infinite syntactic semigroups, but limit the languages they recognize by associating with them a finite collection of types. This allows for the formulation of a version of Eilenberg's Correspondence theorem associating closure properties on classes of typed semigroups with corresponding closure properties of classes of languages. In particular, this implies that most complexity classes of interest can be uniquely characterized in terms of an associated class of typed semigroups [3]. An explicit description of the class characterizing DLogTime-uniform TC<sup>0</sup> is given in [10, 9]. This is obtained through a general method which allows us to construct typed semigroups corresponding to unary quantifiers defined from specific languages [9] (see also Theorem 22 below).

In this paper, we extend this work to obtain a characterization of DLogTime-uniform  $NC^1$  as the class of languages recognized by the collection of typed semigroups obtained as the closure under ordered strong block products of three typed semigroups: the group of integers with types for positive and negative integers; the group of natural numbers with types for the square numbers and non-square numbers; and a finite non-solvable group such as  $S_5$  with a type for each subset of the group. Full definitions of these terms follow below. Our result is obtained by first characterizing DLogTime-uniform  $NC^1$  in terms of logical definability

in an extension of first-order logic with only unary quantifiers. It is known that any regular language whose syntactic semigroup is a non-solvable groups is complete for  $NC^1$  under reductions definable in first-order logic with arithmetic predicates  $(FO(+, \times))$  [1]. From this, we know we can describe  $NC^1$  as the class of languages definable in an extension of  $FO(+, \times)$  with quantifiers (of arbitrary arity) associated with the regular language corresponding to the word problem for  $S_5$ . Our main technical contribution is to show that the family of such quantifiers associated with any regular language L can be replaced with just the unary quantifiers. This also answers a question left open in [12].

In Section 2, we cover the relevant background material on semigroup theory, typed semigroups, and multiplication quantifiers. In Section 3, we establish the main technical result showing that quantifiers of higher arity over a regular language L can be defined using just unary quantifiers over the syntactic semigroup of L. Finally, in Section 4, we apply this to obtain the algebraic characterization of DLogTime-uniform  $NC^1$ .

## 2 Preliminaries

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We assume the reader is familiar with basic concepts of formal language theory, automata theory, complexity theory, and logic. We quickly review definitions we need to fix notation and establish conventions.

We write  $\mathbb{Z}$  for the set of integers,  $\mathbb{N}$  for the set of natural numbers (including 0), and  $\mathbb{Z}^+$  for the set of positive integers. We write [n] for the set of integers  $\{1, \ldots, n\}$  and  $\mathbb{S}$  for the set of square integers. That is,  $\mathbb{S} = \{x \in \mathbb{Z}^+ \mid x = y^2 \text{ for some } y \in \mathbb{Z}\}.$ 

For a fixed  $n \in \mathbb{Z}^+$  and an integer  $i \in [n]$ , we define the *n*-bit one-hot encoding of i to be the binary string  $b \in \{0,1\}^n$  such that  $b_i = 1$  if, and only if, j = i.

## 2.1 Semigroups, Monoids and Groups

A semigroup  $(S,\cdot)$  is a set S equipped with an associative binary operation. We call a semigroup finite if S is finite. Context permitting, we may refer to a semigroup  $(S,\cdot)$  simply by its underlying set S. A monoid  $(M,\cdot)$  is a semigroup with a distinguished element  $1\in M$  such that for all  $m\in M$ ,  $1\cdot m=m\cdot 1=m$ . We call 1 the identity or neutral element of M. A group  $(G,\cdot)$  is a monoid such that for every  $g\in G$ , there exists an element  $g^{-1}\in G$  such that  $g\cdot g^{-1}=g^{-1}\cdot g=1$ . We call  $g^{-1}$  the inverse of g.

Note that  $(\mathbb{Z}, +)$  is a group,  $(\mathbb{N}, +)$  is a monoid but not a group and  $(\mathbb{Z}^+, +)$  is a semigroup but not a monoid. In the first two cases, the identity element is 0. When we refer to the semigroups  $\mathbb{Z}$  or  $\mathbb{N}$  we assume that the operation referred to is standard addition.

For a semigroup  $(S, \cdot)$ , we say that a set  $G \subseteq S$  generates S if S is equal to the closure of G under  $\cdot$ ; we denote this by  $S = \langle G \rangle$ , or, simply,  $\langle G \rangle$  if the operation is clear from context, and call G a generating set of S. We say that S is finitely generated if there exists a finite generating set of S. All semigroups we consider are finitely generated. Note that  $\mathbb{Z}^+$  is generated by  $\{1\}$ ,  $\mathbb{N}$  by  $\{0,1\}$  and  $\mathbb{Z}$  by  $\{1,-1\}$ .

We write  $U_1$  for the monoid  $(\{0,1\},\cdot)$  where the binary operation is the standard multiplication. Note that 1 is the identity element here. For any set S, we denote by  $S^+$  the set of non-empty finite strings over S and by  $S^*$  the set of all finite strings over S. Equipped with the concatenation operation on strings, which we denote by either  $\circ$  or simply juxtaposition,  $S^*$  is a monoid and  $S^+$  is a semigroup but not a monoid. We refer to these as the *free monoid* and *free semigroup* over S, respectively. Note that S is a set of generators for  $S^+$  and  $S \cup \{\epsilon\}$  is a set of generators for  $S^*$ .

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A homomorphism from a semigroup  $(S, \cdot_S)$  to a semigroup  $(T, \cdot_T)$  is a function  $h: S \to T$  such that for all  $s_1, s_2 \in S$ ,  $h(s_1 \cdot_S s_2) = h(s_1) \cdot_T h(s_2)$ . A congruence on a semigroup  $(S, \cdot)$  is an equivalence relation  $\sim$  on S such that for all  $a, b, c, d \in S$ , if  $a \sim b$  and  $c \sim d$ , then  $a \cdot c \sim b \cdot d$ . We denote by  $S/\sim$  the set of equivalence classes of  $\sim$  on S. We denote by  $[a]_\sim$ , or simply [a], the equivalence class of  $a \in S$  under  $\sim$ . Any congruence  $\sim$  gives rise to the quotient semigroup of S by  $\sim$ , namely the semigroup  $(S/\sim,\star)$  where for  $[a], [b] \in S/\sim$ ,  $[a] \star [b] = [a \cdot b]$ . The map  $\eta: S \to S/\sim$  defined by  $\eta(a) = [a]$  is then a homomorphism, known as the canonical homomorphism of S onto  $S/\sim$ .

For future reference, we formally define the syntactic congruence associated with a language L.

▶ **Definition 1.** Let L be a language. We define the syntactic congruence of L as the equivalence relation  $\sim_L$  on  $\Sigma^+$  such that for all  $x, y \in \Sigma^+$ ,  $x \sim_L y$  if and only if for all  $w, v \in \Sigma^+$ ,  $wxv \in L$  iff  $wyv \in L$ .

It is easily seen that this relation is a congruence on the free monoid  $\Sigma^*$ . The quotient semigroup  $\Sigma^*/\sim_L$  is known as the *syntactic semigroup* of L. More generally, we say that a semigroup S recognizes the language L if there is a homomorphism  $h: \Sigma^+ \to S$  and a set  $A \subseteq S$  such that  $L = h^{-1}(A)$ . It is easily seen that the syntactic semigroup of L recognizes L. A language is regular if, and only if, its syntactic semigroup is finite.

## 2.2 Logics and Quantifiers

We assume familiarity with the basic syntax and semantics of first-order logic. In this paper, the logic is always interpreted in finite relational structures. We generally denote structures by Fraktur letters,  $\mathfrak{A}$ ,  $\mathfrak{B}$ , etc., and the corresponding universe of the structure is denoted  $|\mathfrak{A}|$ ,  $|\mathfrak{B}|$ , etc. We are almost exclusively interested in *strings* over a finite alphabet. Thus, fix an alphabet  $\Sigma$ . A  $\Sigma$ -string is then a structure  $\mathfrak{A}$  whose universe A is linearly ordered by a binary relation < and which interprets a set of unary relation symbols  $(R_{\sigma})_{\sigma \in \Sigma}$ . For each element  $a \in |\mathfrak{A}|$  there is a unique  $\sigma \in \Sigma$  such that a is in the interpretation of  $R_{\sigma}$ .

More generally, let  $\tau$  be any relational vocabulary consisting of a binary relation symbol < and unary relation symbols  $R_1, \ldots, R_k$ . We can associate with any  $\tau$ -structure in which < is a linear order a string over an alphabet of size  $2^k$  as formalized in the following definition.

▶ **Definition 2.** For  $\tau$  a relational vocabulary consisting of a binary relation symbol < and unary relation symbols  $R_1, \ldots, R_k$ , and  $\mathfrak{A}$  a  $\tau$ -structure with n elements that interprets the symbol < as a linear order of its universe, we define the string  $w_{\mathfrak{A}}$  associated with  $\mathfrak{A}$  as the string of length n over the alphabet  $\Sigma_k = \{0,1\}^k$  of size  $2^k$  so that if a is the ith element of  $w_{\mathfrak{A}}$ , then a is the k-tuple where  $a_j = 1$  if, and only if,  $R_j$  holds at the ith element of  $\mathfrak{A}$ .

This way, we can assocate a language with any isomorphism-closed class of structures over the vocabulary  $\tau$ . We formalise this definition for future use.

▶ Definition 3. For  $\tau$  a relational vocabulary consisting of a binary relation symbol < and unary relation symbols  $R_1, \ldots, R_k$ , and A a class of  $\tau$ -structures, we define the language  $L_A$  over the alphabet  $\Sigma_k = \{0,1\}^k$  to be

$$L_{\mathcal{A}} = \{ w_{\mathfrak{A}} \mid \mathfrak{A} \in \mathcal{A} \}.$$

Conversely, for any language L over the alphabet  $\Sigma_k$ , we define the class of  $\tau$ -structures  $\mathcal{S}_L$  to be

$$\mathcal{S}_L = \{ \mathfrak{A} \mid w_{\mathfrak{A}} \in L \}.$$

As the elements of a string  $\mathfrak A$  are linearly ordered, we can identify them with an initial segment  $\{1,\ldots,n\}$  of the positive integers. In other words, we treat a string with universe  $\{1,\ldots,n\}$  and the standard order on these elements as a canonical representative of its isomorphism class. In addition to the order predicate, we may allow other numerical predicates to appear in formulas of our logics. These are predicates whose meaning is completely determined by the size n of the structure and the ordering of its elements. In particular, we have ternary predicates + and  $\times$  for the partial addition and multiplication functions

An insight due to Lindström allows us to define a quantifier from any isomorphism-closed class of structures (see [6]). Specifically, let Q be any isomorphism-closed class of structures in a relational vocabulary  $\tau = \langle R_1, \ldots, R_l \rangle$ , where for each  $i, R_i$  is a relation symbol of arity  $r_i$ . For any vocabulary  $\sigma$  and positive integer d, an interpretation of  $\tau$  in  $\sigma$  of dimension d is a tuple of formulas  $I = (\phi_1(\overline{x}_1), \ldots, \phi_l(\overline{x}_l))$  of vocabulary  $\sigma$  where  $\phi_i$  is associated with a tuple  $\overline{x}_i$  of variables of length  $dr_i$ . Suppose we are given a  $\sigma$ -structure  $\mathfrak A$  and an assignment  $\alpha$  that takes variables to elements of  $\mathfrak A$ . Then let  $\phi_i^{\mathfrak A,\alpha}$  denote the relation of arity  $dr_i$  consisting of the set of tuples  $\{\overline{a} \in |\mathfrak A|^{dr_i} \mid \mathfrak A \models \phi_i[\alpha[\overline{x}_i/\overline{a}]]\}$ . Then, the interpretation I defines a map that takes a  $\sigma$ -structure  $\mathfrak A$ , along with an assignment  $\alpha$  to the  $\tau$ -structure  $I(\mathfrak A,\alpha)$  with universe  $|\mathfrak A|^d$  where the interpretation of  $R_i$  is the set  $\phi_i^{\mathfrak A,\alpha}$ , seen as a relation of arity  $r_i$  on  $|\mathfrak A|^d$ .

Then, in a logic with quantifier Q, we can form formulas of the form

$$Q\overline{x}_1\cdots\overline{x}_l(\phi_1,\ldots,\phi_l)$$

in which occurrences in the the subformula  $\phi_i$  of variables among  $x_i$  are bound. The semantics of this quantifier are given by the rule that  $Q\overline{x}_1\cdots\overline{x}_l(\phi_1,\ldots,\phi_l)$  is true in a structure  $\mathfrak A$  under some interpretation  $\alpha$  of values to the free variables if the  $\tau$ -structure  $I(\mathfrak A,\alpha)$  is in Q. Note, we have defined what are usually called *vectorized quantifiers*, in that they can take interpretations of any dimension. Another way of formulating this is to have a separate quantifier  $Q_d$  for each dimension d. We switch between these notations when it causes no confusion and we call  $Q_d$  the *vectorization* of Q of dimension d.

We are particularly interested in interpretations I where both  $\sigma$  and  $\tau$  are vocabularies of strings. These are also known in the literature as  $string-to-string\ tranducers\ [?]$ . We further restrict ourselves to interpretations in which the definition of the linear order in  $I(\mathfrak{A}, \alpha)$  is always the lexicographic order on d-tuples of  $\mathfrak{A}$  induced by the order in  $\mathfrak{A}$ . This order is easily defined by a (quantifier-free) first-order formula, and we simply omit it from the description of I. Hence, we only need to specify the interpretation giving the unary relations in  $\tau$  and an interpretation of dimension d has the simple form  $(\phi_1(\overline{x}_1), \ldots, \phi_l(\overline{x}_l))$ , where all tuples of variables have length d. We can then assume, without loss of generality, that they are all the same tuple  $\overline{x}$  and we thus write a formula with a string quantifier Q as

$$Q\overline{x}(\phi_1,\ldots,\phi_l).$$

Observe that a quantifier applied to an interpretation of dimension d will then bind d variables.

We say that an interpretation is *unary* if it has dimension 1. We now introduce some notation we use in the rest of the paper for various logics formed by combining particular choices of quantifiers and numerical predicates.

▶ **Definition 4.** For a set of quantifiers  $\mathfrak Q$  and numerical predicates  $\mathfrak N$ , we denote by  $(\mathfrak Q)[\mathfrak N]$  the logic constructed by extending quantifier-free first-order logic with the quantifiers in  $\mathfrak Q$  and allowing the numerical predicates in  $\mathfrak N$ .

We denote by FO the set of standard first-order quantifiers:  $\{\exists, \forall\}$ .

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For a singleton set of quantifiers  $\mathfrak{Q} = \{Q\}$ , we sometimes denote  $(\mathfrak{Q})[\mathfrak{N}]$  as  $(Q)[\mathfrak{N}]$ . We use similar notation for the sets of numerical predicates. We use  $\mathcal{L}((\mathfrak{Q})[\mathfrak{N}])$  to denote the languages expressible by the logic  $(\mathfrak{Q})[\mathfrak{N}]$ . We also use  $(\mathfrak{Q}_1)[\mathfrak{N}]$  to denote the logic obtained as a restriction of  $(\mathfrak{Q})[\mathfrak{N}]$  to formulas in which quantifiers in  $\mathfrak{Q}$  are only applied to interpretations of dimension 1.

All the logics we consider are substitution closed in the sense of [6]. This means in particular that if a quantifier Q is definable in a logic  $(\mathfrak{Q})[\mathfrak{N}]$ , then extending the logic with the quantifier Q does not add to its expressive power. This is because we can replace occurrences of the quantifier Q by its definition, with a suitable substitution of the interpretation for the relation symbols. Hence, if Q is definable in  $(\mathfrak{Q})[\mathfrak{N}]$ , then  $\mathcal{L}((\mathfrak{Q})[\mathfrak{N}]) = \mathcal{L}((\mathfrak{Q} \cup \{Q\})[\mathfrak{N}])$ .

A remark is due on our notation for numerical predicates. All structures we consider are ordered, including those defining the quantifiers. Thus the order predicate is implicitly present in the collection of numerical predicates  $\mathfrak N$  and is used (implicitly) to define the interpretations to a quantifier. We sometimes write  $(\mathfrak Q)[\varnothing]$  to indicate a logic in which this is the only use of the order that is allowed. By our choice of notation, the order symbol then does not appear explicitly in the syntax of the formulas.

## 2.3 Multiplication Quantifiers

The definition of multiplication quantifier has its origin in Barrington, Immerman, and Straubing [1, Section 5] where they were referred to as monoid quantifiers; the authors proved that the languages in DLogTime-uniform  $NC^1$  are exactly those expressible by first-order logic with quantifiers whose truth-value is determined via multiplication in a finite semigroup. The notion was extended by Lautemann et al. [12] to include quantifiers for the word problem over more general algebras with a binary operation. Multiplication quantifiers over a finite semigroup S can be understood as generalized quantifiers corresponding to languages recognized by S, and here we define them as such.

Fix a semigroup S, a set  $B \subseteq S$  and a positive integer k. Let  $\Sigma_k$  denote the set  $\{0,1\}^k$  which we think of as an alphabet of size  $2^k$ , and fix a function  $\gamma: \Sigma_k \to S$ . We extend  $\gamma$  to strings in  $\Sigma_k^+$  inductively in the standard way:  $\gamma(wa) = \gamma(w)\gamma(a)$ . Together these define a language

$$L_{\gamma}^{S,B} = \{ x \in \Sigma_k^* \mid \gamma(x) \in B \}.$$

We can now define a multiplication quantifier. In the following,  $S_L$  denotes the class of structures associated with a language L in the sense of Definition 3.

▶ **Definition 5.** Let  $\tau$  be a vocabulary including an order symbol < and k unary relations. For a semigroup S, a set  $B \subseteq S$ , a positive integer k and a function  $\gamma : \{0,1\}^k \to S$ , the multiplication quantifier  $\Gamma_{\gamma}^{S,B}$  is the Lindström quantifier associated with the class of structures  $\mathcal{S}_{L^{S,B}}$ .

We also write  $\Gamma_{d,\gamma}^{S,B}$  for the vectorization of this quantifier of dimension d. If B is a singleton  $\{s\}$ , then we often write  $\Gamma_{d,\gamma}^{S,s}$  for short.

Recall that  $U_1$  denotes the two-element semigroup  $\{0,1\}$  with standard multiplication. Then, it is easily seen that  $\Gamma_{1,\gamma}^{U_1,0}$ , where  $\gamma:\{0,1\}\to U_1$  such that  $\gamma(0)=1$  and  $\gamma(1)=0$ , is the standard existential quantifier. The universal quantifier can be defined similarly.

 $\triangleright$  **Definition 6.** For a semigroup S, we define the following collections of quantifiers:

$$\Gamma^S = \left\{ \Gamma^{S,B}_{l,\gamma} \mid B \subseteq S, \ \gamma : \{0,1\}^k \to S, \ and \ l,k \ge 1 \right\}$$

$$\Gamma_{l}^{S} = \left\{ \Gamma_{l,\gamma}^{S,B} \mid B \subseteq S \text{ and } \gamma : \{0,1\}^{k} \to S \right\}$$

$$\Gamma_{l,\gamma}^{S} = \left\{ \Gamma_{l,\gamma}^{S,B} \mid B \subseteq S \right\}$$

Finally, let  $\Gamma^{\mathrm{fin}}$  be the collection of all multiplication quantifiers over finite semigroups.

From [1, Corollary 9.1], we know that DLogTime-uniform  $NC^1$  is characterized by (FO)[+,  $\times$ ] equipped with finite multiplication quantifiers:

- Theorem 7 ([1]). DLogTIME-uniform  $NC^1 = \mathcal{L}((\Gamma^{fin})[+, \times])$ .
- Remark 8. In fact, simply allowing multiplication quantifiers for some fixed finite, non-solvable monoid suffices. The definition of "non-solvable monoid" is not needed for our proofs here but, for example, the *symmetric group of degree five*, denoted  $S_5$ , is a non-solvable monoid. Therefore, we know that DLOGTIME-uniform  $NC^1 = \mathcal{L}((FO \cup \Gamma^{S_5})[+, \times])$ .

In the absence of the arithmetic predicates for addition and multiplication, the logic of multiplication quantifiers over finite semigroups only allows us to define regular languages. Specifically, Barrington et al. [1] established that the regular languages are characterized by the logic using such quantifiers with only unary interpretations. We denote this logic  $(\Gamma_1^{\text{fin}})[<]$ ).

- **Theorem 9** ([1]). REG =  $\mathcal{L}((\Gamma_1^{\text{fin}})[<])$ .
- Later, Lautemann et al. [12, Theorem 5.1] showed that allowing interpretations of higher dimension to the quantifiers does not increase the expressive power when order is the only numerical predicate.
- Theorem 10. Reg =  $\mathcal{L}((\Gamma^{fin})[<])$ .

Our main technical result shows that this is true even in the presence of any numerical predicates and therefore  $\Gamma^{\text{fin}}$  can be replaced by  $\Gamma^{\text{fin}}_1$  even in Theorem 7.

#### 2.4 Typed Semigroups

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In this subsection, we review the definitions and results from [?, ?] on typed semigroups, their relationship to languages and corresponding characterizations of complexity classes.

A typed semigroup is a semigroup equipped with a collection of *types*, which form a Boolean algebra, and a set of *units*. We only deal with concrete Boolean algebras, given as collections of subsets of a fixed universe.

▶ Definition 11 (Boolean Algebra). A Boolean algebra over a set S is a set  $B \subseteq \wp(S)$  such that  $\varnothing, S \in B$  and B is closed under union, intersection, and complementation. If B is finite, we call it a finite Boolean algebra.

We call  $\varnothing$  and S the trivial elements (or in some contexts, the trivial types) of B.

A homomorphism between Boolean algebras is defined as standard. That is, if  $B_1$  and  $B_2$  are Boolean algebras over sets S and T, respectively, then we call  $h: B_1 \to B_2$  a homomorphism if  $h(\emptyset) = \emptyset$ , h(S) = T, and for all  $s_1, s_2 \in B_1$ ,  $h(s_1 \cap s_2) = h(s_1) \cap h(s_2)$ ,  $h(s_1 \cup s_2) = h(s_1) \cup h(s_2)$ , and  $h(s^C) = (h(s))^C$ . Now we are ready to define typed semigroups.

▶ **Definition 12** (Typed Semigroup). Let S be a semigroup, G a Boolean algebra over S, and E a finite subset of S. We call the tuple T = (S, G, E) a typed semigroup over S and the

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elements of G types and the elements of E units. We call S the base semigroup of T. If S is a monoid or group, then we may also call T a typed monoid or typed group, respectively.

If  $G = \{\emptyset, A, S - A, S\}$ , then we often abbreviate T as (S, A, E), i.e., the Boolean algebra is signified by an element, or elements, which generates it—in this case, A.

We say that a typed semigroup (S, G, E) is finite if S is.

We also need a notion of morphism between typed semigroups.

- Definition 13. A typed homomorphism  $h:(S,G,E)\to (T,H,F)$  of typed semigroups is a triple  $(h_1,h_2,h_3)$  where  $h_1:S\to T$  is a semigroup homomorphism,  $h_2:G\to H$  is a homomorphism of Boolean algebras, and  $h_3:E\to F$  is a mapping of sets such that the following conditions hold:
  - (i) For all  $A \in G$ ,  $h_1(A) = h_2(A) \cap h_1(S)$ .
  - (ii) For all  $e \in E$ ,  $h_1(e) = h_3(e)$ .

Note that  $h_3$  is redundant in the definition as it is completely determined by  $h_1$ . We retain it as part of the definition for consistency with [?].

To motivate the definitions, recall that a language  $L \subseteq \Sigma^*$  is recognized by a semigroup S if there is a homomorphism  $h: \Sigma^* \to S$  and a set  $B \subseteq S$  such that  $L = h^{-1}(B)$ . When the semigroup S is infinite, the languages recognized form a rather rich collection and we aim to restrict this in two ways. First, B cannot be an arbitrary set but must be an element of the algebra of types. Secondly, the homomorphism h must map the letters in  $\Sigma$  to units of the typed semigroup. Formally, we have the following definition.

▶ **Definition 14.** A typed semigroup T = (S, G, E) recognizes a language  $L \subseteq \Sigma^+$  if there exists a typed homomorphism from  $(\Sigma^+, L, \Sigma)$  to T. We let  $\mathcal{L}(T)$  denote the set of languages recognized by T.

When the base semigroup of a typed semigroup is finite, we recover the classical definition of a recognition. Hence, the languages recognized by finite typed semigroup are necessarily regular.

Proposition 15. If T is a finite typed semigroup, then  $\mathcal{L}(T) \subseteq \text{Reg.}$ 

We can now state the definitions of the key relationships between typed semigroups.

- **Definition 16.** Let (S, G, E) and (T, H, F) be typed semigroups.
- A typed homomorphism  $h = (h_1, h_2, h_3) : (S, G, E) \to (T, H, F)$  is injective (surjective, or bijective) if all of  $h_1$ ,  $h_2$ , and  $h_3$  are.
- (S, G, E) is a typed subsemigroup (or, simply, "subsemigroup" when context is obvious) of (T, H, F), denoted (S, G, E)  $\leq$  (T, H, F), if there exists an injective typed homomorphism  $h: (S, G, E) \rightarrow (T, H, F)$ .
- (S,G,E) divides (T,H,F), denoted  $(S,G,E) \leq (T,H,F)$ , if there exists a surjective typed homomorphism from a typed subsemigroup of (T,H,F) to (S,G,E).

These have the expected properties.

- ▶ Proposition 17 ([3]). Let  $T_1$ ,  $T_2$ , and  $T_3$  be typed semigroup.
- 287 Typed homomorphisms are closed under composition.
- Division is transitive: if  $T_1 \leq T_2$  and  $T_2 \leq T_3$ , then  $T_1 \leq T_3$ .
- If  $T_1 \leq T_2$ , then  $\mathcal{L}(T_1) \subseteq \mathcal{L}(T_2)$ .

We can formulate the notion of the *syntactic typed semigroup* of a language L as an extension of the syntactic semigroup of L with a minimal collection of types and units necessary.

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▶ **Definition 18.** Let T = (S, G, E) be a typed semigroup. A congruence  $\sim$  over S is a typed congruence over T if for every  $A \in G$  and  $s_1, s_2 \in S$ , if  $s_1 \sim s_2$  and  $s_1 \in A$ , then  $s_2 \in A$ .

For a typed congruence  $\sim$  over T, let

```
S'/\sim = \{[x]_{\sim} \mid x \in S'\} \text{ where } S' \subseteq S G/\sim = \{A/\sim \mid A \in G\} E/\sim = \{[x]_{\sim} \mid x \in E\}.
```

Then,  $T/\sim := (S/\sim, G/\sim, E/\sim)$  is the typed quotient semigroup of T by  $\sim$ .

Let  $\sim_T$  denote the typed congruence on T such that for  $s_1, s_2 \in S$ ,  $s_1 \sim_T s_2$  iff for all  $x, y \in S$  and  $A \in G$ ,  $xs_1y \in A$  iff  $xs_2y \in A$ . We then refer to the quotient semigroup  $T/\sim_T$  as the minimal reduced semigroup of T.

Recall that  $\sim_L$  is the syntactic congruence of L, defined in Definition 1.

Definition 19. For a language  $L \subseteq \Sigma^+$ , the syntactic typed semigroup of L, denoted  $\operatorname{syn}(L)$ , is the typed semigroup  $(\Sigma^+, L, \Sigma)/\sim_L$ .

We also get the canonical typed homomorphism,  $\eta_d:(\Sigma^+,L,\Sigma)\to syn(L)$  induced by the syntactic homomorphism of L.

We now turn to the relationship between the expressive power of logics with multiplication quantifiers and typed semigroups. A formal association is defined through the definition below.

Definition 20. For a multiplication quantifier  $Q = \Gamma_{\gamma}^{S,B}$  where  $\gamma : \{0,1\}^k \to S$ , we define the typed quantifier semigroup of Q, denoted S(Q), to be the syntactic typed semigroup of the language  $L_{\gamma}^{S,B}$ .

It turns out that we can give a purely structural characterization of those typed semigroups that are syntactic semigroups.

▶ Proposition 21 ([9]). A typed semigroup is the syntactic semigroup of a language if, and only if, it is reduced, generated by its units, and has four or two types.

In case it has just two types, then it only recognizes the empty language or the language of all strings.

We now want to state the formal connection between the languages expressible in a logicwith a collection of quantifiers and the corresponding class of typed semigroups. For this we need the notion of the *ordered strong block product closure* of a set of typed semigroups T, which we denote  $\operatorname{sbpc}_{<}(T)$ . The definition is technical and can be found in [9] and is also reproduced in the appendix for ease of reference.

From [9, Theorem 4.14], we then get the following relationship between logics and algebras:<sup>1</sup>

**Theorem 22.** Let  $\mathfrak{Q}$  be a collection of quantifiers and Q the corresponding set of typed quantifier semigroups for  $\mathfrak{Q}$ . Then,  $\mathcal{L}((\mathfrak{Q}_1)[<]) = \mathcal{L}(\operatorname{sbpc}_{<}(Q))$ .

The theorem in [9] is actually more general as it accounts for more predicates than just order; however, for our purposes, order alone suffices.

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## 3 Simplifying Multiplication Quantifiers

To use Theorem 22 to obtain an algebraic characterization of  $NC^1$ , we need to characterize this class in a logic with only unary quantifiers, i.e. where quantifiers are only applied to unary interpretations. Remark 8 gives us a characterization using first-order quantifiers and  $\Gamma^{S_5}$ . Our aim in this section is to show that we can eliminate the use of interpretations of dimension higher than 1 in this logic. As a first step, we show that we can restrict ourselves to quantifiers  $\Gamma^{S_5,s}_{\delta}$  for a fixed function  $\delta$ .

Lemma 23. For every finite semigroup S, there exists a function  $\delta: \{0,1\}^{|S|} \to S$  such that for every  $s \in S$  and  $\gamma: \{0,1\}^k \to S$ , the quantifier  $\Gamma_{\gamma}^{S,s}$  is definable in  $(\Gamma_{\delta}^{S,s})[\varnothing]$ .

Proof. Recall that  $\Gamma_{\gamma}^{S,s}$  is the class of structures  $\mathfrak A$  in a vocabulary  $\tau$  with one binary relation < and k unary relations  $R_1, \ldots, R_k$  such that  $\gamma(w_{\mathfrak A}) = s$  where  $w_{\mathfrak A}$  is the string associated with  $\mathfrak A$  as in Def. 2.

Let c = |S|, fix an enumeration  $\{s_1, \ldots, s_c\}$  of S, and let z be an arbitrary element of S. Let  $\delta : \{0,1\}^c \to S$  be the function where  $\delta(w) = s_i$  if w is the one-hot encoding of i and  $\delta(w) = z$  otherwise (that is, if the number of occurrences of the symbol 1 in the string w is not exactly one).

For each  $t \in S$ , define the formula  $\psi_t(x)$  as follows:

$$\psi_t(x) := \bigvee_{w \in \{0,1\}^k: \gamma(w) = t} \left( \bigwedge_{i \in [k]: w_i = 1} R_i(x) \wedge \bigwedge_{i \in [k]: w_i = 0} \neg R_i(x) \right).$$

It is easy to see that in a  $\tau$ -structure  $\mathfrak{A}$ , we have  $\mathfrak{A} \models \psi_t[a]$  if, and only if, the ath element of  $w_{\mathfrak{A}}$  is mapped by  $\gamma$  to t. Thus, in particular, the formulas  $\psi_{s_1}, \ldots, \psi_{s_c}$  define disjoint sets that partition the universe of  $\mathfrak{A}$ . We now claim that the quantifier  $\Gamma_{\gamma}^{S,s}$  is defined by the formula:

$$\Gamma^{S,s}_{\delta}(\psi_{s_1}\ldots,\psi_{s_c}).$$

To see this, let I denote the unary interpretation  $(\psi_{s_1}, \dots, \psi_{s_c})$  so that  $w_{I(\mathfrak{A})}$  is a string over  $\{0,1\}^c$ . Moreover, by the fact that the sets defined by the formulas  $\psi_{s_1}, \dots, \psi_{s_c}$  partition  $|\mathfrak{A}|$  it follows that each letter of  $w_{I(\mathfrak{A})}$  is a vector in  $\{0,1\}^c$  with exactly one 1. Indeed, the a element of  $w_{I(\mathfrak{A})}$  is the one-hot encoding of i precisely if  $\mathfrak{A} \models \psi_{s_i}[a]$ . Since  $\delta$  takes the one-hot encoding of i to  $s_i$ , we have for any  $a \in |\mathfrak{A}|$ 

$$\delta((w_{I(\mathfrak{A})})_a) = s_i$$
351 iff  $\mathfrak{A} \models \psi_i[a]$ 
352 iff  $\gamma((w_{\mathfrak{A}}))_a) = s_i$ .

Hence,  $\delta(w_{I(\mathfrak{A})}) = \gamma(w_{\mathfrak{A}})$  and therefore  $I(\mathfrak{A}) \in \Gamma_{\delta}^{S,s}$  if, and only if  $\mathfrak{A} \in \Gamma_{\gamma}^{S,s}$  as required.

We now prove that having quantifiers binding only one variable is sufficient:

Theorem 24. For every finite semigroup S, there exists a function  $\delta: \{0,1\}^c \to S$  such that for every  $s \in S$ ,  $d \in \mathbb{N}$ , and  $\gamma: \{0,1\}^k \to S$ , the quantifier  $\Gamma^{S,s}_{d,\gamma}$  is definable in  $(\Gamma^S_{1,\delta})[\varnothing]$ .

**Proof.** Let  $S = \{s_1, \ldots, s_c\}$  be an arbitrary finite semigroup and let  $\delta : \{0, 1\}^c \to S$  be constructed as done in Lemma 23. Let  $d \in \mathbb{N}$  and  $\gamma : \{0, 1\}^k \to S$  be arbitrary and let  $\tau = \{P_1^{(d)}, \ldots, P_k^{(d)}\}$  be a relational vocabulary. Finally, for each  $s \in S$ , let

$$\Phi_1^s := \Gamma_{d,\gamma}^{S,s} \overline{x}(P_1 \overline{x}, \dots, P_k \overline{x})$$

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and  $I_{\gamma}$  the interpretation of  $\Phi_1^s$ . We want to show that for each  $s \in S$ , there exists a  $\tau$ -sentence  $\Phi_2^s$  in  $(\Gamma_{1\delta}^S)[\varnothing]$  such that  $\operatorname{Mod}(\Phi_1^s) = \operatorname{Mod}(\Phi_2^s)$ .

We will approach this by taking our multiplication quantifier of dimension d and "unpacking" it into a nesting of quantifiers of dimension one, with quantifier depth d. The evaluation of a d-dimensional quantifier may be viewed as being factored through the evaluation of each successive level of nesting. For example, a multiplication quantifier  $\Gamma_{2,\gamma}^{S,s}$  with interpretation I is evaluated in a structure  $\mathfrak{A}$  and assignment  $\alpha$  by checking whether  $\gamma(w_{I(\mathfrak{A},\alpha)})=s$ . Because the quantifier has dimension two, the length of  $w_{I(\mathfrak{A},\alpha)}$  is  $||\mathfrak{A}||^2$ . Instead of applying  $\gamma$  to the entire tuple, we may first apply  $\gamma$  to each consecutive  $|\mathfrak{A}|$ -length subword to obtain  $|\mathfrak{A}|$ elements of S which may then be multiplied together to obtain our result. Our outermost quantifier performs the multiplication of the  $|\mathfrak{A}|$  elements, i.e., the intermediate results, while the innermost quantifier performs the multiplication of the elements of each subword. We will pass the intermediate result from the innermost quantifier to the outermost by encoding the result in the evaluation of the outermost quantifier's tuple of formulas. Because we don't know which element of S the application of  $\gamma$  to the subword will be, we need to ensure our tuple of large enough to encode any possible element of S. Thus, by fixing the tuple size using the same encoding as Lemma 23, we may then pass the intermediate result of the innermost quantifier's multiplication to the outermost quantifier. We now go into the details of this construction.

We proceed by induction on the dimension d. If d=1, then the result follows from Lemma 23. Thus, assume that for each  $s \in S$ ,

$$\Gamma_{d-1,\gamma}^{S,s}$$
 is definable in  $(\Gamma_{1,\delta}^S)[\varnothing]$ . (I.H.)

We now show that for each  $s \in S$ ,  $\Gamma_{d,\gamma}^{S,s}$  is definable in  $(\Gamma_{1,\delta}^S)[\varnothing]$ .

Let  $s \in S$  be arbitrary. We now construct a sentence  $\Phi^s$  and prove that  $\operatorname{Mod}(\Phi_1^s) = \operatorname{Mod}(\Phi^s)$ ; we will then use the inductive hypothesis to convert  $\Phi^s$  into a sentence  $\Phi_2^s$  in  $(\Gamma_{1,\delta}^S)[\varnothing]$  such that  $\operatorname{Mod}(\Phi^s) = \operatorname{Mod}(\Phi_2^s)$ . Let

$$\Phi^s := \Gamma_{1,\delta}^{S,s} x_1(\theta_1(x_1), \dots, \theta_c(x_1))$$

389 where

$$\theta_i(x_1) := \Gamma_{d-1,\gamma}^{S,s_i} x_2 \dots x_d(P_1 x_1 x_2 \dots x_d, \dots, P_k x_1 x_2 \dots x_d)$$

Let  $\mathfrak{A}$  be an arbitrary  $\tau$ -structure and  $\alpha$  a variable assignment. Let  $I_{\delta}$  be the interpretation of  $\Phi^s$  and  $I_{\gamma}^i$  denote the interpretation of  $\theta_i$ . To show that  $\operatorname{Mod}(\Phi_1^s) = \operatorname{Mod}(\Phi^s)$ , we will show that  $\gamma(w_{I_{\gamma}(\mathfrak{A},\alpha)}) = \delta(w_{I_{\delta}(\mathfrak{A},\alpha)})$ .

First, note that  $w_{I_{\gamma}(\mathfrak{A},\alpha)}$  is of length  $||\mathfrak{A}||^d$  while  $w_{I_{\delta}(\mathfrak{A},\alpha)}$  is of length  $||\mathfrak{A}||$ . Also, by construction of  $\theta_1,\ldots,\theta_c$ , we get that

for every 
$$a \in |\mathfrak{A}|$$
, if  $\theta_i^{\mathfrak{A},\alpha}[a] = \theta_i^{\mathfrak{A},\alpha}[a] = 1$ , then  $i = j$ 

since each  $\theta_i$  will perform the same multiplication within S during evaluation but each  $\theta_i$  will check if the product is equal to a different  $s_i$ . Then, for every  $a \in [||\mathfrak{A}||]$  and  $s_i \in S$ ,

$$\delta((w_{I_{\delta}(\mathfrak{A},\alpha)})_{a}) = s_{i}$$

$$\text{iff } \delta(\theta_{1}^{\mathfrak{A},\alpha}[a] \circ \cdots \circ \theta_{c}^{\mathfrak{A},\alpha}[a]) = s_{i} \qquad \text{by definition of } w_{I_{\delta}(\mathfrak{A},\alpha)}$$

$$\text{iff } \theta_{i}^{\mathfrak{A},\alpha}[a] = 1 \qquad \text{by construction of } \delta \text{ and } (\star)$$

$$\text{iff } \gamma(w_{I_{\alpha}^{i}(\mathfrak{A},\alpha[a/x_{1}])}) = s_{i} \qquad \text{by definition of } \theta_{i}$$

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Because s_i was arbitrary, we get that
            \delta((w_{I_{\delta}(\mathfrak{A},\alpha)})_a) = \gamma(w_{I_{\bullet}^i(\mathfrak{A},\alpha[a/x_1])})
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      and, therefore,
                \mathfrak{A} \models \Phi^s [\alpha]
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            iff w_{I_{\delta}(\mathfrak{A},\alpha)} \in L_{\delta}^{S,s}
                                                                                                            by definition of \Gamma_{d,\delta}^{S,s}
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                                                                                                            by definition of L_{\delta}^{S,s}
            iff \delta(w_{I_{\delta}(\mathfrak{A},\alpha)}) = s
                  \prod \delta((w_{I_{\delta}(\mathfrak{A},\alpha)})_a) = s
                                                                                            because \delta is a homomorphism
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                 \prod_{1 \leq a \leq ||\mathfrak{A}||} \gamma(w_{I^i_{\gamma}(\mathfrak{A},\alpha[a/x_1])}) = s
                                                                                           by above, where i is s.t. s_i = s
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                  \prod_{\gamma \in W_{I_{\gamma}(\mathfrak{A},\alpha[a/x_1])}} \gamma(w_{I_{\gamma}(\mathfrak{A},\alpha[a/x_1])}) = s
                                                                               by definition of I_{\gamma} and I_{\gamma}^{i}, and s_{i} = s
411
                                                                                           because \gamma is a homomorphism
            iff \gamma(w_{I_{\gamma}(\mathfrak{A},\alpha)}) = s
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                                                                                                            by definition of L_{\gamma}^{S,s}
            iff w_{I_{\gamma}(\mathfrak{A},\alpha)} \in L_{\gamma}^{S,s}
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                                                                                                            by definition of \Gamma_{d,\gamma}^{S,s}
            iff \mathfrak{A} \models \Phi_1^s [\alpha]
414
      so \operatorname{Mod}(\Phi_1^s) = \operatorname{Mod}(\Phi^s).
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      By the I.H., we know that each quantifier \Gamma_{d-1,\gamma}^{S,s_i} is definable in (\Gamma_{1,\delta}^S)[\varnothing]. Therefore, we know that for each \theta_i, there exists a formula \theta_i' in (\Gamma_{1,\delta}^S)[\varnothing] such that \operatorname{Mod}(\theta_i) = \operatorname{Mod}(\theta_i').
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      Thus, we can construct a sentence \Phi_2^s by replacing each \theta_i in \Phi^s with \theta_i'; we immediately get
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      that \operatorname{Mod}(\Phi^s) = \operatorname{Mod}(\Phi^s_2). Therefore, we have constructed a sentence \Phi^s_2 in (\Gamma^s_{1,\delta})[\varnothing] such
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      that \operatorname{Mod}(\Phi_1^s) = \operatorname{Mod}(\Phi_2^s). Since s \in S was arbitrary, this completes the inductive step.
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            All together, we get that for every d \in \mathbb{N}, \gamma : \{0,1\}^k \to S, and s \in S, the quantifier \Gamma_{l,\gamma}^{S,s}
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      is definable in (\Gamma_{1,\delta}^S)[\varnothing].
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      ▶ Corollary 25. For every finite semigroup S, there exists a function \delta: \{0,1\}^c \to S such
      that for any set of quantifiers \mathfrak{Q} and set of numerical predicates \mathfrak{N},
425
            \mathcal{L}((\mathfrak{Q} \cup \Gamma^S)[\mathfrak{N}]) = \mathcal{L}((\mathfrak{Q} \cup \Gamma^S_{1 \ \delta})[\mathfrak{N}])
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      ▶ Remark 26. Because we are considering finite semigroups, we can always take disjunctions
      of the multiplication quantifiers which check if the product is equal to a single element of a
      semigroup in order to define multiplication quantifiers which check if the product is equal to
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      any element of a specified subset of a semigroup.
430
      ▶ Remark 27. Note that for a finite semigroup S, while \Gamma^S and \Gamma_1^S are infinite sets, \Gamma_{1,\delta}^S is a
      finite set.
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            Therefore, this gives us a logic characterizing DLogTime-uniform NC<sup>1</sup> which not only
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      uses unary quantifiers but also only has a finite number of quantifiers:
      ▶ Corollary 28. There exists a \delta : \{0,1\}^k \to S_5 such that
435
            DLogTime-uniform NC^1 = \mathcal{L}((FO \cup \Gamma_{1,\delta}^{S_5})[+,\times])
436
      This will simplify our construction of an algebra capturing DLogTime-uniform NC<sup>1</sup>.
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Moreover, this theorem serves as an alternative proof of Theorem 10 ([12, Theorem 5.1])

which, unlike the original proof, does not rely on the use of automata:

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Corollary 29. Reg = \mathcal{L}((\Gamma_1^{\mathrm{fin}})[<]) = \mathcal{L}((\Gamma_1^{\mathrm{fin}})[<]).
and, furthermore, resolves an open question from [12]:

Corollary 30. \mathcal{L}((\Gamma_1^{\mathrm{fin}})[+,\times]) = \mathcal{L}((\Gamma_1^{\mathrm{fin}})[+,\times])
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## 4 The Algebraic Characterization

Now that we have a first-order logic with only quantifiers containing interpretations of unary dimension capturing DLogTime-uniform NC<sup>1</sup>, we are closer to applying Theorem 22 to construct an algebra for it. We now just need to convert the logic to a form whose only numerical predicate is < without introducing quantifiers of a higher dimension.

To do this, we follow what was done for the construction of an algebra for  $TC^0$ . First, we note that the quantifier Maj is true if the majority of the assignments to the bound variable satisfy the formula and the quantifier Sq which is true if the number of assignments to the bound variable satisfying the formula is a positive square number. In the below, we always assume the use of unary interpretations with these quantifiers.

The following lemma displays some known results about the expressiveness of these quantifiers:

#### ▶ Lemma 31.

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- (i) Maj is definable in  $(\Gamma^{fin})[+, \times]$ . (cf. [1])
- (ii) The quantifiers in FO are definable in (Maj)[<]. ([11, Theorem 3.2])
- (iii) The numerical predicate + is definable in (Maj)[<]. ([11, Theorem 4.1])
- (iv) The numerical predicate  $\times$  is definable in ({Maj,Sq})[<] and Sq is definable in (Maj)[<, +,  $\times$ ]. (cf. [14, Theorem 2.3.f] and [10, Section 2.3])

Bringing everything together, we get the following algebraic characterization of DLogTIME-uniform NC<sup>1</sup>:

#### ▶ Theorem 32.

```
DLogTime-uniform NC<sup>1</sup> = \mathcal{L}(\operatorname{sbpc}_{<}(\{(\mathbb{Z},\mathbb{Z}^+,\pm 1),(\mathbb{N},\mathbb{S},\{0,1\}),(S_5,\wp(S_5),S_5)\})).
```

**Proof.** Let  $\delta: \{0,1\}^c \to S_5$  be as it was defined in Lemma 23. It is easy to see that the typed quantifier semigroup for Maj is  $(\mathbb{Z}, \mathbb{Z}^+, \pm 1)$ , for Sq is  $(\mathbb{N}, \mathbb{S}, \{0,1\})$ , and for  $\Gamma_{1,\delta}^{S_5,s}$  is  $(S_5, \{s\}, S_5)$ . Then,

```
DLogTime-uniform NC^1 = \mathcal{L}((FO \cup \Gamma^{S_5})[+, \times]) via [1]
467
                                                                 =\mathcal{L}((\mathrm{FO} \cup \Gamma_{1,\delta}^{S_5})[+,\times]) via Corollary 28
468
                                                                 = \mathcal{L}((\Gamma_{1.\delta}^{S_5} \cup \{\text{Maj}, \text{Sq}\})[<]) via Lemma 31
                                                                 = \mathcal{L}(\operatorname{sbpc}_{\sim}(\{(\mathbb{Z}, \mathbb{Z}^+, \pm 1), (\mathbb{N}, \mathbb{S}, \{0, 1\})\})
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                                                                                                      \cup \{(S_5, s, S_5) \mid s \in S_5\}))
471
                                                                         via Theorem 22
472
                                                                 = \mathcal{L}(\operatorname{sbpc}_{\leq}(\{(\mathbb{Z}, \mathbb{Z}^+, \pm 1), (\mathbb{N}, \mathbb{S}, \{0, 1\}), (S_5, \wp(S_5), S_5)\}))
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                                                                         since \forall s \in S_5, (S_5, s, S_5) \leq (S_5, \wp(S_5), S_5)
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                                                                         and \mathcal{L}((S_5, \wp(S_5), S_5)) \subseteq \text{Reg} \subseteq \text{DLT-uniform NC}^1
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## 5 Conclusion

8 /TODO/:

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## A Strong Block Product Closure

## A.1 Weakly Closed Classes

- ▶ **Definition 33** (Direct Product of Semigroups). The direct product of two semigroups  $(S, \cdot_S)$  and  $(T, \cdot_T)$  is the semigroup  $(S \times T, \cdot)$  where  $(s_1, t_1) \cdot (s_2, t_2) = (s_1 \cdot_S s_2, t_1 \cdot_T t_2)$ .
- ▶ **Definition 34** (Direct Product of Boolean Algebras). We define the direct product of Boolean algebras  $B_1$  and  $B_2$ , denoted  $B_1 \times B_2$ , to be the Boolean algebra generated by the set  $\{A_1 \times A_2 \mid A_1 \in B_1 \text{ and } A_2 \in B_2\}.$ 
  - ▶ **Definition 35** (Direct Product of Typed Semigroups).
- The direct product  $(S, G, E) \times (T, H, F)$  is the typed semigroup  $(S \times T, G \times H, E \times F)$ .

- ▶ **Definition 36** (Trivial Extension). If there exists a surjective typed homomorphism from (S, G, E) to (T, H, F), then we say that (S, G, E) is a trivial extension of (T, H, F).
- **Definition 37** (Weakly Closed Class). We call a set of typed semigroups T a weakly closed class if it is closed under
- = Division: If  $(S, G, E) \in T$  and  $(S, G, E) \preceq (T, H, F)$ , then  $(T, H, F) \in T$ .
- Direct Product: If  $(S, G, E), (T, H, F) \in T$ , then  $(S, G, E) \times (T, H, F) \in T$ .
- Trivial Extension: If (S, G, E) is a trivial extension of (T, H, F) and  $(T, H, F) \in T$ , then  $(S, G, E) \in T$ .
- We write wc(T) to denote the smallest weakly closed set of typed semigroups containing T.

#### 29 A.2 The Block Product

The block product will be our main tool for the construction of algebraic characterizations of language classes via logic.<sup>2</sup> We now build up to its definition:

▶ **Definition 38** (Left and Right Actions). A left action  $\star_l$  of a semigroup  $(N, \cdot)$  on a semigroup (M, +) is a function from  $N \times M$  to M such that for  $n_1, n_2 \in N$  and  $m_1, m_2 \in M$ ,

```
534 n \star_l (m_1 + m_2) = n \star_l m_1 + n \star_l m_2
535 (n_1 \cdot n_2) \star_l m = n_1 \star_l (n_2 \star_l m)
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The right action  $\star_r$  of  $(N,\cdot)$  on (M,+) is defined dually. We say that left and right actions of  $(N,\cdot)$  on (M,+) are compatible if for all  $n_1,n_2\in N$  and  $m\in M$ ,

$$(n_1 \star_l m) \star_r n_2 = n_1 \star_l (m \star_r n_2).$$

When clear from context, we may simply write nm for  $n \star_l m$  and mn for  $m \star_r n$ .

▶ **Definition 39** (Two-sided Semidirect Product). For a pair of compatible left and right actions,  $\star_l$  and  $\star_r$  of  $(N,\cdot)$  on (M,+), the two-sided (or bilateral) semidirect product of (M,+) and  $(N,\cdot)$  with respect to  $\star_l$  and  $\star_r$  is the semigroup  $(M\times N,\circ)$  where for  $(m_1,n_1),(m_2,n_2)\in M\times N$ ,

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(m_1, n_1) \circ (m_2, n_2) = (m_1 n_2 + n_1 m_2, n_1 \cdot n_2).
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- ▶ Definition 40 (Block Product). The block product of  $(M, \cdot_M)$  with  $(N, \cdot_N)$ , denoted  $M \square N$ , is the two-sided semidirect product of  $(M^{N^1 \times N^1}, +)$  and  $(N, \cdot)$  with respect to the left and right actions  $\star_l$  and  $\star_r$  where for  $f, g \in M^{N^1 \times N^1}$  and  $n, n_1, n_2 \in N^1$ ,
- $= (M^{N^1 \times N^1}, +)$  is the monoid of all functions from  $N^1 \times N^1$  to M under componentwise product +:

$$(f+g)(n_1,n_2) = f(n_1,n_2) \cdot_M g(n_1,n_2).$$

The left action  $\star_l$  of  $(N,\cdot)$  on  $(M^{N^1\times N^1},+)$  is defined by

$$(n \star_l f)(n_1, n_2) = f(n_1 \cdot_N n, n_2).$$

The right action  $\star_r$  of  $(N,\cdot)$  on  $(M^{N^1\times N^1},+)$  is defined by

$$(f \star_r n)(n_1, n_2) = f(n_1, n \cdot_N n_2).$$

<sup>&</sup>lt;sup>2</sup> Historically, the "wreath product" was first used for this purpose. Since [13], however, the block product has been the preferred and easier-to-work-with tool of choice.

## A.3 The Typed Block Product

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- Definition 41 (Typed Block Product). Let (S,G,E) and (S',G',E') be typed semigroups and  $C \subseteq S'$  be a finite set. Then, the typed block product with C of (S,G,E) and (S',G',E'), denoted  $(S,G,E) \boxdot_C (S',G',E')$ , is the typed semigroup (T,H,F) where (1)  $T \le S \Box S'$  such that T is generated by the elements (f,s') such that (a)  $s' \in E' \cup C$  and
  - (a)  $s \in E \cup C$  and (b)  $f \in E^{S'^1 \times S'^1}$  such that for  $b_1, b_2, b_3, b_4 \in S'$ , if for all  $c \in C$  and all  $A' \in G'$ ,  $b_1cb_2 \in A'$  iff  $b_3cb_4 \in A'$ , then  $f(b_1, b_2) = f(b_3, b_4)$ ,
  - (2)  $H = \{\{(f,s) \mid f(1,1) \in A\} \mid A \in G\}$  where 1 is the identity of  $S'^1$ ,
  - (3) and  $F = \{(f, s') \mid (f, s) \text{ is a generator of } T \text{ and } s' \in E'\}.$
- ▶ **Definition 42.** Because the typed semigroup corresponding to the order predicate will be 557 a very common, it is convenient to define an ordered typed block product,  $(S, G, E) \boxtimes_C$ 558 (S', G', E') which will help simplify our algebraic representations whose numerical predicates 559 only include order; this is defined the same as the typed block product above but with a change 570 to condition (1)(b):
  - (1)(b<sub><</sub>) f ∈ E<sup>S'¹×S'¹</sup> such that for b<sub>1</sub>, b<sub>2</sub>, b<sub>3</sub>, b<sub>4</sub> ∈ S', if for all c ∈ C and all A' ∈ G',
    (i) b<sub>1</sub>cb<sub>2</sub> ∈ A' iff b<sub>3</sub>cb<sub>4</sub> ∈ A',
    (ii) b<sub>1</sub>c ∈ A' iff b<sub>3</sub>c ∈ A',
    (iii) and cb<sub>2</sub> ∈ A' iff cb<sub>4</sub> ∈ A',
    then f(b<sub>1</sub>, b<sub>2</sub>) = f(b<sub>3</sub>, b<sub>4</sub>).
  - ightharpoonup Definition 43. For a set of typed semigroups W, we let

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W_0 = \operatorname{wc}(W)
model{model} W_0 = \operatorname{wc}(W)
model{model} W_k = \{S_1 \boxdot_C S_2 \mid S_1 \in W_0, \ S_2 \in W_{k-1}, \ and \ finite \ C \subseteq S_2 \}
model{model} W_k^< = \{S_1 \boxtimes_C S_2 \mid S_1 \in W_0, \ S_2 \in W_{k-1}^<, \ and \ finite \ C \subseteq S_2 \}
model{model} W_k^< = \{S_1 \boxtimes_C S_2 \mid S_1 \in W_0, \ S_2 \in W_{k-1}^<, \ and \ finite \ C \subseteq S_2 \}
model{model} W_k = \{b_k \boxtimes_C W_k = b_k \boxtimes_K W_k \}
model{model} Strong block \ product \ closure \ of \ W_k \ denoted \ sbpc(W) \ (sbpc_<(W)), \ as \ sbpc_<(W) = b_k \boxtimes_K W_k \}
model{model} Strong \ block \ product \ closure \ of \ W_k \ denoted \ sbpc(W) \ (sbpc_<(W)), \ as \ sbpc_<(W) = b_k \boxtimes_K W_k \}.
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