

# 1 Characterizing $\text{NC}^1$ with Typed Semigroups

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## 1 Introduction

We call classes of languages like P and NP *complexity classes* because the historically predominant approach to defining them is via the medium of a machine together with a complexity measure. Likewise, we favor the nomenclature of *formal languages* for the classes of regular and context-free languages because of the historical context in which they first gained wide-spread attention, i.e., the study of formal grammars. Ultimately, however, all these classes are simply classes of languages and there exist possible worlds where, for example, the regular languages would be predominantly called a complexity class, P an ‘automaton class’, and NP a ‘logic class’.<sup>1</sup> These different media of recognition, i.e., the mathematical objects we use to carve out classes of languages, simply provide us different ways to characterize the same abstract objects. Therefore, in being different characterizations, they, provide different insights into the nature of their respective classes and tools with which to study them.

Historically, the three main alternative<sup>2</sup> characterizations of classes of regular languages used by researchers during the early study of formal language theory were those via automata, logics, and algebras. The automata/machine-based approaches to language recognition had the benefits of being intuitive to understand from the algorithm construction, programming, and systems building perspectives. The logic-based approaches helped us break down the structure of languages into their component parts because of the use of quantifiers, numerical predicates, and logical connectives. Finally, the algebra-based approaches helped us understand the deeper structure of the languages.

The algebraic approach, known as *algebraic automata theory* or *Krohn-Rhodes theory*, characterized classes of regular languages with the languages recognized by classes of finite semigroups via homomorphisms. This approach to the study of languages proved to be a powerful tool for with it, we found success in proving results where other approaches, such as those via machines or logics, had either failed completely or, at the very least, failed to easily generalize.<sup>3</sup> However, with finite semigroups, we limit ourselves to the study of classes of regular languages.

Research continued in the 1970s and after for providing logics and machines which characterized classes of languages well beyond the regular languages—e.g., P, NP, PH, etc.—yet research into the construction of algebras characterizing these classes did not. In fact, it would not be until the 2000s that the algebraic approach to representing classes of languages would finally be properly generalized by the use of *typed semigroups* to robustly handle non-regular language classes [3, 4, 11, 10, 5]. Still, however, we have yet to construct algebraic characterizations<sup>4</sup> of classes any larger than DLOGTIME-uniform TC<sup>0</sup>.

<sup>1</sup> In [9], Hennie proved that linear-time-bounded single-tape Turing machines recognize exactly the regular languages. In [6], Cook provides an automata theoretic definition of P, proving that the languages recognized by multi-head two-way pushdown automata are exactly those in P; this provided a machine-based definition of P without any reference to complexity measures. In [8], Fagin proved that the languages expressible by existential second-order logic are exactly those in NP.

<sup>2</sup> Alternative to the use of regular expressions and grammars.

<sup>3</sup> A long-standing open question was whether there existed an effective procedure for determining whether a give regular expression recognized a star-free language or not. An answer eluded researchers completely until the star-free regular languages were characterized via algebra; once they were, an algorithm followed naturally from the work. Also, when it came to separating subclasses of regular languages, often game-like techniques were used, i.e., Ehrenfeucht-Fraïssé games, but these methods were often difficult to transfer from one subclass to another; with algebra, however, separations typically just fell-out immediately as a result of Eilenberg’s Correspondence Theorem [7] and the study of the syntactic semigroup.

<sup>4</sup> To be clear, by “algebraic approach” and “algebraic characterizations” here, we do not mean algebraic

In this work, we take the first steps towards constructing algebraic characterizations of more non-regular language classes by constructing one for DLOGTIME-uniform NC<sup>1</sup>. The core of the proofs reduces to answering an open question from the work of [13] regarding the expressive power of first-order logic over strings extended with arithmetic predicates and what Barrington, Immerman, and Straubing refer to as generalized quantifiers [2]—or as we more generally refer to them here, multiplication quantifiers. Specifically, we prove that the expressive power over strings of a logic (regardless of the numerical predicates or quantifiers in use) extended with multiplication quantifiers binding one variable is the same as if it was extended with multiplication quantifiers binding multiple variables. Combined with results from [3, 10], we obtain our main result.

In Section 2, we cover the relevant background material on semigroup theory, typed semigroups, and multiplication quantifiers. In Section 3, we prove our intermediate results regarding the expressive power of multiplication quantifiers. In Section 4, we prove our main result providing an algebraic characterization of DLOGTIME-uniform NC<sup>1</sup>. Finally, in Section 5, we conclude and outline future work.

## 2 Preliminaries

We assume familiarity with the basic concepts of formal language theory, automata theory, complexity theory, and finite model theory. We do not assume familiarity with algebraic automata theory or algebra in general.

In Section 2.1, we clarify the standard notations and conventions used in this report. In Section 2.2, we cover the necessary background material on semigroups and groups. In Section 2.3.2, we cover logic and multiplication quantifiers. In Section 2.4, we cover the algebraic approach to the recognition of languages via typed semigroups needed for Section 4.

### 2.1 Notations and Conventions

► **Definition 1.** We let  $[n] = \{1, \dots, n\}$ .

► **Definition 2.**

■  $\mathbb{Z}$  denotes the set of integers.

■  $\mathbb{N}$  denotes the set of natural numbers, including 0.

■  $\mathbb{Z}^+$  denotes the set of positive integers.

■  $\mathbb{S}$  denotes the set of positive square integers.

► **Definition 3.** For a tuple  $t$ , we denote by  $\pi_i(t)$  the  $i^{\text{th}}$  element of  $t$ .

► **Definition 4.** A one-hot encoding of an integer  $i \in [n]$  is a length  $n$  binary string  $b$  such that  $b_j = 1$  if  $j = i$  and  $b_j = 0$  otherwise. For example, the one-hot encoding of  $3 \in [5]$  is 00100.

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geometry, arithmetic circuits, VP vs VNP, or anything that is typically referred to today as algebraic complexity theory (cf. [16, Ch. 12]). Neither should one confuse “algebra” here with the portmanteau “algebraization” (cf. [1]). Unless otherwise stated, we are only referring to algebra as it is understood in the older field of algebraic automata theory.

## 2.2 Semigroup and Group Theory

► **Definition 5** (Semigroups, Monoids, and Groups). A semigroup  $(S, \cdot)$  is a set  $S$  closed under an associative binary operation  $\cdot : S \times S \rightarrow S$ . We call a semigroup finite if  $S$  is finite. Context permitting, we may refer to a semigroup  $(S, \cdot)$  simply by its underlying set  $S$ .

A monoid  $(M, \cdot)$  is a semigroup with an element  $1 \in M$  such that for all  $m \in M$ ,  $1 \cdot m = m \cdot 1 = m$ . We call  $1$  the identity or neutral element of  $M$ . For a semigroup  $S$ , we denote by  $S^1$ , the monoid generated by  $S$ ; i.e.,  $S = S^1$  if  $S$  is a monoid or, otherwise, we introduce a new element  $1$  to  $S$  and define it to be the identity.

A group  $(G, \cdot)$  is a monoid with the additional property that for every  $g \in G$ , there exists an element  $g^{-1} \in G$  such that  $g \cdot g^{-1} = g^{-1} \cdot g = 1$ . We call  $g^{-1}$  the inverse of  $g$ .

► **Remark 6.** Observe that all groups are monoids and all monoids are semigroups.

► **Remark 7.** If  $\mathbb{Z}$  or  $\mathbb{N}$  is referred to as a semigroup, then we assume the operation to be the usual addition unless stated otherwise.

► **Definition 8.** For a semigroup  $(S, \cdot_S)$ , we say that a set  $G \subseteq S$  generates  $S$  if  $S$  is equal to the closure of  $G$  under  $\cdot_S$ ; we denote this by  $S = \langle G \rangle_{\cdot_S}$ , or, simply,  $\langle G \rangle$  if the operation is clear from context, and call  $G$  a generating set of  $S$ . We say that  $S$  is finitely generated if there exists a finite generating set of  $S$ .

► **Remark 9.** Unless otherwise stated, we assume that all semigroups are finitely generated.

► **Definition 10.** A semigroup homomorphism  $h : S \rightarrow T$  is a function from a semigroup  $(S, \cdot_S)$  to a semigroup  $(T, \cdot_T)$  such that for all  $s_1, s_2 \in S$ ,  $h(s_1 \cdot_S s_2) = h(s_1) \cdot_T h(s_2)$ .

► **Definition 11.**  $U_1$  is the monoid over  $\{0, 1\}$  with multiplication defined as usual.

► **Definition 12.** For a set  $S$ , we denote by  $S^+$  the set of non-empty strings over  $S$  and by  $S^*$  the set of all strings over  $S$ . We call  $S^+$  ( $S^*$ ) the free semigroup (monoid) over  $S$  and will denote string concatenation by either  $\circ$  or simply juxtaposition.

► **Definition 13.** We say that a semigroup  $(S, \cdot)$  is cancellative if for  $a, b, c \in S$ , (1) if  $a \cdot b = a \cdot c$ , then  $b = c$  and (2) if  $b \cdot a = c \cdot a$ , then  $b = c$ .

► **Proposition 14.** Every group is a cancellative semigroup.

► **Definition 15** (Congruence, Quotient Semigroup, Canonical Homomorphism). A congruence on a semigroup  $(S, \cdot)$  is an equivalence relation  $\sim$  on  $S$  such that for all  $a, b, c, d \in S$ , if  $a \sim b$  and  $c \sim d$ , then  $a \cdot c \sim b \cdot d$ . We denote by  $S/\sim$  the set of equivalence classes of  $\sim$  on  $S$ . We denote by  $[a]_\sim$ , or simply  $[a]$ , the equivalence class of  $a \in S$  under  $\sim$ .

We may then define a semigroup  $(S/\sim, \star)$  where for  $[a], [b] \in S/\sim$ ,  $[a] \star [b] = [a \cdot b]$ . We call this semigroup the quotient semigroup of  $S$  by  $\sim$ .

We then define the canonical homomorphism  $\eta : S \rightarrow S/\sim$  by  $\eta(a) = [a]$ .

## 2.3 Logics and Multiplication Quantifiers

In Section 2.3.1, we clarify our notations and definitions regarding logics. In Section 2.3.2, we define multiplication quantifiers and provide the necessary background material on them.

In Section 2.3.3, we make some brief remarks regarding *definability* in logics.

### 2.3.1 Logics

► **Remark 16.** In this report, we will only consider finite structures over relational vocabularies; specifically, we assume that all structures are over initial segments of the natural numbers, excluding 0. Thus, for a structure  $\mathfrak{A}$ ,  $|\mathfrak{A}| = [n] = \{1, \dots, n\}$  for some  $n \in \mathbb{N}$ .

► **Remark 17.** We often consider logics containing numerical predicates. These are predicates included in the vocabulary of the logic and are interpreted in the natural way. For example, for the order predicate  $<$ , we say that  $\mathfrak{A} \models a < b$  iff  $a^{\mathfrak{A}}$  is less than  $b^{\mathfrak{A}}$ , where  $a^{\mathfrak{A}} \in |\mathfrak{A}| = [n]$ , for some  $n \in \mathbb{N}$ , is the interpretation of variable  $a$  in  $\mathfrak{A}$ . We will also refer to the commonly used numerical predicates  $=$ ,  $+$ , and  $\times$ .

► **Remark 18.** We let  $\text{Mod}(\varphi)$  denote all models of a formula  $\varphi$ :  $\text{Mod}(\varphi) = \{\mathfrak{A} \mid \mathfrak{A} \models \varphi\}$ .

► **Remark 19.** Let  $\mathfrak{A}$  be a structure. For a formula  $\varphi(x_1, \dots, x_k)$  with free variables  $x_1, \dots, x_k$ , we denote by  $\varphi^{\mathfrak{A}}[a_1, \dots, a_k]$  the function which maps the tuple  $(a_1, \dots, a_k)$  to the truth value of  $\varphi$  in  $\mathfrak{A}$  when the free variables are interpreted as  $a_1, \dots, a_k \in |\mathfrak{A}|$ . For example, if  $\varphi(x) := x < 3$ , then  $\varphi^{\mathfrak{A}}[a] = 1$  if  $a^{\mathfrak{A}} < 3$  and 0 otherwise.

► **Definition 20.** For a set of quantifiers  $\mathfrak{Q}$  and numerical predicates  $\mathfrak{N}$ , we denote by  $(\mathfrak{Q})[\mathfrak{N}]$  the logic constructed by extending quantifier-free first-order logic with the quantifiers in  $\mathfrak{Q}$  and the numerical predicates in  $\mathfrak{N}$ .

For a singleton set of quantifiers  $\mathfrak{Q} = \{Q\}$ , we will sometimes denote  $(\mathfrak{Q})[\mathfrak{N}]$  as  $(Q)[\mathfrak{N}]$ . We use similar notation for the sets of numerical predicates.

We denote by  $\text{FO}$  the set of our ordinary first-order quantifiers:  $\{\exists, \forall\}$ .

► **Definition 21.** We say that a quantifier is unary if it binds only one variable.

Besides the standard first-order quantifiers, we will also use the following two unary first-order quantifiers in this paper:

► **Definition 22.**

- **Maj** is the unary majority quantifier such that for a structure  $\mathfrak{A}$ ,  $\mathfrak{A} \models \text{Maj}x\varphi(x)$  iff  $|\{a \in \mathfrak{A} \mid \varphi^{\mathfrak{A}}[a] = 1\}| > ||\mathfrak{A}||/2$ .
- **Sq** is the unary square quantifier such that for a structure  $\mathfrak{A}$ ,  $\mathfrak{A} \models \text{Sq}x\varphi(x)$  iff  $|\{a \in \mathfrak{A} \mid \varphi^{\mathfrak{A}}[a] = 1\}|$  is a positive square number.

► **Example 23.**

- $(\text{FO})[=]$  is our usual first-order logic with equality.
- $(\text{Maj})[<]$  is majority logic, i.e., it contains the majority quantifier and the order predicate.
- $(\emptyset)[\emptyset]$  is quantifier-free first-order logic with no numerical predicates.

► **Definition 24.** We say that a logical formula is a depth- $k$  formula if its quantifier depth is at most  $k$ .

► **Definition 25.** We say that a structure  $\mathfrak{A}$  is a string structure over  $\Sigma = \{\sigma_1, \dots, \sigma_c\}$  if it is ordered and over a relational vocabulary  $\tau = \{R_{\sigma_1}, \dots, R_{\sigma_c}\}$  where each  $R_{\sigma_i}$  is unary and for every  $a \in |\mathfrak{A}|$ , there exists exactly one  $\sigma_i$  such that  $a \in R_{\sigma_i}^{\mathfrak{A}}$ . Thus, we may interpret a string  $w \in \Sigma^+$  as a string structure over  $\Sigma$ , and vice versa.

We say that a language  $L \subseteq \Sigma^+$  is expressible by a logic  $\mathfrak{L}$  if there exists a  $\mathfrak{L}$ -sentence  $\varphi$  over a unary relational vocabulary  $\tau = \{R_{\sigma_1}, \dots, R_{\sigma_c}\}$  such that for all string structures  $\mathfrak{A}$  over  $\Sigma$ ,  $\mathfrak{A} \models \varphi$  iff  $\mathfrak{A} \in L$ —or more precisely, iff  $\mathfrak{A}$  encodes a string in  $L$ .

For a logic  $\mathfrak{L}$ , we denote by  $\mathcal{L}(\mathfrak{L})$ , the languages expressible by  $\mathfrak{L}$ .

### 2.3.2 Multiplication Quantifiers

The definition of multiplication quantifier has its origin in Barrington, Immerman, and Straubing [2, Section 5] where they were referred to as monoid quantifiers or generalized quantifiers; the authors proved that the languages in  $\text{DLOGTIME-uniform NC}^1$  are exactly those expressible by first-order logic with quantifiers whose truth-value is determined via multiplication in a finite semigroup. We now define ‘multiplication quantifiers’:

► **Definition 26** (Multiplication Quantifiers). *Let  $S$  be a semigroup,  $B \subseteq S$ , and  $\gamma : \{0, 1\}^k \rightarrow S$  a total function. We call  $\Gamma_{l,\gamma}^{S,B}$  the multiplication quantifier for  $S$ ,  $B$ , and  $\gamma$  which binds  $l$  variables and extends over a  $k$ -tuple of formulae. If  $B = \{s\}$  is a singleton, then we simply write  $\Gamma_{l,\gamma}^{S,s}$ .*

*For an ordered structure  $\mathfrak{A}$  and formulae  $\varphi_1, \dots, \varphi_k$ , we evaluate the formula*

$$\Phi := \Gamma_{l,\gamma}^{S,B} x_1 \dots x_l (\varphi_1(x_1, \dots, x_l), \dots, \varphi_k(x_1, \dots, x_l))$$

*as follows. Let  $\gamma^{\mathfrak{A}} : |\mathfrak{A}|^l \rightarrow S$  be a function such that*

$$\gamma^{\mathfrak{A}}(a_1, \dots, a_l) = \gamma(\varphi_1^{\mathfrak{A}}[a_1, \dots, a_l], \dots, \varphi_k^{\mathfrak{A}}[a_1, \dots, a_l]).$$

*We call  $\gamma^{\mathfrak{A}}$  the evaluator function for our formula. We say that  $\mathfrak{A} \models \Phi$  iff*

$$\prod_{a_1 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma^{\mathfrak{A}}(a_1, \dots, a_l) \in B$$

*where the products iterate over the elements of  $|\mathfrak{A}|$  based on the order of the structure.*

► **Example 27.** Our normal first-order existential quantifier may be represented by  $\Gamma_{1,\gamma}^{U_1,0}$  where  $\gamma : \{0, 1\} \rightarrow U_1$  such that  $\gamma(0) = 1$  and  $\gamma(1) = 0$ . Similar goes for the universal quantifier.

► **Definition 28.** *For a semigroup  $S$ , we define the following sets of quantifiers:*

$$\Gamma^S = \left\{ \Gamma_{l,\gamma}^{S,B} \mid B \subseteq S, \gamma : \{0, 1\}^k \rightarrow S, \text{ and } l, k \geq 1 \right\}$$

$$\Gamma_l^S = \left\{ \Gamma_{l,\gamma}^{S,B} \mid B \subseteq S \text{ and } \gamma : \{0, 1\}^k \rightarrow S \right\}$$

$$\Gamma_{l,\gamma}^S = \left\{ \Gamma_{l,\gamma}^{S,B} \mid B \subseteq S \right\}$$

*Finally, let  $\Gamma^{\text{fin}}$  be the set of all multiplication quantifiers over finite semigroups and  $\Gamma_1^{\text{fin}}$  be the set of all unary multiplication quantifiers over finite semigroups.*

From [2, Corollary 9.1], we know that  $\text{DLOGTIME-uniform NC}^1$  is characterized by  $(\text{FO})[+, \times]$  equipped with finite multiplication quantifiers:

► **Theorem 29** ([2]).  $\text{DLOGTIME-uniform NC}^1 = \mathcal{L}((\text{FO} \cup \Gamma^{\text{fin}})[+, \times])$ .

► **Remark 30.** In fact, simply the set of multiplication quantifiers for some finite, non-solvable monoid will suffice. The definition of “non-solvable monoid” is not needed for our proofs here but, for example, the *symmetric group of degree five*, denoted  $S_5$ , is a non-solvable monoid. Therefore, we know that  $\text{DLOGTIME-uniform NC}^1 = \mathcal{L}((\text{FO} \cup \Gamma^{S_5})[+, \times])$ .

We also have a similar characterization for the regular languages:

► **Theorem 31** ([2]).  $\text{REG} = \mathcal{L}((\text{FO} \cup \Gamma_1^{\text{fin}})[<])$ .

Later, [13, Theorem 5.1] showed that introducing non-unary quantifiers doesn’t increase the expressive power in the case of order predicates:

► **Theorem 32.**  $\text{REG} = \mathcal{L}((\text{FO} \cup \Gamma^{\text{fin}})[<])$ .

### 2.3.3 Definability

► **Definition 33.** Say that a first-order quantifier  $Q$  binds  $l$  variables and extends over a  $k$ -tuple of formulae. We say that  $Q$  is definable in a logic  $\mathfrak{L}$  if there exists a sentence  $\varphi$  in  $\mathfrak{L}$  over a vocabulary  $\tau = \{R_1^{(l)}, \dots, R_k^{(l)}\}$ , i.e., each relation is  $l$ -ary, such that for all structures  $\mathfrak{A}$ ,  $\mathfrak{A} \models \varphi$  iff  $\mathfrak{A} \models Qx_1 \dots x_l (R_1(x_1, \dots, x_l), \dots, R_k(x_1, \dots, x_l))$ .

► **Remark 34.** Observe that if a quantifier  $Q$  is definable in a logic  $\mathfrak{L}$ , then any use of  $Q$  in a formula can be substituted with a formula from  $\mathfrak{L}$ .

► **Definition 35.** Similar to the above, we say that a  $k$ -ary numerical predicate  $R$  is definable in a logic  $\mathfrak{L}$  if there exists a formula  $\varphi$  in  $\mathfrak{L}$  with free variables  $x_1, \dots, x_k$  such that for all structures  $\mathfrak{A}$ ,  $\mathfrak{A} \models \varphi(x_1, \dots, x_k)$  iff  $\mathfrak{A} \models Rx_1 \dots x_k$ .

## 2.4 Typed Semigroups

► **Definition 36** (Boolean Algebra). A Boolean algebra over a set  $S$  is a set  $B \subseteq \wp(S)$  such that  $\emptyset, S \in B$  and  $B$  is closed under union, intersection, and complementation. If  $B$  is finite, we call it a finite Boolean algebra.

We call  $\emptyset$  and  $S$  the trivial elements (or in some contexts, the trivial types) of  $B$ .

► **Definition 37.** Let  $B_1$  and  $B_2$  be Boolean algebras over sets  $S$  and  $T$ , respectively. We call  $h : B_1 \rightarrow B_2$  a homomorphism of Boolean algebras if  $h(\emptyset) = \emptyset$ ,  $h(S) = T$ , and for all  $s_1, s_2 \in B_1$ ,  $h(s_1 \cap s_2) = h(s_1) \cap h(s_2)$ ,  $h(s_1 \cup s_2) = h(s_1) \cup h(s_2)$ , and  $h(s^C) = (h(s))^C$ .

► **Definition 38** (Typed Semigroup). Let  $S$  be a semigroup,  $G$  a Boolean algebra over  $S$ , and  $E$  a finite subset of  $S$ . We call the tuple  $T = (S, G, E)$  a typed semigroup over  $S$  and the elements of  $G$  types and the elements of  $E$  units. We call  $S$  the base semigroup of  $T$ . If  $S$  is a monoid or group, then we may also call  $T$  a typed monoid or typed group, respectively.

If  $G = \{\emptyset, A, S - A, S\}$ , then we often abbreviate  $T$  as  $(S, A, E)$ , i.e., the Boolean algebra is signified by an element, or elements, which generates it—in this case,  $A$ .

► **Definition 39.** A typed homomorphism  $h : (S, G, E) \rightarrow (T, H, F)$  of typed semigroups is a triple  $(h_1, h_2, h_3)$  where  $h_1 : S \rightarrow T$  is a semigroup homomorphism,  $h_2 : G \rightarrow H$  is a homomorphism of Boolean algebras, and  $h_3 : E \rightarrow F$  is a mapping of sets such that the following conditions hold:

(i) For all  $A \in G$ ,  $h_1(A) = h_2(A) \cap h_1(S)$ .

(ii) For all  $e \in E$ ,  $h_1(e) = h_3(e)$ .

► **Definition 40.** A typed semigroup  $T = (S, G, E)$  recognizes a language  $L \subseteq \Sigma^+$  if there exists a typed homomorphism from  $(\Sigma^+, L, \Sigma)$  to  $T$ . We let  $\mathcal{L}(T)$  denote the set of languages recognized by  $T$ .

We then have the following definitions and facts about typed semigroups:

► **Proposition 41.** If the base monoid of a typed semigroup  $T$  is finite, then  $\mathcal{L}(T) \subseteq \text{REG}$ .

► **Definition 42.** Let  $(S, G, E)$  and  $(T, H, F)$  be typed semigroups.

■ A typed homomorphism  $h = (h_1, h_2, h_3) : (S, G, E) \rightarrow (T, H, F)$  is injective (surjective, or bijective) if  $h_1$ ,  $h_2$ , and  $h_3$  are.

■  $(S, G, E)$  is a typed subsemigroup (or, simply, “subsemigroup” when context is obvious) of  $(T, H, F)$ , denoted  $(S, G, E) \leq (T, H, F)$ , if  $S$  is a subsemigroup of  $T$  and there exists an injective typed homomorphism  $h : (S, G, E) \rightarrow (T, H, F)$ .



241 ■  $(S, G, E)$  divides  $(T, H, F)$ , denoted  $(S, G, E) \preceq (T, H, F)$ , if there exists a surjective  
242 typed homomorphism from a typed subsemigroup of  $(T, H, F)$  to  $(S, G, E)$ .

243 ► **Proposition 43** ([4]). Let  $T_1, T_2$ , and  $T_3$  be typed semigroup.

244 ■ Typed homomorphisms are closed under composition.

245 ■ Division is transitive: if  $T_1 \preceq T_2$  and  $T_2 \preceq T_3$ , then  $T_1 \preceq T_3$ .

246 ■ If  $T_1 \preceq T_2$ , then  $\mathcal{L}(T_1) \subseteq \mathcal{L}(T_2)$ .

247 ► **Definition 44.** Let  $L$  be a language. We define the syntactic congruence of  $L$  as the  
248 relation  $\sim_L$  on  $\Sigma^+$  such that for all  $x, y \in \Sigma^+$ ,  $x \sim_L y$  if and only if for all  $w, v \in \Sigma^+$ ,  
249  $w xv \in L$  iff  $w y v \in L$ .

250 ► **Definition 45.** The syntactic semigroup of a language  $L \subseteq \Sigma^+$  is the quotient semig-  
251 roup  $\Sigma^+ / \sim_L$ . We call the canonical homomorphism  $\eta_L : \Sigma^+ \rightarrow \Sigma^+ / \sim_L$  the syntactic  
252 homomorphism of  $L$ .

253 ► **Remark 46.** Observe that  $\eta_L$  is surjective.

254 ► **Definition 47.** Let  $T = (S, G, E)$  be a typed semigroup. A congruence  $\sim$  over  $S$  is a typed  
255 congruence over  $T$  if for every  $A \in G$  and  $s_1, s_2 \in S$ , if  $s_1 \sim s_2$  and  $s_1 \in A$ , then  $s_2 \in A$ .

256 For a typed congruence  $\sim$  over  $T$ , let

257  $S' / \sim = \{[x]_\sim \mid x \in S'\} \text{ where } S' \subseteq S$

258  $G / \sim = \{A / \sim \mid A \in G\}$

259  $E / \sim = \{[x]_\sim \mid x \in E\}.$

260 Then,  $T / \sim := (S / \sim, G / \sim, E / \sim)$  is the typed quotient semigroup of  $T$  by  $\sim$ .

261 Let  $\sim_T$  denote the typed congruence on  $T$  such that for  $s_1, s_2 \in S$ ,  $s_1 \sim_T s_2$  iff for all  
262  $x, y \in S$  and  $A \in G$ ,  $x s_1 y \in A$  iff  $x s_2 y \in A$ . We then refer to the quotient semigroup  $T / \sim_T$   
263 as the minimal reduced semigroup of  $T$ .

264 ► **Definition 48.** For a language  $L \subseteq \Sigma^+$ , we define the syntactic typed semigroup of  $L$ ,  
265 denoted  $\text{syn}(L)$ , to be the typed semigroup  $(\Sigma^+, L, \Sigma) / \sim_L$ . Recall that  $\sim_L$  is the syntactic  
266 congruence of  $L$ , defined in Definition 44.

267 We also get the canonical typed homomorphism,  $\eta_L : (\Sigma^+, L, \Sigma) \rightarrow \text{syn}(L)$  induced by  
268 the syntactic homomorphism of  $L$ .

269 ► **Definition 49.** For a unary multiplication quantifier  $Q = \Gamma_{1, \gamma}^{S, A}$  where  $\gamma : \{0, 1\}^k \rightarrow S$ , we  
270 define the typed quantifier semigroup of  $Q$ , denoted  $\mathcal{S}(Q)$ , to be the syntactic typed semigroup  
271 of the language  $L_Q \subseteq (\{0, 1\}^k)^+$  where  $w \in L_Q$  iff

272  $w \models Qx(B_1(x), \dots, B_k(x))$

273 where  $w_{x=i} \models B_j x$  iff the  $j^{\text{th}}$  bit of  $w_i$  equals 1. Thus,  $\mathcal{S}(Q) = ((\{0, 1\}^k)^+, L_Q, \{0, 1\}^k) / \sim_{L_Q}$ .

274 ► **Proposition 50** ([10]). A typed semigroup is the syntactic semigroup of a language iff it is  
275 reduced, generated by its unites, and has four or two types. (In the case of two types, then it  
276 only recognizes the empty language or the language of all strings.)

277 ► **Definition 51.** For a set of typed semigroups  $T$ , we denote by  $\text{sbpc}_{\leq}(T)$  the ordered strong  
278 block product closure of  $T$ . Because the definition of this closure is quite technical but not  
279 needed to understand the proofs in this paper, we include it in Appendix A.



From [10, Theorem 4.14], we then get the following relationship between logics and algebras:<sup>5</sup>

► **Theorem 52.** *Let  $\mathcal{Q}$  be a set of quantifiers and  $\mathcal{Q}$  its set of typed quantifier semigroups for  $\mathcal{Q}$ . Then,  $\mathcal{L}((\mathcal{Q})[<]) = \mathcal{L}(\text{sbpc}_{<}(\mathcal{Q}))$ .*

### 3 Simplifying Multiplication Quantifiers

We aim to construct an algebraic characterization of DLOGTIME-uniform NC<sup>1</sup> by taking advantage of Theorem 52. To do so, however, we need a logic which characterizes DLOGTIME-uniform NC<sup>1</sup> using only unary first-order quantifiers.

Now, from Remark 30, we know of a logic containing non-unary first-order quantifiers:

$$\text{DLOGTIME-uniform NC}^1 = \mathcal{L}((\text{FO} \cup \Gamma^{S_5})[+, \times])$$

Thus, to take us a step closer to applying Theorem 52, we will prove in this section that having unary quantifiers alone suffices to express the same languages:

$$\mathcal{L}((\text{FO} \cup \Gamma^{S_5})[+, \times]) = \mathcal{L}((\text{FO} \cup \Gamma_1^{S_5})[+, \times]),$$

which answers an open question first raised in [13].

While we only need to show an equivalence of  $(\text{FO} + \Gamma^{S_5})[+, \times]$  and  $(\text{FO} + \Gamma_1^{S_5})[+, \times]$  at the language level—i.e., that they express the same languages—we will actually prove the stronger claim that for every finite semigroup  $S$ , all quantifiers in  $\Gamma^S$  are definable in  $(\Gamma_1^S)[\emptyset]$ . In other words, we will prove that any use of  $\Gamma^S$  quantifiers may be substituted by a  $(\Gamma_1^S)[\emptyset]$  formulae without loss or gain in expressive power. Moreover, we will prove that we don't need an infinite number of quantifiers to express DLOGTIME-uniform NC<sup>1</sup>. Simply a finite set of multiplication quantifiers binding one variable and extending over  $k$ -tuples (for some fixed  $k$ ) will suffice.

We first prove that we can fix the size of the tuple over which the quantifier acts:

► **Lemma 53.** *For every finite semigroup  $S$ , there exists a function  $\delta : \{0, 1\}^c \rightarrow S$  such that for every  $s \in S$ ,  $l \in \mathbb{N}$ , and  $\gamma : \{0, 1\}^k \rightarrow S$ , the quantifier  $\Gamma_{l,\gamma}^{S,s}$  is definable in  $(\Gamma_{l,\delta}^{S,s})[\emptyset]$ .*

**Proof.** Let  $\overline{x}_l$  denote the tuple  $(x_1, \dots, x_l)$ . Let  $S$  be an arbitrary finite semigroup.

To construct  $\delta$ , we will let  $|S|$  be the size of the tuples over which  $\delta$  acts; thus, let  $c = |S|$ . Let  $z \in S$  be fixed and arbitrary. Say that  $S = \{s_1, \dots, s_c\}$ . Let  $\delta : \{0, 1\}^c \rightarrow S$  such that if  $w \in \{0, 1\}^c$  is a one-hot encoding of  $i$  where  $1 \leq i \leq c$ , then  $\delta(w) = s_i$ ; else,  $\delta(w) = z$ . For example, if  $|S| = 3$ , then  $\delta(100) = s_1$ ,  $\delta(010) = s_2$ ,  $\delta(001) = s_3$ ,  $\delta(110) = \delta(000) = z$ , etc.

Now, let  $s \in S$ ,  $l \in \mathbb{N}$ , and  $\gamma : \{0, 1\}^k \rightarrow S$  be arbitrary. Let  $\tau = \{P_1^{(l)}, \dots, P_k^{(l)}\}$  be a relational vocabulary. We will now show that  $\Gamma_{l,\gamma}^{S,s}$  is definable in  $(\Gamma_{l,\delta}^{S,s})[\emptyset]$ .

Specifically, we will now show that for

$$\Phi_1 := \Gamma_{l,\gamma}^{S,s} \overline{x}_l \langle P_1 \overline{x}_l, \dots, P_k \overline{x}_l \rangle$$

there exists a  $\tau$ -sentence

$$\Phi_2 := \Gamma_{l,\delta}^{S,s} \overline{x}_l \langle \psi_1(\overline{x}_l), \dots, \psi_c(\overline{x}_l) \rangle,$$

<sup>5</sup> The theorem in [10] is actually more general as it accounts for more predicates than just order; however, for our purposes, order alone suffices.

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where each  $\psi_i$  is a boolean combination of  $P_1, \dots, P_k$ , such that  $\text{Mod}(\Phi_1) = \text{Mod}(\Phi_2)$ .

We now construct  $\psi_1, \dots, \psi_c$ .

Let  $\gamma^P$  be a map from  $S$  to sets of boolean combinations of  $P_1, \dots, P_k$  such that if  $w_1 \dots w_k \in \{0, 1\}^k$  maps to  $s$  under  $\gamma$ , then  $P'_1 \wedge \dots \wedge P'_k \in \gamma^P(s)$  where  $P'_i = P_i \overline{x_i}$  if  $w_i = 1$  and  $P'_i = \neg P_i \overline{x_i}$  if  $w_i = 0$ . For example, if  $S = \{s_1, s_2, s_3\}$ ,  $k = 2$ ,  $\gamma(00) = \gamma(10) = \gamma(01) = s_1$ , and  $\gamma(11) = s_3$ , then  $\gamma^P(s_1) = \{\neg P_1 \overline{x_1} \wedge \neg P_2 \overline{x_1}, P_1 \overline{x_1} \wedge \neg P_2 \overline{x_1}, \neg P_1 \overline{x_1} \wedge P_2 \overline{x_1}\}$ ,  $\gamma^P(s_2) = \emptyset$ , and  $\gamma^P(s_3) = \{P_1 \overline{x_1} \wedge P_2 \overline{x_1}\}$ . We then set

$$\psi_i := \bigvee_{\phi \in \gamma^P(s_i)} \phi.$$

By construction since  $\gamma$  is a total function, observe that for every structure, there will be *exactly* one  $i$  such that  $\psi_i$  evaluates to true. We have now defined  $\psi_1, \dots, \psi_c$  and, thus,  $\Phi_2$ .

We now show that  $\text{Mod}(\Phi_1) = \text{Mod}(\Phi_2)$ .

Let  $\mathfrak{A}$  be an arbitrary  $\tau$ -structure. Let  $\gamma^{\mathfrak{A}} : |\mathfrak{A}|^l \rightarrow S$  and  $\delta^{\mathfrak{A}} : |\mathfrak{A}|^l \rightarrow S$  be the evaluator functions for  $\Phi_1$  and  $\Phi_2$ , respectively. Thus, for  $\overline{a_l} \in |\mathfrak{A}|^l$ ,

$$\gamma^{\mathfrak{A}}(\overline{a_l}) = \gamma(\langle P_1^{\mathfrak{A}}[\overline{a_l}], \dots, P_k^{\mathfrak{A}}[\overline{a_l}] \rangle)$$

$$\text{and } \delta^{\mathfrak{A}}(\overline{a_l}) = \delta(\langle \psi_1^{\mathfrak{A}}[\overline{a_l}], \dots, \psi_c^{\mathfrak{A}}[\overline{a_l}] \rangle).$$

By construction of  $\delta$  and  $\psi_i$ , observe that  $\gamma^{\mathfrak{A}}$  and  $\delta^{\mathfrak{A}}$  are in fact the same function. Let  $s_i \in S = \{s_1, \dots, s_c\}$  be arbitrary:

$$\begin{aligned} \gamma^{\mathfrak{A}}(\overline{a_l}) &= s_i && \text{by definition of } \gamma^{\mathfrak{A}} \\ \text{iff } \gamma(\langle P_1^{\mathfrak{A}}[\overline{a_l}], \dots, P_k^{\mathfrak{A}}[\overline{a_l}] \rangle) &= s_i && \text{by definition of } \gamma^{\mathfrak{A}} \\ \text{iff } \psi_i^{\mathfrak{A}}[\overline{a_l}] &= 1 && \text{by construction of } \psi_i \\ \text{iff } \delta(\langle \psi_1^{\mathfrak{A}}[\overline{a_l}], \dots, \psi_c^{\mathfrak{A}}[\overline{a_l}] \rangle) &= s_i && \text{by construction of } \delta \\ \text{iff } \delta^{\mathfrak{A}}(\overline{a_l}) &= s_i && \text{by definition of } \delta^{\mathfrak{A}} \end{aligned}$$

Therefore,

$$\prod_{a_1 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma^{\mathfrak{A}}(a_1, \dots, a_l) = \prod_{a_1 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \delta^{\mathfrak{A}}(a_1, \dots, a_l)$$

so by definition of our multiplication quantifiers,

$$\mathfrak{A} \models \Phi_1 \text{ iff } \mathfrak{A} \models \Phi_2$$

and, thus,  $\text{Mod}(\Phi_1) = \text{Mod}(\Phi_2)$ . ◀

We now prove that having quantifiers binding only one variable is sufficient:

► **Theorem 54.** *For every finite semigroup  $S$ , there exists a function  $\delta : \{0, 1\}^c \rightarrow S$  such that for every  $s \in S$ ,  $l \in \mathbb{N}$ , and  $\gamma : \{0, 1\}^k \rightarrow S$ , the quantifier  $\Gamma_{l,\gamma}^{S,s}$  is definable in  $(\Gamma_{1,\delta}^S)[\emptyset]$ .*

**Proof.** Let  $S = \{s_1, \dots, s_c\}$  be an arbitrary finite semigroup and let  $\delta : \{0, 1\}^c \rightarrow S$  be constructed as done in Lemma 53. Let  $l \in \mathbb{N}$  and  $\gamma : \{0, 1\}^k \rightarrow S$  be arbitrary and let  $\tau = \{P_1^{(l)}, \dots, P_k^{(l)}\}$  be a relational vocabulary. Finally, for each  $s \in S$ , let

$$\Phi_1^s := \Gamma_{l,\gamma}^{S,s} \overline{x_l} (P_1 \overline{x_l}, \dots, P_k \overline{x_l}).$$

We want to show that for each  $s \in S$ , there exists a  $\tau$ -sentence  $\Phi_2^s$  in  $(\Gamma_{1,\delta}^S)[\emptyset]$  such that  $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi_2^s)$ .

We proceed by induction on  $l$ . If  $l = 1$ , then the result follows from Lemma 53. Thus, assume that for each  $s \in S$ ,

$$\Gamma_{l-1,\gamma}^{S,s} \text{ is definable in } (\Gamma_{1,\delta}^S)[\emptyset]. \quad (\text{I.H.})$$

We now show that for each  $s \in S$ ,  $\Gamma_{l,\gamma}^{S,s}$  is definable in  $(\Gamma_{1,\delta}^S)[\emptyset]$ .

Let  $s \in S$  be arbitrary. We now construct a sentence  $\Phi^s$  and prove that  $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi^s)$ ; we will then use the inductive hypothesis to convert  $\Phi^s$  into a sentence  $\Phi_2^s$  in  $(\Gamma_{1,\delta}^S)[\emptyset]$  such that  $\text{Mod}(\Phi^s) = \text{Mod}(\Phi_2^s)$ . Let

$$\Phi^s := \Gamma_{1,\delta}^{S,s} x_1 \langle \theta_1(x_1), \dots, \theta_c(x_1) \rangle$$

where

$$\theta_i(x_1) = \Gamma_{l-1,\gamma}^{S,s_i} x_2 \dots x_l \langle P_1 x_1 x_2 \dots x_l, \dots, P_k x_1 x_2 \dots x_l \rangle$$

Let  $\mathfrak{A}$  be an arbitrary  $\tau$ -structure. Let  $\gamma^{\mathfrak{A}} : |\mathfrak{A}|^l \rightarrow S$  and  $\delta^{\mathfrak{A}} : |\mathfrak{A}| \rightarrow S$  be the evaluator functions for  $\Phi_1^s$  and  $\Phi^s$ , respectively. To show that  $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi^s)$ , we will show that

$$\prod_{a_1 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma^{\mathfrak{A}}(a_1, \dots, a_l) = \prod_{a \in |\mathfrak{A}|} \delta^{\mathfrak{A}}(a).$$

First, note that by construction of  $\theta_1, \dots, \theta_c$ , we get that

$$\text{for every } a \in |\mathfrak{A}|, \text{ if } \theta_i^{\mathfrak{A}}[a] = \theta_j^{\mathfrak{A}}[a] = 1, \text{ then } i = j \quad (\star)$$

since each  $\theta_i$  will perform the same multiplication within  $S$  during evaluation but each  $\theta_i$  will check if the product is equal to a different  $s_i$ . Then, for every  $a \in |\mathfrak{A}|$  and  $s_i \in S$ ,

$$\begin{aligned} \delta^{\mathfrak{A}}(a) &= s_i \\ \text{iff } \delta(\langle \theta_1^{\mathfrak{A}}[a], \dots, \theta_c^{\mathfrak{A}}[a] \rangle) &= s_i && \text{by definition of } \delta^{\mathfrak{A}} \\ \text{iff } \theta_i^{\mathfrak{A}}[a] &= 1 && \text{by construction of } \delta \text{ and } (\star) \end{aligned}$$

Then, by construction of  $\theta_i$ , we get that  $\delta^{\mathfrak{A}}(a) = s_i$  iff

$$\prod_{a_2 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma(\langle P_1^{\mathfrak{A}}[a, a_2, \dots, a_l], \dots, P_k^{\mathfrak{A}}[a, a_2, \dots, a_l] \rangle) = s_i$$

and, thus,

$$\delta^{\mathfrak{A}}(a) = \prod_{a_2 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma(\langle P_1^{\mathfrak{A}}[a, a_2, \dots, a_l], \dots, P_k^{\mathfrak{A}}[a, a_2, \dots, a_l] \rangle)$$

Therefore,

$$\begin{aligned} \prod_{a \in |\mathfrak{A}|} \delta^{\mathfrak{A}}(a) &= \prod_{a \in |\mathfrak{A}|} \prod_{a_2 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma(\langle P_1^{\mathfrak{A}}[a, a_2, \dots, a_l], \dots, P_k^{\mathfrak{A}}[a, a_2, \dots, a_l] \rangle) \\ &= \prod_{a_1 \in |\mathfrak{A}|} \dots \prod_{a_l \in |\mathfrak{A}|} \gamma^{\mathfrak{A}}(a_1, \dots, a_l) \text{ by definition of } \gamma^{\mathfrak{A}} \end{aligned}$$

and, thus,  $\mathfrak{A} \models \Phi_1^s$  iff  $\mathfrak{A} \models \Phi^s$  so  $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi^s)$ .

By the I.H., we know that each quantifier  $\Gamma_{l-1,\gamma}^{S,s_i}$  is definable in  $(\Gamma_{1,\delta}^S)[\emptyset]$ . Therefore, we know that for each  $\theta_i$ , there exists a formula  $\theta'_i$  in  $(\Gamma_{1,\delta}^S)[\emptyset]$  such that  $\text{Mod}(\theta_i) = \text{Mod}(\theta'_i)$ .

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Thus, we can construct a sentence  $\Phi_2^s$  by replacing each  $\theta_i$  in  $\Phi^s$  with  $\theta'_i$ ; we immediately get that  $\text{Mod}(\Phi^s) = \text{Mod}(\Phi_2^s)$ . Therefore, we have constructed a sentence  $\Phi_2^s$  in  $(\Gamma_{1,\delta}^S)[\emptyset]$  such that  $\text{Mod}(\Phi_1^s) = \text{Mod}(\Phi_2^s)$ . Since  $s \in S$  was arbitrary, this completes the inductive step.

All together, we get that for every  $l \in \mathbb{N}$ ,  $\gamma : \{0, 1\}^k \rightarrow S$ , and  $s \in S$ , the quantifier  $\Gamma_{l,\gamma}^{S,s}$  is definable in  $(\Gamma_{1,\delta}^S)[\emptyset]$ .

► **Corollary 55.** *For every finite semigroup  $S$ , there exists a function  $\delta : \{0, 1\}^c \rightarrow S$  such that for any set of quantifiers  $\mathfrak{Q}$  and set of numerical predicates  $\mathfrak{N}$ ,*

$$\mathcal{L}((\mathfrak{Q} \cup \Gamma^S)[\mathfrak{N}]) = \mathcal{L}((\mathfrak{Q} \cup \Gamma_{1,\delta}^S)[\mathfrak{N}])$$

► **Remark 56.** Because we are considering finite semigroups, we can always take disjunctions of the multiplication quantifiers which check if the product is equal to a single element of a semigroup in order to define multiplication quantifiers which check if the product is equal to any element of a specified subset of a semigroup.

► **Remark 57.** Note that for a finite semigroup  $S$ , while  $\Gamma^S$  and  $\Gamma_1^S$  are infinite sets,  $\Gamma_{1,\delta}^S$  is a finite set.

Therefore, this gives us a logic characterizing  $\text{DLOGTIME-uniform NC}^1$  which not only uses unary quantifiers but also only has a finite number of quantifiers:

► **Corollary 58.** *There exists a  $\delta : \{0, 1\}^k \rightarrow S_5$  such that*

$$\text{DLOGTIME-uniform NC}^1 = \mathcal{L}((\text{FO} \cup \Gamma_{1,\delta}^{S_5})[+, \times])$$

This will simplify our construction of an algebra capturing  $\text{DLOGTIME-uniform NC}^1$ .

Moreover, this theorem serves as an alternative proof of Theorem 32 ([13, Theorem 5.1]) which, unlike the original proof, does not rely on the use of automata:

► **Corollary 59.**  $\text{REG} = \mathcal{L}((\text{FO} \cup \Gamma^{\text{fin}})[<]) = \mathcal{L}((\text{FO} \cup \Gamma_1^{\text{fin}})[<])$ .

and, furthermore, resolves an open question from [13]:

► **Corollary 60.**  $\mathcal{L}((\text{FO} \cup \Gamma^{\text{fin}})[+, \times]) = \mathcal{L}((\text{FO} \cup \Gamma_1^{\text{fin}})[+, \times])$

## 4 The Algebraic Characterization

Now that we have a first-order logic with only unary quantifiers capturing  $\text{DLOGTIME-uniform NC}^1$ , we are closer to applying Theorem 52 to construct an algebra for it.

We first need to prove some results concerning the typed quantifier semigroups of multiplication quantifiers:

► **Theorem 61.** *Let  $s \in S_5$  and  $\gamma : \{0, 1\}^k \rightarrow S_5$ , where  $\text{Im}(\gamma) = S_5$ , be arbitrary. Then, the typed quantifier semigroup of  $\Gamma_{1,\gamma}^{S_5,s}$  equals  $(S_5, s, S_5)$ .*

**Proof.** Let  $s \in S_5$  and  $\gamma : \{0, 1\}^k \rightarrow S_5$  be arbitrary. Let  $T = (S_5, s, S_5)$ . We will show that  $T$  is isomorphic to the typed quantifier semigroup of  $\Gamma_{1,\gamma}^{S_5,s}$ .

Let  $Q = ((\{0, 1\}^k)^+, L_\Gamma, \{0, 1\}^k)$  such that for  $w = w_1 \dots w_n \in (\{0, 1\}^k)^+$ ,  $w \in L_\Gamma$  iff  $w \models \Gamma_{1,\gamma}^{S_5,s} x \langle P_1 x, \dots, P_k x \rangle$  where  $P_i^w = \{a \in [n] \mid (w_a)_i = 1\}$ . To be clear,  $(w_a)_i$  denotes the  $i^{\text{th}}$  bit of  $w_a \in \{0, 1\}^k$ . Let  $\gamma^* : (\{0, 1\}^k)^+ \rightarrow S_5$  be the homomorphism induced by  $\gamma$ .

By definition of typed quantifier semigroup, we now want to show that  $T \cong \text{syn}(L_\Gamma)$ . We know (1) that there exists a syntactic typed homomorphism  $\eta = (\eta_1, \eta_2, \eta_3)$  from  $Q$  to  $\text{syn}(L_\Gamma)$  and (2) that for  $w \in (\{0, 1\}^k)^+$ ,

$$\begin{aligned} w \in L_\Gamma &\text{ iff } w \models \Gamma_{1,\gamma}^{S_5,s} x \langle P_1 x, \dots, P_k x \rangle \\ &\text{ iff } \prod_{a \in [n]} \gamma(\langle P_1^w[a], \dots, P_k^w[a] \rangle) = s \\ &\text{ iff } \gamma^*(w) = s. \end{aligned}$$

Say  $\text{syn}(L_\Gamma) = (S_\Gamma, B_\Gamma, E_\Gamma)$ . We first prove that

► **Lemma 62.** *For every  $v_1, v_2 \in \{0, 1\}^k$ ,  $\gamma(v_1) = \gamma(v_2)$  iff  $\eta_3(v_1) = \eta_3(v_2)$ .*

**Proof.** Assume that  $\gamma(v_1) = \gamma(v_2)$ . Let  $x, y \in (\{0, 1\}^k)^+$  be arbitrary.

$$\begin{aligned} xv_1y \in L_\Gamma &\text{ iff } \gamma^*(xv_1y) = s && \text{by (2)} \\ &\text{ iff } \gamma^*(x)\gamma(v_1)\gamma^*(y) = s && \text{by definition} \\ &\text{ iff } \gamma^*(x)\gamma(v_2)\gamma^*(y) = s && \text{since } \gamma(v_1) = \gamma(v_2) \\ &\text{ iff } \gamma^*(xv_2y) = s && \text{by definition} \\ &\text{ iff } xv_2y \in L_\Gamma && \text{by (2)} \end{aligned}$$

Thus,  $v_1 \sim_{L_\Gamma} v_2$  so  $\eta_3(v_1) = \eta_3(v_2)$ .

Assume that  $\eta_3(v_1) = \eta_3(v_2)$ . Thus,  $v_1 \sim_{L_\Gamma} v_2$  so for every  $x, y \in (\{0, 1\}^k)^+$ ,  $xv_1y \in L_\Gamma$  iff  $xv_2y \in L_\Gamma$ . Therefore, for every  $x, y \in (\{0, 1\}^k)^+$ ,

$$\begin{aligned} \gamma^*(x)\gamma(v_1)\gamma^*(y) = s &\text{ iff } \gamma^*(xv_1y) = s && \text{by definition} \\ &\text{ iff } xv_1y \in L_\Gamma && \text{by (2)} \\ &\text{ iff } xv_2y \in L_\Gamma && \text{by the above} \\ &\text{ iff } \gamma^*(xv_2y) = s && \text{by (2)} \\ &\text{ iff } \gamma^*(x)\gamma(v_2)\gamma^*(y) = s && \text{by definition} \end{aligned}$$

Because  $S_5$  is a group, it is cancellative (cf. Proposition 14); thus,  $\gamma(v_1) = \gamma(v_2)$ .

We have now shown that  $\gamma(v_1) = \gamma(v_2)$  iff  $\eta_3(v_1) = \eta_3(v_2)$ . ◀

We now construct a typed isomorphism  $f = (f_1, f_2, f_3)$  from  $T$  to  $\text{syn}(L_\Gamma)$ . We start with  $f_3$ .

For each  $t \in S_5$ , let  $f_3(t) = \eta_3(w)$  for some  $w \in \gamma^{-1}(t)$ ; by Lemma 62, the specific choice of  $w \in \gamma^{-1}(t)$  does not matter.

We now prove that  $f_3$  is injective. Let  $s_1, s_2 \in S_5$  and assume that  $f_3(s_1) = f_3(s_2)$ . Then, by construction of  $f_3$ , there exists  $w_1 \in \gamma^{-1}(s_1)$  and  $w_2 \in \gamma^{-1}(s_2)$  such that  $f_3(s_1) = \eta_3(w_1) = \eta_3(w_2) = f_3(s_2)$ . By Lemma 62,  $\gamma(w_1) = \gamma(w_2)$ , so  $s_1 = s_2$  since  $s_i \in \gamma^{-1}(s_i)$ .

We now prove that  $f_3$  is surjective. Let  $v \in E_\Gamma \subseteq S_\Gamma$  be arbitrary. Because  $\eta$  is the syntactic morphism to  $\text{syn}(L_\Gamma)$ , it is surjective; therefore,  $\eta_3(\{0, 1\}^k) = E_\Gamma$  so there exists  $w \in \{0, 1\}^k$  such that  $\eta_3(w) = v$ . Let  $t = \gamma(w)$ . By construction of  $f_3$  and Lemma 62,  $f_3(t) = \eta_3(w) = v$  so  $f_3$  is surjective.

Therefore,  $f_3$  is a bijection from  $S_5$  to  $E_\Gamma$ .

Let  $f_1$  be the homomorphism induced by  $f_3$ . The proof of  $f_1$ 's bijectivity is analogous to the proof of  $f_3$ 's bijectivity. Thus,  $f_3$  is an isomorphism from  $S_5$  to  $S_\Gamma$ .

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We now must construct and show that  $f_2 : \{\emptyset, \{s\}, S_5 - \{s\}, S_5\} \rightarrow B_\Gamma$  is an isomorphism of Boolean algebras.  $B_\Gamma$  will only have four elements— $\emptyset$ ,  $X = \eta_1(L_\Gamma)$ ,  $S_\Gamma - X$ , and  $S_\Gamma$ —since  $(S_\Gamma, B_\Gamma, E_\Gamma)$  is a syntactic typed semigroup. Let  $f_2(\emptyset) = \emptyset$ ,  $f_2(\{s\}) = X$ ,  $f_2(S_5 - \{s\}) = S_\Gamma - X$ , and  $f_2(S_5) = S_\Gamma$ .  $f_2$  is clearly bijective and preserves the Boolean algebra structure.

Lastly, we must prove that  $f = (f_1, f_2, f_3)$  is actually a typed homomorphism by proving that  $f_1(\{s\}) = f_2(\{s\}) \cap f_1(S_5)$ . (The other condition on Definition 39 is trivially satisfied.)

We know that for an element  $g \in (\{0, 1\})^+$ ,

$$\eta_1(g) \in \eta_1(L_\Gamma) \text{ iff } \gamma^*(g) = s \quad (\star)$$

We first prove that  $f_1(\{s\}) \subseteq f_2(\{s\}) \cap f_1(S_5)$ . Since  $s \in S_5$ ,  $f_1(\{s\}) \subseteq f_1(S_5)$ . We know  $f_1(\{s\}) = \{f_1(s)\}$  by definition so we must show that  $f_1(s) \in f_2(\{s\}) = X = \eta_1(L_\Gamma)$ . Since  $f_1(s) = \eta_3(g) = \eta_1(g)$  for some  $g \in \gamma^{-1}(s)$ ,  $\gamma^*(g) = s$  so, by  $(\star)$ ,  $\eta_1(g) \in \eta_1(L_\Gamma)$  so  $f_1(s) \in \eta_1(L_\Gamma)$ .

We now prove that  $f_2(\{s\}) \cap f_1(S_5) \subseteq f_1(\{s\})$ . Let  $\eta_1(g) \in f_2(\{s\}) \cap f_1(S_5)$  be arbitrary. Since  $f_2(\{s\}) = \eta_1(L_\Gamma)$ , by  $(\star)$ ,  $\gamma^*(g) = s$ . Therefore, by construction of  $f_1$ ,  $\eta_1(g) = f_1(s) \in f_1(\{s\}) = \{f_1(s)\}$ .

All together, we get that  $f$  is a typed isomorphism from  $T$  to  $\text{syn}(L_\Gamma)$  so the typed quantifier semigroup of  $\Gamma_{1,\gamma}^{S_5,s}$  is isomorphic to  $(S_5, s, S_5)$ . ◀

We also know the following from the literature:

### ► Lemma 63.

- (i)  $\text{DLOGTIME-uniform NC}^1$  can compute majority.
- (ii) The quantifiers in FO are definable in  $(\text{Maj})[<]$ . ([12, Theorem 3.2])
- (iii) The numerical predicate  $+$  is definable in  $(\text{Maj})[<]$ . ([12, Theorem 4.1])
- (iv) The numerical predicate  $\times$  is definable in  $(\{\text{Maj}, \text{Sq}\})[<]$  and  $\text{Sq}$  is definable in  $(\text{Maj})[<, +, \times]$ . (cf. [15, Theorem 2.3.f] and [11, Section 2.3])

and, all together, we get our main result:

### ► Theorem 64.

$$\text{DLOGTIME-uniform NC}^1 = \mathcal{L}(\text{sbpc}_{<}(\{(\mathbb{Z}, \mathbb{Z}^+, \pm 1), (\mathbb{N}, \mathbb{S}, \{0, 1\}), (S_5, \wp(S_5), S_5)\})).$$

**Proof.** Let  $\delta : \{0, 1\}^c \rightarrow S_5$  be as it was defined in Lemma 53.

$$\begin{aligned} \text{DLOGTIME-uniform NC}^1 &= \mathcal{L}((\text{FO} \cup \Gamma^{S_5})[+, \times]) \text{ via [2]} \\ &= \mathcal{L}((\text{FO} \cup \Gamma_{1,\delta}^{S_5})[+, \times]) \text{ via Corollary 58} \\ &= \mathcal{L}((\Gamma_{1,\delta}^{S_5} \cup \{\text{Maj}, \text{Sq}\})[<]) \text{ via Lemma 63} \\ &= \mathcal{L}(\text{sbpc}_{<}(\{(\mathbb{Z}, \mathbb{Z}^+, \pm 1), (\mathbb{N}, \mathbb{S}, \{0, 1\})\} \\ &\quad \cup \{(S_5, s, S_5) \mid s \in S_5\})) \\ &\quad \text{via Theorems 52 and 61} \\ &= \mathcal{L}(\text{sbpc}_{<}(\{(\mathbb{Z}, \mathbb{Z}^+, \pm 1), (\mathbb{N}, \mathbb{S}, \{0, 1\}), (S_5, \wp(S_5), S_5)\})) \\ &\quad \text{since } \forall s \in S_5, (S_5, s, S_5) \preceq (S_5, \wp(S_5), S_5) \\ &\quad \text{and } \mathcal{L}((S_5, \wp(S_5), S_5)) \subseteq \text{REG} \subseteq \text{ALOGTIME} \end{aligned}$$

◀

## 5 Conclusion

[TODO]:

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## A Strong Block Product Closure

### A.1 Weakly Closed Classes

► **Definition 65** (Direct Product of Semigroups). *The direct product of two semigroups  $(S, \cdot_S)$  and  $(T, \cdot_T)$  is the semigroup  $(S \times T, \cdot)$  where  $(s_1, t_1) \cdot (s_2, t_2) = (s_1 \cdot_S s_2, t_1 \cdot_T t_2)$ .*

► **Definition 66** (Direct Product of Boolean Algebras). *We define the direct product of Boolean algebras  $B_1$  and  $B_2$ , denoted  $B_1 \times B_2$ , to be the Boolean algebra generated by the set  $\{A_1 \times A_2 \mid A_1 \in B_1 \text{ and } A_2 \in B_2\}$ .*



## 23:16 Characterizing $\text{NC}^1$ with Typed Semigroups

540 ► **Definition 67** (Direct Product of Typed Semigroups).

541 The direct product  $(S, G, E) \times (T, H, F)$  is the typed semigroup  $(S \times T, G \times H, E \times F)$ .

542 ► **Definition 68** (Trivial Extension). If there exists a surjective typed homomorphism from  
543  $(S, G, E)$  to  $(T, H, F)$ , then we say that  $(S, G, E)$  is a trivial extension of  $(T, H, F)$ .

544 ► **Definition 69** (Weakly Closed Class). We call a set of typed semigroups  $T$  a weakly closed  
545 class if it is closed under

546 ■ *Division*: If  $(S, G, E) \in T$  and  $(S, G, E) \preceq (T, H, F)$ , then  $(T, H, F) \in T$ .

547 ■ *Direct Product*: If  $(S, G, E), (T, H, F) \in T$ , then  $(S, G, E) \times (T, H, F) \in T$ .

548 ■ *Trivial Extension*: If  $(S, G, E)$  is a trivial extension of  $(T, H, F)$  and  $(T, H, F) \in T$ , then  
549  $(S, G, E) \in T$ .

550 We write  $\text{wc}(T)$  to denote the smallest weakly closed set of typed semigroups containing  $T$ .

### 551 A.2 The Block Product

552 The block product will be our main tool for the construction of algebraic characterizations  
553 of language classes via logic.<sup>6</sup> We now build up to its definition:

554 ► **Definition 70** (Left and Right Actions). A left action  $\star_l$  of a semigroup  $(N, \cdot)$  on a semigroup  
555  $(M, +)$  is a function from  $N \times M$  to  $M$  such that for  $n_1, n_2 \in N$  and  $m_1, m_2 \in M$ ,

$$556 \quad n \star_l (m_1 + m_2) = n \star_l m_1 + n \star_l m_2$$

$$557 \quad (n_1 \cdot n_2) \star_l m = n_1 \star_l (n_2 \star_l m)$$

558

559 The right action  $\star_r$  of  $(N, \cdot)$  on  $(M, +)$  is defined dually. We say that left and right actions  
560 of  $(N, \cdot)$  on  $(M, +)$  are compatible if for all  $n_1, n_2 \in N$  and  $m \in M$ ,

$$561 \quad (n_1 \star_l m) \star_r n_2 = n_1 \star_l (m \star_r n_2).$$

562 When clear from context, we may simply write  $nm$  for  $n \star_l m$  and  $mn$  for  $m \star_r n$ .

563 ► **Definition 71** (Two-sided Semidirect Product). For a pair of compatible left and right  
564 actions,  $\star_l$  and  $\star_r$  of  $(N, \cdot)$  on  $(M, +)$ , the two-sided (or bilateral) semidirect product  
565 of  $(M, +)$  and  $(N, \cdot)$  with respect to  $\star_l$  and  $\star_r$  is the semigroup  $(M \times N, \circ)$  where for  
566  $(m_1, n_1), (m_2, n_2) \in M \times N$ ,

$$567 \quad (m_1, n_1) \circ (m_2, n_2) = (m_1 n_2 + n_1 m_2, n_1 \cdot n_2).$$

568 ► **Definition 72** (Block Product). The block product of  $(M, \cdot_M)$  with  $(N, \cdot_N)$ , denoted  $M \square N$ ,  
569 is the two-sided semidirect product of  $(M^{N^1 \times N^1}, +)$  and  $(N, \cdot)$  with respect to the left and  
570 right actions  $\star_l$  and  $\star_r$  where for  $f, g \in M^{N^1 \times N^1}$  and  $n, n_1, n_2 \in N^1$ ,

571 ■  $(M^{N^1 \times N^1}, +)$  is the monoid of all functions from  $N^1 \times N^1$  to  $M$  under componentwise  
572 product  $+$ :

$$573 \quad (f + g)(n_1, n_2) = f(n_1, n_2) \cdot_M g(n_1, n_2).$$

<sup>6</sup> Historically, the “wreath product” was first used for this purpose. Since [14], however, the block product has been the preferred and easier-to-work-with tool of choice.

574 ■ The left action  $\star_l$  of  $(N, \cdot)$  on  $(M^{N^1 \times N^1}, +)$  is defined by

$$575 \quad (n \star_l f)(n_1, n_2) = f(n_1 \cdot_N n, n_2).$$

576 ■ The right action  $\star_r$  of  $(N, \cdot)$  on  $(M^{N^1 \times N^1}, +)$  is defined by

$$577 \quad (f \star_r n)(n_1, n_2) = f(n_1, n \cdot_N n_2).$$

### 578 A.3 The Typed Block Product

579 ► **Definition 73** (Typed Block Product). Let  $(S, G, E)$  and  $(S', G', E')$  be typed semigroups  
580 and  $C \subseteq S'$  be a finite set. Then, the typed block product with  $C$  of  $(S, G, E)$  and  $(S', G', E')$ ,  
581 denoted  $(S, G, E) \boxdot_C (S', G', E')$ , is the typed semigroup  $(T, H, F)$  where

- 582 (1)  $T \leq S \boxdot S'$  such that  $T$  is generated by the elements  $(f, s')$  such that
- 583 (a)  $s' \in E' \cup C$  and
  - 584 (b)  $f \in E^{S'^1 \times S'^1}$  such that for  $b_1, b_2, b_3, b_4 \in S'$ , if for all  $c \in C$  and all  $A' \in G'$ ,  
585  $b_1 c b_2 \in A'$  iff  $b_3 c b_4 \in A'$ , then  $f(b_1, b_2) = f(b_3, b_4)$ ,
  - 586 (2)  $H = \{ \{(f, s) \mid f(1, 1) \in A\} \mid A \in G \}$  where  $1$  is the identity of  $S'^1$ ,
  - 587 (3) and  $F = \{ (f, s') \mid (f, s) \text{ is a generator of } T \text{ and } s' \in E' \}$ .

588 ► **Definition 74.** Because the typed semigroup corresponding to the order predicate will be  
589 a very common, it is convenient to define an ordered typed block product,  $(S, G, E) \boxtimes_C$   
590  $(S', G', E')$  which will help simplify our algebraic representations whose numerical predicates  
591 only include order; this is defined the same as the typed block product above but with a change  
592 to condition (1)(b):

- 593 (1)(b<sub><</sub>)  $f \in E^{S'^1 \times S'^1}$  such that for  $b_1, b_2, b_3, b_4 \in S'$ , if for all  $c \in C$  and all  $A' \in G'$ ,
- 594 (i)  $b_1 c b_2 \in A'$  iff  $b_3 c b_4 \in A'$ ,
  - 595 (ii)  $b_1 c \in A'$  iff  $b_3 c \in A'$ ,
  - 596 (iii) and  $c b_2 \in A'$  iff  $c b_4 \in A'$ ,
  - 597 then  $f(b_1, b_2) = f(b_3, b_4)$ .

598 ► **Definition 75.** For a set of typed semigroups  $W$ , we let

$$599 \quad W_0 = \text{wc}(W)$$

600 and for each  $k \geq 1$ ,

$$601 \quad W_k = \{ S_1 \boxdot_C S_2 \mid S_1 \in W_0, S_2 \in W_{k-1}, \text{ and finite } C \subseteq S_2 \}$$

$$602 \quad W_k^< = \{ S_1 \boxtimes_C S_2 \mid S_1 \in W_0, S_2 \in W_{k-1}^<, \text{ and finite } C \subseteq S_2 \}$$

603 We define the (ordered) strong block product closure of  $W$ , denoted  $\text{sbpc}(W)$  ( $\text{sbpc}_<(W)$ ), as

$$604 \quad \text{sbpc}(W) = \bigcup_{k \in \mathbb{N}} W_k$$

$$605 \quad \text{sbpc}_<(W) = \bigcup_{k \in \mathbb{N}} W_k^<.$$