

# AD Exam 2019

## Divide and Conquer

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### Disposition

#### Feedback fra Lars

*Perfekt. Dette er bogstaveligt talt præcist sådan, jeg ville lave en disposition. Den viser klart, hvad du går igennem, uden at være for detaljeret. Strukturen har et naturligt flow, og den går i dybden med alt det, der er kød på. Hvis det er svært at holde sig til tiden, er det helt okay (og nogle gange anbefalet) at redegøre uformelt for korrektheden i stedet for bevise det formelt med løkkeinvarianter, som bogen gør. Men hvis du foretrækker det formelle, så gør du det.*

- Divide-and-conquer
  - Divide, conquer, combine
  - Large problem  $\rightarrow$  small chunks  $\rightarrow$  combine solutions
- Algorithm: Mergesort
  - Demonstration (by manually running the algorithm on the board)
  - Runtime  $\Theta(n \log n)$  - recursion tree
    - \*  $T(n) = 2T(n/2) + \Theta(n)$
  - Correctness - Proof by loop invariant

### Answer

#### Divide and Conquer

The problem is **divided** into smaller subproblems. If the subproblems are large enough to be solved recursively, we call that the *recursive case*. Once the problem is too small, the recursion *bottoms out* and we are at the *base case*. We can no longer recurse, and we solve the problem. Sometimes the subproblems look different than the original problem on top of having to solve smaller instances of the same problem. This is the **conquer** step. Lastly we **combine** the subsolutions and the original problem is solved.

#### Mergesort

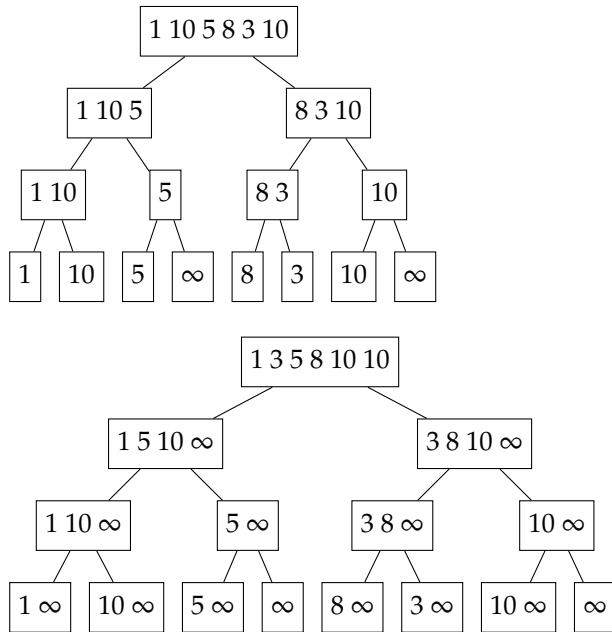
**Divide:** divides the sequence of size  $n$  into two subsequences of size  $n/2$ .

**Conquer:** Sort the subsequences recursively until the sequences have size 1, in which case the subsequence is sorted.

**Combine:** We merge the two sorted subsequences, making sure that they appear in the correct order.

The key operation in Mergesort is the combine step, where Merge is called, since Merge merges two already sorted subsequences, and ensures that the resulting merged sequence is sorted as well.

### Example



$\infty$  is not in the final sequence since the last for-loop in Merge only iterates for every element in the final sequence. That is also why there is only one  $\infty$  symbol for each subsequence.

**Runtime**  $\Theta(n \log n)$

The runtime of a **Divide-and-Conquer** algorithm is calculated as such:

$$T(n) = \begin{cases} \Theta(n) & \text{if } n \leq c \\ aT(n/b) + D(n) + C(n) & \text{otherwise.} \end{cases}$$

Merge runs in  $\Theta(n)$  time since at most  $n$  basic steps are performed. Mergesort find **divides** the problem by finding the middle of the array. This happens in constant time.  $D(n) = \Theta(1)$ .

Since the algorithm is **conquered** there are two recursive calls, each of which represent half of the original problem, we have  $2T(n/2)$ .

Merge **combines** the subsolutions. If Merge is called with a sequence of size  $n$ , then  $C(n) = \Theta(n)$ .

See recursion tree on the next page.

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ 2T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$

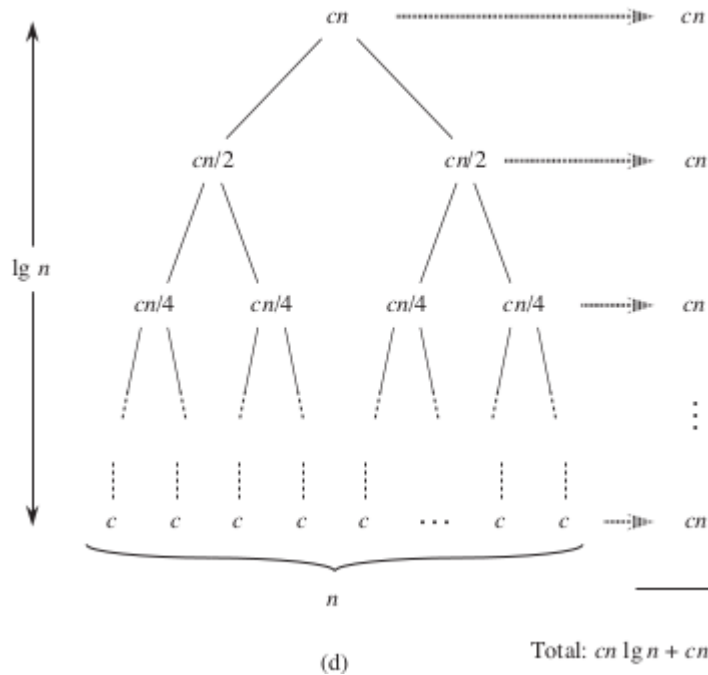


Figure 1: Recursion tree of Mergesort

From the substitution tree, we can estimate that the runtime is  $\Theta(n \lg n - n)$ . We shall now by substitution show if this holds.

Guess:  $T(n) = O(n \lg n)$

The want to find an upper bound on the recurrence

$$T(n) = 2T(\lfloor n/2 \rfloor) + n$$

We assume that this bound holds for  $T(n) \leq cn \lg n$  for  $n \geq n_0$ . We write:

$$\begin{aligned} T(n) &\leq 2(c\lfloor n/2 \rfloor \lg(\lfloor n/2 \rfloor)) + n && \text{Which is smaller than the assumed } T(n). \\ &\leq cn \lg(n/2) + n \\ &= cn \lg n - cn \lg 2 + n \\ &= cn \lg n - cn + n \\ &\leq cn \lg n \end{aligned}$$

$$T(n) = \begin{cases} \Theta(1) & n = 1 \\ \Theta(n \lg n) & n > 1 \end{cases}$$

Via strong induction, we have proved the runtime.

**Comment: The difference between weak induction and strong induction only appears in induction hypothesis. In weak induction, we only assume that particular statement holds at k-th step, while in strong induction, we assume that the particular statement holds at all the steps from the base case to k-th step.**

**Correctness: Proof by loop invariant**

In Mergesort the **combine** step solves the problem, and thus the correctness of Merge will be proved.

We wish to maintain the following loop invariant:

The for-loop loops from  $k = p$  to  $r$ . Before each iteration of the loop, we have the subsequence  $A[p..k - 1]$ , which contains the  $k - p$  smallest elements of the sequences  $L$  and  $R$ . Furthermore  $L[i]$  and  $R[j]$  are the smallest elements in the respective sequences that have not been copied into  $A$ .

*Initialization:*

Before the first iteration  $k = p$  and therefore the  $k - p = 0$  smallest elements have been added to  $A[p..k - 1]$ , which then is empty. Since  $i = j = 1$ , then  $L[i]$  and  $R[j]$  are the smallest elements in their respective sequences that have not been copied into  $A$ .

*Maintenance:*

There are two cases;  $L[i] \leq R[j]$  and  $L[i] > R[j]$ .

$L[i] \leq R[j]$

Before  $L[i]$  is copied into  $A$ , then  $A[p..k - 1]$  contains the  $k - p$  smallest elements. After  $L[i]$  is copied into  $A$  it contains the  $k - p + 1$  smallest.  $k$  and  $i$  are incremented, and the loop invariant holds before the next iteration.

$L[i] > R[j]$

Here  $R[j]$  is added to the  $A$ , and  $k$  and  $j$  are incremented. The loop invariant also holds for this case.

*Termination:*

The loop terminates when  $k = r + 1$ , since  $r$  is the last element in  $A[p..r]$ , where  $r = k - 1$ .  $A$  now contains the  $k - p = r - p + 1$  smallest elements of  $L$  and  $R$ . Only the largest element of both  $L$  and  $R$  have been added since the largest element of each array is a sentinel ( $\infty$ ). The loop invariant holds.