# Lambda calculus

Functional models of computation

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# Lambda calculus

# History

- 1928 Hilbert's Entscheidungsproblem <sup>1</sup>
  - Is there an *algorithm* for deciding whether a proposition in first-order logic is true or false?
- Replacement for set theory as foundation of mathematics
  - 1930 Combinatory logic (*Curry, Schönfinkel*)
  - 1932  $\lambda$ -calculus (*Church*)
  - 1935 Kleene-Rosser paradox
- Effective computability
  - 1935 Untyped  $\lambda$ -calculus (*Church, Kleene, Rosser*)
  - 1936 Turing machine
  - 1936 Church-Turing thesis
- 1936 Undecidability of first-order logic
  - Halting problem of Turing machine
  - Equivalence of  $\lambda$ -terms

<sup>&</sup>lt;sup>1</sup>German for "decision problem"

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- Haskell Curry
- Wilhelm Ackermann
- John von Neumann
- Ernst Zermelo
- ..

#### Alonzo Church

- Stephen Cole Kleene
- J. Barkley Rosser
- Alan Turing
- Dana Scott
- Michael O. Rabin
- ...

David Hilbert

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# Syntax

#### Grammar

$$term ::= \underbrace{var}_{\text{Variable}} | \underbrace{(term \ term)}_{\text{Application}} | \underbrace{(\lambda var. \ term)}_{\text{Abstraction}}$$

# Examples

$$\lambda x. x \qquad (\lambda x. xx)(\lambda y. yy) \qquad \lambda f. \lambda x. f(fx)$$

#### Conventions

- Application is left associative
   abc = (ab)c
- Abstraction is right associative  $\lambda x$ .  $\lambda y$ .  $x = \lambda x$ .  $(\lambda y, x)$
- Consecutive abstractions can be combined  $\lambda x$ .  $\lambda y$ .  $x = \lambda x y$ . x

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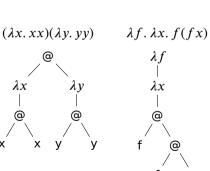
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# Tree representation



# Free and bound variables

# Free variables FV(t)

Variable: 
$$FV(x) = \{x\}$$

Application: 
$$FV(MN) = FV(M) \cup FV(N)$$

Abstraction: 
$$FV(\lambda x. M) = FV(M) \setminus \{x\}$$

# Bound variables BV(t)

Variable: 
$$BV(x) = \emptyset$$

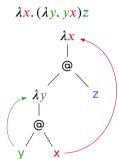
Application: 
$$BV(MN) = BV(M) \cup BV(N)$$

Abstraction: 
$$BV(\lambda x. M) = BV(M) \cup \{x\}$$

#### Closed terms

Term 
$$t$$
 is called closed or combinator if  $FV(t) = \emptyset$ 

# Example



# Substitution

Substitution 
$$t_{[v:=S]}$$

$$x_{[v:=S]} = \begin{cases} S & v = x \\ x & v \neq x \end{cases}$$

$$(MN)_{[v:=S]} = (M_{[v:=S]} N_{[v:=S]})$$

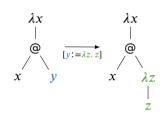
$$(\lambda x. M)_{[v:=S]} = \begin{cases} \lambda x. M & v = x \\ \lambda x. M_{[v:=S]} & v \neq x \end{cases}$$

## Safe substitution

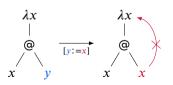
Substitution 
$$t_{[v]=S]}$$
 is safe if  $BV(t) \cap FV(S) = \emptyset$ 

# Example

$$(\lambda x. xy)_{[y:=\lambda z. z]} = \lambda x. x(\lambda z. z)$$



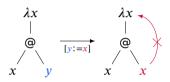
$$(\lambda x. xy)_{[y:=x]} = \lambda x. xx$$



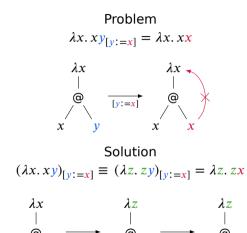
# Renaming

# Problem

$$\lambda x. x y_{[y:=x]} = \lambda x. x x$$



# Renaming



# Renaming

# $\alpha$ -equivalence

$$\lambda x. M \underset{\alpha}{\equiv} \lambda y. M_{[x:=y]} \quad \text{if } x \notin FV(M)$$

$$\lambda x. M \underset{\alpha}{\equiv} \lambda x. N \quad \text{if } M \underset{\alpha}{\equiv} N$$

$$MP \underset{\alpha}{\equiv} NP \quad \text{if } M \underset{\alpha}{\equiv} N$$

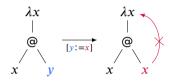
$$PM \equiv PN \quad \text{if } M \equiv N$$

#### Conventions

- λ-terms are considered identical up to α-equivalence
- Appropriate renaming happens implicitly if required during substitution

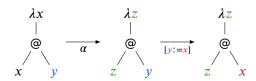
#### Problem

$$\lambda x. x y_{[y:=x]} = \lambda x. x x$$



#### Solution

$$(\lambda x. xy)_{[y:=x]} \equiv (\lambda z. zy)_{[y:=x]} = \lambda z. zx$$



## Evaluation

#### **Definitions**

- Subterm of form  $(\lambda x. M)N$  is called  $\beta$ -redex
- Redex  $(\lambda x. M)N$  can be reduced to  $M_{[x:=N]}$
- Reduction of single redex in term M is called  $\beta$ -reduction and denoted as  $M \to_{\beta} M'$
- $\beta$ -reduction in multiple steps is denoted as  $M \twoheadrightarrow_{\beta} M'$

# $\beta$ -reduction

$$\begin{split} (\lambda x.\,M) N \to_{\beta} M_{[x:=N]} \\ \lambda x.\,M \to_{\beta} \lambda x.\,N & \text{if } M \to_{\beta} N \\ M P \to_{\beta} N P & \text{if } M \to_{\beta} N \\ P M \to_{\beta} P N & \text{if } M \to_{\beta} N \end{split}$$

## Evaluation

#### **Definitions**

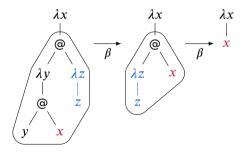
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# $\beta$ -reduction

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# Example

$$(\lambda x. \underline{(\lambda y. yx)(\lambda z. z)}) \rightarrow_{\beta} \\ (\lambda x. \underline{(\lambda z. z)x}) \rightarrow_{\beta} \lambda x. x$$



#### Normal order

- Term without any redex is in  $\beta$ -normal form
- Reduction sequence that always reduces leftmost outermost redex is called normal order reduction

# Example

$$\frac{(\lambda z. \underbrace{((\lambda x. xz)f)}_{((\lambda y. yz)g)} \underbrace{((\lambda y. yz)g)}_{a} \rightarrow_{\beta}}{\underbrace{((\lambda x. xa)f)}_{((\lambda y. ya)g)} \underbrace{((\lambda y. ya)g)}_{\beta} \rightarrow_{\beta} (fa) (ga)}$$

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# **Partiality**

$$\Omega = \frac{(\lambda x. xx)(\lambda x. xx)}{(xx)_{[x:=(\lambda x. xx)]} \to_{\beta}}$$
$$(\lambda x. xx)(\lambda x. xx) \to_{\beta} \dots$$

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# Example

$$\frac{(\lambda z. ((\lambda x. xz)f) ((\lambda y. yz)g))a \rightarrow_{\beta}}{((\lambda x. xa)f) ((\lambda y. ya)g) \rightarrow_{\beta}}$$
$$(fa) ((\lambda y. ya)g) \rightarrow_{\beta} (fa) (ga)$$

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Laziness

$$(\lambda x. y)\Omega \to_{\beta} y$$

#### Normal order

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# Example

$$\frac{(\lambda z. \underbrace{((\lambda x. xz)f)}_{((\lambda y. yz)g))a} \rightarrow_{\beta}}{\underbrace{((\lambda x. xa)f)}_{((\lambda y. ya)g)} \xrightarrow[\beta]{} ((\lambda y. ya)g) \rightarrow_{\beta} (fa) (ga)}$$

# Partiality

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$$\underline{(\lambda x. xx)(\lambda x. xx)} \to_{\beta} \dots$$

 $\Omega$  has no  $\beta$ -normal form

Laziness

$$\underline{(\lambda x.\; y)\Omega} \to_{\beta} y$$

Normal order reduction models lazy evaluation

# Recursion

Fixed-point combinator

Curry's Y-combinator

$$Y = \lambda f. (\lambda x. f(xx)) (\lambda x. f(xx))$$

Turing's  $\Theta$ -combinator

$$\Theta = (\lambda xy.\, x(xxy))\, (\lambda xy.\, x(xxy))$$

# Church-Turing thesis

Undecidability

# Programming foundation

Church numerals

Relation to folds

Algebraic data types

Predecessor

# $\eta$ -conversion

# $\eta$ -conversion

$$(\lambda x. Mx) \stackrel{\longleftarrow}{\longleftarrow} M \text{ if } x \notin FV(M)$$

# Q&A