## Lambda calculus

Functional models of computation

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## Lambda calculus

#### History

- 1928 Hilbert's Entscheidungsproblem <sup>1</sup>
  - Is there an *algorithm* for deciding whether a proposition in first-order logic is true or false?
- Replacement for set theory as foundation of mathematics
  - 1930 Combinatory logic (*Curry, Schönfinkel*)
  - 1932  $\lambda$ -calculus (*Church*)
  - 1935 Kleene-Rosser paradox
- Effective computability
  - 1935 Untyped  $\lambda$ -calculus (*Church, Kleene, Rosser*)
  - 1936 Turing machine
  - 1936 Church-Turing thesis
- 1936 Undecidability of first-order logic
  - Halting problem of Turing machine
  - Equivalence of  $\lambda$ -terms

<sup>&</sup>lt;sup>1</sup>German for "decision problem"

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- Haskell Curry
- Wilhelm Ackermann
- John von Neumann
- Ernst Zermelo
- ..

#### Alonzo Church

- Stephen Cole Kleene
- J. Barkley Rosser
- Alan Turing
- Dana Scott
- Michael O. Rabin
- ...

David Hilbert

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# Syntax

#### Grammar

$$term ::= \underbrace{var}_{\text{Variable}} | \underbrace{(term \ term)}_{\text{Application}} | \underbrace{(\lambda var. \ term)}_{\text{Abstraction}}$$

#### Examples

$$\lambda x. x \qquad (\lambda x. xx)(\lambda y. yy) \qquad \lambda f. \lambda x. f(fx)$$

#### Conventions

- Application is left associative
   abc = (ab)c
- Abstraction is right associative  $\lambda x$ .  $\lambda y$ .  $x = \lambda x$ .  $(\lambda y, x)$
- Consecutive abstractions can be combined  $\lambda x$ .  $\lambda y$ .  $x = \lambda x y$ . x

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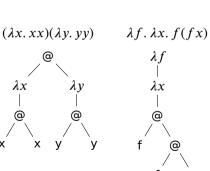
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#### Tree representation



## Free and bound variables

## Free variables FV(t)

Variable: 
$$FV(x) = \{x\}$$

Application: 
$$FV(MN) = FV(M) \cup FV(N)$$

Abstraction: 
$$FV(\lambda x. M) = FV(M) \setminus \{x\}$$

#### Bound variables BV(t)

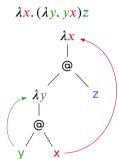
Variable: 
$$BV(x) = \emptyset$$

Application: 
$$BV(MN) = BV(M) \cup BV(N)$$

Abstraction: 
$$BV(\lambda x. M) = BV(M) \cup \{x\}$$

#### Closed terms

Term 
$$t$$
 is called closed or combinator if  $FV(t) = \emptyset$ 



## Substitution

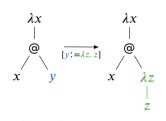
Substitution 
$$t_{[v:=S]}$$

$$x_{[v:=S]} = \begin{cases} S & v = x \\ x & v \neq x \end{cases}$$
$$(MN)_{[v:=S]} = (M_{[v:=S]} N_{[v:=S]})$$
$$(\lambda x. M)_{[v:=S]} = \begin{cases} \lambda x. M & v = x \\ \lambda x. M_{[v:=S]} & v \neq x \end{cases}$$

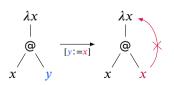
#### Safe substitution

Substitution  $t_{[v]=S]}$  is safe if  $BV(t) \cap FV(S) = \emptyset$ 

$$(\lambda x. xy)_{[y:=\lambda z. z]} = \lambda x. x(\lambda z. z)$$



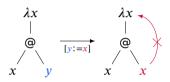
$$(\lambda x. xy)_{[y:=x]} = \lambda x. xx$$



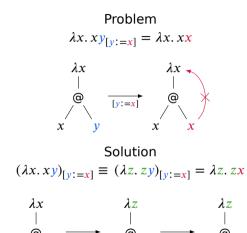
# Renaming

## Problem

$$\lambda x. x y_{[y:=x]} = \lambda x. x x$$



# Renaming



# Renaming

## $\alpha$ -equivalence

$$\lambda x. M \underset{\alpha}{\equiv} \lambda y. M_{[x:=y]} \quad \text{if } x \notin FV(M)$$

$$\lambda x. M \underset{\alpha}{\equiv} \lambda x. N \quad \text{if } M \underset{\alpha}{\equiv} N$$

$$MP \underset{\alpha}{\equiv} NP \quad \text{if } M \underset{\alpha}{\equiv} N$$

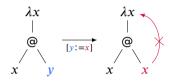
$$PM \equiv PN \quad \text{if } M \equiv N$$

#### Conventions

- λ-terms are considered identical up to α-equivalence
- Appropriate renaming happens implicitly if required during substitution

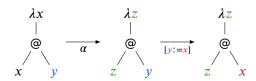
#### Problem

$$\lambda x. x y_{[y:=x]} = \lambda x. x x$$



#### Solution

$$(\lambda x. xy)_{[y:=x]} \equiv (\lambda z. zy)_{[y:=x]} = \lambda z. zx$$



#### Evaluation

#### **Definitions**

- Subterm of form  $(\lambda x. M)N$  is called  $\beta$ -redex
- Redex  $(\lambda x. M)N$  can be reduced to  $M_{[x:=N]}$
- $M \rightarrow_{\beta} M'$  denotes single  $\beta$ -reduction
- $M \twoheadrightarrow_{\beta} M'$  denotes several  $\beta$ -reductions
- $M \leftrightarrow_{\beta} M'$  denotes  $\beta$ -conversion as smallest equivalence relation containing  $\rightarrow_{\beta}$

## $\beta$ -reduction

$$\begin{array}{ccc} (\lambda x.\,M)N \to_{\beta} M_{[x:=N]} \\ \lambda x.\,M \to_{\beta} \lambda x.\,N & \text{if } M \to_{\beta} N \\ MP \to_{\beta} NP & \text{if } M \to_{\beta} N \\ PM \to_{\beta} PN & \text{if } M \to_{\beta} N \end{array}$$

#### Evaluation

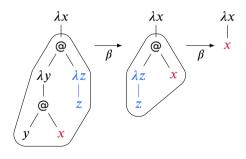
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## $\beta$ -reduction

$$\begin{split} (\lambda x.\,M) N \to_{\beta} M_{[x\,:\,=N]} \\ \lambda x.\,M \to_{\beta} \lambda x.\,N & \text{if } M \to_{\beta} N \\ M \,P \to_{\beta} N \,P & \text{if } M \to_{\beta} N \\ P M \to_{\beta} P N & \text{if } M \to_{\beta} N \end{split}$$

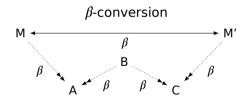
$$(\lambda x. \underbrace{(\lambda y. yx)(\lambda z. z)}) \rightarrow_{\beta} \\ (\lambda x. (\lambda z. z)x) \rightarrow_{\beta} \lambda x. x$$



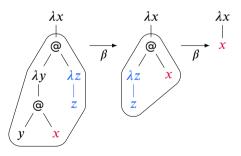
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$$(\lambda x. \underbrace{(\lambda y. yx)(\lambda z. z))}_{(\lambda x. (\lambda z. z)x)} \rightarrow_{\beta} \lambda x. x$$



#### Normal order

- Term without any redex is in  $\beta$ -normal form
- Reduction sequence that always reduces leftmost outermost redex is called normal order reduction

$$\frac{(\lambda z. \underbrace{((\lambda x. xz)f)}_{((\lambda y. yz)g))a} \rightarrow_{\beta}}{\underbrace{((\lambda x. xa)f)}_{((\lambda y. ya)g)} \xrightarrow[\beta]{} ((\lambda y. ya)g) \rightarrow_{\beta} (fa) (ga)}$$

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## Example

$$\frac{(\lambda z. \underbrace{((\lambda x. xz)f)}_{((\lambda y. yz)g)} \underbrace{((\lambda y. yz)g)}_{a} \rightarrow_{\beta}}{\underbrace{((\lambda x. xa)f)}_{((\lambda y. ya)g)} \underbrace{((\lambda y. ya)g)}_{\beta} \rightarrow_{\beta} (fa) (ga)}$$

## Totality

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## Example

$$\frac{(\lambda z. ((\lambda x. xz)f) ((\lambda y. yz)g))a \rightarrow_{\beta}}{((\lambda x. xa)f) ((\lambda y. ya)g) \rightarrow_{\beta}}$$
$$(fa) ((\lambda y. ya)g) \rightarrow_{\beta} (fa) (ga)$$

#### Totality

$$\Omega = \frac{(\lambda x. xx)(\lambda x. xx)}{(xx)_{[x:=(\lambda x. xx)]} \to_{\beta}}$$
$$(\lambda x. xx)(\lambda x. xx) \to_{\beta} \dots$$

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Strictness

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Strictness

$$(\lambda x. y)\Omega \to_{\beta} y$$

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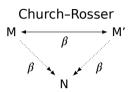
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Strictness

$$\underline{(\lambda x.\; y)\Omega} \to_{\beta} y$$

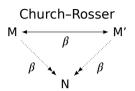
Normal order reduction models non-strict or lazy evaluation

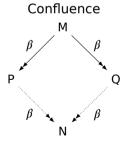
Church–Rosser theorem If  $M\leftrightarrow_{\beta}M'$  then there exists N such that  $M\twoheadrightarrow_{\beta}N$  and  $M'\twoheadrightarrow_{\beta}N$ 



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 $\text{Confluence property} \\ \text{If } M \twoheadrightarrow_{\beta} P \text{ and } M \twoheadrightarrow_{\beta} Q \text{ then there exists } N \text{ such that } P \twoheadrightarrow_{\beta} N \text{ and } Q \twoheadrightarrow_{\beta} N \\ \\$ 





Church-Rosser theorem

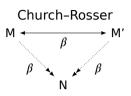
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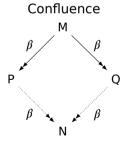
Confluence property

If  $M \twoheadrightarrow_{\beta} P$  and  $M \twoheadrightarrow_{\beta} Q$  then there exists N such that  $P \twoheadrightarrow_{\beta} N$  and  $Q \twoheadrightarrow_{\beta} N$ 

Corollary

 $\beta$ -normal form is unique up to  $\alpha$ -equivalence





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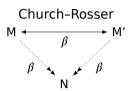
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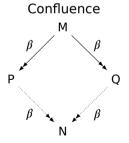
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#### Corollary

 $\beta$ -normal form is unique up to  $\alpha$ -equivalence

Normal order theorem If  $M \twoheadrightarrow_{\beta} N$  and N is in  $\beta$ -normal form then there exists normal order reduction sequence from M to N





## Recursion

Fixed-point combinator

Curry's Y-combinator

$$Y = \lambda f. (\lambda x. f(xx)) (\lambda x. f(xx))$$

Turing's  $\Theta$ -combinator

$$\Theta = (\lambda xy.\, x(xxy))\, (\lambda xy.\, x(xxy))$$

# Church-Turing thesis

Undecidability

# Programming foundation

Church numerals

Relation to folds

Algebraic data types

Predecessor

# $\eta$ -conversion

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$$(\lambda x. Mx) \stackrel{\longleftarrow}{\longleftarrow} M \text{ if } x \notin FV(M)$$

# Q&A