Lambda calculus

Functional models of computation

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Lambda calculus

History

- 1928 Hilbert's Entscheidungsproblem ¹
 - Is there an *algorithm* for deciding whether a proposition in first-order logic is true or false?
- Replacement for set theory as foundation of mathematics
 - 1930 Combinatory logic (*Curry, Schönfinkel*)
 - 1932 λ -calculus (*Church*)
 - 1935 Kleene-Rosser paradox
- Effective computability
 - 1935 Untyped λ -calculus (*Church, Kleene, Rosser*)
 - 1936 Turing machine
 - 1936 Church-Turing thesis
- 1936 Undecidability of first-order logic
 - Halting problem of Turing machine
 - Equivalence of λ -terms

¹German for "decision problem"

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- Haskell Curry
- Wilhelm Ackermann
- John von Neumann
- Ernst Zermelo
- ..

Alonzo Church

- Stephen Cole Kleene
- J. Barkley Rosser
- Alan Turing
- Dana Scott
- Michael O. Rabin
- ...

David Hilbert

¹German for "decision problem"

Untyped lambda calculus

Syntax

$$term ::= \underbrace{var}_{\text{Variable}} | \underbrace{(term \ term)}_{\text{Application}} | \underbrace{(\lambda var. \ term)}_{\text{Abstraction}}$$

Examples

$$\lambda x. x \qquad (\lambda x. xx)(\lambda y. yy) \qquad \lambda f. \lambda x. f(fx)$$

Conventions

- Application is left associative
 abc = (ab)c
- Abstraction is right associative λx . λy . $x = \lambda x$. $(\lambda y, x)$
- Consecutive abstractions can be combined λx . λy . $x = \lambda x y$. x

Untyped lambda calculus

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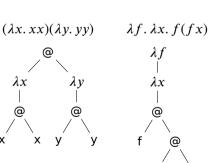
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Tree representation



Free and bound variables

Free variables FV(t)

Variable:
$$FV(x) = \{x\}$$

Application:
$$FV(MN) = FV(M) \cup FV(N)$$

Abstraction:
$$FV(\lambda x. M) = FV(M) \setminus \{x\}$$

Bound variables BV(t)

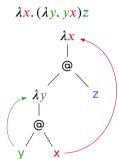
Variable:
$$BV(x) = \emptyset$$

Application:
$$BV(MN) = BV(M) \cup BV(N)$$

Abstraction:
$$BV(\lambda x. M) = BV(M) \cup \{x\}$$

Closed terms

Term
$$t$$
 is called closed or combinator if $FV(t) = \emptyset$



Substitution

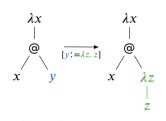
Substitution
$$t_{[v:=S]}$$

$$x_{[v:=S]} = \begin{cases} S & v = x \\ x & v \neq x \end{cases}$$
$$(MN)_{[v:=S]} = (M_{[v:=S]} N_{[v:=S]})$$
$$(\lambda x. M)_{[v:=S]} = \begin{cases} \lambda x. M & v = x \\ \lambda x. M_{[v:=S]} & v \neq x \end{cases}$$

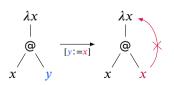
Safe substitution

Substitution $t_{[v]=S]}$ is safe if $BV(t) \cap FV(S) = \emptyset$

$$(\lambda x. xy)_{[y:=\lambda z. z]} = \lambda x. x(\lambda z. z)$$



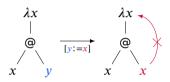
$$(\lambda x. xy)_{[y:=x]} = \lambda x. xx$$



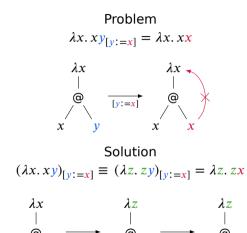
Renaming

Problem

$$\lambda x. x y_{[y:=x]} = \lambda x. x x$$



Renaming



Renaming

α -equivalence

$$\lambda x. M \underset{\alpha}{\equiv} \lambda y. M_{[x:=y]} \quad \text{if } x \notin FV(M)$$

$$\lambda x. M \underset{\alpha}{\equiv} \lambda x. N \quad \text{if } M \underset{\alpha}{\equiv} N$$

$$MP \underset{\alpha}{\equiv} NP \quad \text{if } M \underset{\alpha}{\equiv} N$$

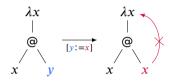
$$PM \equiv PN \quad \text{if } M \equiv N$$

Conventions

- λ-terms are considered identical up to α-equivalence
- Appropriate renaming happens implicitly if required during substitution

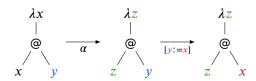
Problem

$$\lambda x. x y_{[y:=x]} = \lambda x. x x$$



Solution

$$(\lambda x. xy)_{[y:=x]} \equiv (\lambda z. zy)_{[y:=x]} = \lambda z. zx$$



Evaluation

Definitions

- Subterm of form $(\lambda x. M)N$ is called β -redex
- Redex $(\lambda x. M)N$ can be reduced to $M_{[x:=N]}$
- $M \rightarrow_{\beta} M'$ denotes single β -reduction
- $M \twoheadrightarrow_{\beta} M'$ denotes several β -reductions
- $M \leftrightarrow_{\beta} M'$ denotes β -conversion as smallest equivalence relation containing \rightarrow_{β}

β -reduction

$$\begin{array}{ccc} (\lambda x.\,M)N \to_{\beta} M_{[x:=N]} \\ \lambda x.\,M \to_{\beta} \lambda x.\,N & \text{if } M \to_{\beta} N \\ MP \to_{\beta} NP & \text{if } M \to_{\beta} N \\ PM \to_{\beta} PN & \text{if } M \to_{\beta} N \end{array}$$

Evaluation

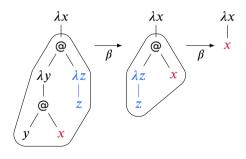
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β -reduction

$$\begin{split} (\lambda x.\,M) N \to_{\beta} M_{[x\,:\,=N]} \\ \lambda x.\,M \to_{\beta} \lambda x.\,N & \text{if } M \to_{\beta} N \\ M \,P \to_{\beta} N \,P & \text{if } M \to_{\beta} N \\ P M \to_{\beta} P N & \text{if } M \to_{\beta} N \end{split}$$

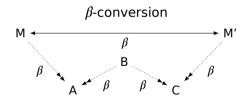
$$(\lambda x. \underbrace{(\lambda y. yx)(\lambda z. z)}) \rightarrow_{\beta} \\ (\lambda x. (\lambda z. z)x) \rightarrow_{\beta} \lambda x. x$$



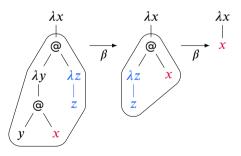
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$$(\lambda x. \underbrace{(\lambda y. yx)(\lambda z. z))}_{\beta} \rightarrow_{\beta} \lambda x. \underbrace{(\lambda x. (\lambda z. z)x)}_{\beta} \rightarrow_{\beta} \lambda x. x$$



Normal order

- Term without any redex is in β -normal form
- Reduction sequence that always reduces leftmost outermost redex is called normal order reduction

$$\frac{(\lambda z. \underbrace{((\lambda x. xz)f)}_{((\lambda y. yz)g))a} \rightarrow_{\beta}}{\underbrace{((\lambda x. xa)f)}_{((\lambda y. ya)g)} \xrightarrow[\beta]{} ((\lambda y. ya)g) \rightarrow_{\beta} (fa) (ga)}$$

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Example

$$\frac{(\lambda z. \underbrace{((\lambda x. xz)f)}_{((\lambda y. yz)g)} \underbrace{((\lambda y. yz)g)}_{a} \rightarrow_{\beta}}{\underbrace{((\lambda x. xa)f)}_{((\lambda y. ya)g)} \underbrace{((\lambda y. ya)g)}_{\beta} \rightarrow_{\beta} (fa) (ga)}$$

Totality

Normal order

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Example

$$\frac{(\lambda z. ((\lambda x. xz)f) ((\lambda y. yz)g))a \rightarrow_{\beta}}{((\lambda x. xa)f) ((\lambda y. ya)g) \rightarrow_{\beta}}$$
$$(fa) ((\lambda y. ya)g) \rightarrow_{\beta} (fa) (ga)$$

Totality

$$\Omega = \frac{(\lambda x. xx)(\lambda x. xx)}{(xx)_{[x:=(\lambda x. xx)]} \to_{\beta}}$$
$$(\lambda x. xx)(\lambda x. xx) \to_{\beta} \dots$$

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 Ω has no eta-normal form

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Strictness

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 Ω has no β -normal form

Strictness

$$(\lambda x. y)\Omega \to_{\beta} y$$

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Totality

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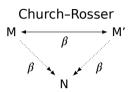
 Ω has no β -normal form

Strictness

$$\underline{(\lambda x.\ y)\Omega} \to_{\beta} y$$

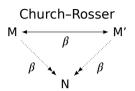
Normal order reduction models non-strict or lazy evaluation

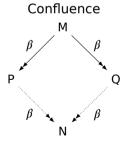
Church–Rosser theorem If $M\leftrightarrow_{\beta}M'$ then there exists N such that $M\twoheadrightarrow_{\beta}N$ and $M'\twoheadrightarrow_{\beta}N$



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 $\text{Confluence property} \\ \text{If } M \twoheadrightarrow_{\beta} P \text{ and } M \twoheadrightarrow_{\beta} Q \text{ then there exists } N \text{ such that } P \twoheadrightarrow_{\beta} N \text{ and } Q \twoheadrightarrow_{\beta} N \\ }$





Church-Rosser theorem

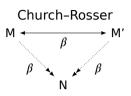
If $M \leftrightarrow_{\beta} M'$ then there exists N such that $M \twoheadrightarrow_{\beta} N$ and $M' \twoheadrightarrow_{\beta} N$

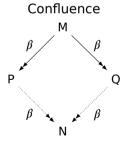
Confluence property

If $M \twoheadrightarrow_{\beta} P$ and $M \twoheadrightarrow_{\beta} Q$ then there exists N such that $P \twoheadrightarrow_{\beta} N$ and $Q \twoheadrightarrow_{\beta} N$

Corollary

 β -normal form is unique up to α -equivalence





Church-Rosser theorem

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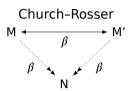
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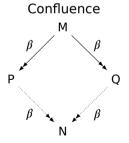
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Corollary

 β -normal form is unique up to α -equivalence

Normal order theorem If $M \twoheadrightarrow_{\beta} N$ and N is in β -normal form then there exists normal order reduction sequence from M to N





Recursion

Fixed-point combinator

Curry's Y-combinator

$$Y = \lambda f. (\lambda x. f(xx)) (\lambda x. f(xx))$$

Turing's Θ -combinator

$$\Theta = (\lambda xy.\, x(xxy))\, (\lambda xy.\, x(xxy))$$

Church-Turing thesis

Undecidability

Programming foundation

Church numerals

Relation to folds

Algebraic data types

Predecessor

η -conversion

η -conversion

$$(\lambda x. Mx) \stackrel{\longleftarrow}{\longleftarrow} M \text{ if } x \notin FV(M)$$

Q&A