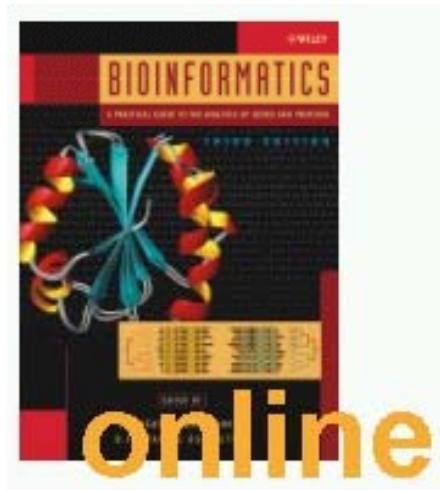


2. Predictive Methods Using DNA Sequences (1)

薛佑玲 Yow-Ling Shiue
國立中山大學生物醫學研究所
✉ ylshiue@mail.nsysu.edu.tw

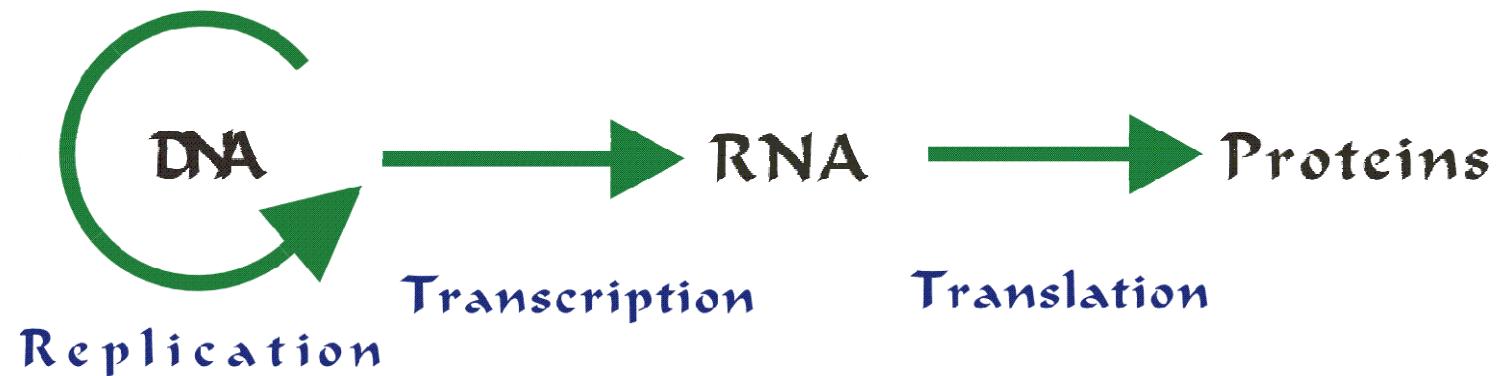


Select a Chapter: Chapter 5 ▾

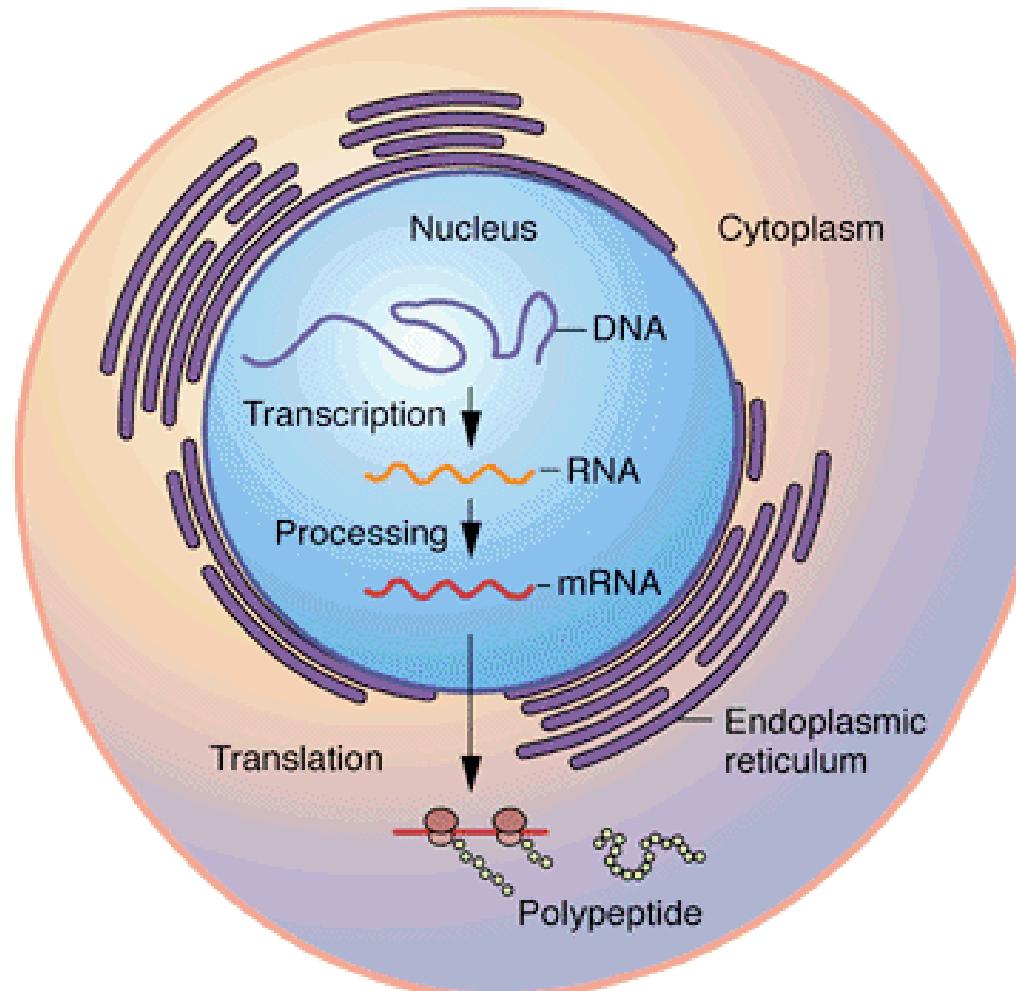
Chapter 5: Predictive Methods Using DNA Sequences

- [Sample Data for Problem Sets](#)
- [Internet Resources](#)

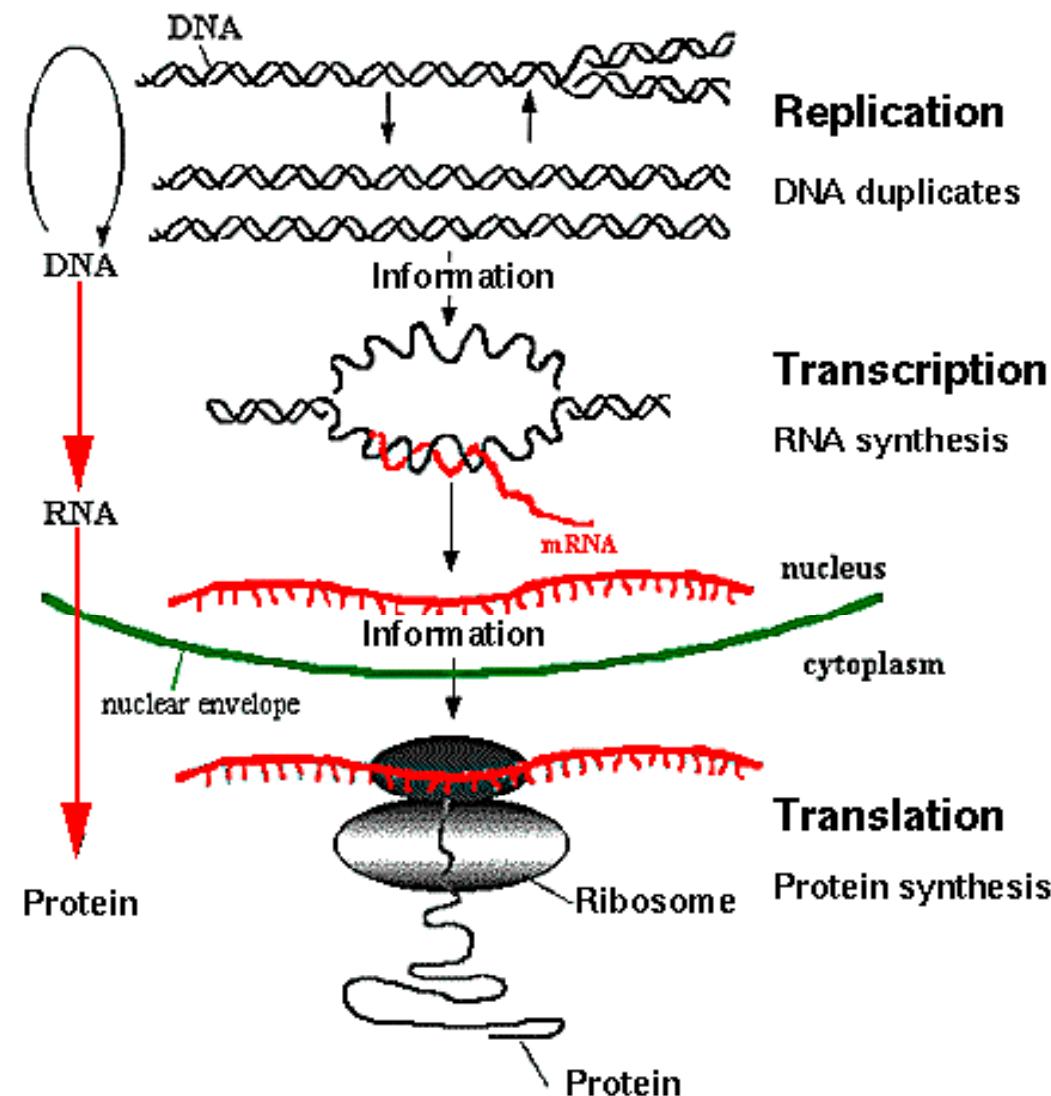
Introduction - the Central Dogma



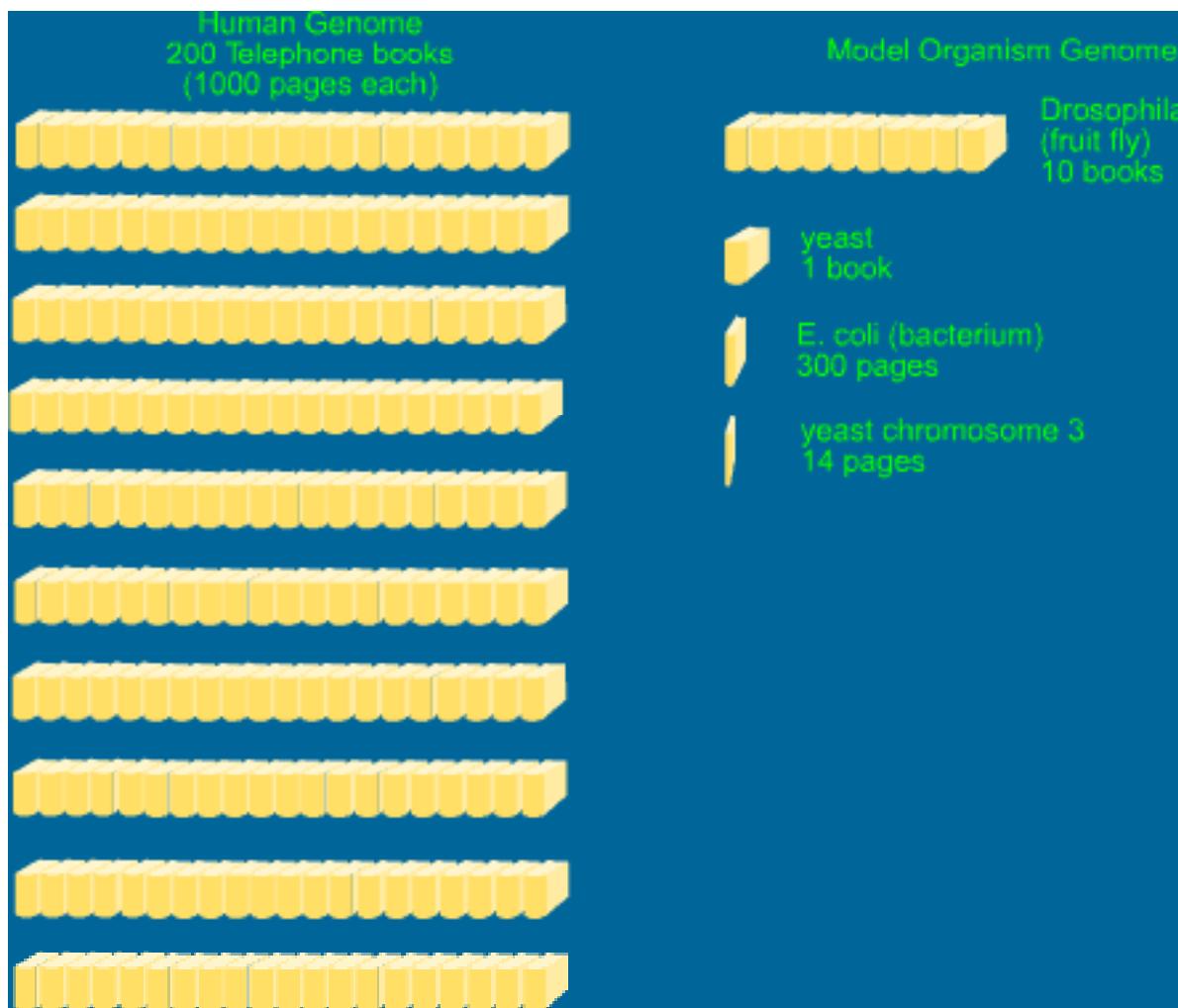
Introduction - the Central Dogma



Introduction - the Central Dogma



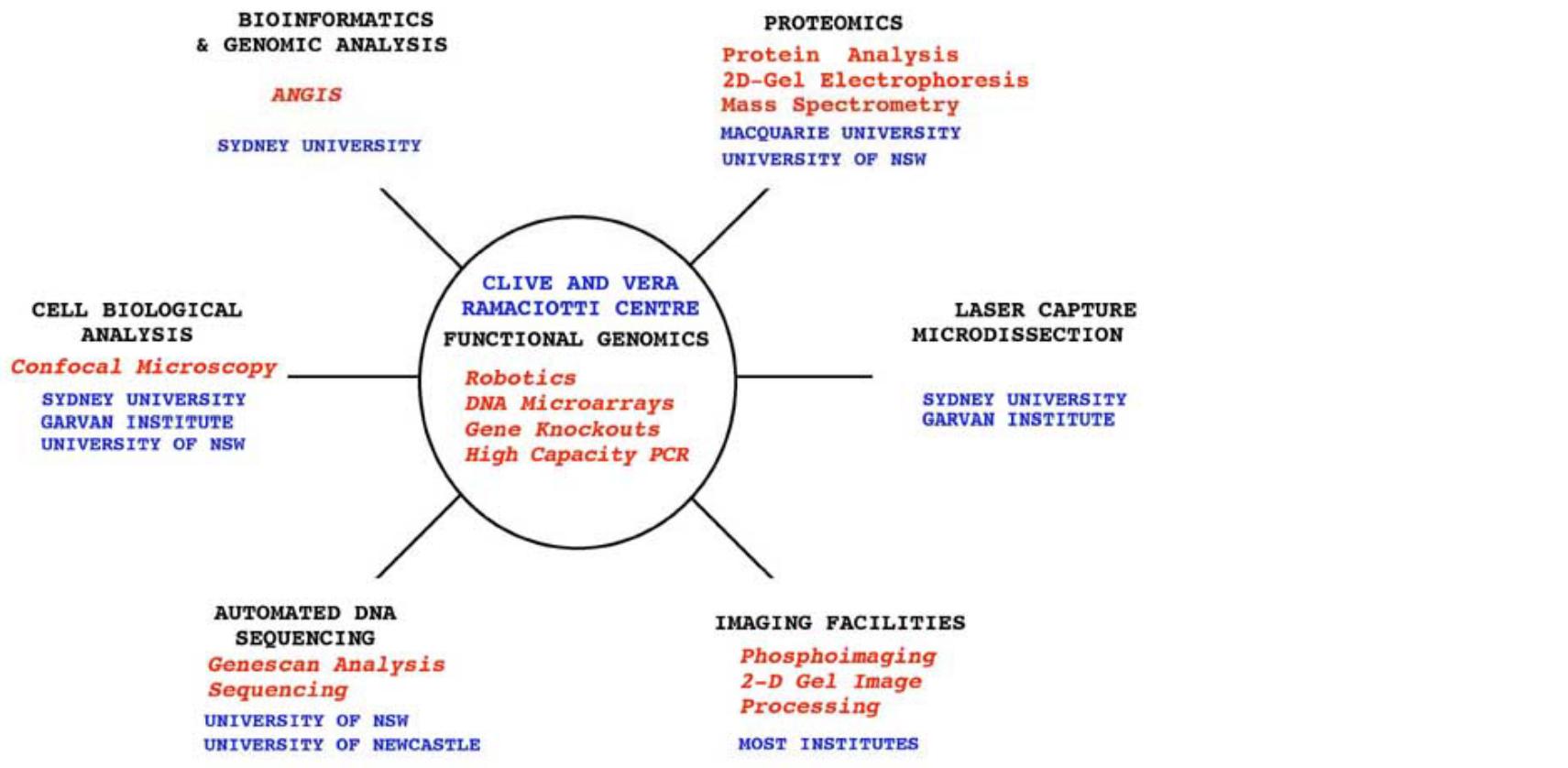
Prokaryotic vs. Eukaryotic Genomes



- ✗ <2% of vertebrate genomes code for proteins (Venter et al. 2001)
- ✗ <http://www.nyu.edu/u/classes/ytchang/book/e001.html>

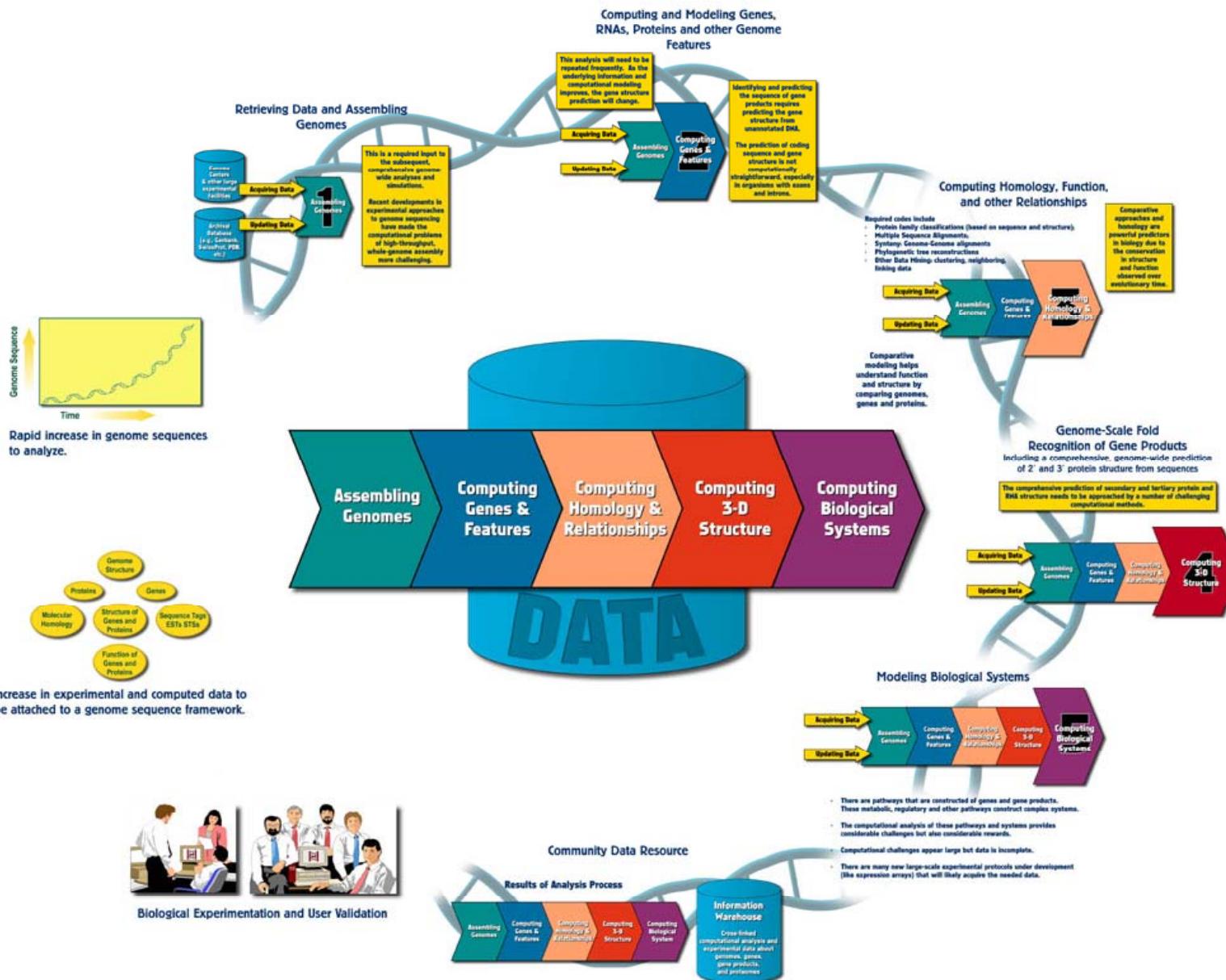
Functional Genomics

AVAILABLE TECHNOLOGIES

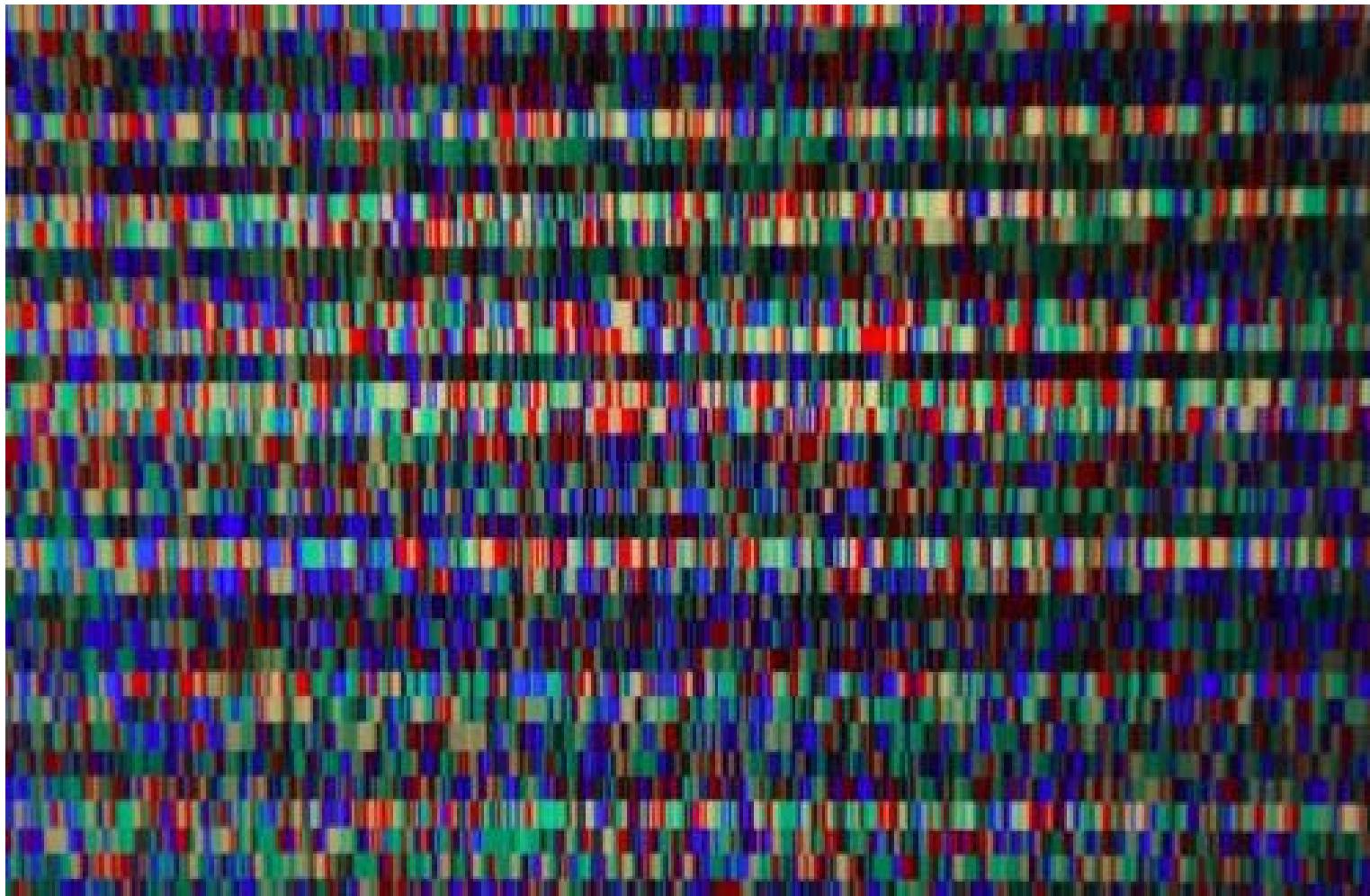


http://www.ramaciotti.unsw.edu.au/directors_report.html

Computing the Genome Revolution: Biology for the 21st Century



Gene Prediction Programs (1)



Gene Prediction Programs (2)

- ✗ Factors based
 - ✗ Compositional bias found in protein-coding regions
 - ✗ Similarity with known sequences
- ✗ But **not** accurate enough, without **cDNA sequence** data
 - ✗ Prediction = highly hypothetical

Gene Prediction Programs (3)

- ✗ Annotation of the human genome
 - ✗ Genome Browse (UCSC)
 - ✗ Kent et al. 2002
 - ✗ Ensembl (EBI)
 - ✗ Birney et al. 2004
 - ✗ Map Viewer (NCBI)

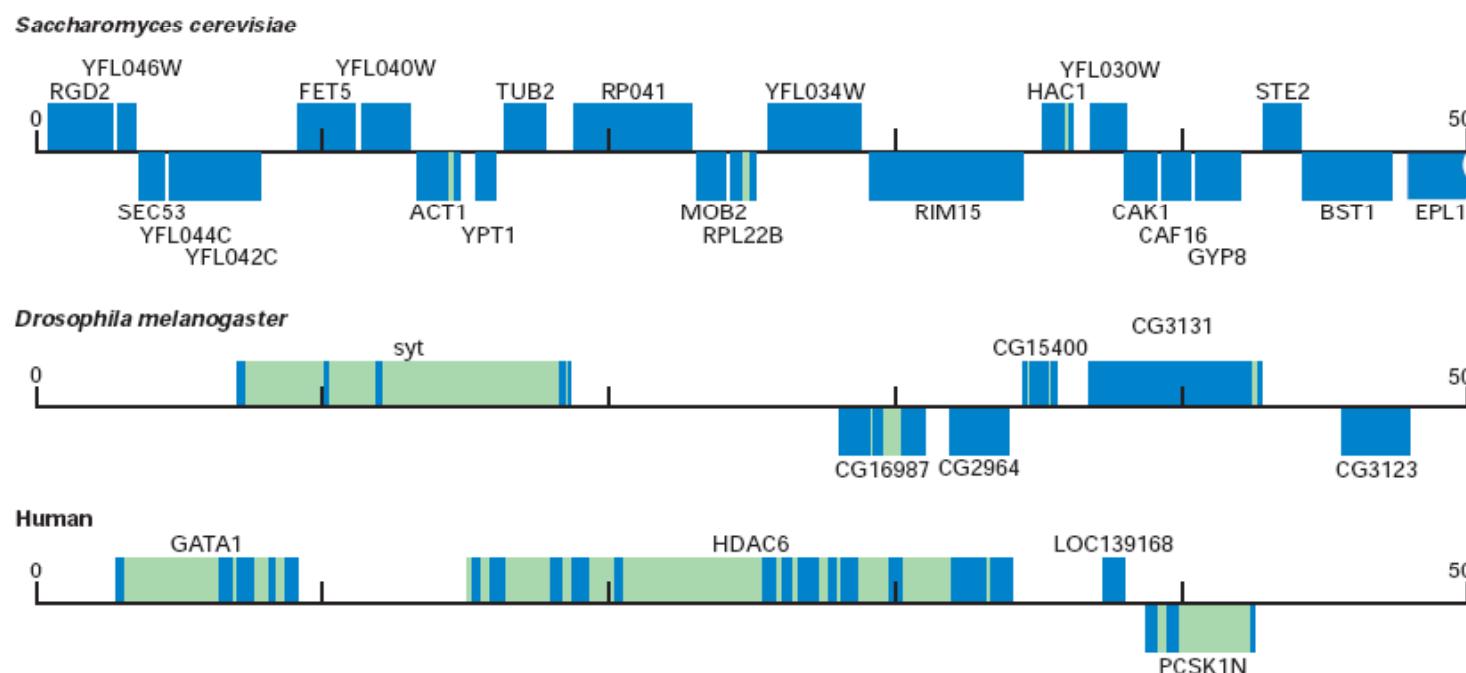
Gene Prediction Methods - Single vs. Combinatorial (1)

- ✗ **Searching by signal**
 - ✗ The analysis of sequence signals that are potentially involved in **gene specification**
- ✗ **Searching by content**
 - ✗ The analysis of regions showing **compositional bias** that has been correlated with **coding regions**
- ✗ **Example**
 - ✗ *Ab initio* gene prediction ~ **intrinsic** or **template** gene prediction

Gene Prediction Methods - Single vs. Combinatorial (2)

- ✗ Homolog-based gene prediction
 - ✗ Comparing sequences of interest against known coding sequences
- ✗ Comparative gene prediction
 - ✗ Comparing sequences of interest anonymous genomic sequences
- ✗ Example
 - ✗ Extrinsic or look-up gene prediction
 - ✗ Gene structure is predicted through comparison with other sequences whose characteristics are already known

Prokaryotic vs. Eukaryotic Genes (1)



▲ **FIGURE 9-33 Arrangement of gene sequences in representative 50-kb segments of yeast, fruit fly, and human genomes.** Genes above the line are transcribed to the right; genes below the line are transcribed to the left. Blue blocks represent exons (coding sequences); green blocks represent introns (noncoding sequences). Because yeast genes contain few if any introns, scanning genomic sequences for open reading frames (ORFs) correctly identifies most gene sequences. In

contrast, the genes of higher eukaryotes typically comprise multiple exons separated by introns. ORF analysis is not effective in identifying genes in these organisms. Likely gene sequences for which no functional data are available are designated by numerical names: in yeast, these begin with Y; in *Drosophila*, with CG; and in humans, with LOC. The other genes shown here encode proteins with known functions.

Prokaryotic vs. Eukaryotic Genes (2)

- ✗ Prokaryotic genes
 - ✗ By single open reading frames (ORFs)
 - ✗ Usually found adjacent to one another
- ✗ Eukaryotic genes
 - ✗ Coding sequences (the exons) are interrupted by large, non-coding introns

Gene Prediction in Eukaryotes (1)

1. Identifying and scoring suitable
 - ✗ **Splice sites, start & stop signals** along the query sequence
2. Predicting candidate **exons**
 - ✗ As deduced through the detection of these **signals**

Gene Prediction in Eukaryotes (2)

3. Scoring these exons as a function of both
 - ✗ The **signals** used to detect the **exons**, as well as on
 - ✗ **Coding statistics** computed on the putative exon sequence itself
- ✗ In **homology-based & comparative methods**
 - ✗ Exon scores **factor** in the quality of the alignment between the query sequence and either known coding sequences or anonymous genomic sequences

Gene Prediction in Eukaryotes (3)

4. Assembling a subset of these candidates into a predicted gene structure
 - * To maximize a particular scoring function
 - * Dependent on the score of each of the individual exon candidates that comprise the overall predicted gene structure

Prediction of Exon-Defining Signals (1)

- ✗ Df: sequence signals
 - ✗ Short, function DNA elements involved in gene specification

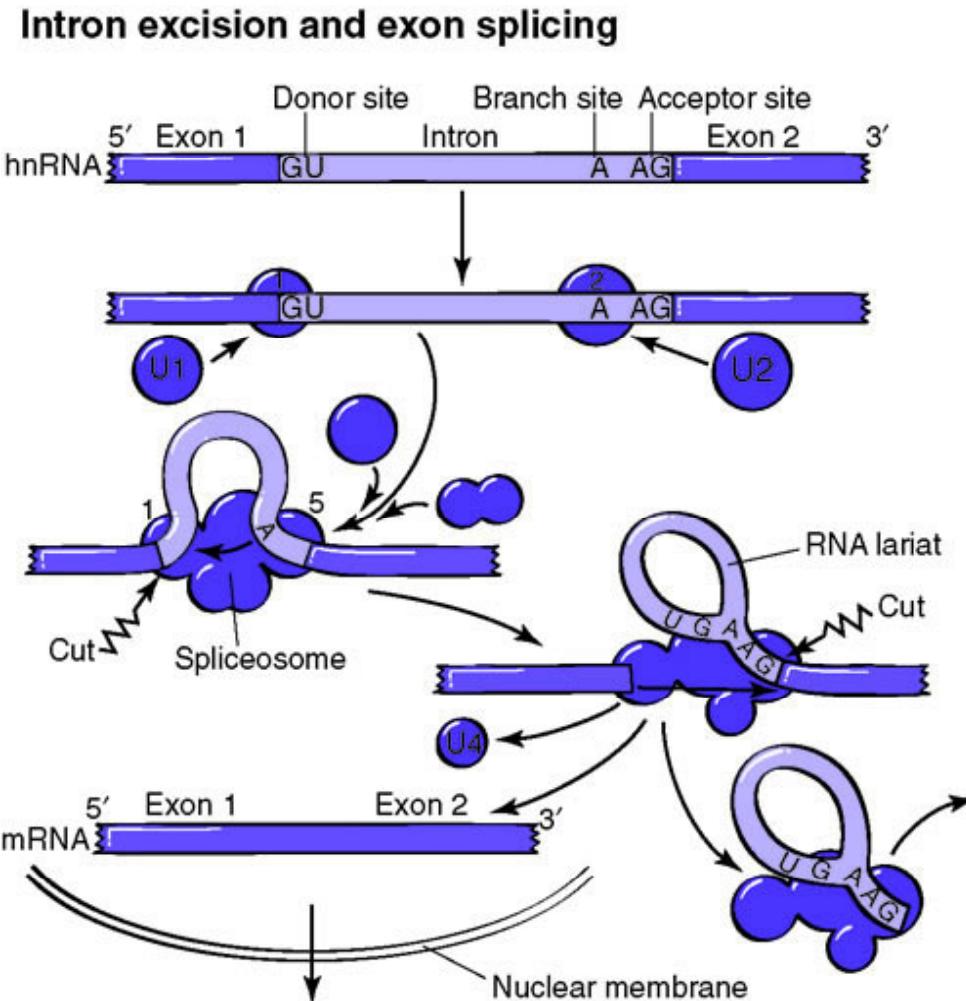
Four Basic Signals Involved in Gene Specification (1) - PWMs

1. The translational start site (¹ATG)

2. The 5' (donor) splicing site

3. The 3' (acceptor) splicing site

U1, U2: ribonucleoproteins



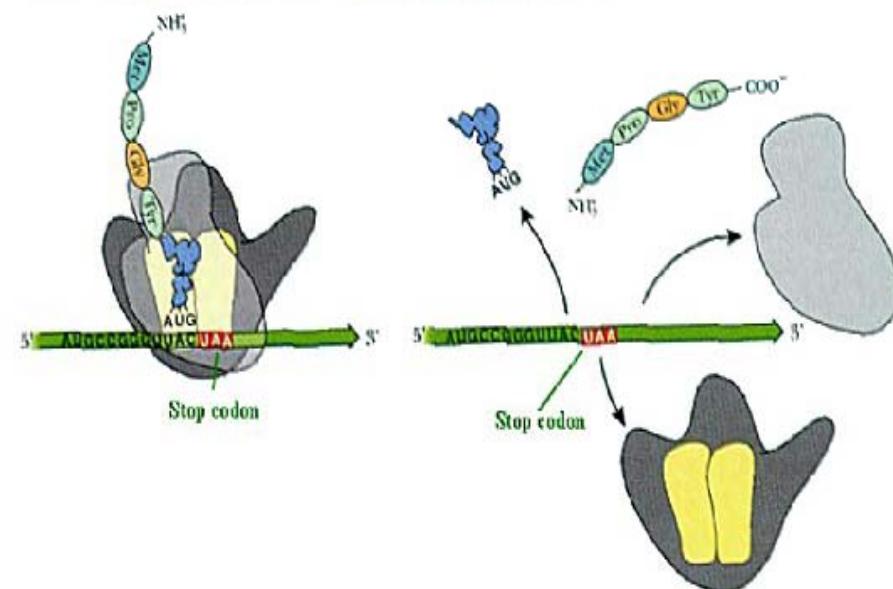
Four Basic Signals Involved in Gene Specification (2) - PWMs

4. The stop codon

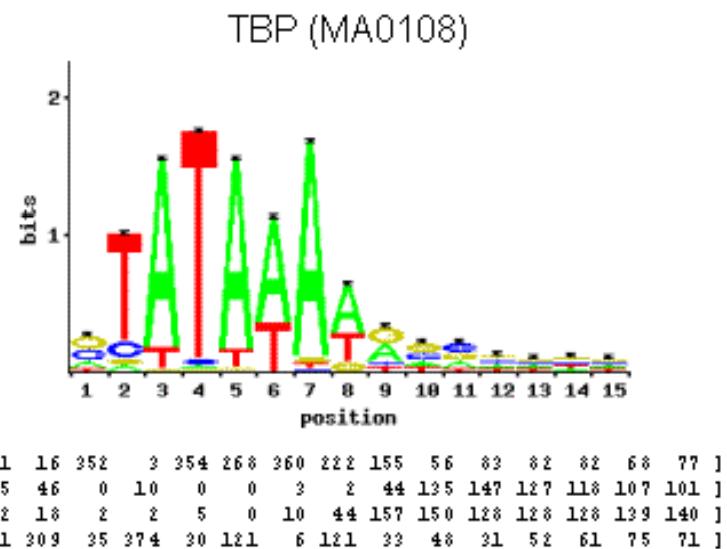
The Genetic Code

	U	C	A	G	
U	UUU Phenyl alanine UUC UUG Leucine UUA	UCU Serine UCC UCA UCG	UAU Tyrosine UAC UAA Stop UAG	UGU Cysteine UGC UGA Stop UGG Tryptophan	U C A G
C	CUU CUC Leucine CUA CUG	CCU Proline CCC CCA CCG	CAU Histidine CAC CAA Glutamine CAG	CGU Arginine CGC CGA CGG	U C A G
A	AUU Isoleucine AUC AUA AUG Methionine	ACU Threonine ACC ACA ACG	AAU Asparagine AAC AAA Lysine AAG	AGU Serine AGC AGA Arginine AGG	U C A G
G	GUU GUC Valine GUA GUG	GCU Alanine GCC GCA GCG	GAU Aspartic acid GAC GAA Glutamic acid GAG	GGU Glycine GGC GGA GGG	U C A G

When the ribosome encounters a stop codon (shown as the red triplet), there is no tRNA attracted and the ribosome separates and leaves the mRNA.



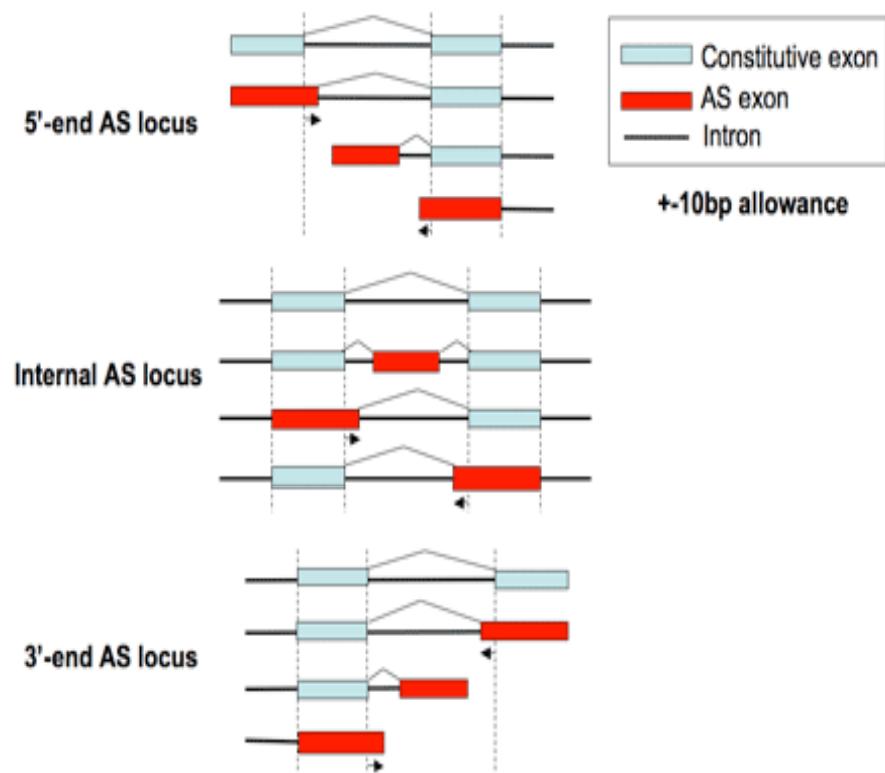
Position Weight Matrices (PWMs)



- ✗ A set of **known functional signals** and are used to **compute** the sequence signal across a sequence of interest
- ✗ **Transcriptional binding protein (TBP) motif**

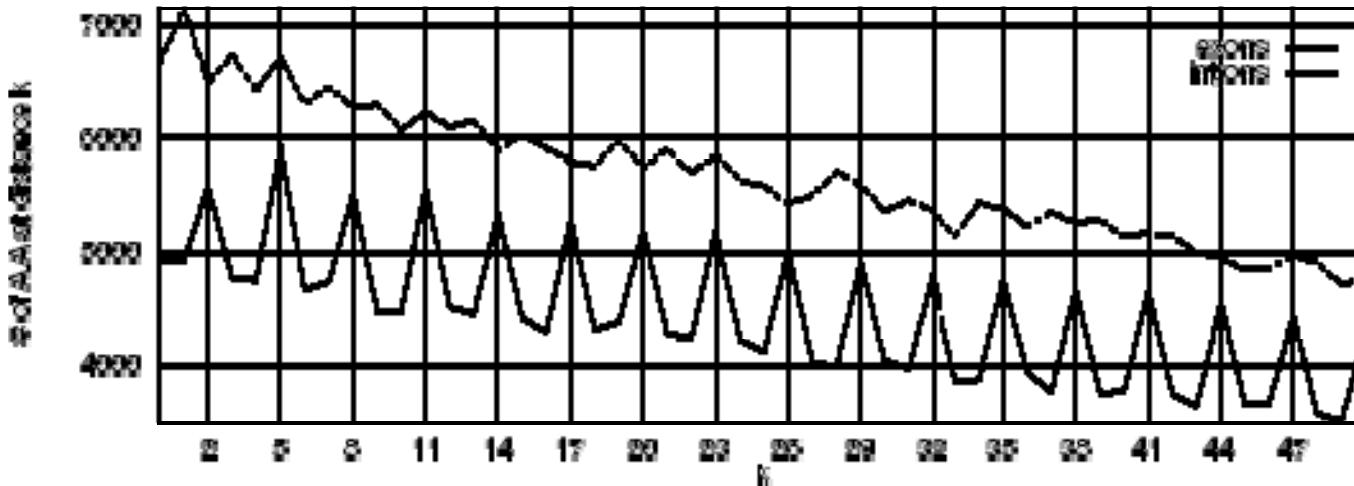
Prediction & Scoring of Exons (1)

- × Sequence signals +
- × Content-based features = coding statistics
- × Three types of exons
 - × Initial exons
 - × ORFs delimited by a start site and a 5' (donor) site
 - × Internal exons
 - × ORFs delimited by a 3' (acceptor) site and 5' (donor) site
 - × Terminal exons
 - × ORFs delimited by a 3' (acceptor) site and a stop codon



Content-based Features = Coding Statistics

Absolute frequency

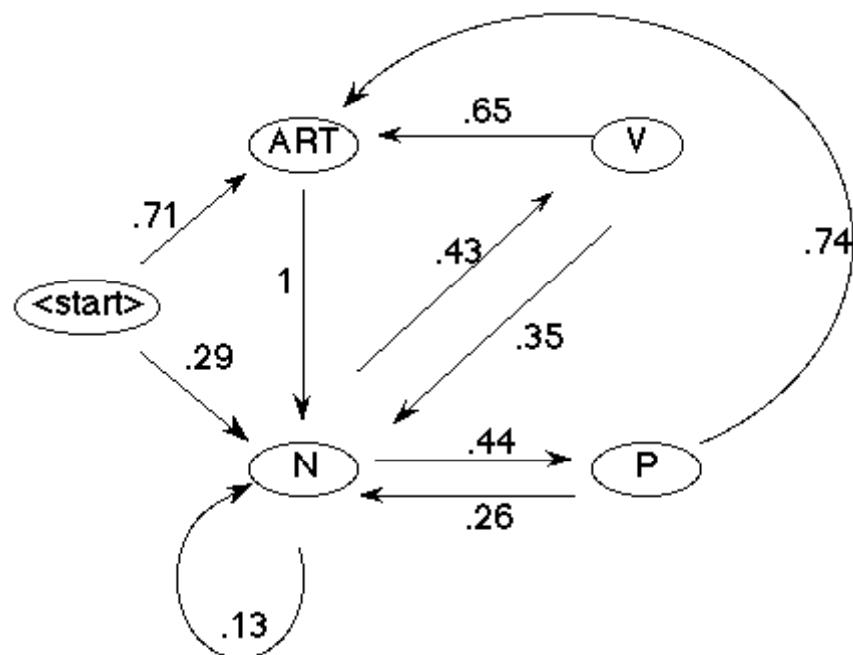


- 1761 exons
- 1753 introns
- Clear triplet pattern in coding regions=the characteristic codon usage in coding region

- ✗ **Coding statistics**

- ✗ The likelihood that a given DNA sequence codes for **a protein or protein fragment**
 - ✗ **E.g., Hexamer frequencies:** in the form of codon position-dependent fifth-order Markov model: most widely used
- ✗ The **uneven distribution** of amino acids in proteins, discriminate **protein-coding regions from non-coding regions**
 - ✗ Fickett & Tung 1992; Gelfand 1995; Guigo 1999

A Morkov Chain



- An edge-labeled directed graph; each node: a “state”; edge-labels: probabilities of moving the state at the end of the directed arc.

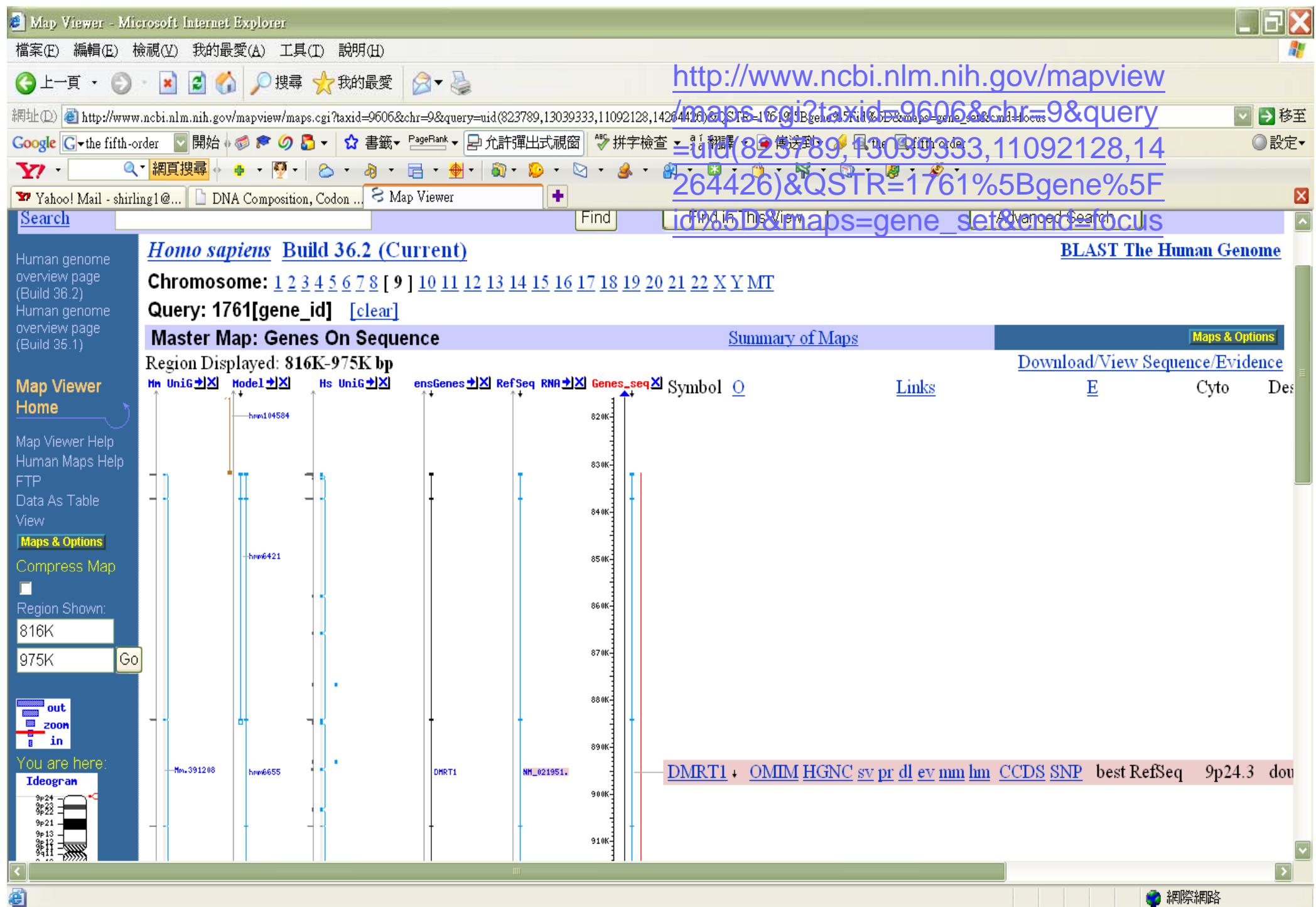
- A series of observations in which the probability of an observation depends on a number of previous observations
- The number of observations defines the “order” of the chain
 - [Example] in a first-order Markov model, the probability of an observation depends only on the previous observation. In a Markov chain of order 5, the probability of an observation depends on the five preceding observations

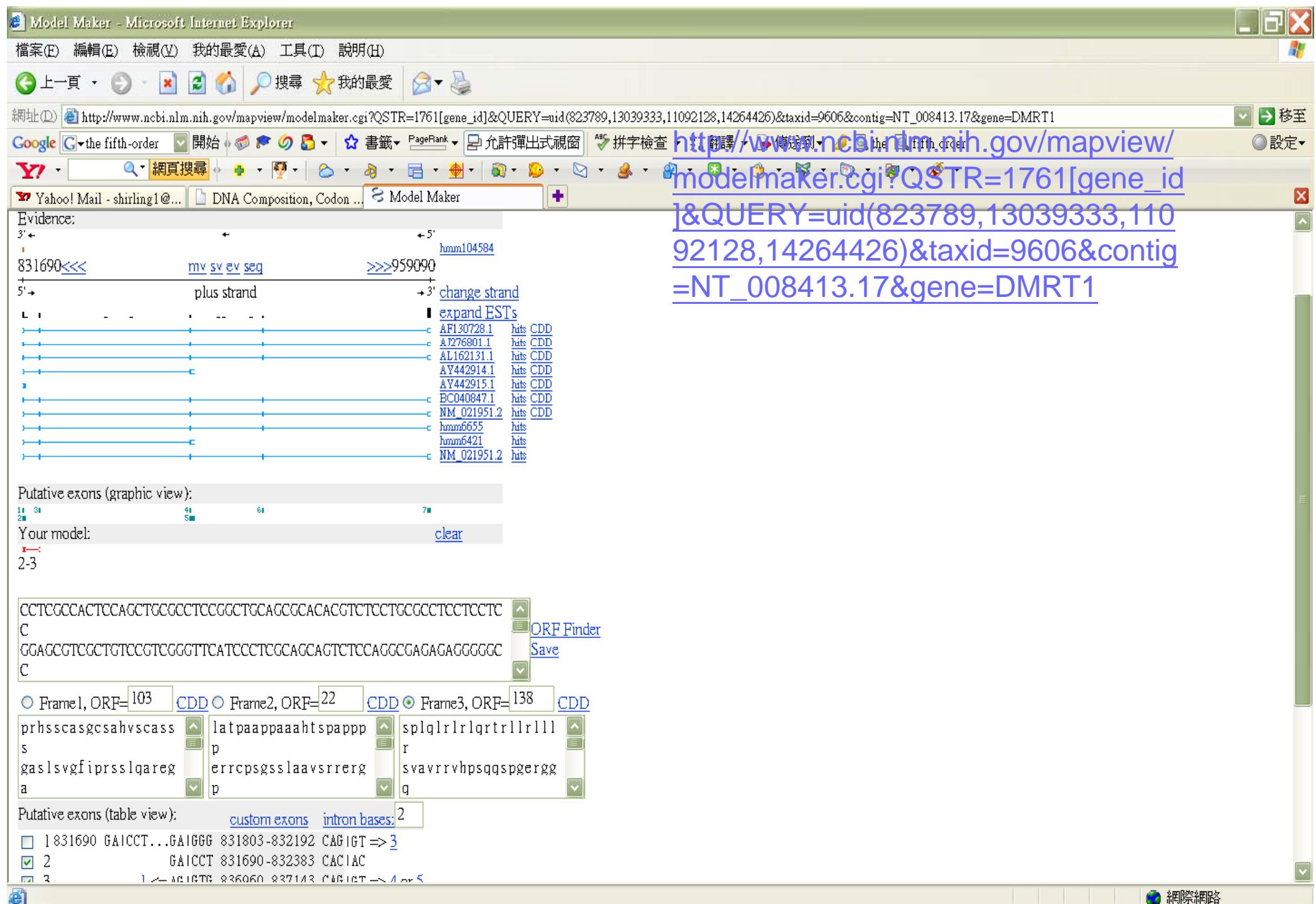
DNA Sequences & Markov Models

- ✗ The likelihood of observing a particular base at a given position may depend on the base preceding it
 - ✗ In particular, in coding regions, it is well known that the probability of a given base depends on the five preceding bases, reflecting observed codon biases and dependencies between adjacent codons
 - ✗ In non-coding regions, such dependence is not observed
- ✗ When scanning an anonymous genomic region, one can compute how well the local nucleotide sequence conforms to the fifth-order dependencies observed in coding regions & assign appropriate coding likelihood scores

Prediction of Genes Through *Ab initio* Methods

- ✗ Splicing genes together into a putative gene structure can help to eliminate the prediction of false exons by simply examining whether adjacent exons maintain the open reading frame established by the initial exon
 - ✗ See next slide
- ✗ Main difficulty in exon assembly
 - ✗ Simple combinatorics: the number of possible exon assemblies grows exponentially with the number of predicted exons for any given gene
- ✗ Solution
 - ✗ Dynamic programming techniques (Bellman 1957)





Programs with Dynamic Programming for Gene Prediction

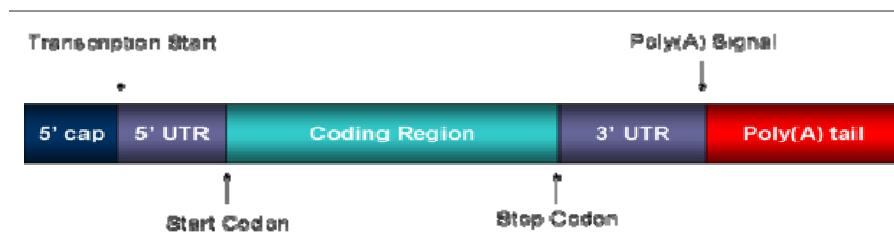
- × The solution of a general problem is obtained by **the recursive solution of smaller versions of the problem** (Gelfand & Roytberg 1993)
 - × Find the solution **efficiently** without having to enumerate or consider each and every possible combination of exons
- × **GRAIL2**
 - × Xu et al. 1994
- × **FGENESH**
 - × Solovyev et al. 1995
- × **GENEID**
 - × Guigo et al. 1992; Guigo 1998

Hidden Markov Models (HMMs) in Gene Prediction (1)

- × To define highly complex patterns, e.g., **multigenic** genes
 - × High **efficiency** in genome sequences
- × **Applications**
 - × Multiple sequence alignment (**MSA**)
 - × The classification and characterization of **protein families**
 - × The comparison of **protein structures**
 - × The prediction of **gene structure**

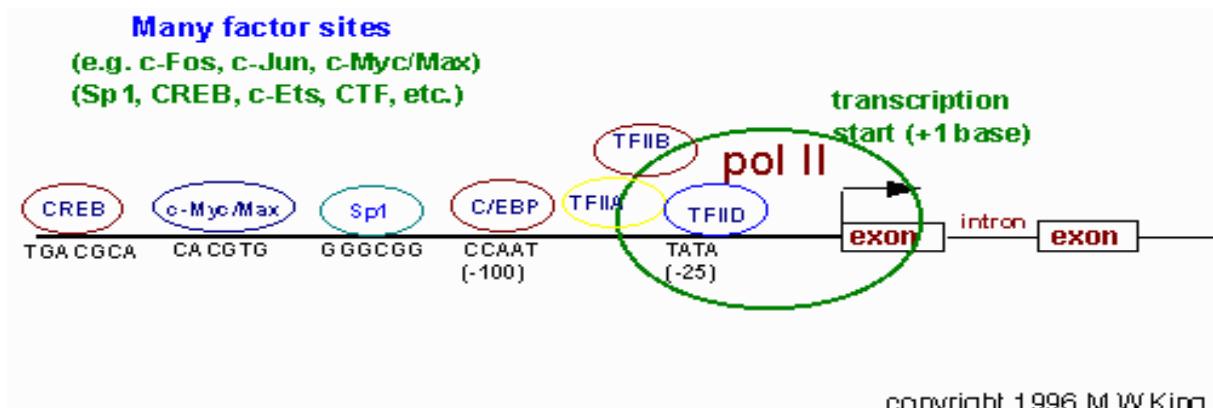
Hidden Markov Models (HMMs) in Gene Prediction (2)

- × Input
 - × A raw nucleotide sequence
- × To predict
 - × Whether a given base is most likely found in
 - × An intron,
 - × An exon, or
 - × Within an intergenic region
- × From 5' to 3' end of the gene
 - × The unique characteristics of promoter regions
 - × Transcription start sites (TSSs), 5' UTRs, start codons, exons, splice donors, splice acceptors, stop codons, 3' UTRs, polyA tails



Hidden Markov Models (HMMs) in Gene Prediction (3)

- ✗ To take into account
 - ✗ The **promoter** (& its **TATA box**) must be **appear before** the start codon
 - ✗ An **initial exon** must follow the start codon
 - ✗ Introns must follow exons
 - ✗ Introns can only be followed by **internal** or **terminal** exons
 - ✗ Stop codons **cannot** interrupt the coding region
 - ✗ **PolyA signals** must appear **after** the stop codon (see previous slide)
 - ✗ An **ORF** must be **maintained** throughout actually to produce a protein

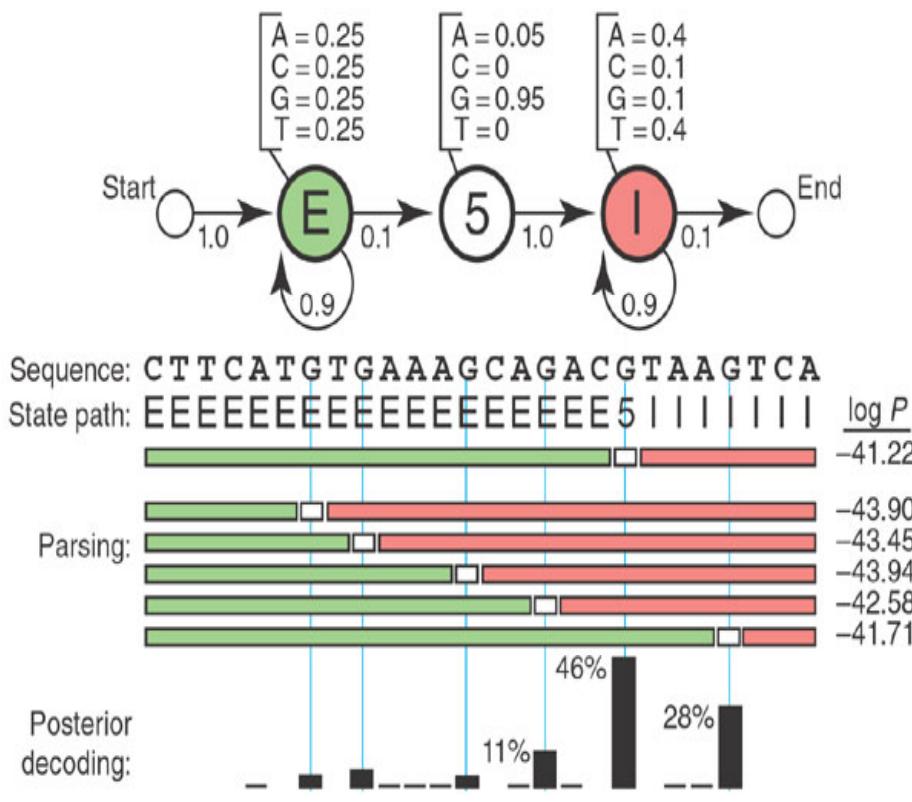


copyright 1996 M.W.King

Hidden Markov Models (HMMs) in Gene Prediction (4)

- ✗ Each of the elements
 - ✗ Exons, introns... = **states**
- ✗ The sequence characteristics & **syntactical constraints** (above two slides) allow **a transition probability** to be assigned
 - ✗ Indicating how likely a change of state is as one moves through the sequence, **base by base**
- ✗ **Hidden**
 - ✗ The user "sees" the nucleotide sequence **being analyzed**, but the user doesn't actually see **the states** that the individual bases are in

Hidden Markov Models (HMMs) in Gene Prediction (5)



- Each state emits a particular kind of nucleotide sequence, with its own emission probability
 - The state emitting the nucleotide is hidden
 - The sequence itself is visible
- The transition & emission probabilities are derived from training sets
 - Sequences for which the correct gene structure is already known

Hidden Markov Models (HMMs) in Gene Prediction (5)

- × Goal
 - × To develop **a set of parameters** that allows the method to be fine tuned
 - × **Maximizing the chances that a correct prediction is generated on a new sequence of interest**
 - × These parameters differ from organism to organism
 - × The success of any given HMM-based method depends on **how well these parameters** have been deduced from **the training set**

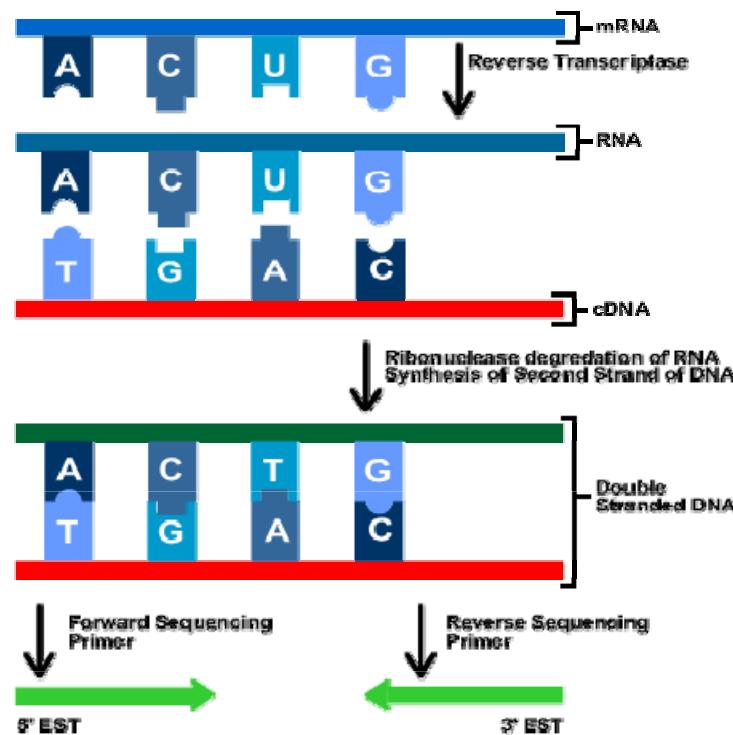
Programs Based on HMMs

- ✗ To define **highly complex patterns**, e.g., **multigenic genes**
 - ✗ High **efficiency** in genome sequences
- ✗ **GENSCAN**
 - ✗ Burge & Karlin 1997
 - ✗ Annotation of **eukaryotic genomes**
- ✗ **GENIE**
 - ✗ Kulp et al. 1996
- ✗ **HMM gene**
 - ✗ Krogh 1997

Sequences Similarity-Based Prediction (1)

- ✗ Methods based on the comparison of the genomic sequence with **known coding sequences**
 - ✗ BLASTx (Gish & States 1993)
 - ✗ ORFs in prokaryotic genomes: useful
- ✗ The split nature of eukaryotic genes: BLASTx-like searches do not resolve **exon splice boundaries**
 - ✗ Solution: **combined BLASTx & ab initio methods**
 - ✗ GenomeScan (Yeh et al. 2001)
 - ✗ GeneID (Blanco et al. 2002)

Sequences Similarity-Based Prediction (2)



- ✖ **Expressed sequence tag (EST)**
 - ✖ Valuable for identifying genes & delineating **exonic structure**
 - ✖ Alternative splicing forms
- ✖ **Example**
 - ✖ [http://www.ncbi.nlm.nih.gov/mapview/modelmaker.cgi?taxid=9606&cntg=cntg&QSTR=1761\[gene_id\]&QUERY=uid\(823789,13039333,11092128,14264426\)&contig=NT_008413.17&from=831690&to=959090&strand=plus&with_est](http://www.ncbi.nlm.nih.gov/mapview/modelmaker.cgi?taxid=9606&cntg=cntg&QSTR=1761[gene_id]&QUERY=uid(823789,13039333,11092128,14264426)&contig=NT_008413.17&from=831690&to=959090&strand=plus&with_est)

<http://www.ncbi.nlm.nih.gov/About/primer/est.html>

Sequences Similarity-Based Prediction (3)

- ✗ Mapping ESTs to genomic DNA sequences with stringent parameters
 - ✗ BLAT (Kent 2002)
 - ✗ BLASTn (Altschul et al. 1990)
- ✗ Disadvantages
 - ✗ Exon boundaries not perfectly identified: a viable ORF is not identified
- ✗ Specialized programs
 - ✗ GRAIL-EXP
 - ✗ Using splice site models, provide a more clear solution to the problem

Sequences Similarity-Based Prediction (4)

- ✗ **Spliced alignments**

- ✗ Aligning the genomic query against a protein (or cDNA) target, presumably homologous to the protein encoded in the genomic sequence
- ✗ Large gaps corresponding to introns in the query sequence are only allowed at "legal" splice junctions
- ✗ Examples of programs
 - ✗ SIM4 (Florea et al. 1998)
 - ✗ EST_GENOME (Mott 1997)
 - ✗ PROCRUSTES (Gelfand et al. 1996)
 - ✗ GENEWISE (Birney & Durbin 1997)

Comparative Gene Prediction (1)

- ✗ **Rationale**
 - ✗ Functional regions (protein-coding regions) tend to be more conserved than non-protein-coding regions
- ✗ **Application**
 - ✗ To identify protein-coding regions in newly sequenced genomes

Comparative Gene Prediction (2)

- × Examples for mouse vs. human comparative gene prediction
 - × TWINSCAN (Korf et al. 2001)
 - × An extension of GENSCAN (Annotation of eukaryotic genomes)
 - × SGP-2 (Parra et al. 2003)
 - × An extension of GeneID (dynamic programming)
 - × SLAM (Alexandersson et al. 2003)
 - × **HMM-based method**: gene predictions & sequence alignments are performed simultaneously
- × The probability scores calculated by each of these programs for putative exons are adjusted based on comparative results

Gene Prediction Programs - Cross-section

Human chrX:151,073,054-151,383,976 - UCSC Genome Browser v159 - Microsoft Internet Explorer

檔案(E) 編輯(E) 檢視(V) 我的最愛(A) 工具(I) 說明(H)

上一頁 前一頁 後一頁 最後一頁 搜尋 我的最愛 電子郵件

網址: http://genome.ucsc.edu/cgi-bin/hgTracks?hgSID=92648089&clade=vertebrate&org=Human&db=hg18&position=chrX%3A151%2C073%2C054-151%2C383%2C976&pix=620&Submit=submit&hgSID=92648089 移至

Google genome browser 開始 書籤 PageRank 260 已擋截 拼字檢查 翻譯 傳送到 genome browser 設定

YAHOO 網頁搜尋

Human chrX:151,073,054... +

Phenotype and Disease Associations

Locus Variants hide

Genes and Gene Prediction Tracks

UCSC Genes	Old Known Genes	Alt Events	CCDS	RefSeq Genes
pack	hide	hide	hide	dense

Other RefSeq	MGC Genes	ORFeome Clones	Ensembl Genes	AceView Genes
hide	pack	hide	hide	hide

N-SCAN	SGP Genes	Geneid Genes	Genscan Genes	Exoniphy
hide	hide	hide	hide	hide

Superfamily	ACEScan	EvoFold	sno/miRNA	
hide	hide	hide	hide	

mRNA and EST Tracks

Human mRNAs	Spliced ESTs	Human ESTs	Other mRNAs	Other ESTs
dense	dense	hide	hide	hide

H-Inv	UniGene	Poly(A)		
hide	hide	hide		

Expression and Regulation

Affy All Exon	Affy HuEx 1.0	Allen Brain	GNF Atlas 2	GNF Ratio
hide	hide	hide	hide	hide

Bertone Yale TAR	Affy U133	Affy GNF1H	Affy U133Plus2	Affy U95
hide	hide	hide	hide	hide

CpG Islands	FirstEF	Eponine TSS	TFBS Conserved	ORegAnno

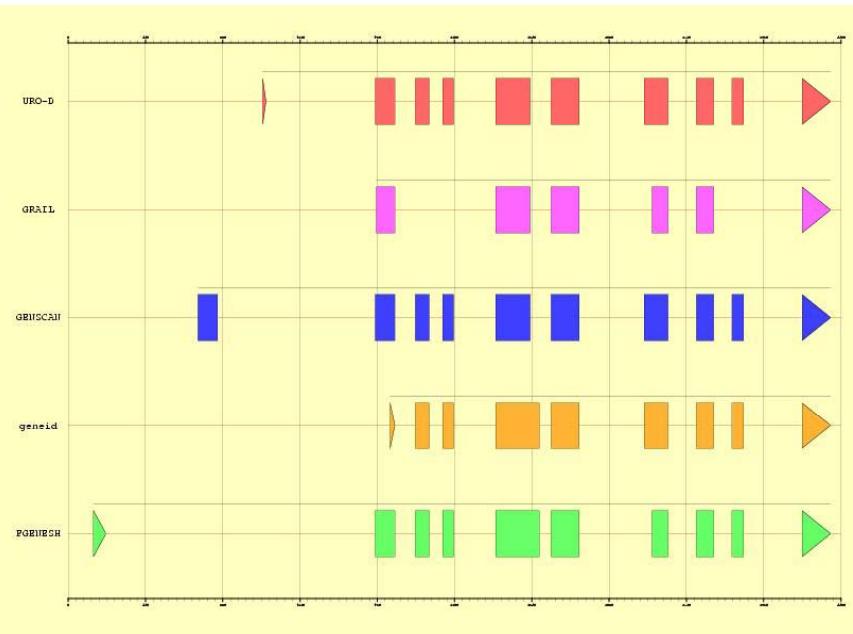
國際網路

GRAIL (1)

- × The Gene Recognition and Analysis Internet Link (**GRAIL**)
 - × Uberbacher & Mural 1991
 - × To calculate the likelihood that a particular position is within a coding region by computing and integrating seven separate coding statistic measures
- × **GRAIL2 (Xu et al 1994)**
 - × Incorporation of information about different splice and translational signals,
- × **GRAIL-EXP (Xu & Uberbacher 1997)**
 - × Incorporation of homology information
 - × BLASTn searches against a database of partial & complete transcripts (ESTs)

GRAIL (2)

- ✗ **Outputs**
 - ✗ A profile along the length of the query sequence, peaks correspond to coding regions
- ✗ **Example**
 - ✗ The human UROD gene
 - ✗ U30787
 - ✗ FASTA format
 - ✗ An SP1 binding site, TATA box, 10 exons have been annotated to this sequence
 - ✗ Full length: 4,514 bp



EMBL annotation and genes predicted by **Grail**, **GENSCAN**, **geneid** and **FGENESH** in the sequence U30787. First exon is always missed in the predictions and there are some problems to detect the donor site from exon 5. Detection of start codons is a serious drawback in current gene finding programs. However, this problem can be overcome by using **homology information** to complete the gene prediction.

```

# Service: gene_grailexp
# Version: 3.3
# Description: GAT GraileXP Gene Prediction Service
# Last Modified: October, 2001
# Tool: GraileXP 3.3 from ORNL. Last updated: October, 2001.
# Database: GraileXP Database Thu Feb 27 16:15:37 EST 2003 from NCBI/TIGR/Baylor/Riken (15960696 entries).
# Sequence Name: >gene_grailexp|PID=28608
# Sequence Length: 4514
# Output_begin: pretty
-----
GraileXP v3.31 [March, 2002] http://compbio.ornl.gov/grailexp/

Authors: Doug Hyatt, Manesh Shah, Victor Olman, Richard Mural, Ying Xu, and
Edward C. Uberbacher, 1996-2001

Reference: "Automated Gene Identification in Large-Scale Genomic Sequences",
Xu, Y. and Uberbacher, E.C., Journal of Computational Biology, Volume 4,
Number 3, 1997

Sequence: >gene_grailexp|PID=28608 (4514 bp)
-----
PERCEVAL Exon Candidates (6 predicted)

Index Std Begin End Frm Type Len Scr Quality
1 + 1755 1860 0 Internal 106 57 Marginal
2 + 2434 2631 0 Internal 198 100 Excellent
3 + 2749 2910 0 Internal 162 100 Excellent
4 + 3324 3416 0 Internal 93 92 Excellent ←
5 + 3576 3676 0 Internal 101 100 Excellent
6 + 4179 4340 0 Terminal 162 100 Excellent
-----
# Output_end: pretty

gc_object_end: gene_grailexp --organism human --output pretty --nodb --noassembly --dbpat grailexp_v3

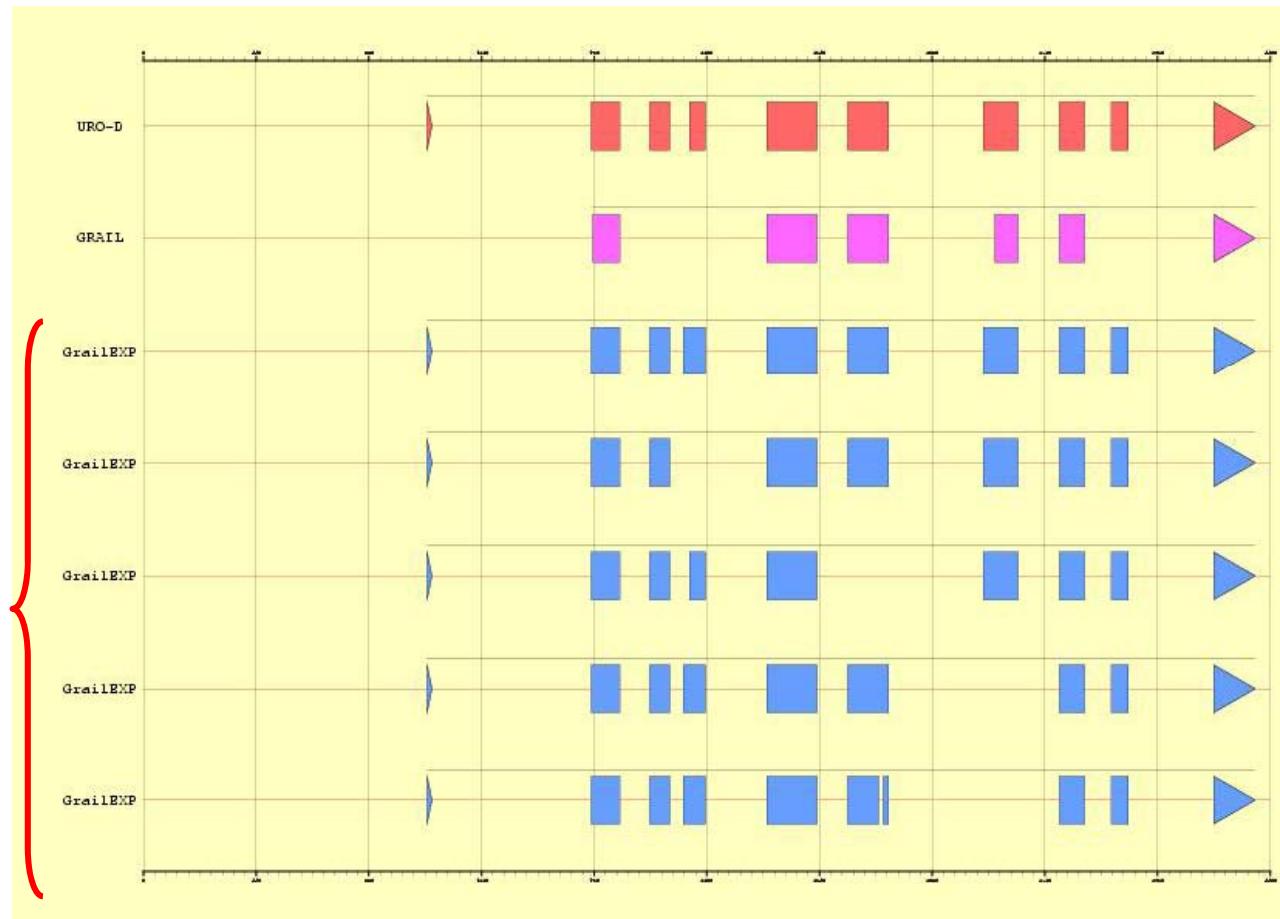
```

BLASTn searches through GRAIL-EXP

**5/10 known exons
+ small internal
exon**

EMBL annotation vs. Gene Predicted by GRAIL & GRAIL-EXP

Five
alternative
predictions
supported by
ESTs
information



GeneID (1)

- ✗ A program that predicts genes in **genomic sequences** using a **hierarchical approach**
 - ✗ Guigo et al. 1992; Parra et al. 2000
- ✗ Incorporation of **new information** in most recently version (Blanco et al. 2002)
 - ✗ Sequence similarity
 - ✗ Experimental data
 - ✗ Data from other computational predictions

GeneID (2)

- × Step 1
 - × Position weight matrices (PWM): prediction of **splice sites, start, stop codons, score given**
- × Step 2
 - × **Exons** are built from identified “**defining sites**” (step 1), **score given**
 - × Exons are scored = sum of the scores of **the defining sites** + the score of **their coding potential**
- × Step 3
 - × Based on the set of **predicted exons**, the **gene structure is assembled**, predicting the most likely gene structure by **maximizing the sum of the scores** of the assembled exons

GeneID (3) - Output

- Paste the FASTA sequence
- Choose geneid **output format**
- Run geneid with different parameters:
 1. Searching signals: Select **acceptors, donors, start and stop codons**. Look for them in the real annotation of the sequence
 2. Searching exons: Select **All exons** and try to find the real ones
 3. Finding genes: You do not need to select any option (default behaviour). Compare the predicted gene with the real gene

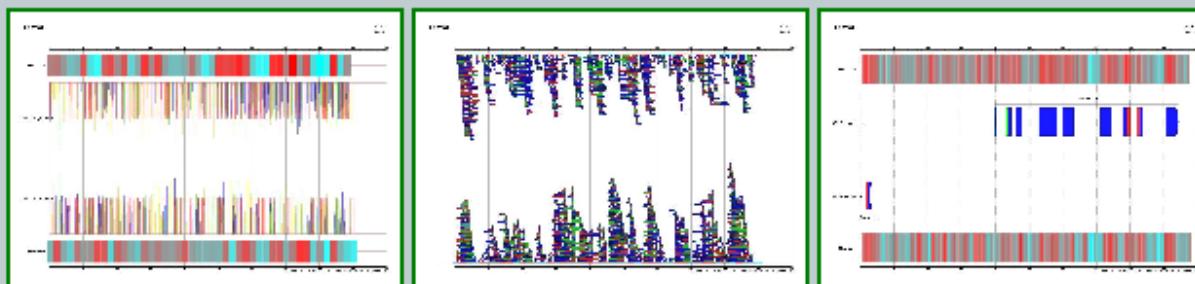


Figure 1. Signal, exons and genes predicted by geneid in the sequence HS307871

<http://genome.imim.es/courses/Madrid04/exercises/genefinding1/index.html>

GENSCAN (1)

- ✗ A general purpose **eukaryotic gene** prediction program
 - ✗ **Hidden Morkov Model**
 - ✗ Donor splice site modeling, *maximal dependence decomposition*
 - ✗ **A series of weight matrices** (instead of just one) are used to capture dependencies between positions in these splice sites
 - ✗ **Parameters**
 - ✗ Accounting for many **higher-order properties of genomic sequences**
 - ✗ E.g., **typical gene density**, typical number of exons per gene & the distribution of exon sizes for different types of exons
 - ✗ **Separate sets of gene model parameters** can be used to adjust for the differences in gene density and G+C composition seen **across genomes**
 - ✗ Vertebrate, maize & Arabidopsis sequences

GENSCAN (2)

- ✗ GenomeSCAN
 - ✗ Yeh et al. 2001
 - ✗ An extension of GENSCAN
- ✗ Incorporations of **sequence similarity to known proteins** using **BLASTx**
 - ✗ Higher scores for exons exhibiting **similarity to known proteins**
 - ✗ Decreased scores for **predicted exons** having little to no similarity with known proteins

GENSCANW output for sequence U30787

GENSCAN 1.0 Date run: 23-May-107 Time: 01:07:49

Sequence U30787 : 4514 bp : 52.19% C+G : Isochore 3 (51 - 57 C+G%)

Parameter matrix: HumanIso.smat

Predicted genes/exons:

Gn.Ex	Type	S	.Begin	...End	.Len	Fr	Ph	I/Ac	Do/T	CodRg	P....	Tscr..
1.01	Intr +	739	851	113	0	2	49	66	74	0.287	0.98	
1.02	Intr +	1748	1860	113	2	2	53	110	80	0.866	7.23	
1.03	Intr +	1976	2055	80	0	2	97	94	10	0.999	2.27	
1.04	Intr +	2132	2194	63	1	0	84	80	87	0.990	6.91	
1.05	Intr +	2434	2631	198	0	0	88	-9	263	0.895	16.67	
1.06	Intr +	2749	2910	162	0	0	107	109	97	0.965	14.39	
1.07	Intr +	3279	3416	138	2	0	52	77	126	0.812	9.07	
1.08	Intr +	3576	3676	101	2	2	87	119	113	0.996	13.71	
1.09	Intr +	3780	3846	67	0	1	63	77	46	0.998	0.40	
1.10	Term +	4179	4340	162	2	0	75	47	276	0.979	20.45	
1.11	PlyA +	4397	4402	6							1.05	

Click [here](#) to view a PDF image of the predicted gene(s)

Click [here](#) for a PostScript image of the predicted gene(s)

- Gn. Ex: gene exon no.; Type: exon type or an identified poly A; S: the strand; Fr: frame; several scoring columns; P: probability value: P>0.99 are 97.7% accurate when the prediction matches a true, annotated exon; **0.50 to 0.99** are deemed to be correct most of the time; **9/10 correct**

FGENES (1)

- × FGENES=“Find genes”
 - × 1st version: Solovyev et al. 1995
 - × **Linear discriminant analysis** to identify **splice sites, exons, and promoter elements**
 - × **Filtered exons** are assembled using **a dynamic programming algorithm** that searches paths of compatible exons, with the goal of maximizing the final gene score
- × FGENESH
 - × An **HMM-based** variant of FGENES

FGENES (2)

- ✗ **FGENESH+**
 - ✗ + protein homology (Salamov & Solovyev 2000)
- ✗ & **FGENESH-C**
 - ✗ + cDNA homology (Salamov & Solovyev 2000)
- ✗ Using information of **known genes & DNA sequences**
 - ✗ Better power

Discriminant Analysis in Gene Prediction (1)

- ✗ To discriminate two or more naturally occurring groups
 - ✗ Zhang 1997
- ✗ In the area of gene prediction, the **observables**
 - ✗ Try to discriminate whether a **particular stretch of DNA** is found in either **an intron** or **an exon** could include the presence of putative acceptor sites, donor sites, or start and stop codons
- ✗ Two observables
 - ✗ **Splice site scores** and **exon length** are plotted against each other on a simple XY graph
 - ✗ Two different symbols = **two different groups**
 - ✗ **X= exon; circle= intron**

Discriminant Analysis in Gene Prediction (2)

- ✗ Two different types of discriminant analysis could be applied to try to separate the two states from one another
 - ✗ Linear discriminant vs. quadratic discriminant analysis
- ✗ The relationship between these two sets of observables
 - ✗ Nonlinear or multivariate, the resulting graph looks like a swarm of points
 - ✗ A linear function $L(x)$ cannot adequately separate the two states
 - ✗ An appropriate number of points have been misclassified
 - ✗ The quadratic function $Q(x)$ is capable of completely separating the two groups in this case

FGENESH - Output

- G=gene number;
- Strand;
- The exon number within the gene;
- The exon type;
f=first; i=internal;
l=last;
- The start and stop positions for the exon;
- An exon score;
- ORF start and stop positions;

Positions of predicted genes and exons:

G	Str	Feature	Start	End	Weight	ORF-start	ORF-end		
1	-	CDSf	72	-	145	5.79	74	-	145
2	+	1 CDSf	1833	-	1860	4.86	1833	-	1859
2	+	2 CDSi	1976	-	2055	1.95	1978	-	2055
2	+	3 CDSi	2132	-	2194	1.92	2132	-	2194
2	+	4 CDSi	2434	-	2631	1.42	2434	-	2631
2	+	5 CDSi	2749	-	2910	3.77	2749	-	2910
2	+	6 CDSi	3279	-	3416	2.48	3279	-	3416
2	+	7 CDSi	3576	-	3676	4.14	3576	-	3674
2	+	8 CDSi	3780	-	3846	1.52	3781	-	3846
2	+	9 CDSi	4179	-	4340	5.36	4179	-	4337
2	+	PolA	4397		7.80				

Predicted proteins:

>FGENES 1.5 > test sequence 1 Multioxon gene 72 - 145 24 a Ch-
MAGPWPAGAVLESPRQLLGRCAASWQ
>FGENES 1.5 > test sequence 2 Multioxon gene 1833 - 4340 332 a Ch+
MRQAGRYLPFRETAAQDFFSTCRSPEACCELTLQPLRRFLDAIIIFSDILVVPQALG
MEVTMVPKGPSFPEPLREEQDLERLRDPEVVASELGYVFQAITLTRQRLAGRVPPLIGFA
GAPWTLMTYMVEGGGSSTMMAQAKRWLYQRPQASHQLRLITDALVPYLVGQVVAGAQALQ
LFESHAGHLGPQLFNKFALPYIRDVAKQVKARLREAGLAPVPMIIFAKDGHFALEELAQA
GYEVVGLDWTVAPKKARECVGKTVTLQGNLDPCALYASEEEEIGQLVKQMLDDFGPHRYIA
NLGHGLYPDMDEHVGAFTDAVHKHSRLLRQN

FGENESH - Output

FGENES 1.6 Prediction of multiple genes in genomic DNA

Time: 01:41:05 Date: Wed May 23 2007

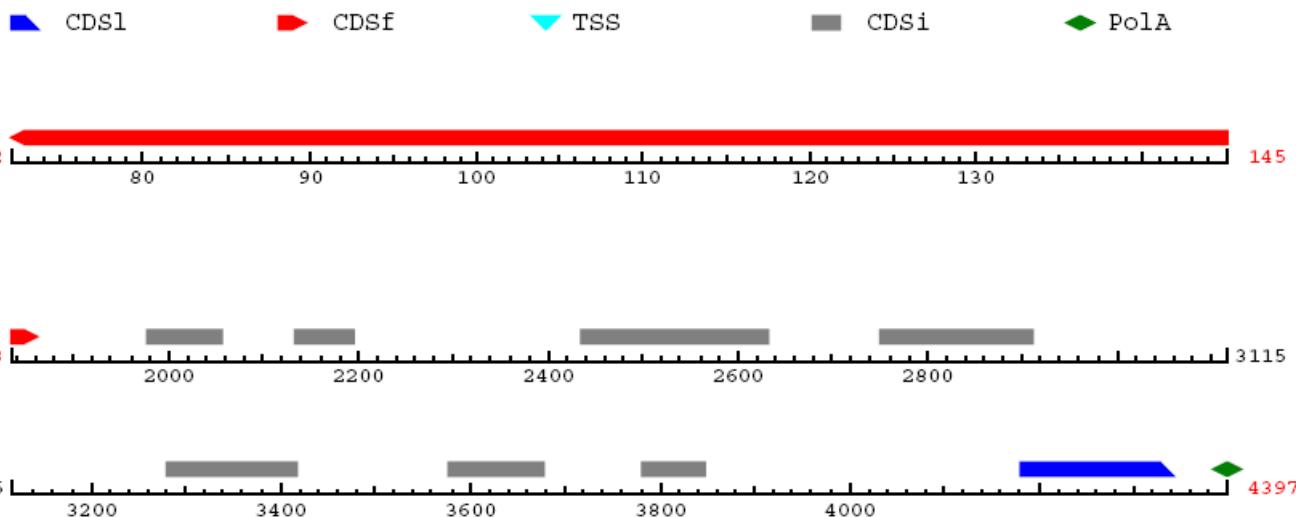
Seq name: > test sequence

Length of sequence: 4514 GC content: 0.52 Zone: 3

Number of predicted genes: 2 In +chain: 1 In -chain: 1

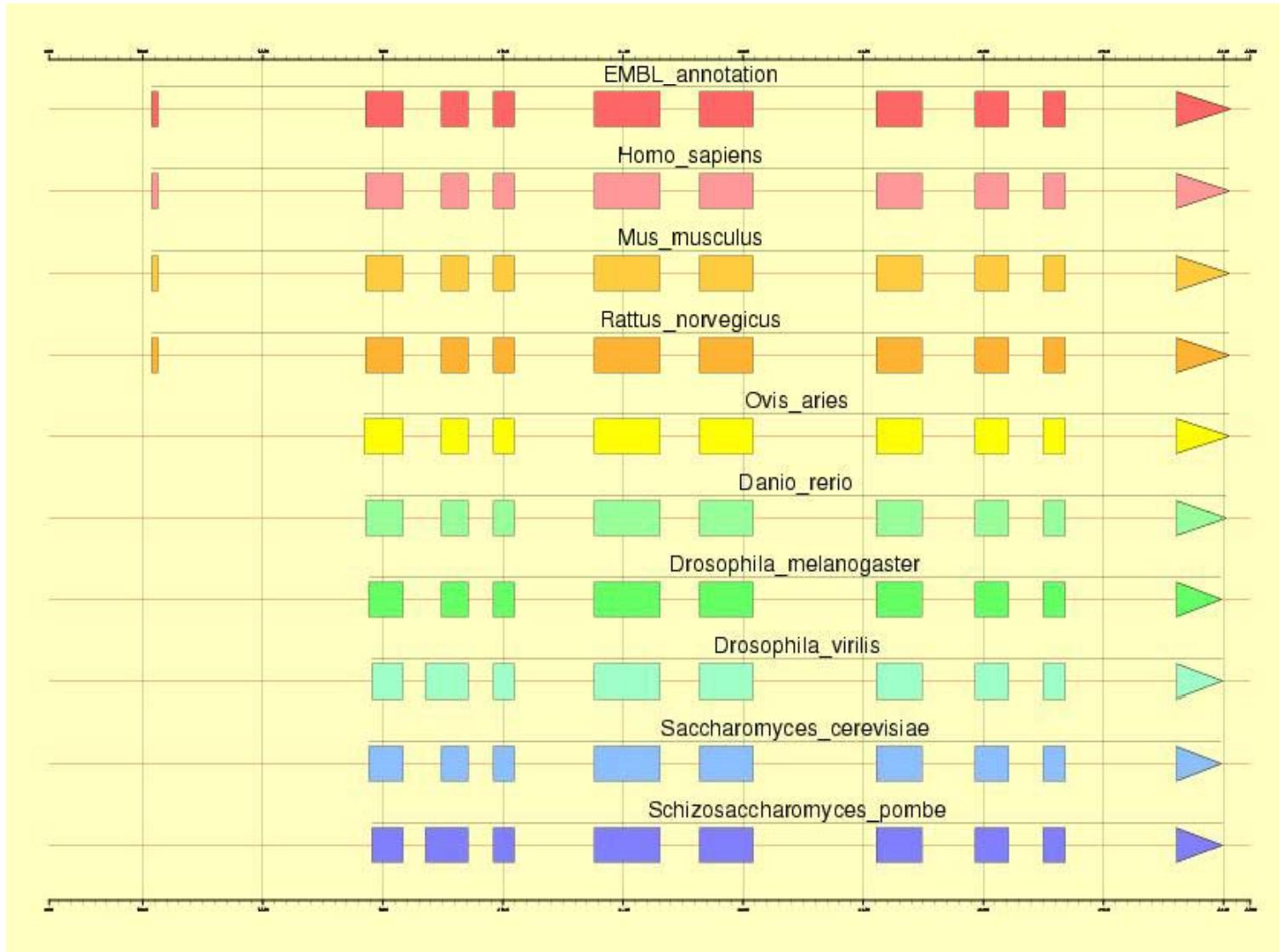
Number of predicted exons: 10 In +chain: 9 In -chain: 1

Positions of predicted genes and exons:

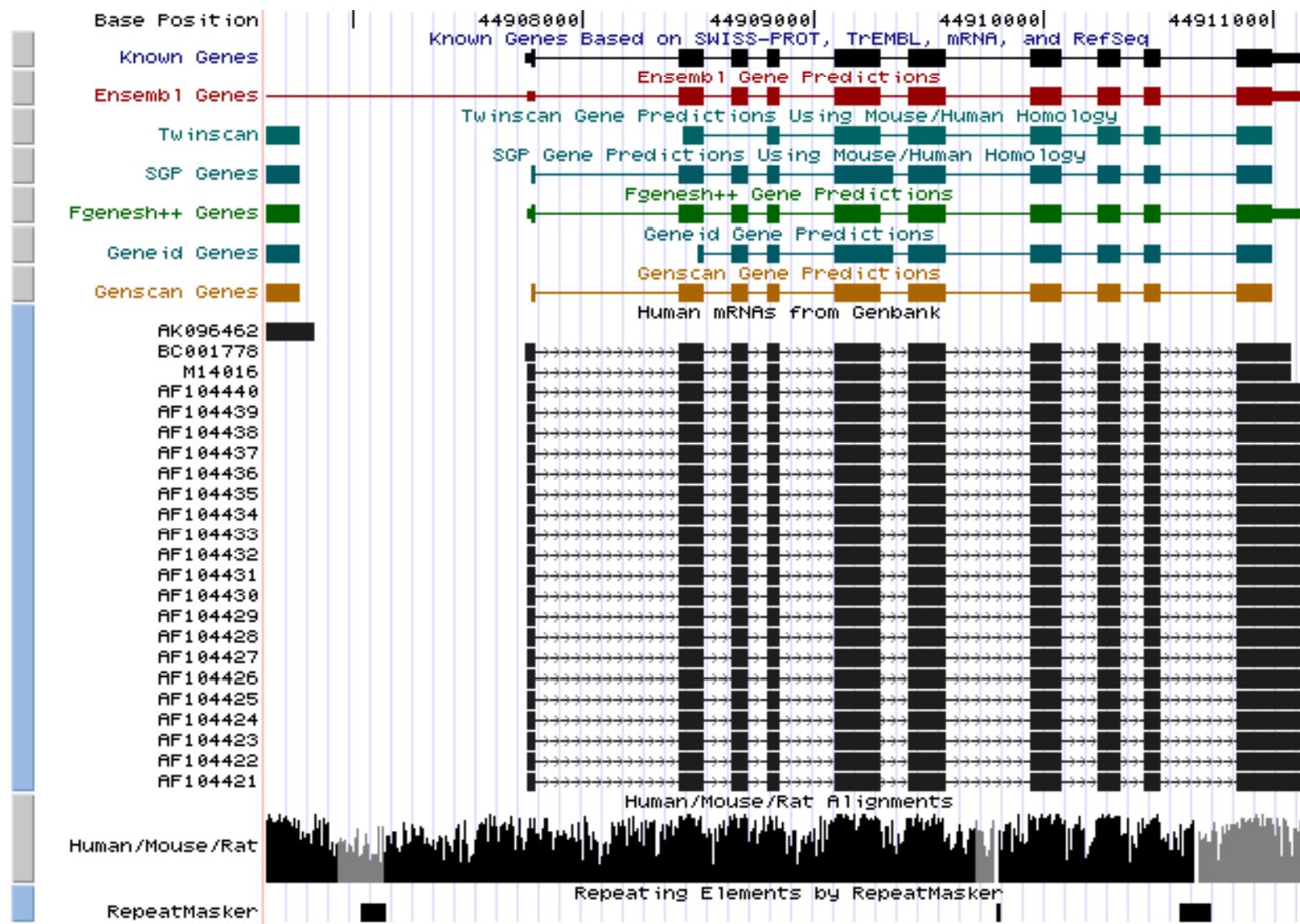


GENEWISE

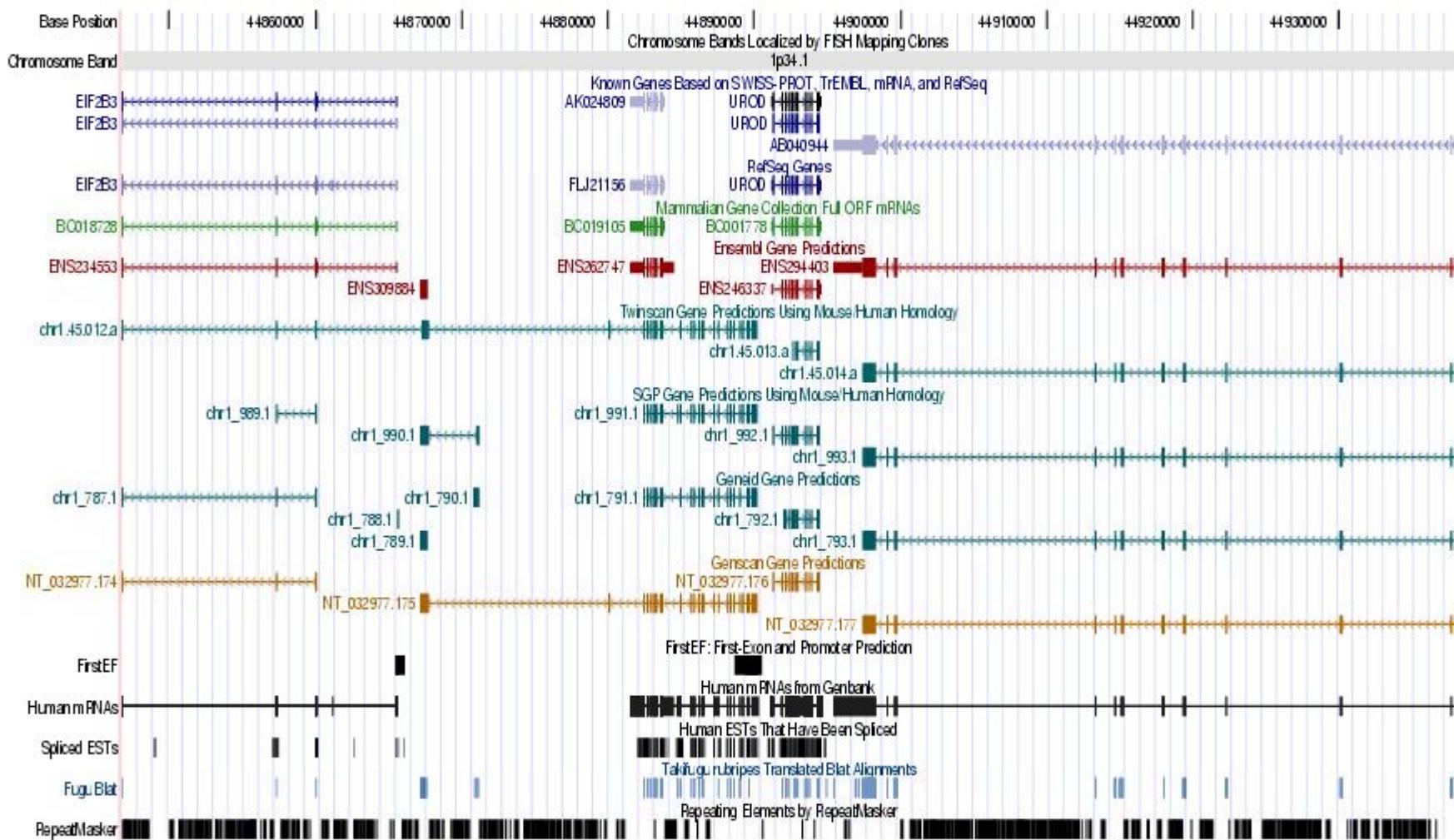
- × To compare **a genomic sequence** with **a protein sequence** or with an **HMM representing a protein domain**
 - × At protein level while maintaining the reading frame, regardless of intervening introns or sequence errors that may cause frameshifts
- × **Gene prediction + a homology comparison**
- × Computationally **expensive** and **accurate prediction** requires the presence of **a close, homologous protein**



- * The results of GENEWISE predictions when progressively distant homologs of the UROD protein are used - **POWERFUL** (in EBI)



(a) UCSC genome browser representation of the region containing the gene *uroporphyrinogen decarboxylase (URO-D)*



(b) UCSC genome browser representation of the context (100Kbps) region around the gene *uroporphyrinogen decarboxylase (URO-D)*.

How Well Do the Methods Work? (1)

- ✗ Different methods can produce different, & sometimes, contradictory results
- ✗ Factors affecting
 - ✗ Species
 - ✗ The sequence context
 - ✗ The existence of experimental evidence
 - ✗ Spliced ESTs: strong supports
- ✗ Consistent predictions by different methods

How Well Do the Methods Work? (2)

- ✗ The reliability
 - ✗ The accuracy of gene prediction program is usually determined using **controlled, defined data sets**
 - ✗ Comparing the prediction made by a method with **the actual gene structure**, determined experimentally
 - ✗ Two basic measure, a perfect prediction $Sn=1$; $Sp=1$, neither one alone provide a good measure of global accuracy
 - ✗ **Sensitivity (Sn) (0~1)**
 - ✗ The proportion of coding nucleotides, exons, or genes that have been predicted **correctly**
 - ✗ **Specificity (Sp) (0~1)**
 - ✗ The proportion of predicted coding nucleotides, exons, or genes that are **real** (the overall fraction of the prediction that is correct)

How Well Do the Methods Work? (3)

- ✗ The reliability
 - ✗ Correction coefficient (CC)
 - ✗ (worst) -1~1 (perfect prediction)
 - ✗ A combined measure of the Sn and Sp values

$$cc = \frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP) \times (TP + FN) \times (TN + FP) \times (TN + FN)}}$$

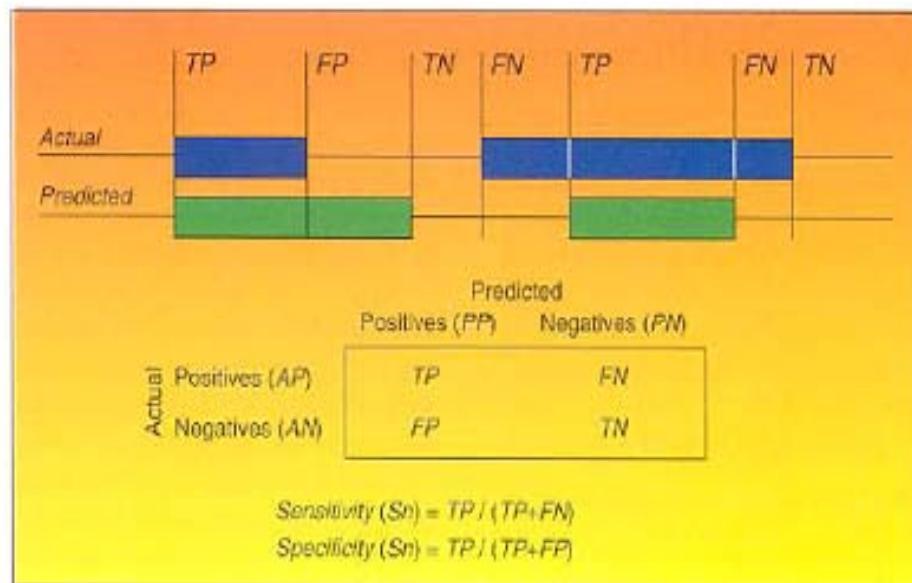


FIGURE 5.11 Schematic representation of measures of gene prediction accuracy at the nucleotide level. In the upper portion of the figure, the four possible outcomes of a prediction are shown: true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN). The matrix at the bottom of the figure shows how both sensitivity and specificity are determined from these four possible outcomes, giving a tangible measure of the effectiveness of any gene prediction method. (Adapted from Burset & Guigó, 1996; Snyder & Stormo, 1997).

Dunham et al. 1999

- ✗ Chr. 22
 - ✗ Comparisons of a number of *ab initio* and comparative gene finders vs. curated, manual annotation
- ✗ The accuracy of *ab initio* gene finders substantially suffers when moving up in complexity from single gene sequence to genome-scale sequence data
 - ✗ GENSCAN CC=0.64; SGP2 CC=0.73

How Well Do the Methods Work? (3)

TABLE 5.1 ■ The Relative Accuracy of Sequence Similarity-Based, Ab Initio, and Comparative Gene Prediction Programs on Human Chromosome 22

Program	Nucleotide			Exon				
	S_n	S_p	CC	S_n	S_p	$\frac{S_n+S_p}{2}$	ME	WE
Sequence similarity based								
ENSEMBL	0.74	0.83	0.78	0.75	0.80	0.77	0.18	0.13
FGENESH++	0.81	0.71	0.75	0.80	0.66	0.73	0.11	0.27
Ab initio								
GENSCAN	0.79	0.53	0.64	0.68	0.41	0.55	0.15	0.48
GENEID	0.73	0.67	0.70	0.65	0.55	0.60	0.21	0.33
Comparative								
SGP2	0.75	0.73	0.73	0.66	0.58	0.62	0.19	0.28

The accuracy measures shown here are, from left to right: sensitivity (S_n), specificity (S_p), and the correlation coefficient (CC) at the nucleotide level; sensitivity (S_n), specificity (S_p), and correlation coefficient ($S_n + S_p/2$) at the exon level; and the number of missing and wrong exons in the predictions.

Dunham et al. 1999

Exercise

- ✗ <http://genome.imim.es/courses/Madrid04/exercises/genefinding1/index.html>