# Estimation of site frequency spectra

Matteo Fumagalli

## Imperial College London Intended Learning Outcomes

By the end of this session you will be able to

- understand the theory underlying site frequency spectrum
- appreciate how to extended such theory to low-coverage data
- acknowledge the process of inferring demography from sequencing data
- implement a pipeline in ANGSD to perform the aforementioned analyses

# The Site Frequency Spectrum (SFS)

```
Sequence 1 aggaa ggacc aagac gatag
Sequence 2 aggaa ggaac gagac gatag
Sequence 3 aggaa ggaac gagac gatag
Sequence 4 aggaa ggacc gagac gatag
Sequence 5 aggag ggacc gagac gatag
```

# The Site Frequency Spectrum (SFS)

```
Sequence 1 aggaa ggacc aagac gatag
Sequence 2 aggaa ggaac gagac gatag
Sequence 3 aggaa ggaac gagac gatag
Sequence 4 aggag ggacc gagac gatag
Sequence 5 aggag ggacc gagac gatag
Sequence 1 0 0 0
Sequence 2 0 1 1
Sequence 2 0 1 1
Sequence 3 0 1 1
Sequence 4 1 0 1
Sequence 5 1 0 1
```

# The Site Frequency Spectrum (SFS)

## **SFS**

The SFS is obtained by tabulating the sample allele frequencies of all mutations.

- 000
- 0 1 1
- 0 1 1
- 1 0 1
- 1 0 1

# The Site Frequency Spectrum (SFS)

## **SFS**

The SFS is obtained by tabulating the sample allele frequencies of all mutations.

```
The "1" alleles have frequencies 2/5, 2/5 and 4/5.

The proportions of "1" alleles with a frequency of 1/5, 2/5, 3/5 and 4/5 in the sample are
```

# The Site Frequency Spectrum (SFS)

#### **SFS**

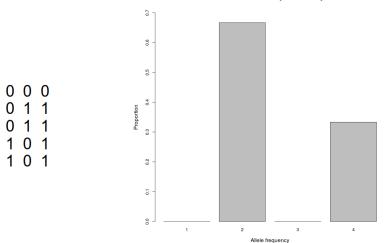
The SFS is obtained by tabulating the sample allele frequencies of all mutations.

The "1" alleles have frequencies 2/5, 2/5 and 4/5. The proportions of "1" alleles with a frequency of 1/5, 2/5, 3/5 and 4/5 in the sample are  $f_1 = 0$ ,  $f_2 = 2/3$ ,  $f_3 = 0$  and  $f_4 = 1/3$ .

$$\vec{f} = (f_1, f_2, ..., f_{n-1})$$

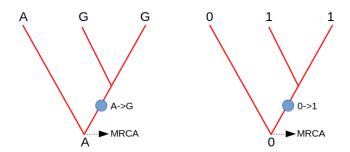
for a sample of n haploid individuals.

# The Site Frequency Spectrum (SFS)



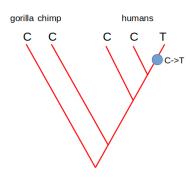
# **Alleles**

- ancestral allele is the allele found in the MRCA of the sample.
- derived allele (or mutated) is an allele that is not ancestral.



# **Alleles**

The ancestral allele is often inferred using **outgroups**. e.g. if C/T polymorphism in humans and primate have C, then C is likely to be the ancestral allele.



## Imperial College London Alleles

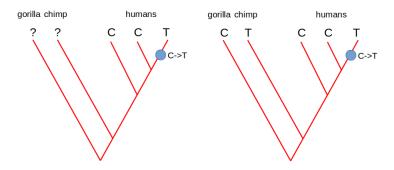


Figure 1: Uncertain ancestral allele.

# The Site Frequency Spectrum (SFS)

If no information on the ancestral allele is available, we can *fold* the frequency spectrum.

The **folded frequency spectrum**  $f^*$  is obtained by adding together the frequencies of the derived and ancestral alleles.

$$f^* = f_i + f_{n-j}$$
 for  $j < n/2$  and  $f^* = f_j$  for  $j = n/2$  only defined for values of  $f^* \le n/2$ .

## Imperial College London The folded SFS

```
0 1 1
0 1 1
```

1 0 1

## Imperial College London The folded SFS

## Imperial College London The folded SFS

```
\vec{f}^* = (f_1^* = 1/3, f_2^* = 2/3)
1 0 1
1 0 1
```

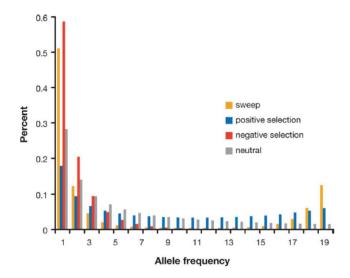
# The Site Frequency Spectrum

- S and  $\pi$  can be calculated directly from  $\vec{f}$  but the opposite is not true.
- Alleles segregating at frequency of 1/n are called **singletons**.
- The expected SFS under the standard coalescence model with infinite sites mutations is

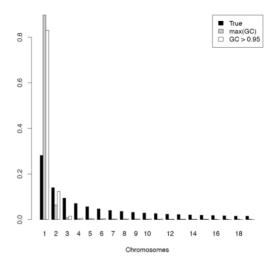
$$E[f_i] = \frac{1/j}{\sum_{k=1}^{n-1} \frac{1}{k}}$$
 (1)

with 
$$j = 1, 2, ..., n - 1$$

Fundamental statistics to infer demography of your population of interest.

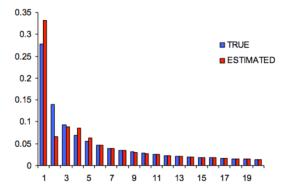


# Effect of errors on SFS



# Effect of errors on SFS

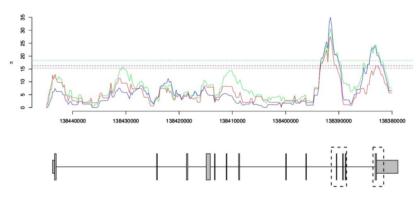
Using an ad hoc fixed cutoff for SNP calling...



can never produce unbiased estimates.

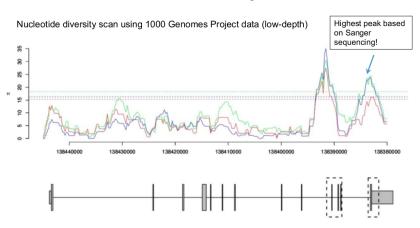
# Effects of low-depth data

Nucleotide diversity scan using 1000 Genomes Project data (low-depth)



Cagliani et al. MBE. 2012

# Effects of low-depth data



Cagliani et al. MBE 2012

# Effects of low-depth data

SNP		Population	MAF
Position <sup>b</sup>	ID∘		
REGION 2			
138383386	n.a.d	CEU	0.03
138382592°	rs5022944	CEU	0.40
		AS	0.40
138382528°	rs5022945	YRI	0.38
		CEU	0.40
		AS	0.40
138382507°	rs5022946	YRI	0.38
		CEU	0.40
		AS	0.40
138382444°	rs10250460	YRI	0.38
		CEU	0.40
		AS	0.40
138382438°	rs10250457	YRI	0.38
		CEU	0.40
		AS	0.40
138382399°	rs10250646	YRI	0.38
		CEU	0.40
		AS	0.40
138382383°	rs10250435	YRI	0.38
		CEU	0.40
		AS	0.40
138382350°	rs10265856	YRI	0.38
		AS	0.40
138382205	n.a.d	AS	0.03

- Sanger: detected a total of 24 variants
- □ NGS: only 13

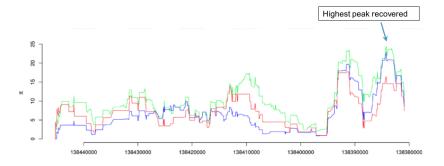
Most of them (n=8) have intermediate frequency in all populations.

They are located within an AluSx element in the 3'UTR

A large portion of "inaccessible Sites" in the low-depth1000 Genomes data maps to repetitive sequences.

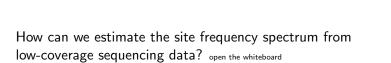
Cagliani et al. MBE 2012

## Masked data



- Missing data
- Unpredictable effects

Cagliani et al. MBE 2012



How can we estimate the site frequency spectrum from low-coverage sequencing data? open the whiteboard

Can we estimate the SFS directly from genotype likelihoods?

Can we count alleles over genotypes?

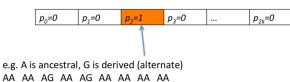
Can we "round up" estimated allele frequencies?

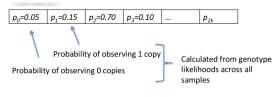
# Sample allele frequency (saf) likelihoods

$$P(D|f) = \prod_{i=1}^{N} \sum_{g \in \{0.1.2\}} P(D|G = g) P(G = g|f)$$

$$\begin{array}{c|c} P(D|f=0) & P(D|f=1) & P(D|f=2) & \dots & P(D|f=2k) \\ \text{with } k \text{ diploids.} \end{array}$$

## If unfolded, 2k+1 entries





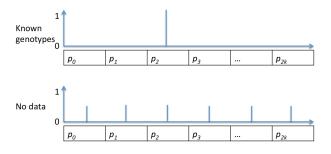
e.g. A is ancestral, G is derived (alternate)

If genotypes are unknown and counting is not possible.

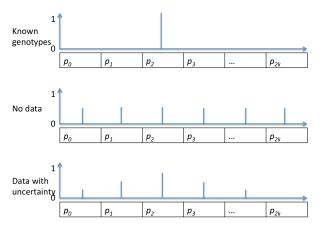
# Imperial College London Sample allele frequency (saf) likelihoods



# Sample allele frequency (saf) likelihoods



# Sample allele frequency (saf) likelihoods



## ML estimation of the SFS

Summing across all unknown genotypes and multiplying the likelihood across sites.

Likelihood function:

$$L(P) = \prod_{v} \left( \sum_{j=0}^{2k} p_{j} \left[ \sum_{G_{k}^{(v)}} ... \sum_{G_{k}^{(v)}} c(j, G^{(v)}) \prod_{d=0}^{k} p(X_{d}^{(v)} \mid G_{k}^{(v)}) \right] \right)$$

## ML estimation of the SFS

Summing across all unknown genotypes and multiplying the likelihood across sites.

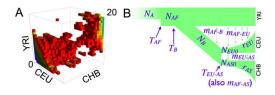
Likelihood function:

$$L(P) = \prod_{v} \left[ \sum_{j=0}^{2k} p_{j} \left[ \sum_{G_{1}^{(v)}} \dots \sum_{G_{k}^{(v)}} c(j, G^{(v)}) \prod_{d=0}^{k} p(X_{d}^{(v)} \mid G_{k}^{(v)}) \right] \right]$$

Nielsen et al. 2012 PLoS One

Can we go beyond the statistical estimation of unfolded SFS for one single population from low-coverage sequencing data? What are the issues if we have more populations?

#### Multi-dimensional site frequency spectrum (multi-SFS)



Gutenkunst al. 2009

example on whiteboard?

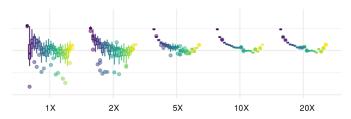
#### **Estimation of multi-SFS**

For N populations and *theta* being the SFS and D the data and X the allele frequency for site s:

$$L_s(D|\theta) = \underbrace{\prod_{n_1=1}^{n_1} \prod_{n_2=1}^{n_2} \cdots \prod_{n_N=1}^{n_N} p(D^1|X=n_1) p(D^2|X=n_2) \cdots p(D^N|X=n_N)}_{N}$$

with  $\Sigma(2n^i+1)$  parameters; optimized using an accelerated EM.

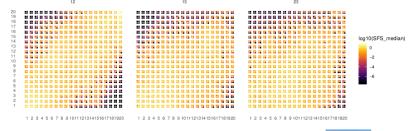
## **Estimation of 1D SFS**





Alex Mas Sandoval

## Estimation of <u>3D</u> SFS

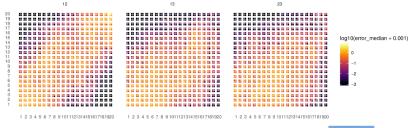






Alex Mas Sandoval

## Estimation of <u>3D</u> SFS (error)



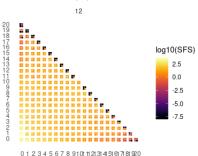




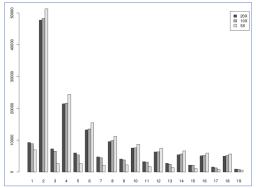
Alex Mas Sandoval

## Imperial College London Folded SFS

#### Joint SFS two-population

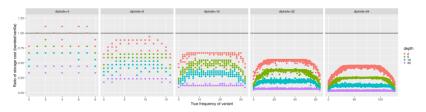


# Estimation of SFS for inbred species



extended from Vieira et al. 2013 Genome Res

## Fast and efficient estimation and data storage



'score-limited' algorithm (Han et al. 2015 Bioinformatics):
"to compute the SAF likelihood: all non-negligible values of the SAF likelihood are concentrated on a few cells around the best-quess allele counts."



Nate Pope

## Imperial College London Intended Learning Outcomes

At the end of this session you are now able to

- understand the theory underlying site frequency spectrum
- appreciate how to extended such theory to low-coverage data
- acknowledge the process of inferring demography from sequencing data
- implement a pipeline in ANGSD to perform the aforementioned analyses