



# **Set up your networking**

## **Cloud Manager**

NetApp  
September 14, 2021

# Table of Contents

- Set up your networking. . . . . 1
  - Networking requirements for Cloud Volumes ONTAP in AWS. . . . . 1
  - Setting up an AWS transit gateway for HA pairs in multiple AZs . . . . . 11
  - Security group rules for AWS . . . . . 15

# Set up your networking

## Networking requirements for Cloud Volumes ONTAP in AWS

Cloud Manager handles the set up of networking components for Cloud Volumes ONTAP, such as IP addresses, netmasks, and routes. You need to make sure that outbound internet access is available, that enough private IP addresses are available, that the right connections are in place, and more.

### General requirements

The following requirements must be met in AWS.

#### Outbound internet access for Cloud Volumes ONTAP nodes

Cloud Volumes ONTAP nodes require outbound internet access to send messages to NetApp AutoSupport, which proactively monitors the health of your storage.

Routing and firewall policies must allow AWS HTTP/HTTPS traffic to the following endpoints so Cloud Volumes ONTAP can send AutoSupport messages:

- <https://support.netapp.com/aods/asupmessage>
- <https://support.netapp.com/asupprod/post/1.0/postAsup>

If you have a NAT instance, you must define an inbound security group rule that allows HTTPS traffic from the private subnet to the internet.

[Learn how to configure AutoSupport.](#)

#### Outbound internet access for the HA mediator

The HA mediator instance must have an outbound connection to the AWS EC2 service so it can assist with storage failover. To provide the connection, you can add a public IP address, specify a proxy server, or use a manual option.

The manual option can be a NAT gateway or an interface VPC endpoint from the target subnet to the AWS EC2 service. For details about VPC endpoints, refer to [AWS Documentation: Interface VPC Endpoints \(AWS PrivateLink\)](#).

### Private IP addresses

Cloud Manager automatically allocates the required number of private IP addresses to Cloud Volumes ONTAP. You need to ensure that your networking has enough private IP addresses available.

The number of LIFs that Cloud Manager allocates for Cloud Volumes ONTAP depends on whether you deploy a single node system or an HA pair. A LIF is an IP address associated with a physical port.

#### IP addresses for a single node system

Cloud Manager allocates 6 IP addresses to a single node system:

- Cluster management LIF

- Node management LIF
- Intercluster LIF
- NAS data LIF
- iSCSI data LIF
- Storage VM management LIF

A storage VM management LIF is used with management tools like SnapCenter.

### IP addresses for HA pairs

HA pairs require more IP addresses than a single node system does. These IP addresses are spread across different ethernet interfaces, as shown in the following image:



The number of private IP addresses required for an HA pair depends on which deployment model you choose. An HA pair deployed in a *single* AWS Availability Zone (AZ) requires 15 private IP addresses, while an HA pair deployed in *multiple* AZs requires 13 private IP addresses.

The following tables provide details about the LIFs that are associated with each private IP address.

### LIFs for HA pairs in a single AZ

LIF	Interface	Node	Purpose
Cluster management	eth0	node 1	Administrative management of the entire cluster (HA pair).
Node management	eth0	node 1 and node 2	Administrative management of a node.
Intercluster	eth0	node 1 and node 2	Cross-cluster communication, backup, and replication.
NAS data	eth0	node 1	Client access over NAS protocols.
iSCSI data	eth0	node 1 and node 2	Client access over the iSCSI protocol.
Cluster connectivity	eth1	node 1 and node 2	Enables the nodes to communicate with each other and to move data within the cluster.
HA connectivity	eth2	node 1 and node 2	Communication between the two nodes in case of failover.
RSM iSCSI traffic	eth3	node 1 and node 2	RAID SyncMirror iSCSI traffic, as well as communication between the two Cloud Volumes ONTAP nodes and the mediator.
Mediator	eth0	Mediator	A communication channel between the nodes and the mediator to assist in storage takeover and giveback processes.

### LIFs for HA pairs in multiple AZs

LIF	Interface	Node	Purpose
Node management	eth0	node 1 and node 2	Administrative management of a node.
Intercluster	eth0	node 1 and node 2	Cross-cluster communication, backup, and replication.
iSCSI data	eth0	node 1 and node 2	Client access over the iSCSI protocol. This LIF also manages the migration of floating IP addresses between nodes.
Cluster connectivity	eth1	node 1 and node 2	Enables the nodes to communicate with each other and to move data within the cluster.
HA connectivity	eth2	node 1 and node 2	Communication between the two nodes in case of failover.
RSM iSCSI traffic	eth3	node 1 and node 2	RAID SyncMirror iSCSI traffic, as well as communication between the two Cloud Volumes ONTAP nodes and the mediator.
Mediator	eth0	Mediator	A communication channel between the nodes and the mediator to assist in storage takeover and giveback processes.



When deployed in multiple Availability Zones, several LIFs are associated with [floating IP addresses](#), which don't count against the AWS private IP limit.

## Security groups

You do not need to create security groups because Cloud Manager does that for you. If you need to use your own, refer to [Security group rules](#).

## Connection for data tiering

If you want to use EBS as a performance tier and AWS S3 as a capacity tier, you must ensure that Cloud Volumes ONTAP has a connection to S3. The best way to provide that connection is by creating a VPC Endpoint to the S3 service. For instructions, see [AWS Documentation: Creating a Gateway Endpoint](#).

When you create the VPC Endpoint, be sure to select the region, VPC, and route table that corresponds to the Cloud Volumes ONTAP instance. You must also modify the security group to add an outbound HTTPS rule that enables traffic to the S3 endpoint. Otherwise, Cloud Volumes ONTAP cannot connect to the S3 service.

If you experience any issues, see [AWS Support Knowledge Center: Why can't I connect to an S3 bucket using a gateway VPC endpoint?](#)

## Connections to ONTAP systems

To replicate data between a Cloud Volumes ONTAP system in AWS and ONTAP systems in other networks, you must have a VPN connection between the AWS VPC and the other network—for example, an Azure VNet or your corporate network. For instructions, see [AWS Documentation: Setting Up an AWS VPN Connection](#).

## DNS and Active Directory for CIFS

If you want to provision CIFS storage, you must set up DNS and Active Directory in AWS or extend your on-premises setup to AWS.

The DNS server must provide name resolution services for the Active Directory environment. You can configure DHCP option sets to use the default EC2 DNS server, which must not be the DNS server used by the Active Directory environment.

For instructions, refer to [AWS Documentation: Active Directory Domain Services on the AWS Cloud: Quick Start Reference Deployment](#).

## Requirements for HA pairs in multiple AZs

Additional AWS networking requirements apply to Cloud Volumes ONTAP HA configurations that use multiple Availability Zones (AZs). You should review these requirements before you launch an HA pair because you must enter the networking details in Cloud Manager when you create the working environment.

To understand how HA pairs work, see [High-availability pairs](#).

## Availability Zones

This HA deployment model uses multiple AZs to ensure high availability of your data. You should use a dedicated AZ for each Cloud Volumes ONTAP instance and the mediator instance, which provides a communication channel between the HA pair.

A subnet should be available in each Availability Zone.

## Floating IP addresses for NAS data and cluster/SVM management

HA configurations in multiple AZs use floating IP addresses that migrate between nodes if failures occur. They are not natively accessible from outside the VPC, unless you [set up an AWS transit gateway](#).

One floating IP address is for cluster management, one is for NFS/CIFS data on node 1, and one is for NFS/CIFS data on node 2. A fourth floating IP address for SVM management is optional.



A floating IP address is required for the SVM management LIF if you use SnapDrive for Windows or SnapCenter with the HA pair. If you don't specify the IP address when you deploy the system, you can create the LIF later. For details, see [Setting up Cloud Volumes ONTAP](#).

You need to enter the floating IP addresses in Cloud Manager when you create a Cloud Volumes ONTAP HA working environment. Cloud Manager allocates the IP addresses to the HA pair when it launches the system.

The floating IP addresses must be outside of the CIDR blocks for all VPCs in the AWS region in which you deploy the HA configuration. Think of the floating IP addresses as a logical subnet that's outside of the VPCs in your region.

The following example shows the relationship between floating IP addresses and the VPCs in an AWS region. While the floating IP addresses are outside the CIDR blocks for all VPCs, they're routable to subnets through route tables.

## AWS region



Cloud Manager automatically creates static IP addresses for iSCSI access and for NAS access from clients outside the VPC. You don't need to meet any requirements for these types of IP addresses.

### Transit gateway to enable floating IP access from outside the VPC

If needed, [set up an AWS transit gateway](#) to enable access to an HA pair's floating IP addresses from outside the VPC where the HA pair resides.

### Route tables

After you specify the floating IP addresses in Cloud Manager, you are then prompted to select the route tables that should include routes to the floating IP addresses. This enables client access to the HA pair.

If you have just one route table for the subnets in your VPC (the main route table), then Cloud Manager automatically adds the floating IP addresses to that route table. If you have more than one route table, it's very important to select the correct route tables when launching the HA pair. Otherwise, some clients might not have access to Cloud Volumes ONTAP.

For example, you might have two subnets that are associated with different route tables. If you select route table A, but not route table B, then clients in the subnet associated with route table A can access the HA



pair, but clients in the subnet associated with route table B can't.

For more information about route tables, refer to [AWS Documentation: Route Tables](#).

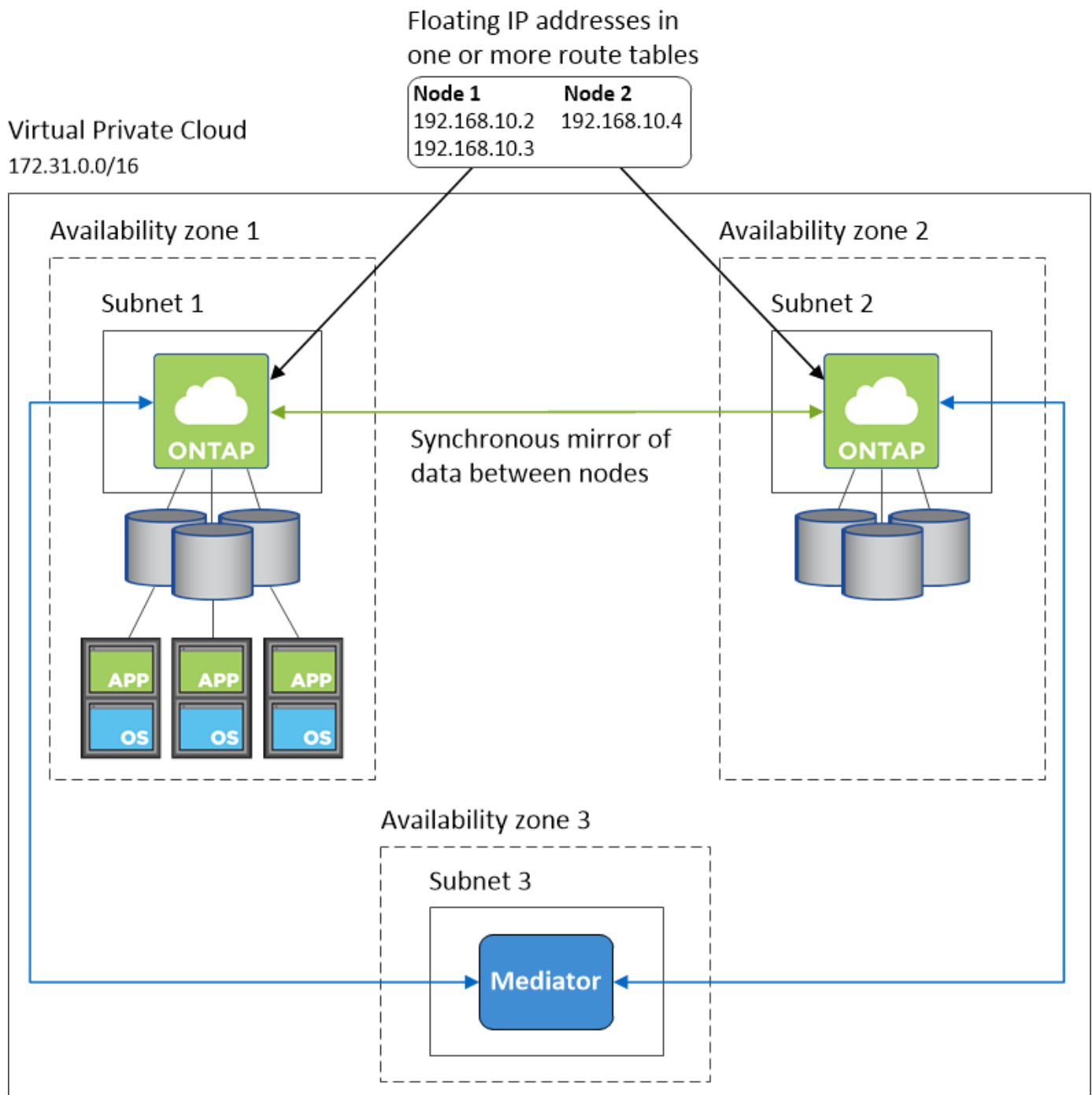
### Connection to NetApp management tools

To use NetApp management tools with HA configurations that are in multiple AZs, you have two connection options:

1. Deploy the NetApp management tools in a different VPC and [set up an AWS transit gateway](#). The gateway enables access to the floating IP address for the cluster management interface from outside the VPC.
2. Deploy the NetApp management tools in the same VPC with a similar routing configuration as NAS clients.

### Example HA configuration

The following image illustrates the networking components specific to an HA pair in multiple AZs: three Availability Zones, three subnets, floating IP addresses, and a route table.



## Requirements for the Connector

Set up your networking so that the Connector can manage resources and processes within your public cloud environment. The most important step is ensuring outbound internet access to various endpoints.



If your network uses a proxy server for all communication to the internet, you can specify the proxy server from the Settings page. Refer to [Configuring the Connector to use a proxy server](#).

### Connection to target networks

A Connector requires a network connection to the VPCs and VNets in which you want to deploy Cloud Volumes ONTAP.

For example, if you install a Connector in your corporate network, then you must set up a VPN connection to the VPC or VNet in which you launch Cloud Volumes ONTAP.

## Outbound internet access

The Connector requires outbound internet access to manage resources and processes within your public cloud environment. A Connector contacts the following endpoints when managing resources in AWS:



If your VPC uses a network access control list (ACL) to filter traffic, then make sure that you enable these endpoints for both outbound and inbound traffic.

Endpoints	Purpose
<p>AWS services (amazonaws.com):</p> <ul style="list-style-type: none"><li>• CloudFormation</li><li>• Elastic Compute Cloud (EC2)</li><li>• Key Management Service (KMS)</li><li>• Security Token Service (STS)</li><li>• Simple Storage Service (S3)</li></ul> <p>The exact endpoint depends on the region in which you deploy Cloud Volumes ONTAP. <a href="#">Refer to AWS documentation for details.</a></p>	Enables the Connector to deploy and manage Cloud Volumes ONTAP in AWS.
<code>https://api.services.cloud.netapp.com:443</code>	API requests to NetApp Cloud Central.
<code>https://cloud.support.netapp.com.s3.us-west-1.amazonaws.com</code>	Provides access to software images, manifests, and templates.
<code>https://cognito-idp.us-east-1.amazonaws.com</code> <code>https://cognito-identity.us-east-1.amazonaws.com</code> <code>https://sts.amazonaws.com</code> <code>https://cloud-support-netapp-com-accelerated.s3.amazonaws.com</code>	Enables the Connector to access and download manifests, templates, and Cloud Volumes ONTAP upgrade images.
<code>https://cloudmanagerinfraprod.azurecr.io</code> <code>*.blob.core.windows.net</code>	Access to software images of container components for an infrastructure that's running Docker and provides a solution for service integrations with Cloud Manager.
<code>https://kinesis.us-east-1.amazonaws.com</code>	Enables NetApp to stream data from audit records.
<code>https://cloudmanager.cloud.netapp.com</code>	Communication with the Cloud Manager service, which includes Cloud Central accounts.
<code>https://netapp-cloud-account.auth0.com</code>	Communication with NetApp Cloud Central for centralized user authentication.
<code>support.netapp.com:443</code> <code>https://mysupport.netapp.com</code>	Communication with NetApp AutoSupport. Note that the Connector communicates with <code>support.netapp.com:443</code> , which redirects to <code>https://mysupport.netapp.com</code> .

Endpoints	Purpose
<a href="https://support.netapp.com/svcgw">https://support.netapp.com/svcgw</a> <a href="https://support.netapp.com/ServiceGW/entitlement">https://support.netapp.com/ServiceGW/entitlement</a> <a href="https://eval.lic.netapp.com.s3.us-west-1.amazonaws.com">https://eval.lic.netapp.com.s3.us-west-1.amazonaws.com</a> <a href="https://cloud-support-netapp-com.s3.us-west-1.amazonaws.com">https://cloud-support-netapp-com.s3.us-west-1.amazonaws.com</a>	Communication with NetApp for system licensing and support registration.
<a href="https://client.infra.support.netapp.com.s3.us-west-1.amazonaws.com">https://client.infra.support.netapp.com.s3.us-west-1.amazonaws.com</a> <a href="https://cloud-support-netapp-com-accelerated.s3.us-west-1.amazonaws.com">https://cloud-support-netapp-com-accelerated.s3.us-west-1.amazonaws.com</a> <a href="https://trigger.asup.netapp.com.s3.us-west-1.amazonaws.com">https://trigger.asup.netapp.com.s3.us-west-1.amazonaws.com</a>	Enables NetApp to collect information needed to troubleshoot support issues.
<a href="https://ipa-signer.cloudmanager.netapp.com">https://ipa-signer.cloudmanager.netapp.com</a>	Enables Cloud Manager to generate licenses (for example, a FlexCache license for Cloud Volumes ONTAP)
<p>Various third-party locations, for example:</p> <ul style="list-style-type: none"> <li>• <a href="https://repo1.maven.org/maven2">https://repo1.maven.org/maven2</a></li> <li>• <a href="https://oss.sonatype.org/content/repositories">https://oss.sonatype.org/content/repositories</a></li> <li>• <a href="https://repo.typesafe.com">https://repo.typesafe.com</a></li> </ul> <p>Third-party locations are subject to change.</p>	During upgrades, Cloud Manager downloads the latest packages for third-party dependencies.

While you should perform almost all tasks from the SaaS user interface, a local user interface is still available on the Connector. The machine running the web browser must have connections to the following endpoints:

Endpoints	Purpose
The Connector host	<p>You must enter the host's IP address from a web browser to load the Cloud Manager console.</p> <p>Depending on your connectivity to your cloud provider, you can use the private IP or a public IP assigned to the host:</p> <ul style="list-style-type: none"> <li>• A private IP works if you have a VPN and direct connect access to your virtual network</li> <li>• A public IP works in any networking scenario</li> </ul> <p>In any case, you should secure network access by ensuring that security group rules allow access from only authorized IPs or subnets.</p>
<a href="https://auth0.com">https://auth0.com</a> <a href="https://cdn.auth0.com">https://cdn.auth0.com</a> <a href="https://netapp-cloud-account.auth0.com">https://netapp-cloud-account.auth0.com</a> <a href="https://services.cloud.netapp.com">https://services.cloud.netapp.com</a>	Your web browser connects to these endpoints for centralized user authentication through NetApp Cloud Central.

Endpoints	Purpose
<a href="https://widget.intercom.io">https://widget.intercom.io</a>	For in-product chat that enables you to talk to NetApp cloud experts.

## Setting up an AWS transit gateway for HA pairs in multiple AZs

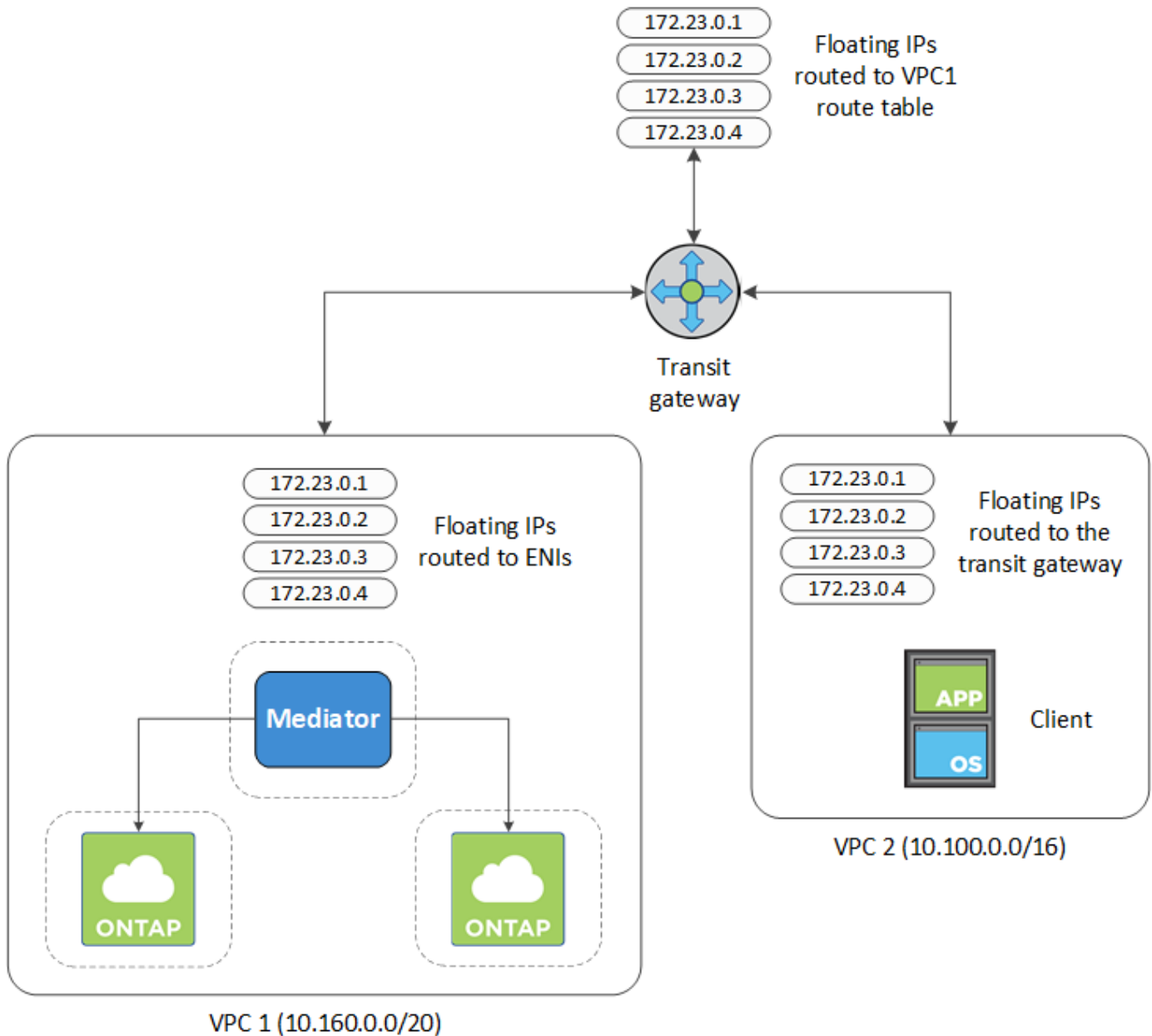
Set up an AWS transit gateway to enable access to an HA pair's [floating IP addresses](#) from outside the VPC where the HA pair resides.

When a Cloud Volumes ONTAP HA configuration is spread across multiple AWS Availability Zones, floating IP addresses are required for NAS data access from within the VPC. These floating IP addresses can migrate between nodes when failures occur, but they are not natively accessible from outside the VPC. Separate private IP addresses provide data access from outside the VPC, but they don't provide automatic failover.

Floating IP addresses are also required for the cluster management interface and the optional SVM management LIF.

If you set up an AWS transit gateway, you enable access to the floating IP addresses from outside the VPC where the HA pair resides. That means NAS clients and NetApp management tools outside the VPC can access the floating IPs.

Here's an example that shows two VPCs connected by a transit gateway. An HA system resides in one VPC, while a client resides in the other. You could then mount a NAS volume on the client using the floating IP address.



The following steps illustrate how to set up a similar configuration.

### Steps

1. [Create a transit gateway and attach the VPCs to the gateway.](#)
2. Associate the VPCs with the transit gateway route table.
  - a. In the **VPC** service, click **Transit Gateway Route Tables**.
  - b. Select the route table.
  - c. Click **Associations** and then select **Create association**.
  - d. Choose the attachments (the VPCs) to associate and then click **Create association**.
3. Create routes in the transit gateway's route table by specifying the HA pair's floating IP addresses.

You can find the floating IP addresses on the Working Environment Information page in Cloud Manager. Here's an example:

## NFS & CIFS access from within the VPC using Floating IP

### Auto failover

Cluster Management : 172.23.0.1

Data (nfs,cifs) : Node 1: 172.23.0.2 | Node 2: 172.23.0.3

### Access

SVM Management : 172.23.0.4

The following sample image shows the route table for the transit gateway. It includes routes to the CIDR blocks of the two VPCs and four floating IP addresses used by Cloud Volumes ONTAP.

Transit Gateway Route Table: tgw-rtb-0ea8ee291c7aedd3

Details Associations Propagations **Routes** Tags

The table below will return a maximum of 1000 routes. Narrow the filter or use export routes to view more routes.

Create route

Replace route

Delete route

Filter by attributes or search by keyword

<input type="checkbox"/>	CIDR	Attachment	Resource type	Route type	Route state
<input type="checkbox"/>	10.100.0.0/16	tgw-attach-05e77bd34e2ff91f8   vpc-0b2bc30e0dc8e0db1	VPC2	propagated	active
<input type="checkbox"/>	10.160.0.0/20	tgw-attach-00eba3eac3250d7db   vpc-673ae603	VPC1	propagated	active
<input type="checkbox"/>	172.23.0.1/32	tgw-attach-00eba3eac3250d7db   vpc-673ae603	VPC	static	active
<input type="checkbox"/>	172.23.0.2/32	tgw-attach-00eba3eac3250d7db   vpc-673ae603	Floating IP	static	active
<input type="checkbox"/>	172.23.0.3/32	tgw-attach-00eba3eac3250d7db   vpc-673ae603	Floating IP	static	active
<input type="checkbox"/>	172.23.0.4/32	tgw-attach-00eba3eac3250d7db   vpc-673ae603	Floating IP	static	active

4. Modify the route table of VPCs that need to access the floating IP addresses.
  - a. Add route entries to the floating IP addresses.
  - b. Add a route entry to the CIDR block of the VPC where the HA pair resides.

The following sample image shows the route table for VPC 2, which includes routes to VPC 1 and the floating IP addresses.

Route Table: rtb-0569a1bd740ed033f

Summary Routes Subnet Associations Route Propagation Tags

Edit routes

View All routes

Destination	Target	Status	Propagated
10.100.0.0/16	local	active	No
0.0.0.0/0	lgw-07250bd01781e67df	active	No
10.160.0.0/20	tgw-015b7c249661ac279	active	No
172.23.0.1/32	tgw-015b7c249661ac279	active	No
172.23.0.2/32	tgw-015b7c249661ac279	active	No
172.23.0.3/32	tgw-015b7c249661ac279	active	No
172.23.0.4/32	tgw-015b7c249661ac279	active	No

VPC1

Floating IP Addresses

- Modify the route table for the HA pair's VPC by adding a route to the VPC that needs access to the floating IP addresses.

This step is important because it completes the routing between the VPCs.

The following sample image shows the route table for VPC 1. It includes a route to the floating IP addresses and to VPC 2, which is where a client resides. Cloud Manager automatically added the floating IPs to the route table when it deployed the HA pair.

Summary Routes Subnet Associations Route Propagation Tags

Edit routes

View All routes

Destination	Target	Status
10.160.0.0/20	local	active
pl-68a54001 (com.amazonaws.us-west-2.s3, 54.231.160.0/19, 52.218.128.0/17, 52.92.32.0/22)	vpce-cb51a0a2	active
0.0.0.0/0	lgw-b2182dd7	active
10.60.29.0/25	pcx-589c3331	active
10.100.0.0/16	tgw-015b7c249661ac279	active
10.129.0.0/20	pcx-ff7e1396	active
172.23.0.1/32	eni-0854d4715559c3cdb	active
172.23.0.2/32	eni-0854d4715559c3cdb	active
172.23.0.3/32	eni-0f76681216c3108ed	active
172.23.0.4/32	eni-0854d4715559c3cdb	active

VPC2

Floating IP Addresses

- Mount volumes to clients using the floating IP address.

You can find the correct IP address in Cloud Manager by selecting a volume and clicking **Mount Command**.



## Volumes

2 Volumes | 0.22 TB Allocated | < 0.01 TB Used (0 TB in S3)



7. If you're mounting an NFS volume, configure the export policy to match the subnet of the client VPC.

[Learn how to edit a volume.](#)

### Related links

- [High-availability pairs in AWS](#)
- [Networking requirements for Cloud Volumes ONTAP in AWS](#)

## Security group rules for AWS

Cloud Manager creates AWS security groups that include the inbound and outbound rules that the Connector and Cloud Volumes ONTAP need to operate successfully. You might want to refer to the ports for testing purposes or if you prefer your to use own security groups.

### Rules for Cloud Volumes ONTAP

The security group for Cloud Volumes ONTAP requires both inbound and outbound rules.

#### Inbound rules

The source for inbound rules in the predefined security group is 0.0.0.0/0.

Protocol	Port	Purpose
All ICMP	All	Pinging the instance
HTTP	80	HTTP access to the System Manager web console using the IP address of the cluster management LIF
HTTPS	443	HTTPS access to the System Manager web console using the IP address of the cluster management LIF

Protocol	Port	Purpose
SSH	22	SSH access to the IP address of the cluster management LIF or a node management LIF
TCP	111	Remote procedure call for NFS
TCP	139	NetBIOS service session for CIFS
TCP	161-162	Simple network management protocol
TCP	445	Microsoft SMB/CIFS over TCP with NetBIOS framing
TCP	635	NFS mount
TCP	749	Kerberos
TCP	2049	NFS server daemon
TCP	3260	iSCSI access through the iSCSI data LIF
TCP	4045	NFS lock daemon
TCP	4046	Network status monitor for NFS
TCP	10000	Backup using NDMP
TCP	11104	Management of intercluster communication sessions for SnapMirror
TCP	11105	SnapMirror data transfer using intercluster LIFs
UDP	111	Remote procedure call for NFS
UDP	161-162	Simple network management protocol
UDP	635	NFS mount
UDP	2049	NFS server daemon
UDP	4045	NFS lock daemon
UDP	4046	Network status monitor for NFS
UDP	4049	NFS rquotad protocol

## Outbound rules

The predefined security group for Cloud Volumes ONTAP opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

### Basic outbound rules

The predefined security group for Cloud Volumes ONTAP includes the following outbound rules.

Protocol	Port	Purpose
All ICMP	All	All outbound traffic
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

### Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by Cloud Volumes ONTAP.



The source is the interface (IP address) on the Cloud Volumes ONTAP system.

Service	Protocol	Port	Source	Destination	Purpose
Active Directory	TCP	88	Node management LIF	Active Directory forest	Kerberos V authentication
	UDP	137	Node management LIF	Active Directory forest	NetBIOS name service
	UDP	138	Node management LIF	Active Directory forest	NetBIOS datagram service
	TCP	139	Node management LIF	Active Directory forest	NetBIOS service session
	TCP & UDP	389	Node management LIF	Active Directory forest	LDAP
	TCP	445	Node management LIF	Active Directory forest	Microsoft SMB/CIFS over TCP with NetBIOS framing
	TCP	464	Node management LIF	Active Directory forest	Kerberos V change & set password (SET_CHANGE)
	UDP	464	Node management LIF	Active Directory forest	Kerberos key administration
	TCP	749	Node management LIF	Active Directory forest	Kerberos V change & set Password (RPCSEC_GSS)
	TCP	88	Data LIF (NFS, CIFS, iSCSI)	Active Directory forest	Kerberos V authentication
	UDP	137	Data LIF (NFS, CIFS)	Active Directory forest	NetBIOS name service
	UDP	138	Data LIF (NFS, CIFS)	Active Directory forest	NetBIOS datagram service
	TCP	139	Data LIF (NFS, CIFS)	Active Directory forest	NetBIOS service session
	TCP & UDP	389	Data LIF (NFS, CIFS)	Active Directory forest	LDAP
	TCP	445	Data LIF (NFS, CIFS)	Active Directory forest	Microsoft SMB/CIFS over TCP with NetBIOS framing
	TCP	464	Data LIF (NFS, CIFS)	Active Directory forest	Kerberos V change & set password (SET_CHANGE)
	UDP	464	Data LIF (NFS, CIFS)	Active Directory forest	Kerberos key administration
	TCP	749	Data LIF (NFS, CIFS)	Active Directory forest	Kerberos V change & set password (RPCSEC_GSS)
Backup to S3	TCP	5010	Intercluster LIF	Backup endpoint or restore endpoint	Back up and restore operations for the Backup to S3 feature

Service	Protocol	Port	Source	Destination	Purpose
Cluster	All traffic	All traffic	All LIFs on one node	All LIFs on the other node	Intercluster communications (Cloud Volumes ONTAP HA only)
	TCP	3000	Node management LIF	HA mediator	ZAPI calls (Cloud Volumes ONTAP HA only)
	ICMP	1	Node management LIF	HA mediator	Keep alive (Cloud Volumes ONTAP HA only)
DHCP	UDP	68	Node management LIF	DHCP	DHCP client for first-time setup
DHCPS	UDP	67	Node management LIF	DHCP	DHCP server
DNS	UDP	53	Node management LIF and data LIF (NFS, CIFS)	DNS	DNS
NDMP	TCP	1860 0–18 699	Node management LIF	Destination servers	NDMP copy
SMTP	TCP	25	Node management LIF	Mail server	SMTP alerts, can be used for AutoSupport
SNMP	TCP	161	Node management LIF	Monitor server	Monitoring by SNMP traps
	UDP	161	Node management LIF	Monitor server	Monitoring by SNMP traps
	TCP	162	Node management LIF	Monitor server	Monitoring by SNMP traps
	UDP	162	Node management LIF	Monitor server	Monitoring by SNMP traps
SnapMirror	TCP	1110 4	Intercluster LIF	ONTAP intercluster LIFs	Management of intercluster communication sessions for SnapMirror
	TCP	1110 5	Intercluster LIF	ONTAP intercluster LIFs	SnapMirror data transfer
Syslog	UDP	514	Node management LIF	Syslog server	Syslog forward messages

## Rules for the HA mediator external security group

The predefined external security group for the Cloud Volumes ONTAP HA mediator includes the following inbound and outbound rules.

### Inbound rules

The source for inbound rules is 0.0.0.0/0.

Protocol	Port	Purpose
SSH	22	SSH connections to the HA mediator
TCP	3000	RESTful API access from the Connector

## Outbound rules

The predefined security group for the HA mediator opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

### Basic outbound rules

The predefined security group for the HA mediator includes the following outbound rules.

Protocol	Port	Purpose
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

### Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the HA mediator.

Protocol	Port	Destination	Purpose
HTTP	80	Connector IP address	Download upgrades for the mediator
HTTPS	443	AWS API services	Assist with storage failover
UDP	53	AWS API services	Assist with storage failover



Rather than open ports 443 and 53, you can create an interface VPC endpoint from the target subnet to the AWS EC2 service.

## Rules for the HA mediator internal security group

The predefined internal security group for the Cloud Volumes ONTAP HA mediator includes the following rules. Cloud Manager always creates this security group. You do not have the option to use your own.

### Inbound rules

The predefined security group includes the following inbound rules.

Protocol	Port	Purpose
All traffic	All	Communication between the HA mediator and HA nodes

## Outbound rules

The predefined security group includes the following outbound rules.

Protocol	Port	Purpose
All traffic	All	Communication between the HA mediator and HA nodes

## Rules for the Connector

The security group for the Connector requires both inbound and outbound rules.

### Inbound rules

Protocol	Port	Purpose
SSH	22	Provides SSH access to the Connector host
HTTP	80	Provides HTTP access from client web browsers to the local user interface and connections from Cloud Data Sense
HTTPS	443	Provides HTTPS access from client web browsers to the local user interface
TCP	3128	Provides the Cloud Data Sense instance with internet access, if your AWS network doesn't use a NAT or proxy

### Outbound rules

The predefined security group for the Connector opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

#### Basic outbound rules

The predefined security group for the Connector includes the following outbound rules.

Protocol	Port	Purpose
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

#### Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the Connector.



The source IP address is the Connector host.

Service	Protocol	Port	Destination	Purpose
Active Directory	TCP	88	Active Directory forest	Kerberos V authentication
	TCP	139	Active Directory forest	NetBIOS service session
	TCP	389	Active Directory forest	LDAP
	TCP	445	Active Directory forest	Microsoft SMB/CIFS over TCP with NetBIOS framing
	TCP	464	Active Directory forest	Kerberos V change & set password (SET_CHANGE)
	TCP	749	Active Directory forest	Active Directory Kerberos V change & set password (RPCSEC_GSS)
	UDP	137	Active Directory forest	NetBIOS name service
	UDP	138	Active Directory forest	NetBIOS datagram service
	UDP	464	Active Directory forest	Kerberos key administration
API calls and AutoSupport	HTTPS	443	Outbound internet and ONTAP cluster management LIF	API calls to AWS and ONTAP, and sending AutoSupport messages to NetApp
API calls	TCP	3000	ONTAP cluster management LIF	API calls to ONTAP
	TCP	8088	Backup to S3	API calls to Backup to S3
DNS	UDP	53	DNS	Used for DNS resolve by Cloud Manager
Cloud Data Sense	HTTP	80	Cloud Data Sense instance	Cloud Data Sense for Cloud Volumes ONTAP



## Copyright Information

Copyright © 2021 NetApp, Inc. All rights reserved. Printed in the U.S. No part of this document covered by copyright may be reproduced in any form or by any means-graphic, electronic, or mechanical, including photocopying, recording, taping, or storage in an electronic retrieval system-without prior written permission of the copyright owner.

Software derived from copyrighted NetApp material is subject to the following license and disclaimer:

THIS SOFTWARE IS PROVIDED BY NETAPP "AS IS" AND WITHOUT ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WHICH ARE HEREBY DISCLAIMED. IN NO EVENT SHALL NETAPP BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

NetApp reserves the right to change any products described herein at any time, and without notice. NetApp assumes no responsibility or liability arising from the use of products described herein, except as expressly agreed to in writing by NetApp. The use or purchase of this product does not convey a license under any patent rights, trademark rights, or any other intellectual property rights of NetApp.

The product described in this manual may be protected by one or more U.S. patents, foreign patents, or pending applications.

RESTRICTED RIGHTS LEGEND: Use, duplication, or disclosure by the government is subject to restrictions as set forth in subparagraph (c)(1)(ii) of the Rights in Technical Data and Computer Software clause at DFARS 252.277-7103 (October 1988) and FAR 52-227-19 (June 1987).

## Trademark Information

NETAPP, the NETAPP logo, and the marks listed at <http://www.netapp.com/TM> are trademarks of NetApp, Inc. Other company and product names may be trademarks of their respective owners.