

滴线附近原子核 奇异结构与跃迁研究

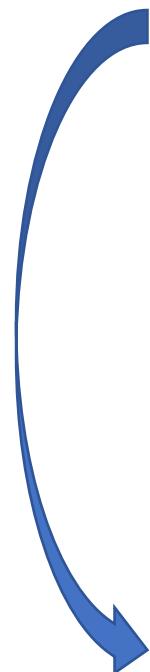
Zhicheng Xu (Z. C. Xu)

Fudan University

Collaborators: F. R. Xu, S. L. Jin, S. Zhang,
S. M. Wang, W. Nazarewicz...



- Introduction
 - *ab initio* calculation in Nuclear Structure
 - Open quantum systems
 - Many-body perturbation theory (MBPT)
- Results
 - β -decay mirror symmetry breaking in dripline nuclei
 - $E2$ transitions in ^{36}Ca and ^{38}Ca
- Emulator for resonant state
- Summary

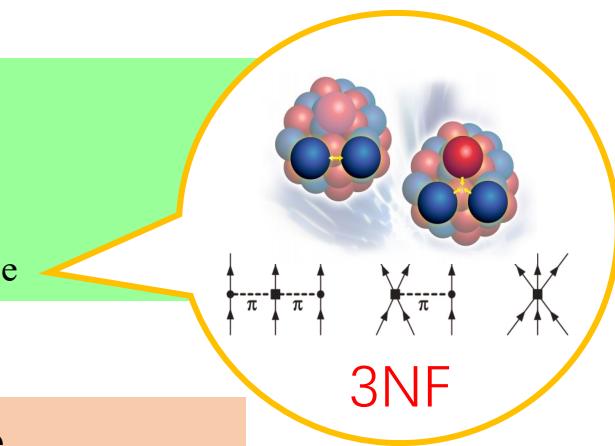


Realistic nuclear force

Degree of freedom: nucleon (meson)

Reproduce nucleon-nucleon scattering experimental data

CD-Bonn, AV18, Chiral Effective Field Theory (χ EFT) force



Renormalization of nuclear force

Decouple low- and high-momentum physics

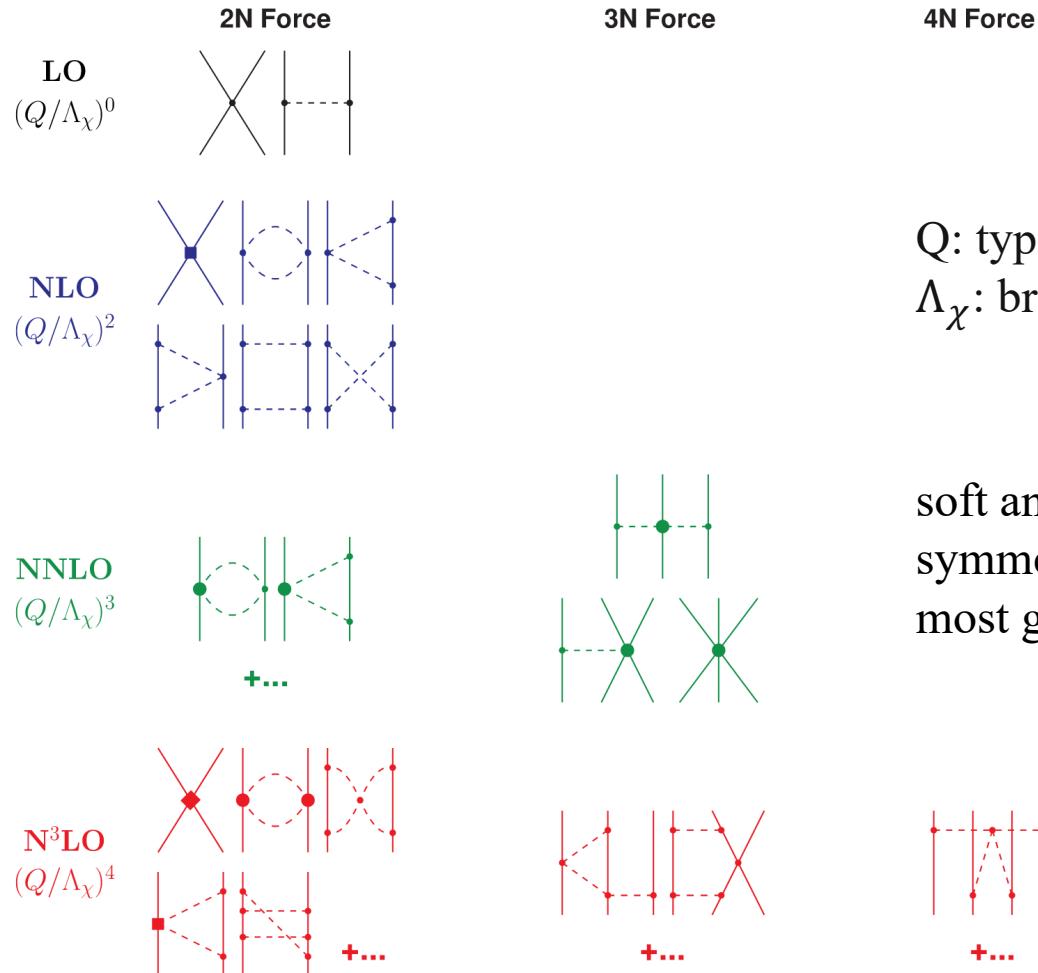
$V_{\text{low-}k}$ method, Similarity Renormalization Group (SRG)



Many-body methods

No-core shell model (NCSM), **Many-body perturbation theory (MBPT)**,
Coupled-Cluster (CC), In-Medium Similarity Renormalization Group
(IMSRG), Nuclear Lattice effective field theory (NLEFT), Self-Consistent
Green's Functions (SCGF), Quantum Monte Carlo (QMC), ...

Chiral forces

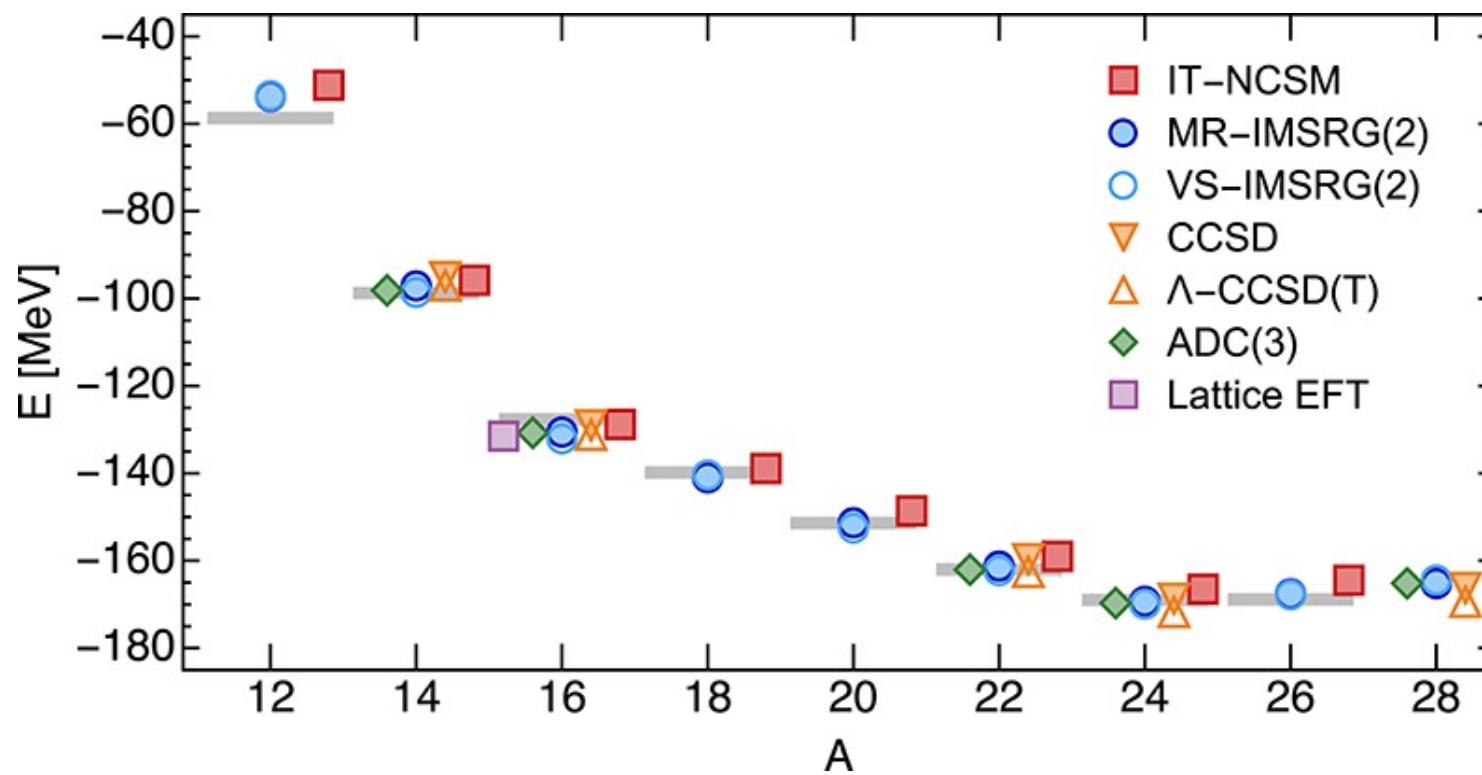


Q: typical momentum
 Λ_χ : breakdown scale (700–1000 MeV)

soft and hard scales;
symmetries of low-energy QCD and broken;
most general Lagrangian;

Hierarchy of nuclear forces in Chiral EFT. Two- and many-nucleon forces on an equal footing.

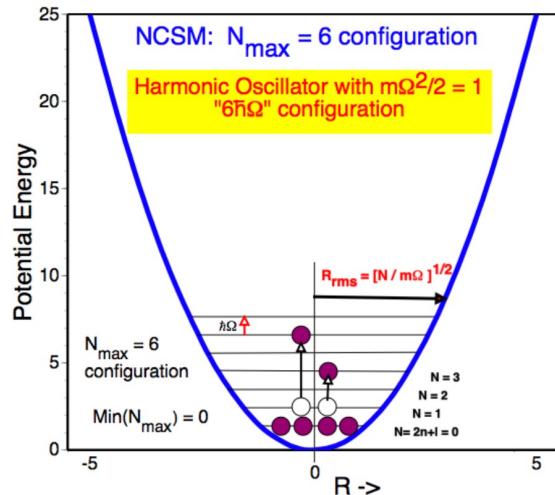
R. Machleidt, D.R. Entem. Physics Reports 503, 1-75 (2011)



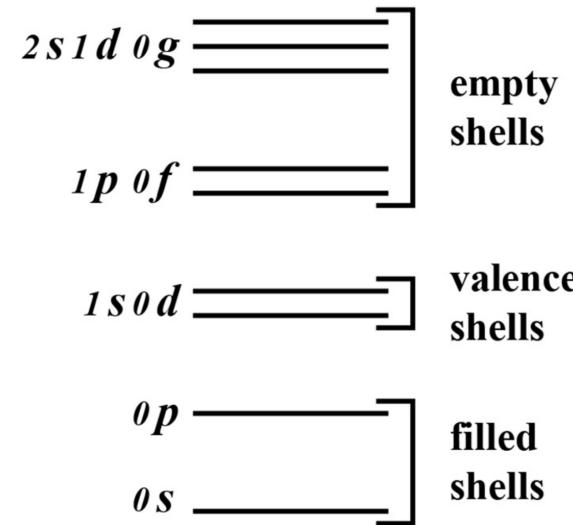
Ground-state energies of the oxygen isotopes for various many-body approaches, using the chiral NN+3N(400) interaction

Heiko Hergert. Front. Phys. 8, 379 (2020)

Configuration interaction shell model



No core shell model

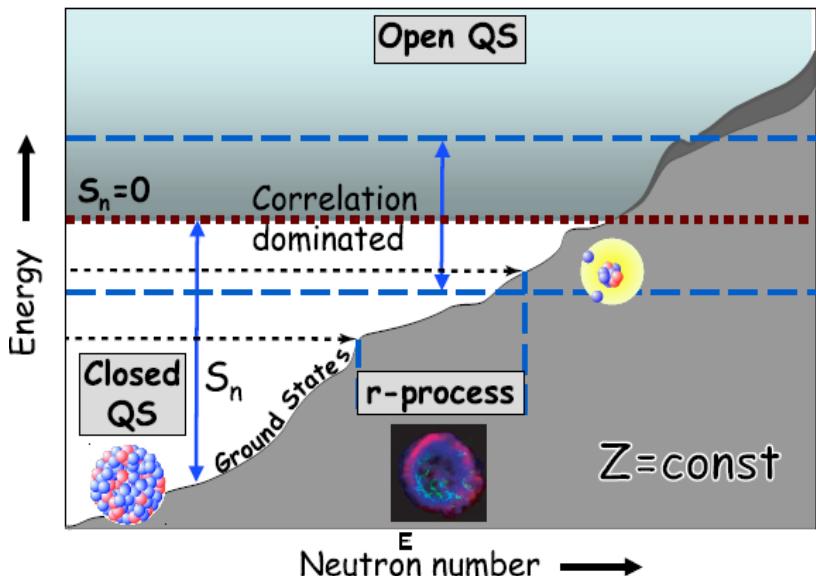


Configuration interaction

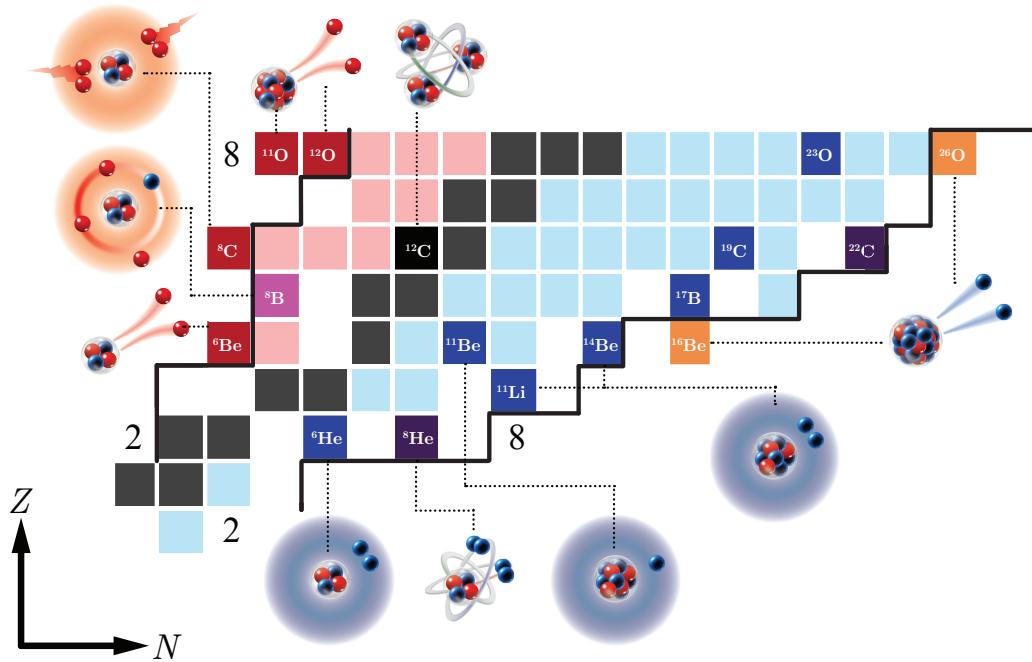
MBPT, VS-IMSRG, ...

- Valence space Hamiltonian and operator
 - phenomenological approach
 - appropriate many-body theory

Open quantum system



N. Michel *et al.*, JPG: NPP 36, 013101 (2009)



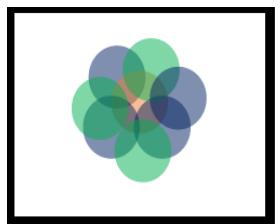
From S. M. Wang's talk

Resonance & continuum near threshold

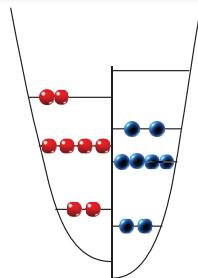
- The asymptotic behavior of wavefunction
- The decay channel open & clustering

- Halo & clustering
- Exotic decay
- Deformation
- New magic number
- ...

closed quantum system



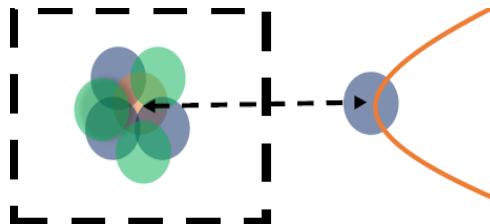
Hilbert Space



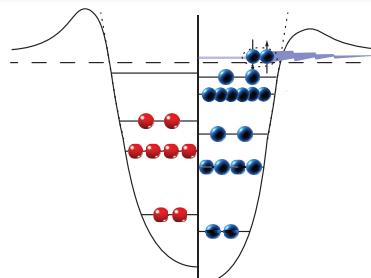
bound

HO basis

open quantum system



Rigged Hilbert space



bound, resonant, continuum

Berggren basis

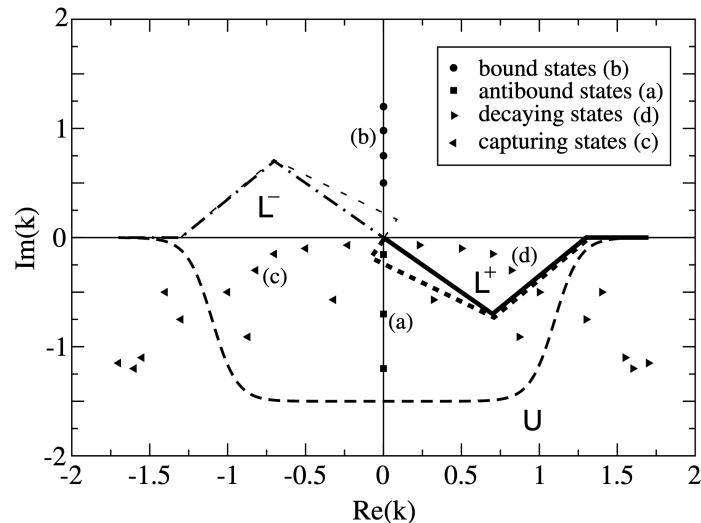
Gamow Shell Model

N. Michel *et al*, Phys. Rev. Lett. 89, 042502 (2002)

Gamow Coupled-Channel

S. Wang *et al*, Phys. Rev. C. 96, 044307 (2017)

Gel'fand I M *et al*, Generalized Functions vol 4 (1961)
T. Berggren, Nucl. Phys. A 109, 265 (1968)

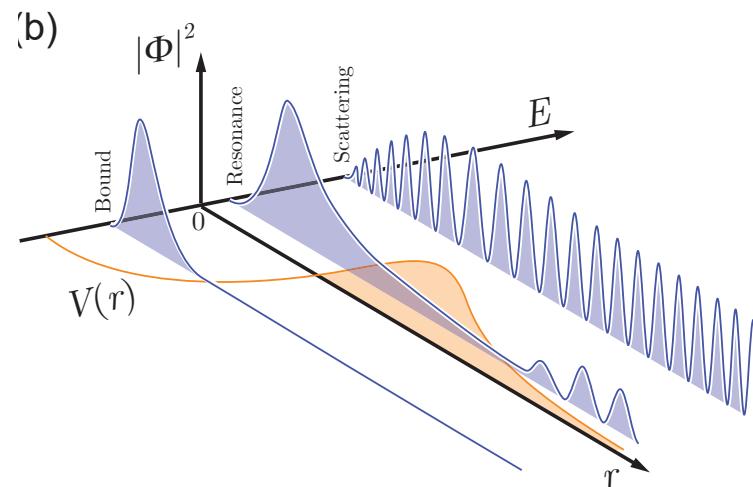


N. Michel *et al.*, JPG: NPP 36, 013101 (2009)

Berggren basis completeness relation:

$$\sum_n u_n(E_n, r) u_n(E_n, r') + \int_{L^+} dE u(E, r) u(E, r') = \delta(r - r')$$

T. Berggren, Nucl. Phys. A 109, 265 (1968)



Hamiltonian Non-Hermitian

Gamow Hartree-Fock method: with three nucleon force

$$H_{int} = \sum_{i=1}^A \left(1 - \frac{1}{A}\right) \frac{\mathbf{p}_i^2}{2m} + \sum_{i < j}^A \left(V_{NN,ij} - \frac{\mathbf{p}_i \cdot \mathbf{p}_j}{m A}\right) + \sum_{i < j < k}^A V_{NNN,ijk}$$

1. One body Hartree-Fock potential

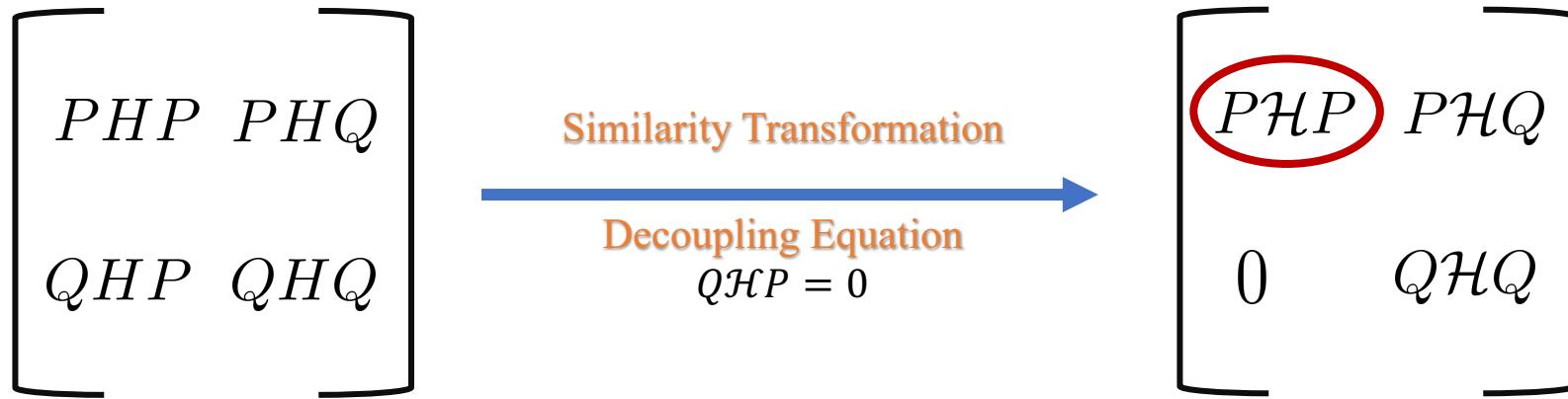
$$\hat{h}_{pq} = (t_{pq} + \sum_i V_{piqi}^{NN} + \frac{1}{2} \sum_{ij} V_{pij,qij}^{3N}) : \hat{a}_p^\dagger \hat{a}_q :$$

2. Analytical extension to complex-k plane

$$\langle k | h | k' \rangle = \frac{\hbar^2 k^2}{2\mu} \delta(k - k') + \sum_{\alpha\beta} \langle \alpha | U_{HF} | \beta \rangle \langle k | \alpha \rangle \langle \beta | k' \rangle$$

S. Zhang *et al.*, Phys. Rev. C 108, 064316 (2023)

Many-Body Perturbation Theory



Projection Operator: $P = \sum_{i \in P} |\Phi_i\rangle\langle\Phi_i|$

Similarity Transformation: $\mathcal{H} = X^{-1}HX$, $X = e^{\omega} \approx 1 + \omega$, $\omega = Q\omega P$, $P\omega P = Q\omega Q = P\omega Q = 0$

Decoupling Equation: $QHP + QHQ\omega - \omega PHP - \omega PHQ\omega = 0$

Decoupled Hamiltonian: $H_{\text{eff}}(\omega) = P\mathcal{H}P = PHP + PHQ\omega$

Q-box: $\hat{Q}(\epsilon) = PH_1P + PH_1Q \frac{1}{\epsilon - QHQ} QH_1P$

$$H_{\text{eff}}(\omega) = \hat{Q}(\epsilon_0) + \sum_{k=1}^{\infty} \hat{Q}_k(\epsilon_0) H_{\text{eff}}(\omega)^k$$

L. Coraggio and N. Itaco, Front. Phys. 8, 345 (2020)

With EKK method, an H_{eff} the within non-degenerate model spaces can be derived.

K. Takayanagi et al. Nucl. Phys. A 852, 61 (2005)

K. Takayanagi et al. Nucl. Phys. A 864, 91 (2011)

MBPT effective operator



Model space and full space operator:

$$\langle \tilde{\psi}_\lambda^r | \Theta | \psi_\mu^r \rangle = \langle \tilde{\psi}_\lambda^m | \Theta_{\text{eff}} | \psi_\mu^m \rangle$$

Same projection get wavefunction :

$$|\psi_\lambda^r\rangle = X|\psi_\lambda^m\rangle = (1 + \omega)|\psi_\lambda^m\rangle = (P + \omega)|\psi_\lambda^m\rangle$$

and

$$\langle \tilde{\psi}_\lambda^r | = \langle \psi_\lambda^m | (P + \omega^\dagger \omega)^{-1} (P + \omega^\dagger)$$

Effective operator:

$$\Theta_{\text{eff}} = (P + \omega^\dagger \omega)^{-1} \hat{\Theta}$$

$\hat{\Theta}$ defined as :

$$\hat{\Theta} = (P + \omega^\dagger) \Theta (P + \omega) = P\Theta P + P\Theta Q\omega + \omega^\dagger Q\Theta P + \omega^\dagger Q\Theta Q\omega$$

$$\Theta_{\text{eff}} = (P + \hat{Q}_1 + \hat{Q}_1 \hat{Q}_1 + \hat{Q}_2 \hat{Q} + \hat{Q} \hat{Q}_2 + \cdots) \times (\chi_0 + \chi_1 + \chi_2 + \cdots)$$

K. Suzuki, R. Okamoto. Prog. Theor. Phys. 93, 905 (1995)
L. Coraggio and N. Itaco, Front. Phys. 8, 345 (2020)

Define $\hat{\Theta}$ -box:

$$\hat{\Theta}(\epsilon) = P\Theta Q \frac{1}{\epsilon - QHQ} QH_1 P$$

$$\hat{\Theta}(\epsilon_1, \epsilon_2) = PH_1 Q \frac{1}{\epsilon - QHQ} Q\Theta Q \frac{1}{\epsilon - QHQ} QH_1 P$$

Define:

$$\chi_0 = P\Theta P + (\hat{\Theta}_0 + h.c.) + \hat{\Theta}_{00}$$

$$\chi_1 = (\hat{\Theta}_1 \hat{Q} + h.c.) + (\hat{\Theta}_{01} \hat{Q} + h.c.)$$

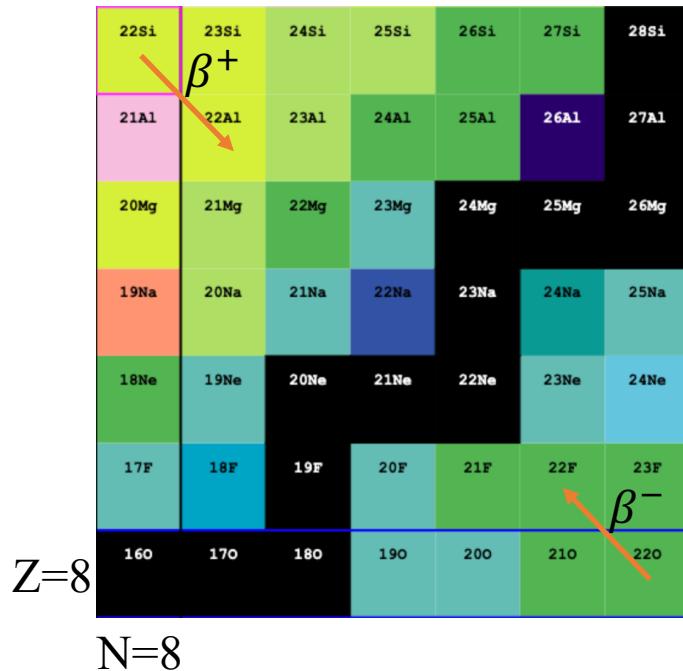
$$\chi_2 = (\hat{\Theta}_1 \hat{Q}_1 \hat{Q} + h.c.) + (\hat{\Theta}_2 \hat{Q} \hat{Q} + h.c.) + (\hat{Q}_{02} \hat{Q} \hat{Q} + h.c.) + \hat{Q} \hat{\Theta}_{11} \hat{Q}$$

With ω :

$$\omega^\dagger \omega = -\hat{Q}_1 + (\hat{Q}_2 \hat{Q} + h.c.) + (\hat{Q}_3 \hat{Q} \hat{Q} + h.c.) + \cdots$$

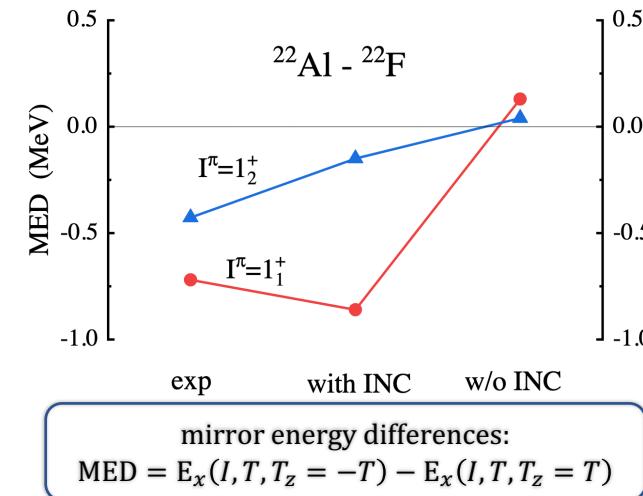
We extend the model space effective operator to Berggren basis.

Mirror symmetry breaking near dripline



- Two MSB:
 - larger MED of two 1^+ states.
 - Gamow-Teller transition
- USDA with isospin-nonconserving (INC) forces related to the $s_{1/2}$ orbit, mimic the effect of continuum.

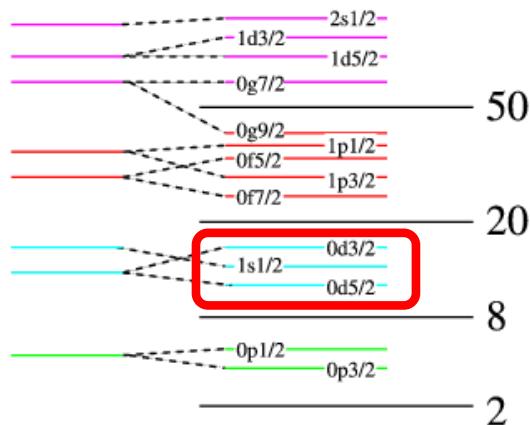
How continuum effect?



$^{22}\text{Si} \rightarrow ^{22}\text{Al}$		$^{22}\text{O} \rightarrow ^{22}\text{F}$	
Experiment	Calculations	Experiment	Calculations
I_i^π	$ M_{\text{GT}}^+ ^2$	$ M_{\text{GT}}^+ ^2$	$ M_{\text{GT}}^- ^2$
1_1^+	0.0310 (58)	0.0587 [0.1138]	0.096 (20)
1_2^+	0.563 (61)	0.7449 [0.7193]	0.60 (12)

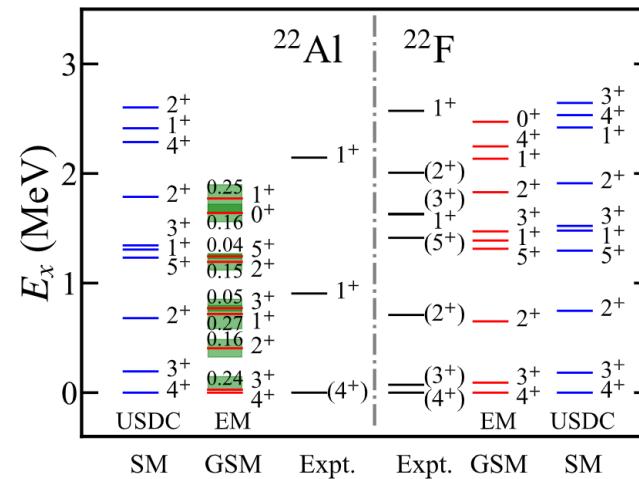
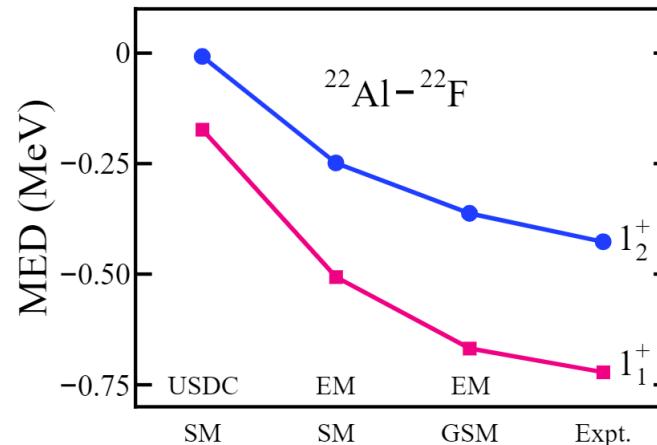
J. Lee, et al. Phys. Rev. Lett. 125, 192503 (2020)

Mirror symmetry breaking near dripline



sd-shell model space
 $d_{3/2}, s_{1/2}$ wave with continuum

- Calculation:
 - USDC + SM (no continuum)
 - EM + SM (no continuum)
 - EM + GSM (with continuum)
- Continuum improve MSB and spectrum
- Proton $s_{1/2}$ wave occupation increased



ZX, et al., Phys. Rev. C. 108, L031301(2023)

Mirror symmetry breaking near dripline



$|M_{\text{GT}}|$ values

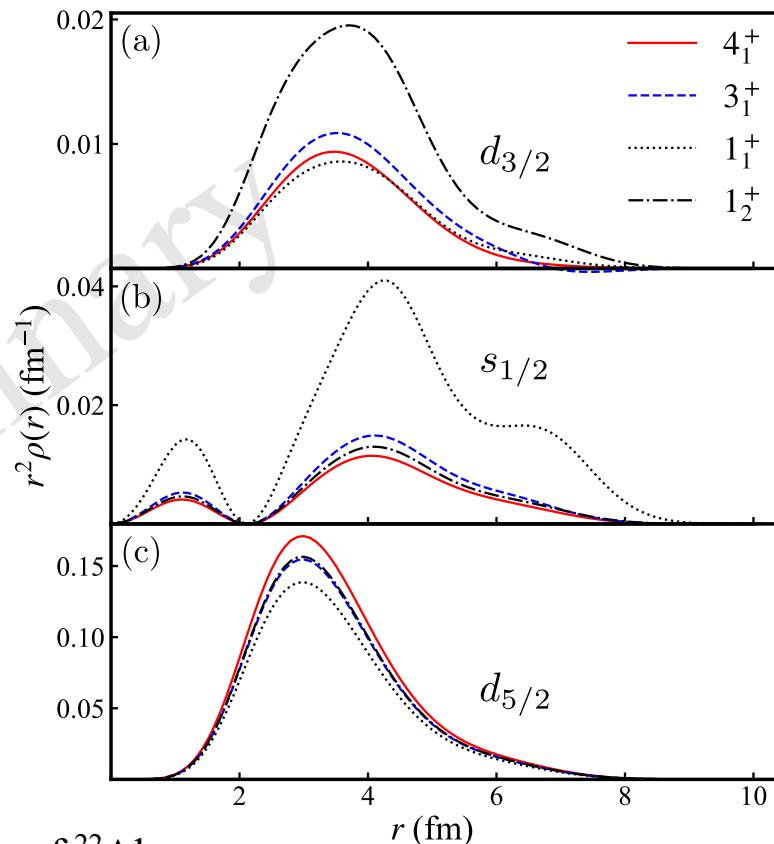
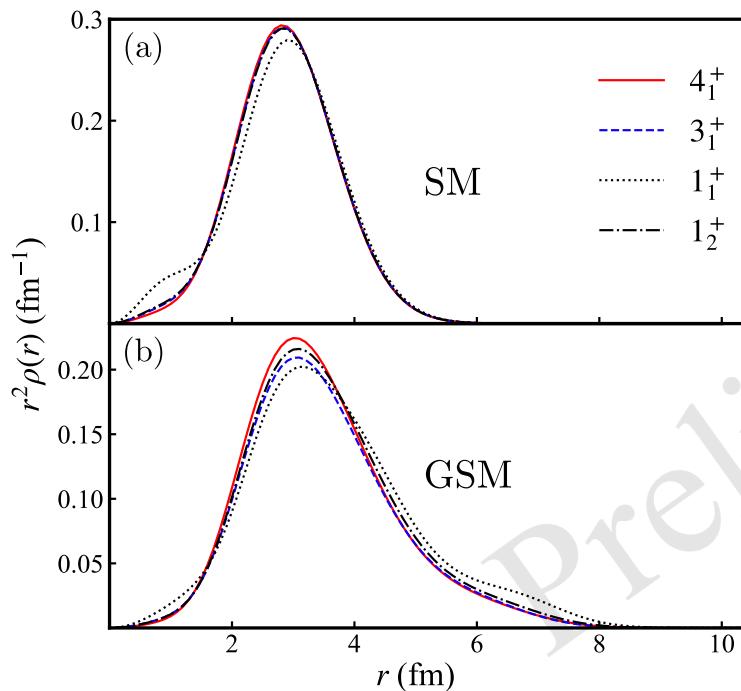
		SM		GSM	Ref. [54]	
		USDC	EM	EM	Expt.	Cal.
$^{22}\text{Si} \rightarrow ^{22}\text{Al}$	1_1^+	0.236	0.343	0.257	0.176(16)	0.242
	1_2^+	0.721	1.042	1.012	0.750(41)	0.863
$^{22}\text{O} \rightarrow ^{22}\text{F}$	1_1^+	0.198	0.569	0.497	0.310(32)	0.428
	1_2^+	0.719	1.092	1.068	0.775(77)	0.848

- $|M_{\text{GT}}|$ of ^{22}Si & ^{22}O mirror pair
 - The USDA with INC (Cal.) consider the effect from continuum
 - USDC cannot give the MSB in GT transition
 - The proton $s_{1/2}$ occupation increased with continuum, which reduce the $E(1^+)$ and $|M_{\text{GT}}|$

The realistic force & continuum are vital for MSB near dripline

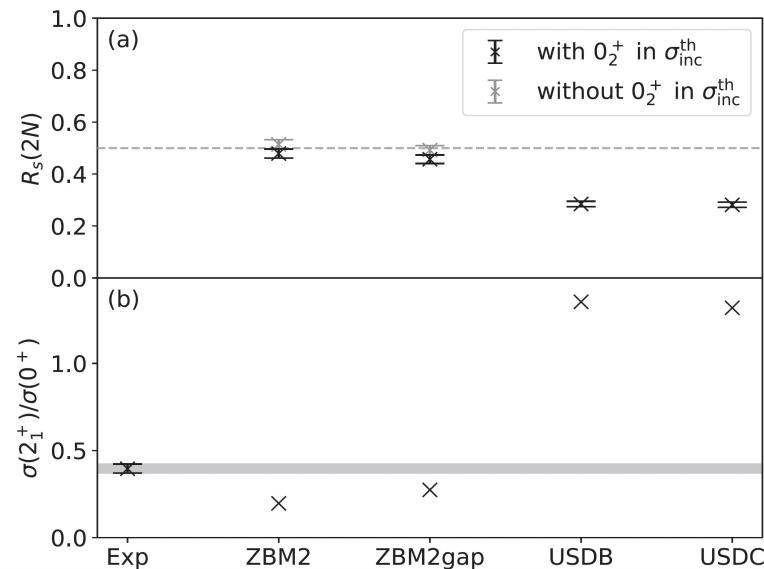
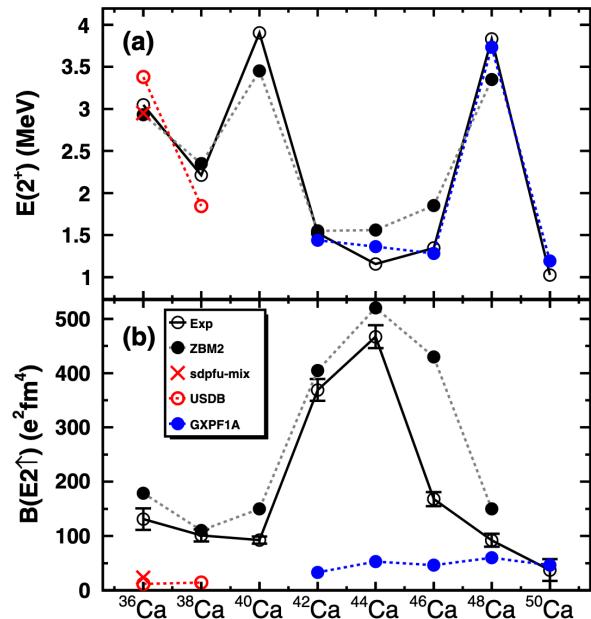
ZX, et al., Phys. Rev. C. 108, L031301(2023)

Is ^{22}Al a halo nuclei



- Valence nucleon density and partial wave density of ^{22}Al
- The density extend when continuum effect included
- Halo structure found in first 1^+ state
- There are no halo structure in 4^+ and 3^+ states, which lead ^{22}Al not a halo nuclei

$E2$ transition in ^{36}Ca and ^{38}Ca



2_2^+	$4.71(9)$	4.716	4.924	0_3^+	<u>6.259</u>	<u>6.009</u>	2_2^+
					<u>4.639</u>	<u>4.375</u>	0_2^+
S_p —	2_1^+ $3.046(3)$	2.927	3.252	2.950	<u>3.810</u>	<u>3.382</u>	2_1^+ 3.240
	0_2^+ $2.83(13)$	2.841	3.164	2.700			

0_1^+	0.0	0.0	0.0	0.0	0.0	0_1^+
Exp	ZBM2	ZBM2gap	SDPF-U-MIX	USDB	USDC	

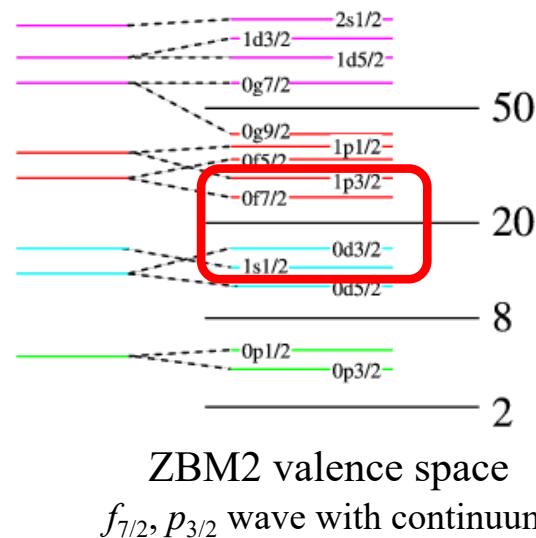
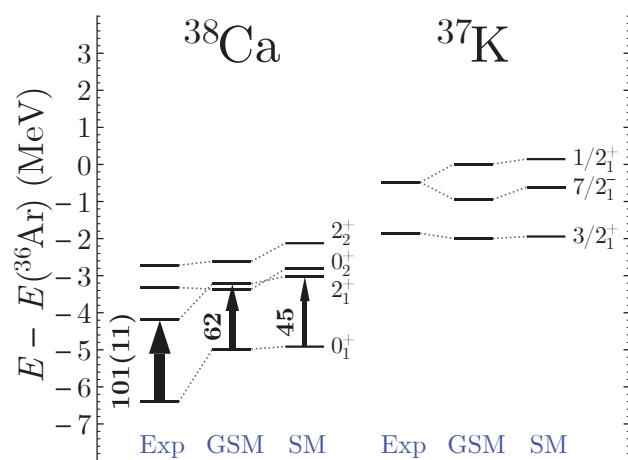
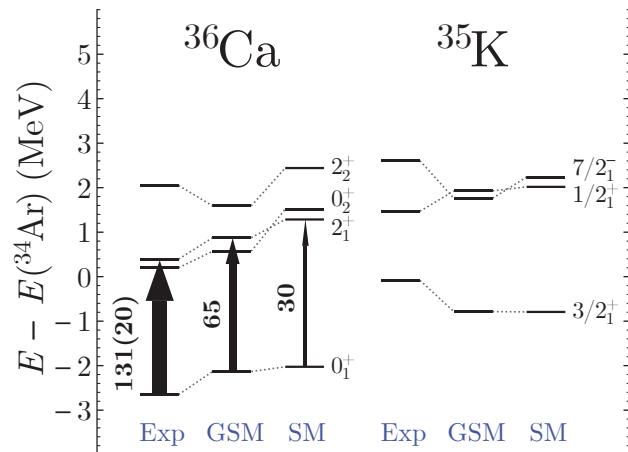
- Abnormal $E(2^+)$ and $B(E2)$ trend
- Two-neutron knockout of ^{38}Ca
- Only describe by proton cross shell excitation
- 2^+ state in ^{36}Ca is above threshold

How continuum effect?

N. Dronchi, *et al.*, Phys. Rev. C. 107, 034306 (2023)
 T. Beck *et al.*, Phys. Rev. C. 108, L061301 (2023)
 E. Caurier *et al.*, Phys. Lett. B 522, 240 (2001)

$E2$ transition in ^{36}Ca and ^{38}Ca

Spectrum and $B(E2)$ values

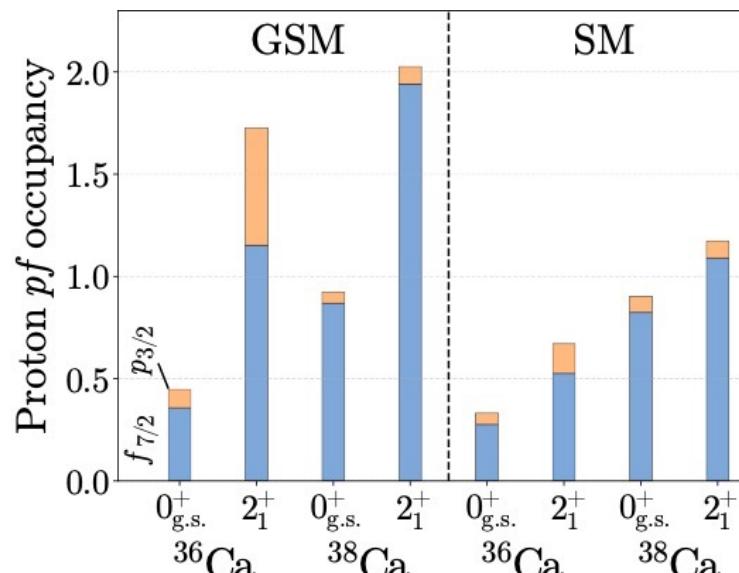


A. P. Zuker, *et al.* Phys. Rev. C 92, 024320 (2015)

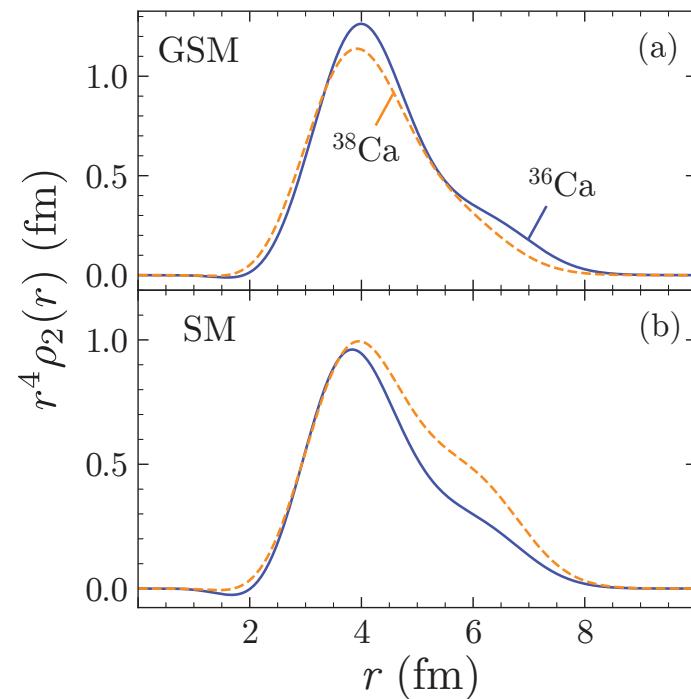
- The 2^+ states relative to threshold can properly reproduced
- $B(E2)$ & $E(2^+)$ trend can be reproduced with continuum
- The $B(E2)$ values are smell

ZX, *et al.*, Phys. Rev. C 112, L011302 (2025)

$E2$ transition in ^{36}Ca and ^{38}Ca



$E2$ transition density



- Continuum effect:
 - Proton $sd \rightarrow pf$ shell gap narrowed by continuum
 - Extended character of continuum wave functions
- The p -wave component are vital for transition and wavefunction

$$B(E2; 0^+ \rightarrow 2^+) = 5e^2 \left[\int_0^\infty \rho_2(r) r^4 dr \right]^2$$

ZX, et al., Phys. Rev. C 112, L011302 (2025)

Noval machine learning algorithms

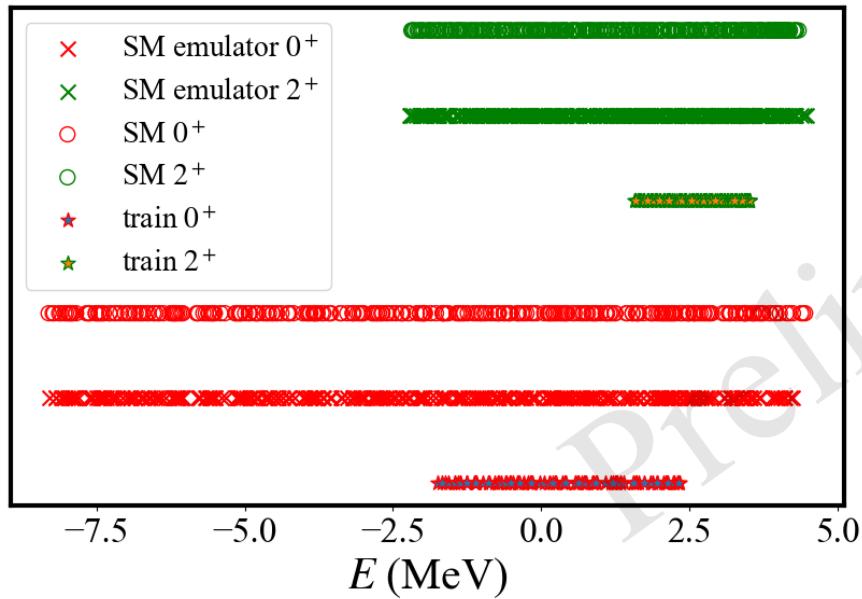
- a) Maintains quantum mechanical interpretability
- b) Train energy and observable simultaneously
- c) Efficiency & Small Scale
- d) Universal Approximators
- e) Physics-Informed Integration

Good for both Scientific Computing and General Machine Learning

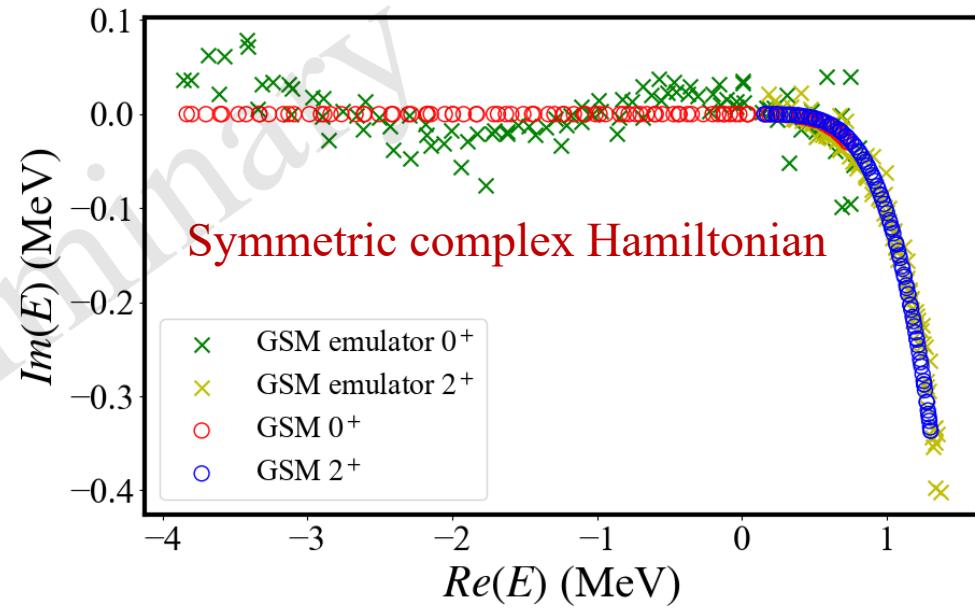
Underlying equations		PMM
$\left\{ \begin{array}{l} H(c) = H_0 + cH_1 \\ H(c) \psi(c)\rangle = E(c) \psi(c)\rangle \\ \langle\psi(c) O \psi(c)\rangle = f(c) \end{array} \right.$		$\left\{ \begin{array}{l} M(c) = \underline{M_0} + c\underline{M_1} \\ M(c) \tilde{\psi}(c)\rangle = \tilde{E}(c) \tilde{\psi}(c)\rangle \\ \langle\tilde{\psi}(c) \underline{X} \tilde{\psi}(c)\rangle = \tilde{f}(c) \end{array} \right.$

Patrick Cook, et al., Nature Communications 16, 5929 (2023)

PMM for closed systems



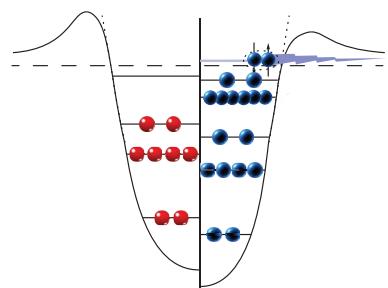
PMM for open systems



- PMM works very well for closed quantum systems (CQSS)
- Extend to rigged Hilbert space for open quantum systems (OQSS)
- Fails to reproduce the eigen values directly

Emulator for asymptotic observables

Open quantum system

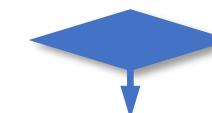
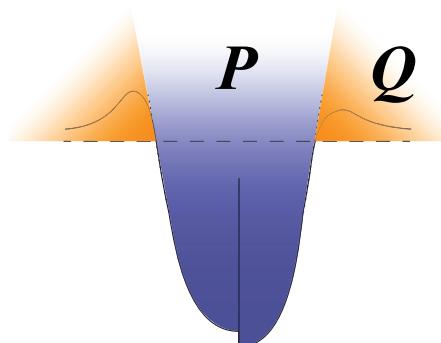


Closed quantum physics

$$\begin{pmatrix} H_{\mathcal{P}\mathcal{P}} & H_{\mathcal{P}\mathcal{Q}} \\ H_{\mathcal{Q}\mathcal{P}} & H_{\mathcal{Q}\mathcal{Q}} \end{pmatrix} \begin{pmatrix} \mathcal{P}\Psi \\ \mathcal{Q}\Psi \end{pmatrix} = E \begin{pmatrix} \mathcal{P}\Psi \\ \mathcal{Q}\Psi \end{pmatrix}$$

Continuum coupling

Continuum physics



Prepare training data (E, O)
for close and open systems

Train CQSSs

$$M_{\mathcal{P}\mathcal{P}}(c) = M_{\mathcal{P}\mathcal{P}}^a + c M_{\mathcal{P}\mathcal{P}}^b$$
$$M_{\mathcal{P}\mathcal{P}}(c)|\Psi_{\mathcal{P}}\rangle = E_{\mathcal{P}}(c)|\Psi_{\mathcal{P}}\rangle$$

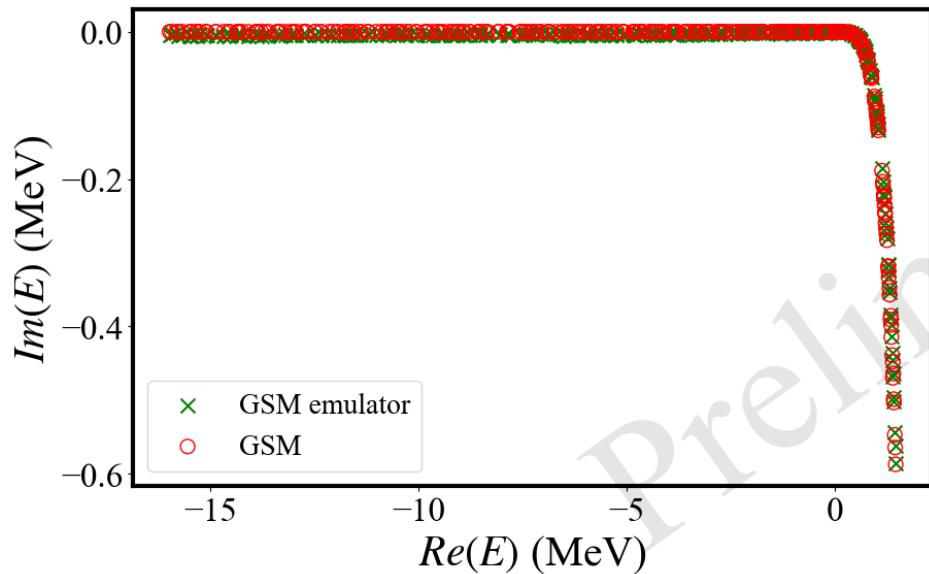
$$M = \begin{pmatrix} M_{\mathcal{P}\mathcal{P}} & M_{\mathcal{P}\mathcal{Q}} \\ M_{\mathcal{Q}\mathcal{P}} & M_{\mathcal{Q}\mathcal{Q}} \end{pmatrix}$$

Train OQSSs similarly

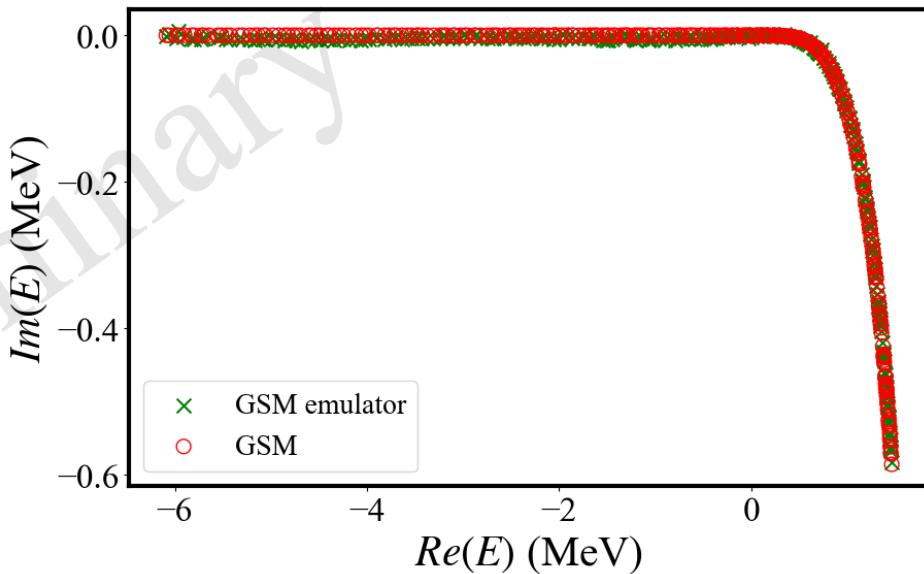
Find E through $\langle \Psi | \Psi_{\mathcal{P}} \rangle$

Emulator for resonant state

^6He Ground state



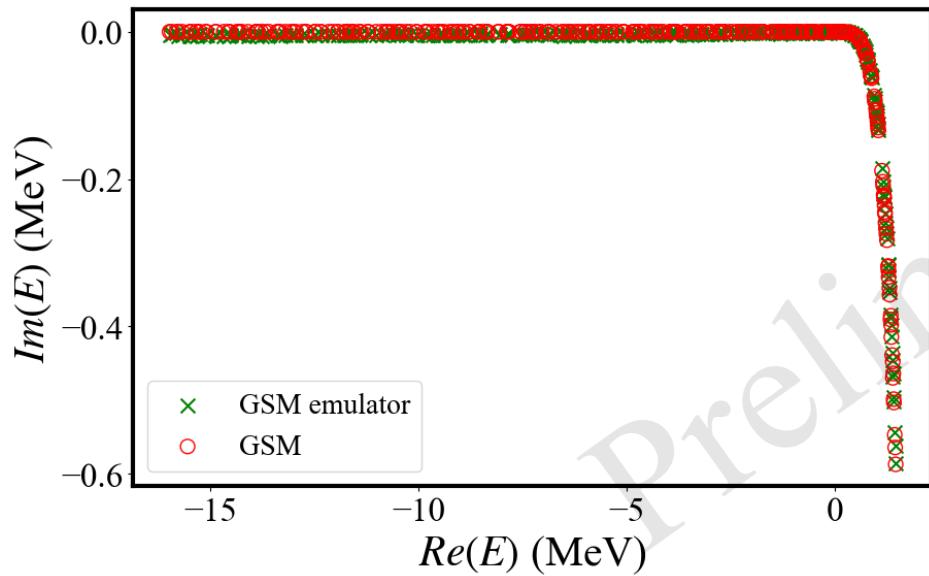
^6He 2^+ state



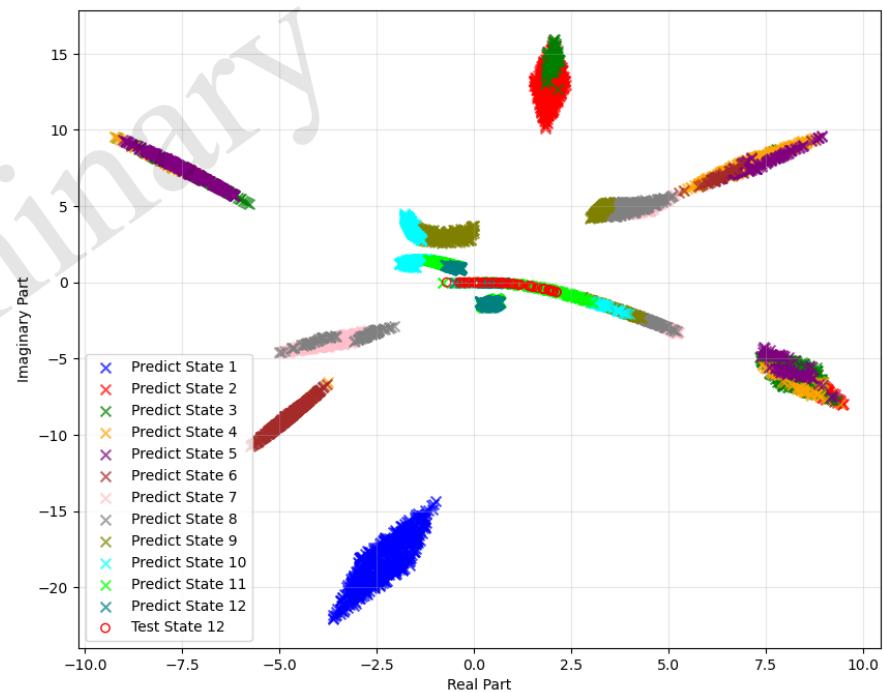
- Based on PQ method, construct GSM emulator
- Use SM emulator to obtain eigenstates, guide the selection of corresponding states
- GSM emulator reproduce the high-fidelity results very well

Emulator for resonant state

^6He Ground state



Eigenvalue distribution



- Based on PQ method, construct GSM emulator
- Use SM emulator to obtain eigenstates, guide the selection of corresponding states
- GSM emulator reproduce the high-fidelity results very well

- The framework of *ab initio* complex valence space effective operator.
- The mirror symmetry breaking and abnormal $E2$ transition near dripline nuclei are studied by this framework.
- The continuum effect are vital for the observables of nuclei near the dripline.
- The emulator of asymptotic observables with PMM



FUDAN
UNIVERSITY

Thank you for your attention!

Acknowledgements



- F. R. Xu
- W. Nazarewicz
- S. M. Wang
- N. Michel
- S. Zhang
- A. Gade
- S. L. Jin
- ...
- J. G. Li

