

## Lecture 11b: Dynamic Programming (cont.)

### Spontaneous Right Action

1

## Wholeness

A dynamic programming algorithm divides a problem into subproblems, then solves each subproblem just once and saves the solution in a table to avoid having to repeat that calculation. Memoization is a technique for implementing dynamic programming to make a recursive algorithm efficient. *Science of Consciousness*: Pure intelligence governs the activities of the universe in accord with the law of least action.

2

## Memoization

- ◆ The basic idea
  - Design the natural recursive algorithm
  - If recursive calls with the same arguments are repeatedly made, then memoize the inefficient recursive algorithm
    - Save these subproblem solutions in a table so they do not have to be recomputed
- ◆ Implementation
  - A table is maintained with subproblem solutions (as before), but the control structure for filling in the table occurs during normal execution of the recursive algorithm
- ◆ Advantages
  - The algorithm does not have to be transformed into an iterative one
  - Often offers the same (or better) efficiency as the usual dynamic-programming approach

3

## Example: Calculate Fibonacci Numbers

Mathematical definition:

$$\text{fib}(0) = 0$$

$$\text{fib}(1) = 1$$

$$\text{fib}(n) = \text{fib}(n-2) + \text{fib}(n-1) \quad \text{if } n > 1$$

4

## Fibonacci solution1

Algorithm **Fib**(*n*):

Input: integer  $n \geq 0$

Output: the *n*-th Fibonacci number

if  $n=0$  then

return 0

else if  $n=1$  then

return 1

else

return **Fib**( $n-2$ ) + **Fib**( $n-1$ )

5

## Fibonacci Solution 2

Algorithm **Fib**(*n*):

Input: integer  $n \geq 0$

Output: the *n*-th Fibonacci number

$F \leftarrow$  new array of size  $n+1$

for  $i \leftarrow 0$  to  $n$  do

$F[i] \leftarrow -1$

return **memoizedFib**(*n*, *F*)

Algorithm **memoizedFib**(*n*, *F*):

Input: integer  $n \geq 0$

Output: the *n*-th Fibonacci number

if  $F[n] < 0$  then // if **Fib**(*n*) has not been computed?

if  $n=0$  then

$F[n] \leftarrow 0$

else if  $n=1$  then

$F[n] \leftarrow 1$

else

$F[n] \leftarrow \text{memoizedFib}(n-2, F) + \text{memoizedFib}(n-1, F)$

return  $F[n]$

6

## Summary: Memoized Recursive Algorithms

- ◆ A memoized recursive algorithm maintains a table with an entry for the solution to each subproblem (same as before)
- ◆ Each table entry initially contains a special value to indicate that the entry has yet to be filled in
- ◆ When the subproblem is first encountered, its solution is computed and stored in the table
- ◆ Subsequently, the value is looked up rather than computed

7

7

## Exercises

1. Memoize the algorithm to compute Fibonacci numbers using two integer parameters instead of table F
2. Memoize the algorithm to compute Fibonacci numbers using one integer parameter

8

8

## Main Point

1. Memoization is a technique for doing dynamic programming recursively. It often has the same benefits as regular dynamic programming without requiring major changes to the original more natural recursive algorithm.  
*Science of Consciousness: The TM program provides natural, effortless techniques for removing stress and bringing out spontaneous right action.*

9

9

## Developing a Dynamic Programming Algorithm

1. Characterize the structure of a solution
2. Tackle the problem "top-down" as if creating a recursive algorithm
  - Figure out how to solve the larger problem by finding and using solutions to smaller problems
3. Find computations that have to be done repeatedly
  - Define an appropriate table for saving results of smaller problems
  - Write a formula for computing the table entries
4. Determine how to compute the solution from the data in the table
  - Determine the order in which the table entries have to be computed and used (usually bottom up)
5. Construct an optimal solution from the computed information gathered during execution of step 4

10

10

## Longest Common Subsequence (§9.4)

Dynamic Programming Example

11

11

## Step 1: Longest Common Subsequence

- ◆ Given two strings, find a longest subsequence that they share in common
- ◆ Substring vs. Subsequence
  - Substring: the characters in a substring of S must occur *contiguously* in S
  - Subsequence: the characters can be interspersed with *gaps*
- ◆ Consider string *cabd*
  - How many subsequences does it have?

12

12

◆ What is the longest common subsequence of the following two strings?

cababc  
abdc b

13

**Step 1:**  
(characterize structure of solution)

◆ Consider cababc and abdc b, what is the longest common subsequence

**alignment 1**  
cababc.  
.abd. cb  
the longest common subsequence is .ab..c with length 3

**alignment 2**  
caba. bc  
.abdc b.  
the longest common subsequence is .ab..b with length 3

14

**Step 1:**  
(characterize structure of solution)

Let's give a score  $M$  to an alignment in this way,  
 $M = \sum s(x_i, y_i)$ , where  $x_i$  is the  $i^{\text{th}}$  character in the first aligned sequence  
 $y_i$  is the  $i^{\text{th}}$  character in the second aligned sequence  
 $s(x_i, y_i) = 1$  if  $x_i = y_i$   
 $s(x_i, y_i) = 0$  if  $x_i \neq y_i$  or any of them is a gap

The score for alignment:  
 cababc.  
 .abd. cb  
 $M = s(c,.) + s(a,a) + s(b,b) + s(d,d) + s(. ,.) + s(c,c) + s(. ,b) = 3$

To find the longest common subsequence between sequences  $S_1$  and  $S_2$   
 is to find the alignment that maximizes score  $M$ .  
 A brute force algorithm takes  $O(2^m)$ . Why?

15

**Step 2:**  
(create recursive algorithm)

◆ Subproblem optimality  
 Consider two sequences  
 $S_1: a_1 a_2 a_3 \dots a_i$   
 $S_2: b_1 b_2 b_3 \dots b_j$

Let the optimal alignment be  
 $X_1 X_2 X_3 \dots X_{n-1} X_n$   
 $Y_1 Y_2 Y_3 \dots Y_{n-1} Y_n$

For the last pair  $(X_n, Y_n)$ ,  
 there are three possible cases:

Substitution  $\begin{bmatrix} a_1 \dots a_i \\ b_1 \dots b_j \end{bmatrix}$   
 Gap  $\begin{bmatrix} a_1 \dots a_i \\ b_1 \dots b_j \end{bmatrix}$   
 Gap  $\begin{bmatrix} a_1 \dots a_i \\ b_1 \dots b_j \end{bmatrix}$

16

**Step 2:**  
(create recursive algorithm)

There are three cases for  $(x_n, y_n)$  pair:

Substitution  $\begin{bmatrix} a_1 \dots a_i \\ b_1 \dots b_j \end{bmatrix}$   $L_{i,j} = L_{i-1,j-1} + S_{i,j} \text{ (match/mismatch)}$   
 Gap  $\begin{bmatrix} a_1 \dots a_i \\ b_1 \dots b_j \end{bmatrix}$   $L_{i,j} = L_{i-1,j} \text{ (gap in } S_1)$   
 Gap  $\begin{bmatrix} a_1 \dots a_i \\ b_1 \dots b_j \end{bmatrix}$   $L_{i,j} = L_{i,j-1} \text{ (gap in } S_2)$

$L_{i,j} = \text{MAX} \{ L_{i-1,j-1} + S(a_i, b_j) \text{ (match/mismatch)}, L_{i,j-1} + 0 \text{ (gap in sequence \#1)}, L_{i-1,j} + 0 \text{ (gap in sequence \#2)} \}$

$L_{i,j}$  is the score for optimal alignment between strings  $a[1 \dots i]$  (substring of  $a$  from index 1 to  $i$ ) and  $b[1 \dots j]$

17

**Step 3:**  
(locate subproblem overlap)

$L_{i,j} = \text{MAX} \{ L_{i-1,j-1} + S(a_i, b_j), L_{i,j-1} + 0, L_{i-1,j} + 0 \}$

$S(a_i, b_j) = 1$  if  $a_i = b_j$   
 $S(a_i, b_j) = 0$  if  $a_i \neq b_j$  or either of them is a gap

Examples:  
 GAATTCAGTTA (sequence #1)  
 GGATCGA (sequence #2)

18

## Step 4: (define table for storing results)

Fill the score matrix L and trace back table B

	G	A	A	T	T	C	A	G	T	T	A
G	0	0	0	0	0	0	0	0	0	0	0
A											
T											
C											
A											

Substitution  $H_i = H_{i-1} + S_i$  (match/mismatch)

Gap  $H_i = H_{i-1} + w$  (gap in sequence #1)

Gap  $H_i = H_{i-1} + w$  (gap in sequence #2)

$$L_{1,1} = \text{MAX}[L_{0,0} + 1, L_{1,0} + 0, L_{0,1} + 0] = \text{MAX}[1, 0, 0] = 1$$

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A											
T											
C											
A											

Score matrix L

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A											
T											
C											
A											

Trace back table B

19

19

## Longest Common Subsequence

We need to use trace back table to find out the best alignment, which has a score of 6

(1) Find the path from lower right corner to upper left corner

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

20

20

## Longest Common Subsequence

Score matrix L

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

$L_{1,1} = 6$  (lower right corner of Score matrix)

This tells us that the best alignment has a score of 6

What is the best alignment?

Trace back table B

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

21

21

## Longest Common Subsequence

(2) At the same time, write down the alignment backward

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

(Seq #1)

(Seq #2)

- Take one character from each sequence
- Take one character from sequence  $S_1$  (columns)
- Take one character from sequence  $S_2$  (rows)

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

(Seq #1)

(Seq #2)

T A

|

— A

22

22

## Longest Common Subsequence

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

	G	A	A	T	T	C	A	G	T	T	A
G	0	1	1	1	1	1	1	1	1	1	1
A	0	1	2	2	2	2	2	2	2	2	3
T	0	1	2	2	3	3	3	3	3	3	3
C	0	1	2	2	3	3	4	4	4	4	4
G	0	1	2	2	3	3	4	4	5	5	5
A	0	1	2	3	3	3	4	5	5	5	6

(Seq #1)

(Seq #2)

- Take one character from each sequence
- Take one character from sequence  $S_1$  (columns)
- Take one character from sequence  $S_2$  (rows)

(Seq #1)

(Seq #2)

G \_ A A T T C A G T T A

| | | | | | |

G G A \_ T \_ C \_ G \_ \_ A

23

23

## Longest Common Subsequence

Thus, an optimal alignment is

(Seq #1) G \_ A A T T C A G T T A  
(Seq #2) G G A \_ T \_ C \_ G \_ \_ A

The longest common subsequence is G.A.T.C.G..A

There might be multiple longest common subsequences (LCSs) between two given sequences.

These LCSs have the same number of characters (not including gaps)

24

24



## Recursive (brute force) Longest Common Subsequence

//  $L_{i,j} = \text{MAX} \{ L_{i-1,j-1} + S(a_i,b_j), L_{i,j-1} + 0, L_{i-1,j} + 0 \}$

**Algorithm LCS(S1, S2, m, n):**

**Input:** Strings S1 and S2 with at least m and n elements, respectively

**Output:** Length of the LCS of S1[1..m] and S2[1..n]

```

if n = 0 then
    return 0
else if m = 0 then
    return 0
else if S1[m] = S2[n] then
    return LCS(S1, S2, m-1, n-1) + 1
else
    return max ( LCS(S1, S2, m, n-1), LCS(S1, S2, m-1, n) )
    
```

25

25

## Iterative (Efficient) Version of Longest Common Subsequence

**Algorithm LCS(X, Y):**

**Input:** Strings X and Y with m and n elements, respectively

**Output:** L is an (m+1)x(n+1) array such that L[i, j] contains the length of the LCS of X[1..i] and Y[1..j]

```

m ← X.length
n ← Y.length
for i ← 0 to m do
    L[i, 0] ← 0
for j ← 0 to n do
    L[0, j] ← 0
for i ← 1 to m do
    for j ← 1 to n do
        if X[i] = Y[j] then
            L[i, j] ← L[i-1, j-1] + 1
        else
            L[i, j] ← max { L[i-1, j], L[i, j-1] }
return L
    
```

26

26

## Exercise: Memoize Recursive LCS

**Algorithm LCS(S1, S2, m, n):**

**Input:** Strings S1 and S2 with at least m and n elements, respectively

**Output:** Length of the LCS of S1[1..m] and S2[1..n]

```

if n = 0 then
    return 0
else if m = 0 then
    return 0
else if S1[m] = S2[n] then
    return LCS(S1, S2, m-1, n-1) + 1
else
    return MAX ( LCS(S1, S2, m, n-1), LCS(S1, S2, m-1, n) )
    
```

27

27

## Top Level of Recursive Longest Common Subsequence

**Algorithm LCS(X, Y):**

**Input:** Strings X and Y with m and n elements, respectively

**Output:** LCS of X and Y

```

L ← new array with (m+1)x(n+1) elements
m ← X.length
n ← Y.length
for i ← 0 to m do
    for j ← 0 to n do
        L[i, j] ← -1
return LCS(X, Y, m, n, L)
    
```

28

28

## Recursive (memoized) Longest Common Subsequence

**Algorithm LCS(S1, S2, m, n, L):**

**Input:** Strings S1 and S2 with at least m and n elements, respectively

**Output:** Length of the LCS of S1[1..m] and S2[1..n]

```

if L[m, n] < 0 then {not already computed}
    if n = 0 then
        L[m, 0] ← 0
    else if m = 0 then
        L[0, n] ← 0
    else if S1[m] = S2[n] then
        L[m, n] ← LCS(S1, S2, m-1, n-1) + 1
    else
        L[m, n] ← max ( LCS(S1, S2, m, n-1, L), LCS(S1, S2, m-1, n, L) )
return L[m, n]
    
```

29

29

## Step 5: Print the LCS

◆ How would we add the back trace matrix to the previous algorithm so we can print the longest common sequence?

- Let b mean that we take one character from both strings
- Let x mean that we take one character from string X
- Let y mean that we take one character from string Y

30

30

## LCS with Trace Back

### Algorithm LCS(X, Y):

**Input:** Strings  $X$  and  $Y$  with  $m$  and  $n$  elements, respectively  
**Output:**  $L$  is an  $(m+1) \times (n+1)$  array,  $L[i, j]$  contains length of the LCS of  $X[1..i]$  and  $Y[1..j]$

```

 $m \leftarrow X.length$ 
 $n \leftarrow Y.length$ 
for  $i \leftarrow 0$  to  $m$  do
   $L[i, 0] \leftarrow 0$ 
for  $j \leftarrow 0$  to  $n$  do
   $L[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $m$  do
  for  $j \leftarrow 1$  to  $n$  do
    if  $X[i] = Y[j]$  then
       $L[i, j] \leftarrow L[i-1, j-1] + 1$ 
       $B[i, j] \leftarrow b$ 
    else if  $L[i-1, j] > L[i, j-1]$  then
       $L[i, j] \leftarrow L[i-1, j]$ 
       $B[i, j] \leftarrow y$ 
    else
       $L[i, j] \leftarrow L[i, j-1]$ 
       $B[i, j] \leftarrow x$ 
return  $L$  and  $B$ 

```

31

31

## Dynamic Programming The General Technique

- ◆ Simple subproblems:
  - Must be some way of breaking the global problem into subproblems, each having similar structure to the original
  - Need a simple way of keeping track of subproblems with just a few indices, like  $i, j, k$ , etc.
- ◆ Subproblem optimality:
  - Optimal solutions cannot contain suboptimal subproblem solutions
  - Should have a relatively simple combining operation
- ◆ Subproblem overlap:
  - This is where the computing time is reduced

32

32

## Main Point

2. A dynamic programming algorithm divides a problem into subproblems, then solves each subproblem just once and saves the solution in a table to avoid having to repeat that calculation. Dynamic programming is typically applied to optimization problems to reduce the time required from exponential to polynomial time.
- Science of Consciousness:* Pure intelligence governs the activities of the universe in accord with the law of least action.

33

33

## Connecting the Parts of Knowledge with the Wholeness of Knowledge

1. A common text processing problem in genetics and software engineering is to test the similarity between two text strings. One could enumerate all subsequences of one string and select the longest one that is also a subsequence of the other which takes exponential time.
2. Through dynamic programming we can transform an infeasible (exponential) LCS algorithm into one that can be done efficiently.

34

34

3. **Transcendental Consciousness** is the unbounded home of all the laws of nature.
4. **Impulses within the transcendental field:** These dynamic natural laws within this unbounded field govern all the activities of the universe with perfect efficiency.
5. **Wholeness moving within itself:** In Unity Consciousness, one experiences the laws of nature as waves of one's own unbounded pure consciousness.

35

35