

## Baruvka's Algorithm (1926)

### Template Method Solution

Minimum Spanning Trees

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## Template Version of BFS

Algorithm **BFS**(G) (top level)

Input graph G

Output labeling of the edges of G as discovery edges and cross edges

```

initResult(G)
for all u ∈ G.vertices() do
  setLabel(u, UNEXPLORED)
initVertices(u)
for all e ∈ G.edges() do
  setLabel(e, UNEXPLORED)
initEdges(e)
for all v ∈ G.vertices() do
  if getLabel(v) = UNEXPLORED
    preComponentVisit(G, v)
    BFS(G, v)
    postComponentVisit(G, v)
result(G)
    
```

Algorithm **BFS**(G, s)

```

startBFS(G, s)
setLabel(s, VISITED)
L.insertLast(s)
while !L.isEmpty() do
  v ← L.remove(L.first())
  preVertexVisit(G, v)
  for all e ∈ G.incidentEdges(v) do
    preEdgeVisit(G, v, e)
    if getLabel(e) = UNEXPLORED
      w ← opposite(v, e)
      if getLabel(w) = UNEXPLORED
        preDiscEdgeVisit(G, v, e, w)
        setLabel(e, DISCOVERY)
        setLabel(w, VISITED)
        L.insertLast(w)
        postDiscEdgeVisit(G, v, e, w)
      else
        setLabel(e, CROSS)
        crossEdgeVisit(G, v, e, w)
    postVertexVisit(G, v)
finishBFS(G, s)
    
```

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## Baruvka's Algorithm (from Lecture 14)

Algorithm **BaruvkaMST**(G)

```

for each e ∈ G.edges() do
  setMSTLabel(e, NOT_IN_MST) {no edges in MST}
numEdges ← 0 {numEdges is an instance variable}
while numEdges < n-1 do
  labelVerticesOfEachComponent(G) {BFS}
  insertSmallest-WeightEdgeOutOfComponents(G)
return G
    
```

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## Label Vertices of Each Component (subclass methods of BFS template)

This algorithm runs in O(n) time. Why?

Algorithm **labelVerticesOfEachComponent**(G)  
BFS(G) {label vertices of G with component numbers}

Algorithm **initEdges**(e)  
**if** getMSTLabel(e) = NOT\_IN\_MST **then** {is e in the MST}  
setLabel(e, VISITED) {so BFS only visits edges in MST}

Algorithm **initResult**(G)  
count ← 0 {initialize count to zero}

Algorithm **preComponentVisit**(G, v)  
count ← count + 1 {add one to count}

Algorithm **preVertexVisit**(G, v)  
setComponentNum(v, count)

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## Insert Minimum-Weight Edges Going Out from each Component

What is the running time?

```

Algorithm insertSmallest-WeightEdgeOutOfComponents(G)
minEdges ← new hash table based Dictionary
BFS(G) {search for smallest edges connecting different components}
for all e in minEdges.elements() do
  if getMSTLabel(e) = NOT_IN_MST then {is e already in MST}
    setMSTLabel(e, IN_MST) {insert e into MST}
    numEdges ← numEdges + 1 {increase number of edges in MST}
    
```

(could be done by traversing G.edges() in a loop instead of during a BFS)

```

Algorithm preEdgeVisit(G, v, e) {called during BFS(G) above}
cv ← getComponentNum(v)
cw ← getComponentNum(G.opposite(v, e))
if cv ≠ cw then {does e connect two different components of MST}
  currentMinE ← minEdges.findElement(cv) {min edge for component cv}
  if currentMinE = No_Such_Key then
    minEdges.insertItem(cv, e) {insert new minimum}
  else
    min ← weight(currentMinE) {current min weight for component cv}
    if min > weight(e) then
      minEdges.removeElement(cv) {remove old minimum}
      minEdges.insertItem(cv, e) {insert new minimum}
    
```

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## An Issue Not Handled by the above algorithm

- ◆ Edges with the same weight
- ◆ Therefore,
  - Could insert more than n-1 edges
  - Or could create one or more cycles
- ◆ How could we fix this?

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## Another Possible Approach

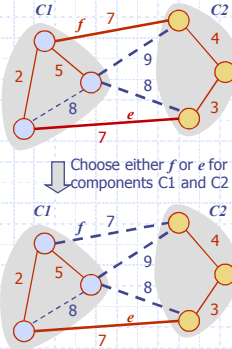
Note that the problem occurs when:

- There are two minimum, equal size edges connecting two components
- And each component chooses a different minimum edge
  - Say C1 chooses edge  $f$  and C2 chooses edge  $e$

- Including  $e$  and  $f$  would create a cycle

Solution:

- Force both components C1 and C2 to choose the same edge connecting them
- Either edge  $e$  or  $f$  in the diagram



Choose either  $f$  or  $e$  for components C1 and C2

Minimum Spanning Trees

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## Insert Minimum-Weight Edges then remove cycles

```

Algorithm insertSmallest-WeightEdgeOutOfComponents(G)
minEdges ← new hash table based Dictionary
BFS(G)    {search for smallest edges of G connecting different components}
for all e in minEdges.elements() do
    if getMSTLabel(e) = NOT_IN_MST then {is e already in MST}
        setMSTLabel(e, IN_MST)    {insert e into MST}
        numEdges ← numEdges + 1    {increase number of edges in MST}
    removeCycles(G)    {remove the edge with highest weight in each cycle}

Algorithm preEdgeVisit(G, v, e)    {called during BFS(G) above}
cv ← getComponentNum(v)
cw ← getComponentNum(G.opposite(v, e))
if cv ≠ cw then {does e connect two different components of MST}
    currentMinE ← minEdges.findElement(cv) {min edge for component cv}
    if currentMinE = No_Such_Key then {insert new minimum}
        minEdges.insertItem(cv, e)
    else
        min ← weight(currentMinE)    {current min weight for component cv}
        if min > weight(e) then
            minEdges.removeElement(cv)    {remove old minimum}
            minEdges.insertItem(cv, e)    {insert new minimum}
    
```

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## DFS is better for Cycle Finding

```

Algorithm DFS(G) {top level}
Input graph G
Output the edges of G are labeled
as discovery and back edges

initResult(G)
for all u in G.vertices() do
    setLabel(u, UNEXPLORED)
    initVertices(u)
for all e in G.edges() do
    setLabel(e, UNEXPLORED)
    initEdges(e)
for all v in G.vertices() do
    if getLabel(v) = UNEXPLORED
        preComponentVisit(G, v)
        DFS(G, v)
        postComponentVisit(G, v)
result(G)
    
```

```

Algorithm DFS(G, v)
setLabel(v, VISITED)
startVertexVisit(G, v)
for all e in G.incidentEdges(v)
    w ← opposite(v, e)
    preEdgeVisit(G, v, e, w)
    if getLabel(e) = UNEXPLORED
        if getLabel(w) = UNEXPLORED
            setLabel(e, DISCOVERY)
            preDiscoveryTraversal(G, v, e, w)
            DFS(G, w)
            postDiscoveryTraversal(G, v, e, w)
        else
            setLabel(e, BACK)
            backEdgeTraversal(G, v, e, w)
    finishVertexVisit(G, v)
    
```

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## Overriding template methods in subclass to Remove Cycles

```

Algorithm removeCycles(G)
repeat
    DFS(G)
    if cycleFound then numEdges ← numEdges - 1    {decreased edges in MST}
until ~ cycleFound

Algorithm initEdges(e)
if getMSTLabel(e) = NOT_IN_MST then setLabel(e, NOT_IN_MST)

Algorithm startVertexVisit(G, v)
if ~ cycleFound then S.push(v)
if ~ cycleFound then S.push(e)
Algorithm finishVertexVisit(G, v)
S.pop()
Algorithm preDiscoveryTraversal(G, v, e, w)
if ~ cycleFound then S.push(e)
Algorithm postDiscoveryTraversal(G, v, e, w)
S.pop()
Algorithm backEdgeTraversal(G, v, e, w)
if ~ cycleFound then
    max ← weight(e)
    maxE ← e
    u ← S.pop()    {remove v from S}
    while u ≠ w
        e ← S.pop()    {next edge}
        if weight(e) > max then
            max ← weight(e)
            maxE ← e
        u ← S.pop()    {next vertex}
    setMSTLabel(maxE, NOT_IN_MST)    {remove max weight edge}
    cycleFound ← true    {cycleFound is a subclass field, initially set to false}
    
```

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## Insert Min-Weight Edges Going Out from Components (better)

```

Algorithm insertSmallest-WeightEdgeOutOfComponents(G)
minEdges ← new hash table based Dictionary
BFS(G)    {search for smallest edges of G connecting different components}
for all e in minEdges.elements() do {remove edges that could cause a cycle}
    (v, w) ← G and Vertices(e)
    cv ← getComponentNum(v)
    cw ← getComponentNum(w)
    minEdgeCV ← minEdges.findElement(cv) {min edge for component cv}
    minEdgeCW ← minEdges.findElement(cw) {min edge for component cw}
    if minEdgeCV ≠ minEdgeCW then {if both chose different edges}
        if weight(minEdgeCV) = weight(minEdgeCW) then {if are same weight?}
            if minEdgeCV = e then {if e is min edge out of cv}
                minEdges.removeElement(cw)    {remove old minimum for cw}
                minEdges.insertItem(cw, e)    {cw and cv now both select e}
            else {e is min edge out of cw}
                minEdges.removeElement(cv)    {remove old minimum for cv}
                minEdges.insertItem(cv, e)    {cw and cv now both select e}
    for all e in minEdges.elements() do {insert min edges into MST}
        if getMSTLabel(e) = NOT_IN_MST then {if e is not already in MST}
            setMSTLabel(e, IN_MST)    {insert e into MST by setting label}
            numEdges ← numEdges + 1    {increase number of edges in MST}

Algorithm preEdgeVisit(G, v, e)    {same as above; done during BFS(G)}
    
```

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