

# Running Probabilistic Programs Backward

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## Abstract

XXX

**Categories and Subject Descriptors** XXX-CR-number  
[XXX-subcategory]: XXX-third-level

**General Terms** XXX, XXX

**Keywords** XXX, XXX

TODO: equivalence relation for  $\lambda_{\text{ZFC}}$  terms, that at least handles divergence

## 1. Introduction

1. Define the *bottom arrow*, type  $X \Rightarrow Y_{\perp}$ , a compilation target for first-order functions that may raise errors.
2. Derive the *mapping arrow* from the bottom arrow, type  $X \rightsquigarrow_{\text{map}} Y$ . Its instances return extensional functions, or mappings, that compute the same values as their corresponding bottom arrow computations, but have observable domains.
3. Derive the *preimage arrow* from the mapping arrow, type  $X \rightsquigarrow_{\text{pre}} Y$ . Instances compute preimages under their corresponding mapping arrow instances.
4. Derive XXX from the preimage arrow. Instances compute conservative approximations of the preimages computed by their corresponding preimage arrow instances.

Only the first and last artifacts—the bottom arrow and the XXX—can be implemented.

## 2. Mathematics and Metalanguage

From here on, significant terms are introduced in **bold**, and significant terms we invent are introduced in ***bold italics***.

We write all of the mathematics in this paper in  $\lambda_{\text{ZFC}}$  [2], an untyped, call-by-value lambda calculus designed for manually deriving computable programs from contemporary mathematics.

Contemporary mathematics is generally done in **ZFC**: **Zermelo-Fraenkel** set theory extended with the axiom of **Choice** (equivalently unique **Cardinality**). ZFC has only first-order functions and no general recursion, which makes

implementing a language defined by a transformation into contemporary mathematics quite difficult. The problem is exacerbated if implementing the language requires approximation. Targeting  $\lambda_{\text{ZFC}}$  instead allows creating a precise mathematical specification and deriving an approximating implementation without changing languages.

In  $\lambda_{\text{ZFC}}$ , essentially every set is a value, as well as every lambda and every set of lambdas. All operations, including operations on infinite sets, are assumed to complete instantly if they terminate.<sup>1</sup>

Almost everything definable in contemporary mathematics can be formally defined by a finite  $\lambda_{\text{ZFC}}$  program, except objects that most mathematicians would agree are nonconstructive. More precisely, any object that *must* be defined by a statement of existence and uniqueness without giving a bounding set is not definable by a *finite*  $\lambda_{\text{ZFC}}$  program.

Because  $\lambda_{\text{ZFC}}$  includes an inner model of ZFC, essentially every contemporary theorem applies to  $\lambda_{\text{ZFC}}$ 's set values without alteration. Further, proofs about  $\lambda_{\text{ZFC}}$ 's set values apply to contemporary mathematical objects.<sup>2</sup>

In  $\lambda_{\text{ZFC}}$ , algebraic data structures are encoded as sets; e.g. a ***primitive ordered pair*** of  $x$  and  $y$  is  $\{\{x\}, \{x, y\}\}$ . Only the *existence* of encodings into sets is important, as it means data structures inherit a defining characteristic of sets: strictness. More precisely, the lengths of paths to data structure leaves is unbounded, but each path must be finite. Less precisely, data may be “infinitely wide” (such as  $\mathbb{R}$ ) but not “infinitely tall” (such as infinite trees and lists).

We assume data structures, including pairs, are encoded as ***primitive ordered pairs*** with the first element a unique tag, so that they can be distinguished by checking tags. Accessors such as **fst** and **snd** are trivial to define.

$\lambda_{\text{ZFC}}$  is untyped so its users can define an auxiliary type system that best suits their application area. For this work, we use an informal, manually checked, polymorphic type system characterized by these rules:

- A free lowercase type variable is universally quantified.
- A free uppercase type variable is a set.
- A set denotes a member of that set.
- $x \Rightarrow y$  denotes a partial function.
- $\langle x, y \rangle$  denotes a pair of values with types  $x$  and  $y$ .
- **Set**  $x$  denotes a set with members of type  $x$ .

The type **Set**  $A$  denotes the same values as the powerset  $\mathcal{P} A$ , or *subsets* of  $A$ . Similarly, the type  $\langle A, B \rangle$  denotes the same values as the product set  $A \times B$ .

<sup>1</sup> An example of a nonterminating  $\lambda_{\text{ZFC}}$  function is one that attempts to decide whether other  $\lambda_{\text{ZFC}}$  programs halt.

<sup>2</sup> Assuming the existence of an inaccessible cardinal.

We write  $\lambda_{ZFC}$  programs in heavily sugared  $\lambda$ -calculus syntax, with an if expression and these additional primitives:

$$\begin{array}{ll} \text{true} : \text{Bool} & (\in) : x \Rightarrow \text{Set } x \Rightarrow \text{Bool} \\ \text{false} : \text{Bool} & \mathcal{P} : \text{Set } x \Rightarrow \text{Set } (\text{Set } x) \\ \emptyset : \text{Set } x & \bigcup : \text{Set } (\text{Set } x) \Rightarrow \text{Set } x \\ \omega : \text{Ord} & \text{image} : (x \Rightarrow y) \Rightarrow \text{Set } x \Rightarrow \text{Set } y \\ \text{take} : \text{Set } x \Rightarrow x & \text{card} : \text{Set } x \Rightarrow \text{Ord} \end{array} \quad (1)$$

Shortly,  $\emptyset$  is the empty set,  $\omega$  is the cardinality of the natural numbers, **take** removes the member from a singleton set,  $(\in)$  is an infix operator that decides membership,  $\mathcal{P}$  returns all the subsets of a set,  $\bigcup$  returns the union of a set of sets, **image** applies a function to each member of a set and returns the set of return values, and **card** returns the cardinality of a set.

We assume literal set notation such as  $\{0, 1, 2\}$  is already defined in terms of set primitives.

## 2.1 Internal and External Equality

Set theory extends first-order logic with an axiom that defines equality to be extensional, and with axioms that ensure the existence of sets in the domain of discourse.  $\lambda_{ZFC}$  is defined the same way as any other operational  $\lambda$ -calculus: by (conservatively) extending the domain of discourse with expressions and defining a reduction relation.

While  $\lambda_{ZFC}$  does not have an equality primitive, set theory's extensional equality can be recovered internally using  $(\in)$ . *Internal* extensional equality is defined by

$$x = y := x \in \{y\} \quad (2)$$

which means

$$(\equiv) := \lambda x. \lambda y. x \in \{y\} \quad (3)$$

Thus,  $1 = 1$  reduces to  $1 \in \{1\}$ , which reduces to **true**.<sup>3</sup> Because of the particular way  $\lambda_{ZFC}$ 's lambda terms are defined, for two lambda terms  $f$  and  $g$ ,  $f = g$  reduces to **true** when  $f$  and  $g$  are structurally identical modulo renaming. For example,  $(\lambda x. x) = (\lambda y. y)$  reduces to **true**, but  $(\lambda x. 2) = (\lambda x. 1 + 1)$  reduces to **false**.

We understand any  $\lambda_{ZFC}$  term  $e$  used as a truth statement as shorthand for “ $e$  reduces to **true**.” Therefore, while the terms  $(\lambda x. x) 1$  and  $1$  are (externally, extensionally) unequal, we can say that  $(\lambda x. x) 1 = 1$ .

Any truth statement  $e$  implies that  $e$  converges. In particular, the truth statement  $e_1 = e_2$  implies that both  $e_1$  and  $e_2$  converge. However, we often want to say that  $e_1$  and  $e_2$  are equivalent when they both diverge. In these cases, we use a slightly weaker equivalence.

**Definition 2.1.1** (observational equivalence). *Two  $\lambda_{ZFC}$  terms  $e_1$  and  $e_2$  are **observationally equivalent**, written  $e_1 \equiv e_2$ , when  $e_1 = e_2$  or both  $e_1$  and  $e_2$  diverge.*

It could be helpful to introduce even coarser notions of equivalence, such as applicative or logical bisimilarity. However, we do not want internal equality and external equivalence to differ too much. We therefore introduce type-specific notions of equivalence as needed.

## 2.2 Additional Functions and Forms

XXX: lambda syntactic sugar: automatic currying (including the two-argument primitives  $(\in)$  and **image**), matching, sectioning rules

<sup>3</sup>Technically,  $\lambda_{ZFC}$  has a big-step semantics, and  $1 \in \{1\}$  can be extracted from the derivation tree for  $1 = 1$ .

XXX: set syntactic sugar: set comprehensions, cardinality, indexed unions

XXX: functions:  $\cup, \cap, \setminus, \subseteq$

$$\begin{array}{l} (\uplus) : \text{Set } x \Rightarrow \text{Set } x \Rightarrow \text{Set } x \\ A \uplus B := \text{if } (A \cap B = \emptyset) (A \cup B) (\text{take } \emptyset) \end{array} \quad (4)$$

XXX: logic: logical operators and quantifiers

In set theory, functions are encoded as sets of input-output pairs. The increment function for the natural numbers, for example, is  $\{\langle 0, 1 \rangle, \langle 1, 2 \rangle, \langle 2, 3 \rangle, \dots\}$ . To distinguish these hash tables from lambdas, we call them **mappings**, and use the word **function** for either a lambda or a mapping. For convenience, as with lambdas, we use adjacency (i.e.  $(f x)$ ) to apply mappings.

The set  $X \rightarrow Y$  contains all the *partial* mappings from  $X$  to  $Y$ . For example,  $X \rightarrow Y$  is the return type for the restriction function:

$$\begin{array}{l} (\cdot)|_{(.)} : (X \Rightarrow Y) \Rightarrow \text{Set } X \Rightarrow (X \rightarrow Y) \\ f|_A := \text{image } (\lambda x. (x, f x)) A \end{array} \quad (5)$$

which converts a lambda or a mapping to a mapping with domain  $A \subseteq X$ . To create mappings using lambda syntax, we define  $\lambda x \in e_A. e$  as shorthand for  $(\lambda x. e)|_{e_A}$ .

Figure 1 defines more operations on partial mappings: **domain**, **range**, **preimage**, pairing, composition, and disjoint union. The latter three are particularly important in the preimage arrow's derivation, and **preimage** is critical in measure theory's account of probability.

XXX: lazy mappings

## 3. The Bottom Arrow

One way to be certain an arrow correctly computes preimages under functions  $f$  is to ultimately *derive* it from a simpler arrow used to construct  $f$ . One obvious candidate is the **function arrow**, in which  $x \rightsquigarrow y := x \Rightarrow y$ , whose implementation is extremely simple (e.g.  $f_1 \ggg f_2$  is  $f_2 \circ f_1$ ). However, it will be necessary to explicitly handle nonterminating functions, so we need a slightly more complicated arrow for which running computations may raise an error.

Figure 2 defines the **bottom arrow**. Its computations are of type  $x \Rightarrow y_\perp$ , where the inhabitants of  $y_\perp$  are the error value  $\perp$  as well as the inhabitants of  $y$ . The type  $\text{Bool}_\perp$ , for example, denotes the members of  $\text{Bool} \cup \{\perp\}$ .

Figure 2 does not give the typical minimal definition consisting of **arr**,  $(\ggg)$  and **first** combinators. Instead of **first**, it defines  $(\&\&\&_\perp)$ —typically called **fanout**, but its use in this paper will be clearer if we call it **pairing**—which applies two functions to the same input and returns the pair of their outputs. Though any arrow's **first** may be defined in terms of its  $(\&\&\&_\perp)$  and vice-versa [1], we give  $(\&\&\&_\perp)$  definitions in this paper because the most well-known applicable contemporary measurability theorems are in terms of pairing functions.

Figure 2 also defines the **bottom arrow+choice** by defining the additional combinators **if**<sub>⊥</sub> and **lazy**<sub>⊥</sub>. Typically, an arrow is strengthened to an arrow+choice—which is not quite as strong as a monad—by defining a **left** combinator. Again, however, our choice of **if**<sub>⊥</sub> will make it easier to apply contemporary measurability theorems, which are in terms of disjoint unions of mappings instead of an explicit disjoint union type.

In a nonstrict or simply typed  $\lambda$ -calculus, **lazy**<sub>⊥</sub> is unnecessary. For example, in a simply typed  $\lambda$ -calculus, the following recursive function cannot be typed:

$$\text{halt-on-true}_\perp := \text{if}_\perp (\text{arr}_\perp \text{id}) (\text{arr}_\perp \text{id}) \text{halt-on-true}_\perp \quad (6)$$

$\text{domain} : (X \multimap Y) \Rightarrow \text{Set } X$	$\langle \cdot, \cdot \rangle_{\text{map}} : (X \multimap Y_1) \Rightarrow (X \multimap Y_2) \Rightarrow (X \multimap Y_1 \times Y_2)$
$\text{domain} := \text{image fst}$	$\langle g_1, g_2 \rangle_{\text{map}} := \text{let } A := (\text{domain } g_1) \cap (\text{domain } g_2) \text{ in } \lambda x \in A. \langle g_1 x, g_2 x \rangle$
$\text{range} : (X \multimap Y) \Rightarrow \text{Set } Y$	$(\circ_{\text{map}}) : (Y \multimap Z) \Rightarrow (X \multimap Y) \Rightarrow (X \multimap Z)$
$\text{range} := \text{image snd}$	$g_2 \circ_{\text{map}} g_1 := \text{let } A := \text{preimage } g_1 (\text{domain } g_2) \text{ in } \lambda x \in A. g_2 (g_1 x)$
$\text{preimage} : (X \multimap Y) \Rightarrow \text{Set } Y \Rightarrow \text{Set } X$	$(\uplus_{\text{map}}) : (X \multimap Y) \Rightarrow (X \multimap Y) \Rightarrow (X \multimap Y)$
$\text{preimage } f B := \{x \in \text{domain } f \mid f x \in B\}$	$g_1 \uplus_{\text{map}} g_2 := \text{let } A := (\text{domain } g_1) \uplus (\text{domain } g_2) \text{ in } \lambda x \in A. \text{if } (x \in \text{domain } g_1) (g_1 x) (g_2 x)$

Figure 1: Operations on mappings.

$\text{arr}_{\perp} : (x \Rightarrow y) \Rightarrow (x \Rightarrow y_{\perp})$	$\text{if}_{\perp} : (x \Rightarrow \text{Bool}_{\perp}) \Rightarrow (x \Rightarrow y_{\perp}) \Rightarrow (x \Rightarrow y_{\perp}) \Rightarrow (x \Rightarrow y_{\perp})$
$\text{arr}_{\perp} f := f$	$\text{if}_{\perp} f_1 f_2 f_3 x := \text{case } f_1 x \text{ true } \Rightarrow f_2 x \text{ false } \Rightarrow f_3 x \text{ else } \Rightarrow \perp$
$(\ggg_{\perp}) : (x \Rightarrow y_{\perp}) \Rightarrow (y \Rightarrow z_{\perp}) \Rightarrow (x \Rightarrow z_{\perp})$	$\text{lazy}_{\perp} : (1 \Rightarrow (x \Rightarrow y_{\perp})) \Rightarrow (x \Rightarrow y_{\perp})$
$(f_1 \ggg_{\perp} f_2) x := \text{if } (f_1 x = \perp) \perp (f_2 (f_1 x))$	$\text{lazy}_{\perp} f x := f 0 x$
$(\&\&\&_{\perp}) : (x \Rightarrow y_{1\perp}) \Rightarrow (x \Rightarrow y_{2\perp}) \Rightarrow (x \Rightarrow \langle y_1, y_2 \rangle_{\perp})$	
$(f_1 \&\&\&_{\perp} f_2) x := \text{if } ((f_1 x = \perp) \vee (f_2 x = \perp)) \perp \langle f_1 x, f_2 x \rangle$	

Figure 2: Bottom arrow definitions.

and it diverges in a nonstrict  $\lambda$ -calculus only when applied to **false**. However, its *defining expression* diverges in  $\lambda_{\text{ZFC}}$  and every other call-by-value  $\lambda$ -calculus.

We defer the inner  $\text{halt-on-true}_{\perp}$  until after the outer  $\text{halt-on-true}_{\perp}$  is applied, using  $\text{lazy}_{\perp}$ :

$$\begin{aligned} \text{halt-on-true}_{\perp} &:= \\ \text{if}_{\perp} (\text{arr}_{\perp} \text{id}) (\text{arr}_{\perp} \text{id}) (\text{lazy}_{\perp} \lambda 0. \text{halt-on-true}_{\perp}) \end{aligned} \quad (7)$$

This diverges only when applied to **false** in any sensible  $\lambda$ -calculus.

XXX: point out that  $\text{lazy}_{\perp}$  receives a thunk, and remind readers that  $1 = \{0\}$

**Theorem 3.0.1.**  $\text{arr}_{\perp}$ ,  $(\&\&\&_{\perp})$  and  $(\ggg_{\perp})$  define an arrow. With  $\text{if}_{\perp}$  and  $\text{lazy}_{\perp}$ , they define an arrow+choice.

*Proof.* The bottom arrow is the arrow in the Kleisli category of the Maybe monad with  $\text{Nothing} = \perp$ .  $\square$

## 4. First-Order Let-Calculus Semantics

XXX: Figure 3...

XXX: Stack machine...

XXX: Roughly, first-order application  $(x e)$  runs arrow computation  $x$  with a fresh stack with  $e$  at the head. The binding form  $(\text{let } e_0 e_b)$  pushes  $e_0$  onto the stack. Variables are referenced using  $(\text{env } n)$  with  $(\text{env } 0)$  referring to the head.

## 5. Deriving the Mapping Arrow

Theorems in measure theory tend to be about mappings, not lambdas. As in intermediate step toward the preimage

arrow, then, we need an arrow whose computations produce mappings or are mappings themselves.

It is tempting to try to define the mapping arrow's type constructor using  $X \rightsquigarrow_{\text{map}} Y ::= X \multimap Y$ , and define  $(\&\&\&_{\text{map}}) := \langle \cdot, \cdot \rangle_{\text{map}}$  and  $(\ggg_{\text{map}}) := \text{flip } (\circ_{\text{map}})$ . Unfortunately, we run into a problem defining  $\text{arr}_{\text{map}} : (X \Rightarrow Y) \Rightarrow (X \multimap Y)$ : we cannot define it as  $\text{arr}_{\text{map}} f := f|_X$ . Although  $X$  is a  $\lambda_{\text{ZFC}}$  value, it is not available within any definition because it is only part of the type.

We need to parameterize computations on a domain, so

$$X \rightsquigarrow_{\text{map}} Y ::= \text{Set } X \Rightarrow (X \multimap Y) \quad (8)$$

is the type of *mapping arrow* computations.

Notice that  $\perp$  is absent in  $\text{Set } X \Rightarrow (X \multimap Y)$ . This will make it easier to disregard nonterminating inputs when computing preimages further on. (XXX: section)

We want the correspondence between bottom arrow and mapping arrow computations as clear as possible. We therefore start by defining a function  $\text{lift}_{\text{map}} : (X \Rightarrow Y_{\perp}) \Rightarrow (X \rightsquigarrow_{\text{map}} Y)$  to lift bottom arrow computations to the mapping arrow. It must restrict its argument  $f$ 's domain to a subset of  $X$  for which  $f$  does not return  $\perp$ . It is helpful to have a standalone function  $\text{domain}_{\perp}$  that computes such domains, so we define that first:

$$\begin{aligned} \text{domain}_{\perp} : (X \Rightarrow Y_{\perp}) \Rightarrow \text{Set } X \Rightarrow \text{Set } X \\ \text{domain}_{\perp} f A := \text{preimage } f|_A ((\text{image } f A) \setminus \{\perp\}) \end{aligned} \quad (9)$$

and define  $\text{lift}_{\text{map}}$  in terms of  $\text{domain}_{\perp}$ :

$$\begin{aligned} \text{lift}_{\text{map}} : (X \Rightarrow Y_{\perp}) \Rightarrow (X \rightsquigarrow_{\text{map}} Y) \\ \text{lift}_{\text{map}} f A := \text{let } A' := \text{domain}_{\perp} f A \text{ in } f|_{A'} \end{aligned} \quad (10)$$

$$\begin{array}{ll}
\llbracket x := e; \dots \rrbracket_a & \equiv x := \llbracket e \rrbracket_a; \dots \\
\llbracket x \ e \rrbracket_a & \equiv \llbracket \langle e, 0 \rangle \rrbracket_a \ggg_a x \\
\llbracket \langle e_1, e_2 \rangle \rrbracket_a & \equiv \llbracket e_1 \rrbracket_a \&\&\&_a \llbracket e_2 \rrbracket_a \\
\llbracket \text{let } e_0 \ e_b \rrbracket_a & \equiv (\llbracket e_0 \rrbracket_a \&\&\&_a (\text{arr}_a \text{id})) \ggg_a \llbracket e_b \rrbracket_a \\
\llbracket \text{env } n \rrbracket_a & \equiv \text{arr}_a \lambda \gamma. \gamma_n \\
\llbracket \text{if } e_c \ e_t \ e_f \rrbracket_a & \equiv \text{if}_a \llbracket e_c \rrbracket_a (\text{lazy } \lambda 0. \llbracket e_t \rrbracket_a) (\text{lazy } \lambda 0. \llbracket e_f \rrbracket_a) \\
\llbracket v \rrbracket_a & \equiv \text{arr}_a \lambda \gamma. v \\
\llbracket \text{fst } e \rrbracket_a & \equiv \llbracket e \rrbracket_a \ggg_a (\text{arr}_a \text{fst}) \\
\llbracket \text{snd } e \rrbracket_a & \equiv \llbracket e \rrbracket_a \ggg_a (\text{arr}_a \text{snd}) \\
\llbracket e_1 = e_2 \rrbracket_a & \equiv \llbracket \langle e_1, e_2 \rangle \rrbracket_a \ggg_a (\text{arr}_a \lambda \langle x, y \rangle. x = y) \\
\llbracket e_1 + e_2 \rrbracket_a & \equiv \llbracket \langle e_1, e_2 \rangle \rrbracket_a \ggg_a (\text{arr}_a \lambda \langle x, y \rangle. x + y) \\
& \dots
\end{array}$$

Figure 3: Transformation from a let-calculus with first-order definitions and De-Bruijn-indexed bindings to computations in arrow **a**.

### 5.1 Distributive Laws

The clearest way to ensure that mapping arrow computations mean what we think they mean is to derive each combinator in a way that makes  $\text{lift}_{\text{map}}$  distribute over bottom arrow computations; i.e. it must be a particular kind of **homomorphism**. More concretely, for any let-calculus expression  $e$ , we would like  $\llbracket e \rrbracket_{\text{map}} \equiv \text{lift}_{\text{map}} \llbracket e \rrbracket_{\perp}$ .

**Definition 5.1.1** (arrow+choice homomorphism). *A function  $\text{lift}_b : (x \rightsquigarrow_a y) \Rightarrow (x \rightsquigarrow_b y)$  is an **arrow homomorphism** from arrow **a** to arrow **b** if the following distributive laws hold for appropriately typed  $f$ ,  $f_1$  and  $f_2$ :*

$$\text{lift}_b (\text{arr}_a f) \equiv \text{arr}_b f \quad (11)$$

$$\text{lift}_b (f_1 \&\&\&_a f_2) \equiv (\text{lift}_b f_1) \&\&\&_b (\text{lift}_b f_2) \quad (12)$$

$$\text{lift}_b (f_1 \ggg_a f_2) \equiv (\text{lift}_b f_1) \ggg_b (\text{lift}_b f_2) \quad (13)$$

It is an **arrow+choice homomorphism** if, additionally,

$$\text{lift}_b (\text{if}_a f_1 f_2 f_3) \equiv \text{if}_b (\text{lift}_b f_1) (\text{lift}_b f_2) (\text{lift}_b f_3) \quad (14)$$

$$\text{lift}_b (\text{lazy}_a f) \equiv \text{lazy}_b \lambda 0. \text{lift}_b (f \ 0) \quad (15)$$

hold for appropriately typed  $f$ ,  $f_1$ ,  $f_2$  and  $f_3$ .

Recall that in nonstrict or strongly normalizing languages, a **lazy** combinator is unnecessary. In these languages, only (14) is necessary for arrow+choice homomorphisms.

Because mapping arrow computations are functions, we need to extend the notion of their equivalence—which by default is alpha-equivalence—to something more extensional.

**Definition 5.1.2** (mapping arrow equivalence). *Two mapping arrow computations  $g_1 : X \rightsquigarrow_{\text{map}} Y$  and  $g_2 : X \rightsquigarrow_{\text{map}} Y$  are equivalent, or  $g_1 \equiv g_2$ , when  $g_1 A \equiv g_2 A$  for all  $A \subseteq X$ .*

Clearly  $\text{arr}_b := \text{lift}_b \circ \text{arr}_a$  meets (11), so we define  $\text{arr}_{\text{map}}$  as a composition. The following subsections derive  $(\&\&\&_{\text{map}})$ ,  $(\ggg_{\text{map}})$ ,  $\text{if}_{\text{map}}$  and  $\text{lazy}_{\text{map}}$  from their corresponding bottom arrow combinators, in a way that ensures  $\text{lift}_{\text{map}}$  is an arrow+choice homomorphism. Figure 4 contains the resulting definitions.

### 5.2 Case: Pairing

Starting with the left side of (12), we first expand definitions. For any  $f_1 : X \Rightarrow Y_{\perp}$ ,  $f_2 : X \Rightarrow Z_{\perp}$ , and  $A \subseteq X$ ,

$$\begin{aligned}
& \text{lift}_{\text{map}} (f_1 \&\&\&_{\perp} f_2) A \\
& \equiv \text{lift}_{\text{map}} (\lambda x. \text{if } (f_1 x = \perp \vee f_2 x = \perp) \perp \langle f_1 x, f_2 x \rangle) A \\
& \equiv \text{let } f := \lambda x. \text{if } (f_1 x = \perp \vee f_2 x = \perp) \perp \langle f_1 x, f_2 x \rangle \\
& \quad A' := \text{domain}_{\perp} f \ A \\
& \quad \text{in } f|_{A'}
\end{aligned} \quad (16)$$

Next, we replace the definition of  $A'$  with one that does not depend on  $f$ , and rewrite in terms of  $\text{lift}_{\text{map}} f_1$  and  $\text{lift}_{\text{map}} f_2$ :

$$\begin{aligned}
& \text{lift}_{\text{map}} (f_1 \&\&\&_{\perp} f_2) A \\
& \equiv \text{let } A_1 := (\text{domain}_{\perp} f_1 \ A) \\
& \quad A_2 := (\text{domain}_{\perp} f_2 \ A) \\
& \quad A' := A_1 \cap A_2 \\
& \quad \text{in } \lambda x \in A'. \langle f_1 x, f_2 x \rangle \\
& \equiv \text{let } g_1 := \text{lift}_{\text{map}} f_1 \ A \\
& \quad g_2 := \text{lift}_{\text{map}} f_2 \ A \\
& \quad A' := (\text{domain } g_1) \cap (\text{domain } g_2) \\
& \quad \text{in } \lambda x \in A'. \langle g_1 x, g_2 x \rangle \\
& \equiv \langle \text{lift}_{\text{map}} f_1 \ A, \text{lift}_{\text{map}} f_2 \ A \rangle_{\text{map}} \quad (17)
\end{aligned}$$

Substituting  $g_1$  for  $\text{lift}_{\text{map}} f_1$  and  $g_2$  for  $\text{lift}_{\text{map}} f_2$  gives a definition for  $(\&\&\&_{\text{map}})$  (Figure 4) for which (12) holds.

### 5.3 Case: Composition

The derivation of  $(\ggg_{\text{map}})$  is similar to that of  $(\&\&\&_{\text{map}})$  but a little more involved.

XXX: include it?

### 5.4 Case: Conditional

Starting with the left side of (14), we expand definitions, and simplify  $f$  by restricting it to a domain for which  $f_1 x$  cannot be  $\perp$ :

$$\begin{aligned}
& \text{lift}_{\text{map}} (\text{if}_{\perp} f_1 f_2 f_3) A \\
& \equiv \text{let } f := \lambda x. \text{case } f_1 x \\
& \quad \text{true} \Rightarrow f_2 x \\
& \quad \text{false} \Rightarrow f_3 x \\
& \quad \text{else} \Rightarrow \perp \\
& \quad A' := \text{domain}_{\perp} f \ A \\
& \quad \text{in } f|_{A'} \\
& \equiv \text{let } A_2 := \text{preimage } f_1|_A \ \{\text{true}\} \\
& \quad A_3 := \text{preimage } f_1|_A \ \{\text{false}\} \\
& \quad f := \lambda x. \text{if } (f_1 x) (f_2 x) (f_3 x) \\
& \quad A' := \text{domain}_{\perp} f \ (A_2 \uplus A_3) \\
& \quad \text{in } f|_{A'}
\end{aligned} \quad (18)$$

$X \rightsquigarrow_{\text{map}} Y ::= \text{Set } X \Rightarrow (X \rightarrow Y)$	$\text{if}_{\text{map}} : (X \rightsquigarrow_{\text{map}} \text{Bool}) \Rightarrow (X \rightsquigarrow_{\text{map}} Y) \Rightarrow (X \rightsquigarrow_{\text{map}} Y) \Rightarrow (X \rightsquigarrow_{\text{map}} Y)$
$\text{arr}_{\text{map}} : (X \Rightarrow Y) \Rightarrow (X \rightsquigarrow_{\text{map}} Y)$	$\text{if}_{\text{map}} g_1 g_2 g_3 A := \text{let } g'_1 := g_1 A$
$\text{arr}_{\text{map}} := \text{lift}_{\text{map}} \circ \text{arr}_{\perp}$	$g'_2 := g_2 (\text{preimage } g'_1 \{\text{true}\})$
	$g'_3 := g_3 (\text{preimage } g'_1 \{\text{false}\})$
	$\text{in } g'_2 \uplus_{\text{map}} g'_3$
$(\ggg_{\text{map}}) : (X \rightsquigarrow_{\text{map}} Y) \Rightarrow (Y \rightsquigarrow_{\text{map}} Z) \Rightarrow (X \rightsquigarrow_{\text{map}} Z)$	$\text{lazy}_{\text{map}} : (1 \Rightarrow (X \rightsquigarrow_{\text{map}} Y)) \Rightarrow (X \rightsquigarrow_{\text{map}} Y)$
$(g_1 \ggg_{\text{map}} g_2) A := \text{let } g'_1 := g_1 A$	$\text{lazy}_{\text{map}} g A := \text{if } (A = \emptyset) \emptyset (g \ 0 \ A)$
$g'_2 := g_2 (\text{range } g'_1)$	
$\text{in } g'_2 \circ_{\text{map}} g'_1$	
$(\&\&\&_{\text{map}}) : (X \rightsquigarrow_{\text{map}} Y_1) \Rightarrow (X \rightsquigarrow_{\text{map}} Y_2) \Rightarrow (X \rightsquigarrow_{\text{map}} (Y_1, Y_2))$	$\text{lift}_{\text{map}} : (X \Rightarrow Y_{\perp}) \Rightarrow (X \rightsquigarrow_{\text{map}} Y)$
$(g_1 \&\&\&_{\text{map}} g_2) A := \langle g_1 A, g_2 A \rangle_{\text{map}}$	$\text{lift}_{\text{map}} f A := \{ \langle x, y \rangle \in f _A \mid y \neq \perp \}$

Figure 4: Mapping arrow definitions.

We finish by converting bottom arrow computations to the mapping arrow and rewriting in terms of  $(\uplus_{\text{map}})$ :

$$\begin{aligned}
& \text{lift}_{\text{map}} (\text{if}_{\perp} f_1 f_2 f_3) A & (19) \\
& \equiv \text{let } g_1 := \text{lift}_{\text{map}} f_1 A \\
& \quad g_2 := \text{lift}_{\text{map}} f_2 (\text{preimage } g_1 \{\text{true}\}) \\
& \quad g_3 := \text{lift}_{\text{map}} f_3 (\text{preimage } g_1 \{\text{false}\}) \\
& \quad A' := (\text{domain } g_2) \uplus (\text{domain } g_3) \\
& \quad \text{in } \lambda x \in A'. \text{if } (x \in \text{domain } g_2) (g_2 x) (g_3 x) \\
& \equiv \text{let } g_1 := \text{lift}_{\text{map}} f_1 A \\
& \quad g_2 := \text{lift}_{\text{map}} f_2 (\text{preimage } g_1 \{\text{true}\}) \\
& \quad g_3 := \text{lift}_{\text{map}} f_3 (\text{preimage } g_1 \{\text{false}\}) \\
& \quad \text{in } g_2 \uplus_{\text{map}} g_3
\end{aligned}$$

Substituting  $g_1$  for  $\text{lift}_{\text{map}} f_1$ ,  $g_2$  for  $\text{lift}_{\text{map}} f_2$ , and  $g_3$  for  $\text{lift}_{\text{map}} f_3$  gives a definition for  $\text{if}_{\text{map}}$  (Figure 4) for which (14) holds.

### 5.5 Case: Laziness

Starting with the left side of (15), we first expand definitions:

$$\begin{aligned}
& \text{lift}_{\text{map}} (\text{lazy}_{\perp} f) A \\
& \equiv \text{let } A' := \text{domain}_{\perp} (\lambda x. f \ 0 \ x) A \\
& \quad \text{in } (\lambda x. f \ 0 \ x)|_{A'}
\end{aligned}$$

$\lambda_{\text{ZFC}}$  does not have an  $\eta$  rule (i.e.  $\lambda x. e \ x \neq e$  because  $e$  may diverge), but we can use weaker facts. If  $A \neq \emptyset$ , then  $\text{domain}_{\perp} (\lambda x. f \ 0 \ x) A \equiv \text{domain}_{\perp} (f \ 0) A$ . Further, it diverges iff  $f \ 0$  diverges, which diverges iff  $(f \ 0)|_{A'}$  diverges. Therefore, if  $A \neq \emptyset$ , we can replace  $\lambda x. f \ 0 \ x$  with  $f \ 0$ . If  $A = \emptyset$ , then  $\text{lift}_{\text{map}} (\text{lazy}_{\perp} f) A = \emptyset$  (the empty mapping), so

$$\begin{aligned}
& \text{lift}_{\text{map}} (\text{lazy}_{\perp} f) A \\
& \equiv \text{if } (A = \emptyset) \emptyset \text{let } A' := \text{domain}_{\perp} (f \ 0) A \\
& \quad \text{in } (f \ 0)|_{A'} \\
& \equiv \text{if } (A = \emptyset) \emptyset (\text{lift}_{\text{map}} (f \ 0) A)
\end{aligned}$$

Substituting  $g \ 0$  for  $\text{lift}_{\text{map}} (f \ 0)$  gives a definition for  $\text{lazy}_{\text{map}}$  (Figure 4) for which (15) holds.

### 5.6 Theorems

**Theorem 5.6.1** (mapping arrow correctness). *lift<sub>map</sub> is an arrow+choice homomorphism.*

*Proof.* By construction.  $\square$

The following are easy consequences of the fact that  $\text{lift}_{\text{map}}$  is a homomorphism.

**Corollary 5.6.2.** *arr<sub>map</sub>, (&&&<sub>map</sub>) and (>>><sub>map</sub>) define an arrow. With if<sub>map</sub> and lazy<sub>map</sub>, they define an arrow+choice.*

**Corollary 5.6.3.** *If  $\llbracket e \rrbracket_{\perp} : X \Rightarrow Y_{\perp}$ , then  $\text{lift}_{\text{map}} \llbracket e \rrbracket_{\perp} \equiv \llbracket e \rrbracket_{\text{map}}$ .*

## 6. Lazy Preimage Mappings

On a computer, we will not often have the luxury of testing each function input to see whether it belongs to a preimage set. Even for finite domains, doing so is often intractable.

If we wish to compute with infinite sets in the language implementation, we will need an abstraction that makes it easy to replace computation on points with computation on sets. Therefore, in the preimage arrow, we will confine computation on points to **lazy preimage mappings**, or just **preimage mappings**, for which application is like applying preimage to a mapping. Further on, we will need their ranges to be observable, so we define their type as

$$X \xrightarrow{\text{pre}} Y ::= \langle \text{Set } Y, \text{Set } Y \Rightarrow \text{Set } X \rangle \quad (20)$$

Converting a mapping to a lazy preimage mapping:

$$\begin{aligned}
& \text{pre} : (X \rightarrow Y) \Rightarrow (X \xrightarrow{\text{pre}} Y) \\
& \text{pre } g := \text{let } Y' := \text{range } g \\
& \quad p := \lambda B. \text{preimage } g \ B \\
& \quad \text{in } \langle Y', p \rangle
\end{aligned} \quad (21)$$

Applying a preimage mapping to any subset of its codomain:

$$\begin{aligned}
& \text{pre-ap} : (X \xrightarrow{\text{pre}} Y) \Rightarrow \text{Set } Y \Rightarrow \text{Set } X \\
& \text{pre-ap } \langle Y', p \rangle B := p (B \cap Y')
\end{aligned} \quad (22)$$

The necessary property here is that using **pre-ap** to compute preimages is the same as computing them from a mapping using **preimage**.

**Lemma 6.0.4.** *Let  $g \in X \rightarrow Y$ . For all  $B \subseteq Y$  and  $Y'$  such that  $\text{range } g \subseteq Y' \subseteq Y$ ,  $\text{preimage } g (B \cap Y') = \text{preimage } g \ B$ .*

**Theorem 6.0.5** (pre-ap computes preimages). *Let  $g \in X \rightarrow Y$ . For all  $B \subseteq Y$ ,  $\text{pre-ap } (\text{pre } g) B = \text{preimage } g \ B$ .*

*Proof.* Apply Lemma 6.0.4 with  $Y' = \text{range } g$ .  $\square$

Figure 5 defines more operations on preimage mappings, including pairing, composition, and disjoint union operations corresponding to the mapping operations in Figure 1.

Roughly, the correspondence is that  $\text{pre}$  distributes over mapping operations to yield preimage mapping operations. The precise correspondence is the subject of the next three theorems, which will be used to derive the preimage arrow from the mapping arrow.

First, we need a new notion of equivalence.

**Definition 6.0.6.** *Two preimage mappings  $h_1 : X \xrightarrow{\text{pre}} Y$  and  $h_2 : X \xrightarrow{\text{pre}} Y$  are equivalent, or  $h_1 \equiv h_2$ , when  $\text{pre-ap } h_1 B = \text{pre-ap } h_2 B$  for all  $B \subseteq Y$ .*

XXX: define equivalence in terms of equivalence, check observational equivalence in the proofs (specifically divergence)

## 6.1 Preimage Mapping Pairing

XXX: moar wurd in this section

**Lemma 6.1.1** (preimage distributes over  $\langle \cdot, \cdot \rangle_{\text{map}}$  and  $(\times)$ ). *Let  $g_1 \in X \rightarrow Y_1$  and  $g_2 \in X \rightarrow Y_2$ . For all  $B_1 \subseteq Y_1$  and  $B_2 \subseteq Y_2$ ,  $\text{preimage } \langle g_1, g_2 \rangle_{\text{map}} (B_1 \times B_2) = (\text{preimage } g_1 B_1) \cap (\text{preimage } g_2 B_2)$ .*

**Theorem 6.1.2** (pre distributes over  $\langle \cdot, \cdot \rangle_{\text{map}}$ ). *Let  $g_1 \in X \rightarrow Y_1$  and  $g_2 \in X \rightarrow Y_2$ . Then  $\text{pre } \langle g_1, g_2 \rangle_{\text{map}} \equiv \langle \text{pre } g_1, \text{pre } g_2 \rangle_{\text{pre}}$ .*

*Proof.* Let  $\langle Y'_1, p_1 \rangle := \text{pre } g_1$  and  $\langle Y'_2, p_2 \rangle := \text{pre } g_2$ . Starting from the right side, for all  $B \in Y_1 \times Y_2$ ,

$$\begin{aligned} \text{pre-ap } \langle \text{pre } g_1, \text{pre } g_2 \rangle_{\text{pre}} B &= \text{let } Y' := Y'_1 \times Y'_2 \\ &\quad p := \lambda B. \bigcup_{\langle y_1, y_2 \rangle \in B} (p_1 \{y_1\}) \cap (p_2 \{y_2\}) \\ &\quad \text{in } p (B \cap Y') \\ &= \bigcup_{\langle y_1, y_2 \rangle \in B \cap (Y'_1 \times Y'_2)} (p_1 \{y_1\}) \cap (p_2 \{y_2\}) \\ &= \bigcup_{\langle y_1, y_2 \rangle \in B \cap (Y'_1 \times Y'_2)} (\text{preimage } g_1 \{y_1\}) \cap (\text{preimage } g_2 \{y_2\}) \\ &= \bigcup_{y \in B \cap (Y'_1 \times Y'_2)} (\text{preimage } \langle g_1, g_2 \rangle_{\text{map}} \{y\}) \\ &= \text{preimage } \langle g_1, g_2 \rangle_{\text{map}} (B \cap (Y'_1 \times Y'_2)) \\ &= \text{preimage } \langle g_1, g_2 \rangle_{\text{map}} B \\ &= \text{pre-ap } (\text{pre } \langle g_1, g_2 \rangle_{\text{map}}) B \end{aligned}$$

□

## 6.2 Preimage Mapping Composition

XXX: moar wurd in this section

**Lemma 6.2.1** (preimage distributes over  $\circ_{\text{map}}$ ). *Let  $g_1 \in X \rightarrow Y$  and  $g_2 \in Y \rightarrow Z$ . For all  $C \subseteq Z$ ,  $\text{preimage } (g_2 \circ_{\text{map}} g_1) C = \text{preimage } g_1 (\text{preimage } g_2 C)$ .*

**Theorem 6.2.2** (pre distributes over  $\circ_{\text{map}}$ ). *Let  $g_1 \in X \rightarrow Y$  and  $g_2 \in Y \rightarrow Z$ . Then  $\text{pre } (g_2 \circ_{\text{map}} g_1) \equiv (\text{pre } g_2) \circ_{\text{pre}} (\text{pre } g_1)$ .*

*Proof.* Let  $\langle Z', p_2 \rangle := \text{pre } g_2$ . Starting from the right side, for all  $C \subseteq Z$ ,

$$\begin{aligned} \text{pre-ap } ((\text{pre } g_2) \circ_{\text{pre}} (\text{pre } g_1)) C &= \text{let } h := \lambda C. \text{pre-ap } (\text{pre } g_1) (p_2 C) \\ &\quad \text{in } h (C \cap Z') \\ &= \text{pre-ap } (\text{pre } g_1) (p_2 (C \cap Z')) \\ &= \text{pre-ap } (\text{pre } g_1) (\text{pre-ap } (\text{pre } g_2) C) \\ &= \text{preimage } g_1 (\text{preimage } g_2 C) \\ &= \text{preimage } (g_2 \circ_{\text{map}} g_1) C \\ &= \text{pre-ap } (\text{pre } (g_2 \circ_{\text{map}} g_1)) C \end{aligned}$$

□

## 6.3 Preimage Mapping Disjoint Union

XXX: moar wurd in this section

**Lemma 6.3.1** (preimage distributes over  $\uplus_{\text{map}}$ ). *Let  $g_1 \in X \rightarrow Y$  and  $g_2 \in X \rightarrow Y$  be disjoint mappings. For all  $B \subseteq Y$ ,  $\text{preimage } (g_1 \uplus_{\text{map}} g_2) B = (\text{preimage } g_1 B) \uplus (\text{preimage } g_2 B)$ .*

**Theorem 6.3.2** (pre distributes over  $\uplus_{\text{map}}$ ). *Let  $g_1 \in X \rightarrow Y$  and  $g_2 \in X \rightarrow Y$  have disjoint domains. Then  $\text{pre } (g_1 \uplus_{\text{map}} g_2) \equiv (\text{pre } g_1) \uplus_{\text{pre}} (\text{pre } g_2)$ .*

*Proof.* Let  $Y'_1 := \text{range } g_1$  and  $Y'_2 := \text{range } g_2$ . Starting from the right side, for all  $B \subseteq Y$ ,

$$\begin{aligned} \text{pre-ap } ((\text{pre } g_1) \uplus_{\text{pre}} (\text{pre } g_2)) B &= \text{let } Y' := Y'_1 \cup Y'_2 \\ &\quad h := \lambda B. (\text{pre-ap } (\text{pre } g_1) B) \uplus (\text{pre-ap } (\text{pre } g_2) B) \\ &\quad \text{in } h (B \cap Y') \\ &= (\text{pre-ap } (\text{pre } g_1) (B \cap (Y'_1 \cup Y'_2))) \uplus \\ &\quad (\text{pre-ap } (\text{pre } g_2) (B \cap (Y'_1 \cup Y'_2))) \\ &= (\text{preimage } g_1 (B \cap (Y'_1 \cup Y'_2))) \uplus \\ &\quad (\text{preimage } g_2 (B \cap (Y'_1 \cup Y'_2))) \\ &= \text{preimage } (g_1 \uplus_{\text{map}} g_2) (B \cap (Y'_1 \cup Y'_2)) \\ &= \text{preimage } (g_1 \uplus_{\text{map}} g_2) B \\ &= \text{pre-ap } (\text{pre } (g_1 \uplus_{\text{map}} g_2)) B \end{aligned}$$

□

## 7. Deriving the Preimage Arrow

XXX: intro

$$X \xrightarrow{\text{pre}} Y ::= \text{Set } X \Rightarrow (X \xrightarrow{\text{pre}} Y) \quad (23)$$

$$\begin{aligned} \text{lift}_{\text{pre}} : (X \xrightarrow{\text{map}} Y) &\Rightarrow (X \xrightarrow{\text{pre}} Y) \\ \text{lift}_{\text{pre}} g A &:= \text{pre } (g A) \end{aligned} \quad (24)$$

**Definition 7.0.3** (Preimage arrow equivalence). *Two preimage arrow computations  $h_1 : X \xrightarrow{\text{pre}} Y$  and  $h_2 : X \xrightarrow{\text{pre}} Y$  are equivalent, or  $h_1 \equiv h_2$ , when  $h_1 A \equiv h_2 A$  for all  $A \subseteq X$ .*

As with  $\text{arr}_{\text{map}}$ , defining  $\text{arr}_{\text{pre}}$  as a composition meets (11). The following subsections derive  $(\<<<_{\text{pre}})$ ,  $(\>>>_{\text{pre}})$ ,  $\text{if}_{\text{pre}}$  and  $\text{lazy}_{\text{pre}}$  from their corresponding mapping arrow combinators, in a way that ensures  $\text{lift}_{\text{pre}}$  is an arrow+choice homomorphism from the mapping arrow to the preimage arrow. Figure 6 contains the resulting definitions.

$$\begin{array}{ll}
X \xrightarrow{\text{pre}} Y ::= \langle \text{Set } Y, \text{Set } Y \Rightarrow \text{Set } X \rangle & \langle \cdot, \cdot \rangle_{\text{pre}} : (X \xrightarrow{\text{pre}} Y_1) \Rightarrow (X \xrightarrow{\text{pre}} Y_2) \Rightarrow (X \xrightarrow{\text{pre}} Y_1 \times Y_2) \\
\text{pre} : (X \xrightarrow{\text{map}} Y) \Rightarrow (X \xrightarrow{\text{pre}} Y) & \langle \langle Y'_1, p_1 \rangle, \langle Y'_2, p_2 \rangle \rangle_{\text{pre}} := \text{let } Y' := Y'_1 \times Y'_2 \\
& \quad p := \lambda B. \bigcup_{\langle y_1, y_2 \rangle \in B} (p_1 \{y_1\}) \cap (p_2 \{y_2\}) \\
\text{pre } g := \langle \text{range } g, \lambda B. \text{preimage } g \ B \rangle & \text{in } \langle Y', p \rangle \\
\text{pre-ap} : (X \xrightarrow{\text{pre}} Y) \Rightarrow \text{Set } Y \Rightarrow \text{Set } X & (\circ_{\text{pre}}) : (Y \xrightarrow{\text{pre}} Z) \Rightarrow (X \xrightarrow{\text{pre}} Y) \Rightarrow (X \xrightarrow{\text{pre}} Z) \\
\text{pre-ap } \langle Y', p \rangle B := p (B \cap Y') & \langle Z', p_2 \rangle \circ_{\text{pre}} h_1 := \langle Z', \lambda C. \text{pre-ap } h_1 (p_2 \ C) \rangle \\
\text{pre-range} : (X \xrightarrow{\text{pre}} Y) \Rightarrow \text{Set } Y & (\uplus_{\text{pre}}) : (X \xrightarrow{\text{pre}} Y) \Rightarrow (X \xrightarrow{\text{pre}} Y) \Rightarrow (X \xrightarrow{\text{pre}} Y) \\
\text{pre-range} := \text{fst} & h_1 \uplus_{\text{pre}} h_2 := \text{let } Y' := (\text{pre-range } h_1) \cup (\text{pre-range } h_2) \\
& \quad p := \lambda B. (\text{pre-ap } h_1 \ B) \uplus (\text{pre-ap } h_2 \ B) \\
& \quad \text{in } \langle Y', p \rangle
\end{array}$$

Figure 5: Lazy preimage mappings and operations.

$$\begin{array}{ll}
X \xrightarrow{\text{pre}} Y ::= \text{Set } X \Rightarrow (X \xrightarrow{\text{pre}} Y) & \text{if}_{\text{pre}} : (X \xrightarrow{\text{pre}} \text{Bool}) \Rightarrow (X \xrightarrow{\text{pre}} Y) \Rightarrow (X \xrightarrow{\text{pre}} Y) \Rightarrow (X \xrightarrow{\text{pre}} Y) \\
\text{arr}_{\text{pre}} : (X \Rightarrow Y) \Rightarrow (X \xrightarrow{\text{pre}} Y) & \text{if}_{\text{pre}} h_1 h_2 h_3 A := \text{let } h'_1 := h_1 \ A \\
& \quad h'_2 := h_2 (\text{pre-ap } h'_1 \ \{\text{true}\}) \\
& \quad h'_3 := h_3 (\text{pre-ap } h'_1 \ \{\text{false}\}) \\
& \quad \text{in } h'_2 \uplus_{\text{pre}} h'_3 \\
\text{arr}_{\text{pre}} := \text{lift}_{\text{pre}} \circ \text{arr}_{\text{map}} & \\
(\ggg_{\text{pre}}) : (X \xrightarrow{\text{pre}} Y) \Rightarrow (Y \xrightarrow{\text{pre}} Z) \Rightarrow (X \xrightarrow{\text{pre}} Z) & \text{lazy}_{\text{pre}} : (1 \Rightarrow (X \xrightarrow{\text{pre}} Y)) \Rightarrow (X \xrightarrow{\text{pre}} Y) \\
(h_1 \ggg_{\text{pre}} h_2) A := \text{let } h'_1 := h_1 \ A & \text{lazy}_{\text{pre}} h A := \text{if } (A = \emptyset) (\text{pre } \emptyset) (h \ 0 \ A) \\
& \quad h'_2 := h_2 (\text{pre-range } h'_1) \\
& \quad \text{in } h'_2 \circ_{\text{pre}} h_1 \\
(\&\&\&_{\text{pre}}) : (X \xrightarrow{\text{pre}} Y) \Rightarrow (X \xrightarrow{\text{pre}} Z) \Rightarrow (X \xrightarrow{\text{pre}} Y \times Z) & \text{lift}_{\text{pre}} : (X \xrightarrow{\text{map}} Y) \Rightarrow (X \xrightarrow{\text{pre}} Y) \\
(h_1 \&\&\&_{\text{pre}} h_2) A := \langle h_1 \ A, h_2 \ A \rangle_{\text{pre}} & \text{lift}_{\text{pre}} g A := \text{pre } (g \ A)
\end{array}$$

Figure 6: Preimage arrow definitions.

### 7.1 Case: Pairing

Starting with the left side of (12), we expand definitions, apply Theorem 6.1.2, and rewrite in terms of  $\text{lift}_{\text{pre}}$ :

$$\begin{aligned}
& \text{pre-ap } (\text{lift}_{\text{pre}} (g_1 \&\&\&_{\text{map}} g_2) A) B \\
& \equiv \text{pre-ap } (\text{pre } \langle g_1 \ A, g_2 \ A \rangle_{\text{map}}) B \\
& \equiv \text{pre-ap } \langle \text{pre } (g_1 \ A), \text{pre } (g_2 \ A) \rangle_{\text{pre}} B \\
& \equiv \text{pre-ap } \langle \text{lift}_{\text{pre}} g_1 \ A, \text{lift}_{\text{pre}} g_2 \ A \rangle_{\text{pre}} B
\end{aligned}$$

Substituting  $h_1$  for  $\text{lift}_{\text{pre}} g_1$  and  $h_2$  for  $\text{lift}_{\text{pre}} g_2$ , and removing the application of  $\text{pre-ap}$  from both sides of the equivalence gives a definition of  $(\&\&\&_{\text{pre}})$  (Figure 6) for which (12) holds.

### 7.2 Case: Composition

Starting with the left side of (13), we expand definitions, apply Theorem 6.2.2 and rewrite in terms of  $\text{lift}_{\text{pre}}$ :

$$\begin{aligned}
& \text{pre-ap } (\text{lift}_{\text{pre}} (g_1 \ggg_{\text{map}} g_2) A) C \\
& \equiv \text{let } g'_1 := g_1 \ A \\
& \quad g'_2 := g_2 (\text{range } g'_1) \\
& \quad \text{in } \text{pre-ap } (\text{pre } (g'_2 \circ_{\text{map}} g'_1)) C \\
& \equiv \text{let } g'_1 := g_1 \ A \\
& \quad g'_2 := g_2 (\text{range } g'_1) \\
& \quad \text{in } \text{pre-ap } ((\text{pre } g'_1) \circ_{\text{pre}} (\text{pre } g'_2)) C \\
& \equiv \text{let } h_1 := \text{lift}_{\text{pre}} g_1 \ A \\
& \quad h_2 := \text{lift}_{\text{pre}} g_2 (\text{pre-range } h_1) \\
& \quad \text{in } \text{pre-ap } (h_2 \circ_{\text{pre}} h_1) C
\end{aligned} \tag{25}$$

Substituting  $h_1$  for  $\text{lift}_{\text{pre}} g_1$  and  $h_2$  for  $\text{lift}_{\text{pre}} g_2$ , and removing the application of  $\text{pre-ap}$  from both sides of the equivalence gives a definition of  $(\ggg_{\text{pre}})$  (Figure 6) for which (13) holds.

### 7.3 Case: Conditional

Starting with the left side of (14), we expand terms, apply Theorem 6.3.2, rewrite in terms of  $\text{lift}_{\text{pre}}$ , and apply Theo-

rem 6.0.5 in the definitions of  $h_2$  and  $h_3$ :

$$\begin{aligned}
& \text{pre-ap } (\text{lift}_{\text{pre}} (\text{if}_{\text{map}} g_1 g_2 g_3) A) B \\
& \equiv \text{let } g'_1 := g_1 A \\
& \quad g'_2 := g_2 (\text{preimage } g'_1 \{ \text{true} \}) \\
& \quad g'_3 := g_3 (\text{preimage } g'_1 \{ \text{false} \}) \\
& \quad \text{in pre-ap } (\text{pre } (g'_2 \uplus_{\text{map}} g'_3)) B \\
& \equiv \text{let } g'_1 := g_1 A \\
& \quad g'_2 := g_2 (\text{preimage } g'_1 \{ \text{true} \}) \\
& \quad g'_3 := g_3 (\text{preimage } g'_1 \{ \text{false} \}) \\
& \quad \text{in pre-ap } ((\text{pre } g'_2) \uplus_{\text{pre}} (\text{pre } g'_3)) B \\
& \equiv \text{let } h_1 := \text{lift}_{\text{pre}} g_1 A \\
& \quad h_2 := \text{lift}_{\text{pre}} g_2 (\text{pre-ap } h_1 \{ \text{true} \}) \\
& \quad h_3 := \text{lift}_{\text{pre}} g_3 (\text{pre-ap } h_1 \{ \text{false} \}) \\
& \quad \text{in pre-ap } (h_2 \uplus_{\text{pre}} h_3) B
\end{aligned}$$

Substituting  $h_1$  for  $\text{lift}_{\text{pre}} g_1$ ,  $h_2$  for  $\text{lift}_{\text{pre}} g_2$  and  $h_3$  for  $\text{lift}_{\text{pre}} g_3$ , and removing the application of  $\text{pre-ap}$  from both sides of the equivalence gives a definition of  $\text{if}_{\text{pre}}$  (Figure 6) for which (14) holds.

#### 7.4 Case: Laziness

Starting with the left side of (15), expand definitions, distribute  $\text{pre}$  over the branches of  $\text{if}$ , and rewrite in terms of  $\text{lift}_{\text{pre}} (g \ 0)$ :

$$\begin{aligned}
& \text{pre-ap } (\text{lift}_{\text{pre}} (\text{lazy}_{\text{map}} g) A) B \\
& \equiv \text{let } g' := \text{if } (A = \emptyset) \ \emptyset \ (g \ 0 \ A) \\
& \quad \text{in pre-ap } (\text{pre } g') B \\
& \equiv \text{let } h := \text{if } (A = \emptyset) \ (\text{pre } \emptyset) \ (\text{pre } (g \ 0 \ A)) \\
& \quad \text{in pre-ap } h \ B \\
& \equiv \text{let } h := \text{if } (A = \emptyset) \ (\text{pre } \emptyset) \ (\text{lift}_{\text{pre}} (g \ 0) \ A) \\
& \quad \text{in pre-ap } h \ B
\end{aligned}$$

Substituting  $h \ 0$  for  $\text{lift}_{\text{pre}} (g \ 0)$  and removing the application of  $\text{pre-ap}$  from both sides of the equivalence gives a definition for  $\text{lazy}_{\text{pre}}$  (Figure 6) for which (15) holds.

#### 7.5 Theorems

**Theorem 7.5.1** (preimage arrow correctness).  $\text{lift}_{\text{pre}}$  is an arrow+choice homomorphism.

*Proof.* By construction.  $\square$

The following are easy consequences of the fact that  $\text{lift}_{\text{pre}}$  is a homomorphism.

**Corollary 7.5.2.**  $\text{arr}_{\text{pre}}$ ,  $(\&\&_{\text{pre}})$  and  $(\ggg_{\text{pre}})$  define an arrow. With  $\text{if}_{\text{pre}}$  and  $\text{lazy}_{\text{pre}}$ , they define an arrow+choice.

**Corollary 7.5.3.** If  $\llbracket e \rrbracket_{\text{map}} : X \rightsquigarrow_{\text{map}} Y$ , then for all  $A \subseteq X$  and  $B \subseteq Y$ ,  $\text{preimage } (\llbracket e \rrbracket_{\text{map}} A) B \equiv \text{pre-ap } (\llbracket e \rrbracket_{\text{pre}} A) B$ .

In other words,  $\llbracket e \rrbracket_{\text{pre}}$  returns a computation that correctly computes preimages under  $\llbracket e \rrbracket_{\text{map}}$  or, again by homomorphism,  $\llbracket e \rrbracket_{\perp}$  (without error inputs).

## 8. Computable Approximation

## 9. Preimages of Partial Functions

## References

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