

## CHAPTER 6

# Bipolar Junction Transistors (BJTs)

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## IN THIS CHAPTER YOU WILL LEARN

1. The physical structure of the bipolar transistor and how it works.
2. How the voltage between two terminals of the transistor controls the current that flows through the third terminal, and the equations that describe these current–voltage characteristics.
3. How to analyze and design circuits that contain bipolar transistors, resistors, and dc sources.

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## Introduction

In this chapter, we study the other major three-terminal device: the bipolar junction transistor (BJT). The presentation of the material in this chapter parallels but does not rely on that for the MOSFET in Chapter 5; thus, if desired, the BJT can be studied before the MOSFET.

Three-terminal devices are far more useful than two-terminal ones, such as the diodes studied in Chapter 4, because they can be used in a multitude of applications, ranging from signal amplification to the design of digital logic and memory circuits. The basic principle involved is the use of the voltage between two terminals to control the current flowing in the third terminal. In this way, a three-terminal device can be used to realize a controlled source, which as we learned in Chapter 1 is the basis for amplifier design. Also, in the extreme, the control signal can be used to cause the current in the third terminal to change from zero to a large value, thus allowing the device to act as a switch. The switch is the basis for the realization of the logic inverter, the basic element of digital circuits.

The invention of the BJT in 1948 at the Bell Telephone Laboratories ushered in the era of solid-state circuits. The result was not just the replacement of vacuum tubes by transistors in radios and television sets but the eruption of an electronics revolution that led to major changes in the way we work, play, and indeed, live. The invention of the transistor also eventually led to the dominance of information technology and the emergence of the knowledge-based economy.

The bipolar transistor enjoyed nearly three decades as the device of choice in the design of both discrete and integrated circuits. Although the MOSFET had been known very early on, it was not until the 1970s and 1980s that it became a serious competitor to the BJT. By 2014, the MOSFET was undoubtedly the most widely used electronic device, and CMOS technology the technology of choice in the design of integrated circuits. Nevertheless, the BJT remains a significant device that excels in certain applications.

The BJT remains popular in discrete-circuit design, where it is used together with other discrete components such as resistors and capacitors to implement circuits that are assembled

on printed-circuit boards (PCBs). Here we note the availability of a very wide selection of BJT types that fit nearly every conceivable application. As well, the BJT is still the preferred device in some very demanding analog and digital integrated-circuit applications. This is especially true in very-high-frequency and high-speed circuits. In particular, a very-high-speed digital logic-circuit family based on bipolar transistors, namely, emitter-coupled logic, is still in use (Chapter 15). Finally, bipolar transistors can be combined with MOSFETs to create innovative circuits that take advantage of the high-input-impedance and low-power operation of MOSFETs and the very-high-frequency operation and high-current-driving capability of bipolar transistors. The resulting technology is known as BiCMOS, and it is finding increasingly larger areas of application (see Chapters 8, 9, 13, and 15).

In this chapter, we shall start with a description of the physical operation of the BJT. Though simple, this physical description provides considerable insight regarding the performance of the transistor as a circuit element. We then quickly move from describing current flow in terms of electrons and holes to a study of the transistor terminal characteristics. Circuit models for transistor operation in different modes will be developed and utilized in the analysis and design of transistor circuits. The main objective of this chapter is to develop in the reader a high degree of familiarity with the BJT. Thus, it lays the foundation for the use of the BJT in amplifier design (Chapter 7).

## 6.1 Device Structure and Physical Operation

### 6.1.1 Simplified Structure and Modes of Operation

Figure 6.1 shows a simplified structure for the BJT. A practical transistor structure will be shown later (see also Appendix A, which deals with fabrication technology).

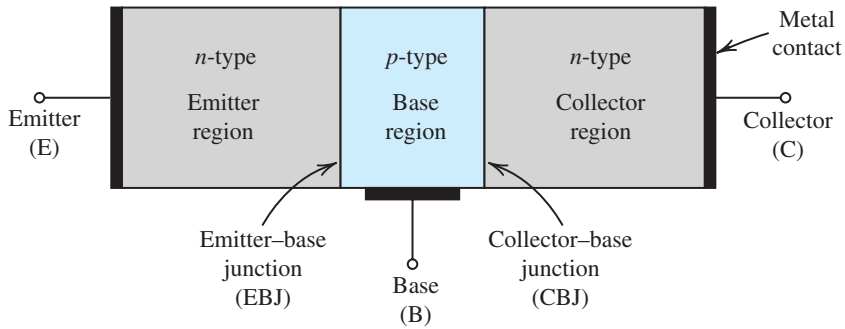
As shown in Fig. 6.1, the BJT consists of three semiconductor regions: the emitter region ( $n$  type), the base region ( $p$  type), and the collector region ( $n$  type). Such a transistor is called an *npn* transistor. Another transistor, a dual of the *npn* as shown in Fig. 6.2, has a  $p$ -type emitter, an  $n$ -type base, and a  $p$ -type collector, and is appropriately called a *pn*p transistor.

A terminal is connected to each of the three semiconductor regions of the transistor, with the terminals labeled **emitter** (E), **base** (B), and **collector** (C).

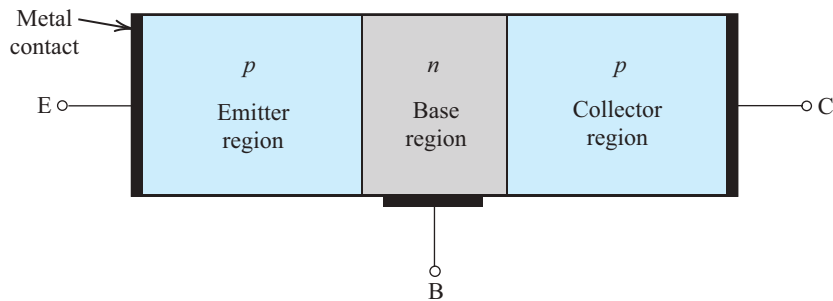
The transistor consists of two  $pn$  junctions, the **emitter–base junction** (EBJ) and the **collector–base junction** (CBJ). Depending on the bias condition (forward or reverse) of each of these junctions, different modes of operation of the BJT are obtained, as shown in Table 6.1. The **active mode** is the one used if the transistor is to operate as an amplifier. Switching applications (e.g., logic circuits) utilize both the **cutoff mode** and the **saturation mode**. As the name implies, in the cutoff mode no current flows because both junctions are reverse biased.

As we will see shortly, charge carriers of both polarities—that is, electrons and holes—participate in the current-conduction process in a bipolar transistor, which is the reason for the name *bipolar*.<sup>1</sup>

<sup>1</sup>This should be contrasted with the situation in the MOSFET, where current is conducted by charge carriers of one type only: electrons in  $n$ -channel devices or holes in  $p$ -channel devices. In earlier days, some referred to FETs as unipolar devices.



**Figure 6.1** A simplified structure of the *npn* transistor.



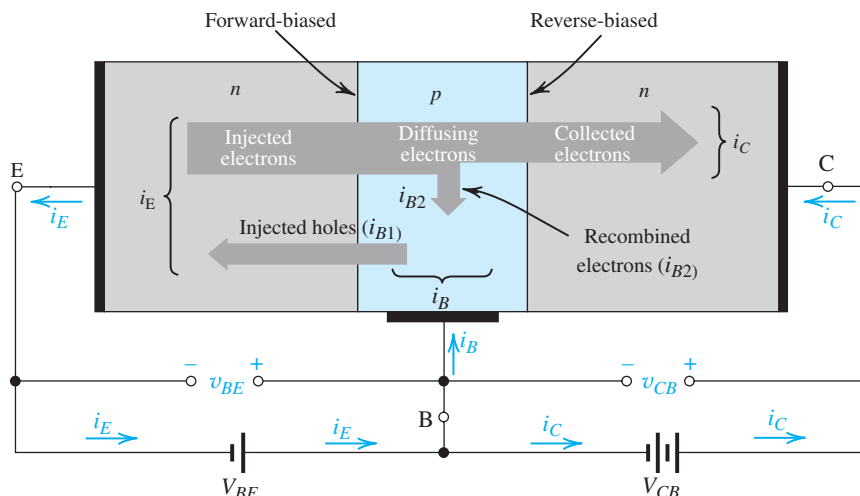
**Figure 6.2** A simplified structure of the *pnp* transistor.

Table 6.1 BJT Modes of Operation		
Mode	EBJ	CBJ
Cutoff	Reverse	Reverse
Active	Forward	Reverse
Saturation	Forward	Forward

### 6.1.2 Operation of the *npn* Transistor in the Active Mode

Of the three modes of operation of the BJT, the active mode is the most important. Therefore, we begin our study of the BJT by considering its physical operation in the active mode.<sup>2</sup> This situation is illustrated in Fig. 6.3 for the *npn* transistor. Two external voltage sources (shown as batteries) are used to establish the required bias conditions for active-mode operation. The voltage  $V_{BE}$  causes the *p*-type base to be higher in potential than the *n*-type emitter, thus forward biasing the emitter–base junction. The collector–base voltage  $V_{CB}$  causes the *n*-type collector to be at a higher potential than the *p*-type base, thus reverse biasing the collector–base junction.

<sup>2</sup>The material in this section assumes that the reader is familiar with the operation of the *pn* junction under forward-bias conditions (Section 3.5).



**Figure 6.3** Current flow in an *npn* transistor biased to operate in the active mode. (Reverse current components due to drift of thermally generated minority carriers are not shown.)

**Current Flow** The forward bias on the emitter–base junction will cause current to flow across this junction. Current will consist of two components: electrons injected from the emitter into the base, and holes injected from the base into the emitter. As will become apparent shortly, it is highly desirable to have the first component (electrons from emitter to base) be much larger than the second component (holes from base to emitter). This can be accomplished by fabricating the device with a heavily doped emitter and a lightly doped base; that is, the device is designed to have a high density of electrons in the emitter and a low density of holes in the base.

The current that flows across the emitter–base junction will constitute the emitter current  $i_E$ , as indicated in Fig. 6.3. The direction of  $i_E$  is “out of” the emitter lead, which, following the usual conventions, is in the direction of the positive-charge flow (hole current) and opposite to the direction of the negative-charge flow (electron current), with the emitter current  $i_E$  being equal to the sum of these two components. However, since the electron component is much larger than the hole component, the emitter current will be dominated by the electron component.

From our study in Section 3.5 of the current flow across a forward-biased *pn* junction, we know that the magnitude of both the electron component and the hole component of  $i_E$  will be proportional to  $e^{v_{BE}/V_T}$ , where  $v_{BE}$  is the forward voltage across the base–emitter junction and  $V_T$  is the thermal voltage (approximately 25 mV at room temperature).

Let’s now focus our attention on the first current component, namely, that carried by electrons injected from the emitter into the base. These electrons will be **minority carriers** in the *p*-type base region. Because their concentration will be highest at the emitter side of the base, the injected electrons will diffuse through the base region toward the collector. In their journey across the base, some of the electrons will combine with holes, which are majority carriers in the base. However, since the base is usually very thin and, as mentioned earlier, lightly doped, the proportion of electrons that are “lost” through this **recombination process** will be quite small. Thus, most of the diffusing electrons will reach the boundary of the collector–base depletion region. Because the collector is more positive than the base (by the

reverse-bias voltage  $v_{CB}$ ), these successful electrons will be swept across the CBJ depletion region into the collector. They will thus get collected and constitute the collector current  $i_C$ .

**The Collector Current** From the foregoing statements, we see that the collector current is carried by the electrons that reach the collector region. Its direction will be opposite to that of the flow of electrons, and thus into the collector terminal. Its magnitude will be proportional to  $e^{v_{BE}/V_T}$ , thus

$$i_C = I_S e^{v_{BE}/V_T} \quad (6.1)$$

where the constant of proportionality  $I_S$ , as in the case of the diode, is called the **saturation current** and is a transistor parameter. We will have more to say about  $I_S$  shortly.

An important observation to make here is that  $i_C$  is independent of the value of  $v_{CB}$ . That is, as long as the collector is positive with respect to the base, the electrons that reach the collector side of the base region will be swept into the collector and will register as collector current.

**The Base Current** Reference to Fig. 6.3 shows that the base current  $i_B$  is composed of two components. The first component  $i_{B1}$  is due to the holes injected from the base region into the emitter region. This current component is proportional to  $e^{v_{BE}/V_T}$ . The second component of base current,  $i_{B2}$ , is due to holes that have to be supplied by the external circuit in order to replace the holes lost from the base through the recombination process. Because  $i_{B2}$  is proportional to the number of electrons injected into the base, it also will be proportional to  $e^{v_{BE}/V_T}$ . Thus the total base current,  $i_B = i_{B1} + i_{B2}$ , will be proportional to  $e^{v_{BE}/V_T}$ , and can be expressed as a fraction of the collector current  $i_C$  as follows:

$$i_B = \frac{i_C}{\beta} \quad (6.2)$$

That is,

$$i_B = \left( \frac{I_S}{\beta} \right) e^{v_{BE}/V_T} \quad (6.3)$$

where  $\beta$  is a transistor parameter.

For modern *npn* transistors,  $\beta$  is in the range 50 to 200, but it can be as high as 1000 for special devices. For reasons that will become clear later, the parameter  $\beta$  is called the **common-emitter current gain**.

The above description indicates that the value of  $\beta$  is highly influenced by two factors: the width of the base region,  $W$ , and the relative dopings of the base region and the emitter region,  $N_A/N_D$ . To obtain a high  $\beta$  (which is highly desirable since  $\beta$  represents a gain parameter) the base should be thin ( $W$  small) and lightly doped and the emitter heavily doped (making  $N_A/N_D$  small). For modern integrated circuit fabrication technologies,  $W$  is in the nanometer range.

**The Emitter Current** Since the current that enters a transistor must leave it, it can be seen from Fig. 6.3 that the emitter current  $i_E$  is equal to the sum of the collector current  $i_C$  and the base current  $i_B$ ; that is,

$$i_E = i_C + i_B \quad (6.4)$$

Use of Eqs. (6.2) and (6.4) gives

$$i_E = \frac{\beta + 1}{\beta} i_C \quad (6.5)$$

That is,

$$i_E = \frac{\beta + 1}{\beta} I_S e^{v_{BE}/V_T} \quad (6.6)$$

Alternatively, we can express Eq. (6.5) in the form

$$i_C = \alpha i_E \quad (6.7)$$

where the constant  $\alpha$  is related to  $\beta$  by

$$\alpha = \frac{\beta}{\beta + 1} \quad (6.8)$$

Thus the emitter current in Eq. (6.6) can be written

$$i_E = (I_S/\alpha) e^{v_{BE}/V_T} \quad (6.9)$$

Finally, we can use Eq. (6.8) to express  $\beta$  in terms of  $\alpha$ , that is,

$$\beta = \frac{\alpha}{1 - \alpha} \quad (6.10)$$

It can be seen from Eq. (6.8) that  $\alpha$  is a constant (for a particular transistor) that is less than but very close to unity. For instance, if  $\beta = 100$ , then  $\alpha \simeq 0.99$ . Equation (6.10) reveals an important fact: Small changes in  $\alpha$  correspond to very large changes in  $\beta$ . This mathematical observation manifests itself physically, with the result that transistors of the same type may have widely different values of  $\beta$ . For reasons that will become apparent later,  $\alpha$  is called the **common-base current gain**.

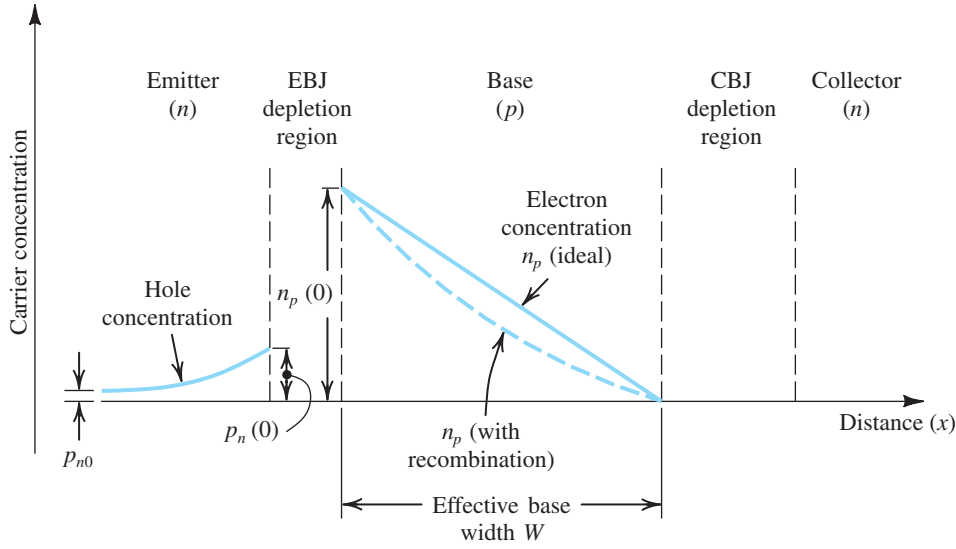
**Minority-Carrier Distribution** Our understanding of the physical operation of the BJT can be enhanced by considering the distribution of minority charge carriers in the base and the emitter. Figure 6.4 shows the profiles of the concentration of electrons in the base and holes in the emitter of an *npn* transistor operating in the active mode. Observe that since the doping concentration in the emitter,  $N_D$ , is much higher than the doping concentration in the base,  $N_A$ , the concentration of electrons injected from emitter to base,  $n_p(0)$ , is much higher than the concentration of holes injected from the base to the emitter,  $p_n(0)$ . Both quantities are proportional to  $e^{v_{BE}/V_T}$ , thus

$$n_p(0) = n_{p0} e^{v_{BE}/V_T} \quad (6.11)$$

where  $n_{p0}$  is the thermal-equilibrium value of the minority-carrier (electron) concentration in the base region.

Next, observe that because the base is very thin, the concentration of excess electrons decays almost linearly (as opposed to the usual exponential decay, as observed for the excess holes in the emitter region). Furthermore, the reverse bias on the collector–base junction causes the concentration of excess electrons at the collector side of the base to be zero. (Recall that electrons that reach that point are swept into the collector.)

The tapered minority-carrier concentration profile (Fig. 6.4) causes the electrons injected into the base to diffuse through the base region toward the collector. This electron diffusion



**Figure 6.4** Profiles of minority-carrier concentrations in the base and in the emitter of an *npn* transistor operating in the active mode:  $v_{BE} > 0$  and  $v_{CB} \geq 0$ .

current  $I_n$  is directly proportional to the slope of the straight-line concentration profile,

$$\begin{aligned} I_n &= A_E q D_n \frac{dn_p(x)}{dx} \\ &= A_E q D_n \left( -\frac{n_p(0)}{W} \right) \end{aligned} \quad (6.12)$$

where  $A_E$  is the cross-sectional area of the base–emitter junction (in the direction perpendicular to the page),  $q$  is the magnitude of the electron charge,  $D_n$  is the electron diffusivity in the base, and  $W$  is the effective width of the base. Observe that the negative slope of the minority-carrier concentration results in a negative current  $I_n$  across the base; that is,  $I_n$  flows from right to left (in the negative direction of  $x$ ), which corresponds to the usual convention, namely, opposite to the direction of electron flow.

The recombination in the base region, though slight, causes the excess minority-carrier concentration profile to deviate from a straight line and take the slightly concave shape indicated by the broken line in Fig. 6.4. The slope of the concentration profile at the EBJ is slightly higher than that at the CBJ, with the difference accounting for the small number of electrons lost in the base region through recombination.

Finally, we have the collector current  $i_C = I_n$ , which will yield a negative value for  $i_C$ , indicating that  $i_C$  flows in the negative direction of the  $x$  axis (i.e., from right to left). Since we will take this to be the positive direction of  $i_C$ , we can drop the negative sign in Eq. (6.12). Doing this and substituting for  $n_p(0)$  from Eq. (6.11), we can thus express the collector current  $i_C$  as

$$i_C = I_S e^{v_{BE}/V_T}$$

where the saturation current  $I_S$  is given by

$$I_S = A_E q D_n n_{p0}/W$$



Substituting  $n_{p0} = n_i^2/N_A$ , where  $n_i$  is the intrinsic carrier density and  $N_A$  is the doping concentration in the base, we can express  $I_S$  as

$$I_S = \frac{A_E q D_n n_i^2}{N_A W} \quad (6.13)$$

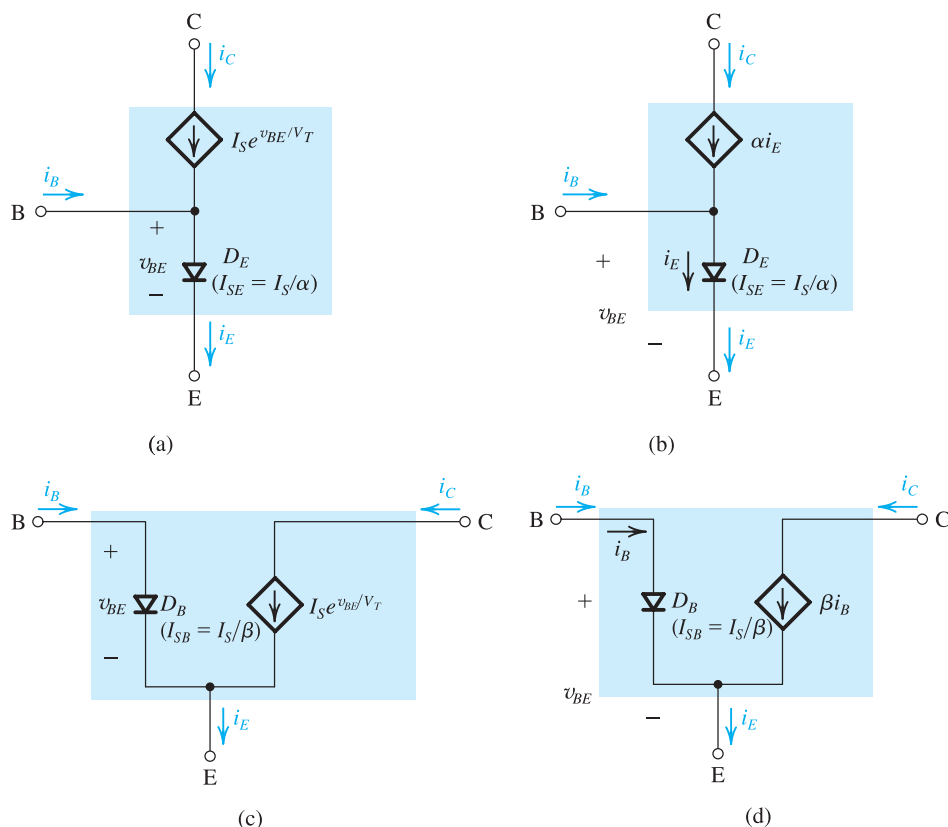
The saturation current  $I_S$  is inversely proportional to the base width  $W$  and is directly proportional to the area of the EBJ. Typically  $I_S$  is in the range of  $10^{-12}$  A to  $10^{-18}$  A (depending on the size of the device). Because  $I_S$  is proportional to  $n_i^2$ , it is a strong function of temperature, approximately doubling for every 5°C rise in temperature. (For the dependence of  $n_i^2$  on temperature, refer to Eq. 3.2.)

Since  $I_S$  is directly proportional to the junction area (i.e., the device size), it will also be referred to as the **scale current**. Two transistors that are identical except that one has an EBJ area, say, twice that of the other will have saturation currents with that same ratio (i.e., 2). Thus for the same value of  $v_{BE}$  the larger device will have a collector current twice that in the smaller device. This concept is frequently employed in integrated-circuit design.

**Recapitulation and Equivalent-Circuit Models** We have presented a first-order model for the operation of the *npn* transistor in the active mode. Basically, the forward-bias voltage  $v_{BE}$  causes an exponentially related current  $i_C$  to flow in the collector terminal. The collector current  $i_C$  is independent of the value of the collector voltage as long as the collector–base junction remains reverse biased; that is,  $v_{CB} \geq 0$ . Thus in the active mode the collector terminal behaves as an ideal constant-current source where the value of the current is determined by  $v_{BE}$ . The base current  $i_B$  is a factor  $1/\beta$  of the collector current, and the emitter current is equal to the sum of the collector and base currents. Since  $i_B$  is much smaller than  $i_C$  (i.e.,  $\beta \gg 1$ ),  $i_E \simeq i_C$ . More precisely, the collector current is a fraction  $\alpha$  of the emitter current, with  $\alpha$  smaller than, but close to, unity.

This first-order model of transistor operation in the active mode can be represented by the equivalent circuit shown in Fig. 6.5(a). Here, diode  $D_E$  has a scale current  $I_{SE}$  equal to  $(I_S/\alpha)$  and thus provides a current  $i_E$  related to  $v_{BE}$  according to Eq. (6.9). The current of the controlled source, which is equal to the collector current, is controlled by  $v_{BE}$  according to the exponential relationship indicated, a restatement of Eq. (6.1). This model is in essence a nonlinear voltage-controlled current source. It can be converted to the current-controlled current-source model shown in Fig. 6.5(b) by expressing the current of the controlled source as  $\alpha i_E$ . Note that this model is also nonlinear because of the exponential relationship of the current  $i_E$  through diode  $D_E$  and the voltage  $v_{BE}$ . From this model we observe that if the transistor is used as a two-port network with the input port between E and B and the output port between C and B (i.e., with B as a common terminal), then the current gain observed is equal to  $\alpha$ . Thus  $\alpha$  is called the common-base current gain.

Two other equivalent-circuit models, shown in Fig. 6.5(c) and (d), may be used to represent the operation of the BJT. The model of Fig. 6.5(c) is essentially a voltage-controlled current source. However, here diode  $D_B$  conducts the base current and thus its current scale factor is  $I_S/\beta$ , resulting in the  $i_B$ – $v_{BE}$  relationship given in Eq. (6.3). By simply expressing the collector current as  $\beta i_B$  we obtain the current-controlled current-source model shown in Fig. 6.5(d). From this latter model we observe that if the transistor is used as a two-port network with the input port between B and E and the output port between C and E (i.e., with E as the common terminal), then the current gain observed is equal to  $\beta$ . Thus  $\beta$  is called the common-emitter current gain.



**Figure 6.5** Large-signal equivalent-circuit models of the npn BJT operating in the forward active mode.

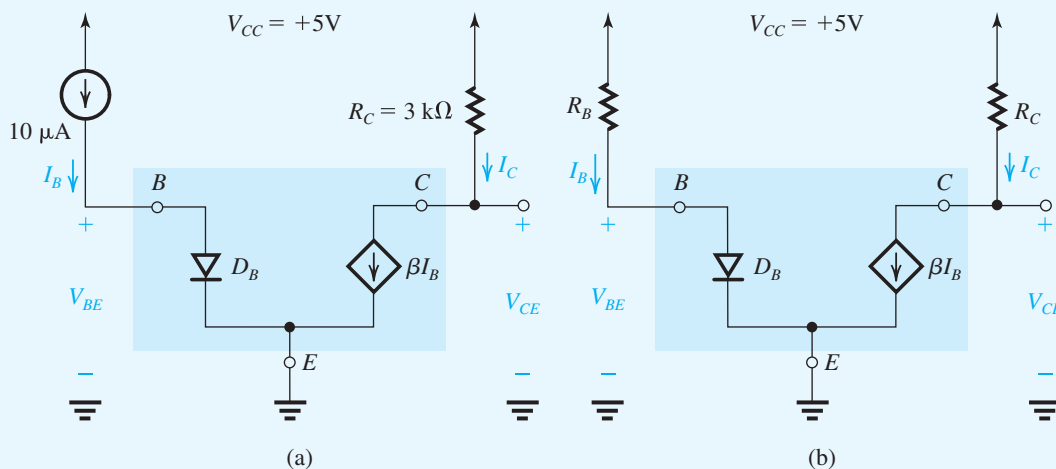
Finally, we note that the models in Fig. 6.5 apply for any positive value of  $v_{BE}$ . That is, unlike the models we will be discussing in Chapter 7, here there is no limitation on the size of  $v_{BE}$ , and thus these models are referred to as **large-signal models**.

### Example 6.1

An npn transistor having  $I_S = 10^{-15}$  A and  $\beta = 100$  is connected as follows: The emitter is grounded, the base is fed with a constant-current source supplying a dc current of  $10\text{ }\mu\text{A}$ , and the collector is connected to a 5-V dc supply via a resistance  $R_C$  of  $3\text{ k}\Omega$ . Assuming that the transistor is operating in the active mode, find  $V_{BE}$  and  $V_{CE}$ . Use these values to verify active-mode operation. Replace the current source with a resistance connected from the base to the 5-V dc supply. What resistance value is needed to result in the same operating conditions?

**Example 6.1** *continued***Solution**

If the transistor is operating in the active mode, it can be represented by one of the four possible equivalent-circuit models shown in Fig. 6.5. Because the emitter is grounded, either the model in Fig. 6.5(c) or that in Fig. 6.5(d) would be suitable. Since we know the base current  $I_B$ , the model of Fig. 6.5(d) is the most suitable.



**Figure 6.6** Circuits for Example 6.1.

Figure 6.6(a) shows the circuit as described with the transistor represented by the model of Fig. 6.5(d). We can determine  $V_{BE}$  from the exponential characteristic of  $D_B$  as follows:

$$\begin{aligned} V_{BE} &= V_T \ln \frac{I_B}{I_S / \beta} \\ &= 25 \ln \left( \frac{10 \times 10^{-6}}{10^{-17}} \right) \\ &= 690 \text{ mV} = 0.69 \text{ V} \end{aligned}$$

Next we determine the value of  $V_{CE}$  from

$$V_{CE} = V_{CC} - R_C I_C$$

where

$$I_C = \beta I_B = 100 \times 10 \times 10^{-6} = 10^{-3} \text{ A} = 1 \text{ mA}$$

Thus,

$$V_{CE} = 5 - 3 \times 1 = +2 \text{ V}$$

Since  $V_C$  at +2 V is higher than  $V_B$  at 0.69 V, the transistor is indeed operating in the active mode.

Now, replacing the 10- $\mu\text{A}$  current source with a resistance  $R_B$  connected from the base to the 5-V dc supply  $V_{CC}$ , as in Fig. 6.6(b), the value of  $R_B$  must be

$$\begin{aligned} R_B &= \frac{V_{CC} - V_{BE}}{I_B} \\ &= \frac{5 - 0.69}{10 \mu\text{A}} = 431 \text{ k}\Omega \end{aligned}$$

## EXERCISES

- 6.1** Consider an *npn* transistor with  $v_{BE} = 0.7 \text{ V}$  at  $i_C = 1 \text{ mA}$ . Find  $v_{BE}$  at  $i_C = 0.1 \text{ mA}$  and  $10 \text{ mA}$ .  
**Ans.** 0.64 V; 0.76 V
- 6.2** Transistors of a certain type are specified to have  $\beta$  values in the range of 50 to 150. Find the range of their  $\alpha$  values.  
**Ans.** 0.980 to 0.993
- 6.3** Measurement of an *npn* BJT in a particular circuit shows the base current to be 14.46  $\mu\text{A}$ , the emitter current to be 1.460 mA, and the base-emitter voltage to be 0.7 V. For these conditions, calculate  $\alpha$ ,  $\beta$ , and  $I_S$ .  
**Ans.** 0.99; 100;  $10^{-15} \text{ A}$
- 6.4** Calculate  $\beta$  for two transistors for which  $\alpha = 0.99$  and 0.98. For collector currents of 10 mA, find the base current of each transistor.  
**Ans.** 99; 49; 0.1 mA; 0.2 mA
- 6.5** A transistor for which  $I_S = 10^{-16} \text{ A}$  and  $\beta = 100$  is conducting a collector current of 1 mA. Find  $v_{BE}$ . Also, find  $I_{SE}$  and  $I_{SB}$  for this transistor.  
**Ans.** 747.5 mV;  $1.01 \times 10^{-16} \text{ A}$ ;  $10^{-18} \text{ A}$
- 6.6** For the circuit in Fig. 6.6(a) analyzed in Example 6.1, find the maximum value of  $R_C$  that will still result in active-mode operation.  
**Ans.** 4.31 k $\Omega$

### 6.1.3 Structure of Actual Transistors

Figure 6.7 shows a more realistic (but still simplified) cross section of an *npn* BJT. Note that the collector virtually surrounds the emitter region, thus making it difficult for the electrons injected into the thin base to escape being collected. In this way, the resulting  $\alpha$  is close to

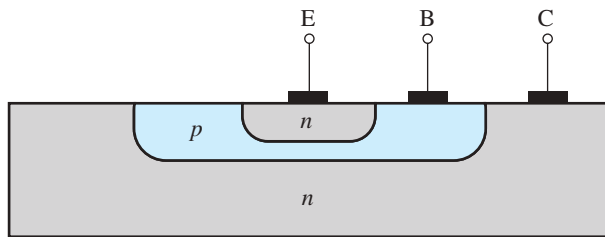


Figure 6.7 Cross section of an *npn* BJT.

unity and  $\beta$  is large. Also, observe that the device is *not* symmetrical, and thus the emitter and collector cannot be interchanged.<sup>3</sup> For more detail on the physical structure of actual devices, the reader is referred to Appendix A.

The structure in Fig. 6.7 indicates also that the CBJ has a much larger area than the EBJ. Thus the CB diode  $D_C$  has a saturation current  $I_{SC}$  that is much larger than the saturation current of the EB diode  $D_E$ . Typically,  $I_{SC}$  is 10 to 100 times larger than  $I_{SE}$  (recall that  $I_{SE} = I_S/\alpha \simeq I_S$ ).

## EXERCISE

- 6.7 A particular transistor has  $I_S = 10^{-15}$  A and  $\alpha \simeq 1$ . If the CBJ area is 100 times the area of the EBJ, find the collector scale current  $I_{SC}$ .

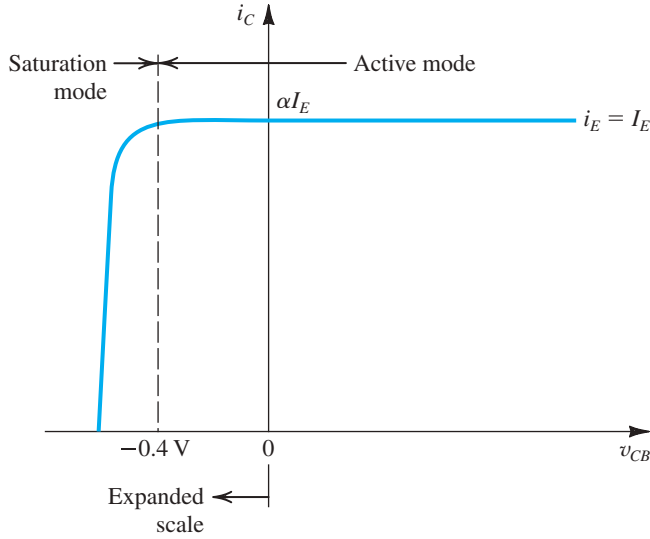
Ans.  $10^{-13}$  A

### 6.1.4 Operation in the Saturation Mode<sup>4</sup>

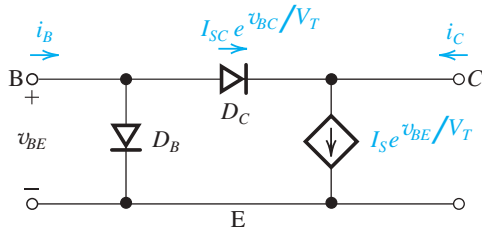
As mentioned above, for the BJT to operate in the active mode, the CBJ must be reverse biased. Thus far, we have stated this condition for the *npn* transistor as  $v_{CB} \geq 0$ . However, we know that a *pn* junction does not effectively become forward biased until the forward voltage across it exceeds approximately 0.4 V. It follows that one can maintain active-mode operation of an *npn* transistor for negative  $v_{CB}$  down to approximately  $-0.4$  V. This is illustrated in Fig. 6.8, which is a sketch of  $i_C$  versus  $v_{CB}$  for an *npn* transistor operated with a constant emitter current  $I_E$ . As expected,  $i_C$  is independent of  $v_{CB}$  in the active mode, a situation that extends

<sup>3</sup>If the emitter and collector are reversed—that is, the CBJ is forward biased and the EBJ is reverse biased—the device operates in a mode called the “reverse-active mode.” The resulting values of  $\alpha$  and  $\beta$ , denoted  $\alpha_R$  and  $\beta_R$  (with *R* denoting reverse), are much lower than the values of  $\alpha$  and  $\beta$ , respectively, obtained in the “forward”-active mode discussed above. Hence, the reverse-active mode has no practical application. The MOSFET, on the other hand, being a perfectly symmetrical device, can operate equally well with its drain and source terminals interchanged.

<sup>4</sup>Saturation means something completely different in a BJT and in a MOSFET. The saturation mode of operation of the BJT is analogous to the triode region of operation of the MOSFET. On the other hand, the saturation region of operation of the MOSFET corresponds to the active mode of BJT operation.



**Figure 6.8** The  $i_C$ - $v_{CB}$  characteristic of an  $nnp$  transistor fed with a constant emitter current  $I_E$ . The transistor enters the saturation mode of operation for  $v_{CB} < -0.4$  V, and the collector current diminishes.



**Figure 6.9** Modeling the operation of an  $nnp$  transistor in saturation by augmenting the model of Fig. 6.5(c) with a forward-conducting diode  $D_C$ . Note that the current through  $D_C$  increases  $i_B$  and reduces  $i_C$ .

for  $v_{CB}$  going negative to approximately  $-0.4$  V. Below this value of  $v_{CB}$ , the CBJ begins to conduct sufficiently that the transistor leaves the active mode and enters the saturation mode of operation, where  $i_C$  decreases.

To see why  $i_C$  decreases in saturation, we can construct a model for the saturated  $nnp$  transistor as follows. We augment the model of Fig. 6.5(c) with the forward-conducting CBJ diode  $D_C$ , as shown in Fig. 6.9. Observe that the current  $i_{BC}$  will subtract from the controlled-source current, resulting in the reduced collector current  $i_C$  given by

$$i_C = I_S e^{v_{BE}/V_T} - I_{SC} e^{v_{BC}/V_T} \quad (6.14)$$

where  $I_{SC}$  is the saturation current for  $D_C$  and is related to  $I_S$  by the ratio of the areas of the CBJ and the EBJ. The second term in Eq. (6.14) will play an increasing role as  $v_{BC}$  exceeds  $0.4$  V or so, causing  $i_C$  to decrease and eventually reach zero.

Figure 6.9 also indicates that in saturation the base current will increase to the value

$$i_B = (I_S/\beta) e^{v_{BE}/V_T} + I_{SC} e^{v_{BC}/V_T} \quad (6.15)$$

Equations (6.14) and (6.15) can be combined to obtain the ratio  $i_C/i_B$  for a saturated transistor. We observe that this ratio will be *lower* than the value of  $\beta$ . Furthermore, the ratio will decrease as  $v_{BC}$  is increased and the transistor is driven deeper into saturation. Because  $i_C/i_B$

of a saturated transistor can be set to any desired value lower than  $\beta$  by adjusting  $v_{BC}$ , this ratio is known as **forced**  $\beta$  and denoted  $\beta_{\text{forced}}$ ,

$$\beta_{\text{forced}} = \left. \frac{i_C}{i_B} \right|_{\text{saturation}} \leq \beta \quad (6.16)$$

As will be shown later, in analyzing a circuit we can determine whether the BJT is in the saturation mode by either of the following two tests:

1. Is the CBJ forward biased by more than 0.4 V?
2. Is the ratio  $i_C/i_B$  lower than  $\beta$ ?

The collector-to-emitter voltage  $v_{CE}$  of a saturated transistor can be found from Fig. 6.9 as the difference between the forward-bias voltages of the EBJ and the CBJ,

$$V_{CE\text{sat}} = V_{BE} - V_{BC} \quad (6.17)$$

Recalling that the CBJ has a much larger area than the EBJ,  $V_{BC}$  will be smaller than  $V_{BE}$  by 0.1 to 0.3 V. Thus,

$$V_{CE\text{sat}} \simeq 0.1 \text{ to } 0.3 \text{ V}$$

Typically we will assume that a transistor at the edge of saturation has  $V_{CE\text{sat}} = 0.3 \text{ V}$ , while a transistor deep in saturation has  $V_{CE\text{sat}} = 0.2 \text{ V}$ .

## EXERCISES

- 6.8 Use Eq. (6.14) to show that  $i_C$  reaches zero at

$$V_{CE} = V_T \ln(I_{SC}/I_S)$$

Calculate  $V_{CE}$  for a transistor whose CBJ has 100 times the area of the EBJ.

**Ans.** 115 mV

- 6.9 Use Eqs. (6.14), (6.15), and (6.16) to show that a BJT operating in saturation with  $V_{CE} = V_{CE\text{sat}}$  has a forced  $\beta$  given by

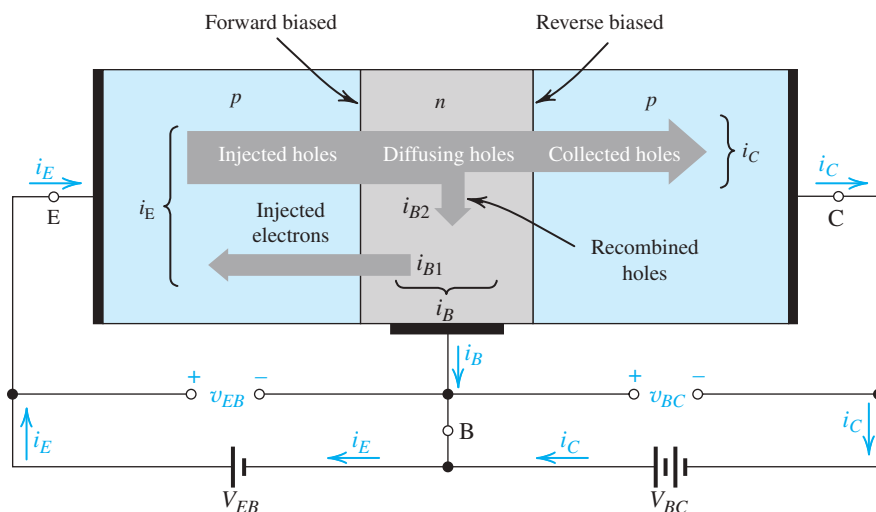
$$\beta_{\text{forced}} = \beta \frac{e^{V_{CE\text{sat}}/V_T} - I_{SC}/I_S}{e^{V_{CE\text{sat}}/V_T} + \beta I_{SC}/I_S}$$

Find  $\beta_{\text{forced}}$  for  $\beta = 100$ ,  $I_{SC}/I_S = 100$ , and  $V_{CE\text{sat}} = 0.2 \text{ V}$ .

**Ans.** 22.2

### 6.1.5 The *pnp* Transistor

The *pnp* transistor operates in a manner similar to that of the *npn* device described above. Figure 6.10 shows a *pnp* transistor biased to operate in the active mode. Here the voltage  $V_{EB}$  causes the *p*-type emitter to be higher in potential than the *n*-type base, thus forward biasing the emitter–base junction. The collector–base junction is reverse biased by the voltage  $V_{BC}$ , which keeps the *p*-type collector lower in potential than the *n*-type base.



**Figure 6.10** Current flow in a *pnp* transistor biased to operate in the active mode.

Unlike the *npn* transistor, current in the *pnp* device is mainly conducted by holes injected from the emitter into the base as a result of the forward-bias voltage  $V_{EB}$ . Since the component of emitter current contributed by electrons injected from base to emitter is kept small by using a lightly doped base, most of the emitter current will be due to holes. The electrons injected from base to emitter give rise to the first component of base current,  $i_{B1}$ . Also, a number of the holes injected into the base will recombine with the majority carriers in the base (electrons) and will thus be lost. The disappearing base electrons will have to be replaced from the external circuit, giving rise to the second component of base current,  $i_{B2}$ . The holes that succeed in reaching the boundary of the depletion region of the collector–base junction will be attracted by the negative voltage on the collector. Thus these holes will be swept across the depletion region into the collector and appear as collector current.

It can easily be seen from the above description that the current–voltage relationship of the *pnp* transistor will be identical to that of the *npn* transistor except that  $v_{BE}$  has to be replaced by  $v_{EB}$ . Also, the large-signal, active-mode operation of the *pnp* transistor can be modeled by any of four equivalent circuits similar to those for the *npn* transistor in Fig. 6.5. Two of these four circuits are shown in Fig. 6.11. Finally, we note that the *pnp* transistor can operate in the saturation mode in a manner analogous to that described for the *npn* device.

## EXERCISES

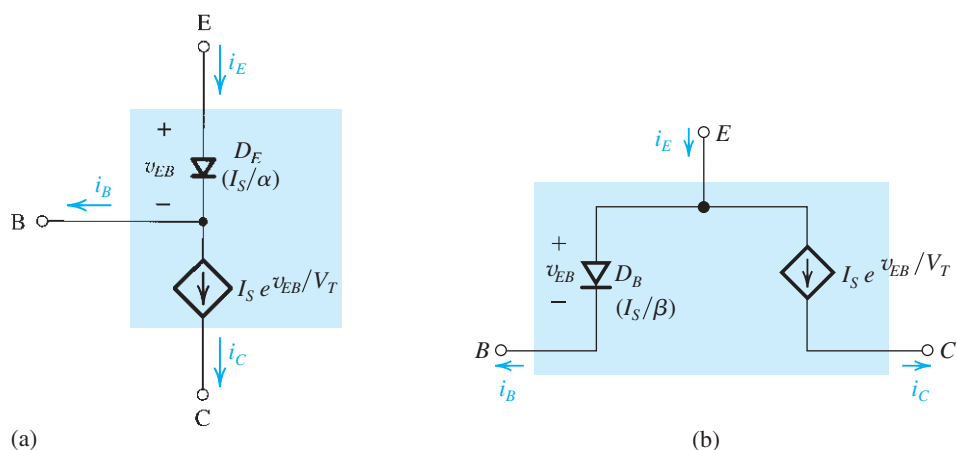
- 6.10** Consider the model in Fig. 6.11(a) applied in the case of a *pnp* transistor whose base is grounded, the emitter is fed by a constant-current source that supplies a 2-mA current into the emitter terminal, and the collector is connected to a  $-10\text{-V}$  dc supply. Find the emitter voltage, the base current, and the collector current if for this transistor  $\beta = 50$  and  $I_s = 10^{-14}\text{ A}$ .

**Ans.** 0.650 V; 39.2  $\mu\text{A}$ ; 1.96 mA

- 6.11** For a *pnp* transistor having  $I_s = 10^{-11}\text{ A}$  and  $\beta = 100$ , calculate  $v_{EB}$  for  $i_C = 1.5\text{ A}$ .

**Ans.** 0.643 V





**Figure 6.11** Two large-signal models for the *pnp* transistor operating in the active mode.

## THE INVENTION OF THE BJT:

The first working transistor was demonstrated at the Bell Labs in late 1947 by John Bardeen and Walter Brattain, who were part of a team led by William Shockley. Made of germanium, the device became known as a point-contact transistor and operated on the field-effect principle. Within a few weeks, however, Shockley wrote a complete description of the bipolar junction transistor (BJT) and filed for a U.S. patent with the title “Circuit Element Utilizing Semiconductor Material.”

BJTs dominated the electronics world from the early 1950s to the mid-1970s, when MOSFETs took over the leading position. In 1956, Shockley, Bardeen, and Brattain shared the Nobel Prize in Physics for the discovery of the transistor effect.

## 6.2 Current–Voltage Characteristics

### 6.2.1 Circuit Symbols and Conventions

The physical structure used thus far to explain transistor operation is rather cumbersome to employ in drawing the schematic of a multitransistor circuit. Fortunately, a very descriptive and convenient circuit symbol exists for the BJT. Figure 6.12(a) shows the symbol for the *nnp* transistor; the *pnp* symbol is given in Fig. 6.12(b). In both symbols the emitter is distinguished by an arrowhead. This distinction is important because, as we have seen in the last section, practical BJTs are not symmetric devices.

The polarity of the device—*nnp* or *pnp*—is indicated by the direction of the arrowhead on the emitter. This arrowhead points in the direction of normal current flow in the emitter, which is also the forward direction of the base–emitter junction. Since we have adopted a drawing convention by which currents flow from top to bottom, we will always draw *pnp* transistors in the manner shown in Fig. 6.12(b) (i.e., with their emitters on top).

Figure 6.13 shows *nnp* and *pnp* transistors connected to dc sources so as to operate in the active mode. Figure 6.13 also indicates the reference and actual directions of current flow throughout the transistor. Our convention will be to take the reference direction to coincide

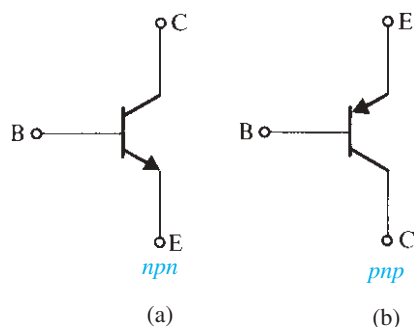


Figure 6.12 Circuit symbols for BJTs.

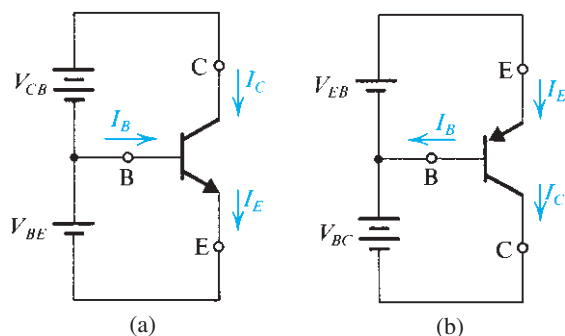


Figure 6.13 Voltage polarities and current flow in transistors operating in the active mode.

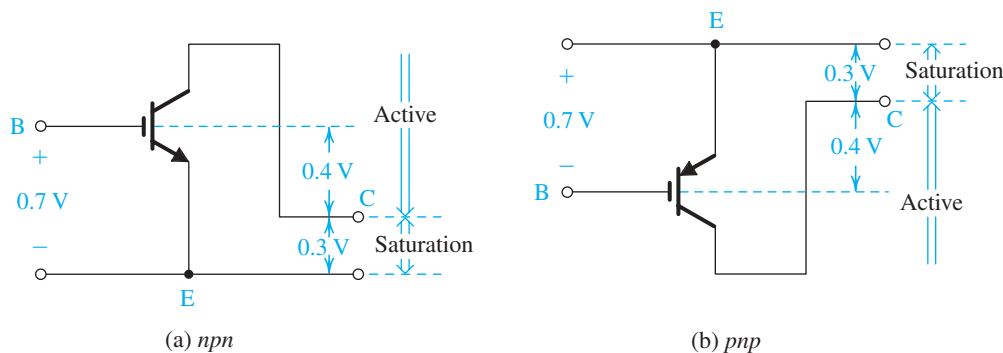
with the normal direction of current flow. Hence, normally, we should not encounter a negative value for  $i_E$ ,  $i_B$ , or  $i_C$ .

The convenience of the circuit-drawing convention that we have adopted should be obvious from Fig. 6.13. Note that currents flow from top to bottom and that voltages are higher at the top and lower at the bottom. The arrowhead on the emitter also implies the polarity of the emitter–base voltage that should be applied in order to forward bias the emitter–base junction. Just a glance at the circuit symbol of the *pnp* transistor, for example, indicates that we should make the emitter higher in voltage than the base (by  $v_{EB}$ ) in order to cause current to flow into the emitter (downward). Note that the symbol  $v_{EB}$  means the voltage by which the emitter (E) is higher than the base (B). Thus for a *pnp* transistor operating in the active mode  $v_{EB}$  is positive, while in an *nnp* transistor  $v_{BE}$  is positive.

From the discussion of Section 6.1 it follows that an *nnp* transistor whose EBJ is forward biased (usually,  $V_{BE} \simeq 0.7$  V) will operate in the active mode *as long as the collector voltage does not fall below that of the base by more than approximately 0.4 V*. Otherwise, the transistor leaves the active mode and enters the saturation region of operation.<sup>5</sup>

In a parallel manner, the *pnp* transistor will operate in the active mode *if the EBJ is forward biased (usually,  $V_{EB} \simeq 0.7$  V) and the collector voltage is not allowed to rise above that of the base by more than 0.4 V or so*. Otherwise, the CBJ becomes forward biased, and the *pnp* transistor enters the saturation region of operation.

<sup>5</sup> It is interesting to contrast the active-mode operation of the BJT with the corresponding mode of operation of the MOSFET: The BJT needs a minimum  $v_{CE}$  of about 0.3 V, and the MOSFET needs a minimum  $v_{DS}$  equal to  $V_{OV}$ , which for modern technologies is in the range of 0.2 V to 0.3 V. Thus we see a great deal of similarity! Also note that reverse biasing the CBJ of the BJT corresponds to pinching off the channel of the MOSFET. This condition results in the collector current (drain current in the MOSFET) being independent of the collector voltage (the drain voltage in the MOSFET).



**Figure 6.14** Graphical representation of the conditions for operating the BJT in the active mode and in the saturation mode.

**Table 6.2** Summary of the BJT Current–Voltage Relationships in the Active Mode

$$i_C = I_S e^{v_{BE}/V_T}$$

$$i_B = \frac{i_C}{\beta} = \left( \frac{I_S}{\beta} \right) e^{v_{BE}/V_T}$$

$$i_E = \frac{i_C}{\alpha} = \left( \frac{I_S}{\alpha} \right) e^{v_{BE}/V_T}$$

Note: For the *pnp* transistor, replace  $v_{BE}$  with  $v_{EB}$ .

$$i_C = \alpha i_E \quad i_B = (1 - \alpha) i_E = \frac{i_E}{\beta + 1}$$

$$i_C = \beta i_B \quad i_E = (\beta + 1) i_B$$

$$\beta = \frac{\alpha}{1 - \alpha} \quad \alpha = \frac{\beta}{\beta + 1}$$

$$V_T = \text{thermal voltage} = \frac{kT}{q} \simeq 25 \text{ mV at room temperature}$$

For greater emphasis, we show in Fig. 6.14 a graphical construction that illustrates the conditions for operating the BJT in the active mode and in the saturation mode. Also, for easy reference, we present in Table 6.2 a summary of the BJT current–voltage relationships in the active mode of operation.

**The Collector–Base Reverse Current ( $I_{CBO}$ )** In our discussion of current flow in transistors we ignored the small reverse currents carried by thermally generated minority carriers. Although such currents can be safely neglected in modern transistors, the reverse current across the collector–base junction deserves some mention. This current, denoted  $I_{CBO}$ , is the reverse current flowing from collector to base with the emitter open-circuited (hence the subscript *O*). This current is usually in the nanoampere range, a value that is many times higher than its theoretically predicted value. As with the diode reverse current,  $I_{CBO}$  contains a substantial leakage component, and its value is dependent on  $v_{CB}$ .  $I_{CBO}$  depends strongly on temperature, approximately doubling for every 10°C rise.<sup>6</sup>

<sup>6</sup>The temperature coefficient of  $I_{CBO}$  is different from that of  $I_S$  because  $I_{CBO}$  contains a substantial leakage component.

### Example 6.2

The transistor in the circuit of Fig. 6.15(a) has  $\beta = 100$  and exhibits a  $v_{BE}$  of 0.7 V at  $i_C = 1$  mA. Design the circuit so that a current of 2 mA flows through the collector and a voltage of +5 V appears at the collector.

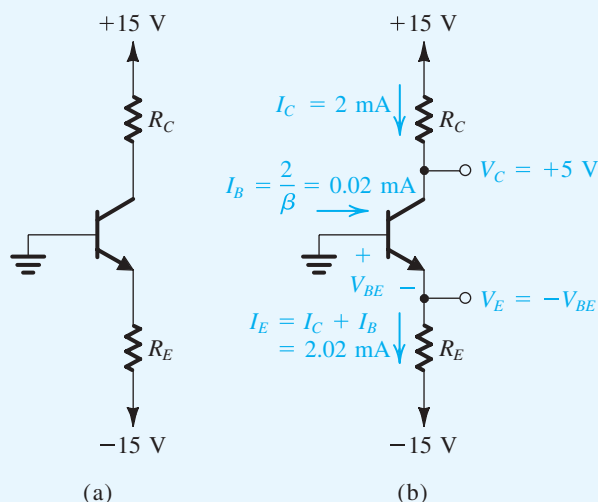


Figure 6.15 Circuit for Example 6.2.

### Solution

Refer to Fig. 6.15(b). We note at the outset that since we are required to design for  $V_C = +5$  V, the CBJ will be reverse biased and the BJT will be operating in the active mode. To obtain a voltage  $V_C = +5$  V, the voltage drop across  $R_C$  must be  $15 - 5 = 10$  V. Now, since  $I_C = 2$  mA, the value of  $R_C$  should be selected according to

$$R_C = \frac{10 \text{ V}}{2 \text{ mA}} = 5 \text{ k}\Omega$$

Since  $v_{BE} = 0.7$  V at  $i_C = 1$  mA, the value of  $v_{BE}$  at  $i_C = 2$  mA is

$$V_{BE} = 0.7 + V_T \ln\left(\frac{2}{1}\right) = 0.717 \text{ V}$$

Since the base is at 0 V, the emitter voltage should be

$$V_E = -0.717 \text{ V}$$

For  $\beta = 100$ ,  $\alpha = 100/101 = 0.99$ . Thus the emitter current should be

$$I_E = \frac{I_C}{\alpha} = \frac{2}{0.99} = 2.02 \text{ mA}$$

**Example 6.2** *continued*

Now the value required for  $R_E$  can be determined from

$$\begin{aligned} R_E &= \frac{V_E - (-15)}{I_E} \\ &= \frac{-0.717 + 15}{2.02} = 7.07 \text{ k}\Omega \end{aligned}$$

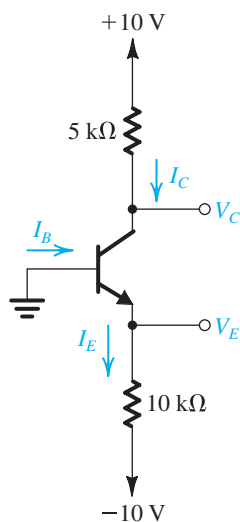
This completes the design. We should note, however, that the calculations above were made with a degree of precision that is usually neither necessary nor justified in practice in view, for instance, of the expected tolerances of component values. Nevertheless, we chose to do the design precisely in order to illustrate the various steps involved.

**EXERCISES**

- D6.12** Repeat Example 6.2 for a transistor fabricated in a modern integrated-circuit process. Such a process yields devices that exhibit larger  $v_{BE}$  at the same  $i_C$  because they have much smaller junction areas. The dc power supplies utilized in modern IC technologies fall in the range of 1 V to 3 V. Design a circuit similar to that shown in Fig. 6.15 except that now the power supplies are  $\pm 1.5$  V and the BJT has  $\beta = 100$  and exhibits  $v_{BE}$  of 0.8 V at  $i_C = 1$  mA. Design the circuit so that a current of 2 mA flows through the collector and a voltage of +0.5 V appears at the collector.

**Ans.**  $R_C = 500 \Omega$ ;  $R_E = 338 \Omega$

- 6.13** In the circuit shown in Fig. E6.13, the voltage at the emitter was measured and found to be  $-0.7$  V. If  $\beta = 50$ , find  $I_E$ ,  $I_B$ ,  $I_C$ , and  $V_C$ .



**Figure E6.13**

**Ans.** 0.93 mA; 18.2  $\mu$ A; 0.91 mA; +5.45 V

- 6.14** In the circuit shown in Fig. E6.14, measurement indicates  $V_B$  to be +1.0 V and  $V_E$  to be +1.7 V. What are  $\alpha$  and  $\beta$  for this transistor? What voltage  $V_C$  do you expect at the collector?

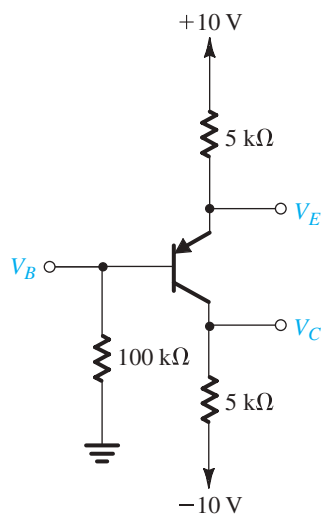


Figure E6.14

**Ans.** 0.994; 165; -1.75 V

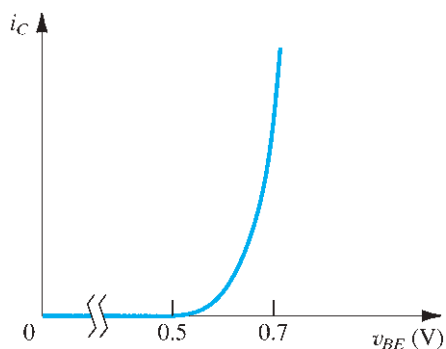
## 6.2.2 Graphical Representation of Transistor Characteristics

It is sometimes useful to describe the transistor  $i$ – $v$  characteristics graphically. Figure 6.16 shows the  $i_C$ – $v_{BE}$  characteristic, which is the exponential relationship

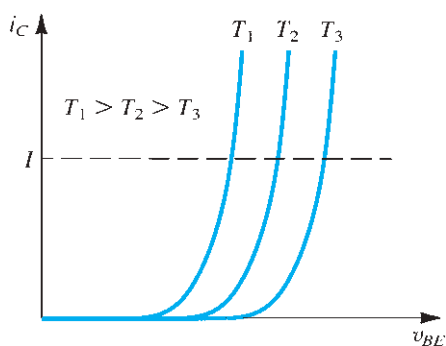
$$i_C = I_S e^{v_{BE}/V_T}$$

which is identical to the diode  $i$ – $v$  relationship. The  $i_E$ – $v_{BE}$  and  $i_B$ – $v_{BE}$  characteristics are also exponential but with different scale currents:  $I_S/\alpha$  for  $i_E$ , and  $I_S/\beta$  for  $i_B$ . Since the constant of the exponential characteristic,  $1/V_T$ , is quite high ( $\simeq 40$ ), the curve rises very sharply. For  $v_{BE}$  smaller than about 0.5 V, the current is negligibly small.<sup>7</sup> Also, over most of the normal current range  $v_{BE}$  lies in the range of 0.6 V to 0.8 V. In performing rapid first-order dc calculations, we normally will assume that  $V_{BE} \simeq 0.7$  V, which is similar to the approach used in the analysis of diode circuits (Chapter 4). For a *pn*p transistor, the  $i_C$ – $v_{EB}$  characteristic will look identical to that of Fig. 6.16 with  $v_{BE}$  replaced with  $v_{EB}$ .

<sup>7</sup>The  $i_C$ – $v_{BE}$  characteristic is the BJT's counterpart of the  $i_D$ – $v_{GS}$  characteristic of the MOSFET. They share an important attribute: In both cases the voltage has to exceed a “threshold” for the device to conduct appreciably. In the case of the MOSFET, there is a formal threshold voltage,  $V_t$ , which lies typically in the range of 0.4 V to 0.8 V. For the BJT, there is an “apparent threshold” of approximately 0.5 V. The  $i_D$ – $v_{GS}$  characteristic of the MOSFET is parabolic, and thus is less steep than the  $i_C$ – $v_{BE}$  characteristic of the BJT. As will be seen in Chapter 7, this difference has a direct and significant implication for the value of transconductance  $g_m$  realized with each device.



**Figure 6.16** The  $i_C$ – $v_{BE}$  characteristic for an  $npn$  transistor.



**Figure 6.17** Effect of temperature on the  $i_C$ – $v_{BE}$  characteristic. At a constant emitter current (broken line),  $v_{BE}$  changes by  $-2 \text{ mV}/^\circ\text{C}$ .

As in silicon diodes, the voltage across the emitter–base junction decreases by about 2 mV for each rise of  $1^\circ\text{C}$  in temperature, provided the junction is operating at a constant current. Figure 6.17 illustrates this temperature dependence by depicting  $i_C$ – $v_{BE}$  curves for an  $npn$  transistor at three different temperatures.

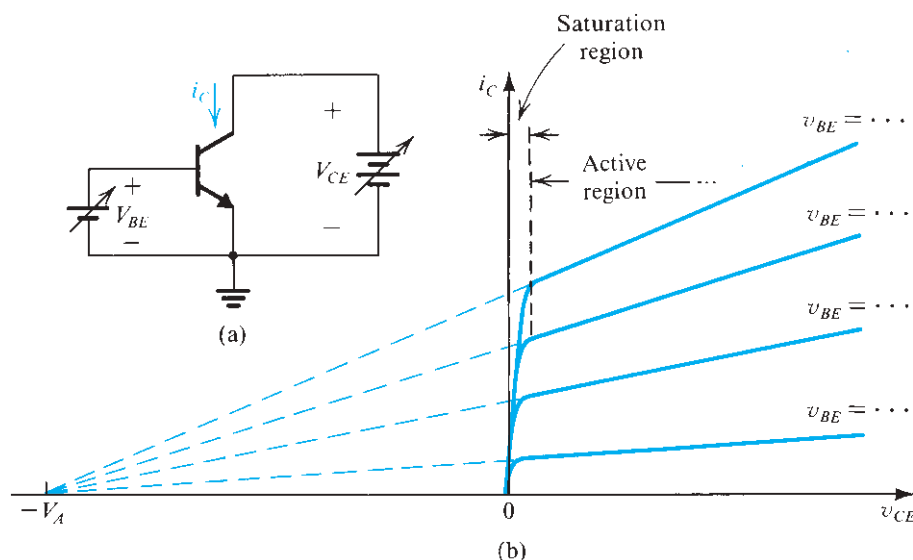
## EXERCISE

- 6.15** Consider a  $pn$ p transistor with  $v_{EB} = 0.7 \text{ V}$  at  $i_E = 1 \text{ mA}$ . Let the base be grounded, the emitter be fed by a 2-mA constant-current source, and the collector be connected to a  $-5\text{-V}$  supply through a  $1\text{-k}\Omega$  resistance. If the temperature increases by  $30^\circ\text{C}$ , find the changes in emitter and collector voltages. Neglect the effect of  $I_{CBO}$ .

**Ans.**  $-60 \text{ mV}$ ;  $0 \text{ V}$

### 6.2.3 Dependence of $i_C$ on the Collector Voltage—The Early Effect

When operated in the active region, practical BJTs show some dependence of the collector current on the collector voltage, with the result that, unlike the graph shown in Fig. 6.8, their  $i_C$ – $v_{CB}$  characteristics are not perfectly horizontal straight lines. To see this dependence more



**Figure 6.18** (a) Conceptual circuit for measuring the  $i_C$ - $v_{CE}$  characteristics of the BJT. (b) The  $i_C$ - $v_{CE}$  characteristics of a practical BJT.

clearly, consider the conceptual circuit shown in Fig. 6.18(a). The transistor is connected in the **common-emitter configuration**; that is, here the emitter serves as a common terminal between the input and output ports. The voltage  $V_{BE}$  can be set to any desired value by adjusting the dc source connected between base and emitter. At each value of  $V_{BE}$ , the corresponding  $i_C$ - $v_{CE}$  characteristic curve can be measured point by point by varying the dc source connected between collector and emitter and measuring the corresponding collector current. The result is the family of  $i_C$ - $v_{CE}$  characteristic curves shown in Fig. 6.18(b) and known as **common-emitter characteristics**.

At low values of  $v_{CE}$  (lower than about 0.3 V), as the collector voltage goes below that of the base by more than 0.4 V, the collector–base junction becomes forward biased and the transistor leaves the active mode and enters the saturation mode. Shortly, we shall look at the details of the  $i_C$ - $v_{CE}$  curves in the saturation region. At this time, however, we wish to examine the characteristic curves in the active region in detail. We observe that the characteristic curves, though still straight lines, have finite slope. In fact, when extrapolated, the characteristic lines meet at a point on the negative  $v_{CE}$  axis, at  $v_{CE} = -V_A$ . The voltage  $V_A$ , a positive number, is a parameter for the particular BJT, with typical values in the range of 10 V to 100 V. As noted earlier, it is called the **Early voltage**, after J. M. Early, the engineering scientist who first studied this phenomenon.

At a given value of  $v_{BE}$ , increasing  $v_{CE}$  increases the reverse-bias voltage on the collector–base junction, and thus increases the width of the depletion region of this junction (refer to Fig. 6.4). This in turn results in a decrease in the **effective base width**  $W$ . Recalling that  $I_s$  is inversely proportional to  $W$  (Eq. 6.13), we see that  $I_s$  will increase and that  $i_C$  increases proportionally. This is the **Early effect**. For obvious reasons, it is also known as the **base-width modulation effect**.<sup>8</sup>

<sup>8</sup>Recall that the MOSFET's counterpart is the channel-length modulation effect. These two effects are remarkably similar and have been assigned the same name, Early effect.



The linear dependence of  $i_C$  on  $v_{CE}$  can be explicitly accounted for by assuming that  $I_S$  remains constant and including the factor  $(1 + v_{CE}/V_A)$  in the equation for  $i_C$  as follows:

$$i_C = I_S e^{v_{BE}/V_T} \left( 1 + \frac{v_{CE}}{V_A} \right) \quad (6.18)$$

The nonzero slope of the  $i_C$ - $v_{CE}$  straight lines indicates that the **output resistance** looking into the collector is not infinite. Rather, it is finite and defined by

$$r_o \equiv \left[ \frac{\partial i_C}{\partial v_{CE}} \bigg|_{v_{BE} = \text{constant}} \right]^{-1} \quad (6.19)$$

Using Eq. (6.18) we can show that

$$r_o = \frac{V_A + V_{CE}}{I_C} \quad (6.20)$$

where  $I_C$  and  $V_{CE}$  are the coordinates of the point at which the BJT is operating on the particular  $i_C$ - $v_{CE}$  curve (i.e., the curve obtained for  $v_{BE}$  equal to constant value  $V_{BE}$  at which Eq. (6.19) is evaluated). Alternatively, we can write

$$r_o = \frac{V_A}{I'_C} \quad (6.21)$$

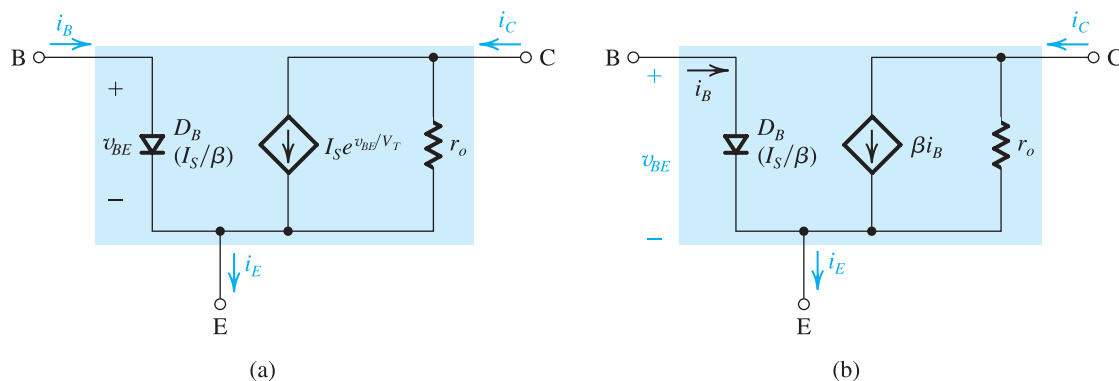
where  $I'_C$  is the value of the collector current with the Early effect neglected; that is,

$$I'_C = I_S e^{v_{BE}/V_T} \quad (6.22)$$

It is rarely necessary to include the dependence of  $i_C$  on  $v_{CE}$  in dc bias design and analysis that is performed by hand. Such an effect, however, can be easily included in the SPICE simulation of circuit operation, which is frequently used to “fine-tune” pencil-and-paper analysis or design.

The finite output resistance  $r_o$  can have a significant effect on the gain of transistor amplifiers. This is particularly the case in integrated-circuit amplifiers, as will be shown in Chapter 8. Fortunately, there are many situations in which  $r_o$  can be included relatively easily in pencil-and-paper analysis.

The output resistance  $r_o$  can be included in the circuit model of the transistor.<sup>9</sup> This is illustrated in Fig. 6.19, where we show the two large-signal circuit models of a



**Figure 6.19** Large-signal, equivalent-circuit models of an *n*pn BJT operating in the active mode in the common-emitter configuration with the output resistance  $r_o$  included.

<sup>9</sup>In applying Eq. (6.21) to determine  $r_o$  we will usually drop the prime and simply use  $r_o = V_A/I_C$  where  $I_C$  is the collector current without the Early effect.

common-emitter *npn* transistor operating in the active mode, those in Fig 6.5(c) and (d), with the resistance  $r_o$  connected between the collector and the emitter terminals.

## EXERCISES

- 6.16** Use the circuit model in Fig. 6.19(a) to express  $i_C$  in terms of  $e^{v_{BE}/V_T}$  and  $v_{CE}$  and thus show that this circuit is a direct representation of Eq. (6.18).
- 6.17** Find the output resistance of a BJT for which  $V_A = 100$  V at  $I_C = 0.1$ , 1, and 10 mA.  
**Ans.** 1 M $\Omega$ ; 100 k $\Omega$ ; 10 k $\Omega$
- 6.18** Consider the circuit in Fig. 6.18(a). At  $V_{CE} = 1$  V,  $V_{BE}$  is adjusted to yield a collector current of 1 mA. Then, while  $V_{BE}$  is kept constant,  $V_{CE}$  is raised to 11 V. Find the new value of  $I_C$ . For this transistor,  $V_A = 100$  V.  
**Ans.** 1.1 mA

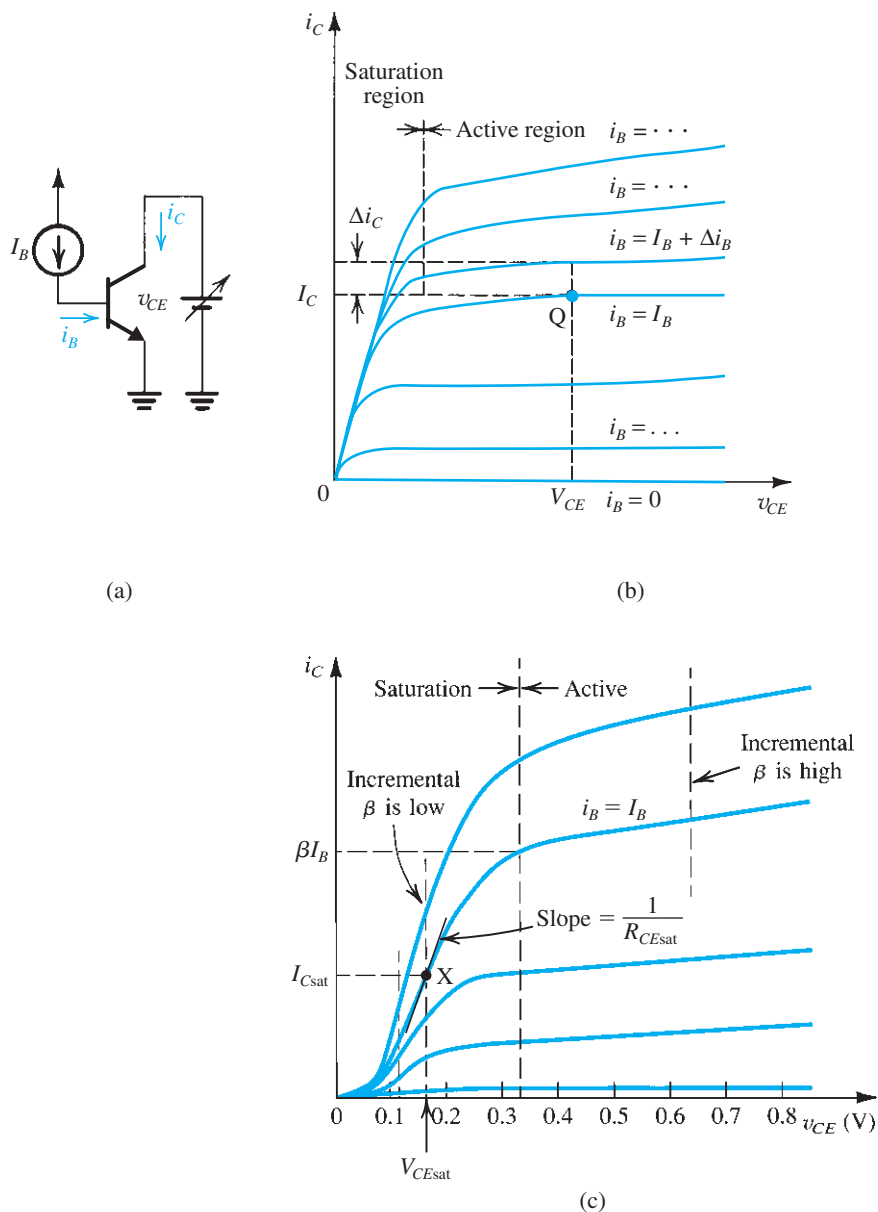
## 6.2.4 An Alternative Form of the Common-Emitter Characteristics

An alternative way of expressing the transistor common-emitter characteristics is illustrated in Fig. 6.20. Here the base current  $i_B$  rather than the base–emitter voltage  $v_{BE}$  is used as a parameter. That is, each  $i_C$ – $v_{CE}$  curve is measured with the base fed with a constant current  $I_B$ . The resulting characteristics, shown in Fig. 6.20(b), look similar to those in Fig. 6.18. Figure 6.20(c) shows an expanded view of the characteristics in the saturation region.

**The Common-Emitter Current Gain  $\beta$**  In the active region of the characteristics shown in Fig. 6.20(b) we have identified a particular point Q. Note that this operating point for the transistor is characterized by a base current  $I_B$ , a collector current  $I_C$ , and a collector–emitter voltage  $V_{CE}$ . The ratio  $I_C/I_B$  is the transistor  $\beta$ . However, there is another way to measure  $\beta$ : change the base current by an increment  $\Delta i_B$  and measure the resulting increment  $\Delta i_C$ , while keeping  $V_{CE}$  constant. This is illustrated in Fig. 6.20(b). The ratio  $\Delta i_C/\Delta i_B$  should, according to our study thus far, yield an identical value for  $\beta$ . It turns out, however, that the latter value of  $\beta$  (called *incremental*, or ac,  $\beta$ ) is a little different from the dc  $\beta$  (i.e.,  $I_C/I_B$ ). Such a distinction, however, is too subtle for our needs in this book. We shall use  $\beta$  to denote both dc and incremental values.<sup>10</sup>

**The Saturation Voltage  $V_{CEsat}$  and Saturation Resistance  $R_{CEsat}$**  Refer next to the expanded view of the common-emitter characteristics in the saturation region shown in Fig. 6.20(c). The “bunching together” of the curves in the saturation region implies that the incremental  $\beta$  is lower there than in the active region. A possible operating point in the saturation region is that labeled X. It is characterized by a base current  $I_B$ , a collector current  $I_{Csat}$ , and a collector–emitter voltage  $V_{CEsat}$ . From our previous discussion of saturation, recall that  $I_{Csat} = \beta_{forced} I_B$ , where  $\beta_{forced} < \beta$ .

<sup>10</sup>Manufacturers of bipolar transistors use  $h_{FE}$  to denote the dc value of  $\beta$  and  $h_{fe}$  to denote the incremental  $\beta$ . These symbols come from the  $h$ -parameter description of two-port networks (see Appendix C), with the subscript  $F(f)$  denoting forward and  $E(e)$  denoting common emitter.

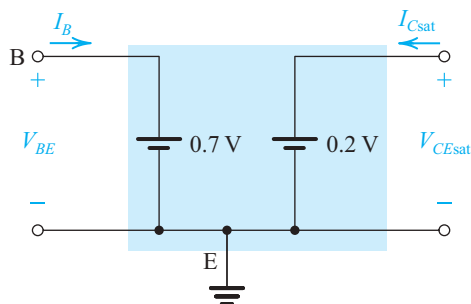


**Figure 6.20** Common-emitter characteristics. (a) Basic CE circuit; note that in (b) the horizontal scale is expanded around the origin to show the saturation region in some detail. A much greater expansion of the saturation region is shown in (c).

The  $i_C$ – $v_{CE}$  curves in saturation are rather steep, indicating that the saturated transistor exhibits a low collector-to-emitter resistance  $R_{CEsat}$ ,

$$R_{CEsat} \equiv \left. \frac{\partial v_{CE}}{\partial i_C} \right|_{i_B = I_B, i_C = I_{Csat}} \quad (6.23)$$

Typically,  $R_{CEsat}$  ranges from a few ohms to a few tens of ohms.



**Figure 6.21** A simplified equivalent-circuit model of the saturated transistor.

That the collector-to-emitter resistance of a saturated BJT is small should have been anticipated from the fact that between C and E we now have two forward-conducting diodes in series<sup>11</sup> (see also Fig. 6.9).

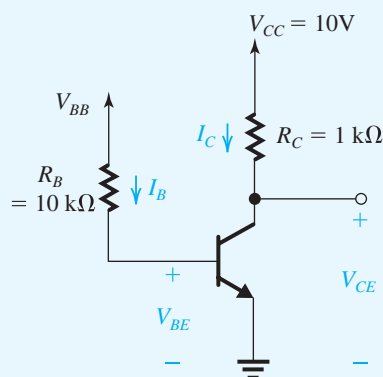
A simple model for the saturated BJT is shown in Fig. 6.21. Here  $V_{BE}$  is assumed constant (approximately 0.7 V) and  $V_{CE}$  also is assumed constant,  $V_{CE(sat)} \simeq 0.2$  V. That is, we have neglected the small saturation resistance  $R_{CE(sat)}$  for the sake of making the model simple for hand calculations.

### Example 6.3

For the circuit in Fig. 6.22, it is required to determine the value of the voltage  $V_{BB}$  that results in the transistor operating

- (a) in the active mode with  $V_{CE} = 5$  V
- (b) at the edge of saturation
- (c) deep in saturation with  $\beta_{\text{forced}} = 10$

For simplicity, assume that  $V_{BE}$  remains constant at 0.7 V. The transistor  $\beta$  is specified to be 50.



**Figure 6.22** Circuit for Example 6.3.

<sup>11</sup>In the corresponding mode of operation for the MOSFET, the triode region, the resistance between drain and source is small because it is the resistance of the continuous (non-pinched-off) channel.

**Example 6.3** *continued***Solution**

(a) To operate in the active mode with  $V_{CE} = 5$  V,

$$\begin{aligned} I_C &= \frac{V_{CC} - V_{CE}}{R_C} \\ &= \frac{10 - 5}{1 \text{ k}\Omega} = 5 \text{ mA} \\ I_B &= \frac{I_C}{\beta} = \frac{5}{50} = 0.1 \text{ mA} \end{aligned}$$

Now the required value of  $V_{BB}$  can be found as follows:

$$\begin{aligned} V_{BB} &= I_B R_B + V_{BE} \\ &= 0.1 \times 10 + 0.7 = 1.7 \text{ V} \end{aligned}$$

(b) Operation at the edge of saturation is obtained with  $V_{CE} = 0.3$  V. Thus

$$I_C = \frac{10 - 0.3}{1} = 9.7 \text{ mA}$$

Since, at the edge of saturation,  $I_C$  and  $I_B$  are still related by  $\beta$ ,

$$I_B = \frac{9.7}{50} = 0.194 \text{ mA}$$

The required value of  $V_{BB}$  can be determined as

$$V_{BB} = 0.194 \times 10 + 0.7 = 2.64 \text{ V}$$

(c) To operate deep in saturation,

$$V_{CE} = V_{CE\text{sat}} \simeq 0.2 \text{ V}$$

Thus,

$$I_C = \frac{10 - 0.2}{1} = 9.8 \text{ mA}$$

We then use the value of forced  $\beta$  to determine the required value of  $I_B$  as

$$I_B = \frac{I_C}{\beta_{\text{forced}}} = \frac{9.8}{10} = 0.98 \text{ mA}$$

and the required  $V_{BB}$  can now be found as

$$V_{BB} = 0.98 \times 10 + 0.7 = 10.5 \text{ V}$$

Observe that once the transistor is in saturation, increasing  $V_{BB}$  and thus  $I_B$  results in negligible change in  $I_C$  since  $V_{CE\text{sat}}$  will change only slightly. Thus  $I_C$  is said to *saturate*, which is the origin of the name “saturation mode of operation.”

## EXERCISES

**6.19** Repeat Example 6.3 for  $R_C = 10 \text{ k}\Omega$ .

**Ans.** 0.8 V; 0.894 V; 1.68 V

**6.20** For the circuit in Fig. 6.22, find  $V_{CE}$  for  $V_{BB} = 0 \text{ V}$ .

**Ans.** +10 V

**6.21** For the circuit in Fig. 6.22, let  $V_{BB}$  be set to the value obtained in Example 6.3, part (a), namely,  $V_{BB} = 1.7 \text{ V}$ . Verify that the transistor is indeed operating in the active mode. Now, while keeping  $V_{BB}$  constant, find the value to which  $R_C$  should be increased in order to obtain (a) operation at the edge of saturation and (b) operation deep in saturation with  $\beta_{\text{forced}} = 10$ .

**Ans.** (a) 1.94 k $\Omega$ ; (b) 9.8 k $\Omega$

## 6.3 BJT Circuits at DC

We are now ready to consider the analysis of BJT circuits to which only dc voltages are applied. In the following examples we will use the simple model in which  $|V_{BE}|$  of a conducting transistor is 0.7 V and  $|V_{CE}|$  of a saturated transistor is 0.2 V, and we will neglect the Early effect. These models are shown in Table 6.3. Better models can, of course, be used to obtain more accurate results. This, however, is usually achieved at the expense of speed of analysis; more importantly, the attendant complexity could impede the circuit designer's ability to gain insight regarding circuit behavior. Accurate results using elaborate models can be obtained using circuit simulation with SPICE. This is almost always done in the final stages of a design and certainly before circuit fabrication. Computer simulation, however, is *not* a substitute for quick pencil-and-paper circuit analysis, an essential ability that aspiring circuit designers must master. The following series of examples is a step in that direction.

As will be seen, in analyzing a circuit the first question that one must answer is: *In which mode is the transistor operating?* In some cases, the answer will be obvious. For instance, a quick check of the terminal voltages will indicate whether the transistor is cut off or conducting. If it is conducting, we have to determine whether it is operating in the active mode or in saturation. In some cases, however, this may not be obvious. Needless to say, as the reader gains practice and experience in transistor circuit analysis and design, the answer will be apparent in a much larger proportion of problems. The answer, however, can always be determined by utilizing the following procedure.

Assume that the transistor is operating in the active mode and, using the active-mode model in Table 6.3, proceed to determine the various voltages and currents that correspond. Then check for consistency of the results with the assumption of active-mode operation; that is, is  $V_{CB}$  of an *npn* transistor greater than  $-0.4 \text{ V}$  (or  $V_{CB}$  of a *pnp* transistor lower than  $0.4 \text{ V}$ )? If the answer is yes, then our task is complete. If the answer is no, assume saturation-mode operation and, using the saturation-mode model in Table 6.3, proceed to determine currents and voltages

Table 6.3 Simplified Models for the Operation of the BJT in DC Circuits	
	<div> <div>nnp</div> <div>pnp</div> </div>
<b>Active</b> EBJ: Forward Biased  CBJ: Reverse Biased	
<b>Saturation</b> EBJ: Forward Biased  CBJ: Forward Biased	

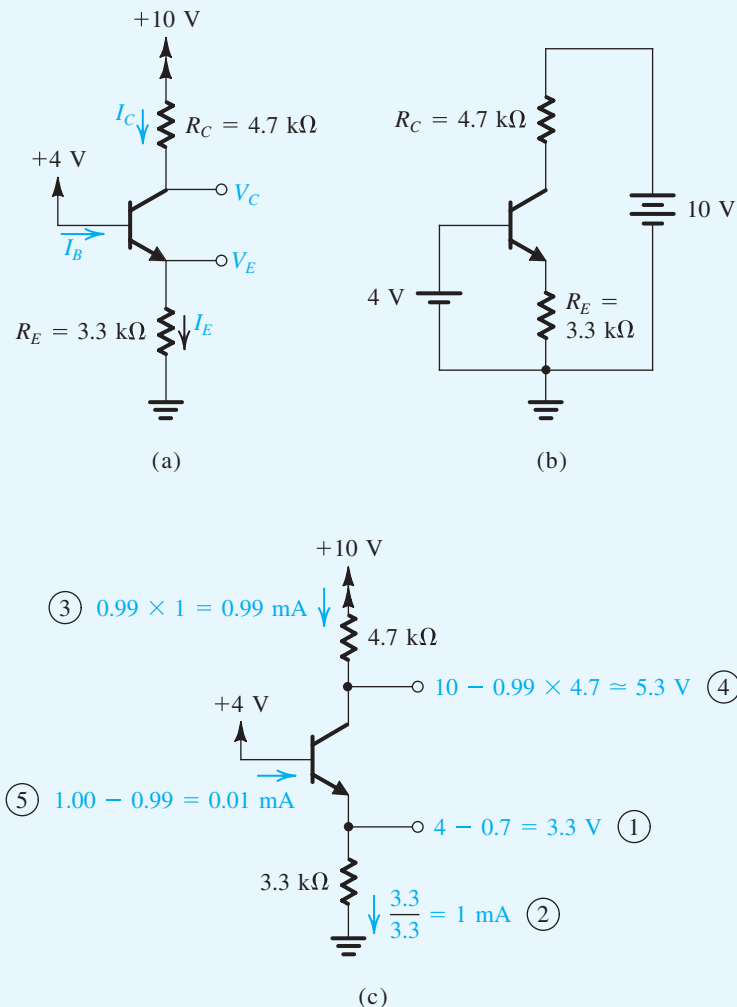
and then check for consistency of the results with the assumption of saturation-mode operation. Here the test is usually to compute the ratio  $I_C/I_B$  and to verify that it is lower than the transistor  $\beta$  (i.e.,  $\beta_{\text{forced}} < \beta$ ). Since  $\beta$  for a given transistor type varies over a wide range,<sup>12</sup> one must use the lowest specified  $\beta$  for this test. Finally, note that the order of these two assumptions can be reversed.

**A Note on Units** Except when otherwise specified, throughout this book we use a consistent set of units, namely, volts (V), milliamps (mA), and kilohms (k $\Omega$ ).

<sup>12</sup>That is, if one buys BJTs of a certain part number, the manufacturer guarantees only that their values of  $\beta$  fall within a certain range, say 50 to 150.

### Example 6.4

Consider the circuit shown in Fig. 6.23(a), which is redrawn in Fig. 6.23(b) to remind the reader of the convention employed throughout this book for indicating connections to dc sources. We wish to analyze this circuit to determine all node voltages and branch currents. We will assume that  $\beta$  is specified to be 100.



**Figure 6.23** Analysis of the circuit for Example 6.4: (a) circuit; (b) circuit redrawn to remind the reader of the convention used in this book to show connections to the dc sources; (c) analysis with the steps numbered.



**Example 6.4** *continued***Solution**

Glancing at the circuit in Fig. 6.23(a), we note that the base is connected to +4 V and the emitter is connected to ground through a resistance  $R_E$ . Therefore, it is reasonable to conclude that the base–emitter junction will be forward biased. Assuming that this is the case and assuming that  $V_{BE}$  is approximately 0.7 V, it follows that the emitter voltage will be

$$V_E = 4 - V_{BE} \simeq 4 - 0.7 = 3.3 \text{ V}$$

We are now in an opportune position; we know the voltages at the two ends of  $R_E$  and thus can determine the current  $I_E$  through it,

$$I_E = \frac{V_E - 0}{R_E} = \frac{3.3}{3.3} = 1 \text{ mA}$$

Since the collector is connected through  $R_C$  to the +10-V power supply, it appears possible that the collector voltage will be higher than the base voltage, which implies active-mode operation. Assuming that this is the case, we can evaluate the collector current from

$$I_C = \alpha I_E$$

The value of  $\alpha$  is obtained from

$$\alpha = \frac{\beta}{\beta + 1} = \frac{100}{101} \simeq 0.99$$

Thus  $I_C$  will be given by

$$I_C = 0.99 \times 1 = 0.99 \text{ mA}$$

We are now in a position to use Ohm's law to determine the collector voltage  $V_C$ ,

$$V_C = 10 - I_C R_C = 10 - 0.99 \times 4.7 \simeq +5.3 \text{ V}$$

Since the base is at +4 V, the collector–base junction is reverse biased by 1.3 V, and the transistor is indeed in the active mode as assumed.

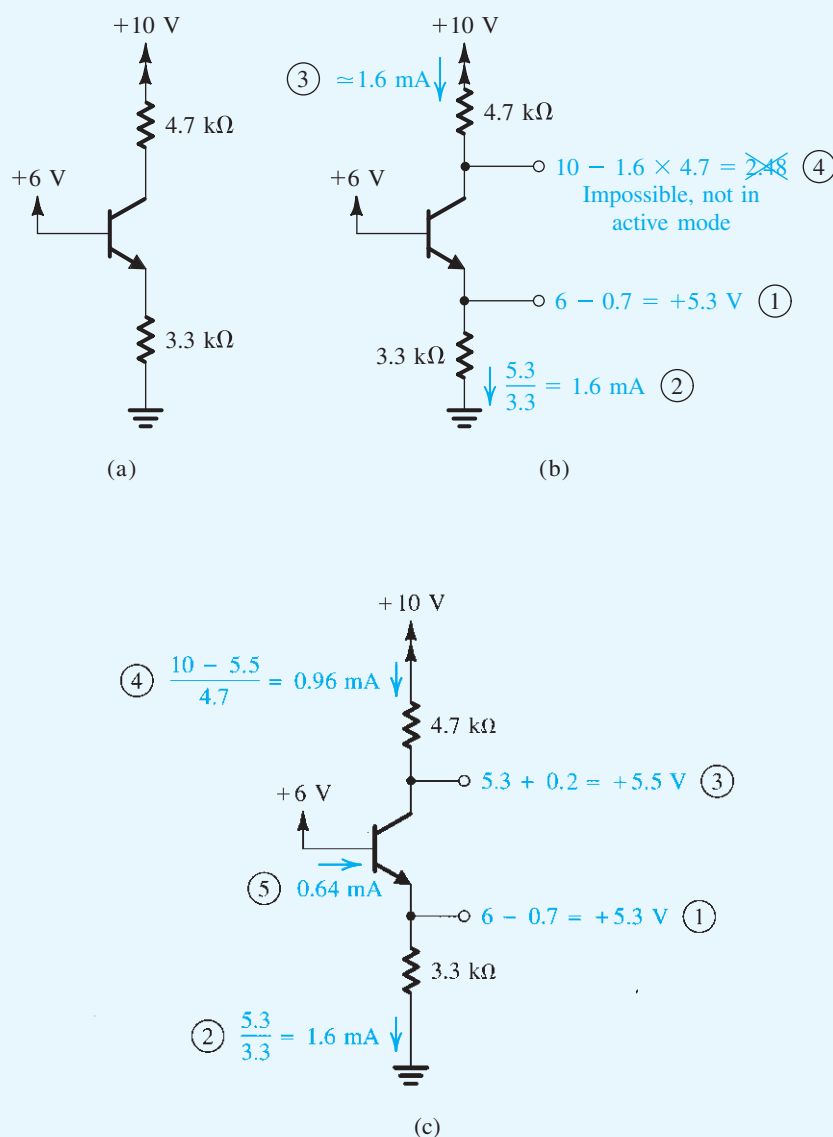
It remains only to determine the base current  $I_B$ , as follows:

$$I_B = \frac{I_E}{\beta + 1} = \frac{1}{101} \simeq 0.01 \text{ mA}$$

Before leaving this example, we wish to emphasize strongly the value of carrying out the analysis directly on the circuit diagram. Only in this way will one be able to analyze complex circuits in a reasonable length of time. Figure 6.23(c) illustrates the above analysis on the circuit diagram, with the order of the analysis steps indicated by the circled numbers.

### Example 6.5

We wish to analyze the circuit of Fig. 6.24(a) to determine the voltages at all nodes and the currents through all branches. Note that this circuit is identical to that of Fig. 6.23 except that the voltage at the base is now +6 V. Assume that the transistor  $\beta$  is specified to be *at least* 50.



**Figure 6.24** Analysis of the circuit for Example 6.5. Note that the circled numbers indicate the order of the analysis steps.

**Example 6.5** *continued***Solution**

With +6 V at the base, the base–emitter junction will be forward biased; thus,

$$V_E = +6 - V_{BE} \simeq 6 - 0.7 = 5.3 \text{ V}$$

and

$$I_E = \frac{5.3}{3.3} = 1.6 \text{ mA}$$

Now, assuming active-mode operation,  $I_C = \alpha I_E \simeq I_E$ ; thus,

$$V_C = +10 - 4.7 \times I_C \simeq 10 - 7.52 = 2.48 \text{ V}$$

The details of the analysis performed above are illustrated in Fig. 6.24(b).

Since the collector voltage calculated is less than the base voltage by 3.52 V, it follows that our original assumption of active-mode operation is incorrect. In fact, the transistor has to be in the *saturation* mode. Assuming this to be the case, the values of  $V_E$  and  $I_E$  will remain unchanged. The collector voltage, however, becomes

$$V_C = V_E + V_{CEsat} \simeq 5.3 + 0.2 = +5.5 \text{ V}$$

from which we can determine  $I_C$  as

$$I_C = \frac{10 - 5.5}{4.7} = 0.96 \text{ mA}$$

and  $I_B$  can now be found as

$$I_B = I_E - I_C = 1.6 - 0.96 = 0.64 \text{ mA}$$

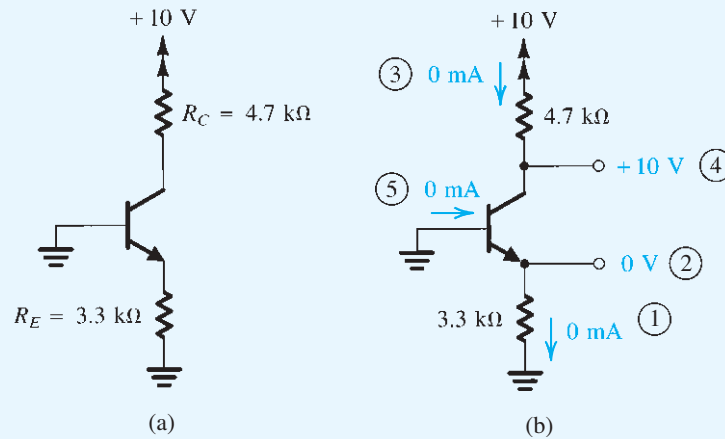
Thus the transistor is operating at a forced  $\beta$  of

$$\beta_{\text{forced}} = \frac{I_C}{I_B} = \frac{0.96}{0.64} = 1.5$$

Since  $\beta_{\text{forced}}$  is less than the *minimum* specified value of  $\beta$ , the transistor is indeed saturated. We should emphasize here that in testing for saturation the minimum value of  $\beta$  should be used. By the same token, if we are designing a circuit in which a transistor is to be saturated, the design should be based on the minimum specified  $\beta$ . Obviously, if a transistor with this minimum  $\beta$  is saturated, then transistors with higher values of  $\beta$  will also be saturated. The details of the analysis are shown in Fig. 6.24(c), where the order of the steps used is indicated by the circled numbers.

### Example 6.6

We wish to analyze the circuit in Fig. 6.25(a) to determine the voltages at all nodes and the currents through all branches. Note that this circuit is identical to that considered in Examples 6.4 and 6.5 except that now the base voltage is zero.



**Figure 6.25** Example 6.6: (a) circuit; (b) analysis, with the order of the analysis steps indicated by circled numbers.

### Solution

Since the base is at zero volts and the emitter is connected to ground through  $R_E$ , the base–emitter junction cannot conduct and the emitter current is zero. Also, the collector–base junction cannot conduct, since the  $n$ -type collector is connected through  $R_C$  to the positive power supply while the  $p$ -type base is at ground. It follows that the collector current will be zero. The base current will also have to be zero, and the transistor is in the *cutoff* mode of operation.

The emitter voltage will be zero, while the collector voltage will be equal to  $+10\text{ V}$ , since the voltage drops across  $R_E$  and  $R_C$  are zero. Figure 6.25(b) shows the analysis details.

### EXERCISES

**D6.22** For the circuit in Fig. 6.23(a), find the highest voltage to which the base can be raised while the transistor remains in the active mode. Assume  $\alpha \simeq 1$ .

**Ans.**  $+4.7\text{ V}$

**D6.23** Redesign the circuit of Fig. 6.23(a) (i.e., find new values for  $R_E$  and  $R_C$ ) to establish a collector current of  $0.5\text{ mA}$  and a reverse-bias voltage on the collector–base junction of  $2\text{ V}$ . Assume  $\alpha \simeq 1$ .

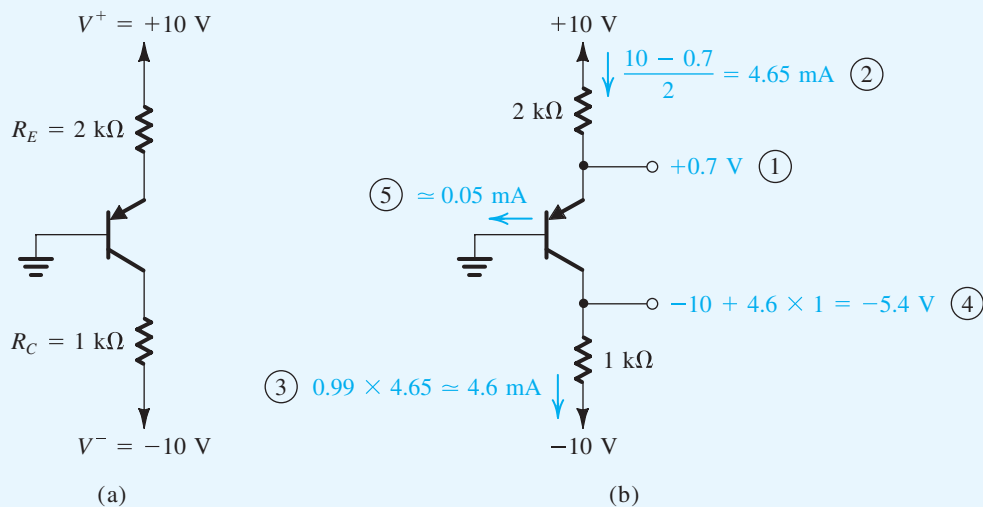
**Ans.**  $R_E = 6.6\text{ k}\Omega$ ;  $R_C = 8\text{ k}\Omega$

**D6.24** For the circuit in Fig. 6.24(a), find the value to which the base voltage should be changed so that the transistor operates in saturation with a forced  $\beta$  of 5.

**Ans.** +5.18 V

### Example 6.7

We want to analyze the circuit of Fig. 6.26(a) to determine the voltages at all nodes and the currents through all branches.



**Figure 6.26** Example 6.7: (a) circuit; (b) analysis, with the steps indicated by circled numbers.

### Solution

The base of this *pn*p transistor is grounded, while the emitter is connected to a positive supply ( $V^+ = +10 \text{ V}$ ) through  $R_E$ . It follows that the emitter–base junction will be forward biased with

$$V_E = V_{EB} \simeq 0.7 \text{ V}$$

Thus the emitter current will be given by

$$I_E = \frac{V^+ - V_E}{R_E} = \frac{10 - 0.7}{2} = 4.65 \text{ mA}$$

Since the collector is connected to a negative supply (more negative than the base voltage) through  $R_C$ , it is *possible* that this transistor is operating in the active mode. Assuming this to be the case, we obtain

$$I_C = \alpha I_E$$

Since no value for  $\beta$  has been given, we shall assume  $\beta = 100$ , which results in  $\alpha = 0.99$ . Since large variations in  $\beta$  result in small differences in  $\alpha$ , this assumption will not be critical as far as determining the value of  $I_C$  is concerned. Thus,

$$I_C = 0.99 \times 4.65 = 4.6 \text{ mA}$$

The collector voltage will be

$$\begin{aligned} V_C &= V^- + I_C R_C \\ &= -10 + 4.6 \times 1 = -5.4 \text{ V} \end{aligned}$$

Thus the collector–base junction is reverse biased by 5.4 V, and the transistor is indeed in the active mode, which supports our original assumption.

It remains only to calculate the base current,

$$I_B = \frac{I_E}{\beta + 1} = \frac{4.65}{101} \simeq 0.05 \text{ mA}$$

Obviously, the value of  $\beta$  critically affects the base current. Note, however, that in this circuit the value of  $\beta$  will have no effect on the mode of operation of the transistor. Since  $\beta$  is generally an ill-specified parameter, this circuit represents a good design. As a rule, one should strive to *design the circuit such that its performance is as insensitive to the value of  $\beta$  as possible*. The analysis details are illustrated in Fig. 6.26(b).

## EXERCISES

**D6.25** For the circuit in Fig. 6.26(a), find the largest value to which  $R_C$  can be raised while the transistor remains in the active mode.

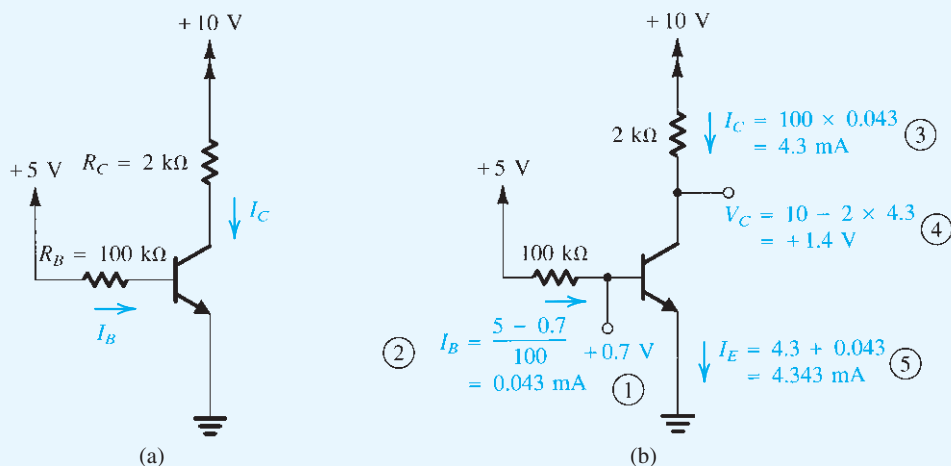
**Ans.** 2.26 k $\Omega$

**D6.26** Redesign the circuit of Fig. 6.26(a) (i.e., find new values for  $R_E$  and  $R_C$ ) to establish a collector current of 1 mA and a reverse bias on the collector–base junction of 4 V. Assume  $\alpha \simeq 1$ .

**Ans.**  $R_E = 9.3 \text{ k}\Omega$ ;  $R_C = 6 \text{ k}\Omega$

### Example 6.8

We want to analyze the circuit in Fig. 6.27(a) to determine the voltages at all nodes and the currents in all branches. Assume  $\beta = 100$ .



**Figure 6.27** Example 6.8: (a) circuit; (b) analysis, with the steps indicated by the circled numbers.

### Solution

The base–emitter junction is clearly forward biased. Thus,

$$I_B = \frac{+5 - V_{BE}}{R_B} \simeq \frac{5 - 0.7}{100} = 0.043 \text{ mA}$$

Assume that the transistor is operating in the active mode. We now can write

$$I_C = \beta I_B = 100 \times 0.043 = 4.3 \text{ mA}$$

The collector voltage can now be determined as

$$V_C = 10 - I_C R_C = 10 - 4.3 \times 2 = +1.4 \text{ V}$$

Since the base voltage  $V_B$  is

$$V_B = V_{BE} \simeq +0.7 \text{ V}$$

it follows that the collector–base junction is reverse biased by 0.7 V and the transistor is indeed in the active mode. The emitter current will be given by

$$I_E = (\beta + 1)I_B = 101 \times 0.043 \simeq 4.3 \text{ mA}$$

We note from this example that the collector and emitter currents depend critically on the value of  $\beta$ . In fact, if  $\beta$  were 10% higher, the transistor would leave the active mode and enter saturation. Therefore this clearly is a *bad* design. The analysis details are illustrated in Fig. 6.27(b).

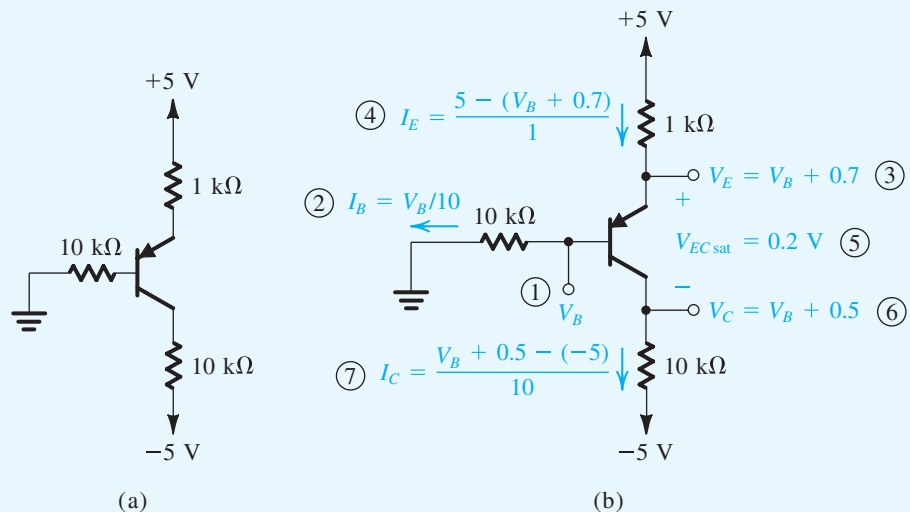
## EXERCISE

**D6.27** The circuit of Fig. 6.27(a) is to be fabricated using a transistor type whose  $\beta$  is specified to be in the range of 50 to 150. That is, individual units of this same transistor type can have  $\beta$  values anywhere in this range. Redesign the circuit by selecting a new value for  $R_C$  so that all fabricated circuits are guaranteed to be in the active mode. What is the range of collector voltages that the fabricated circuits may exhibit?

**Ans.**  $R_C = 1.5 \text{ k}\Omega$ ;  $V_C = 0.3 \text{ V to } 6.8 \text{ V}$

## Example 6.9

We want to analyze the circuit of Fig. 6.28(a) to determine the voltages at all nodes and the currents through all branches. The minimum value of  $\beta$  is specified to be 30.



**Figure 6.28** Example 6.9: (a) circuit; (b) analysis with steps numbered.

## Solution

A quick glance at this circuit reveals that the transistor will be either active or saturated. Assuming active-mode operation and neglecting the base current, we see that the base voltage will be approximately zero volts, the emitter voltage will be approximately +0.7 V, and the emitter current will be approximately 4.3 mA. Since the maximum current that the collector can support while the transistor remains in the active mode is approximately 0.5 mA, it follows that the transistor is definitely saturated.



**Example 6.9** *continued*

Assuming that the transistor is saturated and denoting the voltage at the base by  $V_B$  (refer to Fig. 6.28b), it follows that

$$\begin{aligned} V_E &= V_B + V_{EB} \simeq V_B + 0.7 \\ V_C &= V_E - V_{ECsat} \simeq V_B + 0.7 - 0.2 = V_B + 0.5 \\ I_E &= \frac{+5 - V_E}{1} = \frac{5 - V_B - 0.7}{1} = 4.3 - V_B \quad \text{mA} \\ I_B &= \frac{V_B}{10} = 0.1V_B \quad \text{mA} \\ I_C &= \frac{V_C - (-5)}{10} = \frac{V_B + 0.5 + 5}{10} = 0.1V_B + 0.55 \quad \text{mA} \end{aligned}$$

Using the relationship  $I_E = I_B + I_C$ , we obtain

$$4.3 - V_B = 0.1V_B + 0.1V_B + 0.55$$

which results in

$$V_B = \frac{3.75}{1.2} \simeq 3.13 \text{ V}$$

Substituting in the equations above, we obtain

$$\begin{aligned} V_E &= 3.83 \text{ V} \\ V_C &= 3.63 \text{ V} \\ I_E &= 1.17 \text{ mA} \\ I_C &= 0.86 \text{ mA} \\ I_B &= 0.31 \text{ mA} \end{aligned}$$

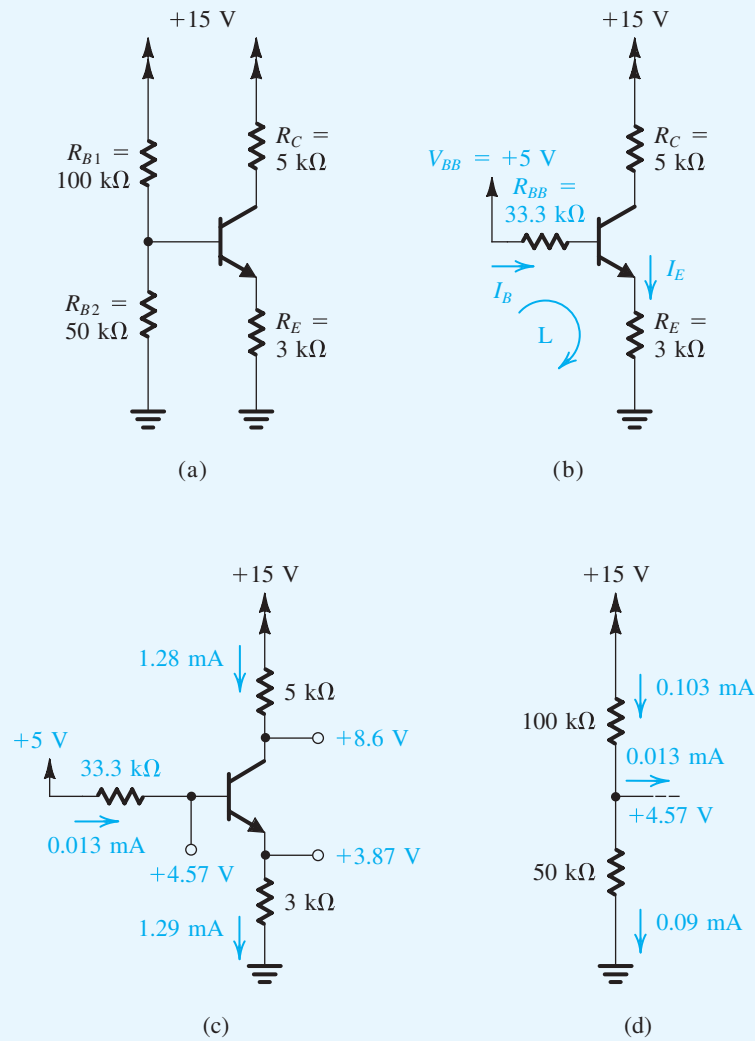
from which we see that the transistor is saturated, since the value of forced  $\beta$  is

$$\beta_{\text{forced}} = \frac{0.86}{0.31} \simeq 2.8$$

which is much smaller than the specified minimum  $\beta$ .

**Example 6.10**

We want to analyze the circuit of Fig. 6.29(a) to determine the voltages at all nodes and the currents through all branches. Assume  $\beta = 100$ .



**Figure 6.29** Circuits for Example 6.10.

### Solution

The first step in the analysis consists of simplifying the base circuit using Thévenin's theorem. The result is shown in Fig. 6.29(b), where

$$V_{BB} = +15 \frac{R_{B2}}{R_{B1} + R_{B2}} = 15 \frac{50}{100 + 50} = +5 \text{ V}$$

$$R_{BB} = R_{B1} \parallel R_{B2} = 100 \parallel 50 = 33.3 \text{ k}\Omega$$

**Example 6.10** *continued*

To evaluate the base or the emitter current, we have to write a loop equation around the loop labeled L in Fig. 6.29(b). Note, however, that the current through  $R_{BB}$  is different from the current through  $R_E$ . The loop equation will be

$$V_{BB} = I_B R_{BB} + V_{BE} + I_E R_E$$

Now, assuming active-mode operation, we replace  $I_B$  with

$$I_B = \frac{I_E}{\beta + 1}$$

and rearrange the equation to obtain

$$I_E = \frac{V_{BB} - V_{BE}}{R_E + [R_{BB}/(\beta + 1)]}$$

For the numerical values given we have

$$I_E = \frac{5 - 0.7}{3 + (33.3/101)} = 1.29 \text{ mA}$$

The base current will be

$$I_B = \frac{1.29}{101} = 0.0128 \text{ mA}$$

The base voltage is given by

$$\begin{aligned} V_B &= V_{BE} + I_E R_E \\ &= 0.7 + 1.29 \times 3 = 4.57 \text{ V} \end{aligned}$$

We can evaluate the collector current as

$$I_C = \alpha I_E = 0.99 \times 1.29 = 1.28 \text{ mA}$$

The collector voltage can now be evaluated as

$$V_C = +15 - I_C R_C = 15 - 1.28 \times 5 = 8.6 \text{ V}$$

It follows that the collector is higher in potential than the base by 4.03 V, which means that the transistor is in the active mode, as had been assumed. The results of the analysis are given in Fig. 6.29(c, d).

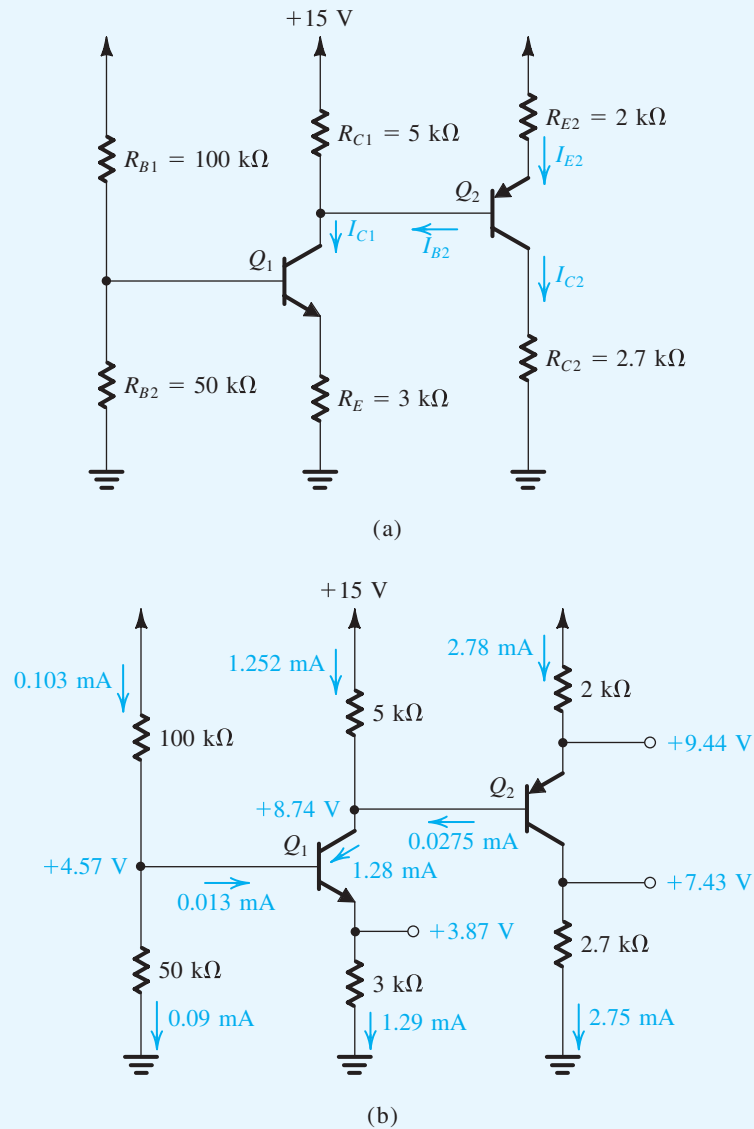
**EXERCISE**

**6.28** If the transistor in the circuit of Fig. 6.29(a) is replaced with another having half the value of  $\beta$  (i.e.,  $\beta = 50$ ), find the new value of  $I_C$ , and express the change in  $I_C$  as a percentage.

**Ans.**  $I_C = 1.15 \text{ mA}$ ;  $-10\%$

### Example 6.11

We wish to analyze the circuit in Fig. 6.30(a) to determine the voltages at all nodes and the currents through all branches.



**Figure 6.30** Circuits for Example 6.11.

**Example 6.11** *continued***Solution**

We first recognize that part of this circuit is identical to the circuit we analyzed in Example 6.10—namely, the circuit of Fig. 6.29(a). The difference, of course, is that in the new circuit we have an additional transistor  $Q_2$  together with its associated resistors  $R_{E2}$  and  $R_{C2}$ . Assume that  $Q_1$  is still in the active mode. The following values will be identical to those obtained in the previous example:

$$\begin{aligned} V_{B1} &= +4.57 \text{ V} & I_{E1} &= 1.29 \text{ mA} \\ I_{B1} &= 0.0128 \text{ mA} & I_{C1} &= 1.28 \text{ mA} \end{aligned}$$

However, the collector voltage will be different than previously calculated, since part of the collector current  $I_{C1}$  will flow in the base lead of  $Q_2$  ( $I_{B2}$ ). As a first approximation we may assume that  $I_{B2}$  is much smaller than  $I_{C1}$ ; that is, we may assume that the current through  $R_{C1}$  is almost equal to  $I_{C1}$ . This will enable us to calculate  $V_{C1}$ :

$$\begin{aligned} V_{C1} &\simeq +15 - I_{C1}R_{C1} \\ &= 15 - 1.28 \times 5 = +8.6 \text{ V} \end{aligned}$$

Thus  $Q_1$  is in the active mode, as had been assumed.

As far as  $Q_2$  is concerned, we note that its emitter is connected to +15 V through  $R_{E2}$ . It is therefore safe to assume that the emitter–base junction of  $Q_2$  will be forward biased. Thus the emitter of  $Q_2$  will be at a voltage  $V_{E2}$  given by

$$V_{E2} = V_{C1} + V_{EB}|_{Q_2} \simeq 8.6 + 0.7 = +9.3 \text{ V}$$

The emitter current of  $Q_2$  may now be calculated as

$$I_{E2} = \frac{+15 - V_{E2}}{R_{E2}} = \frac{15 - 9.3}{2} = 2.85 \text{ mA}$$

Since the collector of  $Q_2$  is returned to ground via  $R_{C2}$ , it is possible that  $Q_2$  is operating in the active mode. Assume this to be the case. We now find  $I_{C2}$  as

$$\begin{aligned} I_{C2} &= \alpha_2 I_{E2} \\ &= 0.99 \times 2.85 = 2.82 \text{ mA} \quad (\text{assuming } \beta_2 = 100) \end{aligned}$$

The collector voltage of  $Q_2$  will be

$$V_{C2} = I_{C2}R_{C2} = 2.82 \times 2.7 = 7.62 \text{ V}$$

which is lower than  $V_{B2}$  by 0.98 V. Thus  $Q_2$  is in the active mode, as assumed.

It is important at this stage to find the magnitude of the error incurred in our calculations by the assumption that  $I_{B2}$  is negligible. The value of  $I_{B2}$  is given by

$$I_{B2} = \frac{I_{E2}}{\beta_2 + 1} = \frac{2.85}{101} = 0.028 \text{ mA}$$

which is indeed much smaller than  $I_{C1}$  (1.28 mA). If desired, we can obtain more accurate results by iterating one more time, assuming  $I_{B2}$  to be 0.028 mA. The new values will be

$$\text{Current in } R_{C1} = I_{C1} - I_{B2} = 1.28 - 0.028 = 1.252 \text{ mA}$$

$$V_{C1} = 15 - 5 \times 1.252 = 8.74 \text{ V}$$

$$V_{E2} = 8.74 + 0.7 = 9.44 \text{ V}$$

$$I_{E2} = \frac{15 - 9.44}{2} = 2.78 \text{ mA}$$

$$I_{C2} = 0.99 \times 2.78 = 2.75 \text{ mA}$$

$$V_{C2} = 2.75 \times 2.7 = 7.43 \text{ V}$$

$$I_{B2} = \frac{2.78}{101} = 0.0275 \text{ mA}$$

Note that the new value of  $I_{B2}$  is very close to the value used in our iteration, and no further iterations are warranted. The final results are indicated in Fig. 6.30(b).

The reader justifiably might be wondering about the necessity for using an iterative scheme in solving a linear (or linearized) problem. Indeed, we can obtain the exact solution (if we can call anything we are doing with a first-order model exact!) by writing appropriate equations. The reader is encouraged to find this solution and then compare the results with those obtained above. It is important to emphasize, however, that in most such problems it is quite sufficient to obtain an approximate solution, provided we can obtain it quickly and, of course, correctly.

In the above examples, we frequently used a precise value of  $\alpha$  to calculate the collector current. Since  $\alpha \simeq 1$ , the error in such calculations will be very small if one assumes  $\alpha = 1$  and  $I_C = I_E$ . Therefore, except in calculations that depend critically on the value of  $\alpha$  (e.g., the calculation of base current), one usually assumes  $\alpha \simeq 1$ .

## EXERCISES

**6.29** For the circuit in Fig. 6.30, find the total current drawn from the power supply. Hence find the power dissipated in the circuit.

**Ans.** 4.135 mA; 62 mW

**6.30** The circuit in Fig. E6.30 is to be connected to the circuit in Fig. 6.30(a) as indicated; specifically, the base of  $Q_3$  is to be connected to the collector of  $Q_2$ . If  $Q_3$  has  $\beta = 100$ , find the new value of  $V_{C2}$  and the values of  $V_{E3}$  and  $I_{C3}$ .

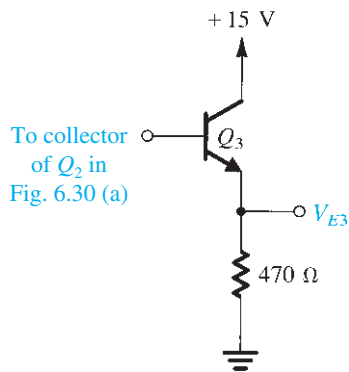
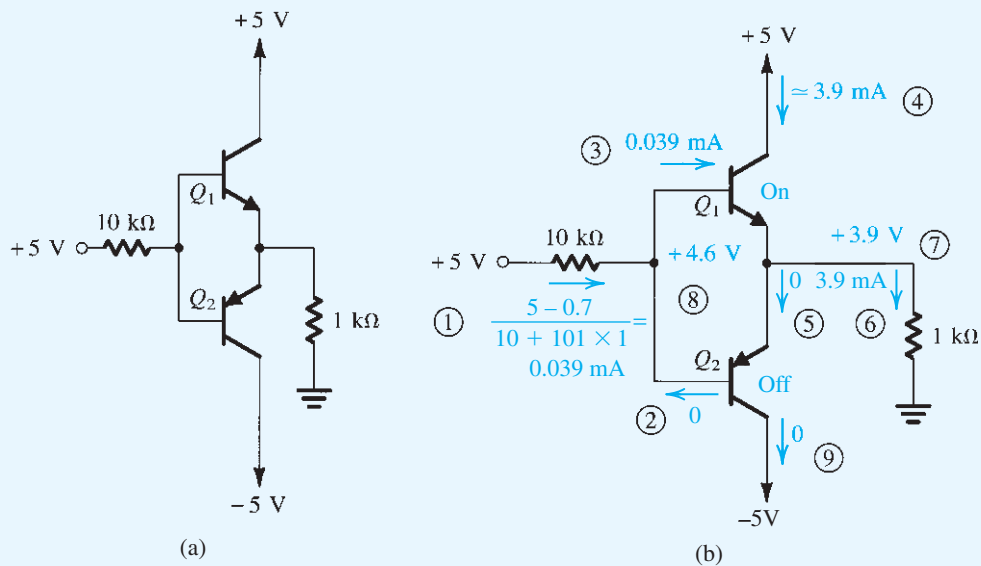


Figure E6.30

**Ans.** +7.06 V; +6.36 V; 13.4 mA

### Example 6.12

We desire to evaluate the voltages at all nodes and the currents through all branches in the circuit of Fig. 6.31(a). Assume  $\beta = 100$ .



**Figure 6.31** Example 6.12: (a) circuit; (b) analysis with the steps numbered.

**Solution**

By examining the circuit, we conclude that the two transistors  $Q_1$  and  $Q_2$  cannot be simultaneously conducting. Thus if  $Q_1$  is on,  $Q_2$  will be off, and vice versa. Assume that  $Q_2$  is on. It follows that current will flow from ground through the 1-k $\Omega$  resistor into the emitter of  $Q_2$ . Thus the base of  $Q_2$  will be at a negative voltage, and base current will be flowing out of the base through the 10-k $\Omega$  resistor and into the +5-V supply. This is impossible, since if the base is negative, current in the 10-k $\Omega$  resistor will have to flow into the base. Thus we conclude that our original assumption—that  $Q_2$  is on—is incorrect. It follows that  $Q_2$  will be off and  $Q_1$  will be on.

The question now is whether  $Q_1$  is active or saturated. The answer in this case is obvious: Since the base is fed with a +5-V supply and since base current flows into the base of  $Q_1$ , it follows that the base of  $Q_1$  will be at a voltage lower than +5 V. Thus the collector–base junction of  $Q_1$  is reverse biased and  $Q_1$  is in the active mode. It remains only to determine the currents and voltages using techniques already described in detail. The results are given in Fig. 6.31(b).

**EXERCISES**

**6.31** Solve the problem in Example 6.12 for the case of a voltage of –5 V feeding the bases. What voltage appears at the emitters?

**Ans.** –3.9 V

**6.32** Solve the problem in Example 6.12 with the voltage feeding the bases changed to +10 V. Assume that  $\beta_{\min} = 30$ , and find  $V_E$ ,  $V_B$ ,  $I_{C1}$ , and  $I_{C2}$ .

**Ans.** +4.8 V; +5.5 V; 4.35 mA; 0

## 6.4 Transistor Breakdown and Temperature Effects



We conclude this chapter with a brief discussion of two important nonideal effects in the BJT: voltage breakdown, and the dependence of  $\beta$  on  $I_C$  and temperature.

### 6.4.1 Transistor Breakdown

The maximum voltages that can be applied to a BJT are limited by the EBJ and CBJ breakdown effects that follow the avalanche multiplication mechanism described in Section 3.5.3. Consider first the common-base configuration (Fig. 6.32(a)). The  $i_C$ – $v_{CB}$  characteristics in Fig. 6.32(b) indicate that for  $i_E = 0$  (i.e., with the emitter open-circuited) the collector–base junction breaks down at a voltage denoted by  $BV_{CBO}$ . For  $i_E > 0$ , breakdown occurs at voltages smaller than  $BV_{CBO}$ . Typically, for discrete BJTs,  $BV_{CBO}$  is greater than 50 V.



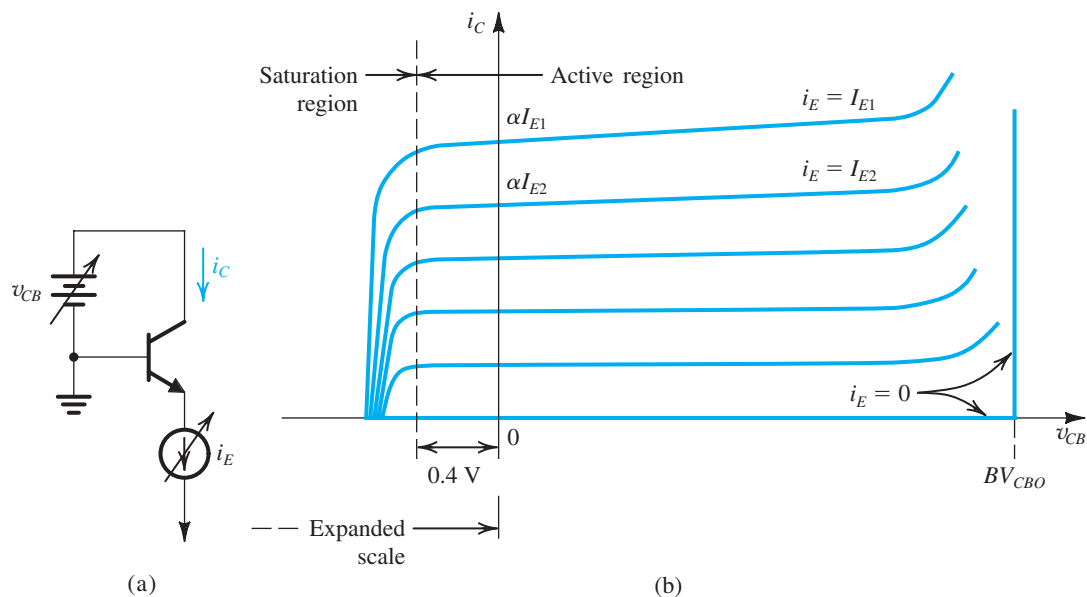


Figure 6.32 The BJT common-base characteristics including the transistor breakdown region.

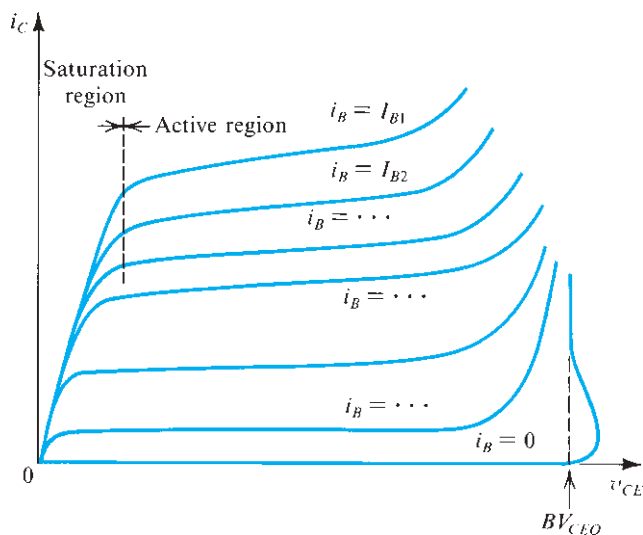


Figure 6.33 The BJT common-emitter characteristics including the breakdown region.

Next consider the common-emitter characteristics of Fig. 6.33, which show breakdown occurring at a voltage  $BV_{CEO}$ . Here, although breakdown is still of the avalanche type, the effects on the characteristics are more complex than in the common-base configuration. We will not explain these in detail; it is sufficient to point out that typically  $BV_{CEO}$  is about half  $BV_{CBO}$ . On transistor data sheets,  $BV_{CEO}$  is sometimes referred to as the **sustaining voltage**  $LV_{CEO}$ .

Breakdown of the CBJ in either the common-base or common-emitter configuration is not destructive as long as the power dissipation in the device is kept within safe limits. This, however, is not the case with the breakdown of the emitter–base junction. The EBJ breaks down in an avalanche manner at a voltage  $BV_{EBO}$  much smaller than  $BV_{CBO}$ . Typically,  $BV_{EBO}$  is in the range of 6 V to 8 V, and the breakdown is destructive in the sense that the  $\beta$  of the transistor is permanently reduced. This does not prevent use of the EBJ as a zener diode to generate reference voltages in IC design. In such applications one is not concerned with the  $\beta$ -degradation effect. A circuit arrangement to prevent EBJ breakdown in IC amplifiers will be discussed in Chapter 13. Transistor breakdown and the maximum allowable power dissipation are important parameters in the design of power amplifiers (Chapter 12).

### EXERCISE

**6.33** What is the output voltage of the circuit in Fig. E6.33 if the transistor  $BV_{BCO} = 70$  V?

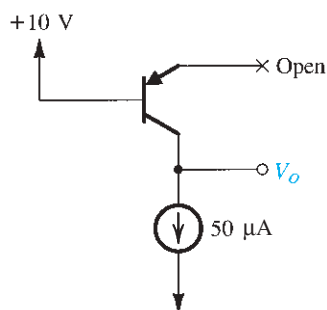


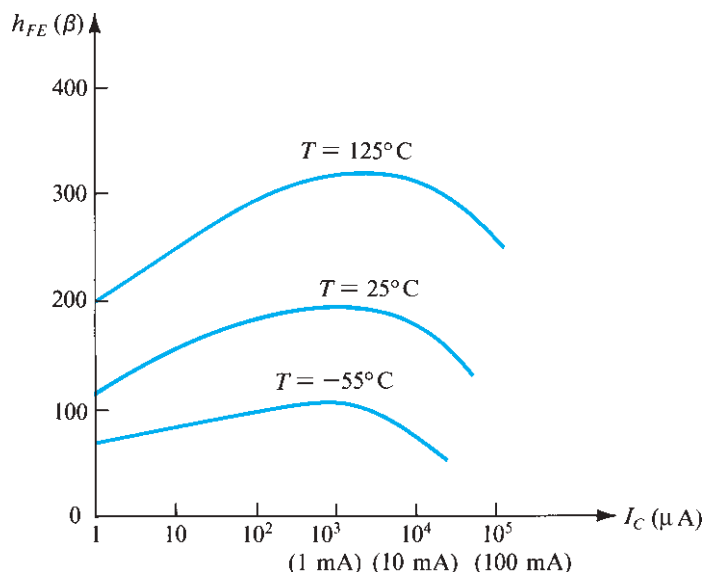
Figure E6.33

Ans.  $-60$  V

### 6.4.2 Dependence of $\beta$ on $I_C$ and Temperature

Throughout this chapter we have assumed that the transistor common-emitter dc current gain,  $\beta$  or  $h_{FE}$ , is constant for a given transistor. In fact,  $\beta$  depends on the dc current at which the transistor is operating, as shown in Fig. 6.34. The physical processes that give rise to this dependence are beyond the scope of this book. Note, however, that there is a current range over which  $\beta$  is highest. Normally, one arranges to operate the transistor at a current within this range.

Figure 6.34 also shows the dependence of  $\beta$  on temperature. The fact that  $\beta$  increases with temperature can lead to serious problems in transistors that operate at large power levels (see Chapter 12).



**Figure 6.34** Typical dependence of  $\beta$  on  $I_C$  and on temperature in an integrated-circuit *nnp* silicon transistor intended for operation around 1 mA.

## Summary

- Depending on the bias conditions on its two junctions, the BJT can operate in one of three possible modes: cutoff (both junctions reverse biased), active (the EBJ forward biased and the CBJ reverse biased), and saturation (both junctions forward biased). Refer to Table 6.1.
- For amplifier applications, the BJT is operated in the active mode. Switching applications make use of the cutoff and saturation modes.
- A BJT operating in the active mode provides a collector current  $i_C = I_S e^{v_{BE}/V_T}$ . The base current  $i_B = i_C/\beta$ , and the emitter current  $i_E = i_C + i_B$ . Also,  $i_C = \alpha i_E$ , and thus  $\beta = \alpha/(1 - \alpha)$  and  $\alpha = \beta/(\beta + 1)$ . See Table 6.2.
- To ensure operation in the active mode, the collector voltage of an *nnp* transistor must be kept higher than approximately 0.4 V below the base voltage. For a *pnp* transistor, the collector voltage must be lower than approximately 0.4 V above the base voltage. Otherwise, the CBJ becomes forward biased, and the transistor enters the saturation region.
- At a constant collector current, the magnitude of the base-emitter voltage decreases by about 2 mV for every  $1^\circ C$  rise in temperature.
- The BJT will be at the edge of saturation when  $|v_{CE}|$  is reduced to about 0.3 V. In saturation,  $|v_{CE}| \simeq 0.2$  V, and the ratio of  $i_C$  to  $i_B$  is lower than  $\beta$  (i.e.,  $\beta_{\text{forced}} < \beta$ ).
- In the active mode,  $i_C$  shows a slight dependence on  $v_{CE}$ . This phenomenon, known as the Early effect, is modeled by ascribing a finite (i.e., noninfinite) output resistance to the BJT:  $r_o = |V_A|/I'_C$ , where  $V_A$  is the Early voltage and  $I'_C$  is the dc collector current without the Early effect taken into account. In discrete circuits,  $r_o$  plays a minor role and can usually be neglected. This is *not* the case, however, in integrated-circuit design (Chapter 8).
- The dc analysis of transistor circuits is greatly simplified by assuming that  $|V_{BE}| \simeq 0.7$  V. Refer to Table 6.3.
- If the BJT is conducting, one assumes it is operating in the active mode and, using the active-mode model, proceeds to determine all currents and voltages. The validity of the initial assumption is then checked by determining whether the CBJ is reverse biased. If it is, the analysis is complete; otherwise, we assume the BJT is operating in saturation and redo the analysis, using the saturation-mode model and checking at the end that  $I_C < \beta I_B$ .

## Computer Simulations Problems

**SIM** Problems identified by the Multisim/PSpice icon are intended to demonstrate the value of using SPICE simulation to verify hand analysis and design, and to investigate important issues such as allowable signal swing and amplifier nonlinear distortion. Instructions to assist in setting up PSpice and Multisim simulations for all the indicated problems can be found in the corresponding files on the website. Note that if a particular parameter value is not specified in the problem statement, you are to make a reasonable assumption.

### Section 6.1: Device Structure and Physical Operation

**6.1** The terminal voltages of various *npn* transistors are measured during operation in their respective circuits with the following results:

Case	E	B	C	Mode
1	0	0.7	0.7	
2	0	0.8	0.1	
3	-0.7	0	1.0	
4	-0.7	0	-0.6	
5	1.3	2.0	5.0	
6	0	0	5.0	

In this table, where the entries are in volts, 0 indicates the reference terminal to which the black (negative) probe of the voltmeter is connected. For each case, identify the mode of operation of the transistor.

**6.2** Two transistors, fabricated with the same technology but having different junction areas, when operated at a base-emitter voltage of 0.75 V, have collector currents of 0.5 mA and 2 mA. Find  $I_S$  for each device. What are the relative junction areas?

**6.3** In a particular technology, a small BJT operating at  $v_{BE} = 30V_T$  conducts a collector current of 200  $\mu\text{A}$ . What is the corresponding saturation current? For a transistor in the same technology but with an emitter junction that is 32 times larger, what is the saturation current? What current will this transistor conduct at  $v_{BE} = 30V_T$ ? What is the base-emitter voltage of the latter transistor at  $i_C = 1\text{ mA}$ ? Assume active-mode operation in all cases.

**6.4** Two transistors have EBJ areas as follows:  $A_{E1} = 200\text{ }\mu\text{m} \times 200\text{ }\mu\text{m}$  and  $A_{E2} = 0.4\text{ }\mu\text{m} \times 0.4\text{ }\mu\text{m}$ . If the two

transistors are operated in the active mode and conduct equal collector currents, what do you expect the difference in their  $v_{BE}$  values to be?

**6.5** Find the collector currents that you would expect for operation at  $v_{BE} = 700\text{ mV}$  for transistors for which  $I_S = 10^{-13}\text{ A}$  and  $I_S = 10^{-18}\text{ A}$ . For the transistor with the larger EBJ, what is the  $v_{BE}$  required to provide a collector current equal to that provided by the smaller transistor at  $v_{BE} = 700\text{ mV}$ ? Assume active-mode operation in all cases.

**6.6** In this problem, we contrast two BJT integrated-circuit fabrication technologies: For the “old” technology, a typical *npn* transistor has  $I_S = 2 \times 10^{-15}\text{ A}$ , and for the “new” technology, a typical *npn* transistor has  $I_S = 2 \times 10^{-18}\text{ A}$ . These typical devices have vastly different junction areas and base width. For our purpose here we wish to determine the  $v_{BE}$  required to establish a collector current of 1 mA in each of the two typical devices. Assume active-mode operation.

**6.7** Consider an *npn* transistor whose base-emitter drop is 0.76 V at a collector current of 5 mA. What current will it conduct at  $v_{BE} = 0.70\text{ V}$ ? What is its base-emitter voltage for  $i_C = 5\text{ }\mu\text{A}$ ?

**6.8** In a particular BJT, the base current is 10  $\mu\text{A}$ , and the collector current is 800  $\mu\text{A}$ . Find  $\beta$  and  $\alpha$  for this device.

**6.9** Find the values of  $\beta$  that correspond to  $\alpha$  values of 0.5, 0.8, 0.9, 0.95, 0.98, 0.99, 0.995, and 0.999.

**6.10** Find the values of  $\alpha$  that correspond to  $\beta$  values of 1, 2, 10, 20, 50, 100, 200, 500, and 1000.

**\*6.11** Show that for a transistor with  $\alpha$  close to unity, if  $\alpha$  changes by a small per-unit amount ( $\Delta\alpha/\alpha$ ), the corresponding per-unit change in  $\beta$  is given approximately by

$$\frac{\Delta\beta}{\beta} \simeq \beta \left( \frac{\Delta\alpha}{\alpha} \right)$$

Now, for a transistor whose nominal  $\beta$  is 100, find the percentage change in its  $\alpha$  value corresponding to a drop in its  $\beta$  of 10%.

**6.12** An *npn* transistor of a type whose  $\beta$  is specified to range from 50 to 300 is connected in a circuit with emitter grounded, collector at +10 V, and a current of 10  $\mu\text{A}$  injected into the base. Calculate the range of collector and emitter currents that can result. What is the maximum power dissipated in the transistor? (Note: Perhaps you can see why this is a bad way to establish the operating current in the collector of a BJT.)

**6.13** A BJT is specified to have  $I_S = 5 \times 10^{-15}$  A and  $\beta$  that falls in the range of 50 to 200. If the transistor is operated in the active mode with  $v_{BE}$  set to 0.700 V, find the expected range of  $i_C$ ,  $i_B$ , and  $i_E$ .

**6.14** Measurements made on a number of transistors operating in the active mode with  $i_E = 1$  mA indicate base currents of 10  $\mu$ A, 20  $\mu$ A, and 50  $\mu$ A. For each device, find  $i_C$ ,  $\beta$ , and  $\alpha$ .

**6.15** Measurements of  $V_{BE}$  and two terminal currents taken on a number of *nnp* transistors operating in the active mode are tabulated below. For each, calculate the missing current value as well as  $\alpha$ ,  $\beta$ , and  $I_S$  as indicated by the table.

Transistor	a	b	c	d	e
$V_{BE}$ (mV)	700	690	580	780	820
$I_C$ (mA)	1.000	1.000		10.10	
$I_B$ ( $\mu$ A)	10		5	120	1050
$I_E$ (mA)		1.020	0.235		75.00
$\alpha$					
$\beta$					
$I_S$					

**6.16** When operated in the active mode, a particular *nnp* BJT conducts a collector current of 1 mA and has  $v_{BE} = 0.70$  V and  $i_B = 10$   $\mu$ A. Use these data to create specific transistor models of the form shown in Fig. 6.5(a) to (d).

**6.17** Using the *nnp* transistor model of Fig. 6.5(b), consider the case of a transistor for which the base is connected to ground, the collector is connected to a 5-V dc source through a 2-k $\Omega$  resistor, and a 2-mA current source is connected to the emitter with the polarity so that current is drawn out of the emitter terminal. If  $\beta = 100$  and  $I_S = 5 \times 10^{-15}$  A, find the voltages at the emitter and the collector and calculate the base current.

**D 6.18** Consider an *nnp* transistor operated in the active mode and represented by the model of Fig. 6.5(d). Let the transistor be connected as indicated by the equivalent circuit shown in Fig. 6.6(b). It is required to calculate the values of  $R_B$  and  $R_C$  that will establish a collector current  $I_C$  of 0.5 mA and a collector-to-emitter voltage  $V_{CE}$  of 1 V. The BJT is specified to have  $\beta = 50$  and  $I_S = 5 \times 10^{-15}$  A.

**6.19** An *nnp* transistor has a CBJ with an area 100 times that of the EBJ. If  $I_S = 10^{-15}$  A, find the voltage drop across EBJ

and across CBJ when each is forward biased and conducting a current of 1 mA. Also find the forward current each junction would conduct when forward biased with 0.5 V.

**\*6.20** We wish to investigate the operation of the *nnp* transistor in saturation using the model of Fig. 6.9. Let  $I_S = 10^{-15}$  A,  $v_{BE} = 0.7$  V,  $\beta = 100$ , and  $I_{SC}/I_S = 100$ . For each of three values of  $v_{CE}$  (namely, 0.4 V, 0.3 V, and 0.2 V), find  $v_{BC}$ ,  $i_{BC}$ ,  $i_{BE}$ ,  $i_B$ ,  $i_C$ , and  $i_C/i_B$ . Present your results in tabular form. Also find  $v_{CE}$  that results in  $i_C = 0$ .

**\*6.21** Use Eqs. (6.14), (6.15), and (6.16) to show that an *nnp* transistor operated in saturation exhibits a collector-to-emitter voltage,  $V_{CEsat}$ , given by

$$V_{CEsat} = V_T \ln \left[ \left( \frac{I_{SC}}{I_S} \right) \frac{1 + \beta_{forced}}{1 - \beta_{forced}/\beta} \right]$$

Use this relationship to evaluate  $V_{CEsat}$  for  $\beta_{forced} = 50, 10, 5$ , and 1 for a transistor with  $\beta = 100$  and with a CBJ area 100 times that of the EBJ. Present your results in a table.

**6.22** Consider the *pnp* large-signal model of Fig. 6.11(b) applied to a transistor having  $I_S = 10^{-14}$  A and  $\beta = 50$ . If the emitter is connected to ground, the base is connected to a current source that pulls 10  $\mu$ A out of the base terminal, and the collector is connected to a negative supply of  $-5$  V via a 8.2-k $\Omega$  resistor, find the collector voltage, the emitter current, and the base voltage.

**6.23** A *pnp* transistor has  $v_{EB} = 0.7$  V at a collector current of 1 mA. What do you expect  $v_{EB}$  to become at  $i_C = 10$  mA? At  $i_C = 100$  mA?

**6.24** A *pnp* transistor modeled with the circuit in Fig. 6.11 (b) is connected with its base at ground, collector at  $-2.0$  V, and a 1-mA current is injected into its emitter. If the transistor is said to have  $\beta = 10$ , what are its base and collector currents? In which direction do they flow? If  $I_S = 10^{-15}$  A, what voltage results at the emitter? What does the collector current become if a transistor with  $\beta = 1000$  is substituted? (Note: The fact that the collector current changes by less than 10% for a large change in  $\beta$  illustrates that this is a good way to establish a specific collector current.)

**6.25** A *pnp* power transistor operates with an emitter-to-collector voltage of 5 V, an emitter current of 5 A, and  $V_{EB} = 0.8$  V. For  $\beta = 20$ , what base current is required? What is  $I_S$  for this transistor? Compare the emitter-base junction area of this transistor with that of a small-signal

transistor that conducts  $i_C = 1$  mA with  $v_{EB} = 0.70$  V. How much larger is it?

**6.26** While Fig. 6.5 provides four possible large-signal equivalent circuits for the *nnp* transistor, only two equivalent circuits for the *pnp* transistor are provided in Fig. 6.11. Supply the missing two.

**6.27** By analogy to the *nnp* case shown in Fig. 6.9, give the equivalent circuit of a *pnp* transistor in saturation.

## Section 6.2: Current–Voltage Characteristics

**6.28** For the circuits in Fig. P6.28, assume that the transistors have very large  $\beta$ . Some measurements have been made on these circuits, with the results indicated in the figure. Find the values of the other labeled voltages and currents.

**6.29** Measurements on the circuits of Fig. P6.29 produce labeled voltages as indicated. Find the value of  $\beta$  for each transistor.

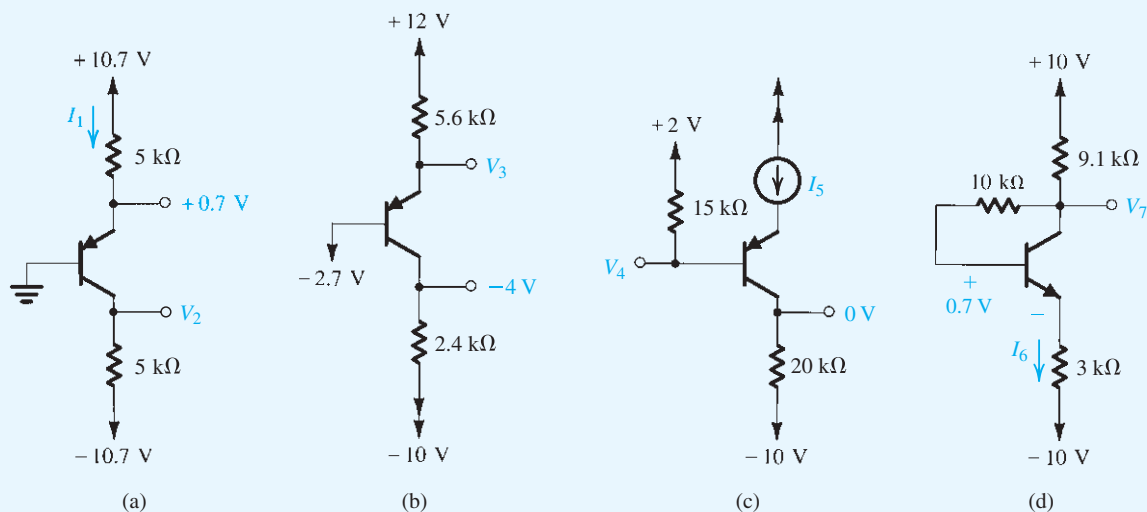


Figure P6.28

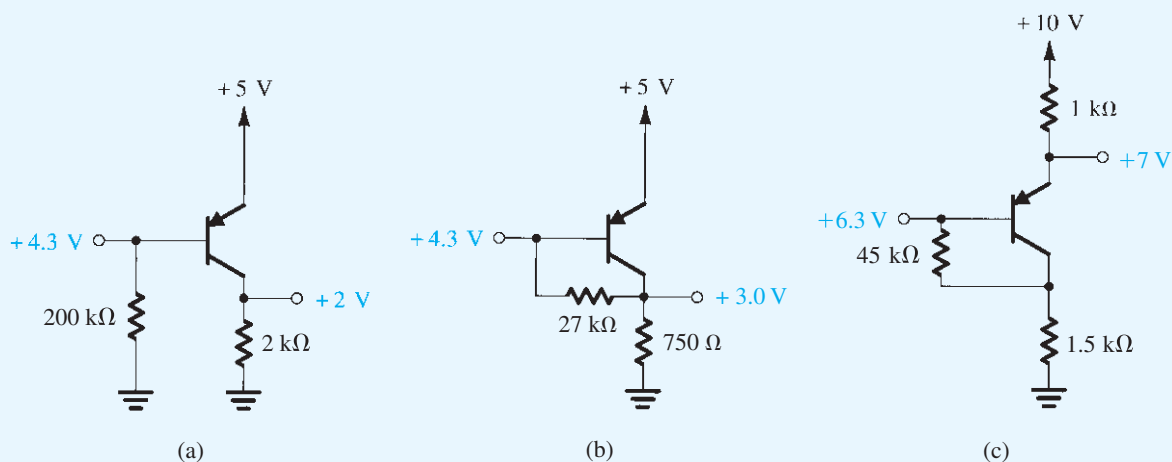


Figure P6.29

**6.30** A very simple circuit for measuring  $\beta$  of an *npn* transistor is shown in Fig. P6.30. In a particular design,  $V_{CC}$  is provided by a 9-V battery; M is a current meter with a 50- $\mu$ A full scale and relatively low resistance that you can neglect for our purposes here. Assuming that the transistor has  $V_{BE} = 0.7$  V at  $I_E = 1$  mA, what value of  $R_C$  would establish a resistor current of 1 mA? Now, to what value of  $\beta$  does a meter reading of full scale correspond? What is  $\beta$  if the meter reading is 1/5 of full scale? 1/10 of full scale?

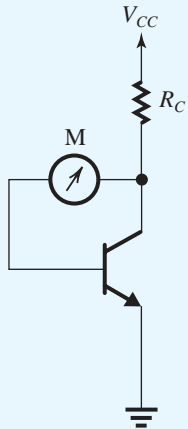


Figure P6.30

**6.31** Repeat Exercise 6.13 for the situation in which the power supplies are reduced to  $\pm 2.5$  V.

**D 6.32** Design the circuit in Fig. P6.32 to establish a current of 0.5 mA in the emitter and a voltage of  $-0.5$  V at the collector. The transistor  $v_{EB} = 0.64$  V at  $I_E = 0.1$  mA, and  $\beta = 100$ . To what value can  $R_C$  be increased while the collector current remains unchanged?

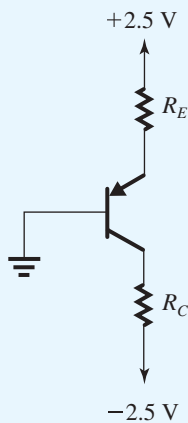


Figure P6.32

**D 6.33** Examination of the table of standard values for resistors with 5% tolerance in Appendix J reveals that the closest values to those found in the design of Example 6.2 are 5.1 k $\Omega$  and 6.8 k $\Omega$ . For these values, use approximate calculations (e.g.,  $V_{BE} \approx 0.7$  V and  $\alpha \approx 1$ ) to determine the values of collector current and collector voltage that are likely to result.

**D 6.34** Design the circuit in Fig. P6.34 to establish  $I_C = 0.2$  mA and  $V_C = 0.5$  V. The transistor exhibits  $v_{BE}$  of 0.8 V at  $i_C = 1$  mA, and  $\beta = 100$ .

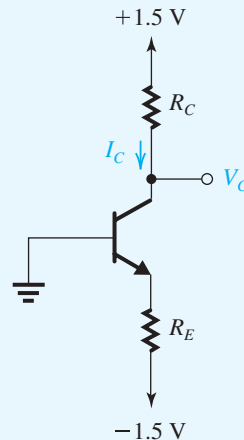


Figure P6.34

**6.35** For each of the circuits shown in Fig. P6.35, find the emitter, base, and collector voltages and currents. Use  $\beta = 50$ , but assume  $|V_{BE}| = 0.8$  V independent of current level.

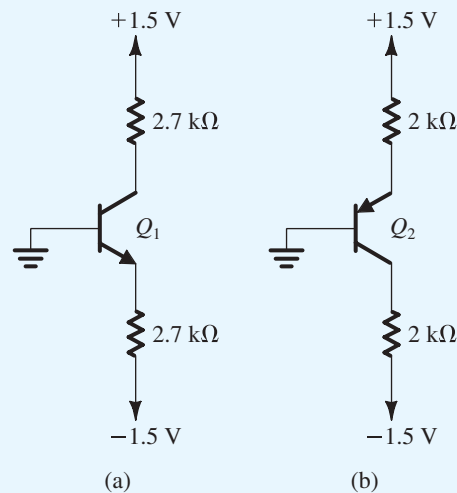


Figure P6.35



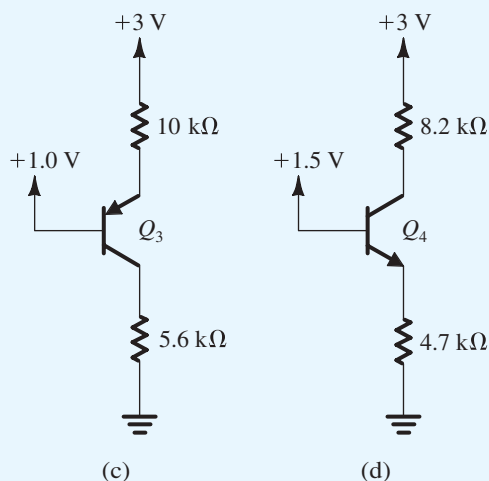


Figure P6.35 continued

**6.36** The current  $I_{CBO}$  of a small transistor is measured to be 10 nA at 25°C. If the temperature of the device is raised to 125°C, what do you expect  $I_{CBO}$  to become?

**6.37** Augment the model of the *nnp* BJT shown in Fig. 6.19(a) by a current source representing  $I_{CBO}$ . Assume that  $r_o$  is very large and thus can be neglected. In terms of this addition, what do the terminal currents  $i_B$ ,  $i_C$ , and  $i_E$  become? If the base lead is open-circuited while the emitter is connected to ground, and the collector is connected to a positive supply, find the emitter and collector currents.

**6.38** A BJT whose emitter current is fixed at 1 mA has a base–emitter voltage of 0.70 V at 25°C. What base–emitter voltage would you expect at 0°C? At 100°C?

**6.39** A particular *pnp* transistor operating at an emitter current of 0.5 mA at 20°C has an emitter–base voltage of 692 mV.

- What does  $v_{EB}$  become if the junction temperature rises to 50°C?
- If the transistor is operated at a fixed emitter–base voltage of 700 mV, what emitter current flows at 20°C? At 50°C?

**6.40** Consider a transistor for which the base–emitter voltage drop is 0.7 V at 10 mA. What current flows for  $v_{BE} = 0.5$  V? Evaluate the ratio of the slopes of the  $i_C$ – $v_{BE}$  curve at  $v_{BE} = 700$  mV and at  $v_{BE} = 500$  mV. The large ratio confirms the point that the BJT has an “apparent threshold” at  $v_{BE} \simeq 0.5$  V.

**6.41** Use Eq. (6.18) to plot  $i_C$  versus  $v_{CE}$  for an *nnp* transistor having  $I_S = 10^{-15}$  A and  $V_A = 100$  V. Provide curves for  $v_{BE} = 0.65, 0.70, 0.72, 0.73$ , and 0.74 volts. Show the characteristics for  $v_{CE}$  up to 15 V.

**\*6.42** In the circuit shown in Fig. P6.42, current source  $I$  is 1.1 mA, and at 25°C  $v_{BE} = 680$  mV at  $i_E = 1$  mA. At 25°C with  $\beta = 100$ , what currents flow in  $R_1$  and  $R_2$ ? What voltage would you expect at node E? Noting that the temperature coefficient of  $v_{BE}$  for  $I_E$  constant is  $-2$  mV/°C, what is the TC of  $v_E$ ? For an ambient temperature of 75°C, what voltage would you expect at node E? Clearly state any simplifying assumptions you make.

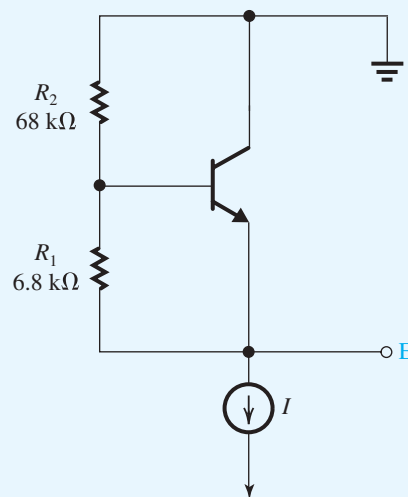


Figure P6.42

**6.43** For a particular *nnp* transistor operating at a  $v_{BE}$  of 680 mV and  $I_C = 1$  mA, the  $i_C$ – $v_{CE}$  characteristic has a slope of  $0.8 \times 10^{-5}$  Ω. To what value of output resistance does this correspond? What is the value of the Early voltage for this transistor? For operation at 10 mA, what would the output resistance become?

**6.44** For a BJT having an Early voltage of 50 V, what is its output resistance at 1 mA? At 100 μA?

**6.45** Measurements of the  $i_C$ – $v_{CE}$  characteristic of a small-signal transistor operating at  $v_{BE} = 710$  mV show that  $i_C = 1.1$  mA at  $v_{CE} = 5$  V and that  $i_C = 1.3$  mA at  $v_{CE} = 15$  V. What is the corresponding value of  $i_C$  near saturation? At what value of  $v_{CE}$  is  $i_C = 1.2$  mA? What is the value of the Early voltage for this transistor? What is the output resistance that corresponds to operation at  $v_{BE} = 710$  mV?



## 360 Chapter 6 Bipolar Junction Transistors (BJTs)

**6.46** Give the *pnp* equivalent circuit models that correspond to those shown in Fig. 6.19 for the *npn* case.

**6.47** A BJT operating at  $i_B = 10 \mu\text{A}$  and  $i_C = 1.0 \text{ mA}$  undergoes a reduction in base current of  $1.0 \mu\text{A}$ . It is found that when  $v_{CE}$  is held constant, the corresponding reduction in collector current is  $0.08 \text{ mA}$ . What are the values of  $\beta$  and the incremental  $\beta$  or  $\beta_{ac}$  that apply? If the base current is increased from  $10 \mu\text{A}$  to  $12 \mu\text{A}$  and  $v_{CE}$  is increased from  $8 \text{ V}$  to  $10 \text{ V}$ , what collector current results? Assume  $V_A = 100 \text{ V}$ .

**6.48** For the circuit in Fig. P6.48 let  $V_{CC} = 10 \text{ V}$ ,  $R_C = 1 \text{ k}\Omega$ , and  $R_B = 10 \text{ k}\Omega$ . The BJT has  $\beta = 50$ . Find the value of  $V_{BB}$  that results in the transistor operating

- (a) in the active mode with  $V_C = 2 \text{ V}$ ;
- (b) at the edge of saturation;
- (c) deep in saturation with  $\beta_{\text{forced}} = 10$ .

Assume  $V_{BE} \simeq 0.7 \text{ V}$ .

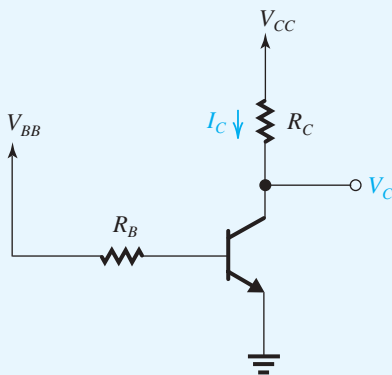


Figure P6.48

**SIM D \*6.49** Consider the circuit of Fig. P6.48 for the case  $V_{BB} = V_{CC}$ . If the BJT is saturated, use the equivalent circuit of Fig. 6.21 to derive an expression for  $\beta_{\text{forced}}$  in terms of  $V_{CC}$  and  $(R_B/R_C)$ . Also derive an expression for the total power dissipated in the circuit. For  $V_{CC} = 5 \text{ V}$ , design the circuit to obtain operation at a forced  $\beta$  as close to 10 as possible while limiting the power dissipation to no larger than  $20 \text{ mW}$ . Use 1% resistors (see Appendix J).

**6.50** The *pnp* transistor in the circuit in Fig. P6.50 has  $\beta = 50$ . Show that the BJT is operating in the saturation mode and find  $\beta_{\text{forced}}$  and  $V_C$ . To what value should  $R_B$  be increased in order for the transistor to operate at the edge of saturation?

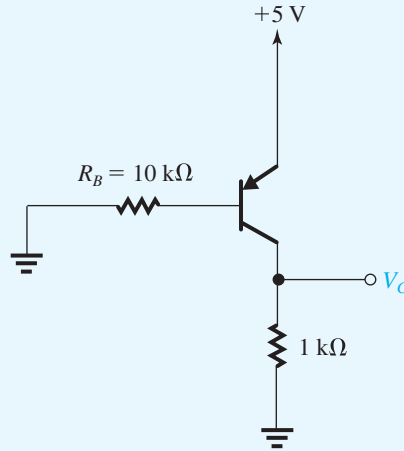


Figure P6.50

### Section 6.3: BJT Circuits at DC

**6.51** The transistor in the circuit of Fig. P6.51 has a very high  $\beta$ . Find  $V_E$  and  $V_C$  for  $V_B$  (a)  $+2.0 \text{ V}$ , (b)  $+1.7 \text{ V}$ , and (c)  $0 \text{ V}$ .

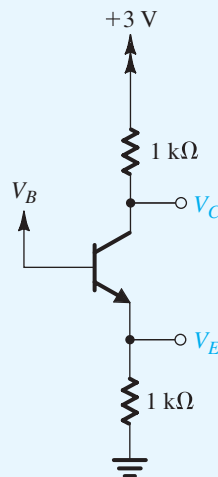


Figure P6.51

**6.52** The transistor in the circuit of Fig. P6.51 has a very high  $\beta$ . Find the highest value of  $V_B$  for which the transistor still operates in the active mode. Also, find the value of  $V_B$  for which the transistor operates in saturation with a forced  $\beta$  of 2.

**6.53** Consider the operation of the circuit shown in Fig. P6.53 for  $V_B$  at  $-1 \text{ V}$ ,  $0 \text{ V}$ , and  $+1 \text{ V}$ . Assume that  $\beta$  is very high. What values of  $V_E$  and  $V_C$  result? At what value of  $V_B$  does the emitter current reduce to one-tenth of

its value for  $V_B = 0$  V? For what value of  $V_B$  is the transistor just at the edge of conduction? ( $v_{BE} = 0.5$  V) What values of  $V_E$  and  $V_C$  correspond? For what value of  $V_B$  does the transistor reach the edge of saturation? What values of  $V_C$  and  $V_E$  correspond? Find the value of  $V_B$  for which the transistor operates in saturation with a forced  $\beta$  of 2.

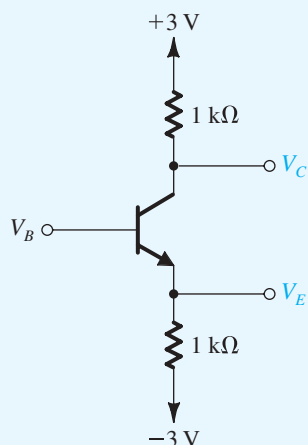


Figure P6.53

**6.54** For the transistor shown in Fig. P6.54, assume  $\alpha \simeq 1$  and  $v_{BE} = 0.5$  V at the edge of conduction. What are the values of  $V_E$  and  $V_C$  for  $V_B = 0$  V? For what value of  $V_B$  does the transistor cut off? Saturate? In each case, what values of  $V_E$  and  $V_C$  result?

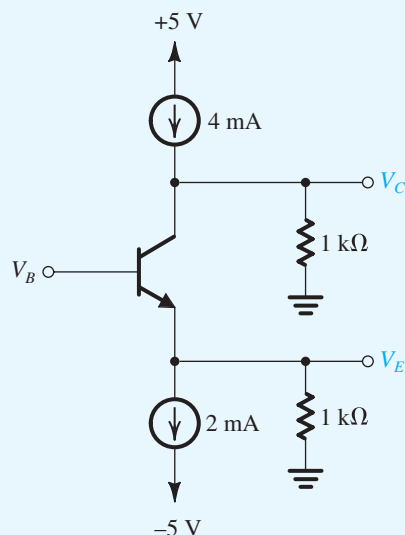


Figure P6.54

**D 6.55** Consider the circuit in Fig. P6.51 with the base voltage  $V_B$  obtained using a voltage divider across the 3-V supply. Assuming the transistor  $\beta$  to be very large (i.e., ignoring the base current), design the voltage divider to obtain  $V_B = 1.2$  V. Design for a 0.1-mA current in the voltage divider. Now, if the BJT  $\beta = 100$ , analyze the circuit to determine the collector current and the collector voltage.

**6.56** A single measurement indicates the emitter voltage of the transistor in the circuit of Fig. P5.56 to be 1.0 V. Under the assumption that  $|V_{BE}| = 0.7$  V, what are  $V_B$ ,  $I_B$ ,  $I_E$ ,  $I_C$ ,  $V_C$ ,  $\beta$ , and  $\alpha$ ? (Note: Isn't it surprising what a little measurement can lead to?)

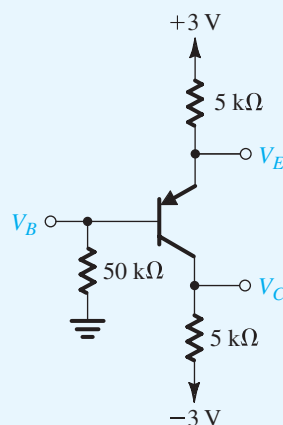


Figure P6.56

**D 6.57** Design a circuit using a *pnp* transistor for which  $\alpha \simeq 1$  using two resistors connected appropriately to  $\pm 3$  V so that  $I_E = 0.5$  mA and  $V_{BC} = 1$  V. What exact values of  $R_E$  and  $R_C$  would be needed? Now, consult a table of standard 5% resistor values (e.g., that provided in Appendix J) to select suitable practical values. What values of resistors have you chosen? What are the values of  $I_E$  and  $V_{BC}$  that result?

**6.58** In the circuit shown in Fig. P6.58, the transistor has  $\beta = 40$ . Find the values of  $V_B$ ,  $V_E$ , and  $V_C$ . If  $R_B$  is raised to 100 kΩ, what voltages result? With  $R_B = 100$  kΩ, what value of  $\beta$  would return the voltages to the values first calculated?

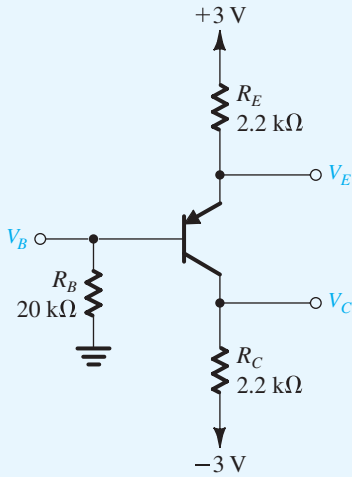


Figure P6.58

**6.59** In the circuit shown in Fig. P6.58, the transistor has  $\beta = 50$ . Find the values of  $V_B$ ,  $V_E$ , and  $V_C$ , and verify that the transistor is operating in the active mode. What is the largest value that  $R_C$  can have while the transistor remains in the active mode?

**SIM 6.60** For the circuit in Fig. P6.60, find  $V_B$ ,  $V_E$ , and  $V_C$  for  $R_B = 100 \text{ k}\Omega$ ,  $10 \text{ k}\Omega$ , and  $1 \text{ k}\Omega$ . Let  $\beta = 100$ .

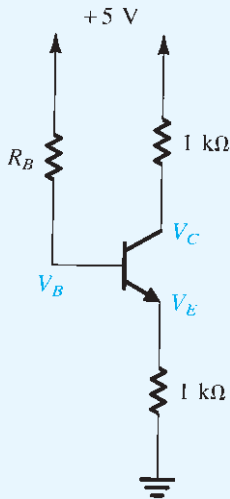


Figure P6.60

**6.61** For the circuits in Fig. P6.61, find values for the labeled node voltages and branch currents. Assume  $\beta$  to be very high.

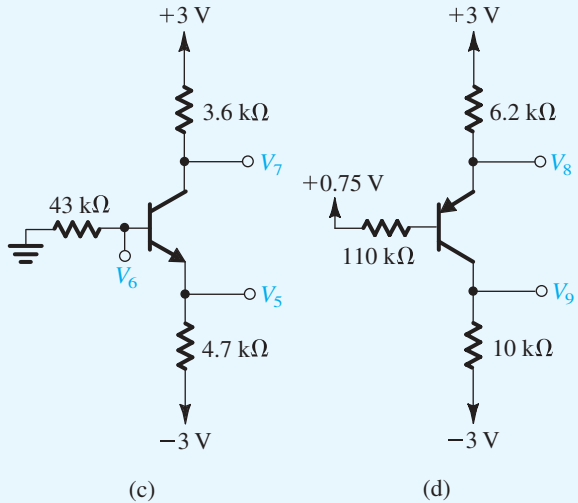
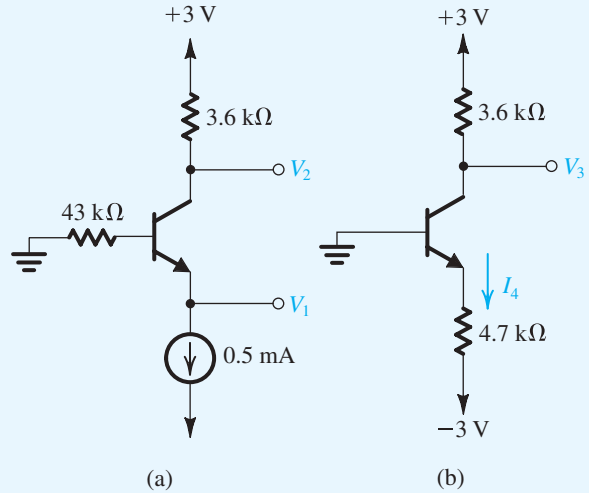


Figure P6.61

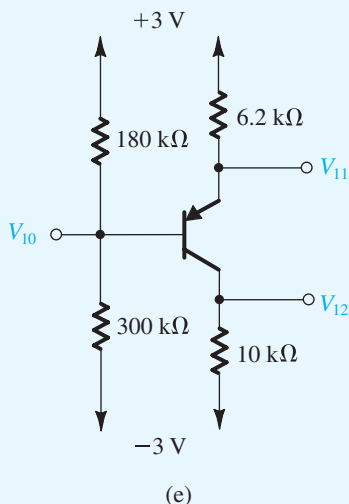


Figure P6.61 continued

**\*6.62** Repeat the analysis of the circuits in Problem 6.61 using  $\beta = 100$ . Find all the labeled node voltages and branch currents.

**D \*\*6.63** It is required to design the circuit in Fig. P6.63 so that a current of 1 mA is established in the emitter and a voltage of  $-1$  V appears at the collector. The transistor type used has a nominal  $\beta$  of 100. However, the  $\beta$  value can be as low as 50 and as high as 150. Your design should ensure that the specified emitter current is obtained when  $\beta = 100$  and that at the extreme values of  $\beta$  the emitter current does not change by more than 10% of its nominal value. Also, design for as large a value for  $R_B$  as possible. Give the values of  $R_B$ ,  $R_E$ , and  $R_C$  to the nearest kilohm. What is the expected range of collector current and collector voltage corresponding to the full range of  $\beta$  values?

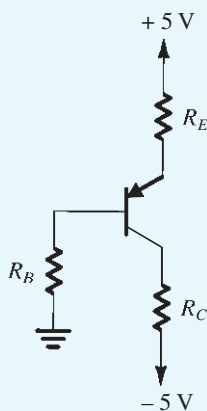


Figure P6.63

**D 6.64** The pnp transistor in the circuit of Fig. P6.64 has  $\beta = 50$ . Find the value for  $R_C$  to obtain  $V_C = +2$  V. What happens if the transistor is replaced with another having  $\beta = 100$ ? Give the value of  $V_C$  in the latter case.

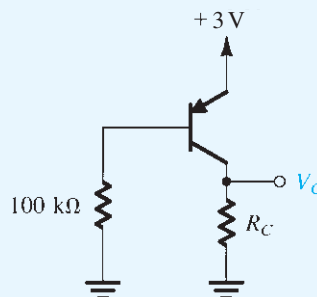


Figure P6.64

**\*\*\*6.65** Consider the circuit shown in Fig. P6.65. It resembles that in Fig. 6.30 but includes other features. First, note diodes  $D_1$  and  $D_2$  are included to make design (and analysis) easier and to provide temperature compensation for the emitter–base voltages of  $Q_1$  and  $Q_2$ . Second, note resistor  $R$ , whose purpose is to provide negative feedback (more on this later in the book!). Using  $|V_{BE}|$  and  $V_D = 0.7$  V independent of current, and  $\beta = \infty$ , find the voltages  $V_{B1}$ ,  $V_{E1}$ ,  $V_{C1}$ ,  $V_{B2}$ ,  $V_{E2}$ , and  $V_{C2}$ , initially with  $R$  open-circuited and then with  $R$  connected. Repeat for  $\beta = 100$ , with  $R$  open-circuited initially, then connected.

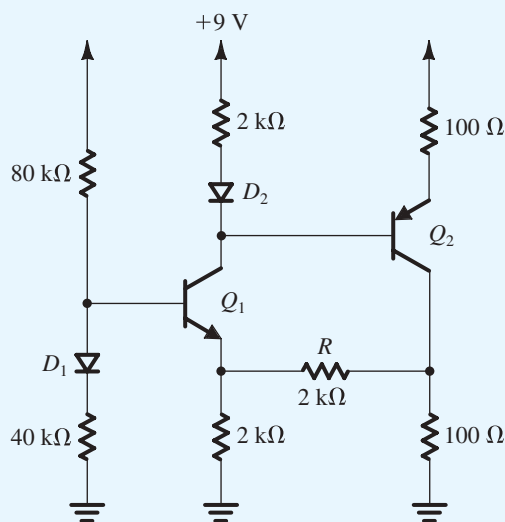


Figure P6.65

364 Chapter 6 Bipolar Junction Transistors (BJTs)

**\*6.66** For the circuit shown in Fig. P6.66, find the labeled node voltages for:

- (a)  $\beta = \infty$
- (b)  $\beta = 100$

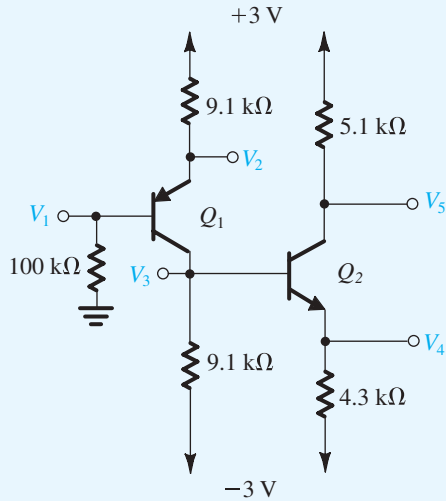


Figure P6.66

**D \*6.67** Using  $\beta = \infty$ , design the circuit shown in Fig. P6.67 so that the emitter currents of  $Q_1$ ,  $Q_2$ , and  $Q_3$

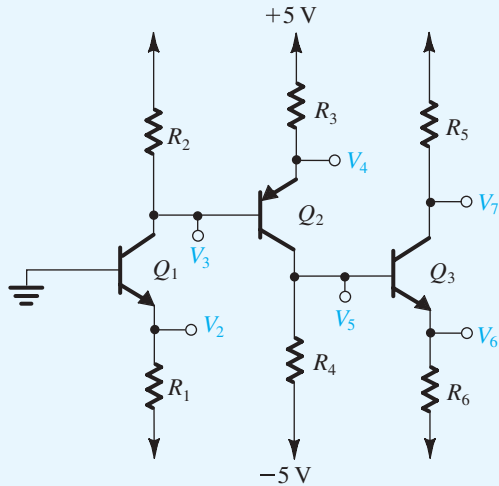


Figure P6.67

are 0.5 mA, 0.5 mA, and 1 mA, respectively, and  $V_3 = 0$ ,  $V_5 = -2$  V, and  $V_7 = 1$  V. For each resistor, select the nearest standard value utilizing the table of standard values for 5% resistors in Appendix J. Now, for  $\beta = 100$ , find the values of  $V_3$ ,  $V_4$ ,  $V_5$ ,  $V_6$ , and  $V_7$ .

**\*6.68** For the circuit in Fig. P6.68, find  $V_B$  and  $V_E$  for  $v_I = 0$  V, +2 V, -2.5 V, and -5 V. The BJTs have  $\beta = 50$ .

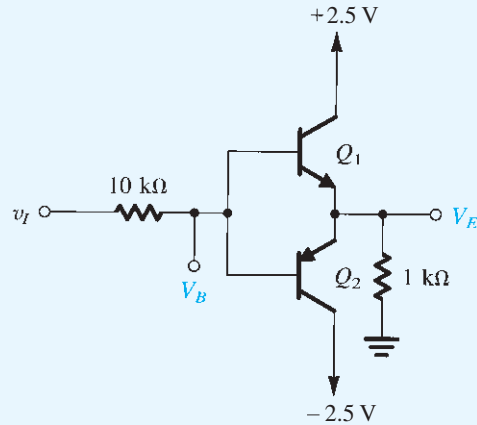


Figure P6.68

**\*\*6.69** All the transistors in the circuits of Fig. P6.69 are specified to have a minimum  $\beta$  of 50. Find approximate values for the collector voltages and calculate forced  $\beta$  for each of the transistors. (*Hint*: Initially, assume all transistors are operating in saturation, and verify the assumption.)

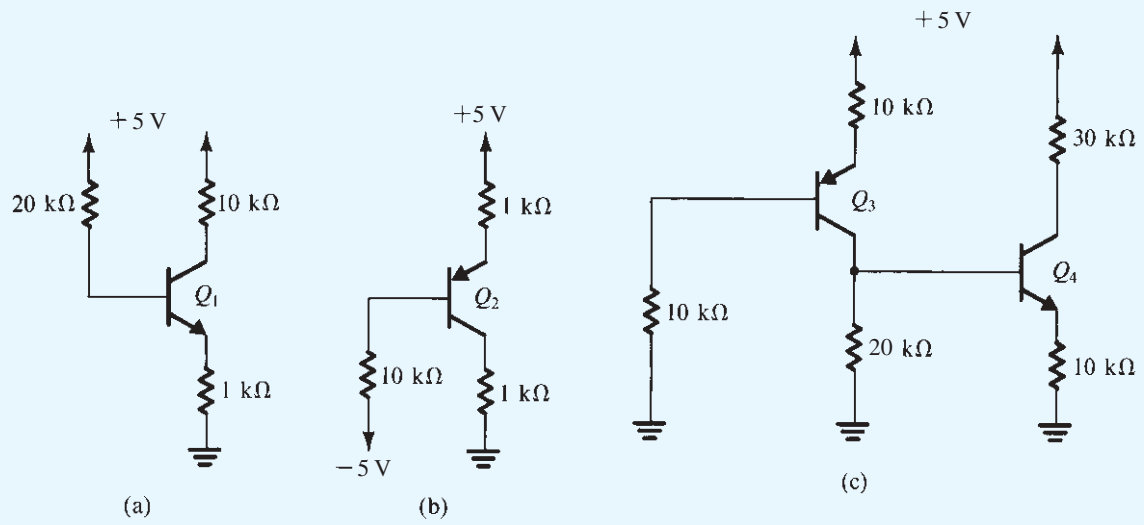


Figure P6.69