

# Block Diffusion: Interpolating Between Autoregressive and Diffusion Language Models

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## 2 Our Results

- Reproduction
- Extensions
  - Alternative Noise Schedules
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# Introduction to the Problem-Motivation

## Two main approaches for Language Models:

### Autoregressive (AR):

- Token-by-token generation
- High quality
- KV caching
- Variable length

### Diffusion:

- Parallel generation
- Better controllability
- **Fixed length (limitation)**
- **Lower quality (Perplexity Gap)**

### Question

Can we combine the advantages of both approaches?

# Core Idea: Block Diffusion



...

Diffusion within each block(parallel)  
Autoregressive over blocks

**Parameterization:** Trade-off through block size  $L'$ :

- $L' = 1 \rightarrow$  Pure AR
- $L' = L \rightarrow$  Pure Diffusion

## Technical Contribution:

- Optimized training and sampling algorithms
- Introduced clipped noise schedules for reduced gradient variance during training
- SoTA PPL among diffusion models + Variable length generation capabilities

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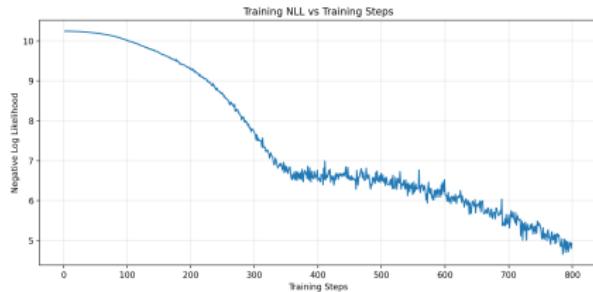
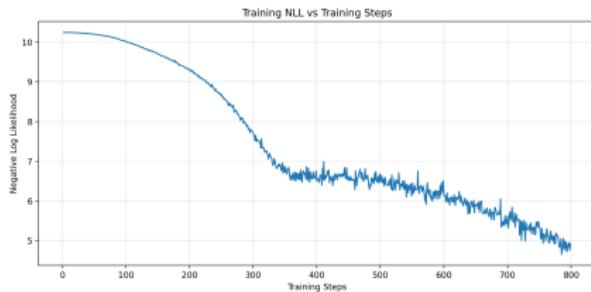
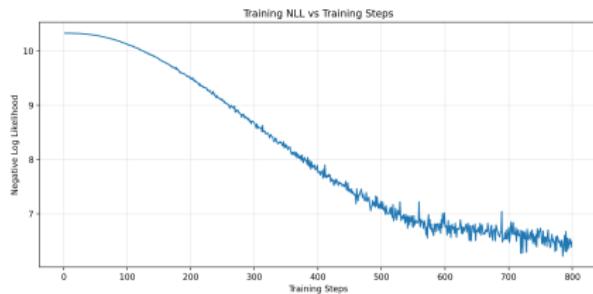
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# AR vs BD3LM with $L'=1$

Test Perplexities for single token generation on LM1B dataset (800 Training Steps)

PPL ( $\downarrow$ )	
<b>Autoregressive</b>	<b>1893</b>
<b>BD3LM <math>L'=1</math></b>	2231
<b>BD3LM <math>L'=1</math> + Tuned Schedule</b>	2220



# The Effect of Clipped Noise Schedules

Test Perplexities for single token generation on LM1B dataset (400 Pretraining Steps + 100 Fine-tuning Steps).

L'	Clipping	PPL	Var. NELBO
128	$\mathcal{U}[0, 0.5]$	2106	1.27
	$\mathcal{U}[0, 1]$	2106	1.27
16	$\mathcal{U}[0.3, 0.8]$	<b>1278</b>	<b>10.50</b>
	$\mathcal{U}[0, 1]$	1279	10.51
4	$\mathcal{U}[0.5, 1]$	<b>1226</b>	<b>44.41</b>
	$\mathcal{U}[0, 1]$	<b>1226</b>	<b>44.41</b>

## Original vs Reproduction

### Key takeaways (Orig. vs Repro.):

- $L' = 128$  and  $L' = 4$ : Original clipping reduces Var. NELBO and PPL. Reproduction shows no significant changes.
- $L' = 16$ : Original clipped  $\mathcal{U}[0.3, 0.8]$  slightly improves PPL and clearly lowers variance vs  $\mathcal{U}[0, 1]$ ; Repro. preserves the direction with smaller gaps.

*Conclusion: the clipped-schedule advantage is strong in the original, but the gaps lower significantly in our reproduction (making the values identical in some cases), likely due to our small model/short run.*

# BD3LMs vs ARs vs Diffusion Models on LM1B

Test perplexities (PPL; ↓) of models on LM1B (400 Pretraining Steps + 100 Fine-tuning Steps).

PPL (↓)	
<b>Autoregressive</b>	
Transformer	3042
<b>Diffusion</b>	
SEDD	1447
MDLM	1616
<b>Block diffusion (Ours)</b>	
BD3-LMs $L' = 16$	1278
$L' = 8$	1734
$L' = 4$	<b>1226</b>

## Original vs Reproduction

### Key takeaways:

- *AR performance gap:* Original Transformer beats diffusion/BD3LM (lowest PPL), while in reproduction Transformer is worst (highest PPL).
- *BD3LM trends partly preserved:* Both show smaller  $L'$  helps (best at  $L' = 4$ ), but original has all BD3LM variants beating diffusion, while reproduction has  $L' = 8$  worse than both diffusion baselines.

*Conclusion: the  $L'$  ranking within BD3LM is partly consistent, but cross-family comparisons (AR vs others, and diffusion vs BD3LM with  $L' = 8$ ) are not, likely from scaling/undertraining effects.*

# BD3LMs vs ARs vs Diffusion Models on OWT

Test perplexities (PPL; ↓) of models on OWT. (800 Pretraining Steps + 800 Fine-tuning Steps)

PPL (↓)	
<b>Autoregressive</b>	
Transformer	2036
<b>Diffusion</b>	
SEDD	2120
MDLM	2101
<b>Block diffusion (Ours)</b>	
BD3-LMs $L' = 16$	1939
$L' = 8$	1941
$L' = 4$	<b>1935</b>

## Original vs Reproduction

### Key takeaways:

- *AR vs diffusion preserved:* In both original and reproduction, AR outperforms diffusion baselines (lower PPL).
- *BD3LM vs AR flips:* Original ranks AR best overall (BD3LM worse than AR), while reproduction ranks BD3LM best (all BD3LM < AR).

*Conclusion: diffusion-vs-AR relationships match the paper, but the global winner changes (AR in original vs BD3LM in reproduction), possibly exaggerated at this small scale.*

# Performance on other Datasets

Zero-shot validation perplexities ( $\downarrow$ ) of models trained on OWT.(?training steps?)

	LM1B	Lambada	Wikitext
<b>AR</b>	2388	1550	<b>2875</b>
SEDD	2742	1562	3335
MDLM	2722	1556	3283
BD3-LM $L' = 4$	<b>2196</b>	<b>1438</b>	3143

## Original vs Reproduction

Key takeaways:

- *Wikitext*: Original and reproduction both rank **AR best**, but original has **BD3LM** beating diffusion, while reproduction has **BD3LM worse** than both diffusion baselines.
- *LM1B*: Original ranks **AR best** (BD3LM second), but reproduction ranks **BD3LM best**.
- *Lambada*: Original ranks **diffusion best** (followed by BD3LM), while reproduction ranks **BD3LM best**.

*Conclusion: Generally, the winner per dataset shifts between original and reproduction, consistent with small-model transfer being noisy.*

# Variable-Length Sequence Generation

Generation length statistics from sampling 10 documents from models trained on OWT (800 Pretraining Steps + 500 Fine-tuning Steps).

	Median # tokens	Max # tokens
OWT train set	717	131K
AR	4008	131K
SEDD	1021	1024
BD3-LM $L' = 16$	798	2927

## Original vs Reproduction

### Key takeaways:

- *Fixed vs variable-length preserved:* In both, SEDD is capped at 1024 max, while BD3LM exceeds 1024; however original shows a much larger BD3LM max than reproduction.
- *Median ordering preserved:* Both original and reproduction keep the same ranking (AR median > SEDD > BD3LM > OWT train).

*Conclusion: reproduction preserves the ordering, but underestimates BD3LM's max-length gain, likely missing long-tail samples at this scale.*

# Sample Quality

Generative Perplexity (Gen.PPL; $\downarrow$ ) and number of Function Evaluations (NFEs; $\downarrow$ ) of 300 samples. All models are trained on OWT. (?training steps?)

Model	$L = 1024$		$L = 2048$	
	Gen. PPL	NFEs	Gen. PPL	NFEs
AR	14.1	1K	13.2	2K
<b>Diffusion</b>				
SEDD	52.0	1K	-	-
MDLM	46.8	1K	41.3	2K
<b>Block Diffusion</b>				
SSD-LM $L' = 25$	37.2	40K	35.3	80K
281.3	1K	281.9	2K	
BD3-LMs $L' = 16$	32.97	1K	31.42	2K
$L' = 8$	29.35	1K	27.42	2K
$L' = 4$	<b>24.74</b>	1K	<b>23.85</b>	2K

# Effect of Different Noise Schedules

Effect of noise schedule on PPL and variance of NELBO for different  $L'$  on LM1B. (?training steps?)

Noise schedule	PPL	Var. NELBO
$L' = 4$		
Clipped		
$\mathcal{U}[0.45, 0.95]$	1199	<b>15.23</b>
$\mathcal{U}[0.3, 0.8]$	1101	27.61
Linear $\mathcal{U}[0, 1]$	751	260.10
Logarithmic	750	125.62
Square root	<b>719</b>	83.83
$L' = 16$		
Clipped		
$\mathcal{U}[0.45, 0.95]$	1056	<b>4.35</b>
$\mathcal{U}[0.3, 0.8]$	798	6.41
Linear $\mathcal{U}[0, 1]$	662	44.73
Square	<b>627</b>	27.53
Cosine	634	20.80

Table 8 Reproduction

## Key takeaways:

- *Variance Hypothesis Confirmed:* Clipped schedules reduced NELBO variance by 17x (from 260.1 to 15.2), validating the method's stability.
- *Perplexity trade-off:* Unlike the paper, standard noise schedules achieve better PPL. Those noise schedules are probably easier to be learned faster but lack on convergence.

*The method effectively stabilizes training (low variance) immediately, but PPL gains from this stability likely require a longer training horizon, especially with our tiny run.*

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# Noise Scheduling in Masked Diffusion (Summary)

**Continuous time index:**

$$t \sim \mathcal{U}[0, 1]$$

**Noise schedule  $\Rightarrow$  masked probability:**

$p(t)$  : masked probability induced by the noise schedule

**Keep (no-mask) probability:**

$$a(t) = 1 - p(t)$$

**Loss scaling induced by the schedule:**

$$\text{loss scaling}(t) = \frac{a'(t)}{1 - a(t)}$$

## Intuition

The noise schedule sets *where* the model learns via  $p(t)$  (masking rate). When sampling  $t \sim \mathcal{U}[0, 1]$ ,  $\text{loss\_scaling}(t) = \frac{a'(t)}{1 - a(t)}$  acts as a weight on the per-token log-likelihood term so the discrete estimator matches the continuous-time integral/ELBO and balances low- vs high-noise regions.

# Already Implemented Noise Schedules

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Schedule	$p(t)$	<u>loss_scaling</u> ( $t$ )
LogLinear	$t$	$-\frac{1}{t}$
Square	$t^2$	$-\frac{2}{t}$
Square root	$t^{0.5}$	$-\frac{1}{2t}$
Logarithmic	$\frac{\log(1+t)}{\log 2}$	$-\frac{1}{(1+t) \log(1+t)}$
Cosine	$1 - (1 - \varepsilon) \cos\left(\frac{\pi t}{2}\right)$	$-\frac{\left(\frac{\pi}{2}\right)(1 - \varepsilon) \sin\left(\frac{\pi t}{2}\right)}{1 - (1 - \varepsilon) \cos\left(\frac{\pi t}{2}\right)}$

# Gaussian & Bimodal Gaussian Noise Schedules

**Goal:** sample a masked probability  $p(t) \in (0, 1)$  from  $t \sim \mathcal{U}[0, 1]$ .

**Gaussian schedule (truncated to  $(0, 1)$ ):**

Let  $\alpha = \frac{0-\mu}{\sigma}$ ,  $\beta = \frac{1-\mu}{\sigma}$ ,  $\Phi_\alpha = \Phi(\alpha)$ ,  $\Phi_\beta = \Phi(\beta)$ . For  $t \in (0, 1)$ :

$$z(t) = \Phi^{-1}(\Phi_\alpha + t(\Phi_\beta - \Phi_\alpha)), \quad p(t) = \mu + \sigma z(t) \in (0, 1).$$

With  $Z = \Phi_\beta - \Phi_\alpha$  and  $\varphi(\cdot)$  the standard normal pdf:

$$\text{loss\_scaling}(t) = \frac{a'(t)}{1 - a(t)} = -\frac{p'(t)}{p(t)} = -\frac{\sigma Z}{\varphi(z(t)) p(t)}.$$

**Bimodal Gaussian schedule (mixture):**

Choose a split weight  $w \in (0, 1)$  (denote  $w_1 = w$ ,  $w_2 = 1 - w$ ). With probability  $w$  use  $(\mu_1, \sigma_1)$ , otherwise use  $(\mu_2, \sigma_2)$ :

$$t_1 = \frac{t}{w_1} \quad (t < w_1), \quad t_2 = \frac{t - w_1}{w_2} \quad (t \geq w_1), \quad p(t) = \begin{cases} \mu_1 + \sigma_1 z_1(t_1), & t < w_1 \\ \mu_2 + \sigma_2 z_2(t_2), & t \geq w_1 \end{cases}$$

where each  $z_i(\cdot)$  is defined as above (with its own  $\alpha_i, \beta_i, \Phi_{\alpha_i}, \Phi_{\beta_i}$  and  $Z_i$ ). The resulting scaling is piecewise:

$$\text{loss\_scaling}(t) = -\frac{1}{p(t)} \begin{cases} \frac{1}{w_1} \frac{\sigma_1 Z_1}{\varphi(z_1(t_1))}, & t < w_1 \\ \frac{1}{w_2} \frac{\sigma_2 Z_2}{\varphi(z_2(t_2))}, & t \geq w_1 \end{cases}$$

# New Schedules' Results

- Perplexities (PPL) and Var. NELBO for implemented vs new schedules on LM1B (400 Pretraining Steps + 100 Fine-tuning Steps).
- B.G. stands for Bimodal Gaussian.
- We use constant values:  $\sigma^2 = x$  (Gaussian) and  $\sigma_1^2 = x, \sigma_2^2 = x$  (B.G.) for all experiments. Training under varying  $\mu$  and  $\mu_1, w_1$  respectively.

Block Size	Already Implemented			Newly Implemented		
	Noise Schedule	PPL	Var. NELBO	Noise Schedule	PPL	Var. NELBO
128	Loglinear	2106	1.27	Gaussian ( $\mu = 0.5$ )	2115	1.29
	Loglinear $\mathcal{U}[0, 0.5]$	2106	1.27	Gaussian ( $\mu = 0.6$ )	2212	1.34
	Cosine	2154	1.31	B.G. ( $\mu_1 = 0.3, w_1 = 0.6$ )	2184	1.31
	Cosine $\mathcal{U}[0, 0.5]$	2150	1.30	B.G. ( $\mu_1 = 0.1, w_1 = 0.6$ )	<b>2089</b>	<b>1.19</b>
16	Loglinear	1279	10.50	Gaussian ( $\mu = 0.5$ )	<b>1234</b>	<b>10.28</b>
	Loglinear $\mathcal{U}[0.3, 0.8]$	1278	10.51	Gaussian ( $\mu = 0.6$ )	1235	10.31
	Cosine	1236	10.30	B.G. ( $\mu_1 = 0.3, w_1 = 0.6$ )	1254	10.42
	Cosine $\mathcal{U}[0.3, 0.8]$	1235	10.29	B.G. ( $\mu_1 = 0.1, w_1 = 0.6$ )	1295	10.59
4	Loglinear	<b>1226</b>	<b>44.41</b>	Gaussian ( $\mu = 0.5$ )	1250	46.76
	Loglinear $\mathcal{U}[0.5, 1]$	<b>1226</b>	<b>44.41</b>	Gaussian ( $\mu = 0.7$ )	1252	46.76
	Cosine	1228	45.28	B.G. ( $\mu_1 = 0.3, w_1 = 0.6$ )	1253	46.84
	Cosine $\mathcal{U}[0.5, 1]$	1229	45.26	B.G. ( $\mu_1 = 0.1, w_1 = 0.6$ )	1243	46.49

# Using Bimodal Gaussian on Pretraining

We can also apply the Bimodal Gaussian noise schedule during pretraining.  
The table reports, for each pretraining noise schedule, the *best* fine-tuning noise schedule we found, with the corresponding PPL and Var. NELBO (400 Pretraining Steps + 100 Fine-tuning Steps).

Block Size	Pretraining Schedule	Fine-tuning Schedule	PPL	Var. NELBO
128	Loglinear	?????	1000	10.00
	Bimodal Gaussian	?????	1000	10.00
16	Loglinear	Gaussian ( $\mu = 0.5$ )	1234	10.28
	Bimodal Gaussian	Cosine $\mathcal{U}[0.3, 0.8]$	<b>1227</b>	<b>10.22</b>
4	Loglinear	Loglinear	1226	44.41
	Bimodal Gaussian	Loglinear	<b>1213</b>	<b>44.39</b>

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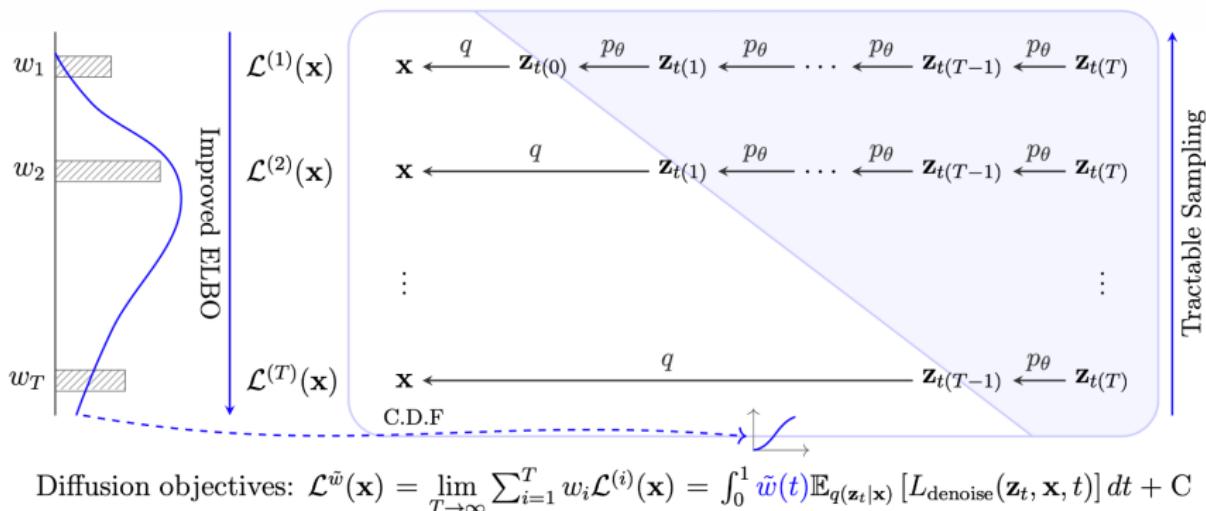
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# Reweighted Losses for Improved Diffusion Objective

## Reweighted Losses are Better Variational Bounds



# Reweighted Losses for Masked Diffusion

- Initial Reweighted NELBO:

$$\mathcal{L}^{\tilde{w}}(\mathbf{x}) = - \int_0^1 \tilde{w}(t) \frac{\alpha'_t}{1 - \alpha_t} \mathbb{E}_{q(\mathbf{z}_t|\mathbf{x})} \left[ \delta_{\mathbf{z}_t, m} \cdot \mathbf{x}^\top \log \mu_\theta(\mathbf{z}_t) \right] dt$$

- Reparameterization trick:  $\lambda(t) = \log \frac{\alpha_t}{1 - \alpha_t}$ :

$$\mathcal{L}^{\hat{w}}(\mathbf{x}) = - \int_0^1 \hat{w}(\lambda(t)) \frac{\alpha'_t}{1 - \alpha_t} \mathbb{E}_{q(\mathbf{z}_t|\mathbf{x})} \left[ \delta_{\mathbf{z}_t, m} \cdot \mathbf{x}^\top \log \mu_\theta(\mathbf{z}_t) \right] dt$$

Name	$\lambda(t)$	$\hat{w}(\lambda)$	$\tilde{w}(t)$
EDM		$p_{\mathcal{N}(2.4, 2.4^2)}(\lambda) \frac{e^{-\lambda} + 0.5^2}{0.5^2}$	$w(\lambda(t))$
IDDPM		$\text{sech}(\frac{\lambda}{2})$	$2\sqrt{\alpha_t(1 - \alpha_t)}$
Sigmoid	$\log \frac{\alpha_t}{1 - \alpha_t}$	$\text{sigmoid}(-\lambda + k)$	$\frac{1 - \alpha_t}{1 - (1 - e^{-k})\alpha_t}$
FM		$e^{-\frac{\lambda}{2}}$	$\sqrt{\frac{1 - \alpha_t}{\alpha_t}}$
Simple		-	$-\frac{1 - \alpha_t}{\alpha'_t}$

# Reweighted Losses Results

Extended Table 3: Test Perplexities

PPL ( $\downarrow$ )						
<b>Autoregressive</b>						
Transformer	1221					
<b>Diffusion</b>						
SEDD	1403					
MDLM	1370					
Block diffusion	Base	IDDPBM	EDM	Sigmoid ( $k = 0$ )	FM	Simple
BD3-LMs $L' = 16$	1345	252	49.88	36.06	76213	53070
$L' = 8$	1210	249	49.14	35.79	109169	36010741760
$L' = 4$	1176	246	49.01	<b>35.08</b>	67332	2396260

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